Madam,
Sir,

Report on Characteristics of International Search Reports

1. This Circular is addressed to your Office in its capacity as an International Searching Authority under the Patent Cooperation Treaty (PCT). In that capacity, and as a member of the quality subgroup of the Meeting of International Authorities under the PCT, your Office is invited to comment on the annexed draft report on characteristics of international search reports.

Background

2. For the past ten years, the International Bureau of the World Intellectual Property Organization (WIPO) has prepared reports presenting various characteristics of international search reports for discussion by the International Searching Authorities (see Circulars C. PCT 1360, 1398, 1434, 1463, 1490, 1524, 1552, 1580, 1614 and 1634).

Updated Report

3. The Annex to this Circular presents a report on characteristics of international search reports, updating the data presented in Circular C. PCT 1634 up to the end of the fourth quarter of 2021. The underlying data and a further explanation of the description of the data and definitions used are made available on the WIPO website1.

1 The full details are available with the electronic version of this circular from http://www.wipo.int/pct/en/circulars/index.html.
4. Various changes have been proposed over recent years to add to or improve the characteristics. Most of these require additional data from national Offices, most notably in respect of documents cited in the national phase, and will be considered further when reliable feeds of such information become available. Alternatively, some may be considered when the availability of more recent data from XML international search reports is sufficient to warrant work on providing new data feeds based on that source. In the meantime, the format and content of the reports are unchanged from those presented in Circular C. PCT 1634, save to update the results to provide information concerning international searches transmitted to the International Bureau in 2021 (or in 2020 for the technical breakdowns).

5. As noted for the previous reports, the data for the final year is not complete because some search reports had not been processed by the European Patent Office in time to be reflected in the Autumn 2022 version of PATSTAT, which is the basis of the citation data in the reports. The data in particular for the final two quarters should be treated as highly provisional.

6. Technical breakdowns have again been made for four of the characteristics, with a table being included in the package available from the website. As a result of the above concerns, the breakdowns have been made on the characteristics for international search reports from 2020, rather than 2021.

Issues for the Quality Subgroup

7. This subject will be discussed at the next meeting of the quality subgroup, which will take place as a virtual meeting on October 30 and 31, 2023.

Your Office is invited to provide comments on the characteristics, how the characteristics can be used effectively, and what improvements to the presentation or content of characteristics and other metrics would be useful. Comments should be registered on the quality subgroup’s electronic forum in advance of the meeting to assist delegations to prepare for an effective discussion of such issues during the meeting.

Yours sincerely,

Lisa Jorgenson
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Patents and Technology Sector

CHARACTERISTICS OF INTERNATIONAL SEARCH REPORTS

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1.3B: PERCENTAGE OF PCT SEARCH REPORTS WITH E CITATIONS

**Graph 1:**
Percentage of search reports with E citations by region over the years from 2012 to 2021.
- **CN**: Red line and markers
- **EP**: Pink line and markers
- **JP**: Purple line and markers
- **KR**: Blue line and markers
- **US**: Green line and markers
- **Total**: Black line and markers

**Graph 2:**
Percentage of search reports with E citations by region over the years from 2012 to 2021.
- **AT**: Red line and markers
- **AU**: Pink line and markers
- **BR**: Purple line and markers
- **CA**: Blue line and markers
- **CL**: Green line and markers
- **Total**: Black line and markers
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![Graphs showing average number of patent literature citations per search report over time for different countries, with a focus on China (CN), Europe (EP), Japan (JP), Korea (KR), the United States (US), and total. Each graph has a horizontal axis representing search dates in yearly quarters and a vertical axis representing the average number of patent literature citations. The data shows an increase in citations over the years for each region.](image)
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Percentage of search reports with at least one X/Y NPL citation

Search date (year and quarter)

- CN
- EP
- JP
- KR
- US
- Total

Percentage of search reports with at least one X/Y NPL citation

Search date (year and quarter)

- AT
- AU
- BR
- CA
- CL
- Total
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Percentage of citations (%)

CN  JP  OT  US  WO
CN  EP  OT  US  WO
JP  OT  US  WO
KR
US

Percentage of patent citations by publication authority (2021)

Percentage of citations (%)

CN  EP  OT  US  WO
CN  EP  OT  US  WO
BR  CN  OT  US  WO
CA  CN  OT  US  WO
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