PATENT COOPERATION TREATY (PCT)

Common Quality Framework for International Search and Preliminary Examination

SUPPLEMENTAL REPORT ON QUALITY MANAGEMENT SYSTEMS

Prepared by Swedish Patent Office

This supplemental report relates to the quality management system established by this Office as set forth in our report under PCT/GL/ISPE section 21.29 on [DATE INDICATED ON PREVIOUS REPORT].

As a result of our most recent internal review under the International Search and Preliminary Examination Guidelines paragraphs 21.25-21.28, this Authority has made modifications to its QMS as discussed below.

The modifications are given with reference to the sections of the revised template for responses to PCT/GL/ISPE Chapter 21.29 to which the changes relate.

The Authority should describe any changes made to its QMS making reference to the specific sections of the previous main report, and/or making reference to any supplemental report(s) under paragraph 21.30 compiled in accordance with this template.

If no changes have been made to its QMS since the last report, the Authority should indicate such.

INTRODUCTION (PARAGRAPHS 21.01 TO 21.03)

1. LEADERSHIP AND POLICY (PARAGRAPHS 21.04 TO 21.09)
No changes

2. RESOURCES (PARAGRAPHS 21.10 TO 21.14)
No Changes
3. MANAGEMENT OF ADMINISTRATIVE WORKLOAD (PARAGRAPH 21.15)
No Changes

4. QUALITY ASSURANCE (PARAGRAPH 21.16)
New: procedure: “Only A documents”

As a complement to other quality assurance procedures, the process has been completed with a special procedure in the case the search results in only category “A” documents. The procedure involves consulting a patent expert and/or other technical expert going through the search strategy and parameters once again and if necessary doing complementary searching. The consultation and its measures are documented.

New: Spot Check: “Use of Problem Solution Approach”

As a part of quality assurance procedures, the “patent expert board” suggests and carries out yearly spot checks of the patent process. During 2011 the use of the “Problem Solution Method” etc. has been evaluated. As part of this evaluation the systematic approach of changing the process as result of quality assurance actions has been updated.

5. COMMUNICATION (PARAGRAPHS 21.17 TO 21.19)
No Changes

6. DOCUMENTATION (PARAGRAPHS 21.20 TO 21.23)
No Changes

7. SEARCH PROCESS DOCUMENTATION (PARAGRAPH 21.24)
New: update of internal memo card

The Internal memo card including the quality control tool is under constant evaluation and adaption to changes in search tools and search and examination development. During 2011 several minor changes to the tool has been suggested and introduced.

8. INTERNAL REVIEW (PARAGRAPHS 21.25 TO 21.28)

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