

## PCT LEGAL TEXT INDEX

(including references to the Articles, Rules, Administrative Instructions,  
Forms and various PCT Guidelines)

(applicable to legal texts in force as of July 1, 2010)

Acronym/Term	Meaning
AI Sec. ....	Section of the Administrative Instructions under the PCT
AI Annex .....	Annex to the Administrative Instructions under the PCT
AIs .....	Administrative Instructions under the PCT
Annex .....	Annex to the International Phase of the <i>PCT Applicant's Guide</i>
Art. ....	Article of the Patent Cooperation Treaty
Chapter .....	Chapter of the Patent Cooperation Treaty
CRep.....	Common representative
Dem. ....	Demand (Form IPEA/401)
DG .....	Director General
DO .....	Designated Office
E-filing .....	Electronic filing
EO.....	Elected Office
Fees.....	Schedule of Fees
Gaz. ....	Official Notices ( <i>PCT Gazette</i> )
Guide .....	<i>PCT Applicant's Guide</i>
IA.....	International application
IB .....	International Bureau
IFD.....	International filing date
IGO.....	Intergovernmental organization
International Phase .....	International Phase of the <i>PCT Applicant's Guide</i>
IPE .....	International preliminary examination
IPEA .....	International Preliminary Examining Authority
IPRP (Ch.I).....	International Preliminary Report on Patentability prepared by the ISA
IPRP (Ch.II) .....	International Preliminary Report on Patentability prepared by the IPEA
IS .....	International search
ISA.....	International Searching Authority
ISPEG.....	PCT International Search and Preliminary Examination Guidelines
ISR.....	International search report
NGO .....	Non-governmental organization
Paris .....	Paris Convention for the Protection of Industrial Property
PCT.....	Patent Cooperation Treaty
PCT-SAFE .....	PCT electronic filing software
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RC.....	Record copy
Reg. ....	Regulations under the PCT
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RO .....	Receiving Office
ROG.....	PCT Receiving Office Guidelines
SC .....	Search copy
SISA .....	International Searching Authority specified for supplementary search
SISR.....	Supplementary international search report
SL .....	Sequence listing
Stand.....	WIPO Standard
Treaty.....	Patent Cooperation Treaty
WO-ISA.....	Written Opinion of the ISA

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FINANCIAL	LOSS
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GUIDELINES	MICROBIOLOGICAL INVENTIONS
	MICROORGANISMS
<b>-H-</b>	MINIMUM DOCUMENTATION
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<b>-I-</b>	MONTH(S)
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INTERNATIONAL FILING DATE	NATIONALITY
INTERNATIONAL FILING FEE	NATIONAL(S)
INTERNATIONAL PATENT CLASSIFICATION (IPC)	NEW MATTER
INTERNATIONAL PATENT COOPERATION UNION	NOMENCLATURE
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INTERNATIONAL PRELIMINARY EXAMINING	NON-WRITTEN DISCLOSURE
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INTERNATIONAL SEARCH FEE	NUMBERING
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	PATENT INFORMATION SERVICES
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notification of defective power of attorney or defective revocation of power of attorney .....R. 90.4(c), 90.6(e)

by IB .....Form IB/320

by RO .....Form RO/124, ROG 125

renunciation by power of attorney .....R. 90.6(d)

revocation of power of attorney .....R. 90.6(a), (b)

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CHECK LIST

**PRACTICE BEFORE INTERNATIONAL AUTHORITIES**

See RIGHT TO PRACTICE

**PRELIMINARY EXAMINATION (INTERNATIONAL)**

See INTERNATIONAL PRELIMINARY EXAMINATION

**PRELIMINARY EXAMINING AUTHORITY**

See INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

**PRESCRIBED**

language of IA .....Art. 3(4)(ii), R. 12, ROG 55-71

physical requirements .....Art. 4(4)(ii), R. 11, ROG 132-146

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FEES

**PRIOR ART**

prior art for IPE purposes .....Art. 33 (2), (3), R. 64, 70.9, 70.10, ISPEG 11.01-11.26

prior art for IS purposes .....Art. 15(2), R. 33.1, ISPEG 11.01-11.26

See CITATIONS

INTERNATIONAL SEARCH

INTERNATIONAL SEARCH REPORT (ISR)

MINIMUM DOCUMENTATION

NOVELTY

**PRIORITY APPLICATION**

DO/EO may require proof of applicants entitlement to claim priority where applicant is not applicant of priority application or where applicant's name has changed since filing of priority application .....R. 51bis.1(a)(iii)

filing date of priority application as relevant date for prior art for IPE .....Art. 33(2), (3), R. 64.1(b)(ii), 64.1(b)(iii), 64.3

**Subject****Paragraph**

indications as to priority application in	
notification of IA number and IFD.....	R. 20.2(c), AI Sec. 324, Form RO/105
notification of receipt of RC .....	R. 24.2(a)(iii), Form IB/301
on ISR .....	R. 43.2
late submission to IB of priority application number .....	AI Sec. 408(b), (c), Form IB/305
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**PRIORITY CLAIM**

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IB .....	R. 4.10, 26bis.1, 26bis.2(a), Form IB/316
RO.....	R. 4.10, 26bis.1, 26bis.2(a), Form RO/110, ROG 167
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on applicant's initiative .....	ROG 173-177
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under Rule 26bis.1.....	ROG 176-177, RO/111
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as to applicant's entitlement, as at IFD, to claim	
priority of earlier application.....	Art. 27, R. 4.1(c)(iii), 4.17(iii), 51bis.1(a)(iii), AI Sec. 213
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as replacement or additional sheet .....	AI Sec. 216
evidence concerning entitlement to claim priority	
of earlier application may be required in	
national phase .....	Art. 27(6), R. 51bis.1(a)(iii)
indications to be made in priority claim .....	R. 4.10(a)
priority claim considered not to have been made by	
IB.....	R. 26bis.1, 26bis.2, AI Sec. 409, Form IB/318
not if priority application number is missing	
or indication in priority claim is not same as in	
priority document .....	R. 26bis.2(c)
publication of information concerning	
priority claim .....	R. 26bis.2(d), (e)
RO.....	R. 26bis.1, 26bis.2, AI Sec. 302, Form RO/111, ROG 171, 172
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Gaz. ....	R. 86.1(i), AI Annex D (item 3)
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erroneously considered void.....	R. 82ter.1(a)
restoration of right of priority	
by DO.....	R. 49ter.2
criterion ("due care" or "unintentionality")	
applied.....	R. 49ter.2(a)
by RO.....	R. 4.1(c)(v), 26bis.3, Forms RO/110, RO/118, RO/158, RO/159, ROG 166A-166I
criterion ("due care" or "unintentionality")	
applied.....	R. 26bis.3(a)
refusal to restore.....	R. 26bis.3(g), Form RO/158, ROG 166B
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**PRIORITY DATE**

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after one year from priority date .....	Art. 13(1)

<b>Subject</b>	<b>Paragraph</b>
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change of priority date	
effect of change of priority date .....	R. 26bis.1(c), ROG 192
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IB .....	AI Sec. 402, Form IB/318
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time limits .....	Art. 2(xi)

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DO	
opportunity to furnish priority document .....	R. 17.1(c), 17.2, AI Sec. 805(b)(iv)
translation of priority document .....	R. 51bis.1(e)
EO	
translation and time limit to furnish .....	Art. 39, R. 76.4
IB	
furnishing of copies of priority document (by IB)....	R. 17.2(c), 66.7(a), Form IB/353
invitation by IB to applicant to furnish copy of	
priority document where requested by IPEA.....	AI Sec. 421
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transmittal of priority document .....	AI Sec. 411, Form IB/304
transmittal to	
DOs.....	R. 17.2, AI Sec. 805(b)(iv)
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generally .....	R. 66.7, ISPEG 6.17
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priority document .....	R. 66.7(b), Form IPEA/414
RO	
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of priority application number .....	AI Sec. 323(a), (b), (c), Form RO/135
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transmittal of copies .....	R. 17.2, Form IB/351, ROG 179
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“telescoped” procedure(s) (overlapping IS and IPE) .....	R. 69.1(b), ISPEG 19.10

#### **PROCESSING**

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DEMAND	
INTERNATIONAL APPLICATION	
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program of the union determined by Assembly.....	Art. 53(2)(a)(vi)
draft agenda of Assembly prepared by Executive	
Committee.....	Art. 54(6)(a)(i)

**Subject** **Paragraph**

Executive Committee ensures due execution of program of the union .....Art. 54(6)(a)(v)  
until establishment of Executive Committee, annual programs prepared by DG and approved by Assembly .....Art. 53(10)

**PROTECTION AVAILABLE UNDER PCT**

See KIND(S) OF PROTECTION  
REGIONAL  
UTILITY MODEL

**PROTEST (AGAINST PAYMENT OF ADDITIONAL FEES AFTER FINDING LACK OF UNITY OF INVENTION)**

definition .....R. 40.2 (c), 68.3(c)  
protest (against payment of additional fees after finding lack of unity of invention) before IB  
transmittal by IB to DO/EO, upon request of applicant, texts of protest (against payment of additional fees after finding lack of unity of invention) and decision thereon .....R. 40.2 (c), AI Sec. 403, Forms ISA/212, IPEA/420  
protest (against payment of additional fees after finding lack of unity of invention) before IPEA .....R. 68.3(c), (e), AI Sec. 603, Form IPEA/420  
invitation to pay protest (against payment of additional fees after finding lack of unity of invention) fee.....R. 68.3(e), Form IPEA/437  
notification of decision on protest (against payment of additional fees after finding lack of unity of invention) .....R. 68.3(c), (e), AI Sec. 603, Form IPEA/420  
transmittal by IPEA to applicant of decision on protest (against payment of additional fees after finding lack of unity of invention) .....R. 68.3(c), AI Sec. 603  
IB, copy of protest (against payment of additional fees after finding lack of unity of invention) and decision thereon.....R. 68.3(c), AI Sec. 603  
EOs, upon request of applicant, of texts of protest (against payment of additional fees after finding lack of unity of invention) and decision thereon .....R. 68.3(c), AI Sec. 603  
protest (against payment of additional fees after finding lack of unity of invention) before ISA .....R. 40.2(c), (e), AI Sec. 502, Form ISA/212  
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notification of decision on protest (against payment of additional fees after finding lack of unity of invention) .....R. 40.2(c), (e), AI Sec. 502, Form ISA/212  
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**PUBLICATION**

communication of PCT publications free of charge to ISAs, IPEAs and national Offices .....R. 87, AI Sec. 435  
See GAZETTE  
INTERNATIONAL PUBLICATION

**PURPORTED**

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purported IA  
before RO  
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failure to correct and notification that purported IA is not and will not be treated as IA .....R. 20.4(i), Form RO/104  
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missing drawings relating to .....AI Sec. 308*bis*, 309, 310, ROG 193-203  
numbering of papers of .....AI Sec. 307  
receipt by RO of papers of .....AI Sec. 301, Form RO/125, ROG 35-38

<b>Subject</b>	<b>Paragraph</b>
RO keeps papers constituting ..... before IB	R. 20.4(iii), 93.1
request by applicant to IB to send copy of file to purported DO(s) for review under Art. 25 .....	Art. 25(1), R. 51.2
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**-Q-**

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**-R-**

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DG to notify Governments of all Paris States of deposit of .....	Art. 69(ii)
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**RECEIPT (OF IA BY RO)**

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irregularities .....	AI Sec. 708(a)
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RC .....	R. 24.2(a), Form IB/301
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**Subject****Paragraph**

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reasons .....	R. 15.4(iii), 16.2(iii), 22.1(a), AI Sec. 330, Form RO/147, ROG 33, 286
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ISA.....	R. 43.6 <i>bis</i> , 91.1(b)(ii), (b)(iv), AI Sec. 511, Forms ISA/216, ISA/217, ISPEG 8.07-8.08
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Dem. considered under R. 54.4 not to have	
been submitted.....	R. 57.4(ii)
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transmittal of RC to IB.....	R. 15.4(ii), Form RO/119, ROG 268
IA not treated as such due to prescriptions	
concerning national security .....	R. 15.4(iii), Form RO/119, ROG 268
no IFD accorded .....	R. 15.4(i), Form RO/119, ROG 268
by non-competent RO (R. 19.4) .....	ROG 276
refund of IPE fee.....	R. 58.3, Annexes D, E
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ISA where	
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<b>Subject</b>	<b>Paragraph</b>
ISR could (partly) be based on results of IS effected on priority application .....	R. 16.3, Form ISA/213
search is (partly) based on earlier search .....	Form ISA/213
non-competent RO .....	R. 19.4, ROG 276
RO where	
IA (considered) withdrawn before transmittal of SC to ISA .....	R. 16.2(ii), AI Sec. 326(c), Form RO/119, ROG 269
IA not treated as such due to prescriptions concerning national security .....	R. 16.2(iii), AI Sec. 322, Form RO/119, ROG 269
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agent .....	Art. 4(1)(v), R. 4.7(b)
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## **REGULAR NATIONAL APPLICATION**

IA has effect of regular national application in each DO as of IFD .....	Art. 11 (3), (4)
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## **REGULATIONS UNDER THE PCT**

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provisions of Treaty prevail over Regulations under the PCT in case of conflict .....	Art. 58(5)
Regulations under the PCT provide for establishment of A.I by DG .....	Art. 58(4)

## **REIMBURSEMENT**

See REFUND

## **REINSTATEMENT**

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generally .....	Art. 48, R. 82, 82 <i>bis</i> , Guide National Phase, National Chapters
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## **REJECTION**

DO must give applicant opportunity to correct before rejection of IA .....	Art. 26
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## **RENUMBERING, SHEETS OF IA**

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## **RENUNCIATION (OF APPOINTMENT)**

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<b>Subject</b>	<b>Paragraph</b>
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ISA to IB .....	AI Sec. 512
IPEA to IB .....	AI Sec. 608
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RO	
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addition of sheets by .....	R. 11.7, AI Sec. 207, 311
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CORRECTION(S)	
DEFECTS	
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INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Ch.II)
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## **REPRESENTATION/REPRESENTATIVE**

See AGENT
ATTORNEY
COMMON REPRESENTATIVE
RENUNCIATION (OF APPOINTMENT)

**Subject** **Paragraph**

REVOCATION (OF APPOINTMENT)

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IB .....AI Sec. 416  
RO.....AI Sec. 327, Form RO/146  
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amend claims .....	Art. 19(1), R. 46.1
correct	
correspondence (letter and signature) .....	R. 92.1(a), 92.1(b), 92.4(g)(ii), Form IB/344
or add priority claim .....	R. 4.10, R. 26bis.1, Form IB/316
furnish	
indications relating to biological material which are not included in references in IA as filed .....	R. 13bis.4(a), (c)
original of a document .....	R. 92.4(d), (e), (f), Form IB/357
priority document .....	R. 17.1(a)
record changes .....	R. 92bis.1(b)
request rectification of obvious mistake .....	R. 91.2, Form IB/314
submit priority document .....	R. 17.1(b), 17.1(b-bis)
withdraw	
designation(s) .....	R. 90bis.2(a)
Dem. or elections .....	R. 90bis.4(a)
IA .....	R. 90bis.1(a)
priority claim(s) .....	R. 90bis.3(a)
<b>IPEA to</b>	
amend IA .....	Art. 34(2)(b), R. 66.1(b)
correct defects in	
correspondence (letter and signature) .....	R. 92.1(a), 92.1(b), 92.4(g)(ii), Form IPEA/423
Dem. ....	R. 60.1(a), Form IPEA/404
in correspondence submitted by applicant .....	R. 92.1(b), Form IPEA/423
furnish	
original of a document .....	R. 92.4(d), (e), (f), Form IPEA/434
SL complying with standard .....	R. 13ter.2, AI Sec. 208, AI Annex C, Form IPEA/441
translation for the purposes of IPE of	
amendments .....	R. 55.3(b), 66.9(c), Form IPEA/443
IA .....	R. 55.2(c), Form IPEA/443
translation of priority document .....	R. 66.7(b), Form IPEA/414
indicate competent IPEA .....	R. 59.3(c)(ii), (f), AI Sec. 601(b), Form IPEA/442
pay	
prescribed fees	
handling fee .....	R. 57.3
preliminary examination fee .....	R. 57.3, 58.1(b)
together with late payment fee .....	R. 58bis.2, Form IPEA/440
protest fee .....	R. 68.3(e), Form IPEA/440
reply to written opinion .....	R. 66.2(d), (e), Form IPEA/427
request rectification of obvious mistake .....	R. 91.2, Form IPEA/411
restrict or pay additional fees .....	Art. 34(3)(a), R. 68.2, Form IPEA/405
submit amendments upon invitation of IPEA .....	R. 60.1(g), Form IPEA/431
withdraw	
designation(s) .....	R. 90bis.2(a)
IA .....	R. 90bis.1(a)

**Subject****Paragraph**

priority claim(s).....	R. 90bis.3(a)
ISA to	
correct defects in correspondence (letter and signature) .....	R. 92.1(a), 92.1(b), 92.4(g)(ii), Form ISA/223
furnish	
original of a document .....	R. 92.4(d), (e), (f), Form ISA/230
nucleotide and/or amino acid SL complying with standard.....	R. 13ter.1, Form ISA/225
indicate competent IPEA.....	R. 59.3(f), AI Sec. 516, Form ISA/235
pay	
additional fees after finding of lack of unity of invention .....	Art. 17(3)(a), R. 40.1, Form ISA/206
for requested copies of cited documents.....	R. 44.3(b), Form ISA/221
request rectification of obvious mistake .....	R. 91.2, Form ISA/216
RO to	
correct	
certain defects in IA .....	Art. 3(4)(i), (ii), 14(1)(b), R. 26.2, Form RO/106
defects in correspondence (letter and signature) .....	R. 92.1(a), 92.1(b), 92.4(g)(ii), Form RO/131, ROG 20
or add declaration under R. 4.17.....	R. 26ter
or add priority claim.....	R. 4.10, 26bis.1, Form RO/110, ROG 169, 173
purported IA .....	Art. 11(2), R. 20.3(a), Form RO/103
furnish	
drawings referred to but not included in IA.....	Art. 14(2)
original of a document .....	R. 92.4(d), (e), (f), Form RO/141
missing parts.....	R. 20.5, 20.7, Form RO/107, ROG 196
papers completing IA .....	R. 20.3, 20.5, 20.7, AI Sec. 310, 310bis, 310ter, ROG 46, 196
priority document.....	R. 17.1(a), ROG 179
required translation of	
abstract and/or text matter of drawings .....	R. 26.2, 26.3ter(a), Form RO/106, ROG 57, 63
IA for purposes of	
international publication.....	R. 12.4(a), (c), Form RO/157
IS .....	R. 12.3(a), (c), Form RO/150, ROG 69
Req. ....	R. 26.2, 26.3ter(c), Form RO/106, ROG 57, 60
pay prescribed fees .....	Art. 3(4)(iv), 14(1)(b), R. 26.2
international filing fee.....	R. 15.3, Form RO/102
preparation and transmittal of priority document.....	R. 17.1(b), AI Sec. 323(b)
search fee.....	R. 16.1(f), Form RO/102
transmittal fee.....	R. 14.1(c), AI Sec. 304(a), Form RO/102
together with late furnishing fee	
international filing fee.....	R. 15.3, 16bis.1, Form RO/133
search fee .....	R. 16.1(f), 16bis.1, Form RO/133
transmittal fee.....	R. 14.1(c), 16bis.1, Form RO/133
request	
preparation and transmittal of priority document.....	R. 17.1(a)
rectification of obvious mistake.....	R. 91.2, Form RO/108
restoration of right of priority .....	R. 26bis.3(e), ROG 166D
submit	
arguments in case of later finding of non-compliance with requirements for IFD .....	R. 29.4(a), Form RO/143
confirmation of incorporation by reference of elements and parts of IA.....	R. 20.6(a), 20.7, ROG 46, 196
priority document .....	R. 17.1(b), 17.1(b-bis)
withdraw	
designation(s) .....	R. 90bis.2(a)
IA.....	R. 90bis.1(a)
priority claim(s).....	R. 90bis.3(a)
SISA to	
correct defects.....	R. 45bis.4(a)
furnish	
SL complying with standard .....	R. 13ter.1(a)-(d), 45bis.5(c), AI Sec. 208, AI Annex C, Form SISA/504
pay	

**Subject****Paragraph**

late fee .....	R. 45bis.4(b)
supplementary search fee .....	R. 45bis.2(c), R. 45bis.3(c)
time limit(s) applicable to	
IB for	
communication of	
IA to DOs .....	Art. 36(3)(a), R. 47.1(a-bis)
IPRP (Ch.I) to DOs .....	R. 44bis.2
IPRP (Ch.II) to EOs .....	R. 73.2
considering IA withdrawn if	
RC not received by IB.....	Art. 12(3), R. 22.3
requirements for according IFD are later found to not to be fulfilled .....	Art. 14(4), R. 30
authorizing rectification of obvious mistake.....	R. 91.3, Form IB/315
IPEA for	
authorizing rectification of obvious mistake.....	R. 91.3, Form IPEA/412
establishing IPRP (Ch.II) .....	Art. 35(1), R. 69.2, ISPEG 19.08-19.10
ISA for	
authorizing rectification of obvious mistake.....	R. 91.3, Form ISA/217
establishing ISR and IPRP (Ch.I).....	R. 42, 43bis.1(a), 44bis.1
sending copies of documents cited in ISR at request of DO or applicant.....	Art. 20(3), R. 44.3
RO to	
authorize rectification of obvious mistake .....	R. 91.3, Form RO/109
check	
certain elements in IA .....	R. 26.1
language of abstract and/or text matter in drawings.....	R. 26.1, 26.3ter(a), ROG 62
language of Req. ....	R. 26.1, 26.3ter(c), ROG 59
consider IA withdrawn if requirements for according IFD are later found not to be fulfilled.....	Art. 14(4), R. 30
transmit RC and translation to IB.....	Art. 12(3), R. 22.1(a), 22.3, 24.2(c)
time limit(s) for furnishing copy, translation and fee fixed later than provided for in	
Art. 22(3) .....	Art. 22(3), R. 50
Art. 39(1)(a).....	Art. 39(1)(a), R. 77.1
time limit(s) for furnishing samples of biological material .....	R. 13bis.6
See COMPUTATION	
DELAY(S)	
WITHDRAWN (CONSIDERED)	

**TITLE (OF INVENTION IN IA)**

before	
ISA .....	Art. 3(2), R. 37, 44.2, ISPEG 16.40-16.43
indications in ISR .....	R. 44.2, Form ISA/210
IPEA.....	R. 4.3, ISPEG 16.40-16.43
RO.....	Art. 3(2), 4(1)(iv), 14(1)(iii), R. 4.1(a)(ii), 5.1(a), Form RO/106, ROG 77, 153
title (of invention in IA) required in IA .....	Art. 3(2)
in description .....	Art. 4(1)(iv), R. 5.1(a)
in Req. ....	Art. 4(1)(iv), R. 4.1(a)(ii)
language/translation of title (of invention in IA).....	R. 37.2, 48.3, 86.2
missing or defective title (of invention in IA) before	
ISA .....	Art. 3(2), 4(1)(iv), R. 4.3, 5.1(a), 37, 44.2, ISPEG 16.42
RO.....	Art. 3(2), 4(1)(iv), 14(1)(iii), R. 4.1(a)(ii), 5.1(a), ROG 77
publication of title (of invention in IA) in	
Gaz .....	R. 86.1(i), AI Annex D, 2.1
international publication .....	R. 48.2(b)(i)

**TRANSLATION**

communication to DO of translation (ISR or declaration) .....	Article 20(1)(b), Rule 47.1
translation of certain words not in Latin alphabet, in Req. ....	R. 4.16

<b>Subject</b>	<b>Paragraph</b>
translation of IA	
defective/incorrect translation, consequences before DOs/EOs .....	Art. 46
furnishing to IB (upon request of IB) by DO or EO of translation as furnished by applicant to DO or EO.....	R. 95
for international publication .....	R. 12.4, AI Sec. 305bis
for international search .....	R. 12.3
furnishing by applicant.....	R. 12.3(a), (b), ROG 66-68
invitation to furnish and to pay, where applicable, late furnishing fee .....	R. 12.3(c), (e), Form RO/150, ROG 69-70
notification of IA considered withdrawn .....	Art. 14(1), R. 12.3(d), 29.1, Form RO/117, ROG 71
transmittal by RO to ISA.....	R. 12.3, 22.1(g), 23.1(b), AI Sec. 305bis(a)(iii), ROG 289
for international type search .....	Art. 15(5)(c)
for IPE	
invitation to furnish translation for IPE .....	R. 55.2, 55.3, 66.9, Form IPEA/443
for national processing by applicant to	
DO .....	Art. 22, R. 49.5
EO.....	Art. 39
furnishing by applicant .....	R. 12.4(a), (b)
invitation to furnish and to pay, where applicable, late furnishing fee .....	R. 12.4(c), (e), Form RO/157
notification of IA considered withdrawn.....	Art. 14(1), R. 12.4(d), 29.1, Form RO/117
required by national law as a condition for protection effected by international publication .....	Art. 29
transmittal by RO to IB.....	R. 22.1(h), AI Sec. 305bis(c)
translation of IPRP (Ch.I) by IB .....	R. 44bis.3, 62bis, 72.2bis
transmittal by IB to applicant and DOs .....	R. 44bis.3(c), Form IB/338
translation of IPRP (Ch.II) annexes (by applicant) .....	Art. 36(2), (3)(b), R. 74
translation of IPRP (Ch.II) by IB.....	Art. 36(2), R. 72, ISPEG 3.28
transmittal by IB (together with IPRP (Ch.II) and annexes to applicant and EOs) .....	Art. 36(1)-(3), R. 72.2, Form IB/338
translation of ISR or declaration by IB.....	Art. 18(3), R. 45
translation of priority document	
before DO .....	R. 51bis.1(e)
before EO.....	R. 76
invitation by IPEA to furnish translation of priority document .....	R. 66.7(b), Form IPEA/414

## **TRANSLITERATION**

transliteration of certain words .....	R. 4.16(a)
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## **TRANSMITTAL**

electronic means of transmittal .....	R. 89bis, AI Sec. 701(iii), 703(b)(ii), 709, 710(a)(i)
electronic transmittal of notices between Offices.....	R. 89bis.3, AI Sec. 114
transmittal of copy of IA as published or republished .....	Form IB/311
transmittal of copy of IPRP (Ch.I) .....	R. 44bis.1(c), Form IB/326
transmittal of copy of results of earlier search.....	AI Sec. 337
transmittal of copy of translation of IA to SISA .....	AI Sec. 436
transmittal of copy of written opinion to IPEA. ....	Form IB/337
transmittal of Dem. by	
IB to competent IPEA.....	R. 59.3(c)(i), (d), AI Sec. 432, Form IB/368
invitation to indicate competent IPEA by	
IB .....	R. 59.3(c)(ii), AI Sec. 432, Form IB/367
IPEA .....	R. 59.3(f), AI Sec. 601(b), Form IPEA/442
ISA.....	R. 59.3(f), AI Sec. 516, Form ISA/235
RO.....	R. 59.3(f), AI Sec. 304(a), (b), 323(b), Form RO/154, ROG 328-332
IPEA to IB (or copy of Dem.) .....	R. 61.1
IPEA to IB or competent IPEA .....	R. 59.3(a), (f), AI Sec. 601(b), Form IPEA/436
ISA to IB or competent IPEA.....	R. 59.3(a), (f), AI Sec. 516, Form ISA/234
RO to IB or competent IPEA.....	R. 59.3(a), (f), AI Sec. 334, Form RO/153, ROG 328-332
transmittal of documents	
by RO or IB.....	Form RO/118, Form IB/310

**Subject****Paragraph**

by RO of requested documents (certified copy of IA to applicant or IB) .....	R. 21.2, 22.1(d), Form RO/122, ROG 334
by ISA of requested copies of cited documents .....	Art. 20(3), Form ISA/211
by SISA of requested copies of cited documents .....	Art. 20(3), R. 45bis.7(c), Form SISA/509
transmittal of IA (prior to communication to DOs under Art. 20)	
by applicant to DO .....	Art. 13(2)(a)
by IB to DO upon request of applicant .....	Art. 13(2)(b), R. 31.1(b), 31.2
by IB to DO upon request of DO .....	Art. 13(1), R. 31.1(a), 31.2
transmittal of IPRP (Ch.II) and annexes	
by IB (together with IB's translation of IPRP (Ch.II) to applicant and EOs) .....	Art. 36(1)-(3), R. 72.2, Form IB/338
by IPEA to applicant and IB .....	Art. 36(1), R. 71.1, Form IPEA/416, ISPEG 22.59
transmittal of (applicant's) translation of annexes to EOs by applicant .....	Art. 36(2), (3)(b), R. 74
transmittal of ISR, IPRP (Ch.I) or Art. 17(2) declaration by ISA to applicant and IB .....	R. 44.1, Form ISA/220
transmittal of notice of correction or addition of declarations referred to in R. 26ter by RO to IB .....	R. 4.17, 26ter.1, AI Sec. 317, ROG 192F
transmittal of priority documents by IB to DOs .....	R. 17.2, Form IB/351
transmittal of purported IA by RO to IB as RO (R. 19.4)	
IA in electronic form .....	AI Sec. 704(f)
invitation to authorize transmittal and to pay fee .....	R. 19.4(a)(iii), AI Sec. 333, Form RO/152, ROG 278-281
notification of transmittal and invitation to pay fee .....	R. 19.4(a)(i), (ii), AI Sec. 333, Form RO/151, ROG 274-277
PCT-SAFE filing .....	ROG 165D
transmittal of PCT-SAFE diskette by RO to IB .....	AI Sec. 335
transmittal of protest	
by IB to DO or EO, upon request of applicant, texts of transmittal and decision thereon .....	R. 40.2 (c), AI Sec. 403, Forms ISA/212, IPEA/420
by IPEA to applicant of decision on transmittal .....	R. 68.3(c), AI Sec. 603
by IPEA to IB of copy of protest and decision thereon .....	R. 68.3(c), AI Sec. 603
by IPEA to EOs, upon request of applicant, of texts of protest and decision thereon .....	R. 68.3(c), AI Sec. 603
by ISA to IB of applicant's request to forward texts of transmittal and decision thereon to DOs/EOs .....	R. 40.2 (c), AI Sec. 502, second sentence, Forms ISA/212, IPEA/420
transmittal of RC by RO to IB .....	Art. 12(1), R. 22, ROG 285-287
delayed transmittal for national security reasons .....	AI Sec. 330, Form RO 147, ROG 33, 286
transmittal of SC by RO to ISA .....	Art. 12(1), R. 23, ROG 288-293
delayed transmittal .....	R. 23(1)(a), AI Sec. 306, Form RO/102, ROG 292, 293
transmittal of SLs .....	R. 23.1(c)
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FEE(S)	

**TREATMENT**

methods for treatment not required to be	
examined .....	Art. 34(4)(a)(i), R. 67.1(iv), ISPEG 9.08-9.10
searched .....	Art. 17(2)(a)(i), R. 39.1(iv), ISPEG 9.08-9.10

**TREATY**

See PATENT COOPERATION TREATY (PCT)	
REGIONAL	

**TRUE COPY**

See RECORD COPY	
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**TWO-LETTER CODES**

for indication of States, territories and IGOs .....	AI Sec. 115, AI Annex D(3.3), Annex K
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**TYPING**

typing of text matter in IA as physical requirement .....	Art. 3(4)(ii), R. 11.9(a), (c), (e), ROG 141
See PHYSICAL REQUIREMENTS	

**-U-****UNANIMITY**

- unanimity of Contracting States required for decisions modifying certain time limits.....Art. 47(2)(b)
- inclusion of R. in one or other category referred to Art. 58(3)(a).....Art. 58(3)(c)
  - R. which may be amended only by unanimity decision are specified in Reg. ....Art. 58(3)(a)(i), R. 88.1

**UNION**

- defined.....Art. 2(xvi)
- establishment of union .....Art. 1(1)

**UNITY OF INVENTION**

- generally .....R. 13, AI Sec. 206, AI Annex B, ISPEG 10.01-10.78
- Markush practice.....R. 13.2, AI Sec. 206, AI Annex B (Parts 1(f), 2 III), ISPEG 10.17
- posterior finding of unity of invention .....R. 13.2, AI Sec. 206, AI Annex B (Part 2), ISPEG 10.03
- unity of invention before IPEA.....Art. 3(4)(iii), R. 13, AI Sec. 206, AI Annex B, ISPEG 10.01-10.78
  - lack of unity of invention found by IPEA .....Art. 34(3), R. 68
    - insufficient restriction of claims.....Art. 34(3)(c), R. 68.4
    - invitation to restrict or pay additional fees.....Art. 34(3)(a), R. 68.2, Form IPEA/405
    - no invitation to restrict or pay additional fees.....R. 68.1
    - notification of decision on protest .....R. 68.3(c), (e), AI Sec. 603, Form IPEA/420
    - refund of additional fee .....R. 68.3(c), (e), AI Sec. 603, Form IPEA/420
- unity of invention before ISA .....Art. 3(4)(iii), R. 13, AI Sec. 206, AI Annex B, ISPEG 10.01-10.78
  - lack of unity of invention found by ISA .....Art. 17(3)(a), R. 40
    - invitation to pay additional fees .....Art. 17(3)(a), R. 40.1, Form ISA/206
    - notification of decision on protest .....R. 40.2(c), (e), AI Sec. 502, Form ISA/212
    - notification regarding review of justification for invitation to pay additional fees.....R. 40.2(e)
    - refund of additional fee .....Art. 17(3), R. 40.2(c), Form ISA/212
- unity of invention before SISA
  - lack of unity of invention found by SISA .....R. 45bis.6(a)
  - notification regarding review of opinion.....R. 45bis.6(d), Form SISA/503
  - request for review of the opinion.....R. 45bis.6(c)
  - transmittal of request to review and decision to DOs ...R. 45bis.6(e), Form SISA/503
- where utility model is sought national law may be applied in respect of unity of invention .....Art. 43, 44, R. 13.5

See DEPENDENT CLAIMS

FEE(S)

INTERNATIONAL PRELIMINARY EXAMINATION

PROTEST

REFUND

**UTILITY CERTIFICATE**

- IA for purposes of utility certificate .....Art. 43
- prescribed indications in Req. ....R. 4.11(a)(i)
- utility certificate(s) part of minimum documentation.....R. 34.1(d)

**UTILITY MODEL**

- if utility model is sought national law may be applied in respect of
  - claims .....R. 6.5, 78.3
  - unity of invention.....R. 13.5, 78.3
- indications to be made in Req. ....Art. 43, R. 4.9(a)(ii)
  - if utility model is sought in addition to other kind of protection.....Art. 44, R. 49bis.1

**-V-****VOTE**

- vote by correspondence.....R. 81.3(a), (b)



<b>Subject</b>	<b>Paragraph</b>
in case of absence of quorum in Assembly .....	Art. 53(5)(b), R. 85.1
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Contracting State which may not exercise right to vote due to delay in paying contribution.....	Art. 57(5)(e)
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requirement of absence of opposition .....	R. 88.3
requirement of unanimity .....	R. 88.1
vote in Executive Committee.....	Art. 54(8)
participation of DG without right to vote .....	Art. 55(6)

**-W-**

**WAIVER**

communication on request of documents .....	R. 93bis.1
power of attorney .....	R. 90.4(d), AI Sec. 336, 433, 517, 617, ROG 117B, 117C, 120
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**WEIGHTS**

manner of expressing weights .....	R. 10.1(a), (d)
------------------------------------	-----------------

**“WO”**

See INTERNATIONAL PUBLICATION

**WITHDRAWAL**

signature required on notice of withdrawal .....	R. 90.bis.5
withdrawal of Dem. or election .....	Art. 37, R. 90bis.4, ISPEG 19.42, Form IB/372
effects of withdrawal before time limit under Art. 22...Art. 37(4)(b)	
notified to applicant by IB .....	R. 90bis.1, 90bis.4, AI Sec. 415 (b), (e), Form IB/339
withdrawal of designations .....	R. 90bis.2, AI Sec. 326(a), Form IB/372, ROG 314-324
notified to applicant by	
IB .....	R. 90bis.1, 90bis.2, AI Sec. 415(a), Form IB/307
RO.....	AI Sec. 326, Form RO/136
withdrawal of IA .....	R. 90bis.1, AI Sec. 326 (a)-(c), Form IB/372, ROG 314-324
no effect where national processing has started .....	R. 90bis.6, AI Sec. 609
notified to applicant by	
IB .....	R. 90bis.1, 90bis.2, AI Sec. 415(a), Form IB/307
RO.....	AI Sec. 326, Form RO/136
withdrawal of priority claim .....	R. 90bis.3, AI Sec. 326, Form IB/372, ROG 314-324
notified to applicant by	
IB .....	R. 90bis.1, 90bis.2, AI Sec. 415(a), (c), Form IB/307
RO.....	AI Sec. 326, Form RO/136
withdrawal of supplementary search request.....	AI Sec. 415(c), (d), 520
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IB .....	AI Sec. 415(c), (d)

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designations withdrawn (considered) by	
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IA by	
IB for RC not received within time limit.....	Art. 12(3), R. 22.3, 24.2(c)
review of determination by IB under Article 12(3) by DO .....	Art. 25(1)(a), R. 51
RO	
decision by RO .....	Art. 14(1)(b), R. 26.5
for failure to	
correct certain defects .....	Art. 14(1), R. 29.1, 92.4(g)(i), Form RO/117, ROG159
furnish required translation for	
international publication .....	Art. 14(1), R. 12.4(d), 29.1, Form RO/117
IS .....	Art. 14(1), R. 12.3(d), 29.1, Form RO/117, ROG 71
pay prescribed fees.....	Art. 14(3), R. 29.1, Form RO/117, ROG159

<b>Subject</b>	<b>Paragraph</b>
furnish original of IA .....	Art. 14(1), R. 29.1, 92.4(g)(i), Form RO/117, ROG159
later finding of non-compliance with requirements for according IFD.....	Art. 11(1), 14(4), R. 29.1(a), Form RO/143, ROG 52-54
notification by IB to DO(s) .....	Art. 14(1), (3), (4), R. 29.1, Form IB/325
review of determination of RO by DO.....	Art. 25(1)(a), R. 51
unless notified about IA withdrawn (considered), ISA is to proceed with IS if notified by RO about invitation concerning missing or defective	
abstract .....	R. 38.1
title .....	R. 37.1
protest withdrawn (considered) for failure to pay protest fee by	
IPEA.....	R. 68.3(e)
ISA .....	R. 40.2(e)

#### **WRITTEN DISCLOSURE(S)**

See DISCLOSURES

#### **WRITTEN OPINION**

by IPEA

generally.....	Art. 34(2)(c), R. 66.2, Form IPEA/408, ISPEG 17.06-17.07
indication that requirement of lack of unity of invention is not fulfilled .....	R. 68.1
invitation to submit reply/amendments to written opinion .....	R. 66.2(c), Form IPEA/408
statement of reasons for written opinion .....	R. 66.2(b)
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