

# PAPI survey part 2

Response ID:237 Data

## 1. Identification page

Please enter the two-letter country code corresponding to your Office or Organization.

Don't know your country code? [Please click here.](#)

US

Please enter your email address so we can contact you if we have questions about your response.

## 2. Questions page

1. Please explain any other conditions or requirements for access to patent information besides those covered in Part 1 questions 3 (access mechanism) and 4 (who may access), such as limits on the number of queries, number of results, or data limits.

No other conditions outside of the information already provided.

2.

### Are fees required for access to particular types of information?

NO

If yes, please indicate for which information and explain the access procedure (e.g. how to create an account, what forms of payment are accepted, etc.).

3. If your office uses multiple languages, are the online interfaces for different languages synchronized with the same capabilities and the same data, or do some interfaces have different capabilities or data than others?

Please indicate the reference language(s).

English

Comments: English Only

4. Please indicate any differences in the data available in other languages (for example, in the frequency of updates, coverage, search tools, etc.).

	Differences for Language
Published applications	n/a
Granted patents	n/a
Legal Status information	n/a
Corrections	n/a
Priority information	n/a
Patent Term Extensions or Supplementary Protection Certificates	n/a
Patent family information	n/a
File inspection	n/a
Court decisions	n/a
Reassignments	n/a
Licensing information	n/a
Official Gazette	n/a

**Comments:** When available, actual family documents are available in their original language.

#### 5. Are documents available for download in a searchable text format?

Examples of searchable text formats include plain text documents, HTML, XML, and certain PDFs that are created with readable text. If a PDF was created from a scanned image with OCR (optical character recognition) applied, then select "PARTIAL" as text may not always be converted correctly.

This question refers only to the content of the document which can be represented as text. Do not consider images or figures in your answer. If a document has both images and text, answer "Yes" if all the text elements are searchable.

	YES	NO	PARTIAL (some text elements not searchable)
Published applications	X		
Granted patents	X		
Amendments, corrections, or other documents with updates			X
File history			X

**Comments:**

#### 6.

**Are updated versions of patent documents available online when changes are made after publication (e.g. "as granted" rather than "as filed")?**

YES

If yes, please explain how updated documents are presented in search results (e.g. with updated kind codes according to WIPO ST.50).

There are several kinds of post-issuance documents. They are not text searchable.

X6 - Certificates-of-Correction.

Bn - Reexamination Certificate issued prior to January 2, 2001

There are one (1) type of Reexamination Certificate for kind code "B":

(1). Ex Parte Reexamination Certificate under 35 U.S.C. 307

Cn - Reexamination Certificate issued on or after January 2, 2001

There are three (3) types of Reexamination Certificates for kind code "C":

(1). Ex Parte Reexamination Certificate under 35 U.S.C. 307

(2). Ex Parte Reexamination Certificate under 35 U.S.C. 257

(3). Inter Partes Reexamination Certificate under 35 U.S.C. 316

E1 - Reissue Patent

Fn - Reexamination Certificate of a Reissue issued on or prior to January 12, 2010

Fn - Supplemental Examination Certificate Published on or after September 16, 2012

Jn - Post Grant Review Certificate published on or after September 16, 2012

Kn - Inter Partes Review Certificate published on or after September 16, 2012

On - Derivation Certificate published on or after March 16, 2013

**7. Which parts of patent documents are updated online after publication? Mark all that apply.**

Other (Specify in comments)

**Comments:** It will depend on the correction needed.

**8. Is the event history (list of relevant legal status information) for a given document available in online systems?**

	YES	NO	PARTIAL
Pre-grant events	X		
Post-grant events	X		

**Comments:**

**9. What are your current plans, if any, for using WIPO Standard ST.27 for patent legal status information? Check all that apply.**

We have definite plans to implement ST.27 in the future

**Comments:**

**10. Does your Office / Organization provide an authority file of published patent documents?**

YES, we currently provide an ST.37 compliant authority file accessible through the WIPO Authority File portal

**Comments:**

**11. If you do not provide an authority file to WIPO according to the recommendations of ST.37, can you indicate the reasons below? Check all that apply.**

**Comments:**

**12. Are the following features available in the online systems provided by your Office?**

See glossary above for definitions of search types.

	Patent Applications	Patent Grants	Legal Status data
Basic search	X	X	X
Advanced search or Field combination search	X	X	X
Semantic search or similarity search	X	X	X
Machine translations			

**Comments:**

**13. Are the following fields available for search in the online systems in question? Check all that apply.**

	Patent Applications	Patent Grants	Searchable in English or Latin character set?
Patent publication number	X	X	X
Patent application number	X	X	X
Priority date	X	X	X
Priority number	X	X	X
Applicant name	X	X	X
Inventor name	X	X	X
Owner name	X	X	X
Normalized names (applicant, owner, etc)			
PCT application number	X	X	X
PCT publication number	X	X	X
Title	X	X	X
Abstract	X	X	X
Claims	X	X	X
Description	X	X	X
Country (of applicant, owner, etc)	X	X	X

**Comments:** For USPTO we are interpreting the term "Owner" to mean Assignee.

**14. For machine translations, please indicate which languages are translated.**

**From (original document languages)**

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**To (translated output languages)**

**15. Which patent information is translated?**

**Comments:**

**16. Does your system provide guidance on what types of number formats are accepted when searching by application or publication numbers?**

Our system provides guidance on the search page

**Comments:** Basic information is available on the search page with more details on a separate page.

**17. What types of number formats are accepted when searching by application or publication numbers? Please check all that apply.**

Numbers containing a code for the type of industrial property right, a year designation and a serial number, as recommended by ST.13

Numbers with a fixed length of 15 digits, composed of two digits for the type, four digits for the year and nine digits for the serial number, as recommended by ST.13

Numbers starting with an ST.3 code identifying the office, as recommended by ST.13

Numbers with separators between different parts of the number, such as slash (/) hyphen (-) or space ( )

Number issued by another Office, such as a PCT application number that entered the national phase

**Comments:**

**18. Are WIPO ST.3 two-letter codes or WIPO ST.16 document kind codes used as part of the search term when searching by application or publication number?**

	required	optional	not accepted
ST.3 Office codes are		X	
ST.16 document codes are		X	

**Comments:**

**19. What kind of interface do users have to access your online systems for the available types of patent documents? For example, for published patent applications, granted patents, patent term extensions, fee payments.**

There are multiple web pages or portals for different types of information, and-

The web pages or portals for different types of documents look and function differently

**Comments:**

**20. Do your online systems use the same web pages or portals for different types of industrial property rights provided by your Office? For example, patents for inventions, plant patents, design patents, inventors' certificates, utility certificates, utility models, patents of addition and others. Answer yes here even if there are multiple web pages or portals for different types of documents (application, legal status history, assignments, etc), as long as each type of IP right is available on the same web page or portal.**

**Note-** this question is about different types of IP rights. Question 17 is about different types of patent documents.

YES

**Comments:**

**21. If there are separate websites or portals for these other types of industrial property provided by your Office, please list them.**

<https://www.uspto.gov/>

**22. Do your online systems contain information on documents issued under international or regional IP protection systems, which have (potential) effect in your jurisdiction?**

NO

**Comments:**

**23. If so, what types of documents are available, e.g. PCT documents or translations?**

**24. Is international or regional data searchable in the same online systems as national documentation?**

Yes, international / regional data is available and searchable

**Comments:**

**25. What functionality would you like to see in the systems provided by other Offices?**

	For full descriptions	For legal status
Possibility to translate content into other languages	X	X
Search by bibliographic data fields	X	X
Full text search	X	X
Possibility to download documents in various formats	X	X
Alerting to data updates		
Date of receipt of applications by your Office	X	X
Other (Specify in comments)		

**Comments:**

**26. What is your desired update frequency for data from other Offices?**

	For full descriptions	For legal status
Real time publication of all changes		
Daily updates		
Weekly updates	X	
Other (Specify in comments)		

**Comments:**

**27. Should other Offices' systems support machine-to-machine communication (such as web services) for exchanging data with your Office?**

	YES	NO	NO PREFERENCE
For full applications	X		
For bibliographic data	X		
For legal status information			X

**Comments:**

**28. Should Offices' systems provide search capabilities for both their national data sets and data published by other Offices (with an interface in the national language)?**

	YES	NO	NO PREFERENCE
For full applications	X		
For bibliographic data	X		
For legal status information	X		

**Comments:** Prefer Offices provide the information in English as a commonly agreed upon language.

## 29. User guide

**Is there a user guide for searching (such as explanation of fields or examples) available in the system?**

YES

**URL where the guide is available-**

**Comments:** links to the systems and the associated user guides are available from the main webpage [www.uspto.gov](http://www.uspto.gov).

**30. Is there a clear indication of which information in the official publication is issued by your Office / Organization and which information is from other sources (e.g. a disclaimer on externally provided information)?**

USPTO provides information for publications issued by USPTO. Global Dossier provides access to the application data from other offices, and this is clearly indicated on the website.

<https://www.uspto.gov/patents/basics/international-protection/global-dossier-initiative>

**31. Please briefly describe your future plans with respect to your Office's online systems, their content and functionality. For example, if you have plans to expand their capabilities, merge existing systems, retire old systems, or a general timeline for such work, please include that information here.**

**32. In which of the following areas are WIPO recommendations the most needed and/or most effective? Check all that apply.**

	For full applications	For legal status
Scope of published information	X	X
Frequency of updates		
Availability of information and/or interfaces in other languages	X	X
Information retrieval functionality		

**Comments:**

# SUPPLEMENT PAPI survey part 2

Response ID:54 Data

## 2. Questions

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Please enter the two-letter country code corresponding to your Office or Organization.

Don't know your country code? [Please click here.](#)

US

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Please enter your email address so we can contact you if we have questions about your response.

**Is your Office / Organization considering to discontinue the official gazettes, and instead make related publication data available only via public online services?**

NO, we do not have any plans to discontinue the official gazettes

**Comments:** <https://www.uspto.gov/learning-and-resources/official-gazette>

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