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**International Preliminary**  
**Examining Authorities**  
**US** **US**  
**UNITED STATES PATENT AND**  
**TRADEMARK OFFICE (USPTO)<sup>1</sup>**

Preliminary examination fee (PCT Rule 58): <sup>2,3</sup>		<i>Small entity</i> <sup>4</sup>	<i>Micro entity</i> <sup>5</sup>
	US dollar (USD)	600 (760)	300 (380) 150 (190)
	The amount in parentheses is payable when the international search was not carried out by the USPTO.		
Additional preliminary examination fee (PCT Rule 68.3): <sup>6</sup>		<i>Small entity</i> <sup>4</sup>	<i>Micro entity</i> <sup>5</sup>
	USD	600 (300)	(150)
Handling fee (PCT Rule 57.1): <sup>7</sup>	USD	203	
Fee for copies of documents cited in the international preliminary examination report (PCT Rule 71.2):	None; the applicant receives, together with the international preliminary examination report, a copy of each additional document not cited in the international search report which is not a U.S. patent document or a published international application. <sup>8</sup>		
Fee for copies of documents contained in the file of the international application (PCT Rule 94.2):	USD	3 per copy of US patent document 25 per copy of non-US patent document See 37 CFR 1.19 for other document supply fees	
Late furnishing fee (PCT Rule 13ter.2):		<i>Small entity</i> <sup>4</sup>	<i>Micro entity</i> <sup>5</sup>
	USD	300 (150)	(75)

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<sup>1</sup> The USPTO may act as International Preliminary Examining Authority only if the international search is or has been performed by that Office, except that the USPTO may act as International Preliminary Examining Authority for international applications filed by at least one resident or national of the United States of America with the USPTO or the International Bureau of WIPO as receiving Office where the selected ISA is competent for residents or nationals of the United States of America.

<sup>2</sup> This fee is payable to the International Preliminary Examining Authority.

<sup>3</sup> The amounts of these fees change periodically. The International Preliminary Examining Authority or the current USPTO Fee Schedule at: [www.uspto.gov/learning-and-resources/fees-and-payment/uspto-fee-schedule](http://www.uspto.gov/learning-and-resources/fees-and-payment/uspto-fee-schedule) should be consulted for the applicable amounts.

<sup>4</sup> This amount is applicable in case of filing by a “small entity”. For further details on the entitlement to and the establishment of “small entity” status, see [www.uspto.gov/web/offices/pac/mpep/s509.html#d0e30961](http://www.uspto.gov/web/offices/pac/mpep/s509.html#d0e30961) and 37 CFR 1.27 at: [www.uspto.gov/web/offices/pac/mpep/consolidated\\_rules.pdf](http://www.uspto.gov/web/offices/pac/mpep/consolidated_rules.pdf)

<sup>5</sup> This amount is applicable in case of filing by a “micro entity”. For further details on the entitlement to and the establishment of “small entity” status, see [www.uspto.gov/web/offices/pac/mpep/s509.html#ch500\\_d1ff69\\_210b3\\_1ca](http://www.uspto.gov/web/offices/pac/mpep/s509.html#ch500_d1ff69_210b3_1ca) and 37 CFR 1.29 at: [www.uspto.gov/web/offices/pac/mpep/consolidated\\_rules.pdf](http://www.uspto.gov/web/offices/pac/mpep/consolidated_rules.pdf)

<sup>6</sup> This fee is payable to the International Preliminary Examining Authority and only in particular circumstances.

<sup>7</sup> This fee is payable to the International Preliminary Examining Authority. It is reduced by 90% if certain conditions apply (see Annex C(IB)).

<sup>8</sup> From 3 July 2007, the USPTO no longer mails paper copies of cited U.S. patents and U.S. patent application publications cited in search reports, written opinions or international preliminary examination reports in international applications. From 29 October 2013, the USPTO also no longer mails copies of published international applications cited in international applications. However, electronic copies may be viewed at the USPTO's Internet web site ([www.uspto.gov/patents-application-process/search-patents](http://www.uspto.gov/patents-application-process/search-patents)). The copies can also be printed for free. Copies can also be purchased online ([ebiz1.uspto.gov/oems25p/index.html](http://ebiz1.uspto.gov/oems25p/index.html)) or obtained from the USPTO Office of Public Records ((1-800) 972 63 82 or (571) 272 31 50) at the fee indicated above.

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Conditions for refund and amount of refund of the preliminary examination fee:	<p>Money paid by mistake, without cause, or in excess, will be refunded.</p> <p>In the cases provided for under PCT Rule 58.3 where the demand is considered as if it had not been submitted (PCT Rules 54.4, 58<i>bis</i>.1(b) or 60.1(c)): refund of 100%</p> <p>If the international application or the demand is withdrawn before the start of the international preliminary examination: refund of the amount paid less a processing fee equivalent to the transmittal fee (see Annex C(US))</p>
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Languages accepted for international preliminary examination:	English
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Subject matter that will not be examined:	The subject matter specified in items (i) to (vi) of PCT Rule 67.1 with the exception of any subject matter examined under the patent grant procedure in accordance with the provisions of United States patent law
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Waiver of power of attorney:	
Has the Authority waived the requirement that a separate power of attorney be submitted?	Yes <sup>10</sup>
Particular instances in which a separate power of attorney is required:	Where it is unclear whether a purported agent has the power to act on behalf of the applicant, and for certain changes under PCT Rule 92 <i>bis</i>
Has the Authority waived the requirement that a copy of a general power of attorney be submitted?	Yes <sup>10</sup>
Particular instances in which a copy of a general power of attorney is required:	Where it is unclear whether a purported agent has the power to act on behalf of the applicant, and for certain changes under PCT Rule 92 <i>bis</i>

<sup>9</sup> See footnote 1.

<sup>10</sup> Waivers of powers of attorney do not apply (PCT Rule 90.4(e) and 90.5(d)) where the agent or common representative submits any notice of withdrawal during the international phase (PCT Rule 90*bis*.1 to 90*bis*.4; see also International Phase, paragraph 11.048).