



C.PCT 946
- 41

October 9, 2003

Madam,
Sir,

Following consultation under Rule 89.2(b) of the Regulations under the Patent Cooperation Treaty (PCT) with interested Offices, Part I (Forms Relating to Receiving Offices) of Annex A to the Administrative Instructions under the PCT is modified with effect from January 1, 2004.

The modifications are as proposed by Circular C.PCT 926 (dated June 26, 2003) except where further changes have been made as a result of consultations, as indicated below. Furthermore, Forms PCT/RO/102 and PCT/RO/133 have been additionally modified to take into account the amendments of the Regulations under the PCT and of the Schedule of Fees annexed to the PCT Regulations as adopted by the PCT Assembly at its thirty-second (14th ordinary) session held from September 22 to October 1, 2003 (see the report of the session, document PCT/A/32/8), which will enter into force on January 1, 2004 (editorial and minor drafting changes are not mentioned).

Modified and deleted Forms relating to receiving Offices

The consolidated list of all Forms affected is as follows:

- PCT/RO/102 (and Annex) (modified as proposed by Circular C.PCT 926 and with further modifications to the Annex)
- PCT/RO/103 (modified as proposed by Circular C.PCT 926)
- PCT/RO/106 (and Annex) (modified as proposed by Circular C.PCT 926 and as a result of further consultation)
- PCT/RO/115 (modified as proposed by Circular C.PCT 926)
- PCT/RO/116 (deleted as proposed by Circular C.PCT 926)

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- PCT/RO/117 (modified as proposed by Circular C.PCT 926)
- PCT/RO/119 (not proposed to be modified by Circular C.PCT 926 but modification in item 2, as a result of consultation)
- PCT/RO/133 (and Annex) (modified as proposed by Circular C.PCT 926 and with further modifications to the Annex)
- PCT/RO/139 (deleted as proposed by Circular C.PCT 926)
- PCT/RO/144 (deleted as proposed by Circular C.PCT 926)
- PCT/RO/145 (deleted as proposed by Circular C.PCT 926)
- PCT/RO/151 (modified as proposed by Circular C.PCT 926 and as a result of further consultation)
- PCT/RO/152 (modified as proposed by Circular C.PCT 926 and as a result of further consultation)
- PCT/RO/153 (modified as proposed by Circular C.PCT 926 and as a result of further consultation)
- PCT/RO/154 (modified as proposed by Circular C.PCT 926 and as a result of further consultation)

The modified Forms should be used from January 1, 2004. Where an Office is not in a position to use all of them from that date, the old Forms, to the extent that they are still applicable or, where required, with additions or corrections, may still be used for a transitional period.

Availability of Modified Forms

A complete collection of all Forms in Part I (Forms Relating to Receiving Offices) of Annex A to the Administrative Instructions Under the PCT, including the modified Forms mentioned above, is submitted herewith. This collection is also available in PDF format from the WIPO website, via the link “Forms in force from January 2004” at: <http://www.wipo.int/pct/en/forms/ro/index.htm>.

All the Forms in this complete collection are dated January 2004 (the date of issuance or of reprinting of the Form) and replace all previous versions issued since 1992.

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Offices which use languages other than English and French are requested to prepare the Forms needed by them in such other languages with due regard to the provisions of Section 102 of the Administrative Instructions.

Yours sincerely,



Francis Gurry
Assistant Director General

Enclosure: Part I of Annex A to the Administrative
Instructions Under the PCT

PCT FORMS

(Annex A to the Administrative Instructions under the PCT)

PART I

Forms Relating to the Receiving Office

(January 2004)

LIST OF FORMS RELATING TO THE RECEIVING OFFICE

<i>Form Number</i>	<i>Title of Form</i>	<i>Provision pursuant to which Form is issued</i>
PCT/RO/102	Notification Concerning Payment of Prescribed Fees	Rules 14, 15 and 16 Sections 102 <i>bis</i> (c), 304, 323(b), 707(b) and 803
PCT/RO/103	Invitation to Correct the Purported International Application	Article 11(2)(a) Rule 20.6
PCT/RO/104	Notification that the Purported International Application is not and will not be Treated as an International Application	Rule 20.7(i)
PCT/RO/105	Notification of the International Application Number and of the International Filing Date	Rule 20.5(c)
PCT/RO/106	Invitation to Correct Defects in the International Application	Articles 3(4)(i), 14(1) Rule 26
PCT/RO/107	Notification of Non-inclusion of Drawings with the International Application	Article 14(2) Rule 20.2(a)(iii)
PCT/RO/108	Invitation to Request Rectification	Rule 91.1(d)
PCT/RO/109	Notification of Decision Concerning Request for Rectification	Rule 91.1(f)
PCT/RO/110	Invitation to Correct Priority Claim	Rules 4.10, 26 <i>bis</i> .1, 26 <i>bis</i> .2(a) and (b)
PCT/RO/111	Notification Relating to Priority Claim	Rules 26 <i>bis</i> .1, 26 <i>bis</i> .2 Sections 302, 314
PCT/RO/112	Notification Concerning Expressions, etc., not to be used in the International Application	Rule 9
PCT/RO/113	Request for the Recording of a Change	Rule 92 <i>bis</i> .1
PCT/RO/114	<i>[Deleted]</i>	

PCT/RO/115	Notification of Intention to make Declaration that International Application Considered Withdrawn	Article 14(4) Rule 29.4
PCT/RO/116	<i>[Deleted]</i>	
PCT/RO/117	Notification that International Application Considered to be Withdrawn	Article 14(1) or (3) Rules 12.3(d) or 12.4(d), 29.1 or 92.4(g)(i)
PCT/RO/118	Notification Concerning Documents Transmitted	
PCT/RO/119	Notification of Refund of Fees	Rules 15.6, 16.2 Section 326(c)
PCT/RO/120	Invitation to Pay Fee for Preparation of Copies	Rule 21.1(c) Section 305bis(a) and (c)
PCT/RO/121	<i>[Deleted]</i>	
PCT/RO/122	Notification of Transmittal of Requested Documents	Rule 20.9 or 22.1(d)
PCT/RO/123	Notification Concerning Representation	Rule 90 Section 328
PCT/RO/124	Notification of Defective Power of Attorney or Defective Revocation of Power of Attorney	Rules 90.4(c), 90.6(e)
PCT/RO/125	Notification of Receipt of Papers Purporting to be an International Application	Section 301
PCT/RO/126	Notification Concerning Later Submitted Sheets or Drawings	Sections 309(b) and (c), 310(c) and (d)
PCT/RO/127	Notification of Decision not to Issue Declaration that International Application Considered Withdrawn	Section 312
PCT/RO/128	Notification Relating to Requested Documents	Rule 17.1(b) or 20.9 Section 323(b) and (e)
PCT/RO/129	<i>[Deleted]</i>	
PCT/RO/130	<i>[Deleted]</i>	

PCT/RO/131	Notification of Defects with Regard to Correspondence Submitted by the Applicant	Rules 92.1(b), 92.4(g)(ii)
PCT/RO/132	Communication in Cases for Which No Other Form is Applicable	
PCT/RO/133	Invitation to Pay Prescribed Fees Together with Late Payment Fee	Rule 16 <i>bis</i>
PCT/RO/134	Indications Relating to Deposited Microorganism or Other Biological Material	Rule 13 <i>bis</i>
PCT/RO/135	Notification of Date of Receipt of Priority Document or of Priority Application Number	Section 323(a), (b) and (c)
PCT/RO/136	Notification of Withdrawal	Section 326
PCT/RO/137	<i>[Deleted]</i>	
PCT/RO/138	Communication Regarding Extension of Time Limit	Rule 26.2
PCT/RO/139	<i>[Deleted]</i>	
PCT/RO/140	Notification Regarding Attempted Transmission of Documents via Telegraph, Teleprinter, Facsimile Machine, etc.	Rule 92.4(c)
PCT/RO/141	Invitation to Furnish Original of Document Transmitted by Telegraph, Teleprinter, Facsimile Machine, etc.	Rule 92.4(d), (e) and (f)
PCT/RO/142	Notification Regarding Receipt of Documents via Telegraph, Teleprinter, Facsimile Machine, etc.	Rule 92.4(h)
PCT/RO/143	Notification that International Application Considered to be Withdrawn	Article 14(4) Rule 29.1
PCT/RO/144	<i>[Deleted]</i>	
PCT/RO/145	<i>[Deleted]</i>	

PCT/RO/146	Notification Regarding Certain Corrections Made <i>Ex Officio</i>	Section 327
PCT/RO/147	Notification Concerning Failure to Forward Record Copy and Search Copy for National Security Reasons	Rules 15.6(iii), 16.2(iii), 22.1(a) Section 330
PCT/RO/148	Communication Regarding the Right to Practice Before the Receiving Office	Rule 83.2
PCT/RO/149	Notification Concerning Paper Being Disregarded or Document Considered as not Having Been Submitted	Rules 92.1(b), 92.4(g)(ii)
PCT/RO/150	Invitation to Furnish Translation of International Application and to Pay, Where Applicable, Late Furnishing Fee	Rule 12.3(c) and (e)
PCT/RO/151	Notification of Transmittal of Purported International Application to the International Bureau as Receiving Office and Invitation to Pay Fee	Rule 19.4(a)(i) and (ii) Section 333
PCT/RO/152	Invitation to Authorize Transmittal of Purported International Application to the International Bureau as Receiving Office and to Pay Fee	Rule 19.4(a)(iii) Section 333
PCT/RO/153	Notification of Transmittal of Demand to the International Bureau or to the Competent International Preliminary Examining Authority	Rule 59.3(a) and (f) Section 334
PCT/RO/154	Invitation to Indicate Competent International Preliminary Examining Authority	Rule 59.3(f) Section 334
PCT/RO/155	Notification that Demand Considered not to Have Been Submitted	Rule 59.3(d) and (f)
PCT/RO/156	Invitation to Correct Declarations Made in the Request Under PCT Rule 4.17	Rules 4.17 and 26ter.2(a)
PCT/RO/157	Invitation to Furnish Translation of International Application and to Pay, Where Applicable, Late Furnishing Fee	Rule 12.4(c) and (e)

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

NOTIFICATION CONCERNING PAYMENT OF PRESCRIBED FEES

(PCT Rules 14, 15 and 16 and Administrative
Instructions, Sections 102bis(c), 304,
323(b), 707(b) and 803)

To:

Date of mailing <i>(day/month/year)</i>
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Applicant's or agent's file reference

PAYMENT DUE see item 3 for time limits
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International application No.

International filing date/Date of receipt <i>(day/month/year)</i>
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Priority date <i>(day/month/year)</i>

Applicant

1. The applicant is hereby notified that this receiving Office has received:

the payment of all the prescribed fees, and **an overpayment**, which will be refunded in due course.

no or insufficient payment of the prescribed fees and the applicant is hereby **invited to pay the balance due**, as summarized under item 2, within the time limit(s) indicated under item 3.

2. **Fees and payment calculation:**

Total fees payable	-	Amount paid	=	Balance
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The details of the calculation are given in the Annex.

3. **Time limit(s) for payment and amount(s) payable (Rules 14.1, 15.4 and 16.1(f)):**

within ONE MONTH from the date of receipt of the international application (**for the transmittal fee** (if any), **the search fee** and **the international filing fee**). The amount payable for each fee is the amount applicable on the date of receipt of the international application.

within 16 MONTHS from the priority date (only for the fee for priority document). The applicant's attention is drawn to the fact that the request made by the applicant under Rule 17.1(b) will be considered not to have been made unless the fee is paid within that time limit.

4. Additional observations (*if necessary*):

The search copy will not be transmitted to the International Searching Authority until the search fee is paid (therefore the start of the international search will be delayed) (Rule 23.1(a) and (b)).

Name and mailing address of the receiving Office
Facsimile No.

Authorized officer
Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

INVITATION TO CORRECT THE PURPORTED INTERNATIONAL APPLICATION

(PCT Article 11(2)(a) and Rule 20.6)

To:

Date of mailing <i>(day/month/year)</i>
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Applicant's or agent's file reference	REPLY DUE within _____ month/days from the above date of mailing. See also last two paragraphs below.
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International application No.	Date of receipt <i>(day/month/year)</i>
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Applicant

Title of the invention

The applicant is hereby invited, within the time limit indicated above, to correct the purported international application since it does not fulfill the requirements under Article 11(1) for the according of an international filing date, for the reason(s) indicated below.

1. The applicant obviously lacks for reasons of residence and nationality the right to file an international application with this receiving Office (Article 11(1)(i) and Rules 18 and 19).
2. The description is not in (one of) the prescribed language(s), which is (are): _____
(Article 11(1)(ii) and Rules 12.1(a) and 20.4(c)).
3. The claims are not in (one of) the prescribed language(s), which is (are): _____
(Article 11(1)(ii) and Rules 12.1(a) and 20.4(c)).
4. The application does not contain an indication that it is intended as an international application
(Article 11(1)(iii)(a) and Rule 4.2).
5. The application does not contain the name of the applicant, as prescribed
(Article 11(1)(iii)(c) and Rule 20.4(b)).
6. The application does not contain a part which on the face of it appears to be a description
(Article 11(1)(iii)(d) and Rule 5).
7. The application does not contain a part which on the face of it appears to be a claim or claims
(Article 11(1)(iii)(e) and Rule 6).

ATTENTION

- The international filing date will be the date on which the corrections are received, if that date falls within the time limit indicated above. If the corrections are not received within that time limit, the application will not be treated as an international application.
- The time limit for responding to this invitation expires later than one year from the filing date of the earliest application whose priority is claimed. Thus, any correction received by this receiving Office after the priority year will require the receiving Office to declare *ex officio* that the priority claim is considered, for the purposes of the procedure under the PCT, not to have been made.

Name and mailing address of the receiving Office	Authorized officer
Facsimile No.	Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

NOTIFICATION THAT THE PURPORTED
INTERNATIONAL APPLICATION IS NOT
AND WILL NOT BE TREATED
AS AN INTERNATIONAL APPLICATION

(PCT Rule 20.7(i))

To:

Date of mailing <i>(day/month/year)</i>
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Applicant's or agent's file reference

IMPORTANT NOTIFICATION

International application No.

Date of receipt <i>(day/month/year)</i>
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Applicant

Title of the invention

<p>1. The applicant is hereby notified that the purported international application is not and will not be treated as an international application for the reason(s) indicated below.</p> <p>2. An invitation to correct (Form PCT/RO/103) was mailed to the applicant on _____ .</p> <p>3. However <input type="checkbox"/> the applicant has failed to reply to that invitation. <input type="checkbox"/> the applicant's reply to that invitation was received on _____ , i.e., after the expiration of the time limit fixed in that invitation. <input type="checkbox"/> the applicant's reply to that invitation does not fulfill the requirements which were indicated under No. _____ of that invitation.</p> <p>4. Any payments made by the applicant in respect of the international fee and search fee will be refunded in due course.</p> <p>5. A copy of this notification has been sent to the International Bureau to inform it that the number indicated above will no longer be used as an international application number.</p>

Name and mailing address of the receiving Office
Facsimile No.

Authorized officer
Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

NOTIFICATION OF THE INTERNATIONAL
APPLICATION NUMBER AND OF THE
INTERNATIONAL FILING DATE

(PCT Rule 20.5(c))

To:

Date of mailing
(day/month/year)

Applicant's or agent's file reference

IMPORTANT NOTIFICATION

International application No.

International filing date (day/month/year)

Priority date (day/month/year)

Applicant

Title of the invention

1. The applicant is hereby notified that the international application has been accorded the international application number and the international filing date indicated above.

2. The applicant is further notified that the record copy of the international application:

was transmitted to the International Bureau on _____ .

has not yet been transmitted to the International Bureau for the reason indicated below and a copy of this notification has been sent to the International Bureau*:

because the necessary national security clearance has not yet been obtained.

because (reason to be specified):

* The International Bureau monitors the transmittal of the record copy by the receiving Office and will notify the applicant (with Form PCT/IB/301) of its receipt. Should the record copy not have been received by the expiration of 14 months from the priority date, the International Bureau will notify the applicant (Rule 22.1(c)).

Name and mailing address of the receiving Office

Facsimile No.

Authorized officer

Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

INVITATION TO CORRECT DEFECTS IN THE INTERNATIONAL APPLICATION

(PCT Articles 3(4)(i) and 14(1) and Rule 26)

To:

Date of mailing <i>(day/month/year)</i>
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Applicant's or agent's file reference	REPLY DUE within _____ months/days from the above date of mailing
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International application No.	International filing date <i>(day/month/year)</i>
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Applicant

1. The applicant is hereby **invited**, within the time limit indicated above, to correct, **in the international application as filed**, the defects specified on the attached:
 - Annex A
 - Annex B1 (*text matter of the international application as filed*)
 - Annex C1 (*drawings of the international application as filed*)

2. The applicant is hereby **invited**, within the time limit indicated above, to correct, **in the translation of the international application** furnished under Rule 12.3 or 12.4, the defects specified on the attached:
 - Annex A
 - Annex B2 (*text matter of the translation of the international application*)
 - Annex C2 (*drawings of the translation of the international application*)

Additional observations (if necessary):

HOW TO CORRECT THE DEFECTS?

Correction must be submitted by filing a replacement sheet embodying the correction and a letter accompanying the replacement sheet, which shall draw attention to the difference between the replaced sheet and the replacement sheet. A correction may be stated in a letter only if it is of such a nature that it can be transferred from the letter to the record copy without adversely affecting the clarity and direct reproducibility of the sheet onto which the correction is to be transferred (Rule 26.4).

ATTENTION

Failure to correct the defects will result in the international application being considered withdrawn by this receiving Office (see Rule 26.5 for further details).

A copy of this invitation and any attachments has been sent to the International Bureau
 and the International Searching Authority

Name and mailing address of the receiving Office	Authorized officer
Facsimile No.	Telephone No.

The receiving Office has found the following defects in the international application as filed:

1. As to **signature** of the international application (Rules 4.15, 26.2bis(a) and 90.4), the request:
- is not signed* by the applicant or, if there is more than one applicant, by at least one of them
 - is not accompanied by the statement referred to in the check list in Box No. IX of the request explaining the lack of the signature of an applicant for the designation of the United States of America
 - is signed by what appears to be an agent/common representative but:
 - the international application is not accompanied by a power of attorney appointing him
 - the power of attorney accompanying the international application is not signed by all the applicants
 - other (*specify*):

* Although Rule 4.15 requires that all applicants must sign the request (e.g. including all inventors/applicants for the designation of the United States of America), for the purposes of Article 14(1)(a)(i), if there is more than one applicant, it shall be sufficient that the request be signed by one of them (Rule 26.2bis(a)).

However, the applicant's attention is drawn to the fact that the national law applied by each designated Office may require, in connection with the processing of the international application in the national phase, that the applicant furnish the confirmation of the international application by the signature of any applicant for the designated State who has not signed the request (Rule 51bis.1(a)(vi)).

2. As to indications concerning the **applicant*** who is entitled, according to Rule 19.1, to file the international application with the receiving Office, the request (Rules 4.4, 4.5 and 26.2bis(b)):
- does not properly indicate the applicant's name (*specify*):
 - does not indicate the applicant's address
 - does not properly indicate the applicant's address (*specify*):
 - does not indicate the applicant's nationality
 - does not indicate the applicant's residence

Further observations about indications concerning other applicants (if applicable):

* Although Rules 4.4 and 4.5 require indications concerning the applicant, or if there are several applicants, of each of them, for the purposes of Article 14(1)(a)(ii), if there is more than one applicant, it shall be sufficient that the indications required under Rule 4.5(a)(ii) and (iii) be provided in respect of one of them who is entitled according to Rule 19.1 to file the international application with the receiving Office (Rule 26.2bis(b)).

However, the applicant's attention is drawn to the fact that the national law applied by each designated Office may require, in connection with the processing of the international application in the national phase, that the applicant furnish any missing indication required under Rule 4.5(a)(ii) and (iii) in respect of any applicant for the designated State (Rule 51bis.1(a)(vii)).

3. As to the **language** of certain elements of the international application, other than the description and claims (Rules 12.1(c) and 26.3ter(a) and (c)):
- the **request** is not in a language of publication accepted by this receiving Office; (the language(s) accepted by this receiving Office is/are:
 - the **text matter of the drawings** is not in the language in which the international application is to be published, which is:
 - the **abstract** is not in the language in which the international application is to be published, which is:

4. The **title** of the invention:
- is not indicated in Box No. I of the request (Rule 4.1(a))
 - is not indicated at the top of the first sheet of the description (Rule 5.1(a))
 - as appearing in Box No. I of the request is not identical with the title heading the description (Rule 5.1(a))

5. As to the **abstract** (Rules 8 and 26.1(b)):
- the international application does not contain an abstract

ANNEX B1 TO FORM PCT/RO/106

International application No.

This receiving Office has found that, with regard to the presentation of the **text matter of the international application as filed**, the physical requirements are not complied with to the extent that compliance therewith is necessary for:

1. reasonably uniform international publication (Rules 11 and 26.3(a)(i)) (*defects to be specified*):

	Request	Description	Claims	Abstract
a. <input type="checkbox"/> the sheets do not admit of direct reproduction	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b. <input type="checkbox"/> the element does not commence on a new sheet	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c. <input type="checkbox"/> sheets are not free from creases, cracks, folds	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d. <input type="checkbox"/> sheets are not used in the upright position	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e. <input type="checkbox"/> one side of the sheets is not left unused	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f. <input type="checkbox"/> the paper of the sheets is not flexible/strong/white/smooth/non-shiny/durable	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
g. <input type="checkbox"/> the sheets are not connected as prescribed (Rule 11.4(b))	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
h. <input type="checkbox"/> sheets are not A4 size (29.7cm x 21cm)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
i. <input type="checkbox"/> the minimum margins on the sheets are not as prescribed (top: 2cm; left side: 2.5cm; right side: 2cm; bottom: 2cm)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
j. <input type="checkbox"/> the file reference number indicated on the sheets does not appear in the left-hand corner of the sheets, within 1.5 cm of the top of the sheets		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
k. <input type="checkbox"/> the file reference number exceeds the maximum of 12 characters	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
l. <input type="checkbox"/> the sheets of the description, claims and abstract are not numbered in consecutive Arabic numerals		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
m. <input type="checkbox"/> the sheet numbers are not centered at the top or bottom of the sheets	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
n. <input type="checkbox"/> the sheet numbers are in the margin (see i. above for the size of the margins)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
o. <input type="checkbox"/> the text matter is not typed or printed	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
p. <input type="checkbox"/> the typing on the sheets is not 1½-spaced		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
q. <input type="checkbox"/> the characters in the text matter on the sheets are less than 0.21 cm high in capital letters	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
r. <input type="checkbox"/> the text matter on the sheets is not in dark, indelible color	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
s. <input type="checkbox"/> the element contains drawings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
t. <input type="checkbox"/> the sheets contain alterations/overwritings/interlineations/too many erasures	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
u. <input type="checkbox"/> the sheets contain photocopy marks	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

2. satisfactory reproduction (Rules 11 and 26.3(b)(i))

Further observations (if necessary):

ANNEX B2 TO FORM PCT/RO/106

International application No.

This receiving Office has found that, with regard to the presentation of the **text matter of the translation of the international application**, the physical requirements are not complied with to the extent that compliance therewith is necessary for:

1. reasonably uniform international publication (Rules 11 and 26.3b(ii)) (*defects to be specified*):

	Request	Description	Claims	Abstract
a. <input type="checkbox"/> the sheets do not admit of direct reproduction	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b. <input type="checkbox"/> the element does not commence on a new sheet	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c. <input type="checkbox"/> sheets are not free from creases, cracks, folds	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d. <input type="checkbox"/> sheets are not used in the upright position	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e. <input type="checkbox"/> one side of the sheets is not left unused	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f. <input type="checkbox"/> the paper of the sheets is not flexible/strong/white/smooth/non-shiny/durable	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
g. <input type="checkbox"/> the sheets are not connected as prescribed (Rule 11.4(b))	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
h. <input type="checkbox"/> sheets are not A4 size (29.7cm x 21cm)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
i. <input type="checkbox"/> the minimum margins on the sheets are not as prescribed (top: 2cm; left side: 2.5cm; right side: 2cm; bottom: 2cm)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
j. <input type="checkbox"/> the file reference number indicated on the sheets does not appear in the left-hand corner of the sheets, within 1.5 cm of the top of the sheets		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
k. <input type="checkbox"/> the file reference number exceeds the maximum of 12 characters	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
l. <input type="checkbox"/> the sheets of the description, claims and abstract are not numbered in consecutive Arabic numerals		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
m. <input type="checkbox"/> the sheet numbers are not centered at the top or bottom of the sheets	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
n. <input type="checkbox"/> the sheet numbers are in the margin (see i. above for the size of the margins)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
o. <input type="checkbox"/> the text matter is not typed or printed	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
p. <input type="checkbox"/> the typing on the sheets is not 1½-spaced		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
q. <input type="checkbox"/> the characters in the text matter on the sheets are less than 0.21 cm high in capital letters	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
r. <input type="checkbox"/> the text matter on the sheets is not in dark, indelible color	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
s. <input type="checkbox"/> the element contains drawings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
t. <input type="checkbox"/> the sheets contain alterations/overwritings/interlineations/too many erasures	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
u. <input type="checkbox"/> the sheets contain photocopy marks	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

2. satisfactory reproduction (Rules 11 and 26.3(a)(ii))

Further observations (if necessary):

ANNEX C1 TO FORM PCT/RO/106

This receiving Office has found that, with regard to the presentation of the **drawings of the international application as filed**, the physical requirements are not complied with to the extent that compliance therewith is necessary for:

1. reasonably uniform international publication (Rules 11 and 26.3(a)(i)) (*defects to be specified*):

Sheets containing drawings:

- a. the sheets do not admit of direct reproduction
- b. the sheets are not free from creases, cracks, folds
- c. one side of the sheets is not left unused
- d. the paper of the sheets is not flexible/strong/white/smooth/non-shiny/durable
- e. the drawings do not commence on a new sheet
- f. the sheets are not connected as prescribed (Rule 11.4(b))
- g. the sheets are not A4 size (29.7cm x 21cm)
- h. the minimum margins on the sheets are not as prescribed (top: 2.5cm; left side: 2.5cm; right side: 1.5cm; bottom: 1cm)
- i. the file reference number indicated on the sheets does not appear in the left-hand corner of the sheets, within 1.5 cm of the top of the sheets
- j. the file reference number exceeds the maximum of 12 characters
- k. the sheets are not free from frames around usable or used surfaces
- l. the sheets are not numbered in consecutive Arabic numerals (e.g. 1/3, 2/3, 3/3)
- m. the sheet numbers are not centered at the top or bottom of the sheets
- n. the sheet numbers are in the margin (see h. above for the size of the margins)
- o. the sheets contain alterations/overwritings/interlineations/too many erasures
- p. the sheets contain photocopy marks

Drawings (Rule 11.13):

- a. do not admit of direct reproduction
- b. contain unnecessary text matter
- c. contain words so placed as to prevent translation without interference with lines thereof
- d. are not executed in durable black color; the lines are not uniformly thick and well-defined
- e. contain cross-sections not properly hatched
- f. would not be properly distinguishable in reduced reproduction
- g. contain scales not represented graphically
- h. contain numbers, letters and reference lines lacking simplicity and clarity
- i. contain lines drafted without the aid of drafting instruments
- j. contain disproportionate elements of a figure not necessary for clarity
- k. contain numbers and letters of height less than 0.32 cm
- l. contain letters not conforming to the Latin, and where customary, Greek alphabets
- m. contain figures on two or more sheets which form a single complete figure but which are not able to be assembled without concealing parts thereof
- n. contain figures which are not properly arranged and clearly separated
- o. contain different figures not numbered in consecutive Arabic numerals
- p. contain different figures not numbered independently of the numbering of the sheets
- q. are not restricted to reference signs mentioned in the description
- r. do not contain reference signs that are mentioned in the description
- s. contain the same feature denoted by different reference signs
- t. are not arranged in an upright position, clearly separated from one another
- u. are not presented sideways with the top of the figures at the left side of the sheets

2. satisfactory reproduction (Rules 11 and 26.3(b)(i))

Further observations (if necessary):

This receiving Office has found that, with regard to the **drawings of the translation** of the international application, the physical requirements are not complied with to the extent that compliance therewith is necessary for:

1. reasonably uniform international publication (Rules 11 and 26.3(b)(ii)) (*defects to be specified*):

Sheets containing drawings:

- a. the sheets do not admit of direct reproduction
- b. the sheets are not free from creases, cracks, folds
- c. one side of the sheets is not left unused
- d. the paper of the sheets is not flexible/strong/white/smooth/non-shiny/durable
- e. the drawings do not commence on a new sheet
- f. the sheets are not connected as prescribed (Rule 11.4(b))
- g. the sheets are not A4 size (29.7cm x 21cm)
- h. the minimum margins on the sheets are not as prescribed (top: 2.5cm; left side: 2.5cm; right side: 1.5cm; bottom: 1cm)
- i. the file reference number indicated on the sheets does not appear in the left-hand corner of the sheets, within 1.5 cm of the top of the sheets
- j. the file reference number exceeds the maximum of 12 characters
- k. the sheets are not free from frames around usable or used surfaces
- l. the sheets are not numbered in consecutive Arabic numerals (e.g. 1/3, 2/3, 3/3)
- m. the sheet numbers are not centered at the top or bottom of the sheets
- n. the sheet numbers are in the margin (see h. above for the size of the margins)
- o. the sheets contain alterations/overwritings/interlineations/too many erasures
- p. the sheets contain photocopy marks

Drawings (Rule 11.13):

- a. do not admit of direct reproduction
- b. contain unnecessary text matter
- c. contain words so placed as to prevent translation without interference with lines thereof
- d. are not executed in durable black color; the lines are not uniformly thick and well-defined
- e. contain cross-sections not properly hatched
- f. would not be properly distinguishable in reduced reproduction
- g. contain scales not represented graphically
- h. contain numbers, letters and reference lines lacking simplicity and clarity
- i. contain lines drafted without the aid of drafting instruments
- j. contain disproportionate elements of a figure not necessary for clarity
- k. contain numbers and letters of height less than 0.32 cm
- l. contain letters not conforming to the Latin, and where customary, Greek alphabets
- m. contain figures on two or more sheets which form a single complete figure but which are not able to be assembled without concealing parts thereof
- n. contain figures which are not properly arranged and clearly separated
- o. contain different figures not numbered in consecutive Arabic numerals
- p. contain different figures not numbered independently of the numbering of the sheets
- q. are not restricted to reference signs mentioned in the description
- r. do not contain reference signs that are mentioned in the description
- s. contain the same feature denoted by different reference signs
- t. are not arranged in an upright position, clearly separated from one another
- u. are not presented sideways with the top of the figures at the left side of the sheets

2. satisfactory reproduction (Rules 11 and 26.3(a)(ii))

Further observations (if necessary):

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

NOTIFICATION OF NON-INCLUSION
OF DRAWINGS WITH
THE INTERNATIONAL APPLICATION

(PCT Article 14(2) and Rule 20.2(a)(iii))

To:

Date of mailing
(day/month/year)

Applicant's or agent's file reference

REPLY DUE within **30 DAYS** from the date of receipt indicated below. See also item 5 below.

International application No.

Date of receipt (day/month/year)

Priority date (day/month/year)

Applicant

1. The applicant is hereby notified that this receiving Office has found that reference to drawings which were not included in the international application is made on pages:

2. The applicant **may**, within the time limit indicated above, **submit the said drawings** (Rule 20.2(a)(iii)).
3. **IF THE DRAWINGS REACH** this receiving Office within that time limit, the date on which they are received will become the international filing date.
4. **IF THE DRAWINGS DO NOT REACH** this receiving Office within that time limit, or **IF NO DRAWINGS** are submitted, any reference in the international application to these drawings will be considered non-existent, the drawings will not be taken into account for the purposes of the international processing and the date of receipt of the international application indicated above will be the international filing date.
5. The time limit for responding to this notification expires later than one year from the filing date of the earliest application whose priority is claimed. Thus, any drawing submitted in reply to this notification, which is received by this receiving Office after the priority year, will require the receiving Office to declare *ex officio* that the priority claim is considered, for the purposes of the procedure under the PCT, not to have been made.

Name and mailing address of the receiving Office

Facsimile No.

Authorized officer

Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

INVITATION TO REQUEST RECTIFICATION

(PCT Rule 91.1(d))

To:

Date of mailing (day/month/year)

Applicant's or agent's file reference

REPLY DUE see item 2 and the last paragraph below

International application No.

International filing date (day/month/year)

Applicant

<p>1. This receiving Office has discovered in the international application/in other papers submitted by the applicant/what appears to be an obvious error:</p> <p><input type="checkbox"/> as shown on the attached copy.</p> <p><input type="checkbox"/> as specified hereafter:</p> <p>2. The applicant is hereby invited to submit a request for rectification to the following authority:</p> <p><input type="checkbox"/> this receiving Office <input type="checkbox"/> the International Searching Authority <input type="checkbox"/> the International Bureau of WIPO 34 chemin des Colombettes 1211 Geneva 20, Switzerland</p> <p>HOW TO CORRECT AN ERROR?</p> <p>A request for rectification of an obvious error must be submitted in a letter. The rectification may be stated in that letter if it is of such a nature that it can be transferred from the letter to the international application without adversely affecting the clarity and the direct reproducibility of the sheet onto which the rectification is to be transferred; otherwise, the applicant is required to submit a replacement sheet embodying the rectification and the letter containing the request for rectification must draw attention to the differences between the replaced sheet and the replacement sheet (Rule 26.4).</p> <p>ATTENTION</p> <p>No rectification will be made without the express authorization of the competent authority indicated above and, in order to be effective, the authorization by that authority must reach the International Bureau, or be given by the International Bureau, as the case may be, before the completion of the technical preparations for international publication (Rule 91.1(g) to (g-quater)).</p>

Name and mailing address of the receiving Office
Facsimile No.

Authorized officer
Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

NOTIFICATION OF DECISION CONCERNING REQUEST FOR RECTIFICATION

(PCT Rule 91.1(f))

To:

Date of mailing <i>(day/month/year)</i>
--

Applicant's or agent's file reference

REPLY DUE	NONE However, see last paragraph below
------------------	---

International application No.

International filing date <i>(day/month/year)</i>
--

Applicant

The applicant is hereby notified that this receiving Office has considered the request for rectification of obvious errors in the request of the international application and that it has decided:

1. to authorize the rectification:
- as requested by the applicant.
 - to the extent set forth below*:

2. to refuse to authorize the rectification or part of it for the following reasons*:

A copy of this notification, together with a copy of the applicant's request for rectification, has been sent to the International Bureau.

* **If the authorization of the rectification has been refused in whole or in part**, the applicant may request the International Bureau, before the technical preparations for international publication have been completed and subject to the payment of a fee, to publish the request for rectification together with the international application. See Rule 91.1(f), third and fourth sentences, and, for the amount of the fee, see Annex B2(WO), Volume I of the PCT Applicant's Guide.

Name and mailing address of the receiving Office
Facsimile No.

Authorized officer
Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

INVITATION TO CORRECT PRIORITY CLAIM

(PCT Rules 4.10, 26bis.1, 26bis.2(a) and (b))

To:

Date of mailing <i>(day/month/year)</i>
--

Applicant's or agent's file reference

REPLY DUE See item 1

International application No.

International filing date <i>(day/month/year)</i>
--

Applicant

The applicant is hereby **invited**, within the time limit indicated below, to correct, by a notice submitted to this receiving Office, defects in the priority claim(s), as indicated in the Annex.

1. Time limit to respond to this Invitation (Rule 26bis.1(a)):

- within 16 months from the (earliest) priority date; or
- if the (earliest) priority date is changed as a result of the correction or addition of the (earliest) priority claim, within 16 months from that (earliest) priority date so changed,

whichever expires first, provided that such a notice may, in any event, be submitted until the expiration of four months from the international filing date.

Failure to respond to this Invitation within the prescribed time limit may result in the priority claim concerned to be considered, for the purposes of the procedure under the PCT, not to have been made (Rule 26bis.2(b)).

2. In the case where multiple priorities have been claimed, this invitation relates to the following priority claim(s):

3. A copy of this Invitation is being sent to the International Bureau.

Name and mailing address of the receiving Office
Facsimile No.

Authorized officer
Telephone No.

This receiving Office has found the following defects in the priority claim(s):

1. Failure to Comply with the Requirements of Rule 4.10

- a. **National** application
- Missing indication of the filing date of the earlier application.
 - Filing date indicated for the earlier application does not fall within the period of 12 months preceding the international filing date.
 - Missing indication of the number of the earlier application.*
 - Missing indication of the country party to the Paris Convention for the Protection of Industrial Property, or of the Member of the World Trade Organization that is not party to that Convention, in which the earlier national application was filed.
 - The country indicated is neither a party to the Paris Convention for the Protection of Industrial Property nor a Member of the World Trade Organization.
- b. **Regional** application
- Missing indication of the filing date of the earlier application.
 - Filing date indicated for the earlier application does not fall within the period of 12 months preceding the international filing date.
 - Missing indication of the number of the earlier application.*
 - Missing indication of the authority entrusted with the granting of regional patents under the applicable regional patent treaty.
 - The authority indicated as the authority entrusted with the granting of regional patents does not grant regional patents.
 - The priority claim in relation to the ARIPO application does not indicate either at least one country party to the Paris Convention for the Protection of Industrial Property, or at least one Member of the World Trade Organization, for which the earlier application was filed.
- c. **International** application
- Missing indication of the filing date of the earlier application.
 - Filing date indicated for the earlier application does not fall within the period of 12 months preceding the international filing date.
 - Missing indication of the number of the earlier application.*
 - Missing indication of the receiving Office with which it was filed.

2. Inconsistency with the Corresponding Indications in the Priority Document*

- a. Inconsistency with regard to the filing date of the earlier application:
The request indicates:
The priority document indicates:
- b. Inconsistency with regard to the number of the earlier application:
The request indicates:
The priority document indicates:
- c. Inconsistency with regard to the country party to the Paris Convention for the Protection of Industrial Property or the Member of the World Trade Organization in which the **national** application was filed:
The request indicates:
The priority document indicates:
- d. Inconsistency with regard to the authority entrusted with the granting of *regional patents* under the applicable regional patent treaty:
The request indicates:
The priority document indicates:
- e. Inconsistency with regard to the receiving Office with which the **international** application was filed:
The request indicates:
The priority document indicates:

* Even if this defect is not corrected in response to this Invitation, the priority claim concerned will not be considered not to have been made (Rule 26bis.2(b)).

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

NOTIFICATION RELATING TO PRIORITY CLAIM

(PCT Rules 26bis.1 and 26bis.2 and
Administrative Instructions, Sections 302 and 314)

To:

Date of mailing <i>(day/month/year)</i>
--

Applicant's or agent's file reference

IMPORTANT NOTIFICATION

International application No.

International filing date <i>(day/month/year)</i>
--

Applicant

The applicant is hereby **notified** of the following in respect of the priority claim(s) made in the international application.

1. **Correction of priority claim.** In accordance with the applicant's notice received on :
the following priority claim has been corrected to read as follows:
 - even though the indication of the number of the earlier application is missing.
 - even though the following indication in the priority claim is not the same as the corresponding indication appearing in the priority document:

2. **Addition of priority claim.** In accordance with the applicant's notice received on : _____
the following priority claim has been added:
 - even though the indication of the number of the earlier application is missing.
 - even though the following indication in the priority claim is not the same as the corresponding indication appearing in the priority document:

3. **As a result of the correction and/or addition** of (a) priority claim(s) under items 1 and/or 2, the **(earliest) priority date** is:

4. The priority claim (*see also item 5, below, if applicable*) is **considered not to have been made** because:
 - the applicant failed to respond to the invitation under Rule 26bis.2(a) (Form PCT/RO/110) within the prescribed time limit.
 - the applicant's notice was received after the expiration of the prescribed time limit under Rule 26bis.1(a).
 - the applicant's notice failed to correct the priority claim so as to comply with the requirements of Rule 4.10.

The applicant may, before the technical preparations for international publication have been completed and subject to the payment of a fee, request the International Bureau to publish, together with the international application, information concerning the priority claim. See Rule 26bis.2(c) and the *PCT Applicant's Guide*, Volume I, Annex B2(IB).

5. In case where **multiple priorities** have been claimed, the above item(s) relate to the following priority claim(s):

6. A copy of this notification has been sent to the International Bureau and
 - to the International Searching Authority

Name and mailing address of the receiving Office
Facsimile No.

Authorized officer
Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

NOTIFICATION CONCERNING EXPRESSIONS,
ETC., NOT TO BE USED IN
THE INTERNATIONAL APPLICATION

(PCT Rule 9)

To:

Date of mailing <i>(day/month/year)</i>
--

Applicant's or agent's file reference	REPLY DUE within _____ month/days from the above date of mailing
---------------------------------------	--

International application No.	International filing date <i>(day/month/year)</i>
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Applicant

The applicant is hereby notified that the international application lacks compliance with Rule 9.1 because it contains:

1. expressions or drawings contrary to morality.
See page(s) _____ line(s) _____ figure(s) _____ .
2. expressions or drawings contrary to public order.
See page(s) _____ line(s) _____ figure(s) _____ .
3. statements disparaging the products or processes of any particular person other than the applicant.
See page(s) _____ line(s) _____ figure(s) _____ .
4. statements disparaging the merits or validity of applications or patents of any particular person other than the applicant.
See page(s) _____ line(s) _____ figure(s) _____ .
5. statements or matter obviously irrelevant or unnecessary under the circumstances.
See page(s) _____ line(s) _____ figure(s) _____ .

Further observations, if necessary:

Invitation to correct:

The applicant is hereby invited, within the time limit indicated above, to voluntarily correct the international application.

How to make the corrections?

Correction must be submitted by filing a replacement sheet embodying the correction and a letter accompanying the replacement sheet, which shall draw attention to the difference between the replaced sheet and the replacement sheet. A correction may be stated in a letter only if it is of such a nature that it can be transferred from the letter to the record copy without adversely affecting the clarity and direct reproducibility of the sheet onto which the correction is to be transferred (Rule 26.4(a)).

If the applicant fails to make the corrections,

the International Bureau may omit the above-noted expressions, drawings, and statements, from its publications, indicating the place and number of words or drawings omitted, and furnish upon request, individual copies of the passages omitted (see Article 21(6)).

A copy of this notification has been sent to the International Searching Authority and to the International Bureau.

Name and mailing address of the receiving Office	Authorized officer
Facsimile No.	Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

REQUEST FOR THE RECORDING OF A CHANGE

(PCT Rule 92*bis*.1)

<p>To:</p> <p>The International Bureau of WIPO 34, chemin des Colombettes 1211, Geneva 20 Switzerland</p>
--

Date of mailing <i>(day/month/year)</i>
--

International application No.

International filing date <i>(day/month/year)</i>
--

<p>1. The following indications appear on record concerning:</p> <p style="text-align: center;"> <input type="checkbox"/> the applicant <input type="checkbox"/> the inventor <input type="checkbox"/> the agent <input type="checkbox"/> the common representative </p>

Name and address	State of Nationality*	State of Residence*
	Telephone No.	
	Facsimile No.	
	Teleprinter No.	

<p>2. This receiving Office hereby requests the International Bureau to record the following change in:</p> <p style="text-align: center;"> <input type="checkbox"/> the person <input type="checkbox"/> the name <input type="checkbox"/> the address <input type="checkbox"/> the nationality* <input type="checkbox"/> the residence* </p>

Name and address	State of Nationality*	State of Residence*
	Telephone No.	
	Facsimile No.	
	Teleprinter No.	

3. Further observations, if necessary:
--

* To be indicated only for a change concerning the applicant.

Name and mailing address of the receiving Office	Authorized officer
Facsimile No.	Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

NOTIFICATION OF INTENTION TO MAKE
DECLARATION THAT INTERNATIONAL
APPLICATION CONSIDERED WITHDRAWN

(PCT Article 14(4) and Rule 29.4)

To:

Date of mailing <i>(day/month/year)</i>
--

Applicant's or agent's file reference	REPLY DUE within ONE MONTH from the above date of mailing
---------------------------------------	---

International application No.	International filing date <i>(day/month/year)</i>
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Applicant

The applicant is hereby notified that, for the reason(s) indicated below, this receiving Office **intends to declare that the international application will be considered withdrawn** based upon the tentative finding that the requirements under Article 11(1) were not complied with at the time the international filing date was accorded.

1. The applicant obviously lacks the right to file an international application with this receiving Office for reasons of residence and nationality (Article 11(1)(i) and Rules 18 and 19).
2. The description is not in (one of) the prescribed language(s), which is (are): _____
(Article 11(1)(ii) and Rules 12.1(a) and 20.4(c)).
3. The claims are not in (one of) the prescribed language(s), which is (are): _____
(Article 11(1)(ii) and Rules 12.1(a) and 20.4(c)).
4. The application does not contain an indication that it is intended as an international application
(Article 11(1)(iii)(a) and Rule 4.2).
5. The application does not contain the name of the applicant, as prescribed
(Article 11(1)(iii)(c) and Rule 20.4(b)).
6. The application does not contain a part which on the face of it appears to be a description
(Article 11(1)(iii)(d) and Rule 5).
7. The application does not contain a part which on the face of it appears to be a claim or claims
(Article 11(1)(iii)(e) and Rule 6).

If the applicant disagrees with this tentative finding, the applicant may, within the time limit indicated above, submit to this receiving Office arguments to that effect.

Name and mailing address of the receiving Office	Authorized officer
Facsimile No.	Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

NOTIFICATION THAT INTERNATIONAL
APPLICATION CONSIDERED
TO BE WITHDRAWN

(PCT Article 14(1) or (3) and Rules 12.3(d) or 12.4(d),
29.1 or 92.4(g)(i))

To:

Date of mailing <i>(day/month/year)</i>
--

Applicant's or agent's file reference

IMPORTANT NOTIFICATION

International application No.

International filing date <i>(day/month/year)</i>
--

Applicant

The applicant is hereby notified that **the international application is declared by this receiving Office to be considered withdrawn** for the reason indicated below:

1. **Failure to correct certain defects:** An invitation (Form PCT/RO/106) to correct defects in the international application was mailed by this receiving Office on

However: no corrections, in response to that invitation, have been received within the prescribed time limit
 applicant's corrections as submitted do not properly correct the defects noted in that invitation

2. **Failure to furnish the required translation of the international application and/or to pay the late furnishing fee:** An invitation (Form PCT/RO/150 or Form PCT/RO/157) to furnish the required translation of the international application and to pay, where applicable, the late furnishing fee was mailed by this receiving Office on

However, within the time limit referred to in that invitation:
 the required translation was not furnished the required late furnishing fee was not paid

3. **Failure to pay prescribed fees:** An invitation (Form PCT/RO/133) to pay the prescribed fees was mailed by this receiving Office on

However, within the time limit referred to in that invitation:
 no fees have been paid
 the amounts paid are not sufficient to cover the transmittal fee, the international filing fee, the search fee and the late payment fee

4. **Failure to furnish the original of the international application:** An invitation (Form PCT/RO/141) to furnish the original of the international application (transmitted earlier by facsimile machine/teleprinter/etc.) was mailed by this receiving Office on

However, the original was not furnished within the time limit fixed in that invitation.

5. A copy of this notification has been sent to the International Bureau and to the International Searching Authority.

Name and mailing address of the receiving Office
Facsimile No.

Authorized officer
Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

To:

PCT

NOTIFICATION CONCERNING DOCUMENTS TRANSMITTED

Date of mailing (day/month/year)

The receiving Office transmits herewith the following documents:

(number)

- 1. record copies (Article 12(1))
2. search copies (Article 12(1))
3. translations of international applications (Rule 12.3 or 12.4)
4. copies of purported international applications (Rule 20.7(iv))
5. record copies and corrections not already transmitted in respect of the international applications which have been considered withdrawn (Rule 29.1(a)(i))
6. (copies of the) letters of corrections or rectifications (Administrative Instructions, Section 325(b) and (c))
7. (copies of) replacement sheets (Administrative Instructions, Section 325(b) and (c))
8. (copies of) later submitted sheets (Administrative Instructions, Section 309(b)(iii), (c)(ii))
9. (copies of) later submitted drawings (Administrative Instructions, Section 310(c)(iii), (d)(ii))
10. other documents (specify):

The Annex contains a list identifying each document transmitted by the type of document it is, the corresponding international application number and, if necessary, other information.

This notification is sent to the addressee in its capacity as:

- the International Searching Authority
the International Bureau

Name and mailing address of the receiving Office, Authorized officer, Facsimile No., Telephone No.

ANNEX TO FORM PCT/RO/118

Type of document	International application No.	Other information

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

NOTIFICATION OF REFUND OF FEES

(PCT Rules 15.6 and 16.2
and Administrative Instructions, Section 326(c))

To:

Date of mailing
(day/month/year)

Applicant's or agent's file reference

INFORMATION ONLY

International application No.

International filing date (day/month/year)

Date of receipt of purported international application (day/month/year)

Applicant

1. The applicant is hereby notified that **the amount(s) indicated below** which has (have) been paid in respect of the (purported) international application **will be refunded** for the following reason:

a. the application is not and will not be treated as an international application since the determination under Article 11(1) is negative.

b. the international application has been withdrawn, or considered withdrawn, before the transmittal of the record copy to the International Bureau.

c. the international application has been withdrawn, or considered withdrawn, before the transmittal of the search copy to the International Searching Authority.

d. the application is not and will not be treated as an international application due to prescriptions concerning national security.

2. Amount(s) to be refunded:

International Filing Fee : _____

Search Fee : _____

Total : _____

Name and mailing address of the receiving Office

Facsimile No.

Authorized officer

Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

INVITATION TO PAY FEE FOR
PREPARATION OF COPIES

(PCT Rule 21.1(c) and Administrative Instructions,
Section 305*bis*(a) and (c))

To:

Date of mailing <i>(day/month/year)</i>
--

Applicant's or agent's file reference

PAYMENT DUE within ONE MONTH from the above date of mailing
--

International application No.

International filing date <i>(day/month/year)</i>
--

Applicant

The applicant is hereby **invited**, within the time limit indicated above, **to pay to this receiving Office** the following amount:

for the preparation of additional copies of

- the international application
- the translation of the international application

as detailed below:

Number of copies required by this receiving Office	:	_____
Number of copies filed by the applicant	:	_____
Number of additional copies prepared by this receiving Office	:	_____

Name and mailing address of the receiving Office
Facsimile No.

Authorized officer
Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

NOTIFICATION OF TRANSMITTAL
OF REQUESTED DOCUMENTS

(PCT Rule 20.9 or 22.1(d))

To:		Date of mailing <i>(day/month/year)</i>
Applicant's or agent's file reference		Certified copy of international application enclosed
International application No.		International filing date <i>(day/month/year)</i>
Applicant		

This receiving Office, in response to the applicant's request, transmits herewith a certified copy of the international application as filed and of any corrections thereto.

Name and mailing address of the receiving Office	Authorized officer
Facsimile No.	Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

NOTIFICATION CONCERNING
REPRESENTATION

(PCT Rule 90 and Administrative
Instructions, Section 328)

To:

Applicant's or agent's file reference	Date of mailing (day/month/year)
International application No.	International filing date (day/month/year)
Applicant	

<p>1. This receiving Office hereby gives notice of the receipt of a document containing:</p> <p><input type="checkbox"/> a power of attorney</p> <p><input type="checkbox"/> a revocation of power of attorney</p> <p><input type="checkbox"/> a renunciation of appointment</p> <p>2. This notification, together with a copy of the document indicated above, is sent to the addressee in its capacity as:</p> <p><input type="checkbox"/> the International Searching Authority.</p> <p><input type="checkbox"/> the International Bureau, which is requested to record a change in the person of the agent or common representative under Rule 92bis.1(a)(ii).</p>
--

Name and mailing address of the receiving Office	Authorized officer
Facsimile No.	Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

NOTIFICATION OF DEFECTIVE POWER
OF ATTORNEY OR DEFECTIVE
REVOCATION OF POWER OF ATTORNEY

(PCT Rules 90.4(c) and 90.6(e))

To:

Date of mailing <i>(day/month/year)</i>
--

Applicant's or agent's file reference

REPLY DUE within month/days from the above date of mailing

International application No.

International filing date <i>(day/month/year)</i>
--

Applicant

<p>1. The applicant is hereby notified that:</p> <p style="margin-left: 40px;"><input type="checkbox"/> the power of attorney</p> <p style="margin-left: 40px;"><input type="checkbox"/> the revocation of power of attorney</p> <p>submitted to this receiving Office has been found defective for the reason(s) indicated below:</p> <p style="margin-left: 40px;"><input type="checkbox"/> it does not contain the required signature(s) <i>(specify)</i>:</p> <p style="margin-left: 40px;"><input type="checkbox"/> it is not contained in a separate document.</p> <p style="margin-left: 40px;"><input type="checkbox"/> it does not contain the indications required under Rule 4.4 concerning the name and address of the appointed person <i>(specify, if necessary)</i>:</p> <p>2. The applicant is hereby invited, within the time limit indicated above, to correct the defect(s).</p> <p>3. Failure to do so shall result in the appointment/revocation being considered non-existent.</p>
--

Name and mailing address of the receiving Office
Facsimile No.

Authorized officer
Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

NOTIFICATION OF RECEIPT OF PAPERS
PURPORTING TO BE AN INTERNATIONAL
APPLICATION

(PCT Administrative Instructions, Section 301)

To:	Date of mailing (day/month/year)
Applicant's or agent's file reference	IMPORTANT NOTIFICATION
International application No.	Date of receipt (day/month/year)
Applicant	
Title of the invention	

1. The applicant is hereby notified that this receiving Office has received papers purporting to be an international application on the date of receipt indicated above.
2. The applicant's attention is drawn to the fact that **these papers have not yet been checked by this receiving Office** in respect of their compliance with the requirements of Article 11(1), that is, whether these papers meet the requirements necessary for the according of an international filing date.
3. As soon as this receiving Office has checked these papers, it will inform the applicant accordingly.
4. These papers have provisionally been given the international application number indicated above. The applicant is hereby requested to make reference to that number in all correspondence with this receiving Office.

Name and mailing address of the receiving Office	Authorized officer
Facsimile No.	Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

NOTIFICATION CONCERNING LATER SUBMITTED SHEETS OR DRAWINGS

(PCT Administrative Instructions, Sections 309(b)
and (c) and 310(c) and (d))

To:

Date of mailing <i>(day/month/year)</i>
--

Applicant's or agent's file reference

IMPORTANT NOTIFICATION

International application No.

International filing date/Date of first receipt of papers <i>(day/month/year)</i>
--

Applicant

<p>1. The applicant is hereby notified that certain</p> <p><input type="checkbox"/> sheets <i>(specify page numbers)</i> _____</p> <p><input type="checkbox"/> drawings <i>(specify page or drawing numbers)</i> _____</p> <p>pertaining to the application were received by this receiving Office on:</p> <p style="text-align: center;">_____ (date of receipt of the later submission),</p> <p>that is, on a date later than the date indicated above of first receipt of papers.</p> <p>2. <input type="checkbox"/> As the date of receipt of the later submission is within 30 days of the date of first receipt of papers, the later submission is included in the application for international processing and</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> the date of receipt of the later submission becomes the date of receipt of the application.</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> the date of receipt of the later submission becomes the international filing date.</p> <p>3. <input type="checkbox"/> As the date of receipt of the later submission is later than 30 days from the date of first receipt of papers, the later submission will not be taken into account for the purposes of international processing and</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> the date of receipt of the application remains as of record.</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> the international filing date remains as of record.</p>

Name and mailing address of the receiving Office
Facsimile No.

Authorized officer
Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

NOTIFICATION OF DECISION NOT TO ISSUE
DECLARATION THAT INTERNATIONAL
APPLICATION CONSIDERED WITHDRAWN

(PCT Administrative Instructions, Section 312)

To:

Date of mailing (day/month/year)

Applicant's or agent's file reference

IMPORTANT NOTIFICATION

International application No.

International filing date (day/month/year)

Applicant

1. The applicant is hereby notified that this receiving Office, after having considered the applicant's arguments, does not intend to declare that the international application is considered withdrawn.

2. Consequently, the international processing of the international application will continue.

Name and mailing address of the receiving Office
Facsimile No.

Authorized officer
Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

NOTIFICATION RELATING TO REQUESTED DOCUMENTS

(PCT Rule 17.1(b) or 20.9 and Administrative
Instructions, Section 323(b) and (e))

To:	
	Date of mailing <i>(day/month/year)</i>
Applicant's or agent's file reference	ACTION DUE see below
International application No.	International filing date <i>(day/month/year)</i>
Applicant	

1. **Request for priority document under Rule 17.1(b)**

This receiving Office has received on _____ *(date of receipt)*
a request to prepare and transmit to the International Bureau a certified copy of the earlier application the priority of which is claimed in the international application ("request for priority document").

a. However, the required fee has not been paid. Therefore the applicant is **invited**, within 16 months from the (earliest) priority date, to pay the following amount:

_____ *(amount due)*

Failure to pay the amount due within the 16-month time limit will result in the request for priority document to be considered not to have been made.

b. However, the required fee was not paid within the 16-month time limit; the request for priority document is therefore considered not to have been made.

c. However, the request for priority document (and any required fee, where applicable) was received after the expiration of the 16-month time limit; the request for priority document is therefore considered not to have been made.

The **attention** of the applicant is directed to Rule 17.1(a) which provides that any priority document received by the International Bureau after the expiration of that time limit will be considered to have been received by it on the last day of that time limit if it reaches it before the date of international publication of the international application (Section 323(e)).

(Only where item 1.b applies) A copy of this Notification is being sent to the International Bureau

2. **Request for certified copy of international application under Rule 20.9**

This receiving Office has received a request for a certified copy of the international application.

However, the required fee has not been paid. Therefore the applicant is **invited**, within _____ days/month from the date of mailing indicated above, to pay the following amount:

_____ *(amount due)*

3. Additional observations *(if necessary)*:

Name and mailing address of the receiving Office	Authorized officer
Facsimile No.	Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

NOTIFICATION OF DEFECTS WITH
REGARD TO CORRESPONDENCE
SUBMITTED BY THE APPLICANT

(PCT Rules 92.1(b) and 92.4(g)(ii))

To:

Date of mailing
(day/month/year)

Applicant's or agent's file reference

REPLY DUE within month/days
from the above date of mailing

International application No.

International filing date
(day/month/year)

Applicant

1. The applicant is hereby **invited**, within the time limit indicated above, **to remedy the omission** mentioned below.

2. This receiving Office acknowledges the receipt on: _____
of papers purporting to be:
_____.

3. However, the said papers were not accompanied by a letter (Rule 92.1(a)).
 accompanied by a letter which, nevertheless, was not signed (Rule 92.1(a)).
 furnished in the form of a letter which, nevertheless, was not signed (Rule 92.1(a)).
 transmitted by facsimile machine, but the original has not been received (Rule 92.4(d)).

4. The said letter or papers are returned herewith.

5. **Failure to remedy the omission** within the time limit indicated above will result in the said letter or papers being disregarded or, in the case of a facsimile transmission, considered as not having been submitted.

Name and mailing address of the receiving Office

Facsimile No.

Authorized officer

Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

COMMUNICATION IN CASES FOR WHICH
NO OTHER FORM IS APPLICABLE

To:

Date of mailing
(day/month/year)

Applicant's or agent's file reference

REPLY DUE
See paragraph 1 below

International application No.

International filing date
(day/month/year)

Applicant

1. REPLY DUE within _____ months/days from the above date of mailing

NO REPLY DUE, however, see below _____

IMPORTANT COMMUNICATION

INFORMATION ONLY

2. COMMUNICATION:

Name and mailing address of the receiving Office

Facsimile No.

Authorized officer

Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

INVITATION TO PAY PRESCRIBED FEES TOGETHER WITH LATE PAYMENT FEE

(PCT Rule 16bis)

To:

Date of mailing <i>(day/month/year)</i>
--

Applicant's or agent's file reference	PAYMENT DUE within ONE MONTH from the above date of mailing
---------------------------------------	---

International application No.	International filing date/Date of receipt <i>(day/month/year)</i>
-------------------------------	---

Applicant

1. This receiving Office has found that the prescribed fees (i.e., transmittal fee, search fee and international filing fee) have not been paid (in full), within one month from the date of receipt of the international application (Rules 14, 15 and 16). See below for details of the calculation.

2. The applicant is hereby **invited**, within the time limit indicated above, **to pay the following total amount** (see the Annex for details):

	+		=	
Total unpaid fees		Late payment fee		Total amount due

3. **Failure to pay** the total amount due within the time limit indicated above may result in the international application being considered withdrawn by this receiving Office.

The applicant's attention is drawn to Rules 16bis.1(c) and 29.

4. Additional observations *(if any)*:

the amount of _____ was received by this receiving Office after the expiration of the applicable time limit; the total amount due indicated above is correspondingly reduced

other observations:

5. A copy of this invitation is being sent to the International Bureau.

Name and mailing address of the receiving Office	Authorized officer
Facsimile No.	Telephone No.

**ANNEX TO FORM PCT/RO/133
CALCULATION OF THE PRESCRIBED FEES**

International application No. _____

T Transmittal Fee

Prescribed amount: _____ T

Amount paid: - _____

Balance: = _____

- correct amount
 overpayment
 balance due

S Search Fee

Prescribed amount: _____

Amount paid: - _____ S

Balance: = _____

- correct amount
 overpayment
 balance due

I International Filing Fee

Fixed amount for first 30 sheets: _____ i1

_____ x _____ = _____ i2

Number of sheets Fee per sheet
in excess of 30

Additional
component: 400 x _____ = _____ i3

 Fee per sheet

Reduction where the international application is filed
(See *PCT Applicant's Guide, Volume I, General Part*,
for details on the availability of this reduction):

using the PCT-EASY software: - _____ r

or

in electronic form where the text of the
description, claims and abstract **is not** in
character coded format: - _____ r

or

in electronic form where the text of the
description, claims and abstract **is** in character
coded format: - _____ r

Sub-total: = _____ i1+i2+i3-r

Prescribed total amount (*The amount to be entered at I is the sub-total
entered at (i1+i2+i3-r), except where the applicant is (or all applicants
are) entitled to a reduction of 75%, in which case the amount to be
entered at I is 25% of the sub-total (i1+i2+i3-r); certain applicants from
certain States are entitled to a reduction of 75% of the international
filing fee; see Notes to the Fee Calculation Sheet as annexed to the
Request Form, PCT/RO/101, for details*): = _____ I

Amount paid: - _____

Balance: = _____

- correct amount
 overpayment
 balance due

Late Payment Fee

The amount of the late payment fee corresponds to:

- 50% of total unpaid fees
- the transmittal fee (minimum amount of the late payment fee)
- 50% of the international filing fee, not taking into account any fee for each sheet of the international application in excess of 30 sheets (maximum amount of the late payment fee)

Applicant's or agent's file reference	International application No.
---------------------------------------	-------------------------------

**INDICATIONS RELATING TO DEPOSITED MICROORGANISM
OR OTHER BIOLOGICAL MATERIAL**

(PCT Rule 13bis)

A. The indications made below relate to the deposited microorganism or other biological material referred to in the description on page _____, line _____.

B. IDENTIFICATION OF DEPOSIT Further deposits are identified on an additional sheet

Name of depositary institution

Address of depositary institution *(including postal code and country)*

Date of deposit	Accession Number
-----------------	------------------

C. ADDITIONAL INDICATIONS *(leave blank if not applicable)* This information is continued on an additional sheet

D. DESIGNATED STATES FOR WHICH INDICATIONS ARE MADE *(if the indications are not for all designated States)*

E. SEPARATE FURNISHING OF INDICATIONS *(leave blank if not applicable)*

The indications listed below will be submitted to the International Bureau later *(specify the general nature of the indications e.g., "Accession Number of Deposit")*

For receiving Office use only
<input type="checkbox"/> This sheet was received with the international application
Authorized officer

For International Bureau use only
<input type="checkbox"/> This sheet was received by the International Bureau on:
Authorized officer

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

To: <p>The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20 Switzerland</p>
--

NOTIFICATION OF DATE OF RECEIPT
OF PRIORITY DOCUMENT OR OF
PRIORITY APPLICATION NUMBER

(PCT Administrative Instructions,
Section 323(a), (b) and (c))

Applicant's or agent's file reference	Date of mailing (day/month/year)
International application No.	International filing date (day/month/year)
Applicant	

1. This receiving Office hereby gives notice of the receipt of the priority document(s) identified below on:
_____ .

2. This receiving Office hereby gives notice of the receipt of a request (made under Rule 17.1(b)) to prepare and transmit to the International Bureau the priority document(s) identified below on:
_____ .

Identification of the priority document(s):

Priority date	Priority application No.	Country or regional Office or PCT receiving Office
_____	_____	_____

Name and mailing address of the receiving Office	Authorized officer
Facsimile No.	Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

NOTIFICATION OF WITHDRAWAL
(PCT Administrative Instructions, Section 326)

To: The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20 Switzerland
--

Applicant's or agent's file reference	Date of mailing (day/month/year)
International application No.	International filing date (day/month/year)
Applicant	

1. The receiving Office hereby transmits a notice effecting withdrawal received from the applicant on:

2. The withdrawal concerns:

the international application

the following designations of States:

for an ARIPO patent (AP)
(if the withdrawal concerns only some States, specify those States by indicating the two-letter country codes):

all States designated for a Eurasian patent (EA)

for a European patent (EP)
(if the withdrawal concerns only some States, specify those States by indicating the two-letter country codes):

all States designated for an OAPI patent (OA)

for a national patent (specify the States by indicating the two-letter country codes):

the priority claim (if multiple priorities have been claimed, specify the priority claim(s) to which the withdrawal relates):

3. A copy of this notification is being sent to the International Searching Authority.

Name and mailing address of the receiving Office	Authorized officer
Facsimile No.	Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

COMMUNICATION REGARDING
EXTENSION OF TIME LIMIT

(PCT Rule 26.2)

To:		Date of mailing (day/month/year)
Applicant's or agent's file reference		IMPORTANT COMMUNICATION
International application No.	International filing date (day/month/year)	
Applicant		

1. In response to the applicant's request of _____, the time limit for replying to:

the Invitation to Correct Defects (PCT/RO/106)

(other) _____

has been extended as follows:

extension of _____ months/days from _____

extension until _____

2. No extension of the time limit is granted and the time limit remains as previously set.

Name and mailing address of the receiving Office	Authorized officer
Facsimile No.	Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

To:

PCT

NOTIFICATION REGARDING ATTEMPTED
TRANSMISSION OF DOCUMENTS VIA
TELEGRAPH, TELEPRINTER,
FACSIMILE MACHINE, ETC.

(PCT Rule 92.4(c))

	Date of mailing <i>(day/month/year)</i>
Applicant's or agent's file reference	REPLY DUE NONE However, see last paragraph below
International application No.	International filing date <i>(day/month/year)</i>
Applicant	

1. The applicant is hereby notified that this receiving Office has received via facsimile machine/teleprinter/telegraph:

a document purporting to be an international application.

a document which appears to be/entitled:

_____ .

2. However, that document, as received, is illegible,

a part of that document was not received,

as explained below:

3. Consequently, **the document is treated as not having been received** by this receiving Office and the applicant should attempt to re-transmit it.

Name and mailing address of the receiving Office	Authorized officer
Facsimile No.	Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

INVITATION TO FURNISH ORIGINAL
OF DOCUMENT TRANSMITTED BY
TELEGRAPH, TELEPRINTER,
FACSIMILE MACHINE, ETC.

(PCT Rule 92.4(d), (e) and (f))

To:

Date of mailing <i>(day/month/year)</i>
--

Applicant's or agent's file reference

REPLY DUE within month/days from the above date of mailing

International application No.

International filing date <i>(day/month/year)</i>
--

Applicant

<p>1. This receiving Office has received on _____ via facsimile machine/teleprinter/telegraph the following document:</p> <p style="margin-left: 40px;"> <input type="checkbox"/> the international application. <input type="checkbox"/> a document purporting to be an international application. <input type="checkbox"/> a document which appears to be/which is/entitled: _____ </p> <p>2. <input type="checkbox"/> However, the original of the document was not furnished within 14 days of the date of receipt of the earlier transmission as is required by this receiving Office.</p> <p>3. The applicant is hereby invited within the time limit indicated above to furnish the original of the document concerned with a letter identifying the earlier transmission.</p> <p>4. Failure to furnish the original of the document concerned will have the following consequence:</p> <ul style="list-style-type: none"> – where the document concerned is the international application, the international application will be considered withdrawn and the receiving Office shall so declare. – where the document concerned is a document subsequent to the international application, the document will be considered as not having been submitted.
--

Name and mailing address of the receiving Office
Facsimile No.

Authorized officer
Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

NOTIFICATION REGARDING RECEIPT
OF DOCUMENTS VIA TELEGRAPH,
TELEPRINTER, FACSIMILE MACHINE, ETC.

(PCT Rule 92.4(h))

To:

Date of mailing <i>(day/month/year)</i>
--

Applicant's or agent's file reference

REPLY DUE	NONE
However, see last paragraph below	

International application No.

International filing date <i>(day/month/year)</i>
--

Applicant

<p>1. The applicant is hereby notified that this receiving Office has received via</p> <p style="text-align: center;"> <input type="checkbox"/> facsimile machine <input type="checkbox"/> teleprinter <input type="checkbox"/> telegraph </p> <p style="text-align: center;"> <input type="checkbox"/> other means (<i>specify</i>): _____ </p> <p>the following document: _____ .</p> <p>2. However, this receiving Office does not accept</p> <p style="text-align: center;"> <input type="checkbox"/> receipt of any document via that means. <input type="checkbox"/> receipt of international applications via that means. <input type="checkbox"/> other (<i>specify</i>): _____ </p> <p>3. Consequently, the document concerned is treated as not having been received by this receiving Office. The applicant should promptly submit the original document to this receiving Office by mail, air mail, or another means accepted by this Office.</p>
--

Name and mailing address of the receiving Office
Facsimile No.

Authorized officer
Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

NOTIFICATION THAT INTERNATIONAL
APPLICATION CONSIDERED TO BE
WITHDRAWN

(PCT Article 14(4) and Rule 29.1)

To:

Date of mailing
(day/month/year)

Applicant's or agent's file reference

IMPORTANT NOTIFICATION

International application No.

International filing date
(day/month/year)

Applicant

1. The applicant is hereby notified that this receiving Office **declares the international application considered withdrawn** because it does not comply with all the requirements for according an international filing date.

2. A notification (Form PCT/RO/115) stating the intention to declare the international application considered withdrawn was mailed by this receiving Office on

_____ .

3. In response to that notification,

the applicant has not submitted arguments within the prescribed time limit.

the applicant's arguments have been considered but have not been found persuasive for the following reason(s):

4. Consequently, this receiving Office finds that the requirements which were indicated under No. _____ of the said notification were not complied with on the date which was accorded as the international filing date.

5. A copy of this notification has been sent to the International Bureau and to the International Searching Authority

Name and mailing address of the receiving Office

Facsimile No.

Authorized officer

Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

NOTIFICATION REGARDING CERTAIN
CORRECTIONS MADE *EX OFFICIO*

(PCT Administrative Instructions, Section 327)

To:

Date of mailing <i>(day/month/year)</i>
--

Applicant's or agent's file reference

REPLY DUE	NONE
However, see paragraph 3 below	

International application No.

International filing date <i>(day/month/year)</i>
--

Applicant

1. The applicant is hereby notified that this receiving Office has corrected formal defects in the international application *ex officio*, as shown on the attached copy of:

the request, sheet No.: _____

the description, sheet No.: _____

the claims, sheet No.: _____

the drawings, sheet No.: _____

other (*specify*): _____

2. If the applicant agrees with these corrections, no further action is required in this regard.

3. **In case of disagreement** with these corrections, the applicant should promptly inform this receiving Office accordingly.

Name and mailing address of the receiving Office
Facsimile No.

Authorized officer
Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

NOTIFICATION CONCERNING FAILURE TO FORWARD RECORD COPY AND SEARCH COPY FOR NATIONAL SECURITY REASONS

(Rules 15.6(iii), 16.2(iii) and 22.1(a) and
PCT Administrative Instructions, Section 330)

To:	Date of mailing (day/month/year)
Applicant's or agent's file reference	IMPORTANT NOTIFICATION
International application No.	International filing date (day/month/year)
Applicant	

<ol style="list-style-type: none">1. This receiving Office hereby declares that, due to reasons of national security, this application is and will not be treated as an international application.2. Consequently, the record copy of the international application will not be transmitted to the International Bureau and the search copy will not be transmitted to the International Searching Authority; any payments made by the applicant in respect of the international fee and search fee will be refunded in due course.3. A copy of this notification is being sent to the International Bureau.
--

Name and mailing address of the receiving Office	Authorized officer
Facsimile No.	Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

COMMUNICATION REGARDING
THE RIGHT TO PRACTICE BEFORE
THE RECEIVING OFFICE

(PCT Rule 83.2)

To:

Date of mailing
(day/month/year)

Applicant's or agent's file reference

IMPORTANT COMMUNICATION

International application No.

International filing date
(day/month/year)

Applicant

In response to the request regarding the right to practice of the person named below, this receiving Office informs the addressee that this person

has the right to practice before it.

does not have the right to practice before it.

Name:

Address:

Name and mailing address of the receiving Office

Facsimile No.

Authorized officer

Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

To:

PCT

NOTIFICATION CONCERNING PAPER
BEING DISREGARDED OR DOCUMENT
CONSIDERED AS NOT HAVING
BEEN SUBMITTED

(PCT Rules 92.1(b), last sentence, and 92.4(g)(ii))

	Date of mailing <i>(day/month/year)</i>
Applicant's or agent's file reference	IMPORTANT NOTIFICATION
International application No.	International filing date <i>(day/month/year)</i>
Applicant	

1. An invitation (Form PCT/RO/131) to correct defects in correspondence submitted by the applicant was mailed by this receiving Office on:

_____ .

However, no response to the invitation was received by this Office within the time limit indicated in that invitation.

Consequently, this Office hereby notifies the applicant that **the paper referred to in that invitation is being disregarded.**

2. An invitation (Form PCT/RO/141) to furnish the original of a document transmitted by telegraph, teleprinter, facsimile machine, etc., was mailed by this receiving Office on:

_____ .

However, no response to the invitation was received by this Office within the time limit indicated in that invitation.

Consequently, this Office hereby notifies the applicant that **the document referred to in that invitation is considered as not having been submitted.**

Name and mailing address of the receiving Office	Authorized officer
Facsimile No.	Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

INVITATION TO FURNISH TRANSLATION OF
INTERNATIONAL APPLICATION AND TO PAY,
WHERE APPLICABLE, LATE FURNISHING FEE

(PCT Rule 12.3(c) and (e))

To:

Date of mailing <i>(day/month/year)</i>
--

Applicant's or agent's file reference

TIME LIMIT see paragraph 2 below
--

International application No.

International filing date/Date of receipt <i>(day/month/year)</i>
--

Applicant

1. The applicant is hereby notified that this receiving Office has **not yet received the required translation of the international application** into a language which is all of the following:

- a language accepted by the International Searching Authority that is to carry out the international search; and
- a language of publication; and
- a language accepted by this receiving Office (unless the international application is filed in a language of publication); that is, into the (or one of the) following language(s):

2. The applicant is hereby **invited**

a. to furnish the required translation within one month from the date of receipt of the international application (date of receipt: _____);

b. in the event that the required translation is not furnished within the time limit referred to in item 2.a, to furnish that translation

- within one month from the date of mailing of this Invitation or
- within two months from the date of receipt of the international application (date of receipt: _____),

whichever expires later,

together with a late furnishing fee of _____ .

3. **Failure to furnish** the required translation and/or, where applicable, to pay the required late furnishing fee within the applicable time limit referred to in item 2.b will result in the international application being considered withdrawn.

A copy of this invitation is being sent to the International Bureau.

Name and mailing address of the receiving Office
Facsimile No.

Authorized officer
Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

NOTIFICATION OF TRANSMITTAL OF PURPORTED
INTERNATIONAL APPLICATION TO THE
INTERNATIONAL BUREAU AS RECEIVING OFFICE
AND INVITATION TO PAY FEE

(PCT Rule 19.4(a)(i) and (ii) and
Administrative Instructions, Section 333)

To:

Date of mailing
(day/month/year)

Applicant's or agent's file reference

REPLY DUE 15 days from the above date of mailing
(only if item 3 applies)

Receiving Office reference/International application No.

Date of receipt of the purported
international application
(day/month/year)

Applicant

1. The applicant is hereby **notified** that:
 - this receiving Office is not competent, on account of the **nationality and residence** of the applicant, to receive the international application (Rule 19.1 or 19.2).
 - the international application is not in a **language** accepted by this receiving Office under Rule 12.1(a) but is in a language accepted by the International Bureau as receiving Office.
2. Consequently, the international application **is considered to have been received by this receiving Office on behalf of the International Bureau as receiving Office** on the date of receipt indicated above, and is or will be promptly transmitted to it.
3. The transmittal to the International Bureau as receiving Office is subject to the payment of a fee in the amount of:
 - The fee will be deducted from any fee already paid to this receiving Office.
 - The applicant is hereby **invited to pay** that fee within the time limit indicated above.

Failure to pay that fee may result in the international application not being transmitted to the International Bureau as receiving Office.
4. Any fees paid by the applicant will be refunded in due course, except, where applicable, the above-mentioned fee payable to this Office under Rule 19.4(b) (see item 3).
5. The transmittal fee, the international filing fee and the search fee are payable to the International Bureau as receiving Office, in a currency prescribed by it within a time limit of one month from the actual date of receipt of the international application by the International Bureau as receiving Office (rather than one month from the date of receipt of the international application indicated above).
6. **ATTENTION:** If the applicant has requested this receiving Office to prepare and transmit to the International Bureau a certified copy of any earlier application the priority of which is claimed by marking the check-box provided for in Box No. VI of the request (see Rule 17.1(b)), it remains the applicant's responsibility to submit such certified copy to the International Bureau, or to the International Bureau as receiving Office, within the time limit under Rule 17.1(a).
7. A copy of this notification is being sent to the International Bureau as receiving Office together with the above-mentioned international application.

Name and mailing address of the receiving Office

Facsimile No.

Authorized officer

Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

INVITATION TO AUTHORIZE TRANSMITTAL OF
PURPORTED INTERNATIONAL APPLICATION TO
THE INTERNATIONAL BUREAU AS RECEIVING
OFFICE AND TO PAY FEE

(PCT Rule 19.4(a)(iii) and
Administrative Instructions, Section 333)

To:

Date of mailing <i>(day/month/year)</i>
--

Applicant's or agent's file reference

REPLY DUE 15 days from the above date of mailing
--

Receiving Office reference/International application No.
--

Date of receipt of purported international application <i>(day/month/year)</i>
--

Applicant

1. The applicant is hereby **informed** that this receiving Office and the International Bureau have, subject to the authorization of the applicant and, where required, payment of a fee (see items 2 and 4), agreed to transmit the international application to the International Bureau as receiving Office *(the reason may be specified)*.
2. The applicant is hereby **invited**, within the time limit indicated above, **to authorize the transmittal of the international application** to the International Bureau as receiving Office by filing a written notice to this effect.
3. This receiving Office acknowledges receipt of the authorization of the applicant to transmit the international application to the International Bureau as receiving Office.
4. The transmittal of the international application is also subject to the payment of a fee in the amount of:
 - The fee will be deducted from any fee already paid to this receiving Office.
 - The applicant is hereby **invited to pay** that fee within the time limit indicated above.
5. **If the transmittal is authorized by the applicant and any required fee is paid** (see item 4), the international application will be considered to have been received by this receiving Office on behalf of the International Bureau as receiving Office on the date of receipt indicated above, and will be transmitted to the International Bureau as receiving Office (Rule 19.4(a) and (b)) and the following will apply:
 - Any fees paid by the applicant will be refunded in due course, except, where applicable, the above-mentioned fee payable to this Office under Rule 19.4(b) (see item 4).
 - The transmittal fee, the international filing fee and the search fee are payable to the International Bureau as receiving Office, in a currency prescribed by it within a time limit of one month from the actual date of receipt of the international application by the International Bureau as receiving Office (rather than one month from the date of receipt of the international application indicated above).
 - If the applicant has requested this receiving Office to prepare and transmit to the International Bureau a certified copy of any earlier application the priority of which is claimed by marking the check-box provided for in Box No. VI of the request (see Rule 17.1(b)), it remains the applicant's responsibility to submit a certified copy of any such earlier application to the International Bureau, or to the International Bureau as receiving Office within the time limit under Rule 17.1(a).
6. **If the applicant does not authorize the transmittal** of the international application to the International Bureau as receiving Office, this receiving Office will process the application.
7. A copy of this invitation is being sent to the International Bureau as receiving Office.

Name and mailing address of the receiving Office
Facsimile No.

Authorized officer
Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

NOTIFICATION OF TRANSMITTAL OF DEMAND
TO THE INTERNATIONAL BUREAU OR TO THE
COMPETENT INTERNATIONAL PRELIMINARY
EXAMINING AUTHORITY

(PCT Rule 59.3(a) and (f) and
Administrative Instructions, Section 334)

To:

Date of mailing (day/month/year)

Applicant's or agent's file reference		IMPORTANT NOTIFICATION
International application No.	International filing date (day/month/year)	Priority date (day/month/year)
Applicant		

<p>1. This receiving Office has received on _____ (date of receipt) a demand for international preliminary examination of the international application.</p> <p>2. The applicant is hereby notified that:</p> <p><input type="checkbox"/> this receiving Office has transmitted the demand to the International Bureau which will transmit it, as the case may be, directly to the competent International Preliminary Examining Authority and inform the applicant accordingly, or invite the applicant to indicate the competent International Preliminary Examining Authority to which the demand should be transmitted.</p> <p><input type="checkbox"/> this receiving Office has transmitted the demand directly to the competent International Preliminary Examining Authority which is:</p> <p>3. The date of receipt indicated above has been marked on the demand; the demand will, in accordance with Rule 59.3(e), be considered to have been received by the competent International Preliminary Examining Authority on that date of receipt.</p> <p><input type="checkbox"/> ATTENTION: That date of receipt is after the expiration of 19 months from the priority date. Consequently, in respect of some Offices, the demand does not have the effect of postponing the entry into the national phase until 30 months from the priority date (or later in some Offices) (Article 39(1)) and the acts for entry into the national phase must therefore be performed within 20 months from the priority date (or later in some Offices). However, in respect of some other Offices, the time limit of 30 months (or later) may nevertheless apply. See the Annex to Form PCT/IB/301 and, for details about the applicable time limits, Office by Office, see the <i>PCT Applicant's Guide</i>, Volume II, National Chapters and the WIPO Internet site.</p> <p><input type="checkbox"/> ATTENTION: This receiving Office is not in a position to determine whether that date of receipt is after the expiration of the applicable time limit under Rule 54bis.1(a), that is, three months from the date of transmittal to the applicant of the international search report (or of the declaration referred to in Article 17(2)(a)) and the written opinion established under Rule 43bis.1, or 22 months from the priority date, whichever expires later.</p> <p>However, the applicant's attention is drawn to the fact that, should the applicable time limit have already expired, the competent International Preliminary Examining Authority will notify the applicant accordingly at a later date.</p> <p><input type="checkbox"/> (If applicable) The applicant has already been informed accordingly by telephone, facsimile transmission or in person, on: _____</p> <p>4. A copy of this notification is being sent to the International Bureau or to the competent International Preliminary Examining Authority indicated above, as the case may be.</p>
--

Name and mailing address of the receiving Office	Authorized officer
Facsimile No.	Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

INVITATION TO INDICATE
COMPETENT INTERNATIONAL PRELIMINARY
EXAMINING AUTHORITY

(PCT Rule 59.3(f) and
Administrative Instructions, Section 334)

To:

Date of mailing
(day/month/year)

Applicant's or agent's file reference	REPLY DUE 15 days from the above date of mailing. HOWEVER, see also item 3, below.
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International application No.	International filing date (day/month/year)	Priority date (day/month/year)
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Applicant

1. This receiving Office has **received** on _____ (date of receipt) a demand for international preliminary examination of the international application.

2. The applicant is hereby **invited**, within the time limit indicated above, **to indicate the competent International Preliminary Examining Authority** to which the demand should be transmitted (Rule 59.3(c)(ii)).

Failure to respond to this Invitation, within the time limit indicated above, will result in this receiving Office declaring that the demand will be considered not to have been submitted (Rule 59.3(d)).

3. The date of receipt indicated above has been marked on the demand; **provided the applicant responds to this Invitation within the time limit indicated above, the demand will, in accordance with Rule 59.3(e), be considered to have been received by the competent International Preliminary Examining Authority on that date of receipt.**

ATTENTION: That date of receipt is **after** the expiration of 19 months from the priority date. Consequently, in respect of some Offices, the demand does not have the effect of postponing the entry into the national phase until 30 months from the priority date (or later in some Offices) (Article 39(1)) and the acts for entry into the national phase must therefore be performed within 20 months from the priority date (or later in some Offices). **However**, in respect of some other Offices, the time limit of 30 months (or later) may nevertheless apply. See the Annex to Form PCT/IB/301 and, for details about the applicable time limits, Office by Office, see the *PCT Applicant's Guide*, Volume II, National Chapters and the WIPO Internet site.

ATTENTION: This receiving Office is not in a position to determine whether that date of receipt is **after** the expiration of the applicable time limit under Rule 54bis.1(a), that is, three months from the date of transmittal to the applicant of the international search report (or of the declaration referred to in Article 17(2)(a)) and the written opinion established under Rule 43bis.1 or 22 months from the priority date, whichever expires later.

However, the applicant's attention is drawn to the fact that, should the applicable time limit have already expired, the competent International Preliminary Examining Authority will notify the applicant accordingly at a later date.

(If applicable) The applicant has already been informed accordingly by telephone, facsimile transmission or in person, on: _____

Name and mailing address of the receiving Office	Authorized officer
Facsimile No.	Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

NOTIFICATION THAT DEMAND CONSIDERED
NOT TO HAVE BEEN SUBMITTED

(PCT Rule 59.3(d) and (f))

To:

Date of mailing <i>(day/month/year)</i>
--

Applicant's or agent's file reference

IMPORTANT NOTIFICATION

International application No.

International filing date <i>(day/month/year)</i>
--

Applicant

1. The applicant is hereby notified that **this receiving Office declares that the demand is considered not to have been submitted** since the applicant has not furnished within the time limit referred to in the Invitation (Form PCT/RO/154) the indication of the competent International Preliminary Examining Authority to which the demand should have been transmitted.

2. Consequently, this receiving Office will **refund** to the applicant any amount paid in respect of the demand.

3. **ATTENTION**

Since the demand is considered not to have been submitted, it does **not** have the effect, in respect of some Offices, of postponing the entry into the national phase until 30 months from the priority date (or later in some Offices) (Article 39(1)) and the acts for entry into the national phase must therefore be performed within 20 months from the priority date (or later in some Offices). **However**, in respect of some other Offices, the time limit of 30 months (or later) may nevertheless apply. See the Annex to Form PCT/IB/301 and, for details about the applicable time limits, Office by Office, see the *PCT Applicant's Guide*, Volume II, National Chapters and the WIPO Internet site.

Name and mailing address of the receiving Office
Facsimile No.

Authorized officer
Telephone No.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

INVITATION TO CORRECT
DECLARATIONS MADE IN THE REQUEST
UNDER PCT RULE 4.17

(PCT Rules 4.17 and 26ter.2(a))

To:

Date of mailing (day/month/year)

Applicant's or agent's file reference	REPLY DUE See below
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International application No.	International filing date (day/month/year)
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Applicant

1. The applicant is hereby **invited to submit to the International Bureau a corrected declaration** within the time limit indicated below and as explained in the Annex. The applicant's attention is drawn to the fact that the declaration has **not been examined** for compliance with national law requirements of the designated State(s) for which that declaration is made.

When? Within 16 months from the priority date, provided that any corrected declaration which is received by the International Bureau after the expiration of that time limit shall be considered to have been received on the last day of that time limit if it reaches it before the technical preparations for international publication have been completed (Rule 26ter.1).

How? By submitting a replacement sheet containing a corrected declaration accompanied by a letter explaining the correction (see Section 216). See Sections 211 to 215 for the applicable standardized wording.

Where? Directly to the International Bureau at the following address:
The International Bureau of WIPO, 34, chemin des Colombettes, 1211 Geneva 20, Switzerland
(Facsimile No.: (41-22) 740.14.35)
If the corrected declaration is submitted to the receiving Office, that Office shall mark the date of receipt on it and transmit it promptly to the International Bureau. The declaration shall be considered to have been submitted to the International Bureau on the date marked (see Section 317).

2. **Failure to correct the declaration within the time limit** will result in copies of the declaration, **as originally filed**, being communicated by the International Bureau to the designated Offices concerned according to Rule 47.1(a-ter).
Any declaration received after the expiration of the time limit under Rule 26ter.1 will have to be submitted by the applicant directly to the designated Offices concerned; it is only in the case of a signed declaration of inventorship for the purposes of the designation of the United States of America (Rule 4.17(iv)) that the original declaration will be returned to the applicant (see Section 419(d)).

3. **In respect of national phase processing**, the applicant's attention is drawn to Rule 51bis.2 which provides that the designated Office shall not, unless it may reasonably doubt the veracity of the declaration concerned, require any document or evidence relating to the subject matter of any declaration complying with Rule 4.17(i) to (iv) which is contained in the request or submitted to the International Bureau or directly to the designated Office. Note, however, that Rule 51bis.2 may not apply in respect of certain States. For further information, see Notes to the request form, Box No. VIII.

4. A copy of this Invitation is being sent to the International Bureau.

Name and mailing address of the receiving Office	Authorized officer
Facsimile No.	Telephone No.

The receiving Office has found the following defect(s) in the declaration(s) listed below:

1. declaration as to the identity of the inventor (Rules 4.17(i) and 51bis.1(a)(i) and Section 211), in respect of:
- a. *(name(s) included in the declaration)*:
- is not in the prescribed wording
- other (*specify*):
- b. *(name(s) included in the declaration)*:
- is not in the prescribed wording
- other (*specify*):
2. declaration as to the applicant's entitlement, as at the international filing date, to apply for or be granted a patent (Rules 4.17(ii) and 51bis.1(a)(ii) and Section 212), in respect of:
- a. *(name(s) included in the declaration)*:
- is not in the prescribed wording
- other (*specify*):
- b. *(name(s) included in the declaration)*:
- is not in the prescribed wording
- other (*specify*):
3. declaration as to the applicant's entitlement, as at the international filing date, to claim priority of the earlier application (Rules 4.17(iii) and 51bis.1(a)(iii) and Section 213), in respect of:
- a. *(name(s) included in the declaration)*:
- is not in the prescribed wording
- other (*specify*):
- b. *(name(s) included in the declaration)*:
- is not in the prescribed wording
- other (*specify*):
4. declaration of inventorship (only for the purposes of the designation of the United States of America) (Rules 4.17(iv) and 51bis.1(a)(iv) and Section 214), in respect of:
- a. *(name(s) included in the declaration)*:
- is not in the prescribed wording
- is not signed by all inventors named in the declaration
- other (*specify*):
- b. *(name(s) included in the declaration)*:
- is not in the prescribed wording
- is not signed by all inventors named in the declaration
- other (*specify*):
5. declaration as to non-prejudicial disclosures or exceptions to lack of novelty (Rules 4.17(v) and 51bis.1(a)(v) and Section 215), in respect of:
- a. *(name(s) included in the declaration)*:
- is not in the prescribed wording
- other (*specify*):
- b. *(name(s) included in the declaration)*:
- is not in the prescribed wording
- other (*specify*):

¹ If necessary, additional entries (c., d., e...) may be included under each type of declaration or on another copy of this Annex.

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

INVITATION TO FURNISH TRANSLATION OF
INTERNATIONAL APPLICATION AND TO PAY,
WHERE APPLICABLE, LATE FURNISHING FEE

(PCT Rule 12.4(c) and (e))

To:

Date of mailing <i>(day/month/year)</i>
--

Applicant's or agent's file reference

TIME LIMIT see item 2 below

International application No.

International filing date/Date of receipt <i>(day/month/year)</i>
--

Applicant

1. The applicant is hereby notified that this receiving Office has **not yet received the required translation of the international application** into a language of publication accepted by this receiving Office that is, into the (or one of the) following language(s):

2. The applicant is hereby **invited**:

a. to furnish the required translation within 14 months from the priority date

b. in the event that the required translation is not furnished within the time limit referred to in item 2.a, to furnish the required translation within 16 months from the priority date

together with a late furnishing fee of _____

3. **Failure to furnish** the required translation and/or, where applicable, to pay the required late furnishing fee within the applicable time limit referred to in item 2.b will result in the international application being considered withdrawn and so declared by the receiving Office. **However**, any translation and any payment received by the receiving Office before that Office makes the declaration under the previous sentence and before the expiration of 17 months from the priority date will be considered to have been received before the expiration of that time limit.

A copy of this invitation is being sent to the International Bureau

Name and mailing address of the receiving Office
Facsimile No.

Authorized officer
Telephone No.