

C Receiving Offices C

US UNITED STATES PATENT AND TRADEMARK OFFICE (USPTO) US

Competent receiving Office for nationals and residents of:	United States of America
Language in which international applications may be filed:	English
Language accepted for language-dependent free text in the sequence listing:	English ¹
Language in which the request may be filed:	English
Number of copies on paper required by the receiving Office:	1
Does the receiving Office accept the filing of international applications in electronic form? ^{2, 3}	Yes ⁴
Does the receiving Office accept requests for restoration of the right of priority (PCT Rule 26bis.3)?	Yes, the Office applies the “unintentional” criterion to such requests
Competent International Searching Authority:	Australian Patent Office, ⁵ European Patent Office, Federal Service for Intellectual Property (Rospatent) (Russian Federation), Intellectual Property Office of Singapore, Israel Patent Office, ⁶ Japan Patent Office (JPO), ⁷ Korean Intellectual Property Office or United States Patent and Trademark Office
Competent International Preliminary Examining Authority:	Australian Patent Office, ⁸ European Patent Office, ⁸ Federal Service for Intellectual Property (Rospatent) (Russian Federation), Intellectual Property Office of Singapore, ⁸ Israel Patent Office, ⁸ Japan Patent Office (JPO), ⁸ Korean Intellectual Property Office or United States Patent and Trademark Office

[Continued on next page]

¹ The Office does not permit the language-dependent free text of the sequence listing part of the description to be filed in more than one language, under PCT Rule 12.1(d).

² Where the international application is filed in electronic form, the total amount of the international filing fee is reduced (see “Fees payable to the receiving Office”).

³ Where the international application contains a sequence listing as a separate part of the description, this should be furnished in accordance with Annex C of the Administrative Instructions, that is, in compliance with WIPO Standard ST.26 XML format; no fees are due for sequence listings filed in this format. [Where the international application is filed on paper, a sequence listing part of the description should be furnished in WIPO Standard ST.26 XML format on physical medium.](#)

⁴ The USPTO accepts the filing of international applications in electronic form according to its national law and technical systems (see Section 703(d) and 703(f)(ii) of the PCT Administrative Instructions). For more information, see <https://www.uspto.gov/patents/apply>. Technical support for filing international applications electronically is available from the Patent Electronic Business Center (EBC) at (1-866) 217 91 97. Note that the applicable national law and technical systems provide for requirements which are different from, and not compatible with, the requirements of Section 703(b)(ii) to (iv) of the PCT Administrative Instructions (see *PCT Gazette* No. 18/2002, page 8974). Applicants may, however, use ePCT or PCT-SAFE to generate a .zip file containing a validated request form, and then submit the .zip file electronically via EFS-Web or Patent Center. For further details, see <https://www.federalregister.gov/documents/2020/09/30/2020-18743/facilitating-the-use-of-the-world-intellectual-property-organizations-epct-system-to-prepare>

⁵ This Office has limited its availability as International Searching Authority to 250 international applications per quarter. For further details, see <https://www.uspto.gov/web/offices/com/sol/og/2014/week52/TOC.htm#ref20>

⁶ This Authority is competent only where it has not received more than 100 international applications from the USPTO during the relevant fiscal quarter. For further information, see <http://www.uspto.gov/sites/default/files/documents/mod-ilpo-isa-ipea.pdf>

⁷ This Authority is competent only if it has not received more than 8,400 international applications from the USPTO during the five year period from 1 July 2018 to 30 June 2023, not more than 300 applications per quarter during the first and second years, and not more than 500 applications per quarter during the third, fourth and fifth years.

⁸ This Authority is competent only if the international search is or has been carried out by that Office.

C **Receiving Offices** **C**
US **UNITED STATES PATENT AND** **US**
TRADEMARK OFFICE (USPTO)

[Continued]

Fees payable to the receiving Office: ⁹	Currency: US dollar (USD)			
Transmittal fee: ¹⁰			<i>Small entity</i> ¹¹	<i>Micro entity</i> ¹²
	USD	260	130	65
International filing fee:	USD	1,437		
Fee per sheet in excess of 30:	USD	16		
Reductions (under Schedule of Fees, item 4):				
Electronic filing (EFS-Web/ Patent Center without ePCT or PCT-EASY.zip file):	USD	108		
Electronic filing (EFS-Web/ Patent Center with ePCT or PCT-EASY.zip file):	USD	216		
Search fee:	See Annex D(AU), (EP), (IL), (JP) ¹³ , (KR), (RU), (SG) or (US)			
Fee for priority document:	USD	0		
Fee for requesting restoration of the right of priority (PCT Rule 26bis.3(d)):			<i>Small entity</i> ¹¹	<i>Micro entity</i> ¹²
	USD	2,100	1,050	525
Is an agent required by the receiving Office?	No			
Who can act as agent?	Patent attorneys and patent agents registered to practice before the Office. A list of registered patent attorneys and agents may be obtained on the Internet at https://oedci.uspto.gov/OEDCI/			
Waiver of power of attorney:				
Has the Office waived the requirement that a separate power of attorney be submitted?	Yes ¹⁴			
Particular instances in which a separate power of attorney is required:	Where it is unclear whether a purported agent has the power to act on behalf of the applicant, and for certain changes under PCT Rule 92bis			
Has the Office waived the requirement that a copy of a general power of attorney be submitted?	Yes ¹⁴			
Particular instances in which a copy of a general power of attorney is required:	Where it is unclear whether a purported agent has the power to act on behalf of the applicant, and for certain changes under PCT Rule 92bis			

⁹ The amounts of these fees change periodically. The receiving Office or the current USPTO Fee Schedule at: www.uspto.gov/learning-and-resources/fees-and-payment/uspto-fee-schedule should be consulted for the applicable amounts.

¹⁰ In addition, there is a non-electronic filing fee applicable to international applications filed other than by the Office electronic filing system (EFS) of USD 400, or in the case of filings by small or micro entities, USD 200.

¹¹ This amount is applicable in case of filing by a “small entity”. For further details on the entitlement to and the establishment of “small entity” status, see www.uspto.gov/web/offices/pac/mpep/s509.html#d0e30961 and 37 CFR 1.27 at: www.uspto.gov/web/offices/pac/mpep/consolidated_rules.pdf.

¹² This amount is applicable in case of filing by a “micro entity”. For further details on the entitlement to and the establishment of “micro entity” status, see www.uspto.gov/web/offices/pac/mpep/s509.html#ch500_d1ff69_210b3_1ca and 37 CFR 1.29 at: www.uspto.gov/web/offices/pac/mpep/consolidated_rules.pdf.

¹³ See footnote 7.

¹⁴ Waivers of powers of attorney do not apply (PCT Rule 90.4(e) and 90.5(d)) where the agent or common representative submits any notice of withdrawal during the international phase (PCT Rule 90bis.1 to 90bis.4; see also International Phase, paragraph 11.048).