Questionnaire on Exceptions and Limitations to Patent Rights

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b) aesthetic creations

This question does not imply that the topic of exclusions from patentability is dealt with in this question exhaustively.

- c) schemes, rules or methods for performing mental acts, playing games or doing business or programs for computers
- d) presentation of information

Patents shall not be granted in respect of:

- e) methods for treatment of the human or animal body by surgery or therapy or diagnostic methods practiced on the human or animal body
- f) plant or animal varieties (plant variety as defined in EC regulation 2100/94, article 5)
- g) essential biological processes for the production of plants or animals
- h) the human body, at the various stages of its formation and development, and the simple discovery of one of its elements
- i) inventions contrary to ordre public or morality
- j) processes for cloning human beings, processes for modifying the germ line genetic identity of human beings, uses of human embryos for industrial or commercial purposes, and processes for modifying the genetic identity of animals which are likely to cause them suffering without any substantial medical benefit to man or animal, also animals resulting from such processes

2. As background for the exceptions and limitations to patents investigated in this questionnaire, what exclusive rights are granted with a patent? Please provide the relevant provision in the statutory or case law. In addition, if publication of a patent application accords exclusive rights to the patent applicant, what are those rights?

The Consolidate Patents Act (Act no. 91 of 28 January 2009), Section 1(1):
'Any person who has made an invention which is susceptible of industrial application, or his successor in title, shall, in accordance with this Act, have the
right on application to be granted a patent for the invention and thereby obtain are exclusive right to exploit the invention commercially. Inventions may be patented within all areas of technology."

- 3. Which exceptions and limitations does the applicable law provide in respect to patent rights (please indicate the applicable exceptions/limitations):
 - X Private and/or non-commercial use;
 - **X** Experimental use and/or scientific research;
 - **X** Preparation of medicines;²
 - X Prior use;
 - X Use of articles on foreign vessels, aircrafts and land vehicles;
 - **X** Acts for obtaining regulatory approval from authorities;
 - X Exhaustion of patent rights;
 - **X** Compulsory licensing and/or government use;
 - **X** Exceptions and limitations related to farmers' and/or breeders' use of patented inventions.³

For example, extemporaneous preparation of prescribed medicines in pharmacies.

For example, in some countries where patent rights extend to propagated or multiplicated material derived from patented biological material, certain uses by farmers of harvested plant material or of breeding livestock or other animal reproductive material under patent protection on his own farm do not constitute patent infringement. Similarly, in some countries, patent rights do not cover uses by

If the applicable law provides for any of the above-listed exceptions and limitations, please fill out those parts of Sections II to X that apply to you. If the applicable law does not contain all of the exceptions and limitations provided in Sections II to X, then you should respond only to the other parts of the questionnaire. If the applicable law includes other exceptions and limitations that are not listed above, please answer the questions under Section XI "Other Exceptions".

Where reference is made to case law, please indicate, if possible, the official source in which the case has been published (for example, the publication number, issue, title, URL, etc.).

Section II: Private and/or non-commercial use

4.	If the exception is contained in statutory law, please provide the relevant provision(s):
	The Consolidate Patents Act (Act no. 91 of 28 January 2009), Section 3(3)(i)
5.	If the exception is provided through case law, please cite the relevant decision(s) and provide its(their) brief summary:
	None
6.	(a) What are the public policy objectives for providing the exception?
	(b) Where possible, please explain with references to the legislative history, parliamentary debates and judicial decisions:
	Alignment with Article 31a in Agreement relating to Community patents, 1989, signed by Denmark
7.	If the applicable law defines the concepts "non-commercial", "commercial" and/or "private", please provide those definitions by citing legal provision(s) and/or decision(s):
	No definition
8.	If there are any other criteria provided in the applicable law to be applied in determining the scope of the exception, please provide those criteria by citing legal provision(s) and/or decision(s):
	None

[Footnote continued from previous page]

breeders of patented biological material for the purpose of developing a new plant variety (see paragraphs 133 to 137 of document SCP/13/3).

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Exceptions and limitations on acts for obtaining regulatory approval are dealt with in Section VII of the questionnaire.

No distinction.....

20.	If the applicable law provides for other criteria to be applied in determining the scope of the exception, please describe those criteria. Please illustrate your answer by citing legal provision(s) and/or decision(s):
	None
21.	Is the applicable legal framework of the exception considered adequate to meet the objectives sought (for example, are there any amendments to the law foreseen)? Please explain:
	Yes
22.	Which challenges, if any, have been encountered in relation to the practical implementation of the exception in your country? Please explain:
	None
Secti	on IV: Preparation of medicines
23.	If the exception is contained in statutory law, please provide the relevant provision(s):
	The Consolidate Patents Act (Act no. 91 of 28 January 2009), Section 3(3)(V)
24.	If the exception is provided through case law, please cite the relevant decision(s) and provide its(their) brief summary:
	None
25.	(a) What are the public policy objectives for providing the exception? Please explain:
	(b) Where possible, please explain with references to the legislative history, parliamentary debates and judicial decisions:
	Alignment with Article 31b in Agreement relating to Community patents, 1989, signed by Denmark.

others)? Please describe:

	Pharmacists making the preparation in a Pharmacy upon medical prescription
27.	Does the applicable law provide for any limitations on the amount of medicines that can be prepared under the exception?
	□ Yes X No
	If yes, please explain your answer by citing the relevant provision(s) and/or decision(s):
28.	If the applicable law provides for other criteria to be applied in determining the scope of the exception, please describe those criteria. Please illustrate your answer by citing legal provision(s) and/or decision(s):
	None
29.	Is the applicable legal framework of the exception considered adequate to meet the objectives sought (for example, are there any amendments to the law foreseen)? Please explain:
	Yes, but it is hardly used since nearly no preparations take place at pharmacies any longer in our country
30.	Which challenges, if any, have been encountered in relation to the practical implementation of the exception in your country? Please explain:
	None
Secti	on V: Prior use
31.	If the exception is contained in statutory law, please provide the relevant provision(s):
	The Consolidate Patents Act (Act no. 91 of 28 January 2009), Section 4(1)
32.	If the exception is provided through case law, please cite the relevant decision(s) and provide its(their) brief summary:
	None

33.	(a) What are the public policy objectives for providing the exception? Please explain:
	(b) Where possible, please explain with references to the legislative history, parliamentary debates and judicial decisions:
34.	How does the applicable law define the scope of "use"? Does the applicable law provide for any quantitative or qualitative limitations on the application of the "use" by prior user? Please explain your answer by citing legal provision(s) and/or decision(s):
	Qualitative limitation: "Use" must not constitute an evident abuse in relation to the applicant or his legal predecessor
35.	Does the applicable law provide for a remuneration to be paid to the patentee for the exercise of the exception? Please explain:
	No
36.	According to the applicable law, can a prior user license or assign his prior user's right to a third party?
	X Yes □ No
37.	In case of affirmative answer to question 36, does the applicable law establish conditions on such licensing or assignment for the continued application of the prior use exception?
	X Yes □ No
	If yes, please explain what those conditions are:
	The "use" right provided for shall only be transferred to others together with the business in which it has arisen or in which the exploitation was intended.
38.	Does this exception apply in situations where a third party has been using the patented invention or has made serious preparations for such use after the invalidation or refusal of the patent, but before the restoration or grant of the patent?
	X Yes No
	If yes, please explain the conditions under which such use can continue to apply:

39.	If the applicable law provides for other criteria to be applied in determining the scope of the exception, please describe those criteria. Please illustrate your answer by citing legal provision(s) and/or decision(s):
40.	Is the applicable legal framework of the exception considered adequate to meet the objectives sought (for example, are there any amendments to the law foreseen)? Please explain:
	Yes
41.	Which challenges, if any, have been encountered in relation to the practical implementation of the exception in your country? Please explain:
	None
Secti	ion VI: Use of articles on foreign vessels, aircrafts and land vehicles
42.	If the exception is contained in statutory law, please provide the relevant provision(s):
	The Consolidate Patents Act (Act no. 91 of 28 January 2009), Section 5(1)
43.	If the exception is provided through case law, please cite the relevant decision(s) and provide its(their) brief summary:
	None
44.	(a) What are the public policy objectives for providing the exception? Please explain:
	(b) Where possible, please explain with references to the legislative history, parliamentary debates and judicial decisions:
	Implementation of article 5 ter in the Paris Convention

45. The exception applies in relation to:

	The Consolidate Patents Act (Act no. 91 of 28 January 2009), Section 3(3)(iv)
51.	If the exception is contained in statutory law, please provide the relevant provision(s):
Secti	on VII: Acts for obtaining regulatory approval from authorities
	None
50.	Which challenges, if any, have been encountered in relation to the practical implementation of the exception in your country? Please explain:
	Yes
49.	Is the applicable legal framework of the exception considered adequate to meet the objectives sought (for example, are there any amendments to the law foreseen)? Please explain:
	None
48.	If the applicable law provides for other criteria to be applied in determining the scope of the exception, please describe those criteria. Please illustrate your answer by citing legal provision(s) and/or decision(s):
	Yes, devices used exclusively for the needs of the vessel, aircraft, or land vehicle. The Consolidate Patents Act (Act no. 91 of 28 January 2009), Section 5(2)
47.	Does the applicable law provide for any restrictions on the use of the patented product on the body of the foreign vessels, aircrafts, land vehicles and spacecraft for the exception to apply (for example, the devices to be used exclusively for the needs of the vessel, aircraft, land vehicle and/or spacecraft)? Please explain your answer by citing legal provision(s) and/or decision(s):
	Yes, "temporarily" or "accidentally"
46.	In determining the scope of the exception, does the applicable law apply such terms as "temporarily" and/or "accidentally" or any other equivalent term in relation to the entry of foreign transportation means into the national territory? Please provide the definitions of those terms by citing legal provision(s) and/or decision(s):
	X AircraftsX Land VehiclesX Spacecraft

X Vessels

52.	If the exception is provided through case law, please cite the relevant decision(s) and provide its(their) brief summary:
	None
53.	(a) What are the public policy objectives for providing the exception? Please explain:
	(b) Where possible, please explain with references to the legislative history, parliamentary debates and judicial decisions:
	Implementation of EU directives 2004/27 and 2004/28
54.	Who is entitled to use the exception? Please explain:
	Anyone
55.	The exception covers the regulatory approval of:
	□ any products X certain products. Please describe which products: Medicinal products
56.	Please indicate which acts are allowed in relation to the patented invention under the exception?
	 Making Using Selling Offering for sale Import
	□ Export X Other. Please specify: Acts necessary to obtain marketing clearance for medicinal product, e.g. studies, tests, examination or related procedures to the patented product
57.	If the applicable law provides for other criteria to be applied in determining the scope of the exception, please describe those criteria. Please illustrate your answer by citing lega provision(s) and/or decision(s):
	None

58.	objectives sought (for example, are there any amendments to the law foreseen)? Please explain:
	Yes
59.	Which challenges, if any, have been encountered in relation to the practical implementation of the exception in your country? Please explain:
	None
Sect	ion VIII: Exhaustion of patent rights
60.	Please indicate what type of exhaustion doctrine is applicable in your country in relation to patents:
	 □ National X Regional □ International □ Uncertain, please explain
	If the exception is contained in statutory law, please provide the relevant provision(s):
	The Consolidate Patents Act (Act no. 91 of 28 January 2009), Section 3(3)(ii)
	If the exception is provided through case law, please cite the relevant decision(s) and provide its(their) brief summary:
	None
61.	(a) What are the public policy objectives for adopting the exhaustion regime specified above? Please explain:
	A regional exhaustion regime has been determined by the EU Court of Justice and ensure the free trade between member states of the European Union
	(b) Where possible, please explain with references to the legislative history, parliamentary debates and judicial decisions:

62.	other distribution of the patented product by means of express notice on the product that can override the exhaustion doctrine adopted in the country?
	YesX No□ Uncertain
	Please explain your answer by citing legal provision(s) and/or decision(s):
63.	Has the applicable exhaustion regime been considered adequate to meet the public policy objectives in your country? Please explain:
64.	Which challenges, if any, have been encountered in relation to the practical implementation of the applicable exhaustion regime in your country? Please explain:
	None
	on IX: Compulsory licenses and/or government use
65.	If the exception is contained in statutory law, please provide the relevant provision(s):
	The Consolidate Patents Act (Act no. 91 of 28 January 2009), Sections 45-50
66.	If the exception is provided through case law, please cite the relevant decision(s) and provide its(their) brief summary:
	None
67.	What grounds for the grant of a compulsory license does the applicable law provide in respect to patents (please indicate the applicable grounds):
	 X Non-working or insufficient working of the patented invention X Refusal to grant licenses on reasonable terms Anti-competitive practices and/or unfair competition X Public health

	 X National security X National emergency and/or extreme urgency X Dependent patents Other, please specify:
68.	(a) What are the public policy objectives for providing compulsory licenses in your country? Please explain:
	(b) Where possible, please explain with references to the legislative history, parliamentary debates and judicial decisions:
69.	If the applicable law provides for the grant of compulsory licenses on the ground of "non-working" or "insufficient working", please provide the definitions of those terms by citing legal provision(s) and/or decision(s):
	The Consolidate Patents Act (Act no. 91 of 28 January 2009), Section 45(1)-(2).
	"45(1) If a patented invention is not worked to a reasonable extent in this country when 3 years have elapsed from the grant of the patent and 4 years have elapsed from the filing of the patent application, any person wishing to work the invention in this country may obtain a compulsory licence to do so, unless there are legitimate reasons for the failure to work the invention. (2) The Minister of Economic and Business Affairs may provide that for the purposes of the provision of subsection 1 working of the invention in another country shall be equivalent to working in this country. Such a provision may be made subject to reciprocity."
70.	Does the importation of a patented product or a product manufactured by a patented process constitute "working" of the patent? Please explain your answer by citing legal provision(s) and/or decision(s):
	See 69
71.	In case of the grant of compulsory licenses on the grounds of non-working or insufficient working, does the applicable law provide for a certain time period to be respected before a compulsory license can be requested?
	X Yes □ No
	If yes, what is the time period? 3 yrs. from grant of patent and 4 yrs. from filing of patent

72.	In case of the grant of compulsory licenses on the grounds of non-working or insufficient working, does the applicable law provide that a compulsory license shall be refused if the patentee justifies his inaction by legitimate reasons?
	□ Yes X No
	If yes, what are "legitimate reasons"?
73.	If the applicable law provides for the grant of compulsory licenses on the ground of refusal by the patentee to grant licenses on "reasonable terms and conditions" and within a "reasonable period of time", please provide the definitions given to those terms by citing legal provision(s) and/or decision(s):
	No
74.	If the applicable law provides for the grant of compulsory licenses on the ground of anti- competitive practices, please indicate which anti-competitive practices relating to patents may lead to the grant of compulsory licenses by citing legal provision(s) and/or decision(s):
	No
75.	If the applicable law provides for the grant of compulsory licenses on the ground of dependent patents, please indicate the conditions that dependent patents must meet for a compulsory license to be granted:
	Condition: The former invention constitutes a significant technical progress of considerable economic importance
76.	Does the applicable law provide a general policy to be followed in relation to the remuneration to be paid by the beneficiary of the compulsory license to the patentee? Please explain:
	"The proprietor of the patent for the invention or of the registered utility model for the exploitation of which a compulsory licence has been granted shall on reasonable terms be able to obtain a compulsory licence for the exploitation of the other invention."
77.	If the applicable law provides for the grant of compulsory licenses on the ground of "national emergency" or "circumstances of extreme urgency", please explain how the applicable law defines those two concepts and their scope of application, and provide examples:

The Consolidate Patents Act (Act no. 91 of 28 January 2009), Section 47 states, "When required by important public interest, any person who wishes to exploit an invention commercially for which another person holds a patent may obtain a compulsory license to do so."

	According to the preparatory remarks of the Act, such important public interests may concern (non-exhaustive list) national security, the population's access to medical products and food, power supply, communication lines etc
78.	Please indicate how many times and in which technological areas compulsory licenses have been issued in your country:
79.	Is the applicable legal framework for the issuance of compulsory licenses considered adequate to meet the objectives sought (for example, are there any amendments to the law foreseen)? Please explain:
	Yes
80.	Which challenges, if any, have been encountered in relation to the use of the compulsory licensing system provisions in your country? Please explain:
	None
Gove	ernment use
81.	If the exception is contained in statutory law, please provide the relevant provision(s):
	No exceptions. Hence, questions nos. 82-88 not answered
82.	If the exception is provided through case law, please cite the relevant decision(s) and provide its(their) brief summary:
83.	What grounds for the grant of government use does the applicable law provide in respect to patents (please indicate the applicable grounds):
	Non-working or insufficient working of the patented invention Refusal to grant licenses on reasonable terms Anti-competitive practices and/or unfair competition Public health National security National emergency and/or extreme urgency Dependent patents
	□ Other, please specify:

l.	(a) What are the public policy objectives for providing government use in your country?
	(b) Where possible, please explain with references to the legislative history, parliamentary debates and judicial decisions:
5.	If the applicable law provides for the grant of government use on the ground of "national emergency" or "circumstances of extreme urgency", please explain how the applicable law defines those two concepts and their scope of application, and provide examples:
	Please indicate how many times and in which technological areas government use has been issued in your country:
	Is the applicable legal framework for the issuance of government use considered adequate to meet the objectives sought (for example, are there any amendments to the law foreseen)? Please explain:
	Which challenges, if any, have been encountered in relation to the use of the government use mechanism in your country? Please explain:
	on X: Exceptions and limitations related to farmers' and/or breeders' use of patented
me	ers' use of patented inventions
	If the exception is contained in statutory law, please provide the relevant provision(s):
	The Consolidate Patents Act (Act no. 91 of 28 January 2009), Section 3b

90.	provide a brief summary of such decision(s):
91.	(a) What are the public policy objectives for providing the exception related to farmers' use of patented inventions? Please explain:
	(b) Where possible, please explain with references to the legislative history, parliamentary debates and judicial decisions:
	Implementation of EU directive 98/44 for the Legal Protection of Biotechnological Inventions.
92.	Please explain the scope of the exception by citing legal provision(s) and/or decision(s) (for example, interpretation(s) of statutory provision(s) on activities allowed by users of the exception, limitations on their use, as well as other criteria, if any, applied in the determination of the scope of the exception): The Consolidate Patents Act (Act no. 91 of 28 January 2009), Section 3b (2):
	"3b-(2) Notwithstanding the provisions of section 3a(1) to (3), the sale or any other form of commercialisation of breeding stock or other animal reproductive material by the proprietor of the patent or with his consent to a farmer shall imply an authorisation for the farmer to use the animal or other animal reproductive material for the purposes of pursuing his own agricultural activity, but not to sell it within the framework or for the purpose of a commercial reproduction activity. The Minister of Economic and Business Affairs shall lay down provisions concerning the extent and the conditions of the farmer's exploitation of such patents for the purposes of pursuing his own agricultural activity."
93.	Is the applicable legal framework of the exception considered adequate to meet the objectives sought (for example, are there any amendments to the law foreseen)? Please explain:
	Yes
94.	Which challenges, if any, have been encountered in relation to the practical implementation of the exception related to farmers' use of patented inventions in your country? Please explain:
	None

Breeders' use of patented inventions 95. If the exception is contained in statutory law, please provide the relevant provision(s): The Consolidate Patents Act (Act no. 91 of 28 January 2009), Section 3b 96. If the exception is provided through case law, please cite the relevant decision(s) and provide a brief summary of such decision(s): 97. (a) What are the public policy objectives for providing the exception related to breeders' use of patented inventions? Please explain: (b) Where possible, please explain with references to the legislative history, parliamentary debates and judicial decisions: Implementation of EU directive 98/44 for the Legal Protection of Biotechnological Inventions. 98. Please explain the scope of the exception by citing legal provision(s) and/or decision(s) (for example, interpretation(s) of statutory provision(s) on activities allowed by users of the exception, limitations on their use, as well as other criteria, if any, applied in the determination of the scope of the exception): The Consolidate Patents Act (Act no. 91 of 28 January 2009), Section 3b (1): "3b.-(1) Notwithstanding the provisions of section 3a(1) to (3), the sale or any other form of commercialisation of plant propagating material by the proprietor of the patent or with his consent to a farmer for agricultural use shall imply an authorisation for the farmer to use the product of his harvest for multiplication or propagation by him on his own farm, the extent and conditions thereof being laid down in Article 14 of Council Regulation (EC) No. 2100/94 on Community plant variety rights." 99. Is the applicable legal framework of the exception considered adequate to meet the

objectives sought (for example, are there any amendments to the law foreseen)? Please

explain:

	Which challenges, if any, have been encountered in relation to the practical implementation of the exception related to breeders' use of patented inventions in your country? Please explain:		
No 	one		
ion X	(I: Other Exceptions and Limitations		
Ple	ease list any other exceptions and limitations that your applicable patent law provide		
No 	one – questions nos. 101-103 not relevant.		
 In	relation to each exception and limitation, please indicate:		
(i)	the source of law (statutory law and/or the case law) by providing the relevant provision(s) and/or a brief summary of the relevant decision(s):		
(ii)	the public policy objectives of each exception and limitation. Where possible, ple explain with references to the legislative history, parliamentary debates and judici decisions:		
(iii) the entitlement and the scope of the exception and limitation by citing legal provision(s) and/or decision(s):		
ln	addition, in relation to each exception and limitation, please explain:		
(i)	whether its applicable legal framework is considered adequate to meet the objecti sought (for example, are there any amendments to the law foreseen?):		
(ii)	if there have been any challenges encountered in the practical implementation of exception in your country:		

103.	If other mechanisms for the limitation of patent rights external to the patent system exist in your country (for example, competition law), please list and explain such mechanisms:
	[End of Questionnaire]