### PCT LEGAL TEXT INDEX

(include references to the Articles, Rules, Administrative Instructions, Forms and various PCT Guidelines)

( applicable to legal texts in force as of April 1, 2006 )

<table>
<thead>
<tr>
<th>Acronym/Term</th>
<th>Meaning</th>
</tr>
</thead>
<tbody>
<tr>
<td>§</td>
<td>Section of the Administrative Instructions under the PCT</td>
</tr>
<tr>
<td>AI Annex</td>
<td>Annex to the Administrative Instructions under the PCT</td>
</tr>
<tr>
<td>AI Part 8</td>
<td>Part 8 of the Administrative Instructions</td>
</tr>
<tr>
<td>AIs</td>
<td>Administrative Instructions under the PCT</td>
</tr>
<tr>
<td>Annex</td>
<td>Annex to Volume I of the PCT Applicant’s Guide</td>
</tr>
<tr>
<td>Art</td>
<td>Article of the Patent Cooperation Treaty</td>
</tr>
<tr>
<td>Chapter</td>
<td>Chapter of the Patent Cooperation Treaty</td>
</tr>
<tr>
<td>CRep</td>
<td>Common representative</td>
</tr>
<tr>
<td>Dem</td>
<td>Demand (Form IPEA/401)</td>
</tr>
<tr>
<td>DG</td>
<td>Director General</td>
</tr>
<tr>
<td>DO</td>
<td>Designated Office</td>
</tr>
<tr>
<td>E-filing</td>
<td>Electronic filing</td>
</tr>
<tr>
<td>EO</td>
<td>Elected Office</td>
</tr>
<tr>
<td>Fees</td>
<td>Schedule of Fees</td>
</tr>
<tr>
<td>IS</td>
<td>International search</td>
</tr>
<tr>
<td>ISA</td>
<td>International Searching Authority</td>
</tr>
<tr>
<td>ISPEG</td>
<td>PCT International Search and Preliminary Examination Guidelines</td>
</tr>
<tr>
<td>ISR</td>
<td>International search report</td>
</tr>
<tr>
<td>NGO</td>
<td>Non-governmental organization</td>
</tr>
<tr>
<td>Paris</td>
<td>Paris Convention for the Protection of Industrial Property</td>
</tr>
<tr>
<td>PCT</td>
<td>Patent Cooperation Treaty</td>
</tr>
<tr>
<td>PCT-SAFE</td>
<td>PCT electronic filing software</td>
</tr>
<tr>
<td>Preamble</td>
<td>Preamble of the PCT</td>
</tr>
<tr>
<td>R</td>
<td>Rule of the Regulations under the PCT</td>
</tr>
<tr>
<td>RC</td>
<td>Record copy</td>
</tr>
<tr>
<td>Reg</td>
<td>Regulations under the PCT</td>
</tr>
<tr>
<td>Req</td>
<td>Request (Form RO/101)</td>
</tr>
<tr>
<td>RO</td>
<td>Receiving Office</td>
</tr>
<tr>
<td>ROG</td>
<td>PCT Receiving Office Guidelines</td>
</tr>
<tr>
<td>SC</td>
<td>Search copy</td>
</tr>
<tr>
<td>SL</td>
<td>Sequence listing</td>
</tr>
<tr>
<td>Stand</td>
<td>WIPO Standard</td>
</tr>
<tr>
<td>Treaty</td>
<td>Patent Cooperation Treaty</td>
</tr>
<tr>
<td>Vol. I</td>
<td>Volume I of the PCT Applicant’s Guide</td>
</tr>
<tr>
<td>WO-ISA</td>
<td>Written Opinion of the ISA</td>
</tr>
<tr>
<td>IPRP (Ch.I)</td>
<td>International Preliminary Report on Patentability prepared by the ISA</td>
</tr>
<tr>
<td>IPRP (Ch.II)</td>
<td>International Preliminary Report on Patentability prepared by the IPEA</td>
</tr>
</tbody>
</table>

---

1
<table>
<thead>
<tr>
<th>Subjects</th>
<th>Subjects</th>
</tr>
</thead>
<tbody>
<tr>
<td>ABBREVIATED EXPRESSIONS</td>
<td>CONTENTS</td>
</tr>
<tr>
<td>ABSENCE</td>
<td>CONTINUATION/CONTINUATION-IN-PART</td>
</tr>
<tr>
<td>ABSTAIN/ABSTENTION</td>
<td>CONTRACTING STATES</td>
</tr>
<tr>
<td>ABSTRACT (PART OF IA)</td>
<td>CONTRIBUTION(S)</td>
</tr>
<tr>
<td>ABSTRACT (PART OF MINIMUM DOCUMENTATION)</td>
<td>COOPERATION</td>
</tr>
<tr>
<td>ACCESS</td>
<td>COORDINATION COMMITTEE</td>
</tr>
<tr>
<td>ACCOUNTS OF UNION</td>
<td>COPY/COPYIES</td>
</tr>
<tr>
<td>ADDITION OF SHEETS TO IA</td>
<td>CORRECTION(S)</td>
</tr>
<tr>
<td>ADDITIONAL FEE</td>
<td>CORRESPONDENCE</td>
</tr>
<tr>
<td>ADDITIONAL MATTER</td>
<td>COST(S)</td>
</tr>
<tr>
<td>ADDRESS(ES)</td>
<td>COUNTRY</td>
</tr>
<tr>
<td>ADMINISTRATIVE INSTRUCTIONS (AIS)</td>
<td>COUNTRY CODE</td>
</tr>
<tr>
<td>ADMINISTRATIVE PROVISIONS OF THE PCT</td>
<td>CRITERIA</td>
</tr>
<tr>
<td>ADVANCE(S)</td>
<td>CURRENCY</td>
</tr>
<tr>
<td>AGENT</td>
<td>DATES(S) (USING GREGORIAN CALENDAR)</td>
</tr>
<tr>
<td>AGREEMENT</td>
<td>DAY</td>
</tr>
<tr>
<td>AIRMAIL</td>
<td>DEADLINES (APPLICABLE TO APPLICANTS)</td>
</tr>
<tr>
<td>AMENDMENT(S) (TO IA)</td>
<td>DECISION</td>
</tr>
<tr>
<td>AMINO ACID SEQUENCE LISTINGS</td>
<td>DECLARATION(S)</td>
</tr>
<tr>
<td>ANIMAL VARIETIES</td>
<td>DEFECTS</td>
</tr>
<tr>
<td>ANNEXES (TO IPRP (Ch.II))</td>
<td>DEFICIT</td>
</tr>
<tr>
<td>ANNOTATIONS</td>
<td>DEFINITION(S)</td>
</tr>
<tr>
<td>APPENDICES</td>
<td>DELAY(S)</td>
</tr>
<tr>
<td>APPLICANT(S)</td>
<td>DELEGATE</td>
</tr>
<tr>
<td>APPLICATION (FOR INVENTION)</td>
<td>DELEGATION(S)</td>
</tr>
<tr>
<td>APPLICATION OF TREATY AND REGULATIONS</td>
<td>DELETION</td>
</tr>
<tr>
<td>APPOINTMENT</td>
<td>DEMAND (FOR INTERNATIONAL PRELIMINARY EXAMINATION (IPE))</td>
</tr>
<tr>
<td>ARTICLE</td>
<td>DENOUNCE/DENUNCIATION</td>
</tr>
<tr>
<td>ASSEMBLY (OF UNION/PCT CONTRACTING STATES)</td>
<td>DEPENDENT CLAIMS</td>
</tr>
<tr>
<td>ASSIGNMENT</td>
<td>DEPOSIT</td>
</tr>
<tr>
<td>ATTORNEY</td>
<td>DESCRIPTION</td>
</tr>
<tr>
<td>AUDITING</td>
<td>DESIGN</td>
</tr>
<tr>
<td>AUTHENTIC TEXT</td>
<td>DESIGNATED OFFICE(S)</td>
</tr>
<tr>
<td>AUTHORITY, INTERNATIONAL</td>
<td>DESIGNATED STATE(S)</td>
</tr>
<tr>
<td>AUTHORIZED OFFICER</td>
<td>DESIGNATION(S) (OF STATES)</td>
</tr>
<tr>
<td>AVAILABILITY</td>
<td>DEVELOPING COUNTRIES</td>
</tr>
<tr>
<td>B-</td>
<td>DIAGNOSTIC METHODS</td>
</tr>
<tr>
<td>BECOMING BOUND BY TREATY</td>
<td>DIAGRAMS</td>
</tr>
<tr>
<td>BECOMING PARTY TO THE TREATY</td>
<td>DIGITAL LIBRARY</td>
</tr>
<tr>
<td>BEST MODE</td>
<td>DIRECTOR GENERAL (OF WIPO)</td>
</tr>
<tr>
<td>BIOLOGICAL</td>
<td>DISCLOSURES</td>
</tr>
<tr>
<td>BUDGET OF UNION</td>
<td>DISPARAGING COMMENTS/STATEMENTS</td>
</tr>
<tr>
<td>BUSINESS</td>
<td>DISPUTE(S)</td>
</tr>
<tr>
<td>-C-</td>
<td>DOCKET REFERENCE</td>
</tr>
<tr>
<td>CALENDAR</td>
<td>DOCUMENTATION</td>
</tr>
<tr>
<td>CALCULATION</td>
<td>DOCUMENTS</td>
</tr>
<tr>
<td>CANCELLATION</td>
<td>DRAWING(S)</td>
</tr>
<tr>
<td>CEASING OF EFFECT</td>
<td>E-</td>
</tr>
<tr>
<td>CERTIFICATE OF ADDITION</td>
<td>EARLIER APPLICATION</td>
</tr>
<tr>
<td>CERTIFIED COPIES</td>
<td>EARLIER SEARCH</td>
</tr>
<tr>
<td>CHANGES</td>
<td>EARLY NATIONAL PROCESSING</td>
</tr>
<tr>
<td>CHAPTER</td>
<td>EARLY PUBLICATION</td>
</tr>
<tr>
<td>CHARACTERS</td>
<td>EFFECT(S)</td>
</tr>
<tr>
<td>CHECKING</td>
<td>EFFECTIVE DATE</td>
</tr>
<tr>
<td>CHECK LIST</td>
<td>ELECTED OFFICE(S)</td>
</tr>
<tr>
<td>CHEMICAL</td>
<td>ELECTION(S)</td>
</tr>
<tr>
<td>CHOICE</td>
<td>ELECTRONIC</td>
</tr>
<tr>
<td>CITATIONS</td>
<td>ELEMENTS</td>
</tr>
<tr>
<td>CLAIMING PRIORITY</td>
<td>ENTRY INTO FORCE</td>
</tr>
<tr>
<td>CLAIMS</td>
<td>ERRORS</td>
</tr>
<tr>
<td>CLASSIFICATION</td>
<td>EXAMINATION</td>
</tr>
<tr>
<td>COMBINATION</td>
<td>EXAMINING AUTHORITY</td>
</tr>
<tr>
<td>COMMENTS</td>
<td>EXCUSE</td>
</tr>
<tr>
<td>COMMERCIAL ESTABLISHMENT</td>
<td>EXECUTIVE COMMITTEE</td>
</tr>
<tr>
<td>COMMITTEE(S)</td>
<td>EXHIBITION(S)</td>
</tr>
<tr>
<td>COMMON AGENT</td>
<td>EXPENSE(S)</td>
</tr>
<tr>
<td>COMMON REPRESENTATIVE</td>
<td>EXPIRATION</td>
</tr>
<tr>
<td>COMMUNICATION</td>
<td>EXPLANATIONS</td>
</tr>
<tr>
<td>COMPETENT/COMPETENCY OF—</td>
<td>EXPRESSIONS</td>
</tr>
<tr>
<td>COMPUTATION</td>
<td>EXTENSION</td>
</tr>
<tr>
<td>CONFIDENTIAL NATURE</td>
<td>EX OFFICIO</td>
</tr>
<tr>
<td>CONFIRMATION</td>
<td></td>
</tr>
</tbody>
</table>
ABBREVIATED EXPRESSIONS

meaning of certain — .....................................................Art. 2, R. 1.1, § 101, 701, ISPEG 1.06-1.08, ROG 4-9
See TWO-LETTER CODES

ABSENCE

— of abstract
before
RO .................................................................Art. 3(2), 14(1)(a)(iv), (1)(b), R. 20.2(a)(iv), 26.1(b), 38.1, Form RO/106, ISPEG 16.31, ROG 147
ISA .................................................................Art.3(2), 3(3), R. 38.2(a), Form ISA/210, ISPEG 16.34
— of claims ..........................................................Art. 11(1)(iii)(c), (2)(a), R. 20.6, ROG 42, 45-54
— of payment of fees ..............................................Art. 3(4)(iv), 4(2), 14(3)
— of specification of allocation of fees by applicant ...Art. 3(4)(iv), 4(2), § 321
search fee ..........................................................Art. 3(4)(iv), R. 16bis.1(c)(i), § 321 (b), (d)
— of quorum in Assembly (voting) .............................Art. 53(5)(b), R. 85
meetings of PCT Assembly in — of exceptional circumstances ..................................................Art. 53(11)(a)
requirement of — of opposition by certain states to amendment of certain Reg. ........................................Art. R. 88.3

ABSTAIN/ABSTENTION

— in Assembly not considered votes .........................Art. 53(6)(b)
— in Executive Committee not considered votes ..........Art. 54(8)(d)
— may be expressed in voting by correspondence ..................................................R. 81.3(d), 85

ABSTRACT (PART OF IA)

— as element of IA ..................................................Art. 3(2) R. 8
commencing on separate sheet ................................R. 11.4, § 207
before RO .............................................................Art. 3(2), 14(1)(a)(iv), (1)(b), R. 11, 26.1(a)(iv), 26.4, 26.5, § 207(a), Form RO/106, ROG 147, 153
before ISA and IPEA ..............................................Art. 3(2), (3), R. 8.3, 44.2, ISPEG 15.34, 16.30-16.39
change in — as previously established by ISA
(based on applicant’s comments) ............................R. 38.2(b), § 515, Form ISA/205, ISPEG 16.39
failure by applicant to suggest a figure to be published with — ..................................................R. 8.2
indications in ISR concerning — .............................R. 44.2(a)
electronic copy of — (PCT-SAFE) ............................R. 89ter, § 102bis, ROG 165A(ii)-165M, 246A
language/translation of— ......................................R. 38.2(a), 48.3(c)
later receipt of — ...................................................ROG 207
missing or defective — before
RO .................................................................Art. 3(2), 14(1)(a)(iv), (1)(b), R. 20.2(a)(iv), 26.1(b), 38, Form RO/106, ISPEG 16.32, ROG 147
ISA .................................................................Art.3(2), 3(3), R. 38.2(a), Form ISA/210, ISPEG 16.34
publication of — ..................................................R. 8.2, 48.2(b)(iii), 86.1(i)
figure of drawings to be published with — ..................R. 3.3(a)(iii), 8.2, ISPEG 16.33(c)

ABSTRACT (PART OF MINIMUM DOCUMENTATION)

generally ..............................................................Art. 15(4), R. 34.1(e)

ACCESS

generally ..............................................................Art. 30, 38
definition ............................................................Art. 30(4)
— to electronic records ........................................... § 710(a)(vii), 711(b), 712
— to file held by DO .............................................Art. 30(2)
for purposes of judicial Authorities ......................Art. 30(2)(c)
EO .................................................................Art. 30(2)(a), R. 94.3 (as in force for IAs filed on or after 1 July 1998), ISPEG 2.16
IB
by applicant ......................................................Art. 30(1)(a), R. 94.1(a)(as in force for IAs filed on or after 1 July 1998)
notification concerning copies of documents in the file for IAs filed before 1 July 1998 ..............................R. 94.1 (as in force for IAs filed before 1 July 1998), Form IB/560
after 1 July 1998 ..................................................R. 94.1 (as in force for IAs filed on or after 1 July 1998), Form IB/366
by third party ........................................ Art. 30(1)(b), R. 61.4, 94.1(a)(as in force for IAs filed on or after 1 July 1998), ISPEG 2.16

to SL (AI Part 8).............................................. § 805(b)(ii)

notification concerning copies of documents in the file ........................................ R. 94.1 (as in force for IAs filed on or after 1 July 1998), Form IB/366

IPEA ................................................................ Art. 38(1), R. 94.2(as in force for IAs filed on or after 1 July 1998), ISPEG 3.25

invitation to pay for requested copies of documents in the file ........................................ R. 94.1, Form IPEA/422

— to WO-ISA, translation and observations .......... R. 44ter

ACCESSION
— to PCT .......................................................... Art. 62(1)(ii)

instrument of — deposited with DG ................. Art. 62(2)

required number for entry into force of PCT ......... Art. 63(1)(a)

Contracting States bound three months after deposit of instrument of — ................................ Art. 63(1)(a), (2)

notifications by DG of deposits of instruments of — ..... Art. 62, 69(ii)

reservations may be made at time of — ................. Art. 64(b)

ACCOUNTS OF UNION
— approved by Assembly .................................... Art. 53(2)(a)(vi)

audit reports on — submitted to Assembly .............. Art. 54(6)(a)(iv)

auditing of — ..................................................... Art. 57(9)

See FINANCES (OF UNION OF PCT STATES)

ADDITION OF SHEETS TO IA
before IB .................................................................. R. 26.4, § 413

amendments of claims under Art. 19 — .......... Art. 19, R. 46.5, § 205

notice of correction or addition of declaration referred to in R. 26ter.1 as replacement or — Art. 27, R. 4.17, 26ter.1, § 216

(re)numbering of sheets for international publication due to ........................................ Art. 3(4)(ii), 14(1)(a)(v), R. 11.7, § 207, 410

before IPEA

amendments in form of — ........................................ R. 66.8

amendment of claims under Art. 34(2)(b) ............. § 205

before RO

later filed sheets .................................................. Art. 11(2), R. 20.2-20.7, § 309, Form RO/126, ROG 200-206

later receipt of abstract .......................................... ROG 207

later filed drawings .............................................. Art. 14(2), R. 20.2(a)(iii), 26.6, § 310, ROG 193-199

notice of correction or addition of declaration referred to in R. 26ter.1 as replacement or — Art. 27, R. 4.17, 26ter.1, § 216

replacement sheets .............................................. R. 26.4, § 325, ROG 208-221

(re)numbering of sheets of IA or translation........ Art. 3(4)(ii), 14(1)(a)(v), R. 11.7, § 207, 311

See CORRECTION(S)

DRAWING(S)

REPLACEMENT SHEETS

SHEETS

ADDITIONAL FEE
ISA may invite applicant to pay — if it considers that unity of invention is lacking ....................... Art. 17(3)(a), R. 40.1

— (s) payable to ISA ............................................... R. 40.2 (a), (b) (c), (e)

notification regarding review of justification for invitation to pay — ...................................... R. 40.2(e), Form ISA/228

time limit to pay — to ISA ................................. Art. 17(3)(a), R. 40.1, Form ISA/206

IPEA may invite applicant to restrict claims or pay — if it considers that unity of invention is lacking .. Art. 34(3)(a), R. 68.2

— (s) payable to IPEA ............................................... R. 68.3 (a), (b), (c), (e)

invitation to restrict or pay — ............................... Art. 34(3)(a), R. 68.2, Form IPEA/405

See PROTEST

SPECIAL

ADDITIONAL MATTER
IAs may permit inclusion of — in

ISR ................................................................. R. 43.9

Req. ..................................................................... R. 4.18
ADDRESS(ES)
— in designated State for purpose of receiving notifications.................................................................Art. 27(7)
indication of — in
Dem. ............................................................................Art. 31(3), R. 4.4, 4.5, 4.7, 4.16, 53.4, 53.5
IA.................................................................................Art. 4(1)(iii), (v), R. 4(1)(iii), 4.4, 4.5, 4.7, 4.16, ROG 79-81, 85, 118
of applicants, inventors and agents........................................R. 4.4, 4.5, 4.6, 51bis.1(vii), ROG 72-74
special — for correspondence........................................R. 4.4(d), ROG 118
separate power of attorney ...........................................R. 90.4(c), ROG 119, ISPEG 22.22, 22.29-22.31
recording of changes in — ............................................R. 92bis.1(a), § 422bis

See CORRESPONDENCE

ADMINISTRATIVE INSTRUCTIONS (AIs)
— contain guidelines for explanations contained in IPRP (Ch.I, Ch.II) ....................................................R. 43bis.1(b), R. 70.8, § 604
— fix
amount of special fee(s) payable to IB for publication of priority claim considered not to have been made R. 26bis.2(c), § 113(c)
of refused request for rectification of obvious error R. 91.1(f), § 113(b)
under Art. 21(2)(b) and 64(3)(c)(i)........................................R. 48.4(a), § 113(a)
maximum number of characters in applicant’s file reference .................................................................R. 11.6(f), § 109(a)
— may permit
inclusion of additional matter in Req. ........................................R. 4.17(a)
ISR...........................................................................R. 43.9
— may provide
details concerning Gaz. other than in R. 86.1 to 86.5.........................................................R. 86.6
for sign for Art. 35(2) statement in IPRP (Ch.I, Ch.II) ..............................................................R. 43bis.1(b), R. 70.6(a)
— (may) specify
circumstances in which question of residence or nationality is to be referred by IB as RO to national Office concerned R. 18.1(c)
IPEA to RO (or national Office where IA is filed with IB as RO)...............................................................R. 54.1(b)
data from front page of international publication to be included in Gaz. ..............................................R. 86.1(i), AI Annex D
place on each sheet of IA for marking date or number and other details ............................................R. 20.1(b), § 308, 313, 314, 318, 325, 401, 402
— prescribe/govern/regulate
allocation of moneys received by RO in certain cases § 321
data for inclusion on front page of international publication..................................................R. 48.2(b)(i), § 406(c)
information to be published in Gaz. under R. 86.1(v) ...............................................................R. 86.1(v), AI Annex E
method of numbering in case of amendment of claims R. 6.1(c), § 205
identifying any cited document in ISR R. 43.5(b), § 503, Stand. ST.14
particulars of form of international publication and method of reproduction...........................................R. 48.1, § 406
printed Req. form and of Req. presented as computer print-out ........................................R. 3.4, § 102(a)(i)-c), (h), AI Annex A (Part I), Form RO/101
printed Dem. form and of Dem. presented as computer print-out ........................................R. 53.1(a), § 102(a)(i)-c), (h), AI Annex A (Part IV), Form IPEA/401
physical requirements as to form of ISR..................................................R. 43.10, § 102(a)(iii), AI Annex A (Part II), Form ISA/210
IPRP (Ch.I).......................................................................R. 44bis.1
IPRP (Ch.II)..................................................................R. 70.15, § 102(a)(v), AI Annex A (Part IV), Form IPEA/409
signature concerning declaration of inventorship R. 4.17(iv), § 214(c)
standard for presentation of SLs in IAs. R. 5.2, 13ter, AI Annex C
— suggest appropriate heading for each part
of description...............................................................R. 5.1(c), § 204
establishment of —
    by DG on basis of Reg..........................Art. 58(4)
    after consultation with ROs, ISAs and IPEAs........R. 89.2(a)
modification of —............................................................R. 89.2(b), (c), 89.3
    after consultation with interested Offices
    or Authorities...........................................................R. 89.2(b)
publication and entry into force .......................................R. 89.3
scope and contents of —..................................................R. 89.1

ADMINISTRATIVE PROVISIONS OF THE PCT
Assembly .................................................................Art. 53
Committee for Technical Cooperation.............................Art. 56
Executive Committee.......................................................Art. 54
Finances.................................................................Art. 57
International Bureau ........................................................Art. 55
Reg.................................................................Art. 58

ADVANCE(S)
financial — to organization .............................................Art. 57(8) (a), (b)
See FINANCES (OF UNION OF PCT STATES)

AGENT
generally.................................................................R. 2.1, 2.2, 90.1(a), ROG 117 to 121
    — included in term applicant ................................R. 2.1
appointment
    effect of revoking any earlier .....................................R. 96.6 (a), (b)
    general power appointing — ....................................R. 90.5
    manner of .............................................................R. 90.4(a)
change of —, recording by IB..........................................R. 92bis.1(a)(ii), § 328, 425, 512, 608, Form IB/306
correspondence for applicant addressed to —..............§ 108(c)(i)
effects of acts by or in relation to —.............................R. 90.3(a), (b)
indications required in
    Dem.............................................................R. 53.2(a)(ii), 53.5
    Req.....................................................................R. 4.4, 4.8
may indicate address for correspondence...................R. 4.4(d)
national law requirements ............................................Art. 27(2)(ii), 27(7), R. 51bis.1(b)
power appointing — in check list ..................................R. 3.3(a)(ii)
See RENUNCIATION (OF APPOINTMENT)
See REVOCATION (OF APPOINTMENT)

AGREEMENT
— between IPEA and IB..................................................Art. 32 (2), (3), 34(1), R. 59.1(a)
— between ISA and IB ....................................................Art. 16(3)(b), 17(1), R. 55.2(a)

AMENDMENT(S) (TO IA)
— before
    DOs (description, claims, drawings) ......................Art. 28, R. 52
    EO s (description, claims, drawings) .....................Art. 41, R. 78
    IB (claims) .................................................................Art. 19, R. 46
communication of — under Art 19 to DOs .............Art. 20(2), R. 47.1(b), 47.2
form of — under Art. 19..................................................Art. 19, R. 46.5
notification concerning filing of — to
    applicant..........................................................§ 417, Form IB/346
    IPEA........................................................................R. 62, § 417, Form IB/337
processing of Art. 19 — by IB..........................................§ 417
publication of Art. 19 —...............................................R. 48.2(f)
IPEA (description, claims, drawings) ..................Art. 34, R. 66.1(b), 66.4, 66.5, § 802(b), ISPEG 20.02-20.08
    — in response to written opinion..............Art. 34(2)(b), R. 66.4-66.5, ISPEG 17.06
— not taken into account ........................................R. 66.4bis, Form IPEA/432, ISPEG 20.05
appraisal of — by IPEA .........................................ISPEG 20.09
communication regarding — not taken into account ........................................R. 66.4bis, Form IPEA/432, ISPEG 20.05
form of — ..............................................................R. 66.8, § 602
general considerations for IPEA ........................................R. 11.12, 11.14, 66.4bis, 66.5, 66.8, 66.9, 92.1(a), 92.2(a), (b), ISPEG 20.04-20.08
invitation to submit — ..........................................R. 60.1(g), Form IPEA/431
language of — ......................................................R. 12.2, 66.9
letter accompanying —, contents .......................................R. 66.5
— going beyond disclosure as filed ..................Art. 19(3), 28(2), 41(2), R. 66.2(a)(iv), 70.2(c)
purported — under Art. 19 received by IPEA after time limit (treated as amendment under Art. 34) ....... § 602(c)
transmittal of — under Art. 19 if erroneously filed at RO ..................................................ROG 325(iv)
See TIME LIMIT(S)

AMINO ACID SEQUENCE LISTINGS
See SEQUENCE LISTINGS

ANIMAL VARIETIES
— as subject matter not required to be examined ........................................Art. 34(4)(a)(i), R. 67.1(ii), (iv)
searched .............................................................Art. 17(2)(a)(i), R. 39.1(ii), (iv)

ANNEXES TO IPRP (Ch.II)
generally ..............................................................Art. 36, R. 70, 71, 74
amendments as — ..................................................R. 70.16
corrections of other parts of IA as — ..........................R. 70.16
language of — ..........................................................R. 70.17, ISPEG 17.50
protest and decision thereon as — ...............................R. 68.3(c)
translation of — ........................................................Art. 36(2), R. 74
See AMENDMENT(S)

ANNOTATIONS
manner of marking necessary — in check list .................R. 3.3(b), § 313, ROG 150-152

APPENDICES
no provision for — to IAs in PCT...............................ROG 148

APPLICANT(S)
— not qualified because not inventor ..................Art. 27(3)
declaration(s) in Req. as to —’s entitlement, as at IFD, to apply for and be granted a patent ..................Art. 27, R. 4.1(c)(iii), 4.17(ii), 51bis.1(a)(ii), § 213
as to —’s entitlement, as at IFD, to claim priority of earlier application ..................Art. 27, R. 4.1(c)(iii), 4.17(iii), 51bis.1(a)(iii), § 214
correction or addition of — .................................Art. 27, R. 4.17, 26ter.1
as replacement or additional sheet .....................§ 216
evidence may be required in national phase ............Art. 27(6), R. 51bis.1(a)(v)
different — s for different designated/elected states ....Art. 9(3)
identification of IA with 2 or more — .....................§ 105
relationship of inventor to — .................................R. 4.6(b)
right of — to transmit copy of IA to DO ...............Art. 13(2), R. 31
where — is a legal entity .................................Art. 27(2)(i)
See ADDRESS(ES)
DEVELOPMENT
NAME
NATIONALITY
RECORDING OF CHANGES
RESIDENCE
RIGHT TO FILE
SEVERAL
SIGNATURE(S)
APPLICATION (FOR INVENTION)
definition .................................................................Art. 2(i), (viii)
— for protection of invention as subject of Treaty .....Art. 1(1)
— may be filed as IA ..............................................Art. 3(1)
international — .....................................................Art. 2(vii)
national — .............................................................Art. 2(vi)
regional — .............................................................Art. 2(v)
See INTERNATIONAL APPLICATION
NATIONAL APPLICATION
REGIONAL

APPLICATION OF TREATY AND REGULATIONS
disputes between Contracting States concerning — ....Art. 59
gradual — ............................................................Art. 65

APPOINTMENT
— of agent ............................................................R. 90.1, 90.4, ROG 117, 119
— of common representative .................................R. 90.2, 90.4, ROG 117, 119
— of IPEA (by Assembly) ........................................Art. 16(3), 32(2), R. 59
— of ISA (by Assembly) ..........................................Art. 16(3), R. 35
renunciation of — ..................................................R. 90.6, ROG 119-120
revocation of — ....................................................R. 90.6(d), (e), ROG 119, 120
See AGENT
ATTORNEY
POWER OF ATTORNEY
RENUNCIATION (OF APPOINTMENT)
REVOCATION (OF APPOINTMENT)

ARTICLE
meaning of — in Reg. .............................................R. 1.1(b)

ASSEMBLY (OF UNION/PCT CONTRACTING STATES)
generally ...............................................................Art. 53
definition of — .....................................................Art. 2(xvii)
advice and recommendations of Committee for Technical Cooperation may be addressed to DG or through him to other organs, ROs, ISAs or IPEAs....Art. 56(5)
AIs established by DG under control of — ...............Art. 58(4), R. 89.2(c)
— adopts
budget of Union ...................................................Art. 53(2)(a)(vi)
financial regulations of Union ..............................Art. 53(2)(a)(vii)
measures for gradual application of Treaty and Reg. ...................................................Art. 65(2)
— amends
certain provisions of Treaty ................................Art. 61(2)(a)
Reg. ....................................................................Art. 58(2)(a), R. 88
— appoints
ISAs .................................................................Art. 16(3)(a), (e)
IPEAs ..............................................................Art. 32(3), 16(3)
— approves
final accounts of Union .......................................Art. 53(2)(a)(vi)
reports and activities of DG .................................Art. 53(2)(a)(iv)
reports and activities of Executive Committee .......Art. 53(2)(a)(v)
— arranges to increase working capital fund or reimburse part of it in certain circumstances ....Art. 57(7)(a)
— designates Contracting States or external auditors to audit accounts of Union ....................Art. 57(9)
— determines/decides on
availability and implementation of patent information services ....................................................Art. 50(4), (6)
composition of Committee for Technical Cooperation and appoints its members ..........Art. 56(2)(b)
admission as observers in — .................................Art. 53(2)(a)(ix), 53(8)
amount of contribution of each Contracting State to cover deficit ...........................................Art. 57(5)(b)
modification of time limits fixed in Treaty ..........Art. 47(2), R. 81.2
program of Union ........................................................Art. 53(2)(a)(vi)
whether to carry forward deficit ................................Art. 57(5)(c)
on reimbursement of contributions .........................Art. 57(5)(d)
— establishes
committees and working groups ....................Art. 53(2)(a)(viii)
Committee for Technical Assistance ..................Art. 51(1)
Committee for Technical Cooperation ...............Art. 56(1)
details concerning implementation of provisions
relating to technical assistance ........................Art. 51(5)
details of R. governing (re)election of members
of Executive Committee .................................Art. 54(5)(c)
Executive Committee ........................................Art. 53(9), 54(1), (4), (5)(c)
— gives instructions to
DG .......................................................................Art. 53(2)(a)(iv)
Executive Committee ........................................Art. 53(2)(a)(v)
— languages for official texts of Treaty other than
languages referred to in Art. 67(1)(b) ..................Art. 67(1)(b)
— may
decide to allow applicants from non-
Contracting States party to Paris to file IA ..........Art. 9(2)
decide to allow persons entitled to file IAs to
file Dem. even if they are residents or nationals of
non-Contracting States or not bound by Chapter II Art. 31(2)(b), R. 59.2
invite DG to modify AIs ....................................R. 89.2(c)
order publication of Gaz. in languages
other than English or French ............................R. 86.2(a), (b)
require publications other than Gaz. to
be published by IB ............................................Art. 55(4)
— recommends
methods of providing financing of patent
information services .........................................Art. 50(7)
— specifies
IPEAs or Authorities competent for IPE where it
decided to allow persons referred to in Art. 31(2)(b)
to file Dem. ....................................................Art. 32(2), R. 59.2
composition of — ...........................................Art. 53(1), 57(8)(a)
decisions of — (procedure)
abstentions .....................................................Art. 53(6)(b)
after having heard advice of Coordination
Committee in respect of matters of interest also to
other Unions ..................................................Art. 53(2)(b)
quorum .........................................................Art. 53(5), R. 85
voting .........................................................Art. 53(3), (4), (6)(a), 61(2)(b), R. 85
decisions of — govern
details of procedure of Committee for Technical
Cooperation ..................................................Art. 56(8)
draft agenda of — prepared by Executive Committee ....Art. 54(6)(a)(i)
mandate of — ..............................................Art. 53(2)(a)
observers in — ............................................Art. 53(2)(a)(ix), (8)
representation of Contracting States in — ..........Art. 53(1)(b), (3)
rules of procedure of — ..................................Art. 53(12)
sessions of — .............................................Art. 53(11)
submission to — by Executive Committee of
proposals in respect of draft program and biennial
budget of Union prepared by DG ....................Art. 54(6)(a)(ii)
periodical reports of DG and yearly audit reports
on accounts .................................................Art. 54(6)(a)(iv)
See REVISION

ASSIGNMENT
— of IA, possible requirement to furnish to a national
Office .........................................................R. 51bis.1(a)(ii)

ATTORNEY
right of — to practice .......................................Art. 49, R. 83
See AGENT
AUDITING
— of accounts of Union...................................................Art. 57(9)
Executive Committee submits to Assembly yearly
audits report on accounts .............................................Art. 54(6)(a)(iv)

AUTHENTIC TEXT
— of Treaty.................................................................Art. 67(1)(a)

AUTHORITY, INTERNATIONAL
right to practice before — .............................................Art. 49, R. 2.2, 83
See INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY
INTERNATIONAL SEARCHING AUTHORITY

AUTHORIZED OFFICER
definition of — for purposes of
IS .................................................................R. 43.8, § 514, ISPEG 16.77
IPE ...............................................................R. 70.14, § 612, ISPEG 17.49

AVAILABILITY
— of copies
of any document on request of applicant .............R. 94.1
of IA to DOs .........................................................Art. 13, R. 31
of priority document to DOs ................................R. 17.2
of translations of IA ..............................................R. 95
— of publications free of charge.......................R. 87, § 435
See ACCESS
PRIORITY DOCUMENT
PUBLICATION
TRANSLATION
BECOMING BOUND BY TREATY
— for States not party to Treaty upon entry into force........Art. 63(2)
See ENTRY INTO FORCE

BECOMING PARTY TO THE TREATY
generally.................................................................Art. 62

BEST MODE
— for carrying out invention (in description)..............R. 5.1(a)(v), ISPEG 4.10

BIOLOGICAL
— processes as subject matter not required to be
examined ............................................................Art. 34(4)(a)(i), R. 67.1(ii), ISPEG 9.06
searched .............................................................Art. 17(2)(a)(ii), R. 39.1(ii), ISPEG 9.06
national requirements: notification and publication......R. 13bis.7
references to deposited microorganisms or other —
material .............................................................R. 13bis
additional matter indicated in references to — ..........R. 13bis.3(a)(iv)
before RO
generally ............................................................R. 13bis.2, ROG 228-234
as part of description ............................................§ 207, ROG 229-231
indications by applicant relating to deposited
microorganism or other — ..............................R. 13bis, Form RO/134
language of indications relating to — ......................Art. 11(1)(ii), Form RO/103
on separate sheet ..............................................§ 209, ROG 231, 233
contents ................................................................R. 13bis.3(a)
deposits with other than those notified ......................R. 13bis.5(c)
failure to include ................................................R. 13bis.3(b)
furnishing samples of ...........................................R. 13bis.6
time limit for furnishing indications .......................R. 13bis.4
See SEQUENCE LISTINGS

BUDGET OF UNION
See FINANCES (OF UNION OF PCT STATES)

BUSINESS
schemes, rules or methods of doing — as subject matter
not required to be
examined ............................................................Art. 34(4)(a)(i), R. 67.1(iii), ISPEG 9.07
searched ............................................................Art. 17(2)(a)(i), R. 39.1(iii), ISPEG 9.07
Subject | Paragraph
--- | ---
**CALENDAR** | — for expressing dates (based on Christian era/Gregorian calendar) ... R. 79
notification of IB by DO/EO of number of IAs in respect of which during preceding year national phase has not been entered § 112 (a), (b) See DATE(S)

**CALCULATION** | — of international filing fee R. 15.1, 15.2, Fees
— of late payment fee R. 16bis.2
See TIME LIMIT(S)

**CANCELLATION** | — by IB of designations or elections § 423, Form IB/335
— by IPEA of certain elections § 606, Form IPEA/425
— by RO of designations of non-contracting States § 318, ROG 102
See CORRECTION(S)

**CEASING OF EFFECT** | See EFFECT(S)

**CERTIFICATE OF ADDITION** | application for — Art. 2(i)
application for — shall identify parent application or parent grant R. 4.1(b)(v), 4.11(a)(iii)
as alternative to patent Art. 43, R. 4.12
international search extended to — R. 43.6
references to application construed as references to references to patent construed as references to — Art. 2(ii)

**CERTIFIED COPIES** | — of earlier application(s) the priority of which is claimed to be submitted by applicant to IB R. 17.1(a)
to be prepared by RO and transmitted to IB R. 17.1(b), 17.1(b-bis)
— of IA prepared by RO, generally R. 17.1(b), 17.1(b-bis), 20.9, 22.1(d), ROG 334
notification relating to requested documents R. 17.1(b), 17.1(b-bis), 20.9, § 323(b), (e), Form RO/128
notification of transmittal of requested documents R. 20.9, 22.1(d), Form RO/122
— of Treaty and Reg. Art. 68(2)
— of any amendment to Treaty and Reg. Art. 68(2), (4)
See PRIORITY DOCUMENT

**CHANGES** | See RECORDING OF CHANGES

**CHAPTER** | meaning of “— ” in Reg. R. 1.1(b)

**CHARACTERS** | certain Chinese/Japanese — written by hand or drawn R. 11.9(b), (d)
name, address or country written in — other than those of Latin alphabet R. 4.16(a), (b)
number of — allowed in applicant's file reference R. 11.6(f), § 109(a), § 327(c)
texts in Chinese/Japanese exempt from type spacing and — size rules R. 11.9(e)
See TRANSLITERATION
CHECKING
— of Dem. by IB R. 60.1(e)
IPEA R. 53, 54, 60, ISPEG 22.24
— of IA by IB
  calling certain facts to attention of RO Art. 14(4), R. 29.3
  note on certain defects Art. 14(1)(a)(i), (ii), (v), R. 28.1(a)
RO Art. 10
  Art. 11(1) check; requirements for IFD Art. 11(1), ROG 35-44
  Art. 14 check and other formal requirements Art. 14, ROG 72-165
  as to national security matters Art. 27(8), R. 22.1(a), Form RO/147, ROG 32-34, 286, 287
  for compliance with prescribed language
  (elements other than description and claims) Art. 3(4)(ii), R. 12.1, 12.3, 26.3ter, ROG 55-71

See BIOLOGICAL DISPARAGING COMMENTS/STATEMENTS
DRAWING(S)
EXPRESSIONS NOT TO BE USED
FEE(S)
NATIONAL SECURITY
PRIORITY CLAIM
PRIORITY DOCUMENT
SEQUENCE LISTINGS

CHECK LIST
— in the Req. R. 3.3
indications as to deposited biological material referred
  to in — § 209(a)
marking of necessary annotations in — by RO § 313(a), (b), ROG 149
number of copies of documents referred to in — R. 11.1(a), (b)

CHEMICAL
— formulae
  manner of indicating Art. 14(1)(a)(v), R. 10.1(d)
    may be
      contained in text matter R. 11.10(b)
      presented sideways on sheets R. 11.10(d)
      written by hand or drawn R. 11.9(b)
most characteristic — formulae to appear in abstract Art. 3(3), R. 8(a)(ii)
See CLAIMS
UNITY OF INVENTION

CHOICE
appointment of agent or common representative by each
  applicant signing at his —, Req., Dem. or separate power of attorney R. 90.4(a)
  of ISA where several ISAs are competent R. 35.3(b)
  indication of — of ISA in Req. R. 4.1(b)(iv), 4.14bis
  of certain kinds of protection Art. 43, R. 4.9(a)(ii), 49bis.1
  of manner of appointing agent or Crep R. 90.4(a)
See KIND(S) OF PROTECTION

CITATIONS
— in IPRP (Ch.I)
  IPEA procedure applies to ISA R. 43bis.1(b)
— in IPRP (Ch.II) Art. 35(2), R. 70.6-70.8, ISPEG 17.40-17.46
  to accompany statement under Art. 35(2) Art. 35(2), R. 70.6, 70.7, § 611
corresponding during IPE of
  additional documents Art. 33(6), ISPEG 17.66
certain documents cited in ISR Art. 33(6), ISPEG 17.65
copies of cited documents (request/transmittal) Art. 20(3), 36(4), R. 71.2, Form IPEA/417
invitation to pay for requested copies of cited documents Art. 20(3), 36(4), R. 71.2(b), Form IPEA/421
method of identifying cited documents § 611
— in ISR, generally Art. 33(6), R. 43.5
copies of cited documents (request/transmittal)...........Art. 20(3), R. 44.3, Form ISA/211, ISPEG 2.19
invitation to pay for requested copies of cited documents ..................................................R. 44.3(b), Form ISA/221
documents to be cited in ISR ..................................Art. 15(2), R. 33.1(c), § 507(b), ISPEG 11.01
evaluation of cited documents in ISR .....................ISPEG 15.59-15.67
indication of citations of particular relevance in ISR...§ 505
manner of indicating certain special categories of documents cited in ISR .............................§ 507, ISPEG 16.59-16.70
manner of indicating claims to which cited documents are relevant ........................................§ 508
method of identifying documents cited in ISR ........R. 43.5(b), § 503
statement referred to in Art. 19(1) may not contain disparaging comments on relevance of ISR — R. 46.4(b)

CLAIMING PRIORITY

See PRIORITY CLAIM

CLAIMS
generally..........................................................Art. 3(2), 6, R. 6, ISPEG 5.01-5.58
absence of — ....................................................Art. 11(1)(iii)(e), 11(2)(a), R. 20.6, ROG 42, 45-54
— as element of IA ..........................................Art. 3(2), 6, R. 3(2)
commencing on separate sheet.........................R. 11.4, § 207
— before DO
amendment ..................................................R. 28, R. 52
where utility model is sought national law may be applied in respect of — .........................Art. 43, 44, R. 6.7
— before EO
amendment ..................................................Art. 41, R. 78
where utility model is sought national law may be applied in respect of — .........................Art. 43, 44, R. 6.7
— before IB (amendment) ...............................Art. 19, R. 46
notification concerning amendments of the claims...R. 62, § 417, Form IB/337
— before IPEA.................................................Art. 34(2)(b), R. 66.1, 66.3, 66.4(b), 66.5, 66.8, 70.2, 70.16
as basis of IPE ..............................................R. 66.1
clarity and interpretation of — ......................Art. 6, R. 6.2, ISPEG 5.20-5.41
conciseness, number of — ...........................R. 6.1(a), ISPEG 5.42.
form and content of — ..................................R. 6.3, 11.10 (a)-(c), § 205, ISPEG 5.04-5.11
categories .....................................................R. 13, § 206, AI Annex B, ISPEG 5.12-5.14
support in description ....................................Art. 6, 34(4)(a)(i), R. 66.2(a)(i), ISPEG 5.43-5.44
transmitted to IPEA ......................................R. 62.2(a)
unclear — ......................................................Art. 34(4)(a)(i), R. 66.2(a)(i)
unsearched, need not be subject of examination........R. 66.1(e), 66.2(a)(vi)
— before ISA
as basis of IS..................................................Art. 15(3), R. 33.3
manner of indicating claims to which documents cited in ISR are relevant .........................§ 508
"unsearchable” — ........................................Art. 17(2)(a)(ii)
— before RO..................................................Art. 11(1)(iii)(e), (2)(a), R. 20.6
numbering and identification upon amendment .......R. 6.1, § 205
special fee(s) payable to
DO where certain claims not searched .............Art. 17(3)(b)
EO where certain claims not examined ............Art. 34(3)(b), (c)

See AMENDMENTS
DEPENDENT CLAIMS
UNITY OF INVENTION

CLASSIFICATION
— of IAs, definition ...........................................R. 43.3, ISPEG 7.01
See INTERNATIONAL PATENT CLASSIFICATION (IPC)

COMBINATION
— of different categories of claims ..................AI Annex B
— of documents cited in ISR .............................§ 505
— of documents or parts thereof in relation to inventive step during IPE ................................R. 65
technical features which in — are part of the prior art ..................................R. 6.3(b)
COMMENTS
— by applicant on
abstract established by ISA ........................................R. 38.2(b)
translation of IPRP (Ch.I) prepared by IB......................R. 44bis.4
translation of IPRP (Ch.II) prepared by IB.....................Art. 36(2), R. 70.2, Form IB/338
informal — by applicant on WO-ISA..........................ISPEG 2.15(a)
statement referred to in Article 19(1) shall contain no
disparaging — on ISR or relevance of citations.............R. 46.4(b)

COMMERCIAL ESTABLISHMENT
real and effective — is considered residence...............R. 18(1)(b)

COMMITTEE(S)
Assembly establishes — and working groups..............Art. 53(2)(a)(viii)
— for Technical Assistance .........................................Art. 51(1), (2), (3)(a), (5)
— for Technical Cooperation .....................................Art. 56
  advises Assembly concerning ISAs .......................Art. 16(3)(e)
Coordination —
  advises Assembly..................................................Art. 53(2)(b), 54(7)(a)
  advises Executive —..............................................Art. 54(6)(b), 57(7)(c)
may address advice and recommendations through
  DG to Executive —...............................................Art. 56(5), (6)(a)
DG participates in meetings of — and is ex officio
secretary of —..........................................................Art. 55(6)
Executive —..............................................................Art. 54
  established by Assembly........................................Art. 54(1), 53(9)
Assembly reviews and approves reports and
  activities of, gives instructions to .........................Art. 53(2)(a)(v)
  may express its views on advice, recommendations or other activity of Technical
  Cooperation — transmitted to Assembly...............Art. 56(6)(b)
See ASSEMBLY

COMMON AGENT
— of several applicants, defined..............................R. 2.2, 90.1, 90.2(a)
correspondence for applicant addressed to — ............§ 108(c)
manner of appointment............................................R. 90.4(a)
See AGENT
  SEVERAL

COMMON REPRESENTATIVE
appointed —
  defined...............................................................R. 2.2bis, 90.2(a)
appointment has effect of revoking any earlier
  appointment of — ...............................................R. 96.6(c)
before RO, checked by RO...................................ROG 117-121
change of —, recording by IB.................................R. 92bis.1(a)(ii)
effects of acts by or in relation to — .........................R. 90.3(c)
general power appointing — ....................................R. 90.5
manner of appointment.........................................R. 90.4(a)
notification concerning representation sent by
  IB to RO, ISA, IPEA and applicant.................§ 425, Form IB/319
  IPEA to IB ..........................................................§ 608
  ISA to IB ...........................................................§ 512
  RO to IB and ISA .............................................R. 90, § 328, Form RO/123
notification of defective power of attorney or
defective revocation of power of attorney..............R. 90.4(c), 90.6(e)
  by IB..............................................................Form IB/320
  by RO ..............................................................Form RO/124
power appointing — in check list............................R. 3.3(a)(ii)
renunciation by — ..................................................R. 90.6(d)
required indications in
  Dem. ...............................................................R. 53.2(a)(ii), 53.5
  Req. .................................................................R. 4.4, 4.8
— may indicate address for correspondence.............R. 4.4(d)
considered — where no appointed —.....................R. 90.2(b)
change of — ........................................................................ § 106
withdrawal requires signature of all applicants .......... R. 90bis.5(a)
correspondence for applicant addressed to — ........... § 108(c)(i)
expression — refers to applicant appointed as, or
considered to be, the — .............................................. R. 2.2bis
revocation of — ............................................................... R. 90.6(a)

COMMUNICATION
—(s) (forms) where no specific form is applicable .......... Forms RO/132, IB/345, ISA/224, IPEA/424
— of documents on request ........................................... R. 93bis.1(a)
via digital library ....................................................... R. 93bis.1(b)
— of IA by IB to DOs, of ISR, or any Art. 17(2)(a)
   determination and indication that no search will
   be established................................................... Art. 20, R. 47, § 805(b)(i), Form IB/349
— of IPRP (Ch.I) by IB to DOs .................................. R. 44bis.2
— of IPRP (Ch.II) by IB to EOs .............................. Art. 36(3)(a), R. 73.2
— to DO of translation of ISR or declaration ............ Article 20(1)(b), Rule 47.1
electronic —(s) .......................................................... § 709
See AMENDMENT(S)
INTERNATIONAL PRELIMINARY EXAMINATION
RIGHT TO PRACTICE
TIME LIMIT(S)
TRANSMITTAL
WRITTEN OPINION

COMPETENT/COMPETENCY OF—
— IPEA ...................................................................... Art. 31(6)(a), 32(2), R. 59
invitation by
IB to indicate competent IPEA ............................ R. 59.3(c)(ii), § 432, Form IB/367
IPEA to indicate competent IPEA ........................ R. 59.3(f), § 601(b), Form IPEA/442
ISA to indicate competent IPEA ........................... R. 59.3(f), § 516, Form ISA/235
— ISA ..................................................................... Art. 16(2), R. 35
— RO ....................................................................... Art. 10, 11(1)(i), R. 19
See INTERNATIONAL BUREAU

COMPUTATION
— of time limits ......................................................... Art. 47(1), R. 80, 90bis.3(d), (e)
computed from priority date where priority claim was
added/corrected ......................................................... R. 26bis.1(c), 4.10
withdrawn ............................................................... R. 90bis.3(d), (e)
fixed by DOs/EOs for national phase ..................... R. 50.1, 71.1(c)
See TIME LIMITS

COMPUTER
— generated forms ................................................... R. 3.1, 4, § 102(b)(i)
Dem. presented as — print-out ................................. R. 53.1(a), § 102(a)(i)-(c), (h), (i), AI Annex A (Part IV), Form IPEA/401
Req. presented as — print-out ................................. R. 3.4, § 102(a)(i)-(c), (h), (i), AI Annex A (Part I), Form RO/101
— programs as subject matter not required to be
examined .............................................................. Art. 34(4)(a)(i), R. 67.1(iv)
searched ................................................................. Art. 17(2)(a)(i), R. 39.1(iv)
See ELECTRONIC
SEQUENCE LISTINGS

CONFIDENTIAL NATURE
— of IA ................................................................. Art. 30
does not apply for purposes of judicial authorities .... Art. 30(2)(c)
— of IPE ................................................................. Art. 38
— of IPRP (Ch.I) and associated documents ........ R. 44ter, 94.1(b)
See ACCESS

CONFIRMATION
— copy of facsimile transmission .......................... R. 92.4, § 325, 331, ROG 37, 38
— of receipt of purported IA ................................. § 301, Form RO/125, ROG 36
filed electronically ............................................... § 704(a)
invitation to furnish original of document transmitted
by telegraph, teleprinter, facsimile machine, etc ...... R. 92.4(d), (e), (f), Form RO/141
national law may require, when national phase has
started, — of IA by signature of applicant ..........Art. 27(2)

CONTENTS
— of Gaz...............................................................R. 86.1
— of international publication..............................R. 48.2, § 406
— of translation furnished under
Art. 22 ....................................................................R. 49.5
Art. 39(1)................................................................R. 74
Form or — of
Dem. .....................................................................R. 53
IA...........................................................................Art. 27 (1), (4), R. 3-13ter
abstract .....................................................................R. 8.1, 38.2(b)
description ................................................................R. 5
Req. ........................................................................R. 4
references to biological material..........................R. 13bis.3
written opinion referring to defect in......................R. 66.2(a)(iii)
EO may not require applicant to furnish information
on — of papers connected with examination relating
to same IA in any other EO......................................Art. 42
non-compliance with certain physical requirements
may be authorized if authenticity of — is not in
question .................................................................R. 11.12
references in ISR relating to — of certain written
disclosures .............................................................R. 33.1(b)

CONTINUATION/CONTINUATION-IN-PART
indications to be made in Req.................................R. 4.11(a)(iv), ROG 108

CONTRACTING STATES
definition of — ..................................................Art. 1(1), 62

CONTRIBUTION(S)
— of member States to budget of Union...............Art. 57(1)(b), (5)(a)-(e)
'special technical feature" defines — which each
claimed invention, considered as a whole,
makes over prior art .............................................R. 13.2
See UNITY OF INVENTION

COOPERATION
— as objective of Treaty ........................................Preamble, Art. 1(1)

COORDINATION COMMITTEE
See COMMITTEE(S)

COPY/COPIES
See ACCESS
— AMENDMENT(S)
— CERTIFIED COPIES
— COMMUNICATION
— HOME COPY
— NATIONAL PROCESSING
— RECORD COPY
— SEARCH COPY
— TRANSMITTAL

CORRECTION(S)
— before DO
opportunity of — ..............................................Art. 26
— before IB
of IA
transfer of — to RC ...........................................§ 413
of priority claim
upon invitation .....................................................R. 26bis.1, 26bis.2, § 402, Form IB/318, ROG 170
on applicant’s initiative .........................ROG 173-178
— before ISA
— concerning expressions not to be used in IA .......R. 9, § 501
— before RO
invitation to correct purported IA .........................Art. 11(2)(a), R. 20.6, Form RO/103, ROG 45-47
negative determination ...........................................R. 20.7, § 307(b), ROG 50
invitation to correct defects in IA ..........................Art. 3(4)(i), 14(1), R. 26, Form RO/106, ROG 153-160
manner of correcting ............................................R. 26.4, § 325, ROG 156, 157
SL in electronic form (AI Part 8) .............................R. 26.3, § 801(a), 802(b)
of indications concerning applicant’s residence or nationality ..............................§ 329
of priority claim
upon invitation ....................................................R. 26bis.1, 26bis.2, § 314, Form RO/111, ROG 170
on applicant’s initiative .......................................Form RO/111, ROG 173-178
— by IB
  ex officio — of Req. ...........................................§ 416, ROG 161-165
renumbering of sheets of IA for international publication due to missing sheets or drawings — § 410
— by RO
generally ............................................................§ 327, ROG 161-165
annotations in check list ......................................§ 313(b)
cancellation of designations of non-Contracting States ...........................................§ 318
  — of indication of dates by RO or IB ....................§ 110
deletion of additional matter in Req. ........................R. 4.18, § 303
  ex officio — of Req. ..........................................§ 327, Form RO/146
renumbering in case of deletion, addition or substitution of sheet(s) of IA or translation — § 311
See AMENDMENT(S)
DEFECTS
EXPRESSIONS
RECTIFICATION
CORRESPONDENCE
— in electronic form ............................................§ 704(h), 709, 710(a)(ii)
— intended for applicant
if negative finding is made under R. 20.7(iii), or declaration under Art. 14(4), IA number to be used without letters “PCT” in — relating to purported IA ..................................§ 307(b)
if no IFD accorded following invitation to correct, RO keeps — relating to purported IA .........................R. 20.7(iii)
indication of dates in — from International Authorities .......§ 110
file reference in — from International Authorities to applicant .........................................§ 109(b)
indications in — to identify IA with two or more applicants ...........................................§ 105, ROG 26
language of — from IB to applicant or national Offices ......................................................R. 92.2(e)
mailing of — from national Offices or IGOs constituting event from which time limit begins to run ...............................................................R. 92.3, ROG 27
where agent(s) is (are) appointed .........................§ 108 (b), (c)(ii), (d), ROG 23, 24
where no agent is appointed ...............................ROG 24, § 108(c)(i)
where sub-agent(s) is (are) appointed ....................ROG 23
— submitted by applicant
defects with regard to ........................................R. 92.1(b), 92.4(g)(ii), ROG 20
  notified to applicant .......................................R. 92.1(b), 92.4(g)(ii), Forms RO/131, IB/344, ISA/223, IPEA/423
if negative finding is made under R. 20.7(iii), or declaration under Art. 14(4), IA number to be used without letters “PCT” in — relating to purported IA .....................................§ 307(b)
indications in — to identify IA with two or more applicants ...........................................§ 105, ROG 26
language of — submitted by applicant to
IB ........................................................................R. 92.2(c), § 104(b)
ISA/IPEA ..........................................................R. 92.2(a), (b)
RO ....................................................................§ 104(a), ROG 21
need for letter and for signature ........................R. 92.1(a), ROG 20
notification concerning paper being disregarded or
document considered as not having been
submitted.................................................................R. 92.1(b), last sentence, 92.4(g)(ii), Forms RO/149, IB/358
omission to correct defects ..........................................R. 92.1(b), last sentence, 92.4(g)(ii), ROG 20
transmittal by RO to IB and/or ISA .............................ROG 22, 283-294
voting by —
decision by Assembly to modify time limit(s) fixed
in Treaty may be made through voting by —.............Art. 47(2)(b), R. 81.3
in case of absence of quorum in Assembly..............Art. 53.5(b), R. 85.1

COST(S)
information services furnished at/below — ............Art. 50(5)(a), (b)
payment to IB of — for furnishing
to applicant or third parties copies of
documents in the file ..................................................R. 94
priority documents .................................................R. 17.2(c)
translations of IA .....................................................R. 95.1(b)
to DO copy of IA .........................................................Art. 13(2)(b), R. 31.1(b)
payment to IPEA of
— of preparing and mailing copies of cited
documents ......................................................................R. 71.2(b)
payment to ISA
— of preparing and mailing copies of cited
documents ......................................................................R. 44.3(b)
See FEE(S)

REFUND

COUNTRY
conditions for entry into force of Treaty relating to States
the nationals or residents of which have filed
minimum number of applications in foreign — ....Art. 63(1)(a)(ii), (iii)
— party to Paris
Assembly may allow filing of IAs by residents and
nationals of Contracting States which are not ....Art. 9(1)
claiming priority of earlier application filed in.........Art. 8(1)
no provision of Treaty shall be interpreted as
diminishing rights of nationals or residents of........Art. 1(2)
developing —
election of members of
Technical Assistance Committee with due
regard to representation of .............Art. 51(2)(a), (b)
Technical Cooperation Committee with due
regard to equitable representation of ............Art. 56(2)(a)
furnishing of information services below cost to ........Art. 50(5)(a)
operation of information services facilitating
acquisition of technical knowledge by ...............Art. 50(3)
technical assistance by Technical Assistance
Committee to .........................................................Art. 51(3)(a)
minimum documentation comprises certain applications
published and patents issued in certain — ..............R. 34.1(b)(i), (c)(iii), (vi)
name of any — written in characters other than Latin
alphabet, to be indicated also in English..............R. 4.16(b)
reference to earlier search by identifying application
indicating inter alia — .............................................R. 4.11
See COMMITTEE(S)
DEVELOPING COUNTRIES
PARIS CONVENTION

COUNTRY CODE
See TWO-LETTER CODES

CRITERIA
— for purposes of IPE .............................................Art. 33(1)-(5)
explanations in IPRP (Ch.II) relating to — ............Art. 35(2), R.70.8, § 604(a)
industrial applicability .............................................Art. 33 (1), (4), (5)
innovative step .......................................................Art. 33 (1), (3), (5), R. 64, 65
novelty ...............................................................Art. 33 (1), (2), (5), R. 64
statement in IPRP (Ch.II) relating to — ............Art. 35(2), R. 70.6(b)
IPEA procedure applies to ISA ............................R. 43bis.1(b)
national law — in respect of substantive conditions of patentability.................................................. Art. 27(5), 33(5)
use of — for delivery services satisfying certain — .......... R. 82.1(d), (e)
See UNITY OF INVENTION

CURRENCY
— for payment of handling fee ......................... R. 57.2(c), (d), (e), 96.1, Fees
— for payment of international filing fee ............. R. 15.2(b), (c), (d), 96.1, Fees, ROG 241, 242
— for payment of preliminary examination fee ...... R. 58.1(c), Annex E
— for payment of search fee ............................ R. 16.1(b), (c), (d), (e), Annex D, ROG 240
— for transmittal fee ............................... ROG 237, Annex C
DATE(S) (USING GREGORIAN CALENDAR)
expressing — .......................................................... R. 79.1
format of —, in IA ................................................... § 110
See INTERNATIONAL FILING DATE

DAY
calculation of periods expressed in — s. ...................... R. 80.3
end of working — ..................................................... R. 80.7
non-working — or official holiday ............................. R. 80.5

DEADLINES (APPLICABLE TO APPLICANTS)
See TIME LIMITS

DECISION
— not to issue declaration under Art. 14(4) that IA is
considered withdrawn ............................................... Art. 14(4), R. 29.4, 30, § 312, Form RO/127, ROG 52, 53
See WITHDRAWN (CONSIDERED)

DECLARATION(S)
— claiming priority .................................................... Art. 8(1), R. 4.10
— in Req. relating to national requirements ............... R. 4.1(c)(iii), 4.17, § 211-216
as to applicant’s entitlement, as at IFD, to apply
for and be granted a patent ....................................... Art. 27, R. 4.17(ii), 51bis.1(a)(ii), § 213
as to applicant’s entitlement, as at IFD, to claim
priority of earlier application ................................. Art. 27, R. 4.17(iii), 51bis.1(a)(iii), § 214
as to identity of inventor ......................................... Art. 27, R. 4.17(i), 51bis.1(a)(i), § 211
as to non-prejudicial disclosures or exceptions to
lack of novelty ........................................................ Art. 27, R. 4.17(v), 51bis.1(a)(v), § 216
correction or addition of — ..................................... Art. 27, R. 4.17, 26ter.1
notice of correction or addition ................................ Art. 27, R. 4.17, 26ter.1,
as replacement or additional sheet ........................... § 216
processing by
IB ........................................................................ Art. 27, R. 4.17, 26ter, § 419
invitation to correct — ........................................... R. 4.17, 26ter.2(a), § 419(c), Form IB/370
notification relating to — .......................................... R. 26ter.2(b), 48.2(a)(x), § 419, Form IB/371
RO ................................................................. ROG 160, 192A to 192F
invitation to correct — ........................................... R. 4.17, 26ter.2(a), § 419(c), Form RO/156, ROG 192D
no ex officio corrections/deletions .......................... § 303(b), 327(d), ROG 162
transmittal to IB .................................................. R. 4.17, 26ter, § 419, ROG 192F
of inventorship ...................................................... Art. 27, R. 4.17(vi), 51bis.1(a)(iv), § 215
publication of — and any correction thereof .......... Art. 27, R. 4.17(v), 26ter.1, 48.2(a)(x)
— of inventorship for the purposes of the designation
of the United States of America .............................. R. 4.17(iv), 51bis.1(a)(iv), Form RO/101 Box No. VIII(v)
— of non-establishment of ISR ............................... Art. 17(2)(a), 39, Form ISA/203, ISPEG 16.17-16.19
See WITHDRAWN (CONSIDERED)

DEFECTS
— in abstract before
RO ................................................................. Art.3(2), 14(1)(a)(iv), 14(1)(b), R. 20.2(a)(iv), 26.1(b), 38, Form RO/106, ROG/147
ISA ............................................................... Art.3(2), (3), R. 38, Form ISA/210, ISPEG 16.31-16.39
— in correspondence submitted by applicant
notification by
IB ........................................................................ R. 92.1(b), 92.4(g)(ii), Form IB/344
ISA ............................................................... R. 92.1(b), 92.4(g)(ii), Form ISA/223
RO ................................................................. R. 92.1(b), 92.4(g)(ii), Form RO/131
— in Dem. ......................................................... Art. 31(3)
before IB
notification of certain defects in Dem. ................. Art. 31(3), R. 60.1(e), Form IB/336
before IPEA
invitation to correct certain ................................... Art. 31(3), R. 60.1, Form IPEA/404, ISPEG 22.37-22.42
— in drawings
  invitation by RO to correct ...........................................Art. 3(4)(i), (ii), 14(1), R. 26, Form RO/106
  manner of correcting ..................................................R. 26.4, § 325
  DO may invite correction in case of
  non-compliance with physical requirements .......... R. 49.5(g)
— in IA
  before IB
  certain defects noted by IB .......................................Art. 3(4)(ii), 14(1)(a)(i), (ii), (v), (b), R. 28.1, Form IB/313
  certain facts called to attention of RO .....................Art. 14(4), R. 29.3
  before ISA
  certain facts called to attention of RO .....................Art. 14(4), R. 29.3
  before RO .............................................................Art. 14(1)(b), R. 26, § 316, ROG 153-165
  invitation to correct ................................................Art. 3(4)(i), 14(1), R. 26, Form RO/106
— in indication of applicant's residence or nationality
  where right to file is affected .................................Art. 14(1)(a)(ii), R. 4.5, § 329
— in power of attorney ..............................................R. 90.4(c)
— in title
  before ISA .............................................................Art. 3(2), 4(1)(iv), R. 4.3, 5.1(a), 37, 44.2, IS PEG 16.40-16.43
  before RO .............................................................Art. 3(2), 4(1)(iv), 14(1)(iii), R. 4.1(a)(ii), 5.1(a), ROG 77
See CORRECTION(S)
DRAWING(S)
WITHDRAWN (CONSIDERED)

DEFICIT
  closing of financial year with —...............................Art. 57(5)(a), (c)
See FINANCES (OF UNION OF PCT STATES)

DEFINITION(S)
See ABBREVIATED EXPRESSIONS

DELAY(S)
— in meeting time limits, excuse of/reinstatement........Art. 48, R. 82, 82bis, Guide Vol. II, National Chapters
  any time limit, excuse for reasons admitted under
  national law .............................................................Art. 48(2), R. 82bis, Guide Vol. II, National Chapters
  any time limit fixed in Treaty or Reg. .........................Art. 48(1)
  due to
  delay or loss in mail ..............................................Art. 48(1), R. 82.1 (a)-(c), ROG 28
  delivery service, use of .........................................Art. 48(1), R. 82.1 (d), (e), ROG 29
  interruption in mail service ....................................Art. 48(1), R. 82.2, ROG 30
  time limit to perform acts referred to in
  Art. 22 .....................................................................R. 49.6, Guide Vol. II, National Chapters
  Art. 39 .....................................................................R. 76.5, Guide Vol. II, National Chapters
— in payment of contributions by Contracting State ....Art. 57(5)(e)
— in transmittal of RC for national security reasons....§ 330, Form RO/147, ROG 33, 286
— in transmittal of SC ..................................................R. 23(1)(a), § 306, Form RO/102, ROG 292, 293
— of national processing (Chapter I) .........................Art. 23(1)
— of national processing (Chapter II) .........................Art. 40(1)
  does not prevent publication by or through
  national Office .............................................................Art. 64(2)(a)(ii)
See TIME LIMIT(S)

DELEGATE
representation and votes of Contracting States
  in Assembly .............................................................Art. 53(1)(b), (3)
  in Executive Committee .............................................Art. 54(2)(b), (8)(e)
See DELEGATION(S)

DELEGATION(S)
— by Contracting State of RO duties to national
  Office of or acting for another Contracting State
  or IGO .................................................................R. 19.3(a)
  expenses of — ..........................................................R. 84

DELETION
  correction of Req., involving — of matter, by
  IB ..........................................................................§ 416(b)
EXAMINATION (IPE))

DEMAND (FOR INTERNATIONAL PRELIMINARY EXAMINATION (IPE))

generally..........................Art. 31

certain formal requirements ............R. 53.1, 53.2(a)(i)-(iv), 53.2(b), 53.3-53.8, 55.1

notification by IB of defects ..................R. 60.1(e), Form IB/336

invitation by IPEA to correct defects ............R. 60.1, Form IPEA/404

— considered not to have been submitted for
lack of compliance..........................R. 61.1(b), second sentence, Form IPEA/407

contents (mandatory) of — ..................R. 53.2(a)(i)-(iv), 53.2(b), 53.3-53.8

— considered not to have been submitted or made

by IPEA..................................................R. 61.1(c), § 418, Form IB/350

— lack of payment of fees......................R. 58bis.1(b), 61.1(b), second sentence, Form IPEA/407

— no right to file......................................R. 54.4, 61.1(b), second sentence, Form IPEA/407

— translation not furnished........................R. 55.2(d), 61.1(b), second sentence, Form IPEA/407

— lack of compliance with certain formal
requirements........................................R. 61.1(b), second sentence, Form IPEA/407

fees associated with —
currency for payment .........................R. 57.2(c), (d), (e), 96.1, Fees

— equivalent amounts in currencies other than
Swiss Francs........................................R. 57(c), (e)

Dem. considered not to have been submitted for
lack of payment of fees..........................R. 58bis.1(b), 61.1(b), second sentence, Form IPEA/407

handling — ..............................................R. 57

invitation concerning payment ...............R. 57, 58, § 615, Form IPEA/403

— invitation to pay prescribed fees together with late
payment fee .............................................R. 58bis, Form IPEA/440

preliminary examination — ..................R. 58

currency for payment .........................R. 58.1(c), Annex E

invitation to pay prescribed fees together with late
payment fee .............................................R. 58bis, Form IPEA/440

notification concerning payment ..............R. 57, 58, § 615, Form IPEA/403

form of —

for use by applicant..........................R. 53.1(a), § 102(a)(i)-(c), AI Annex A (Part I)

formats for presentation of — as computer print-out
other than determined in § 102 (h), determined
by DG..................................................R. 53.1(a), § 102(i)

presented as computer print-out..................R. 53.1(a), § 102(a)(i)-(c), (h)

language/translation of — ......................R. 55.1

— considered not to have been submitted
where translation not furnished..................R. 55.2(d), 61.1(b), second sentence, Form IPEA/407

notification by IB of defects....................R. 60.1(e), Form IB/336

publication of notice after international publication
of the international application ..............R. 61.4, § 431

receipt of Dem. by competent IPEA..............R. 59.3(e), 61.1(b), first sentence, § 601(a), Form IPEA/402

recording of changes in — ......................R. 92bis.1(a), (b)(ii)

right to file — ........................................R. 54.4

— considered not to have been submitted for
lack of right to file....................................R. 54.4, 61.1(b), second sentence, Form IPEA/407

evidence of right to file..........................§ 614

statement concerning amendments in — ........R. 53.2(v), 53.9

time limit for filing — in order to postpone time
limit for national phase entry ....................Art. 39(1)(a)

notification to applicant of submission of —
after expiration of 19 months from priority date...§ 601

time limit for making transmittal of — ...........R. 54bis

transmittal of —
— considered not to have been submitted (where applicant has not indicated competent IPEA for transmittal) by

IB.............................................................................R. 59.3(d), Form IB/369
IPEA (non competent) ............................................R. 59.3(d), (f), Form IPEA/444
ISA ..........................................................................R. 59.3 (d), (f), Form ISA/236
RO ...........................................................................R. 59.3(d), (f), Form RO/155

invitation to indicate competent IPEA for transmittal by

IB.............................................................................R. 59.3(c)(ii), § 432, Form IB/367
IPEA........................................................................R. 59.3(f), § 601(b), Form IPEA/442
ISA ..........................................................................R. 59.3(f), § 516, Form ISA/235
RO ...........................................................................R. 59.3(f), § 334, Form RO/153, ROG 328-332

or copy of — by IPEA to IB ........................................R. 61.1

where not filed with competent IPEA, by

IB to competent IPEA..............................................R. 59.3(c)(i), (d), § 432, Form IB/368
IPEA to IB or competent IPEA.................................R. 59.3(a), (f), § 601(b), Form IPEA/436
ISA to IB or competent IPEA ..................................R. 59.3(a), (f), § 516, Form ISA/234
RO to IB or competent IPEA...................................R. 59.3(a), (f), § 516, Form RO/153, ROG 328-332

withdrawal of — ..............................................................Art. 90bis.4
notification of withdrawal of — or elections ...............R. 90bis.1, 90bis.4, § 415 (b), (c), Form IB/339

See ELECTION(S)

TRANSMITTAL

DENOUNCE/DENUNCIATION
— of obligation to grant advances .........................Art. 57(8), (b)
— of Treaty ..........................................................Art. 66(1), (2)

DEPENDENT CLAIMS
— allowable..............................................................Art. 6, R. 6.4. 13.4, § 206, AI Annex B (Part 1(a)), ISPEG 5.16-5.19
definition ..............................................................R. 6.4(a)
possible exclusion of multiple — from
IPE
not allowed under national law,..........Art. 34(4)(b), R. 6.4(a), 66.2(a), ISPEG 9.41
unsearched claims need not be subject of IPE.....R. 66.1(e), 66.2(a)(vi)
IS ..............................................................Art. 17(2)(b), R. 6.4(a), ISPEG 9.41

See CLAIMS

UNITY OF INVENTION

DEPOSIT
— of instruments of ratification or accession ..........Art. 62(1)(i), (ii)
— of Treaty with DG .............................................Art. 68

See BIOLOGICAL

DESCRIPTION
— generally, requirements for — .......................Art. 5, R. 5
disclosure of SL in — .................................................R. 5.2, 13ter
headings for parts of — ........................................R. 5.1(c), § 204
amendment of — ......................................................Art. 34(2)(b)
before DO ..............................................................R. 52
before EO..............................................................Art. 41, R. 78
before IPEA.............................................................Art. 5, 34, R. 5.1, 6.3(b)(i), 9.1(iii), ISPEG 4.02- 4.27
background art ......................................................Art. 34(2)(b), R. 5.1(a)(ii), 6.3(b)(ii), ISPEG 4.05
best mode for carrying out the invention ..........R. 5.1(a)(v), ISPEG 4.10
brief description of drawings .............................R. 5.1(a)(iv), ISPEG 4.08-4.09
disclosure of invention .......................................R. 5.1(a)(iii), 9.1(iii), ISPEG 4.06-4.07
industrial applicability ........................................R. 33(1), (4), R. 5.1(a)(vi), (b), 10.2, § 204, ISPEG 4.14
technical field ..................................................R. 5.1(a)(i), ISPEG 4.04
before RO (physical requirements) ..................ROG 132-146
— as element of IA commencing on separate sheet.....Art. 3(2), 5, R. 11.4, § 207
— as requirement for international filing date ........Art. 11(1)(iii)(d)

DESIGN
— not mentioned as subject matter for IA ..........Art. 2(i)
DESIGNATED OFFICE(S)

definition..................................................................................Art. 2(xiii)
applicability to DOs of provisions re. e-filing.............................§ 713
drawings, — may require applicant to file
within time limit........................................................................Art. 7(2)(ii)
maintaining effect of IA by — .....................................................Art. 24(2), § 112(d)
notification by — to IB of No. of IAs that did
not enter national phase timely.............................................§ 112
opportunity to correct IA before — ..........................................Art. 26
review by — ...........................................................................Art. 25, R. 51, § 112(c)
sending copies of documents cited in ISR to — .....................Art. 20(3)
time limit .................................................................................R. 44.3
waiver of Art. 20 communication requirement by — ....Art. 20(1)(a)
See AMENDMENT(S)

DRAWING(S)

COMMUNICATION

RECTIFICATION

REVIEW BY DO

TRANSMITTAL

WAIVER

DESIGNATED STATE(S)
different applicants for different — ........................................R. 4.5(d), § 203
possible loss of effect of IA in — .............................................Art. 24

DESIGNATION(S) (OF STATES)
generally (purpose) ..................................................................Art. 4(3), R. 4.9, ROG 100-105
automatic— .............................................................................R. 4.9(a)(i)
cancellation ex officio of —
by IB of designations or elections...........................................§ 423, Form IB/335
by RO of designations of non-contracting States ..................§ 318, Form RO/146, ROG 102
— as required element for IFD.............................................Art. 11(1)(iii)(b)
— as required element in Req...............................................Art. 4(1)(ii)
— subject to payment of fees..............................................Art. 4(2)
— under R. 32, notification of — ........................................§ 430
notification by IB to IPEA— where IA or
— of all elected States are considered withdrawn.............§ 414
withdrawal/ considered withdrawn
consequences of withdrawn — or —considered
withdraw.................................................................Art. 24, R. 29(d)
nomination of withdrawal of IA or designations ..........R. 90bis.1, 90bis.2, § 415(a), Form IB/307
See KINDS OF PROTECTION

DEVELOPING COUNTRIES
economic development of — as one of aims of Treaty ....Preamble
information services to —
furnished below cost to Governments of —.....................Art. 50(5)(a)
operated in a way facilitating
acquisition of technical knowledge and
technology by — ..............................................................Art. 50(3)
representation of —
in Committee for Technical Assistance
(election with due regard to — )..............................Art. 51(2)(a)
in Committee for Technical Cooperation
(appointment with due regard to equitable —)......Art. 56(2)(a)
technical assistance to —
is task of Committee for Technical Assistance ..........Art. 51(3)(a)
representatives of IGOs concerned with technical
assistance to — participate in work of Committee
for Technical Assistance.............................................Art. 51(2)(b)

DIAGNOSTIC METHODS
— as subject matter not required to be
searched ...............................................................................Art. 17(2)(a)(i), R. 39.1(iv), ISPEG 9.08-9.10
examined ..............................................................................Art. 34(4)(a)(i), R. 67.1(iv), ISPEG 9.08-9.10
DIAGRAMS
— considered as drawings ...........................................R. 7.1, 11.11(a)

DIGITAL LIBRARY
availability of priority document from — ......................R 16bis.1(b-bis), 66.7, 93bis.1(b)

DIRECTOR GENERAL (OF WIPO)
definition .............................................................................Art. 2(xx)
— is chief executive of Union and represents Union...Art. 55(3)
advice and recommendations of Committee for Technical Cooperation addressed to — or through — to other organs or ISAs or IPEAs .............................................Art. 56(5), (6)(a)
AIs established by — on basis of Reg. under control of Assembly.........................................................Art. 58(4)
drawn up and promulgated by — after consultation with ROs, ISAs and IPEAs.................R. 89.2(a)
modification by — after consultation with interested Offices or Authorities ..............................R. 89.2(b)
modification by — upon invitation by Assembly ........R. 89.2(c)
convocation by — of sessions of Assembly ...............................................................Art. 53(11)
Executive Committee ...................................................Art. 54(7)(a), (b)
depository functions of — ......................................................Art. 69
instruments of ratification or accession deposited with — ......................................................Art. 62(2)
equivalent amounts established by — of international filing fee in currencies other than Swiss Francs ................................................................R. 15.2(b), (d)
handling fee in currencies other than Swiss Francs .............................................................R. 57(c), (e)
search fee in receiving Office currency ..........................................................................R. 16.1(b), (d)
extension of effects of IA to successor State if declaration of continuation deposited with — ...............R. 32.1(a)
when declaration of extension notified by — ..........R. 32.1(a)
form and particulars of front page of international publication decided by —.......................§ 406(c)
formats for presentation of Reg. and Dem. as computer print-outs, other than determined in § 102 (h), determined by — ......................................................§ 102(i)
Gaz. (determinations by — in relation to) frequency of publication .............................................R. 86.3, § 407(b)
instructions by Assembly to — concerning matters within competence of Union........Art. 53(2)(a)(iv)
program of Union draft program and biennal budget of Union prepared by — (Executive Committee submits proposals to Assembly in respect of) ......................................Art. 54(6)(a)(ii)
execution of program of Union by — in accordance with decisions of Assembly, ensured by Executive Committee .................................................................Art. 54(6)(a)(v)
proposals for amendment of certain Articles communicated by — to Contracting States .............Art. 61(1)(b)
may be initiated by — ......................................................Art. 61(1)(a)
proposals of modification of time limits fixed in Treaty by — or presented to — ........................................R. 81.1
sent by — to Contracting States in advance of session of Assembly ........................................R. 81.2(a)
sent by — to Contracting States for voting by correspondence ..................................................R. 81.3
reports periodical reports of — and yearly audit reports on accounts submitted by Executive Committee to Assembly .............................................................Art. 54(6)(a)(iv)
reports and activities of — reviewed and approved by Assembly ..................................................Art. 53(2)(a)(iv)
participation of — in discussions at revision conferences ...................................................Art. 55(7)(c)
in meetings of Assembly and any Committee or working group, is ex officio secretary .................Art. 55(6)
invitation by — of representatives of interested organizations to participate in discussions of Committee for Technical Cooperation ............................................Art. 56(2)(d)
representatives of IGOs concerned with technical assistance to developing countries to participate in work of Committee for Technical Assistance ...............................................................Art. 51(2)(b)
notifications by — to Contracting States of Paris ...........Art. 69
notifications to — by Contracting States relating denunciation ...............................................................Art. 66
reservations .................................................................Art. 65 (4)(c), (6)
Treaty
official texts of Treaty established by — .....................Art. 67
original of Treaty deposited with —............................Art. 68(1)

DISCLOSURES
— of invention and prior art for IPE...............................................................................Art. 33(2), (3), R. 64.1, 64.2, 64.70.9, ISPEG 11.01
IS .................................................................................Art. 15(2), R. 33.1, § 507(a), ISPEG 15.01-15.04
national law applicable by DO may require evidence concerning non-prejudicial — or exceptions
to lack of novelty, such as certain —(s) —............Art. 27, R. 51bis.1(a)(v)
declaration in Req........................................................R. 4.1(iii), 51bis.1(a)(v), § 215
information relating to non-prejudicial — or exceptions to lack of novelty, such as certain
— (s) to be published in Gaz. ......................................R. 86.1(i), AI Annex D (items 6, 8)
See NON-PREJUDICIAL DISCLOSURE

DISPARAGING COMMENTS/STATEMENTS
IA may not contain —-------------------------------------Art. 21(6), R. 9.1(iii), 9.3
statement referred to in Art. 19(1) may not contain
disparaging comments on relevance of ISR —.............R. 46.4(b)
See AMENDMENT(S)

DISPUTE(S)
— between Contracting States concerning interpretation or application of Treaty or Reg. ...............................................................Art. 59
States may declare themselves not bound by Art. 59 concerning —.................................Art. 64(5)

DOCKET REFERENCE
See FILE REFERENCE

DOCUMENTATION
aim of Committee for Technical Cooperation is to secure maximum degree of uniformity in — of ISAs and IPEAs ...............................................................Art. 56(3)(ii)
international search documentation ..................................R. 34, ISPEG 15.39-15.47
See MINIMUM DOCUMENTATION

DOCUMENTS
See ACCESS
CHECK LIST
CITATIONS
CORRESPONDENCE
PRIORITY DOCUMENT
TRANSMITTAL

DRAWING(S)
 generally.................................................................Art. 3(2), 7, R. 7
before IB
(re)numbering of sheets of IA in case of missing —....§ 410
transferring corrections to RC.................................§ 413
before IPEA ............................................................ISPEG 4.28
before DO
applicant may be
invited to correct — in case of non-compliance
with physical requirements ........................................R. 49.5(g)
required to file — which are not necessary
for understanding of invention ..................................Art. 7(2)(ii), R. 7.2

before RO
physical requirements ............................................R. 11.10, 11.11, 11.13, ROG 146, Guide 143-177
invitation to correct defects .................................Art. 3(4)(i), (ii), 14(1), R. 26, Form RO/106
manner of correcting .............................................R. 26.4, § 325
special requirements for — .................................R. 11.13
missing or later filed — ..........................................Art. 14(2), R. 20.2(a)(iii), 26.6, § 310, ROG 193-199
notification of non-inclusion ...............................Art. 14(2), R. 20.2(a)(iii), Form RO/107
notification concerning later submitted — ..............§ 309(b), (c), 310(c), (d), Form RO/126
— as element of IA .............................................Art. 3(2), 7, R. 3(2),
commencing on separate sheet .........................R. 11.4, § 207
figure of — to be published with abstract ..........R. 3.3(a)(iii), 8.2, ISPEG 15.36
flow sheets and diagrams considered — ..............R. 7.1
text matter in — .................................................R. 11.11

See AMENDMENT(S)
EARLIER APPLICATION

See PRIORITY CLAIM

EARLIER SEARCH

reference to — ..............................................................R. 4.11, 41

EARLY NATIONAL PROCESSING

— by DO .................................................................Art. 23(2), R. 47(4)
— by EO .................................................................Art. 40(2), R. 61.2(d)

See COMMUNICATION

TRANSMITTAL

EARLY PUBLICATION

generally...........................................................................Art. 21(2)(b), R. 48.4

— where only State is designated which has declared that international publication is not required ...............Art. 64(3)(a)(i)
special publication fee payable for — if ISR not yet available for publication together with IA ..............R. 48.4, § 113(a)
where — has been requested, DO may consider indications in references relating to biological material not furnished before completion of technical preparations for international publication as not having been furnished in time .........................R. 13bis.4(c)

EFFECT(S)

— of IA as of IFD ............................................................Art. 11(3)
— of international publication .....................................Art. 29
— of priority claim ..........................................................Art. 8(2)(a)
loss of — IA in designated States
where acts referred to in Art. 22 have not been performed within time limit .................................................Art. 24(1)
notification of IB by DO of number of IAs the — of which have ceased under Art. 24(1)(iii) ..................§ 112(a)(ii)
elected States
where acts referred to in Art. 39(1)(a) have not been performed within time limit .................................Art. 39(2)
notification of IB by EO of number of IAs the — of which have ceased under Art. 39(2) .....................§ 112(b)(ii)
maintaining of — by DO ......................................................Art. 24(2), § 112
EO
where requirements provided for in Art. 39(1)(a) have not been complied with .....................................Art. 39(3), § 112
notification of IB by DO/EO of maintaining of — under Art. 24(2) or Art. 39(3) ............................§ 112(d)

See REVIEW BY DO

EFFECTIVE DATE

— of amendments to Treaty.............................................Art. 61(3)
— of any change can be determined by Contracting State..............................................................R. 49.1(c), 76.1(c)
— of denunciation of Treaty..........................................Art. 66
— of international application where all elements not filed simultaneously ..............................................R. 20.2
— of reservation ............................................................Art. 64(6)
— of Treaty ..................................................................Art. 63

See GRADUAL APPLICATION

ELECTED OFFICE(S)

definition ...........................................................................Art. 2 (xiv)
notification of IB by — of number of IA not having entered national phase .............................................§ 112

See ELECTION(S)
ELECTION(S)

automatic — .................................................. R. 53.7

cancellation (ex officio) of — by

IB (non-Contracting State(s)) ........................................ £ 423, Form IB/335
IPEA (State(s) not bound by Chapter II) ...................... § 606, Form IPEA/425

— considered withdrawn where IA or designations of all elected States are considered withdrawn (notified by IB to IPEA) ...................... Art. 14(1), (3), (4), § 414
— notified by IB to EOs ........................................... R. 61.2, Form IB/331
information by IB to applicant concerning EOs notified of their election .............. R. 61.3, Form IB/332
withdrawal of — ................................................. Art. 37, 90bis.4

See DEMAND
LATER ELECTION(S)

ELECTRONIC

— copies of documents filed on paper for national Offices and IGOs .............. R. 89ter
of Req and abstract (PCT-SAFE) ......................... R. 89ter, § 102(ii), 102bis, ROG 18A, 165A-165M, 246A, 284A
— database used for IS may be indicated in ISR .......... R. 43.6(c)
— filing, processing and transmission of IAs and other documents ............... R. 89bis.1, 93bis.1(b), § 701-713

acceptance by RO
decision to accept in particular cases .......... § 703(d)(e)
notification of IB and publication in Gaz of R. 89bis.1(d), § 703(a)
RO requirements and practices ......... § 710
refusal to accept ........................................ R. 89bis.1(d), § 703(e), 708(b)(i)
backup copies ........................................ R. 706, 708(b)(v), 710(a)(iv)
copies (HC, RC, SC) of IA in — form R. 89bis.1(c), § 705, 708(b)(v)
correspondence ........................................... § 704(h), 709, 710(a)(ii)
IFD ........................................ R. 89bis.1(c), § 704(c), 708(b)(iii)-(v)
international filing fee where IA filed in — form § 707
legibility, virus infection etc. .............. § 708
physical requirements ........................................ R. 89bis.1(c), § 703(b)
defects ........................................ R. 703(e)
receipt of IA ........................................ R. 89bis.1(c)
conditions, rules and procedures ...... § 701(a)(ii)
confirmation of .................................... § 704(a)
signature ........................................ R. 89bis.1(c), § 701(iv), 703(c), 710(a)(i), AI Annex F 3.3
defects ........................................ § 704(d)
type of signature not specified by RO ......... § 704(g)
standard for — filing and processing of IAs § 701(v), 703(b)(c), 710(a)(i), 713(b), AI Annex F
systems of RO not available .............. § 709(c), 710(a)(v)
transmission not successful .......... § 709(b)
transmittal to IB by RO under R. 19:4 .......... § 704(f)
— minimum documentation for IS on — media .... R. 36.1(ii)
— records
access to .............................................. R. 94.1, § 710(a)(vii), 711(b), 712
management ........................................ R. 93, § 711, AI Annex F 8
— SL part of IA (AI Part 8) ......................... § 801-806, ROG 226A
— transmission of notices between national Offices and IGOs .... R. 89ter.3, § 114
SL in — form ........................................ R. 13ter, § 208, 610, AI Annex C
in check list ........................................ R. 3.3(a)(ii)
for international authorities .......... R. 13ter.1, 13ter.2
furnished to RO, transmitted to ISA .......... R. 23.1(c)
relating to IAs with SLs (AI Part 8) .... R. 89ter.1, § 801, 802
See PCT-SAFE
SEQUENCE LISTINGS

ELEMENTS

— of IA .................................................. Art. 3(2)
arrangement of ........................................ § 207
commencing on separate sheet.................................R. 11.4
— required for IFD ......................................................Art. 11(1)(iii)

ENTRY INTO FORCE
date of — is notified by Director General..............Art. 69(iii)
— of amendment of certain provisions of Treaty........Art. 61(3)
— of Treaty...............................................................Art. 63

ERRORS
See CORRECTION(S)
RECTIFICATION

EXAMINATION
See INTERNATIONAL PRELIMINARY EXAMINATION
NATIONAL EXAMINATION

EXAMINING AUTHORITY
See INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

EXCUSE
See DELAY(S)

EXECUTIVE COMMITTEE
See COMMITTEE(S)

EXHIBITION(S)
— of invention and prior art for
IS .................................................................................R. 33.1(b), § 507(a)
IPE...............................................................................R. 64.2
national law applicable by DO may require evidence
concerning non-prejudicial disclosures or
exceptions to lack of novelty, such as disclosures
at certain.................................................................Art. 27, R. 51bis.1(a)(v)
declaration in Req. as to non-prejudicial
disclosure on..............................................................R. 4.1(c)(iii), 51bis.1(a)(v), § 215
information relating to non-prejudicial disclosure
at — to be published in Gaz. .......................................R. 86.1(i), AI Annex D (items 6, 8)

EXPENSE(S)
— of delegations..............................................................R. 84

EXPIRATION
See TIME LIMIT(S)

EXPLANATIONS
— in IPRP (Ch.II) as to statement .........................Art. 35(2), R. 70.8, § 604, ISPEG 17.40
IPEA procedure applies to ISA ..................................R. 43bis.1(b)
ISR not to contain — ..................................................R. 43.9, ISPEG 16.05
statement in IPRP (Ch.II) to be accompanied by — .......Art. 35(2), R. 70.6(a), § 604, ISPEG 17.40
See INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Ch.I)
INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Ch.II)

EXPRESSIONS
abbreviated — .................................................................R. 1, § 101
— not to be used in IA
before IB .................................................................R. 9, § 413(b)
for international publication ..................................Art. 21(6), R. 9
before IPEA ...............................................................R. 9, ISPEG 4.29-4.32
before ISA ...............................................................R. 9, § 501, ISPEG 4.29-4.32, 15.31
before RO .................................................................R. 9, § 325(c), Form RO/112, ROG 214-216, 333
See DISPARAGING COMMENTS/STATEMENTS
NEW MATTER

EXTENSION
— of appointment
of ISA .................................................................Art. 16(3)(e)
of IPEA .................................................................Art. 16(3)(e), 32(3)
— of European patents .............................................ROG 107
See FEE(S)
  SUCCESSOR
  TIME LIMIT(S)

EX OFFICIO

— cancellation of designations by RO
  (non-Contracting State(s)) ...........................................§ 318, ROG 163

— cancellation of elections by
  IB (non-Contracting State(s)) .....................................§ 423, Form IB/335
  IPEA (State(s) not bound by Chapter II) ..................§ 606, Form IPEA/425

— seat in Assembly for State on the territory of which
  headquarters are, if such State grants advances ..........Art. 57(8)(a)

See CORRECTION(S)
<table>
<thead>
<tr>
<th>Subject</th>
<th>Paragraph</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>-F-</td>
</tr>
</tbody>
</table>

**FACSIMILE**

— numbers of Offices and international Authorities ....Annexes B1, B2

indication of — number of applicant, agent or CRep, in

Dem. ...........................................................................R. 4.4(c), 53.4, 53.5

Req. ...........................................................................R. 4.4(c)

use of — to transmit documents or correspondence ......R. 92.4, ROG 15-18

notification regarding

- attempted transmission of documents by —- R. 92.4(c), Forms RO/140, IB/356, ISA/229, IPEA/433
- receipt of documents by —- R. 92.4(h), Forms RO/142, ISA/231, IPEA/435
- invitation to furnish original of document

transmitted by —- R. 92.4(d), (e), (f), Forms RO/141, IB/357, ISA/230, IPEA/434

signature appearing on a document transmitted by —- R. 92.4(b), Fee(s)

**FEE(S)**

— payable to DO

national — ----------------------------------------------Art. 22(1),

- review by DO conditional on payment of -------Art. 25(2)(a), R. 51.3
- effects of withdrawal of Dem. or elections before time limit under Art. 22 may be conditional on ..Art. 37(4)(b)
- special — where certain claims not searched---------Art. 17(3)(b)

— payable to EO

national — ----------------------------------------------Art. 39(1)(a), R. 49.1(a)(ii)

special — where certain claims not examined ..Art. 34(3)(b), (c)

— payable to IB

special — for

copy to applicant or third party of

document in the file (other than RC, published IA or priority document) ............R. 94.1, Annex B2

priority document ..................................................R. 17.2(c), 94.1, Annex B2

RC .................................................................R. 94.1, Annex B2

copy of IA to DO on request of applicant ..........R. 31.1(b), Annex B2

early publication under Art. 21(2)(b) and 64(3)(c)(i)...........................................R. 48.4(a), § 113(a), Annex B2

publication of

priority claim considered not to have been made: R. 26bis.2(c), § 113(c), Annex B2

refused request for rectification of obvious error. R. 91.1(f), § 113(b), Annex B2

— payable to IPEA

additional — where lack of unity of invention

is found by IPEA ..................................................Art. 34(3)(a), R. 68.2, 68.3(a), (b)

invitation to restrict or pay additional fees ......Art. 34(3)(a), R. 68.2, Form IPEA/405

refund of additional fee ...........................................R. 68.3(c), (e), § 603, Form IPEA/420

for requested copies of

cited documents..................................................R. 71.2(b), Form IPEA/421

documents in the file ............................................R. 94.1, Form IPEA/422

handling — .........................................................R. 57

amount...........................................................R. 57.2(a), R. 96.1, Fees

currency for payment.........................................R. 57.2(c), (d), (e), 96.1, Fees

equivalent amounts in currencies other than Swiss Francs ..................................R. 57(c), (e)

invitation to pay prescribed fees together with late payment fee ...................................R. 58bis, Form IPEA/440

preliminary examination — ..................................R. 58

currency for payment .......................................R. 58.1(c), Annex E

invitation to pay prescribed fees together with late payment fee ...................................R. 58bis, Form IPEA/440

notification concerning payment ......................R. 57, 58, § 615, Form IPEA/403

invitation to pay protest fee ..............................R. 68.3(e)

invitation to pay protest fee ..............................R. 68.3(e), Form IPEA/437
refund of protest fee.................................................R. 68.3(c), (e), § 603, Form IPEA/420

— payable to ISA

additional — per invention where lack of unity
is found by ISA............................................................Art. 17(3), R. 40

invitation to pay additional fees........................................Art. 17(3)(a), R. 40.1, Form ISA/206

notification regarding review of justification for
invitation to pay additional fees ..................................R. 40.2(e), Form ISA/228

refund of additional fee .............................................Art. 17(3), R. 40.2(c), Form ISA/212

for requested copies of cited documents...............R. 44.3(b), Form ISA/221

protest — .................................................................R. 40.2(c), (e), § 502, Form ISA/212

— payable to RO

for backup copies re. e-filing .......................................§ 706(b)

for late furnishing of translation of IA for......

international publication..........................................R. 12.4(c), (e), Form RO/157

IS .............................................................................R. 12.3(c), (e), Form RO/150

for preparation of copies..............................................R. 21.1(c), § 305bis(a), Form RO/122

for transmittal of purported IA to IB as RO

invitation to authorize transmittal of purported IA
to the IB as RO and to pay fee.........................R. 19.4(a)(iii), § 333, Form RO/152

notification of transmittal of purported IA to
the IB as RO and invitation to pay fee...............R. 19.4(a)(i), (ii), § 333, Form RO/151

payment of prescribed —.............................................R. 14, 15, 16, § 304(a), (b), 323(b), Form RO/102

invitation to pay fees before due date .............R. 14, 15, 16, § 304(a), (b), 323(b), Form RO/102

invitation to pay prescribed fees together with
late payment fee...................................................R. 16bis, Form RO/133

lack of payment of — .............................................Art. 3(4)(iv), 14(3)(a), R. 27(a)

payment online if accepted (conditions, rules and
procedures in notification of RO to IB) ..............§ 710(a)(ii)

prescribed —

international filing fee .............................................Art. 3(4)(iv), R. 15.1, 27.1(a)

allocation of moneys received .........................§ 321

amounts ..............................................................R. 15.2(a), 96.1, Fees, ROG 241-241A, 246A-249A

currency .............................................................R. 15.2 (b), (c), (d), 96.1, Fees, ROG 241

equivalent amounts in currencies other than
Swiss Francs....................................................R. 15.2(b), (d)

IAs containing SLs (AI Part 8)............................§ 803, ROG 241A

IAs filed in electronic form..................................§ 707

relating to international —

for applicants from certain countries............R. 15.2, 96, Fees

relating to PCT-SAFE ...............................................R. 15.2, 96, Fees, § 102bis(c), ROG 165A-165B, 246A

refund by RO...........................................................R. 15.6, 16.2, § 326(c), Form RO119

time limit for payment ..........................................Art. 3(4)(iv), 14(1)(b), R. 26.2, § 304(b)

search — (for benefit of ISA) ......................R. 16

currency for payment of search fee...............R. 16.1(b), (c), (d), (e), Annex D, ROG 240

equivalent amounts in RO currency ...............R. 16.1(b), (d)

refund of by

RO.................................................................R. 6.2, § 326(c), Form RO119

ISA based on earlier search ......................R. 41.1

transmittal of SC delayed until payment of ......R. 23.1(a), (b)

transmittal — ......................................................R. 14

allocation of moneys received .........................§ 321

currency ............................................................ROG 237, Annex C

— reductions

75% for certain persons .........................................Schedule of — annexed to the Regulations

electronic ............................................................Schedule of — annexed to the Regulations, § 102bis, § 707

Schedule of — ...............................................R. 96

published in Gaz..................................................R. 86.1(ii)

special fee payable to

DO where certain claims not searched............Art. 17(3)(b)

EO where certain claims not examined ..........Art. 34(3)(b), (c)

IB for publication of information concerning
priority claim considered not to have been made...R. 26bis.2(c), § 113(a), (c)

refused request for authorization of obvious error...R. 91.1(f), § 113(a), (b)

See REFUND
FILE

- confidentiality of .........................................................Art. 38
- for IPE where IPEA is part of same Office or IGO
  as ISA .................................................................§ 605

See ACCESS

FILE REFERENCE

- on IA Sheets ..............................................................R. 11.6(f), § 109, 327, ROG 76
- placed in margin of IA ..............................................§ 109, ROG 143

FILING DATE

See INTERNATIONAL FILING DATE

FINAL PROVISIONS

- becoming Party to Treaty ...........................................Art. 62
- denunciation ..................................................................Art. 66
- depositary Functions ..................................................Art. 68
- entry into Force of the Treaty ......................................Art. 63
- gradual Application ..................................................Art. 65
- languages .................................................................Art. 67
- notifications ..................................................................Art. 69
- reservations ....................................................................Art. 64
- signature .......................................................................Art. 67

FINANCES (OF UNION OF PCT STATES)

- generally .........................................................................Art. 57
- budget of Union adopted by Assembly .........................Art. 53(2)(a)(vi), 53(10)

FINANCIAL

- obligations (of Contracting States), increase of — . Art. 61(3)(b)
- regulations of the Union ..............................................Art. 53(2)(a)(vii)

FLOW SHEETS

- considered as drawings .................................................R. 7.1

FORM(S)

- computer generated ....................................................R. 3.1, 4, § 102(h), (i)
- languages of — used by International Authorities ..........§ 103
- prescribed particulars of
  Dem. — .....................................................................R. 53.1(a), § 102(a)(i)-c), (h), (i), AI Annex A (Part IV), Form IPEA/401
  Req. — .....................................................................R. 3.4, § 102(a)(i)-c), (h), (i), AI Annex A (Part I), Form RO/101
- use of
  national — (not for acts under Art. 22) .........................R. 49.4
  PCT — .....................................................................§ 102, AI Annex A

FORMAT

- copy in electronic form ............................................R. 89er.1, § 801, 802
- for computer generated forms ..................................R. 3.1, 4, § 102(h)(i), (i)
- particulars of
  Dem. presented as computer print-out ......................R. 53.1(a), § 102(a)(i)-c), (h), AI Annex A, Part IV, Form IPEA/401
  Req. presented as computer print-out ......................R. 3.4, § 102(a)(i)-c), (h), AI Annex A (Part I), Form RO/101

See COMPUTER

- PCT-SAFE
- SEQUENCE LISTINGS

FORMULA(E)

See CHEMICAL

- MATHEMATICAL

FRONT PAGE OF INTERNATIONAL PUBLICATION

- generally .................................................................R. 48.2, 86.1(i), § 406(c), 408(b), (c), 705bis(e), AI Annex D
<table>
<thead>
<tr>
<th>Subject</th>
<th>Paragraph</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>GAMES</strong></td>
<td>— as subject matter not required to be examined..Art. 34(4)(a)(i), R. 67.1(iii), ISPEG 9.07 searched Art. 17(2)(a)(i), R. 39.1(iii), ISPEG 9.07</td>
</tr>
<tr>
<td><strong>GAZETTE, PCT</strong></td>
<td>See INTERNATIONAL PUBLICATION</td>
</tr>
<tr>
<td><strong>GENERAL POWER OF ATTORNEY</strong></td>
<td>See POWER OF ATTORNEY</td>
</tr>
<tr>
<td><strong>GRADUAL APPLICATION</strong></td>
<td>— of the Treaty..Art. 65(1)</td>
</tr>
<tr>
<td><strong>GUIDELINES</strong></td>
<td>See CITATIONS INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Ch.I) INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Ch.II)</td>
</tr>
</tbody>
</table>
HANDLING FEE FOR IPE (RE DEM.)
See FEE(S)

HEADING(S)
— of parts of description .................................................R. 5.1(c), § 204
statement referred to in Art. 19(1) to be identified
as such by — ...............................................................Art. 19(1), R. 46.4(a)

HOME COPY (OF IA)
keeping of records by RO ................................................R. 93.1, 93.4
preparation and identification of — by RO ..................Art. 12(1), R. 11.1, 21.1, § 305, ROG 283, 284
IA containing SLs (AI Part 8) ......................................§ 804, ROG 284C, 284E
IA filed electronically ........................................................R. 89bis.1(c), § 705(a)-(c), 708(b)(v)
PCT-SAFE filings............................................................ROG 284A
IDENTIFICATION
— of copies of IA ...................................................... Art. 12(1), R. 11.1, 21.1, § 305, ROG 283, 284
— containing SLs (Art Part 8) ........................................ § 804, ROG 284C, 284E
— filed electronically .................................................. R. 89bis.1(c), § 705(a)-(c), 708(b)(v)
— backup copies ........................................................ § 706(c)
PCT-SAFE filings ..................................................... ROG 284A
— of IA having two or more applicants.......................... § 105
— of International Authorities, DOs and EOs ................. § 107
See FILE REFERENCE
HOME COPY
RECORD COPY
SEARCH COPY

ILLUSTRATION(S)
— as prior art for
IS ................................................................. R. 33.1(a)
IPE ............................................................... R. 64.1(a)
— by drawings in IA ............................................... Art. 7(2)
See DRAWING(S)

INDICATIONS
See TWO-LETTER CODES

INDUSTRIAL APPLICABILITY
indications in description relating to — ..................... R. 5.1(a)(vi)
IPRP (Ch.I) ........................................................ R. 43bis.1(a)(i), 44bis.1
IPEA procedure applies to ISA .................................. R. 43bis.1(b)
IPRP (Ch.II)
opinion as to — is objective of IPE ....................... Art. 33(1), ISPEG 19.02
definition ......................................................... Art. 33(4)
procedure where no meaningful opinion can be formed on — ......... Art. 34(4)(a)(ii), R. 66.2(a)(ii)
required statement in IPRP (Ch.II) relating to — in relation to each claim .......... Art. 35(2), R. 70.6(b)
guidelines for explanations concerning statement .......... R. 70.8, § 604
See CITATIONS
INTERNATIONAL PRELIMINARY EXAMINATION
INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Ch.I)
INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Ch.II)
INTERNATIONAL SEARCH
INTERNATIONAL SEARCH REPORT (ISR)
PRIOR ART

INDUSTRIAL ESTABLISHMENT
possession of real or effective — considered residence. R. 18.1(b)

INFORMATION
— about notices concerning the communication of IAs ... R. 47.1(c)
— concerning EOs notified of their election ............... R. 61.3, Form IB/332
— in international publication ................................. Art. 21, R. 48.2
— to be published in Gaz ...................................... Art. 55(4), R. 86.1
from DOs/EOs on question whether requirements provided for in Art. 22 or 39 have been complied with in respect of IAs (to be published in Gaz.) ... Art. 55(4), R. 86.1(iv), § 112 (a), (b)
included from front page of international publication .................. R. 86.1(i), AI Annex D
to be published in Gaz. under R. 86.1(v) ............... R. 86.1(v), AI Annex E
INFORMATION SERVICES

generally.................................................................Art. 50

INSTRUCTIONS, ADMINISTRATIVE

See ADMINISTRATIVE INSTRUCTIONS

INSTRUMENTS OF ACCESSION OR RATIFICATION

— deposited with DG ........................................................Art 62(2)
— entry into force of Treaty

three months after eight States

have deposited — ............................................................Art 63(1)(a)

for States depositing — and becoming bound after

entry into force of Treaty under Art. 63(1) ...............Art 63 (2)

notifications of deposits of — by DG to States party

to Paris...........................................................................Art 69(ii)

reservations made at time of depositing — .................Art 64(6)(a)

INTELLECTUAL PROPERTY

— protected under PCT ...................................................Art. 2(i)

See KIND(S) OF PROTECTION

INTERGOVERNMENTAL ORGANIZATION(S)

See INTERNATIONAL BUREAU

INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

INTERNATIONAL SEARCHING AUTHORITY

INTERNATIONAL AUTHORITY

See INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

INTERNATIONAL SEARCHING AUTHORITY

INTERNATIONAL APPLICATION (IA)

generally...........................................................................Art. 3

defined .............................................................................Art. 2(i),(vii), (viii)
elements of — .................................................................Art. 3(2)

identification of — with 2 or more applicants .................§ 105
— equivalent to regular national filing .................Art. 11(4)
— treated as continuation or continuation-in-part ..........R. 4.11(a)(iv), 49bis.1(c)

See ACCESS

CLAIMS

DESCRIPTION

DRAWING(S)

INTERNATIONAL FILING DATE

PURPORTED

REQUEST

INTERNATIONAL BUREAU (IB)

generally.................................................................Art. 55

definition..........................................................................Art. 2(xix)
directions given by Assembly to —concerning

preparations for revisions conferences .................Art. 53(2)(a)(iii)

— as RO ..........................................................................R. 18.1(c), 19.1, 83.1bis

See AMENDMENT(S)

DEFECTS

EFFECT(S)

INFORMATION SERVICES

RECTIFICATION

INTERNATIONAL COURT OF JUSTICE

disputes between Contracting States concerning

interpretation or application of Treaty before — .......Art. 59

See DISPUTE(S)

INTERNATIONAL FILING DATE

date of receipt accorded by RO as —, requirements. . . Art. 11(1), ROG 35-54
date of receipt of drawings referred to in IA but

received later.................................................................Art. 14(2)
date of receipt of required correction as — .................Art. 11(2)(b)
effect of IA as of — .........................................................Art. 11(3), R. 20.1, § 324

e-filing ..............................................................R. 89bis.1(c), § 704(c), 708(b)(iii)-(v)
later finding of non-compliance with requirements for
according — ..............................................................Art. 11(1), 14(4)
certain facts called to attention of RO by IB or ISA ....Art. 14(4), R. 29.3
notification of facts which should have
precluded the according of — ..........................R. 29.3, Forms IB/321, ISA/209
notification of applicant
of decision not to issue declaration ....................Art. 14(4), R. 29.4, § 312, Form RO/127, ROG 52.53
of intent to issue declaration that IA is considered
withdrawn..............................................................Art. 14(4), R. 29.4, Form RO/115, ROG 53
that IA considered to be withdrawn .....................Art. 14(4), R. 29.1(a), Form RO/143, ROG 52-54
time limit to issue declaration .........................Art. 14(4), R. 30
rectification in national phase of errors concerning — ...R. 82ter.1
review by DO of refusal by RO to accord — .................Art. 25(1)(a), R. 51

INTERNATIONAL FILING FEE
See FEE(S)

INTERNATIONAL PATENT CLASSIFICATION (IPC)
referred to in
IPRP (Ch.I)..............................................................R. 43bis.1(b), R. 70.5(a)
IPRP (Ch.II).............................................................R. 70.5, ISPEG 17.11
ISR .................................................................R. 43.3, § 504, ISPEG 16.48

amended classification in case of later
published ISR ..................................................ISPEG 7.05
classification of IAs excluded from
international search ...........................................ISPEG 7.08
classification where scope is obscure .............ISPEG 7.06
lack of unity of invention .....................................ISPEG 7.07
published in PCT Gaz ..............................................AI Annex D (2.2)
See UNITY OF INVENTION

INTERNATIONAL PATENT COOPERATION UNION
establishment ..........................................................Art. 1(1)
meaning for purpose of Treaty and Reg ..........Art. 2(xvi)

INTERNATIONAL PRELIMINARY EXAMINATION (IPE)
generally ..............................................................Art. 31(6), 32, 33, 34(2)(c), 35, ISPEG 3.01-3.29
confidential nature of — ...........................................Art. 38
copy, translation and fee for —, furnished to EO ....Art. 39
delay to enter national phase ..............................Art. 39(1)(a)
documents, etc. for IPEA .................................ISPEG 3.13-3.15
file to be used for — (when identical ISA/IPEA) .........§ 605
informal communication with applicant ..................R. 66.6, ISPEG 19.31-19.36

note on informal communication with applicant .......R. 66.6, Form IPEA/428
notification concerning informal communication with applicant .............................................R. 66.6, Form IPEA/429
requirements for —, generally ..................Art. 33(1)-5, R. 5.1(a)(iii), ISPEG 19.01-19.05
defects, meaningful opinion not possible ..........Art. 34(4)(a)(ii), (b), ISPEG 9.01
documents to be cited .............................................Art. 35(2), R. 70.7, ISPEG 17.40-17.42
exclusions from — ..............................................Art. 34(4)(a)(i), (b), R. 13ter.1(d), 13ter.2, 67, ISPEG 9.02-9.15, 17.58
industrial applicability .........................................Art. 5, 33(4), 34(4)(a)(ii), 35(3)(a), ISPEG 14.01-14.06
inventive step .......................................................Art. 33(3), R. 6.4(b), 65, ISPEG 13.01-13.19
novelty, prior art ..................................................Art. 33(2), R. 33.1(c), 64, 70.10, ISPEG 11.01-11.26, 12.01-12.10
start of, and time limit for, — ..........................Art. 31(2)-(4), R. 60.2, 69, ISPEG 19.06-19.10
steps in the procedure before IPEA .................Art. 31(7), 36(3)(a), R. 61.2, 66.1, ISPEG 3.01-3.29
"telescoped" procedure (overlapping IS and IPE) ..................R. 69.1(b), ISPEG 19.10

See CLAIMS
DEMAND
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY
INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Ch.I)
INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Ch.II)
UNITY OF INVENTION
INTERNATIONAL PRELIMINARY EXAMINATION AUTHORITY (IPEA)

generally ........................................ Art. 33
agreement between IPEA and IB ................................... Art. 32(2), (3), 34(1), R. 59(1)(a)
appointment by Assembly ........................................ Art. 16(3), 32(2), R. 59
minimum requirements for — ................................ R. 63
cancellation of elections by — ..................................... § 606
competent — ................................................. R. 59
invitation to indicate — where several are competent
by IB ................................................................ R. 59.3(c)(ii), § 432, Form IB/367
by IPEA ................................................................ R. 59.3(f), § 601(b), Form IPEA/442
by ISA ................................................................ R. 59.3(f), § 516, Form ISA/235
by RO .................................................................. R. 59.3(f), § 304(a), (b), 323(b), Form RO/154, ROG 328-332
copy of IA and ISR sent to — by IB ............................. § 420
procedure before .................................................. Art. 34, R. 66
records kept by —.................................................. R. 93.3, 93.4
See ACCESS

AUTHORIZED OFFICER
DEMAND
INTERNATIONAL PRELIMINARY EXAMINATION

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (IPRP) CHAPTER I (Ch.I)

communication to DOs of — by IB ........................... R. 44bis.2
confidential nature of — ........................................ R. 44ter.1, ISPEG 2.16
contents .............................................................. R. 43bis.1, Form ISA/237, ISPEG 17.09
IPEA procedure applies to ISA .......................... R. 43bis.1(b)
issuance of — by the IB on behalf of the ISA ........ R. 44bis.1, Form IB/373, ISPEG 2.18
time limit for establishment .............................. Art. 18(1), R. 42.1, 43bis.1(a), ISPEG 15.09
translation of — by IB .......................................... R. 44bis.3, ISPEG 2.18
transmittal by IB of copies of translation
of IPRP (Ch.I) to DOs and applicant .......... R. 44bis.3(c), Form IB/338
transmittal of ISR, written opinion or Art. 17(2)
declaration to applicant and IB by ISA ........ R. 44.1, Form ISA/220, ISPEG 2.14
See ACCESS

CITATIONS
CLASSIFICATION
COMMUNICATION
TRANSMITTAL
UNITY OF INVENTION
WITHDRAWAL

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (IPRP) CHAPTER II (Ch.II)

communication to EOs of —, translation thereof and
annexes by IB .................................................. Art. 36(3)(a), R. 73.2, ISPEG 3.27
copies of cited documents .............................. Art. 20(3), 36(4), R. 71.2, Form IPEA/417, ISPEG 3.29
establishment, generally ................................ Art. 35, 36, R. 70, Form IPEA/409
basis of — ............................................................ Art. 34(3), (4), R. 66.1(e), 67, 68.1, 68.3(c), 70.2, 70.11, 70.13, 70.16,
§ 603, 616, ISPEG 17.13-17.14
certification .......................................................... R. 70.3, 70.4, 70.14, § 612, ISPEG 17.49
defects in IA or lack of clarity ........................... R. 70.12, ISPEG 17.47
non-written disclosures and certain published
documents .................................................. R. 70.2(b), 70.7(b), 70.9, 70.10, § 507(a), (b), ISPEG 17.43-17.46
language of IPRP (Ch.II) and annexes .......... R. 48.3(a), (b), 70.17(a), ISPEG 17.50
statement concerning criteria of novelty, inventive
step and industrial applicability .................. Art. 35(2), R. 70.6, ISPEG 17.40
citations supporting statement .................. Art. 35(2), R. 70.7, ISPEG 17.40-17.42
method of identification of documents
cited in — ...................................................... § 503, 611
explanations in IPRP (Ch.II) as to statement .... Art. 35(2), R. 70.8, § 604, ISPEG 17.40
time limit for establishment .................. Art. 35(1), R. 69.2, ISPEG 3.23, 19.08-19.09
consideration of amendments and arguments .......... R. 66.4bis, ISPEG 20.05
translation of
annexes to — (by applicant) .................. Art. 36(2), R. 74
— (by IB) ................................................. Art. 36(2), R. 72, ISPEG 3.28
transmittal of
— and annexes
by IB (together with IB’s translation of IPRP (Ch.II) to applicant and EOs)..........................Art. 36(1)-(3), R. 72.2, Form IB/338
by IPEA to applicant and IB..................................Art. 36(1), R. 71.1, Form IPEA/416, ISPEG 22.59
(applicant’s) translation of annexes to EOs by applicant..................................................................Art. 36(2), (3)(b), R. 74

See ACCESS

CITATIONS
CLASSIFICATION
COMMUNICATION
TRANSMITTAL
UNITY OF INVENTION
WITHDRAWAL

INTERNATIONAL PUBLICATION

communication of publications and Gaz. free of charge to ISAs, IPEAs and national Offices .............R. 87.1, § 435
completion of technical preparation for —
amendments under Art. 19 received before .............Art. 19(1), R. 46.1
correction or addition of declaration received before...R. 26ter.1
correction or addition of priority claim received before.................................................................R. 26bis.2(c)
request for rectification acceptable before ..........R. 91.1(g-bis), (g-ter)
withdrawals (notice of) received before...............Article 21(5), R. 90bis.1(c), 90bis.2(c)
contents..................................................................R. 48.2
effects of — .............................................................Art. 29
exclusions from —
certain expressions, drawings or disparaging statements excluded from — .........................Art. 21(6)
— not required under national law
(exception to Art. 21) ....................................................Art. 64(3)
no — if IA withdrawn or considered withdrawn before —
form and means..........................................................Art. 21(4), R. 48.1, § 406(b), (c)
Gaz........................................................................Art. 21, 29, 55(4), R. 86, § 407, AI Annexes D, E
form
electronic .................................................................Art. 21(4), R. 86.2(c), § 407
contents
information from front page of international publication included in Gaz.................................R. 48, 86.1(i), § 407(c), Annex D
other information in Gaz. prescribed by AIs.........R. 86.1(v), § 407(d), Annex E
languages/translation ..................................................Art. 21(4), R. 86.2(a), (b)
means of publication..................................................R. 86.2(c), § 407
— in Gaz of notice of submission of Dem.............Art. 31(4)(a), R. 61.4, § 431
— number.................................................................§ 404
— of ISR or declaration referred to in Art. 17(2)(a) ..Art. 21(3)
languages of — ..........................................................Art. 21(4), R. 48.3
numbering of sheets for purposes of — .................§ 410
physical requirements complied with to extent necessary for purposes of reasonably uniform — ....Art. 14(1)(a)(v), 14(1)(b), R. 11, 26.3(a)(i), 26.3bis, § 704(c)
SL in electronic form (AI Part 8) .........................Art. 21, § 805(a)
time limit for — .........................................................Art. 21(2)(a)
computation of, where priority claim was added or corrected, causing change in priority date...............R. 26bis.1(c)
withdrawn..............................................................R. 90bis.3(d), (e)
translation of IA by applicant for — ..................R. 12.4, § 305bis

See DISPARAGING COMMENTS/STATEMENTS

EARLY PUBLICATION
EXPRESSIONS
REPUBLICATION

INTERNATIONAL SEARCH

generally...............................................................Art. 15
date of reference; filing date and priority date ......R. 33.1(a), § 507(d)-(f), ISPEG 15.01
earlier search........................................................R. 41
exclusion from —
of certain claims ......................................................Art. 17(2)(a), (b), R. 6.4(a), ISPEG 15.22
subject matter excluded from — ................................Art. 17(2)(a)(i), (b), R. 39, ISPEG 9.02-9.15, 15.62
fields to be covered by — ............................................R. 33.2
— documentation, file contents ..................................R. 34.1(d), R. 43.6(b), (c), ISPEG 15.39-15.47
— documentation, generally ......................................Art. 15(4), R. 34, 36.1(ii), ISPEG 15.39-15.47
objective of ..................................................Art. 15(2), ISPEG 15.01-15.04
orientation and subject of — ....................................Art. 15(3), 17(3)(a), (2)(a(i)), R. 33.3(a), (b), (d), 39, ISPEG 15.17-15.24
procedure after searching .......................................R. 43.5(c), (e), § 505, 507(g), ISPEG 15.68-15.70
procedure prior to searching .....................................R. 8.2, 9.1, 9.2, 91.1, 37.2, 38, § 501, 511(a)(v), ISPEG 15.33
scope of — ..................................................§ 507(g), Form ISA/210, ISPEG 15.33-15.38
“telescoped” procedure (overlapping IS and IPE) .......R. 69.1(b), ISPEG 19.10
time limit for accomplishing — ..................................R. 42, ISPEG 16.03
translation by applicant for — ....................................R. 12.3, 48.3(b)
types of searches ...................................................Art. 15(5), 16(1), ISPEG 2.20, 16.06

See INTERNATIONAL SEARCH REPORT (ISR)

INTERNATIONAL PATENT CLASSIFICATION (IPC)

MINIMUM DOCUMENTATION

NOVELTY

SEQUENCE LISTINGS

PRIOR ART

UNITY OF INVENTION

INTERNATIONAL SEARCH FEE

See FEE(S)

INTERNATIONAL SEARCH REPORT (ISR)

generally .................................................................Art. 18, R. 43, 44, Form ISA/210, ISPEG 16.01-16.02
abstract ...............................................................Art. 3(2), (3), R. 8.38, 44.2, ISPEG 16.31-16.39
authentication and dates .........................................Art. 19, R. 43.1, 43.2, 43.3, 46.1, § 514, ISPEG 16.76
— authorized officer, responsible for —.....................R. 43.8, § 514, ISPEG 16.77
claims relevant to cited documents, indication of...........§ 508
communicated by IB to DO .....................................Art. 20, R. 47
copy of IA sent by IB for ISA .....................................§ 420
copies of references cited in — ..................................Art. 20(3), R. 44.3, ISPEG 16.79-16.80
declaration of non-establishment of ISR .................Art. 17(2)(a), 39, Form ISA/203, ISPEG 9.40
evaluates subject matter of IA .................................Art. 17(2)
fields searched ......................................................R. 43.6, ISPEG 15.39-15.47
form of — ..................................................§ 507(g), Form ISA/210, ISPEG 16.07
identification of documents cited in — .......................§ 503
indication of
— citations of particular relevance in .......................§ 505
— on certain claims only .......................................Art. 17(2)(b), R. 6.4(a), ISPEG 9.17-9.41
language of — ..................................................Art. 18, R. 43.4, ISPEG 16.09
manner of indicating special categories of
documents cited in, indications of (O,E,A,P,T,L) ......§ 507, ISPEG 16.59
no additional matter to be contained in .................R. 43.9, ISPEG 16.05
opportunity to amend claims after receipt of ..........Art. 19
subject matter classification symbols indicated ...........§ 504
subject matter not required to be searched ................R. 39
subject matter restriction .......................................ISPEG 16.25-16.29
time limit for establishing ......................................Art. 17(2), 18(1), R. 42.1, ISPEG 16.03
title .................................................................R. 4.3, 37, 44.2, ISPEG 16.40-16.43
transmittal of, from ISA to IB .................................R. 44
translation of —, by or under responsibility of IB .......Art. 18(3), R. 45
types of — ..................................................Art. 15(5), 16(1), ISPEG 2.20, 16.06

See DATE(S)

CITATIONS

CLASSIFICATION

UNITY OF INVENTION
INTERNATIONAL SEARCHING AUTHORITY (ISA)

generally .........................................................Art. 16
defined ...............................................Art. 16(1), (3)(a)
agreement between — and IB ..................Art. 16(3)(b), 17(1), R. 35.2(a)
appointment by Assembly ....................Art. 16(3), R. 35
minimum requirements .........................Art. 16(3)(c), R. 36
competent — (specified by RO) ..............Art. 16, R. 35
several competent ..................................Art. 16(2), (3), R. 35.2, ROG 114
indication of applicant’s choice in Req. .........R. 4.1(b)(iv), 4.14bis, 35.3(b), ROG 115
where IA is filed with IB as RO..................R. 35.3(b)
procedure before — .................................Art. 17
receipt of SC by — ..................................Art. 12(1), R. 25.1, Form ISA/202
records, keeping by — ..........................R. 93.3, 93.4
See INTERNATIONAL SEARCH

INTERNATIONAL-TYPE SEARCH

generally ...............................................Art. 15(5), 65(1), R. 4.11(a)(i)-(ii), 41.1, AI Annex E(4)
international-type search report ..............Art. 15(5), Form ISA/201, ISPEG 2.20, 16.06

INTERPRETATION

disputes concerning — of Treaty or Reg. may be brought before International Court of Justice......Art. 59
— of certain words ..................................R. 2

INTERRUPTION OF MAIL SERVICE

See MAIL

INVENTION, TITLE

See TITLE

INVENTION, UNITY OF

See UNITY OF INVENTION

INVENTIVE STEP

citation of documents in ISR of particular relevance to — ......................R. 43bis.1(a)(i), ISPEG 13.01-13.19
IPEA procedure applies to ISA .....................R. 43bis.1(b)
— for IPE purposes ....................................Art. 33(1), ISPEG 13.01-13.19
definition ..................................................Art. 33(3), R. 65
procedure where no meaningful opinion can be formed on — ..........................Art. 34(4)(a)(ii), R. 66.2(a)(ii)
required statement in IPRP (Ch.II) relating to — in relation to each claim ..................Art. 35(2), R. 70.6(b)
guidelines for explanations concerning statement ...R. 70.8, § 604

See CITATIONS

INTERNATIONAL PRELIMINARY EXAMINATION
INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Ch.I)
INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Ch.II)
INTERNATIONAL SEARCH
INTERNATIONAL SEARCH REPORT (ISR)
PRIOR ART

INVENTOR(S)

applicant(s) not qualified because not — ........................Art. 27(3)
declaration(s) in Req. as to identity of — ........................Art. 27, R. 4.1(c)(iii), 4.17(i), 51bis.1(a)(i), § 211
correction or addition of ................................Art. 27, R. 4.17, 26ter.1
as replacement or additional sheet ...........§ 216
evidence may be required in national phase ................................Art. 27, R. 51bis.1(a)(v)
of inventorship ........................................Art. 27, R. 4.1(c)(iii), 4.17(vi), 51bis.1(a)(iv), § 215
name of — and other data relating to — as optional content of Req...............................Art. 4(4), R. 4(c)(i), 4.4, 4.6(b), (c)
mandatory content of Req ..........................Art. 4(1)(v), R. 4.1(a)(iv), 4.4, 4.6
recording of changes concerning ................................R. 92bis
See DECLARATION(S)

INVENTOR'S CERTIFICATE (OF ADDITION)
IA for purposes of ..................................................Art. 43
indications to be made in Req.................................R. 4.11(a)(iii)

INVITATION
— by RO to
authorize transmittal of purported IA to
the IB as RO and to pay fee ..................R. 19.4(a)(iii), § 333, Form RO/152
correct
defects in IA .............................................Art. 3(4)(i), 14(1), R. 26, Form RO/106
priority claim .............................................R. 4.10, 26bis.1, 26bis.2(a), (b)
purported IA .............................................Art. 11(2)(a), R. 20.6, Form RO/103
furnish
original of document..........................R. 92.4(d), (e), (f), Form RO/141
translation of IA and to pay , where
applicable, late furnishing fee ..................R. 12.3(c), (e), Form RO/150
pay
fee for preparation of copies ..................R. 21.1(c), § 305bis.(a), Form RO/122
prescribed fees together with late payment fee .......R. 16bis, Form RO/133
request rectification ................................R. 91.1(d), Form RO/108
— by IB to
correct priority claim ................................R. 4.10, 26bis.1, 26bis.2(a), (b), Form IB/316
furnish original of document transmitted by
telegraph, teleprinter, facsimile machine, etc. .........R. 92.4(d), (e), (f), Form IB/357
indicate competent IPEA ..............................R. 59.3(c)(ii), § 432, Form IB/367
request rectification ................................R. 91.1(d), Form IB/314
— by IPEA to
correct defects in
correspondence submitted by the applicant ..........R. 92.1(b), 92.4(g)(ii), Form IPEA/423
Dem. .............................................R. 60.1, Form IPEA/404
furnish
nucleotide and/or amino acid
SL complying with standard ...................R. 13ter.2, § 208, AI Annex C, Form IPEA/441
original of document transmitted by telegraph,
teleprinter, facsimile machine, etc. ....................R. 92.4(d), (e), (f), Form IPEA/434
translation of priority document ....................R. 66.7(b), Form IPEA/414
indicate competent IPEA ..............................R. 59.3(f), § 601(b), Form IPEA/442
pay
for requested copies of cited documents ..........R. 71.2(b), Form IPEA/421
for requested copies of documents in the file .......R. 94.1, Form IPEA/422
prescribed fees together with late payment fee ......R. 58bis, Form IPEA/440
protest fee ..............................................R. 68.3(e), Form IPEA/437
request rectification ................................R. 91.1(d), Form IPEA/411
restrict or pay additional fees ......................Art. 34(3)(a), R. 68.2, Form IPEA/405
submit amendments ..............................R. 60.1(g), Form IPEA/431
furnish translation for purposes of IPE ............R. 55.2, 55.3, 66.9, Form IPEA/443
— by ISA to
furnish
nucleotide and/or amino acid
SL complying with standard ...................R. 13ter.1, § 208, AI Annex C, Form ISA/225
original of document transmitted by telegraph,
teleprinter, facsimile machine, etc. ....................R. 92.4(d), (e), (f), Form ISA/230
indicate competent IPEA ..............................R. 59.3(f), § 516, Form ISA/235
pay
additional fees ........................................Art. 17(3)(a), R. 40.1, Form ISA/206
for requested copies of cited documents ..........R. 44.3(b), Form ISA/221
request rectification ................................R. 91.1(d), Form ISA/216
invitation by ISA relating to free text in main part
of description ......................................R. 5.2(b), 13ter.1(f), § 204, AI Annex C, Form ISA/233

IRREGULARITIES
See DEFECTS
DELAY(S)
KEEPING OF RECORDS AND FILES
— by IB .................................................................R. 93.2
— by ISA and IPEA ....................................................R. 93.3
— by RO ...............................................................R. 93.1
reproductions .......................................................R. 93.4

KIND(S) OF PROTECTION

meaning of designation in absence of specification
of kind of protection other than patent .................Art. 4(3)
seeking certain kinds of protection .....................Art. 43, R. 49bis.1(a)
indicators to be made in Req ..................R. 4.9(a)(ii)
seeking two kinds of protection .........................Art. 44, R. 49bis.1(b)
indicators to be made in Req ..................R. 4.9(a)(ii)
time of furnishing indications .....................R. 49bis.2
See DESIGNATION(S) (OF STATES)

REGIONAL
UTILITY MODEL
LACK OF UNITY OF INVENTION
See UNITY OF INVENTION

LANGUAGE(S)
— of correspondence
  submitted by applicant to
    IB .................................................................R. 92.2(c), § 104(b)
    ISA/IPEA .....................................................R. 92.2(a), (b)
    RO .................................................................§ 104(a), ROG 21
  from IB to applicant or national Offices ......................R. 92.2(e)
— of Dem. ..................................................................R. 55.1
  notification by IB of defects ......................................R. 60.1(e), Form IB/336
  Dem. considered not to have been submitted
    where translation not furnished..............................R. 55.2(d), 61.1(b), second sentence, Form IPEA/407
— of forms used by International Authorities..............§ 103
— of IA..................................................................Art. 3(4)(i), R. 12
changes in IA ............................................................Rule 12.2
filing of IA ............................................................R. 12.1(a), (b), ROG 55-65
description and claims (requirement for IFD)..............Art. 11(1)(ii), R. 12.1(a)(b), ROG 41-47
  invitation to correct purported IA ........................Art. 11(2)(a), R. 20.6, Form RO/103, ROG 45-47
  notification of facts which should have
    precluded according of IFD................................R. 29.3, Form IB/321
request ..................................................................R. 12.1(c), ROG 59
  notification of IB by RO as to accepted — ..................R. 12.1, 12.4, § 332
publication of notifications of — accepted by ROs
  for IS and international publication ......................§ 405
—(s) of international publication .................................Art. 21(4), R. 48.3
  of Gaz. ...............................................................Art. 21(4), R. 86.2(a), (b)
— of IFRP (Ch.II) and annexes ................................Art. 35, R. 48.3(a), (b), 70.17(a), ISPEG 17.50
— of ISR ...............................................................Art. 18, R. 43.4, ISPEG 16.09
— of PCT ..............................................................Art. 67(1)(a), (b)
See EXPRESSIONS
  INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Ch.I)
  INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Ch.II)
  INTERNATIONAL SEARCH REPORT (ISR)
  TRANSLATION

LATER ELECTION(S)
generally.............................................................Art. 31(4)
  — to be submitted to IB ......................................Art. 31(6)(b)

LATER INDICATION
— of priority application number by RO to IB ..............R. 26bis.1, § 314, 319

LATER SUBMITTED SHEETS, RO PROCEDURE
See ADDITION OF SHEETS TO IA

DRAWING(S)
SHEETS

LEGAL ENTITY
— as applicant ...................................................Art. 27(2)(i)
— as national of Contracting State ..........................R. 18.1(b)(ii)

LETTER(S)
— drawing attention to differences between
  replaced and replacement sheets
  containing amendments before IPEA .....................R. 66.8
correcting formal defects before RO..................................R. 26.4, 46.5
See CORRESPONDENCE

LISTINGS, SEQUENCE
See SEQUENCE LISTINGS

LOSS
— in mail.................................................................Art. 48(1), R. 82.1
possible — of effect of IA in
  Designated States.............................................Art. 24(2), § 112
  Elected States....................................................Art. 39(3), § 112
See EFFECTS
MAIL
irregularities in — service................................................R. 82

MAINTAINING OF EFFECT
— of IA in
Designated States.........................................................Art. 24(2), § 112
Elected States...............................................................Art. 39(3), § 112
See EFFECTS

MAIN INVENTION
IS on — only ....................................................................Art. 17(3)(a), R. 43.7
IPE on — only ............................................................Art. 34(3)(c), R. 68.5, 70.13
definition for purposes of IPE......................................R. 68.5

MAJORITY
decisions in Executive Committee by simple — of
votes cast ..........................................................Art. 54(8)(c)
— decisions in Assembly in absence of quorum
including voting by correspondence ..........................Art. 53(5)(b), R. 85.1

MARGIN(S)
file reference in — ...........................................................§ 109, ROG 143
line numbering to appear in right half of left — ..........R. 11.8(b)
— of IA generally ............................................................Art. 3(4)(ii), R. 11.6-11.8, ROG 142-143
markings to be made by RO in — of Req. where
additional matter deleted in Req. .........................R. 4.17(b), § 303
check list completed by RO ........................................R. 3.3(b), § 313(b)
defects under R. 26.4(a) corrected or obvious
error under R. 91.1 rectified ........................................§ 325(a)(ii)
designations of non-Contracting States cancelled....§ 318
priority claim corrected or added.........................R. 26bis, § 314(a)
priority claim considered not to have been made....R. 26bis.2(b), § 302
sheet numbering not to be placed in — .................R. 11.7(b)
size of — in IA.................................................................R. 11.6

MARKING, SHEETS OF IA
See SHEET(S)

MATHEMATICAL
— formulae
manner of indicating ..................................................R. 10.1(d)
may be contained in text matter ................................R. 11.10(b)
may be presented sideways on sheets ....................R. 11.10(d)
may be written by hand or drawn..............................R. 11.9(b)
— theories as subject matter not required to be
examined ..............................................................Art. 34(4)(a)(i), R. 67.1(i)
searched ..............................................................Art. 17(2)(a)(i), R. 39.1(i)

MEASURES
manner of expressing — .............................................R. 10.1(a)

MENTAL ACTS
— as subject matter not required to be
examined ..............................................................Art. 34(4)(a)(i), R. 67.(iii)
searched ..............................................................Art. 17(2)(a)(i), R. 39.1(iii)

MICROBIOLOGICAL INVENTIONS
See BIOLOGICAL MATERIAL

MICROORGANISMS
See BIOLOGICAL MATERIAL
MINIMUM DOCUMENTATION

information to be published in Gaz. includes list of non-patent literature agreed upon by ISAs for inclusion in minimum — ........................................... R. 86.1(v), AI Annex E(2)

ISA shall consult minimum — ........................................... Art. 15(4), R. 34, ISPEG 15.39

kinds of documents, States, periods and languages identified in ISR though not included in minimum — R. 43.6(b), ISPEG 15.40

minimum requirements as to — for ISA .................................. Art. 16(3)(c)
  access to or possession of minimum — ............................ R. 36.1(ii)
  staff with language facilities to understand at least those languages in which minimum — is written or translated ........................................... R. 36.1(iii)

minimum requirements as to — for IPEA ............................. Art. 16(3)(c), 32(3)
  ready disposal of minimum — .......................... R. 63.1(ii)
  staff with language facilities to understand at least those languages in which minimum — is written or translated ........................................... R. 63.1(iii)

See DOCUMENTATION

MISSING

See ABSENCE

ABSTRACT (PART OF AN IA)

DRAWING(S)

TITLE

MODELS

See UTILITY MODEL

MODIFICATION

— of AIs ................................................................. R. 89.2(b)
  published in Gaz..................................................... R. 89.3(a)
— of Reg. ............................................................... R. 88

See AMENDMENT(S)

CORRECTION(S)

RECORDING OF CHANGES

MONTH(S)

See TIME LIMIT(S)

MORALITY

IA may not contain expressions or drawings contrary to — ........................................... R. 9.1(i)

if IA contains expressions or drawings contrary to —, IB may omit them from its publications ............. Art. 21(6)

MULTIPLE DEPENDENT CLAIM

See DEPENDENT CLAIMS
NAME
prescribed indications concerning — in
Dem. .................................................................Art. 31(3), R. 4(1)(iii), 4.4-4.7, 4.16, 53.4, 53.5
IA of.................................................................Art. 4(1)(iii), (v), R. 4(1)(iii), 4.4, 4.16, ROG 79-80, 88-94, 118
agents..............................................................R. 4.7
applicants .......................................................R. 4.5(a)
inventors .......................................................R. 4.6
separate power of attorney .........................R. 90.4(c), ROG 119
recording of changes in —(s).........................R. 92bis.1(a), § 422bis

NATIONAL APPLICATION
definition.......................................................Art. 2(vi)

NATIONAL EXAMINATION
delay of — .....................................................Art. 40
results of — ....................................................Art. 42

NATIONAL FEE
See FEE(S)

NATIONAL LAW
definition.......................................................Art. 2(x)
access to file held by EO depending on — .........R. 94.3
biological material and —.....................................R. 13bis.2, 13bis.3(b), 13bis.4(b), 13bis.6, 13bis.7
certain — requirements allowed in respect of
form and contents generally....................................Art. 27(1)-(4), R. 51bis.1
declarations.....................................................Art. 27(1)-(4), R. 4.1(c)(iii), 4.17, 51bis.1(a), § 211-216
certain circumstances in which documents or
evidence may not be required.........................R. 51bis.2
opportunity to comply with — requirements.......R. 51bis.3
references/indications as to biological material
(if notified to and published by IB) .....................R.13bis.3(a)(iv), 13bis.4(b)
incompatibility with — of certain regulations........R. 4.10(d), 20.4(d), 26.3ter (b), (d), 49.5(l), 51bis.1(f), 51bis.2(c), 51bis.3(c), § 703(f)
indications in Req. relating to — concerning
addresses......................................................R. 4.4(c)
different inventors for different designated
States ..............................................................R. 4.6(c)
inventor (mandatory/optional indications).........Art. 4(1)(v), R. .4.1(a)(iv), (c)(i)
manner of claiming and — ..................................R. 6.3(c), 6.4(a), 6.5
— of DO/EO applicable in respect of
amendments before
DOs ..............................................................Art. 28, R. 52.1
EOs ..............................................................Art. 41, R. 78.1(b)
claims if utility model is sought......................R. 6.5
furnishing of evidence by applicant...............Art. 27(6)
indication in description of (best) mode for
carrying out invention.....................................R. 5.1(a)(v)
language(s) for translation for national phase.....R. 49.2
representation of applicant.............................Art. 27(7)
substantive conditions of patentability, generally ..Art. 27(4)-(7)
unity of invention if utility model is sought........R. 13.5
withdrawal of dem./election not to be considered
withdrawal of IA ............................................Art. 37(4)(b), R. 90bis.7(a)
— of office acting as IPEA applicable in respect of
multiple dependent claims to be drafted in manner
different from that provided in Reg. ...............Art. 34(4)(b), R. 66.1
— requiring that national applications be filed by
inventor ......................................................Art. 27(3)
EO may not require applicant to furnish information
on — of papers connected with examination relating
to same IA in any other EO..............................Art. 42
one of applicants/inventors unavailable to sign
Dem. electing such State……………………………………..R. 53.8(a)
IA……………………………………………………………………..R. 4.15
notice of withdrawal ...................................................R. 50bis.5(b)
publication by IB of information on — requirements
applicants…………………………………………………………..R. 18.4
“seal” meaning “signature” under — ………………………R. 2.3
time limit, excuse of delay in meeting for reasons
admitted under — …………………………………………………Art. 48(2)(a)
“time limit” in Art. 48(2) comprises reference to
time limit applicable by RO under its — ……………..R. 82bis.1(ii)
time limit in — applicable by DO or EO for
performance of acts by applicants …………………..R. 82bis.1(iii)
See DECLARATION(S)
NATIONAL SECURITY

NATIONAL OFFICE

definition …………………………………………………………………Art. 2(xii)
computation of time limits
date of documents/letters issued by — ………..R. 80.6
expiration of period on working day on
which — closes for business……………………………..R. 80.7(a)
expiration on non-working day or official holiday
of period during which document/fee must
reach — ………………………………………………………………R. 80.5
correspondence
attempted transmission by telegraph, teleprinter,
facsimile machine, etc. to — ………………………………R. 92.4(c)
confirmation copy to — ………………………………………R. 92.4(d)-(f)
language of correspondence from IB to — ……………R. 92.1
mailings from — …………………………………………………R. 92.3
— not obliged to receive document by telegraph,
teleprinter, facsimile etc. ………………………………R. 92.4(h)
dates, expressing by — …………………………………………R. 79
duty of — to notify IB of yearly DO/EO national phase
statistics ………………………………………………………………§ 112
electronic transmittal between national Offices ……….R. 89bis.3
no obligation of — to receive or process IAs
in electronic form …………………………………………………R. 89bis1(d)
EO may require that IPRP (Ch.I, Ch.II) established in
language other than (one of) official language(s)
of — be translated into English ……………………………R. 72.1
irregularities in mail service
application by — to delivery service of provisions
relating to mail…………………………………………………..R. 82 (d), (e)
proof to — of delay or loss in mail ……………………..R. 82.1(b)
proof to — of interruption in mail service …………………R. 82.2(b)
minimum documentation consisting of certain patents
issued or published by certain — …………………..Art. 15(4), R. 34.1(b)
minimum requirements for — as
ISA……………………………………………………………………Art. 16(3)(c), R. 36.1
IPEA …………………………………………………………………Art. 32(3), R. 63.1(i)
nationality/residence of applicant is determined, if
IA filed with IB as RO, by — concerned, for
purposes of right to file
IA ………………………………………………………………………..R. 18.1(c)
Dem. ……………………………………………………………………R. 54.1(b)
national law of — acting as IPEA not allowing
multiple dependent claims to be drafted in manner
different from that provided in Reg. ……………………..Art. 34(4)(b), R. 66.1
— as competent RO………………………………………………R. 19(a)(i), (ii), (b), (c), 19.2(i)
presentation of fact of delegation of duties of RO……..R. 19.3(a)
notification by — of national publication where IA
has not been published under Art. 21(2)………………..Art. 64(3)(c)(ii), R. 48.6
Req. may contain
request to — to prepare and transmit priority
document ……………………………………………………..R. 4.1(c)(ii)
number or other indication under which
agent is registered with — ……………………………..R. 4.7(b)
applicant is registered with — …………………………R. 4.5(e)
Req. shall contain reference to earlier search made
by — which is not ISA ............................................R. 4.11
right to practice .......................................................Art. 49
before
IB as RO depends on right to practice
before — ..............................................................Art. 49, 83.1bis(a)
information by — as to right to practice........Art. 49, R. 83.1bis(a)
— as requirement to be appointed as
agent ........................................................................R. 90.1(a)-(c)
sub-agent ..............................................................R. 90.1(d)
specification of — as IPEA by Assembly where IA is
filed by applicant not entitled to make Dem. .............Art. 31(2)(b), R. 59.2
start of IPE if competent IPEA is part of same — as
competent ISA (“telescoped procedure”) ....................R. 69.1(b)
translation of IA need not be furnished to IPEA if
part of same — as ISA .............................................R. 55.2
transmittal of
copy of IA by IB to — as DO .................................Art. 13(1), R. 31.1
IA by — to IB as RO ...............................................R. 19.4(b)
IA filed with — which is not competent RO .............R. 19.4(a)(i)
IA not filed in language accepted by — .....................R. 19.4(a)(ii)
See WAIVER
NATIONAL PATENT
definition .............................................................Art. 2(iii)
See MINIMUM DOCUMENTATION
NATIONAL PHASE
See NATIONAL PROCESSING
NATIONAL PROCESSING
delaying of — .......................................................Art. 23, 40
requirements for — ...............................................Art. 22, 39, R. 49, 51bis, 76
results of — in different countries .........................Art. 42
SL on paper and statement (AI Part 8)
requested by DO ....................................................§ 806
See AMENDMENT(S)
DESIGNATED OFFICES
KIND(S) OF PROTECTION
NATIONAL REQUIREMENTS
See DECLARATION(S)
NATIONAL LAW
NATIONAL SECURITY
any Contracting State may apply measures deemed
necessary for the preservation of its — ......................Art. 27(8)
review of IA by RO for — .................................Art. 27(8), R. 22.1(a), ROG 32-34, 286, 287
transmittal of RC to IB prevented or delayed by —
  prescriptions .....................................................Art. 27(8), R. 22.1(a), § 330, ROG 33, 286, 287, 295
notification concerning failure to forward RC
  and SC for national security reasons ....................R. 15.6(iii), 16.2(iii), 22.1(a), § 330, Form RO/147
transmittal of purported IA to IB as RO prevented
  or delayed by — prescriptions .............................R. 19.4(b)
refund by RO if IA not treated as such due to
  prescriptions concerning —
  of international filing fee ..................................R. 15.6(iii), Form RO/119, ROG 268
  of search fee ..................................................R. 16.2(iii), § 322, Form RO/119, ROG 269, 270
refusal by RO to certify IA where not treated as
  such for — reasons ............................................R. 22.1(e)(ii)
NATIONALITY
concept of — in case of several applicants ............Art. 9(3), R. 18.3
defects in indication of applicant's — where
right to file is affected ........................................Art. 14(1)(a)(ii), R. 4.5(b), § 329
— as requirement for right to file
  Dem. .............................................................Art. 31(2), R. 54.1, 54.2
IA .................................................................Art. 9(1), 11(1)(i), R. 18, ROG 82-86
indications to be made in

Dem. ............................................................................Art. 31.3, R. 4.5(b), 53.5
defects before

IB ............................................................................Art. 31(3), R. 60.1(e), Form IB/336
IPEA ............................................................................Art. 31(3), R. 60.1, Form IPEA/404, ISPEG 22.37
Req. .............................................................................Art. 4(1)(iii), R. 4.5(b)
defects in indications before RO ................................Art. 14(1)(b), R. 26, § 316, ROG 153-165
invitation to correct .............................................Art. 3(4)(i), 14(1), R. 26, Form RO/106
recording of changes to — by IB .............................R. 92bis.1

NATIONAL(S)
— of Contracting State may file IA ............................Art. 9(1)
— of country party to Paris not being
Contracting State and filing IAs .............................Art. 9(2)

NEW MATTER
— in references to biological material ......................R. 13bis.3(a)(iv)
— in Req., ex officio deletion of ............................R. 4.17(a), (b), § 303, ROG 163
— not to be contained in amendments under
Art. 19 .............................................................................Art. 19(2), (3)
Art. 34 .............................................................................Art. 34(2)(b), R. 70.2(c), ISPEG 20.03
— not to be contained in ISR .................................R. 43.9, ISPEG 16.05

NOMENCLATURE
See TERMINOLOGY AND SIGNS

NON-OBVIOUS(NESS)
See INVENTIVE STEP

NON-WRITTEN DISCLOSURE
See DISCLOSURES

NON-PREJUDICIAL DISCLOSURE
declaration(s) in Req.

as to non-prejudicial disclosures or exceptions to
lack of novelty ..........................................................Art. 27, R. 4.1(c)(iii), 4.17(v), § 216

as replacement or additional sheet .........................§ 216
correction or addition of — .................................Art. 27, R. 4.17., 26ter.1

evidence concerning — may be required in
national phase ......................................................Art. 27(6), R. 51bis.1(a)(v)

NOTE(S)
note on informal communication with the applicant .......R. 66.6, Form IPEA/428

NOTICE(S)
copy of — referred to in R. 20.7(i) to be attached to
applicant’s request to IB to send copies of
documents in the file for review by DOs ...............Art. 25, R. 51.2

failure to furnish indications concerning inventor in
separate — is without consequence in any designated
State which does not require such indications ..........Art. 4(4)
general power of attorney on separate — ..............R. 90.5(a)

— informing applicant of communication of IA
to DOs .................................................................R. 47.1(c), Form IB/308

publication in Gaz. of

— concerning notification under R. 29.1(a)(ii)
which reached IB when publication could no
longer be prevented ...........................................R. 48.6(a)
— of withdrawal which reached IB when publication
could no longer be prevented ...........................R. 48.6(c)

start of IPE

when IPEA is in possession of notice of
declaration that no ISR will be established .........Art. 17(2)(a), R. 69.1(a)(iii)

where statement concerning amendments contains
indication that start is to be postponed, IPEA is not
to start before receipt of — from applicant that he
does not wish to make amendments under Art. 19 ..R. 69.1(d)

NOTIFICATION(S)
certain —(s) by DG to States party to Paris ..........Art. 69
— by DOs/EOs to IB concerning IAs not having entered national phase ................................................. § 112
to applicant from ISA that WO-ISA will be the same for IPEA ........................................................ R. 43bis.1(c), Form ISA/237
to IB from IPEA that it will produce written opinion separate from ISA .................................................. R. 66.1bis(b), Form IPEA/408

NOVELTY
evidence concerning exceptions to lack of — may be required in national phase ............................................. R. 51bis(a)(vi)
— considered by ISA .......................................................... R. 43bis.1(a)(i), ISPEG 12.01-12.10
citation of documents in ISR of particular relevance to — ......................................................... R. 43.5(c), § 505
IPEA procedure applies to ISA ........................................ R. 43bis.1(b)
— for IPE purposes .......................................................... Art. 33(1), (2), ISPEG 12.01-12.10
procedure where no meaningful opinion can be formed on — ............................. Art. 34(4)(a)(ii), R. 66.2(a)(ii)
required statement in IPRP (Ch.II) relating to — in relation to each claim ................................... Art. 35(2), R. 70.6(b)
guidelines for explanations concerning statement — .......................................................... R. 70.8, § 604
statement in Req.. concerning non-prejudicial disclosure or exceptions to lack of — .................. Form RO/101, ROG 113
See CITATIONS
INTERNATIONAL PRELIMINARY EXAMINATION
INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Ch.I)
INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Ch.II)
INTERNATIONAL SEARCH
INTERNATIONAL SEARCH REPORT (ISR)
PRIOR ART

NUCLEOTIDE AND/OR AMINO ACID SEQUENCE LISTINGS
See SEQUENCE LISTINGS

NUMBER
— and numbering of Claims ............................................. R. 6.1
— of copies of IA to be filed ............................................. Art. 12(1), R. 11.1, 21, § 305
— of IA (International Application Number) ..................... R. 20.1, § 307
marking on sheets of IA and translation thereof ........... § 308

NUMBERING
— of claims ____________________________________________ R. 6.1
on amendments ..................................................................... § 205
electronic carriers of SLs (AI Part 8) ................................. § 802(a)
replacement electronic carriers ...................................... § 802(b)
IAs .............................................................................. § 307
lines ............................................................................. R. 11.8
sheets ............................................................................ R. 11.7, § 207, ROG 139-140
for international publication ........................................... § 410
of drawings .............................................................. § 207(b)(iii), ROG 139-140
of SLs ........................................................................... § 207(b)(iv), ROG 139-140, 225
renumbering in case of deletion, substitution or addition ............................................. § 311
OBSERVATIONS
See COMMENTS

OBSERVERS
— at revision conferences
  IGOs appointed as ISAs or IPEAs.................................Art. 60(3)
— to meetings of Assembly ...........................................Art. 53(2)(a)(ix)
  IGOs appointed as ISAs or IPEAs.................................Art. 53(8)
— to meetings of Executive Committee
  Contracting States not members of Committee and
  IGOs appointed as ISAs or IPEAs .................................Art. 54(9)

OBVIOUS ERRORS IN DOCUMENTS
See RECTIFICATION

OBVIOUSNESS/NON-OBVIOUSNESS
See INVENTIVE STEP

OFFICE
See DESIGNATED OFFICE
  ELECTED OFFICE
  NATIONAL OFFICE
  RECEIVING OFFICE

OFFICIAL
— text of Treaty established by DG in certain languages Art. 67(1)(b)

OPINION
See WRITTEN OPINION

OPPORTUNITY
— to correct IA before DO.............................................Art. 26

ORAL COMMUNICATION
See INTERNATIONAL PRELIMINARY EXAMINATION

ORGANIZATION
definition..............................................................Art. 2(xviii)
PARENT
— application or grant ..................................................... R. 4.1

PARIS CONVENTION
claiming priority under — ...............................................Art. 8(1), (2)(a)
IA equivalent to regular national filing within meaning of — ..................................................Art. 11 (3), (4)
no provision of Treaty to be interpreted as diminishing rights under — ..................Art. 1(2)
— applicable to PCT ..................................................... Art. 62(3)

PATENT
definition .................................................................Art. 2(ii), (ix)
national — .............................................................Art. 2(iii)
declaration(s) in Req.
as to applicant’s entitlement, as at IFD, to apply for and be granted a patent..................Art. 27, R. 4.1(c)(i)(ii), 4.17(ii), 51bis.1(a)(ii), § 213
correction or addition of — ...................................Art. 27, R. 4.17, 26ter.1
as replacement or additional sheet ..................§ 216
evidence may be required in national phase ....Art. 27(6), R. 51bis.1(a)(ii)
as purpose of designation .....................................Art. 4(3)
regional — ..........................................................Art. 2(iv)
regional — treaties..................................................Art. 45
See DECLARATION(S)

PATENT COOPERATION TREATY (PCT)
administrative provisions .............................................Art. 53 to 58
amendment of Certain Provisions .........................Art. 61
Assembly of Contracting States ..................................Art. 53
becoming a Party to the — .........................................Art. 62
Committee for Technical Cooperation .....................Art. 56
denunciation .............................................................Art. 66
disputes .................................................................Art. 59
entry into Force of — ..............................................Art. 63
executive Committee of Assembly .............................Art. 54
final Provisions (becoming party to, entry into force reservations, gradual application, denunciation, signature and languages, depositary functions, notifications) .............................................Art. 62
finances .................................................................Art. 57
gradual Application of — in a State .........................Art. 65
International Bureau (IB) .........................................Art. 55
notifications to States/Governments re — Issues ....Art. 69
reservations by any State re Application of — provisions ..................................................Art. 64
revision of — .........................................................Art. 60

PATENT INFORMATION SERVICES
See INFORMATION SERVICES

PATENT OF ADDITION
See CERTIFICATE OF ADDITION

PATENTABILITY
substantive conditions of — are matter for national law ..................................................Art. 27(5)

PCT-SAFE
Req in — format together with — diskette..................R. 89ter, § 102(i), 102bis, ROG 18A, 165A-165M, 246A, 284A
acceptance by RO to receive decision to accept in particular cases ..................ROG 165C
PERIODS OF TIME

See TIME LIMIT(S)

PETITION

— as required element in Req..........................Art. 4(1)(i), R. 4.1(a)(i), 4.2, 53.2(a), 53.3

PHYSICAL REQUIREMENTS

before DO

DO may invite correction of drawings in case of non-compliance with physical requirements...............R. 49.5(g)

before IB

defects noted by IB .......................................................Art. 3(4)(ii), 14(1)(a)(v), (b), R. 11, 28.1, Form IB/313

before RO

not required — are complied with to extent necessary for purposes of reasonably uniform international publication R. 11, 26.3(a)(i), 26.3bis

required compliance of IA with prescribed — Art. 3(4)(ii), 14(1)(a)(v), 14(1)(b), R. 11, 26.1-26.3bis, 26.5, 26.6, Form RO/106

See ABSTRACT (PART OF AN IA)

CORRECTION(S)

DEFECTS

DRAWING(S)

PLANT VARIETY (IES)

— as subject matter not required to be

examined .....................................................................Art. 34(4)(a)(i), R. 67.1(ii)

searched .......................................................................Art. 17(2)(a)(i), R. 39.1(ii)

POWER OF ATTORNEY

general —.............................................................R. 90.5

manner of appointment ...........................................R. 90.4

notification concerning representation

by IB to RO, ISA, IPEA and applicant.................§ 425, Form IB/319

by ISA to IB .............................................................§ 512

by RO to IB ..................................................................§ 608

by RO to IB and ISA...........................................R. 90, § 328, Form RO/123, ROG 312

processing by RO.....................................................R. 90, § 328, Forms RO/123, RO/124, ROG 119, 120, 125, 312

requirements for valid — .............................................R. 90.1, 90.5, ROG 124, 125

See AGENT

CHECK LIST

PRACTICE BEFORE INTERNATIONAL AUTHORITIES

See RIGHT TO PRACTICE

PRELIMINARY EXAMINATION (INTERNATIONAL)

See INTERNATIONAL PRELIMINARY EXAMINATION
PRELIMINARY EXAMINING AUTHORITY

See INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

PRESCRIBED

language of IA ......................................................... Art. 3(4)(ii), R. 12, ROG 55-71
physical requirements ................................................. Art. 4(4)(ii), R. 11, ROG 132-146
See DEFECTS

FEES

PRIOR ART

— for IPE purposes ................................................ Art. 33 (2), (3), R. 64, 70.9, 70.10, ISPEG 11.01-11.26
— for IS purposes ................................................ Art. 15(2), R. 33.1, ISPEG 11.01-11.26

See CITATIONS

INTERNATIONAL SEARCH

INTERNATIONAL SEARCH REPORT (ISR)

MINIMUM DOCUMENTATION

NOVELTY

PRIORITY APPLICATION

DO/EO may require proof of applicants entitlement to claim priority where applicant is not applicant of — or where applicant’s name has changed since filing of — ..................................................... R. 51bis.1(iv)
fil ing date of — as relevant date for prior art for IPE .......................................................... Art. 33(2), (3), R. 64.1(b)(ii), 64.3
indications as to — in notification of IA number and IFD ................................................. R. 20.5(c), § 324, Form RO/105
notification of receipt of RC ............................................. R. 24.2(a)(iii), Form IB/301
on ISR .......................................................................... R. 43.2
late submission to IB of — number ............................................ § 408(b), (c), Form IB/305
receipt by RO of — number ............................................. § 323(a), (b), (c), Form RO/135
See PRIORITY CLAIM

PRIORITY CLAIM

generally ............................................................... Art. 8, R. 4.1(b)(i), 4.10, 17, ROG 166
before IPEA ............................................................. ISPEG 6.01-6.17
RO ............................................................................... Art. 8, R. 4.10, ROG 166-178
correction or addition of —
before IB ............................................................. R. 26bis.1, 26bis.2, § 402, Form IB/318
before RO ............................................................. R. 26bis.1, 26bis.2, § 314, Form RO/111, ROG 170
on applicant’s initiative ............................................. ROG 173-178
made after the expiration of the time limit under Rule 26bis.1(a) ......................................... ROG 176
correction of —
ex officio correction .................................................. ROG 168
invitation to correct — by IB ......................................................... R. 4.10, 26bis.1, 26bis.2(a), (b), Form IB/316
RO ................................................................. R. 4.10, 26bis.1, 26bis.2(a), (b), Form RO/110, ROG 167
may cause recomputation of time limits ......................... R. 26bis.1(c)
time limit for — ......................................................... R. 26bis.1(a), ROG 169
declaration(s) in Req.
as to applicant’s entitlement, as at IFD, to claim priority of earlier application ................................... Art. 27, R. 4.1(c)(iii), 4.17(iii), 51bis.1(a)(iii), § 214
correction or addition of ............................................. Art. 27, R. 4.17, 26ter.1
as replacement or additional sheet ........................................ § 216
evidence concerning entitlement to claim priority of earlier application may be required in national phase ............................................. Art. 27(6), R. 51bis.1(a)(iii)
indications to be made in ............................................. R. 4.10(a)
— considered not to have been made by IB ......................................................... R. 26bis.1, 26bis.2, § 409, Form IB/318
not if priority application number is missing or indication in — is not same as in priority document ......................................................... R. 26bis.2(b)
request to publish information concerning — ......... R. 26bis.2(c)
RO ................................................................. R. 26bis.1, 26bis.2, § 302, Form RO/111, ROG 171, 172
— before IPEA............................................................. R. 64.1(b)(ii), 66.7(b), 70.2(b), 70.10
publication of — in
Gaz.................................................................R. 86.1(i), Al Annex D (item 3)
international publication...............................R. 48.2(a)
rectification in national phase of — erroneously
considered not to have been made ...............R. 82ter.1
withdrawal of — ..................................................R. 90bis.3
notification of withdrawal..............................R. 90bis.3, § 415 (a), (b), Form IB/317
See DECLARATION(S)

PRIORITY DATE
availability of IA to DOs upon request
after one year from — ........................................ Art. 13(1)
before IPEA ........................................................ ISPEG 6.01-6.17
change of —
effect of change of — ........................................ R. 26bis.1(c), ROG 192
notification of correction of — by
IB................................................................. § 402, Form IB/318
RO ................................................................. § 314, Form RO/111
meaning of — for purposes of computing time limits .... Art. 2(xi)

PRIORITY DOCUMENT
applicant must submit — to IB or RO ................ R. 17.1(a), ROG 179
— before
DO
opportunity to furnish — ................................. R. 17.1(c), 17.2, § 805(b)(iv)
EO
translation and time limit to furnish ............... Art. 39, R. 76
IB
furnishing of copies of — (by IB) ....................... R. 17.2(c), 66.7(a), Form IB/353
invitation by IB to applicant to furnish copy
of — where requested by IPEA ........................ § 421
notification concerning submission or
transmittal of — ........................................... § 411, Form IB/304
transmittal to
DOs .............................................................. R. 17.2, § 805(b)(iv)
IPEA .............................................................. R. 66.7, § 805(b)(iv)
IPEA
generally ........................................................ R. 66.7, ISPEG 6.17
invitation by IPEA to furnish translation of — .... R. 66.7(b), Form IPEA/414
RO
notification of date of receipt of — or
of priority application number ..................... § 323(a), (b), (c), Form RO/135
preparation by RO and transmittal to IB ........ R. 17.1(b), 17.1(b-bis), § 323
transmittal of copies .................................... R. 17.2, Form IB/351, ROG 179
notification that copy will not be furnished .... R. 17.2(c), Form IB/354
See DIGITAL LIBRARY

PROCEDURE(S)
before
DO ................................................................. Art. 22-29, R. 49-52
EO ................................................................. Art. 36-42, R.74-78
IB ................................................................. Art. 19-21, R. 24, 26bis, 28, 45-48
IPEA ............................................................. Art. 31-35, R. 53-71
ISA ............................................................... Art. 15-18, R. 25, 33-44
RO ............................................................... Art. 10-12, 14, R. 20-23, 26-27, 29-31
“telescoped” — (overlapping IS and IPE) ........... R. 69.1(b), ISPEG 19.10

PROCESSING
See AMENDMENT(S)
DEMAND
INTERNATIONAL APPLICATION
LATER ELECTION(S)
PROCEDURE(S)
PROGRAM OF THE UNION
— determined by Assembly .............................................Art. 53(2)(a)(vi)
draft agenda of Assembly prepared by Executive Committee ...............................................................Art. 54(6)(a)(i)
Executive Committee ensures due execution of — ............................................Art. 54(6)(a)(v)
until establishment of Executive Committee,
annual programs prepared by DG and approved by Assembly ...............................................................Art. 53(10)

PROTECTION AVAILABLE UNDER PCT
See KIND(S) OF PROTECTION
REGIONAL
UTILITY MODEL

PROTEST (AGAINST PAYMENT OF ADDITIONAL FEES AFTER FINDING LACK OF UNITY OF INVENTION)
definition..........................................................................R. 40.2 (c), 68.3(c)
— before IB
transmittal by IB to DO/EO, upon request of applicant, texts of — and decision thereon .........R. 40.2 (c), § 403, Forms ISA/212, IPEA/420
— before IPEA .................................................................R. 68.3(c), (e), § 603, Form IPEA/420
invitation to pay — fee..................................................................R. 68.3(e), Form IPEA/437
notification of decision on — .................................................R. 68.3(c), (e), § 603, Form IPEA/420
transmittal by IPEA to applicant of decision on — .................................................R. 68.3(c), § 603
IB, copy of — and decision thereon..................................................R. 68.3(c), § 603
EOs, upon request of applicant, of texts of — and decision thereon...................................R. 68.3(c), § 603
— before ISA ...................................................................R. 40.2(c), (e), § 502, Form ISA/212
notification regarding review of justification for invitation to pay additional fee .................R. 40.2(e), Form ISA/228
notification of decision on — .................................................R. 40.2(c), (e), § 502, Form ISA/212
transmittal by ISA to IB of applicant’s request to forward texts of — and decision thereon to DOs/EOs.................................................................R. 40.2 (c), § 502, second sentence, Forms ISA/212, IPEA/420

PUBLIC ORDER
expressions or drawings contrary to — in IA
(may be omitted from publication) ...........................................Art. 21(6), R. 9.1(ii)

PUBLICATION
communication of PCT publications free of charge to ISAs, IPEAs and national Offices .........................R. 87, § 435
See GAZETTE
INTERNATIONAL PUBLICATION

PURPORTED
— amendment under Art. 19 received by IPEA after time limit (treated as amendment under Art. 34)...........§ 602(c)
— IA
invitation to correct ..........................................................Art. 11(2)(a), R. 20.6, Form RO/103
failure to correct and notification that — IA is not and will not be treated as IA.......................R. 20.7(i), Form RO/104
later submitted sheets relating to ...........................................§ 309(b)(i), ROG 200-203
marking of papers of ..........................................................§ 308, ROG 35-36
missing drawings relating to ...............................................§ 310(c)(ii), ROG 193-199
numbering of papers of .....................................................§ 307
receipt by RO of papers of ..................................................§ 301, Form RO/125, ROG 35-38
RO keeps papers constituting ..............................................R. 20.7(iii), 93.1
before IB
request by applicant to IB to send copy of file to — DO(s) for review under Art. 25 .............Art. 25(1), R. 51.2
<table>
<thead>
<tr>
<th>Subject</th>
<th>Paragraph</th>
</tr>
</thead>
<tbody>
<tr>
<td>QUALITY</td>
<td>framework for international search and examination...ISPEG 21.01-21.19</td>
</tr>
<tr>
<td>QUORUM</td>
<td>in Assembly..................................................Art. 53(5)(a)</td>
</tr>
<tr>
<td></td>
<td>absence of ..................................................Art. 53(5)(b), R. 85</td>
</tr>
<tr>
<td></td>
<td>in Executive Committee......................................Art. 54(8)(b)</td>
</tr>
</tbody>
</table>
RATIFY/RATIFICATION

instrument of —
DG to notify Governments of all Paris States
of deposit of.........................................................Art. 69(ii)
reservations may be made at time of deposit of .......Art. 64(6)(a)
to be deposited with DG ..........................................Art. 62(2)
Treaty, entry into force after deposit of ..................Art. 63(1)(a), 63(2)

RECEIPT (OF IA BY RO)
generally........................................................................R. 20
electronic —.................................................................§ 704(a)
irregularities.................................................................§ 708(a)
notification and publication of RO requirements....§ 710(a)(ii)
notification of — of
IA............................................................................§ 324, Form RO/105, ROG 44
purported IA .............................................................§ 301, Forms RO/125, RO 199 (RO/IB), ROG 36
RC ...........................................................................R. 24.2(a), Form IB/301
— on different days ...................................................R. 20.2
See FACSIMILE

RECEIVING OFFICE (RO)
generally.................................................................Art. 10
definition.....................................................................Art. 2(xv)
competent — ............................................................Art. 10, R. 19
IFD accorded by —....................................................Art. 11(1)
rectification in national phase of errors made by — R. 82ter
See FEE(S)

RECORD(S)
keeping of — and files....................................................R. 93
electronic —.................................................................§ 711, Annex F 8

RECORD COPY
correction of Req. in — .............................................R. 20.5(b), § 416
facsimile constitutes —...............................................ROG 38
keeping of records by IB, including — R. 93.2(a), 93.4
marking sheets of — by IB ...........................................§ 401, ROG 35, 36, 38
preparation and identification of — by RO ..................Art. 12(1), R. 11.1, 21.1, § 305, ROG 283, 284
IA containing SL (AI Part 8)s....................................§ 804, ROG 284B-284E
IA filed in electronic form ........................................R. 89bis.1(c), § 705(a)-(c), 708(b)(5)
PCT-SAFE filings....................................................ROG 284A
receipt of — by IB .....................................................R. 24
notification of receipt .............................................R. 24.2(a), Form IB/301
— as true copy of IA ..............................................Art. 12(2)
transmittal of — to IB by RO......................................Art. 12(1), R. 22, ROG 285, 287
delay in/failure of transmittal for national securityeasons.................................................................R. 15.6(iii), 16.2(iii), 22.1(a), § 330, Form RO/147, ROG 33, 286
time limit for transmittal ......................................R. 22.1(a)

RECORDING OF CHANGES
generally.................................................................R. 92bis.1
— of common representative ....................................§ 106
notification concerning representation sent by
IB to RO, ISA, IPEA and applicant ......................§ 425, Form IB/319
IPEA to IB .................................................................§ 608
ISA to IB .................................................................§ 512
RO to IB and ISA....................................................R. 90, § 328, Form RO/123
notification by IB of — ........................................R. 92bis.1, Form IB/306
request for — by
applicant ............................................................R. 92bis.1(a), § 422bis, ROG 309-313
RECTIFICATION

— of obvious errors
generally ...............................................................R. 91
before
IB ............................................................................R. 91.1(b), (d)-(f), (g)(iii), § 413, Forms IB/314, IB/315
IPEA .................................................................R. 66.5, 91.1(b), (d)-(f), (g)(ii), § 607, Forms RO/412, IPEA/411, ISPEG 8.09-8.10
ISA ..........................................................................R. 91.1(b), (d)-(f), (g)(i), § 511, Forms ISA/216, ISA/217, ISPEG 8.07-8.08
RO .................................................................R. 91.1(b), (d)-(f), (g)(i), § 325, Forms RO/108, RO/109, ROG Chapter XV
limitations/restrictions on — ......................................R. 91(b)-(g quater)
invitation to request rectification ................................R. 91.1(d), Forms RO/109, IB/314, ISA/216, IPEA/411
notification of decision concerning request for rectification ..................................................R. 91.1(f), Forms RO/108, IB/315, ISA/217, IPEA/412
— in national phase of errors made by RO and IB
incorrect IFD ..........................................................R. 82ter.1
priority claim erroneously considered not to have been made ...........................................R. 82ter.1

REFUND

— invitation to submit request for refund
by IPEA for handling fee and IPE fee ....................... § 613
by ISA for search fee if IA (considered) withdrawn ... § 510(c)
by RO for search fee ............................................... § 322, ROG 270
— of handling fee where
Dem. considered under R. 54.4 not to have been submitted .............................................R. 57.6(ii)
Dem. withdrawn before transmittal to IB .............. R. 57.6(i)
— of international filing fee by
RO where
IA (considered) withdrawn before transmittal of RC to IB .............................................R. 15.6(ii), Form RO/119, ROG 268
IA not treated as such due to prescriptions concerning national security ..........................R. 15.6(iii), Form RO/119, ROG 268
no IFD accorded ..................................................R. 15.6(i), Form RO/119, ROG 268
by non-competent RO (R. 19.4) ......................... ROG 276
— of IPE fee ..........................................................R. 58.3, Annexes D, E
— of search fee by
ISA where
IA withdrawn or considered withdrawn .......... § 510, Form ISA/213
ISR could (partly) be based on results of IS effect on priority application .......................... R. 16.3, Form ISA/213
search is (partly) based on earlier search ..............R. 41.1, Form ISA/213
non-competent RO ............................................... R. 19.4, ROG 276
RO where
IA (considered) withdrawn before transmittal of SC to ISA ............................................. R. 16.2(ii), § 326(c), Form RO/119, ROG 269
IA not treated as such due to prescriptions concerning national security .......................... R. 16.2(iii), § 322, Form RO/119, ROG 269
no IFD accorded .................................................. R. 16.2(i), Form RO/119, ROG 269

REGIONAL

— application, definition ............................................ Art. 2(v)
— patent, definition ................................................... Art. 2(iv)
indication in Req. of designation for — .................. Art. 4(1)(ii)
— patent treaty/treaties
protection via PCT .................................................. Art. 45, R. 4.9(a)(iii)
See DESIGNATION(S) (OF STATES)

REGISTRATION

indication in Req. of —number of
agent ................................................................. Art. 4(1)(v), R. 4.7(b)
applicant ............................................................. Art. 4(1)(v), R. 4.5(c)
of Treaty by United Nations ................................. Art. 68(3)
REGULAR NATIONAL APPLICATION
IA has effect of — in each DO as of IFD.........................Art. 11 (3), (4)
See EFFECT(S)

REGULATIONS UNDER THE PCT
generally.................................................................Art. 58
amendment of Reg. (by Assembly)..............................Art. 58(2), (3), R. 88
provisions of Treaty prevail over — in case of conflict ...Art. 58(5)
— provide for establishment of A.I by DG ......................Art. 58(4)

REIMBURSEMENT
See REFUND

REINSTATEMENT
— after failure to meet time limits
generally .................................................................Art. 48, R. 82, 82bis, Guide Vol. II, National Chapters
time limit to perform acts referred to in
Art. 22 .................................................................R. 49.6, Guide Vol. II, National Chapters
Art. 39 .................................................................R. 76.5, Guide Vol. II, National Chapters

REJECTION
DO must give applicant opportunity to correct
before — of IA..........................................................Art. 26

RENUMBERING, SHEETS OF IA
See ADDITION OF SHEETS TO IA
CORRECTION(S)
DELETION
PHYSICAL REQUIREMENTS
SHEETS

RENUNCIATION (OF APPOINTMENT)
generally (of appointment by agent or CRep)............R. 90.6(d)
manner of renunciation .............................................R. 90.4 (b), (c), 90.6(e)
notification concerning renunciation and request to
record change sent by
IB to RO, ISA, IPEA and applicant ..................§ 425, Form IB/319
ISA to IB .................................................................§ 512
IPEA to IB .................................................................§ 608
RO to IB and ISA .................................................R. 90, § 328, Form RO/123

REPLACEMENT
— copy of IA filed electronically.........................§ 708(b)(iv), (v)
— print-out of PCT-SAFE filing .........................ROG 165I, 165K
— SL on electronic medium (AI Part 8).................§ 801(d), 802(b), ROG 226
See REPLACEMENT SHEETS

REPLACEMENT SHEETS
before
IB
amendments of claims under Art. 19 in
form of —..........................................................R. 46.5, § 205
correction
of defects under Art. 14(1)..............................Art. 14(1), R. 26.4, § 413(a)
of defects under Art. 3(4)(i)..............................Art. 3(4)(i), R. 26.3ter
of expressions, etc. not to be used...........R. 9.2, § 413(b)
or addition of declaration(s) relating to
national requirements as —......................R. 27, R. 4.17, 26ter.1, § 216
rectification of obvious error as —............R. 91.1, § 413(b)
(re)numbering of sheets for international
publication.........................................................R. 11.7, § 207, 410
IPEA
amendments in form of —.............................R. 66.8
amendment of claims under Art. 34(2)(b) .........§ 205
— annexed to IPRP (Ch.II)......................R. 70.16
ISA
SL sheets.........................................................R. 13ter.1(f), § 513(a)
RO

backup copy as — (e-filing) ........................................ § 706(d)


defects under Art. 3(4)(i) ......................................Art. 3(4)(i), R. 26.3ter, ROG 210

of expressions, etc. not to be used ......................R. 9.2, § 325(c), ROG 211, 214-216

or addition of declaration(s) relating to national requirements as — (erroneously to RO) .......Art. 27, R. 4.17, 26ter.1, § 216, 317

rectification of obvious error as — ....................R. 91.1, § 325, ROG 213-216, 218-221

(re)numbering of sheets in case of deletion or addition of sheets by ...........................................R. 11.7, § 207, 311

— containing correction under R. 26 and rectification under R. 91.1 .........................................ROG 217-221

— submitted together with request for recording of changes ................................................ROG 212

See ADDITION OF SHEETS TO IA

CORRECTION(S)
DEFECTS
DELETION
NUMBERING
SHEETS

REPORT

See INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Ch.I)

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Ch.II)

INTERNATIONAL SEARCH REPORT (ISR)

REPRESENTATION/REPRESENTATIVE

See AGENT

ATTORNEY
COMMON REPRESENTATIVE
RENUNCIATION (OF APPOINTMENT)
REVOCATION (OF APPOINTMENT)

REPRODUCTION(S)

defined for purposes of keeping records and files ..........R. 93.4

REPUBLICATION

— amended claims ...........................................R. 48.2(h)

— of ISR ......................................................R. 48.2(g)

republished IAs in minimum documentation ............R. 34.1(d)

REQUEST

— as element of IA ........................................Art. 3(2), 4

commencing on separate sheet .............................Art. 3(2), R. 11.4, § 207, Form RO/101

contents of — ................................................Art. 4, R. 4

ex officio correction of — by

IB  ...........................................................................§ 416

RO ..........................................................§ 327, Form RO/146

deletion of additional matter in ..............................R. 4.18, § 303

language .........................................................R. 12.1(c), ROG 59

invitation to correct ............................................Art. 3(4)(i), 14(1), R. 26.1, 28.1, Forms IB/313, RO/106, ROG 59-65

presented as computer print-out in format other than determined in § 102(h) .................................R. 3.4, § 102(i)

in PCT-SAFE format ........................................R. 89ter, § 102(i), 102bis, ROG 18A, 165A-165M, 246A, 248A

— for extension of effects of IA to successor State ....R. 32.1, § 430

— for information as to right to practice from

ISA ..........................................................Art. 49, R. 83.2, Form ISA/214

IPEA ..............................................................Art. 49, R. 83.2, Form IPEA/410

— for production of proof of right to practice (from IB). Art. 49, R. 83.1, Form IB/323

— for recording of a change by

applicant .......................................................R. 92bis.1(a), § 422bis, ROG 309-313

RO ..........................................................R. 92bis.1(a), § 422bis, Form RO/113, ROG 312, 313

— of IPEA to ISA to furnish copy of sequence listing ....................................................................R. 13ter.2, Form IPEA/430
See CORRECTION(S)

DEFECTS

DESIGNATION(S) (OF STATES)

REQUIREMENT(S)

minimum — for appointment as

IPEA .......................................................... Art. 32(3), R. 63.1
ISA .......................................................... Art. 16(3)(c), R. 36

national — .................................................. Art. 27, R. 51bis

RO — for e-filing to be notified to IB.............. § 703, 710

See MINIMUM DOCUMENTATION

RESERVATIONS (TO TREATY)

generally...................................................... Art. 64

RESIDENCE

concept of — in case of several applicants ............. Art. 9(3), R. 18.3

indications to be made in

Dem. .......................................................... Art. 31.3, R. 4.5(c), 53.5

defects before

IB .......................................................... Art. 31(3), R. 60.1(e), Form IB/336
IPEA .......................................................... Art. 31(3), R. 60.1, Form IPEA/404, ISPEG 22.24-22.26

Req. .......................................................... Art. 4(1)(iii), R. 4.5(c)

defects in indications before RO ....................... Art. 14(1)(b), R. 26, § 316, ROG 153-165

invitation to correct ...................................... Art. 3(4)(i), 14(1), R. 26, Form RO/106

defects in indication of applicant’s — where
right to file is affected .................................. Art. 14(1)(a)(ii), R. 4.5, § 329

recording of changes to — by IB ...................... R. 92bis.1

— as requirement for right to file

Dem. .......................................................... Art. 31(2), R. 54.1, 54.2

IA .................................................................... Art. 9(1), 11(1)(i), R. 18, ROG 82-86

See CORRECTION(S)

DEFECTS

RESIDENT(S)

— of Contracting State may file IA ...................... Art. 9(1), R. 18

— of country party to Paris not Contracting State may
be allowed by Assembly to file IAs................... Art. 9(2)

See RESIDENCE

RESPONSE

formal — to IPEA.............................................. R. 66.3

See INVITATION

WRITTEN OPINION

RESTRICTION

— of claims .................................................. Art. 34(3)(b), R. 68.2, 68.4

See UNITY OF INVENTION

REVIEW BY DO

notification of IB by DO/EO that IA will be treated
as if error or omission had not occurred ............... Art. 25(2), § 112(c)

request by applicant to IB to send copies of documents
in the file to DO for —

— of finding by IB under Art. 12(3) (RC not received)... Art. 25 (1)(a), (2)(b), R. 51
— of refusal by RO to accord IFD.......................... Art. 25 (1)(a), R. 51
— of declaration by RO that

designation is considered withdrawn .................. Art. 25(1)(a)
IA considered withdrawn .............................. Art. 25(1)(a), R. 51

See DELAY(S)

EFFECT(S)

RECTIFICATION
REVISON
— conference
certain articles may be amended either by —
conference or amendment under Art. 61.................Art. 60(4)
convocation of (decided by Assembly) .................Art. 60(2)
DG takes part in discussions at..........................Art. 55(7)(c)
directions given to IB by Assembly concerning
preparation of ......................................................Art. 53(2)(a)(iii)
preparation of — conference by IB.......................Art. 55(7)(a), (b)
— of Treaty
generally .........................................................Art. 60
See MODIFICATION

REVOCATION (OF APPOINTMENT)
appointment of agent has effect of revoking any
earlier appointment of an agent .........................R. 90.6(b)
appointment of CRep has effect of revoking any
earlier appointment of a CRep .............................R. 90.6(c)
manner of revocation ........................................R. 90.4(b), (c), 90.6(e)
notification concerning revocation and request to
record change sent
by IB to RO, ISA, IPEA and applicant.............§ 425, Form IB/319
by ISA to IB .....................................................§ 512
by IPEA to IB ...................................................§ 608
by RO to IB and ISA .........................................R. 90, § 328, Form RO/123
notification of defective power of attorney or
defective revocation of power of attorney.............R. 90.4(c), 90.6(e)
by IB ..........................................................Form IB/320
by RO ..........................................................Form RO/124
revocation of agent or CRep .............................R. 90.6(a), (b)
See APPOINTMENT

RIGHT TO FILE
— Dem. ............................................................Art. 31(2), R. 54.2
Dem. considered not to have been made if no
applicant has — ..............................................R. 54.4
— IA ..............................................................Art. 9, R. 18
as requirement for IFD .....................................Art. 9(1), 11(1)(i), R. 18
defects in indication of applicant's residence
or nationality where — is affected ....................Art. 14(1)(a)(ii), R. 4.5, § 329
See AMENDMENT(S)
NATIONALITY
REFUND
RESIDENCE

RIGHT TO PRACTICE
generally .........................................................R. 83
before IB as RO .............................................R. 83.1bis
communication by RO regarding — ..................R. 83.2, Form RO/148
request for information as to — by
ISA ..........................................................Art. 49, R. 83.2, Form ISA/214
IPEA ..........................................................Art. 49, R. 83.2, Form IPEA/410
request for production of proof of right to practice
(by IB) .........................................................Art. 49, R. 83.1, Form IB/323

RULES OF PROCEDURE
Assembly adopts its — ....................................Art. 53(12)
SCHEDULE OF FEES

generally.................................................................................. R. 96
Gaz. contains — payable to ROs, IB, ISAs and IPEAs............................... R. 86.1(ii)
See DIRECTOR GENERAL (OF WIPO)
FEE(S)
CURRENCY

SCIENTIFIC THEORIES
—as subject matter not required to be examined.................................Art. 34(4)(a)(i), R. 67.1(i), ISPEG 9.05
searched..................................................................................Art. 17(2)(a)(i), R. 39.1(i), ISPEG 9.05

SEAL
— meaning signature............................................................... R. 2.3

SEARCH
See INTERNATIONAL SEARCH

SEARCH COPY

copy of translation furnished under R. 12.3, together with Req., constitutes —.................. R. 23.1(b)
keeping of records by ISA and IPEA ............................................. R. 93.3, 93.4
preparation and identification of — by RO ................................Art. 12(1), R. 11.1, 21.1, § 305, ROG 283, 284
IA containing SLs (AI Part 8) .................................................. § 804, ROG 226B, 284C, 284E
IA filed in electronic form ............................................................... R. 89bis.1(c), § 705(d), 705(b)(v)
PCT-SAFE filings......................................................................... ROG 284A
transmittal by RO to ISA .........................................................Art. 12(1), R. 23, ROG 288, 291
delay in/failure of transmittal for national security reasons................R. 15.6(iii), 16.2(iii), 23.1(a), § 306, Form RO/147, ROG 292, 292
notification of lack of transmittal notified by IB to RO ...................... R. 25.1, § 412
notification of receipt by ISA to IB ........................................... R. 25.1, Form ISA/202
time limit for transmittal .............................................................. R. 23.1(a), ROG 291

SEARCH FEE
See FEE(S)

SEARCH REPORT
See INTERNATIONAL SEARCH REPORT (ISR)

SEARCHING AUTHORITY
See INTERNATIONAL SEARCHING AUTHORITY

SECRETARIAT
See DIRECTOR GENERAL (OF WIPO)
INTERNATIONAL BUREAU

SECURITY
See NATIONAL SECURITY

SEQUENCE LISTINGS
—as under R. 13ter generally (electronic form for IS only) ......................... R. 23.1(c), § 208, 313(c), AI Annex C
before DO................................................................................ R. 13ter.3
IB
arrangement of elements/numbering of sheets......................... § 207(a), (b)(iv)
subsequently furnished......................................................... R. 20.2,
IPEA.................................................................................. R. 5.2, 13ter.2, § 208, AI Annex C, ISPEG 18.17-18.18
invitation to furnish — complying with standard................... R. 13ter.2, § 208, AI Annex C, Form IPEA/441
request to ISA to furnish copy of —............................ R. 13ter.2, Form IPEA/430
ISA .......................................................... R. 5.2, 13ter.1, § 208, AI Annex C, ISPEG 18.17-18.18
electronic medium kept in file ................................§ 513(b)-(e)(ii)
invitation
to furnish — complying with standard ............. R. 13ter.1, § 208, AI Annex C, Form ISA/225
relating to free text in main part
of description ............................................. R. 5.2(b), 13ter.1(f), § 204, AI Annex C, Form ISA/233
processing of sheets
replacement ............................................. R. 13ter.1(f), § 513(a)
subsequently furnished .............................. § 513(b)-(e)(i)
RO .......................................................... R. 3.3(a)(i), § 207, ROG 222-227
arrangement of elements/numbering of sheets ....... § 207(a), (b)(iv)
replacement sheets .................................. R. 26.4, ROG 225
subsequently furnished sheets ..................... R. 20.2, ROG 227
transmittal to ISA ...................................... R. 23.1(c), § 313(c), ROG 226-226B, 284B-284(E)
— in electronic form (AI Part 8) ...................... § 801-806, ROG
acceptance by RO to receive
decision to accept in particular cases ............. § 801(c), ROG 226A
notification of IB and publication in Gaz .......... § 801(b)
access to file ........................................... R. 94.1, § 805(b)(ii)
amendment under Art. 34 ............................. § 801(a), 802(b)
carriers (electronic) .................................... § 802(a)
marking of .............................................. ROG 226B-226C
not specified by RO .................................. Art. 14(1)(a)(v), § 801(d), ROG 226
numbering of ........................................ § 802(a)(ii), AI Annex C, para. 40-45
replacement .......................................... § 801(d), 802(b), ROG 226-226C
checking by RO ...................................... ROG 226
communication to DOs in — form ................. Art. 20, § 805(b)(i)
communication of publications for ISAs, IPEAs and
carriers (electronic) .................................... § 804, ROG 284B-284E
national Offices ....................................... R. 87, § 805
copies
HC, RC, SC ........................................... § 804, ROG 284B-284E
marking of carriers .................................. ROG 226B-226C
marking of sheets .................................... § 804(d)(e), ROG 284D-284E
numbering of electronic copies .................. § 802(a)(iii), AI Annex C, para. 40-45
correction under R. 26.3 ............................ R. 26.3, § 801(a), 802(b)
international filing fee ................................ § 803, ROG 241A
national phase ........................................ § 806
priority document containing SL .................. R. 17.1, § 805(b)(iii)
copies by IB to
DOs .................................................. R. 17.2, § 805(b)(iv)
IPEA ..................................................... R. 66.7, § 805(b)(iv)
publication in — form .............................. Art. 21, § 805(a)
rectification of obvious error ...................... R. 91, § 801(a), 802(b)
statement as to identity of SL in
written form and — form for national phase ...... § 806
transmittal of IA to IB as RO under R. 19.4 .......... R. 19.4(a)(iii), § 333(b),(c), 801(e), ROG 226A
standard for the presentation of — in IAs .......... AI Annex C

SERIAL NUMBER (OF INTERNATIONAL APPLICATION)
See NUMBER

NUMBERING

SEVERAL
— applicants
identification of IA with — applicants ............. § 105
name, address, nationality and residence of each
applicant to be indicated in
Dem. .................................................. R. 4.5(a), 53.4
Req. ..................................................... R. 4.5(a)
name and address of each
agent to be indicated in
Dem. .................................................. R. 4.7, 53.5
Req. ..................................................... R. 4.7
inventor to be indicated in Req. ..................... R. 4.6(a)
representation of — applicants ..................... R. 90.2, 90.3 (a), (c), 90.4
— competent IPEAs ......................................................... R. 59.1

invitation to indicate competent IPEA by

IB ................................................................. R. 59.3(c)(ii), § 432, Form IB/567
IPEA .............................................................. R. 59.3(f), § 601(b), Form IPEA/442
ISA .............................................................. R. 59.3(f), § 516, Form ISA/235
RO ................................................................. R. 59.3(f), § 304(a), (b), 323(b), Form RO/154, ROG 328-332

— competent ISAs ..................................................... Art. 16(2), (3), R. 35.2, ROG 114

indication of applicant’s choice in Req. ................ R. 4.1(b)(vi), 4.14 bis, R. 35.3(b), ROG 115

where IA is filed with IB as RO ......................... R. 35.3(b)

See DEPENDENT CLAIMS
UNITY OF INVENTION

SHEETS

before RO

backup copies ........................................................... § 706(c)
later filed ............................................................ R. 20.2, § 309, ROG 200-207
notification concerning later submitted — ..... § 309(b), (c), 310(c), (d), Form RO/126
marking of sheets of IA .......................................... R. 20, § 308, ROG 14, 43
physical requirements .............................................. R. 11, ROG 132-142
elements of IA on separate — .............................. R. 11.4, § 207
size of — .......................................................... R. 11.5
(re)numbering of — ............................................. R. 11.7, § 207, 311, ROG 139-140, 163, 225

before IB

(re)numbering of — for international publication ..... R. 11.7, § 207, 410
flow — considered drawings .............................. R. 7.1

number of — indicated in check list ..................... R. 3.3(a)(i), § 313
requirements for drawings in respect of — ........ R. 11.13

See CORRECTION(S)
DEFECTS
DELETION
DRAWING(S)
INTERNATIONAL FILING DATE
NUMBERING
RECEIPT (OF IA BY RO)
REPLACEMENT SHEETS

SIGNATURE(S)

copy of general power of attorney need not be signed .... R. 90.5(a)(ii)
definition for PCT purposes may include ‘seal’ .......... R. 2.3
— of
correspondence .................................................. R. 92, 90.3
notification concerning paper being disregarded or document considered as not having been submitted .................................................... R. 92.1(b), last sentence, 92.4(g)(ii), Forms RO/149, IB/558
declaration of inventorship ....................................... R. 4.17(iv), § 214(c)
Dem. .................................................................. R. 53.8, 90.3
missing or defective on Dem. ......................... R. 60.1

Dem. considered not to have been submitted for lack of compliance ....... R. 61.1(b), second sentence, Form IPEA/407
invitation by IPEA to correct defect .................... R. 60.1, Form IPEA/404
notification by IB of defect ........................... R. 60.1(e), Form IB/336

missing or defective on Dem. in respect of applicant for certain elected State(s) .... R. 60.1
invitation to correct ........................................ R. 60.1, Form IPEA/404
procedure for IPEA where —(s) considered not to have been made ..................... R. 60.1, § 606bis
electronic — ..................................................... R. 89bis.1(c), § 701(iv), 703(c), 704(d)(g), 710(a)(i), Annex F 3.3
IA (Req.) ........................................................ R. 4.15, 51bis.1(vi), 90.3, ROG 122-130
missing or defective ........................................ Art. 14(1)(a)(i), § 704(d)(g)
invitation to correct .......................................... Art. 14(1)(a)(i), R. 26, Form RO/106
procedure before RO ........................................ § 316
unavailability to sign ......................................... R. 4.15(b), 26.2(bis), ROG 129-130
notice of withdrawal ........................................ R. 90bis.5, 90.3
unavailability to sign ........................................ R. 90bis.5(b)
— on behalf of legal entity ................................. ROG 126-128
--- of Treaty by PCT Contracting States .................... Art. 62
in English and French .................................................. Art. 67
notification by DG ..................................................... Art. 69

SIGNS (TERMINOLOGY)
See TERMINOLOGY AND SIGNS

SPECIAL

certain — categories of documents
(manner of indicating in ISR) ........................................ § 507
— address for correspondence .................................... R. 4.4(d), ROG 118
— fee to
DO where certain claims not searched ..................... Art. 17(3)(b)
EO where certain claims not examined .................... Art. 34(3)(b), (c)
IB for publication of information concerning
priority claim considered not to have been made .... R. 26bis.2(c), § 113 (a), (c)
refused request for authorization of obvious error ... R. 91.1(f), § 113(a), (b)
— indications for citations of particular relevance
in ISR ......................................................................... R. 43.5(c), § 505(a)
— instance to examine protest ..................................... R. 40.2(c), (d), (e), 68.3(c), (d), (e)
— publication fee where early publication requested .... R. 48.4(a), (b), § 113(a)
— requirements for drawings ..................................... Art. 3(4)(ii), Rule11.13
— technical features .................................................. R. 13.2, AI Annex B
start of national examination (not) on — request and amendments before
DOs ........................................................................... R. 52.1
EOs ........................................................................... R. 78.1

SPECIALIZED
— agencies .............................................................. Art. 51(4)
— institutions ......................................................... Art. 50(2)

STATEMENT
— explaining amendments under Art. 19(1) ............ Art. 19(1), R. 46.4
— in Req. concerning non-prejudicial disclosure or exceptions to lack of novelty ........ Form RO/101, ROG 113
— relating to novelty, inventive step and industrial applicability in relation to each claim
IPRP (Ch.I) ............................................................. R. 43bis.1(a)(i), 44bis.1
IPRP (Ch.II) .......................................................... Art. 35(2), R. 70.6(b)

STOCKHOLM ACT (OF THE PARIS CONVENTION)
See PARIS CONVENTION

STORAGE OF RECORDS
See KEEPING OF RECORDS AND FILES

SUBJECT MATTER
classification of — of IA ............................................. § 504
— not required to be examined ..................................... Art. 34(4)(a)(i), R. 67, ISPEG 9.02-9.15
searched ................................................................ R. 17(2)(a)(i), R. 39, ISPEG 9.02-9.15

SUBSTITUTION, OF SHEETS OF IA
See REPLACEMENT SHEETS

SUCCESSOR
extension of effects of IA to — State ....................... R. 32
notice of extension received by IB after completion of technical preparations for publication .... R. 32.1
publication by IB in cases of extension .................... R. 32.1(c), § 430
— in title ................................................................. R. 18.4(c)

SUPPLEMENT
— to international filing fee ..................................... R. 15.2, 96, Fees
for SL (AI Part 8) .................................................... § 803
SURCHARGE
See FEES

SURGERY
See TREATMENT
TABLES
— may be contained in text matter ......................... R. 11.10(c)
— presented sideways ........................................ R. 11.10(d)
See PHYSICAL REQUIREMENTS

TECHNICAL ASSISTANCE
generally.............................................................. Art. 51
See COMMITTEE(S)

TECHNICAL COOPERATION, COMMITTEE FOR
See COMMITTEE(S)

TECHNICAL SERVICES
See INFORMATION SERVICES
See COMMITTEE(S)

TELEGRAPH
— number of applicant, agent or CRep,
  indication in Dem. ............................................. R. 4.4(c), 53.4, 53.5
  indication in Req .............................................. R. 4.4(c)
— numbers of Offices and international Authorities .... Annexes B1, B2
use of — to transmit documents or correspondence .... R. 92.4(a)

TELEPHONE
communication by — between applicant and IPEA........ R. 66.6
— number of applicant, agent or CRep,
  indication in Dem. ............................................. R. 4.4(c), 53.4, R. 53.5
  indication in Req .............................................. R. 4.4(c)
— numbers of Offices and international Authorities .... Annexes B1, B2

TELEPRINTER
recommended indication of any — address of
  applicant, agent or CRep in Req.............................. R. 4.4(c)
use of — to transmit documents or correspondence .... R. 92.4(a)

TELESCOPING
— procedure (overlapping IS and IPE) ...................... R. 69.1(b), ISPEG 19.10

TEMPERATURES
— to be (also) expressed in degrees Celsius ................ R. 10.1(b)

TERMINOLOGY AND SIGNS
generally............................................................. R. 10.1
consistency........................................................ R. 10.2

TERRITORIES, INDICATIONS OF
See TWO-LETTER CODES

THERAPY
See TREATMENT

TIME LIMIT(S)
generally.......................................................... Art. 47
computation of — ............................................... R. 80, ROG 19
  recomputation due to correction or addition of
    priority claim................................................... R. 26bis.1(c)
  recomputation due to withdrawal of priority claim...... R. 90bis.3(e)
delay in meeting —(s), excuse of/reinstatement ......... Art. 48, R. 82, 82bis, Guide Vol. II, National Chapters
  any — fixed in Treaty or Reg................................ R. 48(1)
    due to
interruption in mail service.................................Art. 48(1), R. 82.2, ROG 30
delay or loss in mail........................................Art. 48(1), R. 82.1 (a)-(c), ROG 28
evidence that document/letter was mailed 5 days
prior to expiration of — in case of delay
or loss in mail..................................................R. 82.1(a), (c)
of interruption in mail service on any of
10 days preceding day of expiration of — ......R. 82.2(a)
any —, excuse for reasons admitted under
national law ......................................................Art. 48(2), R. 82bis, Guide Vol. II, National Chapters
— to perform acts referred to in
Art. 22 ......................................................R. 49.6, Guide Vol. II, National Chapters
Art. 39 ......................................................R. 76.5, Guide Vol. II, National Chapters
expiration of —
on non-working day or official holiday ..............R. 80.5
translation for IS and payment of late
furnishing fee considered received before — .......R. 12.3(d), ROG 71
extensions of — for
correction of
Art. 14(1) defects before RO .........................R. 26.2, Form RO/138
certain defects before IPEA .........................R. 60.1(a), Form IPEA/427
national phase entry .................................Art. 22(3), 39(1)(a), R. 50, 77.1
for successor State ....................................R. 32.2(a)(ii)-(b)
payment of
handling fee ........................................R. 58bis
preliminary examination fee ......................R. 58(1)(b)
transmittal fee, international filing fee and
search fee ..................................................R. 16bis.1
later fixed — for national phase entry .............Art. 22(3), R. 50, 77
mailing of correspondence from national Offices
or IGOs constituting event from which — begins
to run ......................................................R. 92.3, ROG 27
meaning of term "Time Limit" in Article 48(2) .........R. 82bis
modification by Contracting States of — fixed in PCT ....R. 81
— applicable to applicants before
DO
for amendments ..............................................Art. 28(1), R. 52
for review by DO (Art. 25)
to pay national fee and furnishing translation ....Art. 25(2)(a), R. 51.3
to request IB to send copies of documents
in the file ..................................................Art. 25(1)(c), R. 51.1
reinstatement of rights after failure to perform
acts referred to in Art. 22.........................R. 49.6, Guide Vol. II, National Chapters
— for observations on intended refusal ...........R. 49.6(e)
to adapt IA for utility model to national law
concerning unity of invention .....................R. 13.5
to correct
defects in correspondence (letter and signature) .R. 92.1(b)
drawings which do not comply with
physical requirements ..................................R. 49.5(g)
to furnish
copy and translation and pay
national fee .............................................Art. 22, R. 49
drawings which are not necessary for
understanding of invention ......................Art. 7.2(ii), R. 7.2
EO
correct defects in correspondence (letter and
signature) ...................................................R. 92.1(b)
for amendments ........................................Art. 41(1), R. 78.1
for making a demand .............................R. 54bis.1
reinstatement of rights after failure to perform
acts referred to in Art. 39 .....................R. 76.5, Guide Vol. II, National Chapters
— for observations on intended refusal ...........R. 49.6(e)
to furnish
copy and translation of IA and pay national fee ..Art. 39(1), R. 76
translation of
IPRP (Ch.II) annexes ..........................Art. 39(1), R. 74.1
priority document ..............................Art. 39, R. 76.4
IB to amend claims..............................................Art. 19(1), R. 46.1

correct correspondence (letter and signature) ..........R. 92.1(a), 92.1(b), 92.4(g)(ii), Form IB/344

or add priority claim...........................................R. 4.10, R. 26bis.1(a), Form IB/316

furnish indications relating to biological material which are not included in references in IA
as filed .................................................................R. 13bis.4(a), (c)
original of a document........................................R. 92.4(d), (e), (f), Form IB/357
priority document ................................................R. 17.1(a)
record changes....................................................R. 92bis.1(b)
request rectification of obvious error.....................R. 91.1(d), 91.1(g)(iii), (g-bis), Form IB/314
for authorization of rectification..........................R. 91.1(g-ter), (g-quater), Form IB/314
submit priority document ....................................R. 17.1(b), 17.1(b-bis)
withdraw designation(s) .........................................R. 90bis.2(a)
Dem. or elections.................................................R. 90bis.4(a)
IA .................................................................R. 90bis.1(a)
priority claim(s)..................................................R. 90bis.3(a)

IPEA to amend IA .................................................Art. 34(2)(b), R. 66.1(b)
correct defects in correspondence (letter and signature) ..........R. 92.1(a), 92.1(b), 92.4(g)(ii), Form IPEA/423
Dem. ..............................................................R. 60.1(a), Form IPEA/404
in correspondence submitted by applicant ..............R. 92.1(b), Form IPEA/423

furnish original of a document................................R. 92.4(d), (e), (f), Form IPEA/434
SL complying with standard...............................R. 13ter.1, Form IPEA/425
translation for the purposes of IPE of amendments .............R. 55.3(b), 66.9(c), Form IPEA/441
IA .................................................................R. 55.2(c), Form IPEA/443
translation of priority document ............................R. 66.7(b), Form IPEA/414
indicate competent IPEA ......................................R. 59.3(c)(ii), (f), § 601(b), Form IPEA/442

pay prescribed fees
handling fee......................................................R. 57.3
preliminary examination fee...............................R. 57.3, 58.1(b)
together with late payment fee.............................R. 58bis.2, Form IPEA/440
protest fee ..........................................................R. 68.3(e), Form IPEA/440
reply to written opinion ......................................R. 66.2(d), Form IPEA/427
request rectification of obvious error.....................R. 91.1(d), (g)(i), Form IPEA/405
for authorization of rectification..........................R. 91.1(g-ter), (g-quater), Form IPEA/405
restrict or pay additional fees................................Art. 34(3)(a), R. 68.2, Form IPEA/405
submit amendments upon invitation of IPEA ..............R. 60.1(g), Form IPEA/431
withdraw designation(s) .........................................R. 90bis.2(a)
IA .................................................................R. 90bis.1(a)
priority claim(s)..................................................R. 90bis.3(a)

ISA to authorize rectification of obvious error............R. 91.1(g-ter), (g-quater), Form ISA/216
correct defects in correspondence (letter and signature) .................................................................R. 92.1(a), 92.1(b), 92.4(g)(ii), Form ISA/223

furnish original of a document................................R. 92.4(d), (e), (f), Form ISA/230
nucleotide and/or amino acid
SL complying with standard...............................R. 13ter.1, Form ISA/224
indicate competent IPEA ......................................R. 59.3(f), § 516, Form ISA/235

pay additional fees after finding of lack of unity of invention .....................................................Art. 17(3)(a), R. 40.1, Form ISA/206
for requested copies of cited documents .................R. 44.3(b), Form ISA/221
request rectification of obvious error.....................R. 91.1(d), (g)(i), Form ISA/216

RO to

78
correct certain defects in IA .............................................Art. 3(4)(i), (ii), 14(1)(b), R. 26.2, Form RO/106
defects in correspondence (letter and signature) .............................................Art. 92.1(a), 92.1(b), 92.4(g)(ii), Form RO/131, ROG 20
or add declaration under R. 4.17 ..........R. 26ter
or add priority claim .............................................R. 4.10, 26bis.1(a), Form RO/110, ROG 169, 173
purported IA ........................................................Art. 11(2), R. 20.6(b), Form RO/103

furnish
drawings referred to but not included in IA....Art. 14(2)
original of a document.................................R. 92.4(d), (e), (f), Form RO/141
papers completing IA ..............................................R. 20.2(a)(ii)
priority document ..............................................R. 17.1(a), ROG 179
required translation of
abstract and/or text matter of drawings .........R. 26.2, 26.3ter(a), Form RO/106, ROG 57, 63
IA for purposes of
international publication ............................R. 12.4(a), (c), Form RO/157
IS .................................................................R. 12.3(a), (c), Form RO/150, ROG 69
Req..............................................................R. 26.2, 26.3ter(c), Form RO/106, ROG 57, 60
pay prescribed fees ...........................................Art. 3(4)(iv), 14(1)(b), R. 26.2
international filing fee ......................................R. 15.4, Form RO/102
preparation and transmittal of priority
document .........................................................R. 17.1(b), § 323(b)
search fee ......................................................R. 16.1(f), Form RO/102
transmittal fee ..................................................R. 14.1(c), § 304(a), Form RO/102
together with late furnishing fee
international filing fee ......................................R. 15.4, 16bis.1, Form RO/133
search fee ......................................................R. 16.1(f), 16bis.1, Form RO/133
transmittal fee ..................................................R. 14.1(c), 16bis.1, Form RO/133
request
rectification of obvious error ......................R. 91.1(d), (g)(i), Form RO/108
preparation and transmittal of priority
document ..........................................................R. 17.1(a)
submit
arguments in case of later finding of
non-compliance with requirements for IFD.....R. 29.4, Form RO/143
priority document ..............................................R. 17.1(b), 17.1(b-bis)
withdraw
designation(s) ..................................................R. 90bis.2(a)
IA .................................................................R. 90bis.1(a)
priority claim(s) ................................................R. 90bis.3(a)
— applicable to
IB for
communication of
IA to DOs .........................................................Art. 36(3)(a), R. 47.1(a-bis)
IPRP (Ch.I) to DOs ..............................................R. 44bis.2
IPRP (Ch.II) to EOs .............................................R. 73.2
considering IA withdrawn if
RC not received by IB .................................Art. 12.3, R. 22.3
requirements for according IFD are later found
to not to be fulfilled .................................Art. 14(4), R. 30
IPEA for
establishing IPRP (Ch.II) ..............................Art. 35(1), R. 69.2, ISPEG 19.08-19.10
ISA for
establishing ISR and IPRP (Ch.I) ...............R. 42, 43bis.1(a), 44bis.1
sending copies of documents cited in ISR at
request of DO or applicant .............................Art. 20(3), R. 44.3
RO to
authorize rectification of obvious error .............R. 91.1(g-ter), (g-quarter), Form RO/108
check
certain elements in IA .................................R. 26.1(a)
language of abstract and/or text matter
in drawings ..................................................R. 26.1(a), 26.3ter(a), ROG 62
language of Req ................................................R. 26.1(a), 26.3ter(c), ROG 59
consider IA withdrawn if requirements
for according IFD are later found not
to be fulfilled ................................................Art. 14(4), R. 30
ex officio correct Req..................................................R. 91, § 327
transmit RC and translation to IB.........................Art. 12(3), R. 22.1(a), 22.3, 24.2(c)
— for furnishing copy, translation and fee fixed
later than provided for in
Art. 22(3)..........................................................Art. 22(3), R. 50
Art. 39(1)(a).....................................................Art. 39(1)(a), R. 77.1
— for furnishing samples of biological material......R. 13bis.6
See COMPUTATION
DELAY(S)
WITHDRAWN (CONSIDERED)

TITLE (OF INVENTION IN IA)

before
ISA..............................................................Art. 3(2), R. 37, 44.2, ISPEG 16.40-16.43
indications in ISR........................................R. 44.2, Form ISA/210
IPEA ...............................................................R. 4.3, ISPEG 16.40-16.43
RO ...............................................................Art. 3(2), 4(1)(iv), 14(1)(iii), R. 4.1(a)(ii), 5.1(a), Form RO/106, ROG 77, 153
— required in IA ..............................................Art. 3(2)
in description ...................................................Art. 4(1)(iv), R. 5.1(a)
in Req. .............................................................Art. 4(1)(iv), R. 4.1(a)(ii)
language/translation of —..............................R. 37.2, 48.3, 86.2
missing or defective — before
ISA..............................................................Art. 3(2), 4(1)(iv), R. 4.3, 5.1(a), 37, 44.2, ISPEG 16.42
RO ...............................................................Art. 3(2), 4(1)(iv), 14(1)(iii), R. 4.1(a)(ii), ROG 77
publication of — in
Gaz.............................................................R. 86.1(i), AI Annex D, 2.1
international publication...............................R. 48.2(b)(iv)

TRANSLATION

communication to DO of — (ISR or declaration)....Article 20(1)(b), Rule 47.1
— of certain words not in Latin alphabet, in Req.......R. 4.16
— of IA
defective/incorrect —, consequences before DOs/EOS Art. 46
furnishing to IB (upon request of IB) by DO or EO
of — as furnished by applicant to DO or EO........R. 95
for international publication ............................R. 12.4, § 305bis
for international search .................................R. 12.3
furnishing by applicant ....................................R. 12.3(a), (b), ROG 66-68
invitation to furnish and to pay,
where applicable, late furnishing fee ...................R. 12.3(c), (e), Form RO/150, ROG 69-70
notification of IA considered withdrawn ..........Art. 14(1), R. 12.3(d), 29.1, Form RO/117, ROG 71
transmittal by RO to ISA .................................R. 12.3, 22.1(g), 23.1(b), § 305bis(a)(iii), ROG 289
for international type search ............................Art. 15(5)(c)
for IPE
invitation to furnish translation for IPE..............R. 55.2, 55.3, 66.9, Form IPEA/443
for national processing by applicant to
DO..........................................................Art. 22, R. 49.5
EO ..........................................................Art. 39
furnishing by applicant .................................Art. 12.4(a), (b)
invitation to furnish and to pay, where applicable,
late furnishing fee ........................................R. 12.4(c), (e), Form RO/157
notification of IA considered withdrawn ..........Art. 14(1), R. 12.4(d), 29.1, Form RO/117
required by national law as a condition for
protection effected by international publication ....Art. 29
transmittal by RO to IB.................................R. 22.1(h), § 305bis(c)
— of IPRP (Ch.I) by IB .................................R. 44bis.3, 62bis, 72.2bis
transmittal by IB to applicant and DOs .............R. 44bis.3(c), Form IB/338
— of IPRP (Ch.II) annexes (by applicant) ..........Art. 36(2), (3)(b), R. 74
— of IPRP (Ch.II) by IB .................................Art. 36(2), R. 72, ISPEG 3.28
transmittal by IB (together with IPRP (Ch.II)
and annexes to applicant and EOs) .................Art. 36(1)-(3), R. 72.2, Form IB/338
— of ISR or declaration by IB .........................Art. 18(3), R. 45
— of priority document
before EO..................................................R. 76
invitation by IPEA to furnish translation of priority document .................................................................R. 66.7(b), Form IPEA/414

TRANSLITERATION
— of certain words .................................................................................................................................R. 4.16(a)

TRANSMITTAL
electronic means of — ............................................................................................................................R. 89bis, § 701(iii), 703(b)(ii), 709, 710(a)(i)
electronic — of notices between Offices..................................................................................................R. 89bis.3, § 114
— of copy of IA as published or republished .......................................................................................Form IB/311
— of copy of IPRP (Ch.I) .........................................................................................................................R. 44bis.1(c), Form IB/326
— of copy of written opinion to IPEA ......................................................................................................Form IB/337
— of Dem. by IB to competent IPEA ......................................................................................................R. 59.3(c)(i), (d), § 432, Form IB/368
invitation to indicate competent IPEA by IB..........................................................................................R. 59.3(c)(ii), § 432, Form IB/367
IPEA ..........................................................................................................................................................R. 59.3(f), § 601(b), Form IPEA/442
ISA .............................................................................................................................................................R. 59.3(f), § 516, Form ISA/235
RO ..............................................................................................................................................................R. 59.3(f), § 304(a), (b), 323(b), Form RO/154, ROG 328-332
IPEA to IB (or copy of Dem.) ..................................................................................................................R. 61.1
IPEA to IB or competent IPEA ..................................................................................................................R. 59.3(a), (f), § 601(b), Form IPEA/436
ISA to IB or competent IPEA ....................................................................................................................R. 59.3(a), (f), § 516, Form ISA/234
RO to IB or competent IPEA ....................................................................................................................R. 59.3(a), (f), § 334, Form RO/153, ROG 328-332
— of documents by RO or IB ....................................................................................................................Form RO/118, Form IB/310
by RO of requested documents (certified copy of IA to applicant or IB) ..................................................R. 20.9, 22.1(d), Form RO/122, ROG 334
by ISA of requested copies of cited documents .....................................................................................Art. 20(3), Form ISA/211
— of IA (prior to communication to DOs under Art. 20)
by applicant to DO ......................................................................................................................................Art. 13(2)(a)
by IB to DO upon request of applicant .................................................................................................Art. 13(2)(b), R. 31.1(b), 31.2
by IB to DO upon request of DO ..........................................................................................................Art. 13(1), R. 31.1(a), 31.2
— of IPRP (Ch.II) and annexes
by IB (together with IB’s translation of IPRP (Ch.II) to applicant and EO) ........................................Art. 36(1)-(3), R. 72.2, Form IB/338
by IPEA to applicant and IB....................................................................................................................Art. 36(1), R. 71.1, Form IPEA/416, ISPEG 22.59
by applicant .............................................................................................................................................Art. 36(2), (3)(b), R. 74
— of ISR, IPRP (Ch.I) or Art. 17(2) declaration by ISA to applicant and IB ...........................................R. 44.1, Form ISA/220
— of notice of correction or addition of declarations referred to in R. 26ter by RO to IB ......................R. 4.17, 26ter.1, § 317, ROG 192F
— of priority documents by IB to DOs ....................................................................................................R. 17.2, Form IB/351
— of purported IA by RO to IB as RO (R. 19.4)
IA containing SL (AI Part 8).....................................................................................................................§ 333(b),(c), 801(e), ROG 226A
IA in electronic form ..................................................................................................................................§ 704(f)
invitation to authorize — and to pay fee ...............................................................................................R. 19.4a(iii), § 333, Form RO/152, ROG 278-281
notification of — and invitation to pay fee ............................................................................................R. 19.4a(i), (ii), § 333, Form RO/151, ROG 274-277
PCT-SAFE filing......................................................................................................................................ROG 165D
— of PCT-SAFE diskette by RO to IB .....................................................................................................§ 335
— of protest
by IB to DO or EO, upon request of applicant, texts of — and decision thereon ..............................R. 40.2(c), § 403, Forms ISA/212, IPEA/420
by IPEA to applicant of decision on — .................................................................................................R. 68.3(c), § 603
by IPEA to IB of copy of protest and decision thereon .......................................................................R. 68.3(c), § 603
by IPEA to EOs, upon request of applicant, of texts of protest and decision thereon ....................R. 68.3(c), § 603
by ISA to IB of applicant’s request to forward texts of — and decision thereon to DOs/EOs ...R. 40.2(c), § 502, second sentence, Forms ISA/212, IPEA/420
— of RC by RO to IB ................................................................................................................................Art. 12(1), R. 22, ROG 285-287
delayed — for national security reasons ..............................................................................................§ 330, Form RO 147, ROG 33, 286
— of SC by RO to ISA ............................................................................................................................Art. 12(1), R. 23, ROG 288-293
delayed — .............................................................................................................................................R. 23(1)(a), § 306, Form RO/102, ROG 292, 293
— of SLs ....................................................................................................................................................R. 23.1(c)
See COMMUNICATION FEE(S)

TREATMENT
methods for — not required to be
examined .......................................................... Art. 34(4)(a)(i), R. 67.1(iv), ISPEG 9.08-9.10
searched .......................................................... Art. 17(2)(a)(i), R. 39.1(vv), ISPEG 9.08-9.10

TREATY
See PATENT COOPERATION TREATY (PCT)
REGIONAL

TRUE COPY
See RECORD COPY

TWO-LETTER CODES
for indication of States, territories and IGOs................. § 115, AI Annex D(3.3), Annex K

TYPING
— of text matter in IA as physical requirement ............ Art. 3(4)(ii), R. 11.9(a), (c), (e), ROG 141
See PHYSICAL REQUIREMENTS
UNANIMITY
— of Contracting States required for

decisions modifying certain time limits.......................Art. 47(2)(b)
inclusion of R. in one or other category referred
to Art. 58(3)(a) ..................................................Art. 58(3)(c)
R. which may be amended only by —
decision are specified in Req. .....................Art. 58(3)(a)(i), R. 88.1

UNION
defined ..................................................Art. 2(xvi)
establishment of — ........................................Art. 1(1)

UNITY OF INVENTION

generally..................................................R. 13, § 206, Al Annex B, ISPEG 10.01-10.78
posterior finding of — .....................................R. 13.2, § 206, Al Annex B (Part 2), ISPEG 10.03
— before IPEA...............................................Art. 3(4)(iii), R. 13, § 206, Al Annex B, ISPEG 10.01-10.78
lack of — found by IPEA ......................Art. 34(3), R. 68
insufficient restriction of claims ......................Art. 34(3)(c), R. 68.4
invitation to restrict or pay additional fees ..........Art. 34(3)(a), R. 68.2, Form IPEA/405
no invitation to restrict or pay additional fees ......R. 68.1
notification of decision on protest ......................R. 68.3(c), (e), § 603, Form IPEA/420
refund of additional fee .............................R. 68.3(c), (e), § 603, Form IPEA/420
— before ISA...............................................Art. 3(4)(iii), R. 13, § 206, Al Annex B, ISPEG 10.01-10.78
lack of — found by ISA ......................Art. 17(3)(a), R. 40
invitation to pay additional fees,...............Art. 17(3)(a), R. 40.1, Form ISA/206
notification of decision on protest ..............R. 40.2(c), (e), § 502, Form ISA/212
notification regarding review of justification for
invitation to pay additional fees .............R. 40.2(e), Form ISA/228
refund of additional fee .............................R. 40.2(c), (e), Form ISA/212
where utility model is sought national law may be
applied in respect of — ..............................Art. 43, 44, R. 13.5

See DEPENDENT CLAIMS

FEE(S)
INTERNATIONAL PRELIMINARY EXAMINATION
PROTEST
REFUND

UTILITY CERTIFICATE

IA for purposes of — ..........................Art. 43
prescribed indications in Req. ..................R. 4.11(a)(iii)
—(s) part of minimum documentation ...........R. 34.1(d)

UTILITY MODEL
if — is sought national law may be applied in respect of
claims ..................................................R. 6.5, 78.3
unity of invention ..................................R. 13.5, 78.3
indications to be made in Req. .................Art. 43, R. 4.9(a)(ii)
if — is sought in addition to other kind of protection..Art. 44, R. 49bis.1
VOTE

— by correspondence ..............................................R. 81.3(a), (b)
in case of absence of quorum in Assembly .............Art. 53(5)(b), R. 85.1
— in Assembly .........................................................Art. 53(3), (4)

Contracting State which may not exercise right
to — due to delay in paying contribution .............Art. 57(5)(e)
participation of DG without right to — .............Art. 55(6), (7)(c)
to amend Regulations
  requirement of absence of opposition .............R. 88.3
  requirement of unanimity ...............................R. 88.1
— in Executive Committee ...............................Art. 54(8)
participation of DG without right to — .............Art. 55(6)
WAIVER
communication on request of documents.............................. R. 93bis.1
— of receipt of copies of IAs ........................................ Art. 13(2)(c), 20(1)(a) [expressly only for Art. 20 communication]
power of attorney ..................................................... R. 90.4(d), § 336, 433, 517, 617, ROG 117B, 117C, 120

WEIGHTS
manner of expressing — ........................................ R. 10.1(a), (d)

“WO”
See INTERNATIONAL PUBLICATION

WITHDRAWAL
signature required on notice of — ........................................ R. 90bis.5
— of Dem. or election.................................................. Art. 37, R. 90bis.4, ISPEG 19.42, Form IB/372
effects of — before time limit under Art. 22............. Art. 37(4)(b)
notified to applicant by IB ........................................ R. 90bis.1, 90bis.4, § 415 (b), (c), Form IB/339
— of designations ..................................................... R. 90bis.2, § 326(a), Form IB/372, ROG 314-324
notified to applicant by
IB........................................................................ R. 90bis.1, 90bis.2, § 415(a), Form IB/307
RO ........................................................................ § 326, Form RO/136
— of IA ..................................................................... R. 90bis.6, § 609
designations — by
review of determination of RO by DO .................. Art. 25(1)(b), R. 51
IA by
IB for RC not received within time limit ............. Art. 12(3), R. 22.3, 24.2(c)
review of determination by IB under Article 12(3)
by DO ................................................................. Art. 25(1)(a), R. 51
RO
decision by RO ................................................... Art. 14(1)(b), R. 26.5(a)
notification by IB to DO(s) ................................ Art. 14(1), (3), (4), R. 29.1, Form IB/325
review of determination of RO by DO .............. Art. 25(1)(a), R. 51
unauthorized payment of fees for international publication .............. Art. 14(1), R. 29.1, ROG 159
IS......................................................................... Art. 14(1), R. 29.1, Form RO/117, ROG 71
pay prescribed fees .......................................... Art. 14(3), R. 29.1, Form RO/117, ROG 159
furnish original of IA........................................ R. 14(1), R. 29.1, Form RO/117, ROG 159
later finding of non-compliance with
requirements for according IFD ......................... Art. 11(1), 14(4), R. 29.1(a), Form RO/143, ROG 52-54
notification by IB to DO(s) .................. Art. 14(1), (3), (4), R. 29.1, Form IB/325
review of determination of RO by DO .............. Art. 25(1)(a), R. 51
unless notified about IA —, ISA is to proceed with IS
if notified by RO about invitation concerning
abstract ............................................................ R. 38.1
title ................................................................. R. 37.1
protest — for failure to pay protest fee by
IPEA............................................................ R. 68.3(e)
ISA ............................................................ R. 40.2(e)
WRITTEN DISCLOSURE(S)
See DISCLOSURES

WRITTEN OPINION
by IPEA

generally .........................................................Art. 34(2)(c), R. 66.2, Form IPEA/408, ISPEG 17.06-17.07
indication that requirement of lack of unity of
invention is not fulfilled ........................................R. 68.1
invitation to submit reply/amendments to —..........R. 66(c)
statement of reasons for — .................................R. 66.2(b)
response to — ...................................................Art. 34(2)(d), R. 66.3
amendments in response to — ..............................Art. 34(2)(b), R. 66.4-66.5, ISPEG 17.06
consideration of response by IPEA .......................R. 66.4bis, ISPEG 20.09

by ISA
communication to IPEA (when different than ISA).....R. 62.1(i), ISPEG 22.18
considered to be — of IPEA .................................R. 43bis.1(c), Form ISA/237
IPEA procedure applies to ISA ..............................R. 43bis.1(b), § 518
transmittal of copies of translation ........................R. 44bis.3(d), 62bis.1(b), 72.2bis, Form IB/374
See INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Ch.I)
INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Ch.II)
<table>
<thead>
<tr>
<th>Subject</th>
<th>Paragraph</th>
</tr>
</thead>
<tbody>
<tr>
<td>YEAR(S)</td>
<td></td>
</tr>
<tr>
<td>calendar —</td>
<td>Art. 53(11)(a)</td>
</tr>
<tr>
<td>Assembly to meet every second —</td>
<td></td>
</tr>
<tr>
<td>computation of periods expressed in —</td>
<td>R. 80.1</td>
</tr>
<tr>
<td>financial —</td>
<td>Art. 57(5)(a)</td>
</tr>
<tr>
<td>paying of contributions in case of financial —</td>
<td></td>
</tr>
<tr>
<td>closing with deficit —</td>
<td></td>
</tr>
</tbody>
</table>