Madam,

Sir,

Following consultation under Rule 89.2(b) of the Regulations under the Patent Cooperation Treaty (PCT) with interested Offices, Part I (Forms Relating to Receiving Offices) of Annex A to the Administrative Instructions under the PCT is modified with effect from January 1, 2004.

The modifications are as proposed by Circular C.PCT 926 (dated June 26, 2003) except where further changes have been made as a result of consultations, as indicated below. Furthermore, Forms PCT/RO/102 and PCT/RO/133 have been additionally modified to take into account the amendments of the Regulations under the PCT and of the Schedule of Fees annexed to the PCT Regulations as adopted by the PCT Assembly at its thirty-second (14th ordinary) session held from September 22 to October 1, 2003 (see the report of the session, document PCT/A/32/8), which will enter into force on January 1, 2004 (editorial and minor drafting changes are not mentioned).

Modified and deleted Forms relating to receiving Offices

The consolidated list of all Forms affected is as follows:

- PCT/RO/102 (and Annex) (modified as proposed by Circular C.PCT 926 and with further modifications to the Annex)
- PCT/RO/103 (modified as proposed by Circular C.PCT 926)
- PCT/RO/106 (and Annex) (modified as proposed by Circular C.PCT 926 and as a result of further consultation)
- PCT/RO/115 (modified as proposed by Circular C.PCT 926)
- PCT/RO/116 (deleted as proposed by Circular C.PCT 926)
– PCT/RO/117 (modified as proposed by Circular C.PCT 926)

– PCT/RO/119 (not proposed to be modified by Circular C.PCT 926 but modification in item 2, as a result of consultation)

– PCT/RO/133 (and Annex) (modified as proposed by Circular C.PCT 926 and with further modifications to the Annex)

– PCT/RO/139 (deleted as proposed by Circular C.PCT 926)

– PCT/RO/144 (deleted as proposed by Circular C.PCT 926)

– PCT/RO/145 (deleted as proposed by Circular C.PCT 926)

– PCT/RO/151 (modified as proposed by Circular C.PCT 926 and as a result of further consultation)

– PCT/RO/152 (modified as proposed by Circular C.PCT 926 and as a result of further consultation)

– PCT/RO/153 (modified as proposed by Circular C.PCT 926 and as a result of further consultation)

– PCT/RO/154 (modified as proposed by Circular C.PCT 926 and as a result of further consultation)

The modified Forms should be used from January 1, 2004. Where an Office is not in a position to use all of them from that date, the old Forms, to the extent that they are still applicable or, where required, with additions or corrections, may still be used for a transitional period.

Availability of Modified Forms

A complete collection of all Forms in Part I (Forms Relating to Receiving Offices) of Annex A to the Administrative Instructions Under the PCT, including the modified Forms mentioned above, is submitted herewith. This collection is also available in PDF format from the WIPO website, via the link “Forms in force from January 2004” at: http://www.wipo.int/pct/en/forms/ro/index.htm.

All the Forms in this complete collection are dated January 2004 (the date of issuance or of reprinting of the Form) and replace all previous versions issued since 1992.

/...
Offices which use languages other than English and French are requested to prepare the Forms needed by them in such other languages with due regard to the provisions of Section 102 of the Administrative Instructions.

Yours sincerely,

Francis Gurry
Assistant Director General

Enclosure: Part I of Annex A to the Administrative Instructions Under the PCT
PCT FORMS

(Annex A to the Administrative Instructions under the PCT)

PART I

Forms Relating to the Receiving Office

(January 2004)
# LIST OF FORMS RELATING TO THE RECEIVING OFFICE

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<thead>
<tr>
<th>Form Number</th>
<th>Title of Form</th>
<th>Provision pursuant to which Form is issued</th>
</tr>
</thead>
<tbody>
<tr>
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<td>Notification Concerning Payment of Prescribed Fees</td>
<td>Rules 14, 15 and 16 Sections 102bis(c), 304, 323(b), 707(b) and 803</td>
</tr>
<tr>
<td>PCT/RO/103</td>
<td>Invitation to Correct the Purported International Application</td>
<td>Article 11(2)(a) Rule 20.6</td>
</tr>
<tr>
<td>PCT/RO/104</td>
<td>Notification that the Purported International Application is not and will not be Treated as an International Application</td>
<td>Rule 20.7(i)</td>
</tr>
<tr>
<td>PCT/RO/105</td>
<td>Notification of the International Application Number and of the International Filing Date</td>
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</tr>
<tr>
<td>PCT/RO/106</td>
<td>Invitation to Correct Defects in the International Application</td>
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</tr>
<tr>
<td>PCT/RO/107</td>
<td>Notification of Non-inclusion of Drawings with the International Application</td>
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</tr>
<tr>
<td>PCT/RO/108</td>
<td>Invitation to Request Rectification</td>
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<tr>
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<td>PCT/RO/113</td>
<td>Request for the Recording of a Change</td>
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<tr>
<td>PCT/RO/114</td>
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<tr>
<td>Document Number</td>
<td>Description</td>
<td>Section or Article</td>
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<tr>
<td>PCT/RO/115</td>
<td>Notification of Intention to make Declaration that International Application Considered Withdrawn</td>
<td>Article 14(4)</td>
</tr>
<tr>
<td>PCT/RO/116</td>
<td>[Deleted]</td>
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</tr>
<tr>
<td>PCT/RO/117</td>
<td>Notification that International Application Considered to be Withdrawn</td>
<td>Article 14(1) or (3)</td>
</tr>
<tr>
<td>PCT/RO/118</td>
<td>Notification Concerning Documents Transmitted</td>
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<tr>
<td>PCT/RO/119</td>
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<tr>
<td>PCT/RO/120</td>
<td>Invitation to Pay Fee for Preparation of Copies</td>
<td>Rule 21.1(c)</td>
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<tr>
<td>PCT/RO/121</td>
<td>[Deleted]</td>
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<tr>
<td>PCT/RO/122</td>
<td>Notification of Transmittal of Requested Documents</td>
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<td>PCT/RO/123</td>
<td>Notification Concerning Representation</td>
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<tr>
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<td>Notification of Defective Power of Attorney or Defective Revocation of Power of Attorney</td>
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</tr>
<tr>
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<td>Notification of Receipt of Papers Purporting to be an International Application</td>
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<tr>
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<td>Notification of Decision not to Issue Declaration that International Application Considered Withdrawn</td>
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</tr>
<tr>
<td>PCT/RO/128</td>
<td>Notification Relating to Requested Documents</td>
<td>Rule 17.1(b) or 20.9</td>
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</tr>
<tr>
<td>PCT/RO/131</td>
<td>Notification of Defects with Regard to Correspondence Submitted by the Applicant</td>
<td>Rules 92.1(b), 92.4(g)(ii)</td>
</tr>
<tr>
<td>PCT/RO/132</td>
<td>Communication in Cases for Which No Other Form is Applicable</td>
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</tr>
<tr>
<td>PCT/RO/133</td>
<td>Invitation to Pay Prescribed Fees Together with Late Payment Fee</td>
<td>Rule 16bis</td>
</tr>
<tr>
<td>PCT/RO/134</td>
<td>Indications Relating to Deposited Microorganism or Other Biological Material</td>
<td>Rule 13bis</td>
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<tr>
<td>PCT/RO/135</td>
<td>Notification of Date of Receipt of Priority Document or of Priority Application Number</td>
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</tr>
<tr>
<td>PCT/RO/136</td>
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<tr>
<td>PCT/RO/137</td>
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<tr>
<td>PCT/RO/138</td>
<td>Communication Regarding Extension of Time Limit</td>
<td>Rule 26.2</td>
</tr>
<tr>
<td>PCT/RO/139</td>
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<tr>
<td>PCT/RO/140</td>
<td>Notification Regarding Attempted Transmission of Documents via Telegraph, Teleprinter, Facsimile Machine, etc.</td>
<td>Rule 92.4(c)</td>
</tr>
<tr>
<td>PCT/RO/141</td>
<td>Invitation to Furnish Original of Document Transmitted by Telegraph, Teleprinter, Facsimile Machine, etc.</td>
<td>Rule 92.4(d), (e) and (f)</td>
</tr>
<tr>
<td>PCT/RO/142</td>
<td>Notification Regarding Receipt of Documents via Telegraph, Teleprinter, Facsimile Machine, etc.</td>
<td>Rule 92.4(h)</td>
</tr>
<tr>
<td>PCT/RO/143</td>
<td>Notification that International Application Considered to be Withdrawn</td>
<td>Article 14(4) Rule 29.1</td>
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<tr>
<td>PCT/RO/146</td>
<td>Notification Regarding Certain Corrections Made <em>Ex Officio</em></td>
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</tr>
<tr>
<td>PCT/RO/147</td>
<td>Notification Concerning Failure to Forward Record Copy and Search Copy for National Security Reasons</td>
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</tr>
<tr>
<td>PCT/RO/148</td>
<td>Communication Regarding the Right to Practice Before the Receiving Office</td>
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</tr>
<tr>
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</tr>
<tr>
<td>PCT/RO/150</td>
<td>Invitation to Furnish Translation of International Application and to Pay, Where Applicable, Late Furnishing Fee</td>
<td>Rule 12.3(c) and (e)</td>
</tr>
<tr>
<td>PCT/RO/151</td>
<td>Notification of Transmittal of Purported International Application to the International Bureau as Receiving Office and Invitation to Pay Fee</td>
<td>Rule 19.4(a)(i) and (ii)</td>
</tr>
<tr>
<td>PCT/RO/152</td>
<td>Invitation to Authorize Transmittal of Purported International Application to the International Bureau as Receiving Office and to Pay Fee</td>
<td>Rule 19.4(a)(iii)</td>
</tr>
<tr>
<td>PCT/RO/153</td>
<td>Notification of Transmittal of Demand to the International Bureau or to the Competent International Preliminary Examining Authority</td>
<td>Rule 59.3(a) and (f)</td>
</tr>
<tr>
<td>PCT/RO/154</td>
<td>Invitation to Indicate Competent International Preliminary Examining Authority</td>
<td>Rule 59.3(f)</td>
</tr>
<tr>
<td>PCT/RO/155</td>
<td>Notification that Demand Considered not to Have Been Submitted</td>
<td>Rule 59.3(d) and (f)</td>
</tr>
<tr>
<td>PCT/RO/156</td>
<td>Invitation to Correct Declarations Made in the Request Under PCT Rule 4.17</td>
<td>Rules 4.17 and 26ter.2(a)</td>
</tr>
<tr>
<td>PCT/RO/157</td>
<td>Invitation to Furnish Translation of International Application and to Pay, Where Applicable, Late Furnishing Fee</td>
<td>Rule 12.4(c) and (e)</td>
</tr>
</tbody>
</table>
**PATENT COOPERATION TREATY**

From the RECEIVING OFFICE

<table>
<thead>
<tr>
<th>To:</th>
</tr>
</thead>
</table>

**PCT**

**NOTIFICATION CONCERNING PAYMENT OF PRESCRIBED FEES**

(PCT Rules 14, 15 and 16 and Administrative Instructions, Sections 102bis(c), 304, 323(b), 707(b) and 803)

<table>
<thead>
<tr>
<th>Date of mailing (day/month/year)</th>
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</table>

<table>
<thead>
<tr>
<th>Applicant’s or agent’s file reference</th>
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</thead>
</table>

<table>
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<tr>
<th>PAYMENT DUE</th>
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</thead>
<tbody>
<tr>
<td>see item 3 for time limits</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>International application No.</th>
<th>International filing date/Date of receipt (day/month/year)</th>
<th>Priority date (day/month/year)</th>
</tr>
</thead>
</table>

**1.** The applicant is hereby notified that this receiving Office has received:

- [ ] the payment of all the prescribed fees, and
- [ ] an overpayment, which will be refunded in due course.

- [ ] no or insufficient payment of the prescribed fees and the applicant is hereby invited to pay the balance due, as summarized under item 2, within the time limit(s) indicated under item 3.

**2. Fees and payment calculation:**

\[
\text{Total fees payable} - \text{Amount paid} = \text{Balance}
\]

- [ ] The details of the calculation are given in the Annex.

**3. Time limit(s) for payment and amount(s) payable (Rules 14.1, 15.4 and 16.1(f)):**

- [ ] within ONE MONTH from the date of receipt of the international application (for the transmittal fee (if any), the search fee and the international filing fee). The amount payable for each fee is the amount applicable on the date of receipt of the international application.

- [ ] within 16 MONTHS from the priority date (only for the fee for priority document). The applicant’s attention is drawn to the fact that the request made by the applicant under Rule 17.1(b) will be considered not to have been made unless the fee is paid within that time limit.

**4. Additional observations (if necessary):**

- [ ] The search copy will not be transmitted to the International Searching Authority until the search fee is paid (therefore the start of the international search will be delayed) (Rule 23.1(a) and (b)).

**Name and mailing address of the receiving Office**

<table>
<thead>
<tr>
<th>Authorized officer</th>
</tr>
</thead>
</table>

**Facsimile No.**

<table>
<thead>
<tr>
<th>Telephone No.</th>
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</table>

Form PCT/RO/102 (January 2004)
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<tr>
<th>Fee Type</th>
<th>Prescribed Amount</th>
<th>Amount Paid</th>
<th>Balance</th>
<th>Correct Amount</th>
<th>Overpayment</th>
<th>Balance Due</th>
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</thead>
<tbody>
<tr>
<td>Transmittal Fee</td>
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<tr>
<td>Search Fee</td>
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<tr>
<td>International Filing Fee</td>
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<tr>
<td>Fee for Priority Document</td>
<td></td>
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</tr>
</tbody>
</table>
**PATENT COOPERATION TREATY**

**INVITATION TO CORRECT THE PURPORTED INTERNATIONAL APPLICATION**

(PCT Article 11(2)(a) and Rule 20.6)

The applicant is hereby invited, within the time limit indicated above, to correct the purported international application since it does not fulfill the requirements under Article 11(1) for the according of an international filing date, for the reason(s) indicated below.

1. The applicant obviously lacks for reasons of residence and nationality the right to file an international application with this receiving Office (Article 11(1)(i) and Rules 18 and 19).
2. The description is not in (one of) the prescribed language(s), which is (are): _______________________________ (Article 11(1)(ii) and Rules 12.1(a) and 20.4(c)).
3. The claims are not in (one of) the prescribed language(s), which is (are): _________________________________ (Article 11(1)(ii) and Rules 12.1(a) and 20.4(c)).
4. The application does not contain an indication that it is intended as an international application (Article 11(1)(iii)(a) and Rule 4.2).
5. The application does not contain the name of the applicant, as prescribed (Article 11(1)(iii)(c) and Rule 20.4(b)).
6. The application does not contain a part which on the face of it appears to be a description (Article 11(1)(iii)(d) and Rule 5).
7. The application does not contain a part which on the face of it appears to be a claim or claims (Article 11(1)(iii)(e) and Rule 6).

**ATTENTION**

- The international filing date will be the date on which the corrections are received, if that date falls within the time limit indicated above. If the corrections are not received within that time limit, the application will not be treated as an international application.
- The time limit for responding to this invitation expires later than one year from the filing date of the earliest application whose priority is claimed. Thus, any correction received by this receiving Office after the priority year will require the receiving Office to declare *ex officio* that the priority claim is considered, for the purposes of the procedure under the PCT, not to have been made.
PCT

NOTIFICATION THAT THE PURPORTED INTERNATIONAL APPLICATION IS NOT AND WILL NOT BE TREATED AS AN INTERNATIONAL APPLICATION

(PCT Rule 20.7(i))

Title of the invention

1. The applicant is hereby notified that the purported international application is not and will not be treated as an international application for the reason(s) indicated below.

2. An invitation to correct (Form PCT/RO/103) was mailed to the applicant on ____________________________.

3. However ☐ the applicant has failed to reply to that invitation.
   ☐ the applicant's reply to that invitation was received on ____________________________, i.e., after the expiration of the time limit fixed in that invitation.
   ☐ the applicant's reply to that invitation does not fulfill the requirements which were indicated under No. _____________ of that invitation.

4. Any payments made by the applicant in respect of the international fee and search fee will be refunded in due course.

5. A copy of this notification has been sent to the International Bureau to inform it that the number indicated above will no longer be used as an international application number.

Form PCT/RO/104 (July 1992; reprint January 2004)
From the RECEIVING OFFICE

To:

Date of mailing (day/month/year)

Applicant’s or agent’s file reference

IMPORTANT NOTIFICATION

<table>
<thead>
<tr>
<th>International application No.</th>
<th>International filing date (day/month/year)</th>
<th>Priority date (day/month/year)</th>
</tr>
</thead>
</table>

Applicant

Title of the invention

1. The applicant is hereby notified that the international application has been accorded the international application number and the international filing date indicated above.

2. The applicant is further notified that the record copy of the international application:

- [ ] was transmitted to the International Bureau on ____________________________ .
- [ ] has not yet been transmitted to the International Bureau for the reason indicated below and a copy of this notification has been sent to the International Bureau*:
  - [ ] because the necessary national security clearance has not yet been obtained.
  - [ ] because (reason to be specified):

* The International Bureau monitors the transmittal of the record copy by the receiving Office and will notify the applicant (with Form PCT/IB/301) of its receipt. Should the record copy not have been received by the expiration of 14 months from the priority date, the International Bureau will notify the applicant (Rule 22.1(c)).
From the RECEIVING OFFICE

To: 

PATENT COOPERATION TREATY

PCT

INVITATION TO CORRECT DEFECTS IN THE INTERNATIONAL APPLICATION

(PCT Articles 3(4)(i) and 14(1) and Rule 26)

Date of mailing (day/month/year)

Applicant’s or agent’s file reference

REPLY DUE within months/days from the above date of mailing

International application No.

International filing date (day/month/year)

Applicant

1. ☐ The applicant is hereby invited, within the time limit indicated above, to correct, in the international application as filed, the defects specified on the attached:

☐ Annex A

☐ Annex B1 (text matter of the international application as filed)

☐ Annex C1 (drawings of the international application as filed)

2. ☐ The applicant is hereby invited, within the time limit indicated above, to correct, in the translation of the international application furnished under Rule 12.3 or 12.4, the defects specified on the attached:

☐ Annex A

☐ Annex B2 (text matter of the translation of the international application)

☐ Annex C2 (drawings of the translation of the international application)

Additional observations (if necessary):

HOW TO CORRECT THE DEFECTS?

Correction must be submitted by filing a replacement sheet embodying the correction and a letter accompanying the replacement sheet, which shall draw attention to the difference between the replaced sheet and the replacement sheet. A correction may be stated in a letter only if it is of such a nature that it can be transferred from the letter to the record copy without adversely affecting the clarity and direct reproducibility of the sheet onto which the correction is to be transferred (Rule 26.4).

ATTENTION

Failure to correct the defects will result in the international application being considered withdrawn by this receiving Office (see Rule 26.5 for further details).

A copy of this invitation and any attachments has been sent to the International Bureau and the International Searching Authority

Name and mailing address of the receiving Office

Authorized officer

Facsimile No.

Telephone No.

Form PCT/RO/106 (January 2004)
The receiving Office has found the following defects in the international application as filed:

1. As to **signature** of the international application (Rules 4.15, 26.2bis(a) and 90.4), the request:
   a. ☐ is not signed* by the applicant or, if there is more than one applicant, by at least one of them
   b. ☐ is not accompanied by the statement referred to in the check list in Box No. IX of the request explaining the lack of the signature of an applicant for the designation of the United States of America
   c. ☐ is signed by what appears to be an agent/common representative but:
      - ☐ the international application is not accompanied by a power of attorney appointing him
      - ☐ the power of attorney accompanying the international application is not signed by all the applicants
   d. ☐ other *(specify)*:

* Although Rule 4.15 requires that all applicants must sign the request (e.g. including all inventors/applicants for the designation of the United States of America), for the purposes of Article 14(1)(a)(i), if there is more than one applicant, it shall be sufficient that the request be signed by one of them (Rule 26.2bis(a)).

However, the applicant’s attention is drawn to the fact that the national law applied by each designated Office may require, in connection with the processing of the international application in the national phase, that the applicant furnish the confirmation of the international application by the signature of any applicant for the designated State who has not signed the request (Rule 51bis.1(a)(vi)).

2. As to indications concerning the **applicant*** who is entitled, according to Rule 19.1, to file the international application with the receiving Office, the request (Rules 4.4, 4.5 and 26.2bis(b)):
   a. ☐ does not properly indicate the applicant’s name *(specify)*:
   b. ☐ does not indicate the applicant’s address
   c. ☐ does not properly indicate the applicant’s address *(specify)*:
   d. ☐ does not indicate the applicant’s nationality
   e. ☐ does not indicate the applicant’s residence

☐ Further observations about indications concerning other applicants (if applicable):

* Although Rules 4.4 and 4.5 require indications concerning the applicant, or if there are several applicants, of each of them, for the purposes of Article 14(1)(a)(ii), if there is more than one applicant, it shall be sufficient that the indications required under Rule 4.5(a)(ii) and (iii) be provided in respect of one of them who is entitled according to Rule 19.1 to file the international application with the receiving Office (Rule 26.2bis(b)).

However, the applicant’s attention is drawn to the fact that the national law applied by each designated Office may require, in connection with the processing of the international application in the national phase, that the applicant furnish any missing indication required under Rule 4.5(a)(ii) and (iii) in respect of any applicant for the designated State (Rule 51bis.1(a)(vi)).

3. As to the **language** of certain elements of the international application, other than the description and claims (Rules 12.1(c) and 26.3ter(a) and (c)):
   a. ☐ the request is not in a language of publication accepted by this receiving Office; *(the) language(s)* accepted by this receiving Office is/are:
   b. ☐ the text matter of the drawings is not in the language in which the international application is to be published, which is:
   c. ☐ the abstract is not in the language in which the international application is to be published, which is:

4. The **title** of the invention:
   a. ☐ is not indicated in Box No. I of the request (Rule 4.1(a))
   b. ☐ is not indicated at the top of the first sheet of the description (Rule 5.1(a))
   c. ☐ as appearing in Box No. I of the request is not identical with the title heading the description (Rule 5.1(a))

5. As to the **abstract** (Rules 8 and 26.1(b)):
   ☐ the international application does not contain an abstract

Form PCT/RO/106 (Annex A) (January 2004)
This receiving Office has found that, with regard to the presentation of the **text matter of the international application as filed**, the physical requirements are not complied with to the extent that compliance therewith is necessary for:

### 1. Reasonably uniform international publication (Rules 11 and 26.3(a)(i)) *(Defects to be specified)*:

<table>
<thead>
<tr>
<th>Description</th>
<th>Claims</th>
<th>Abstract</th>
</tr>
</thead>
<tbody>
<tr>
<td>a.</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>b.</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>c.</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>d.</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>e.</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>f.</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>g.</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>h.</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>i.</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>j.</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>k.</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>l.</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>m.</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>n.</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>o.</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>p.</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>q.</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>r.</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>s.</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>t.</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>u.</td>
<td>☐</td>
<td>☐</td>
</tr>
</tbody>
</table>

### 2. Satisfactory reproduction (Rules 11 and 26.3(b)(i))

Further observations (if necessary):
This receiving Office has found that, with regard to the presentation of the text matter of the translation of the international application, the physical requirements are not complied with to the extent that compliance therewith is necessary for:

1. **reasonably uniform international publication** (Rules 11 and 26.3b(ii)) *(defects to be specified):*

<table>
<thead>
<tr>
<th>Request</th>
<th>Description</th>
<th>Claims</th>
<th>Abstract</th>
</tr>
</thead>
<tbody>
<tr>
<td>a. ☐ the sheets do not admit of direct reproduction</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>b. ☐ the element does not commence on a new sheet</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>c. ☐ sheets are not free from creases, cracks, folds</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>d. ☐ sheets are not used in the upright position</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>e. ☐ one side of the sheets is not left unused</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>f. ☐ the paper of the sheets is not flexible/strong/white/smooth/non-shiny/durable</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>g. ☐ the sheets are not connected as prescribed (Rule 11.4(b))</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>h. ☐ sheets are not A4 size (29.7cm x 21cm)</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>i. ☐ the minimum margins on the sheets are not as prescribed (top: 2cm; left side: 2.5cm; right side: 2cm; bottom: 2cm)</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>j. ☐ the file reference number indicated on the sheets does not appear in the left-hand corner of the sheets, within 1.5 cm of the top of the sheets</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>k. ☐ the file reference number exceeds the maximum of 12 characters</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>l. ☐ the sheets of the description, claims and abstract are not numbered in consecutive Arabic numerals</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>m. ☐ the sheet numbers are not centered at the top or bottom of the sheets</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>n. ☐ the sheet numbers are in the margin (see i. above for the size of the margins)</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>o. ☐ the text matter is not typed or printed</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>p. ☐ the typing on the sheets is not 1½-spaced</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>q. ☐ the characters in the text matter on the sheets are less than 0.21 cm high in capital letters</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>r. ☐ the text matter on the sheets is not in dark, indelible color</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>s. ☐ the element contains drawings</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>t. ☐ the sheets contain alterations/overwritings/interlineations/too many erasures</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>u. ☐ the sheets contain photocopy marks</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
</tbody>
</table>

2. **satisfactory reproduction** (Rules 11 and 26.3(a)(ii))

Further observations (if necessary):
This receiving Office has found that, with regard to the presentation of the drawings of the international application as filed, the physical requirements are not complied with to the extent that compliance therewith is necessary for:

1. reasonably uniform international publication (Rules 11 and 26.3(a)(ii)) (defects to be specified);

**Sheets containing drawings:**

- a. the sheets do not admit of direct reproduction
- b. the sheets are not free from creases, cracks, folds
- c. one side of the sheets is not left unused
- d. the paper of the sheets is not flexible/strong/white/smooth/non-shiny/durable
- e. the drawings do not commence on a new sheet
- f. the sheets are not connected as prescribed (Rule 11.4(b))
- g. the sheets are not A4 size (29.7cm x 21cm)
- h. the minimum margins on the sheets are not as prescribed (top: 2.5cm; left side: 2.5cm; right side: 1.5cm; bottom: 1cm)
- i. the file reference number indicated on the sheets does not appear in the left-hand corner of the sheets, within 1.5 cm of the top of the sheets
- j. the file reference number exceeds the maximum of 12 characters
- k. the sheets are not free from frames around usable or used surfaces
- l. the sheets are not numbered in consecutive Arabic numerals (e.g. 1/3, 2/3, 3/3)
- m. the sheet numbers are not centered at the top or bottom of the sheets
- n. the sheet numbers are in the margin (see h. above for the size of the margins)
- o. the sheets contain alterations/overwritings/interlineations/too many erasures
- p. the sheets contain photocopy marks

**Drawings** (Rule 11.13):

- a. do not admit of direct reproduction
- b. contain unnecessary text matter
- c. contain words so placed as to prevent translation without interference with lines thereof
- d. are not executed in durable black color; the lines are not uniformly thick and well-defined
- e. contain cross-sections not properly hatched
- f. would not be properly distinguishable in reduced reproduction
- g. contain scales not represented graphically
- h. contain numbers, letters and reference lines lacking simplicity and clarity
- i. contain lines drafted without the aid of drafting instruments
- j. contain disproportionate elements of a figure not necessary for clarity
- k. contain numbers and letters of height less than 0.32 cm
- l. contain letters not conforming to the Latin, and where customary, Greek alphabets
- m. contain figures on two or more sheets which form a single complete figure but which are not able to be assembled without concealing parts thereof
- n. contain figures which are not properly arranged and clearly separated
- o. contain different figures not numbered in consecutive Arabic numerals
- p. contain different figures not numbered independently of the numbering of the sheets
- q. are not restricted to reference signs mentioned in the description
- r. do not contain reference signs that are mentioned in the description
- s. contain the same feature denoted by different reference signs
- t. are not arranged in an upright position, clearly separated from one another
- u. are not presented sideways with the top of the figures at the left side of the sheets

2. satisfactory reproduction (Rules 11 and 26.3(b)(ii))

**Further observations (if necessary):**
This receiving Office has found that, with regard to the **drawings of the translation** of the international application, the physical requirements are not complied with to the extent that compliance therewith is necessary for:

1. ☐ reasonably uniform international publication (Rules 11 and 26.3(b)(ii)) *(defects to be specified)*

### Sheets containing drawings:

- a. ☐ the sheets do not admit of direct reproduction  
- b. ☐ the sheets are not free from creases, cracks, folds  
- c. ☐ one side of the sheets is not left unused  
- d. ☐ the paper of the sheets is not flexible/strong/white/smooth/non-shiny/durable  
- e. ☐ the drawings do not commence on a new sheet  
- f. ☐ the sheets are not connected as prescribed (Rule 11.4(b))  
- g. ☐ the sheets are not A4 size (29.7cm x 21cm)  
- h. ☐ the minimum margins on the sheets are not as prescribed  
  - (top: 2.5cm; left side: 2.5cm; right side: 1.5cm; bottom: 1cm)  
- i. ☐ the file reference number indicated on the sheets does not appear in the left-hand corner of the sheets, within 1.5 cm of the top of the sheets  
- j. ☐ the file reference number exceeds the maximum of 12 characters  
- k. ☐ the sheets are not free from frames around usable or used surfaces  
- l. ☐ the sheets are not numbered in consecutive Arabic numerals (e.g. 1/3, 2/3, 3/3)  
- m. ☐ the sheet numbers are not centered at the top or bottom of the sheets  
- n. ☐ the sheet numbers are in the margin (see h. above for the size of the margins)  
- o. ☐ the sheets contain alterations/overwritings/interlineations/too many erasures  
- p. ☐ the sheets contain photocopy marks

### Drawings *(Rule 11.13):*

- a. ☐ do not admit of direct reproduction  
- b. ☐ contain unnecessary text matter  
- c. ☐ contain words so placed as to prevent translation without interference with lines thereof  
- d. ☐ are not executed in durable black color; the lines are not uniformly thick and well-defined  
- e. ☐ contain cross-sections not properly hatched  
- f. ☐ would not be properly distinguishable in reduced reproduction  
- g. ☐ contain scales not represented graphically  
- h. ☐ contain numbers, letters and reference lines lacking simplicity and clarity  
- i. ☐ contain lines drafted without the aid of drafting instruments  
- j. ☐ contain disproportionate elements of a figure not necessary for clarity  
- k. ☐ contain numbers and letters of height less than 0.32 cm  
- l. ☐ contain letters not conforming to the Latin, and where customary, Greek alphabets  
- m. ☐ contain figures on two or more sheets which form a single complete figure but which are not able to be assembled without concealing parts thereof  
- n. ☐ contain figures which are not properly arranged and clearly separated  
- o. ☐ contain different figures not numbered in consecutive Arabic numerals  
- p. ☐ contain different figures not numbered independently of the numbering of the sheets  
- q. ☐ are not restricted to reference signs mentioned in the description  
- r. ☐ do not contain reference signs that are mentioned in the description  
- s. ☐ contain the same feature denoted by different reference signs  
- t. ☐ are not arranged in an upright position, clearly separated from one another  
- u. ☐ are not presented sideways with the top of the figures at the left side of the sheets

2. ☐ satisfactory reproduction (Rules 11 and 26.3(a)(ii))

Further observations (if necessary):
From the RECEIVING OFFICE

To:

PCT

NOTIFICATION OF NON-INCLUSION
OF DRAWINGS WITH
THE INTERNATIONAL APPLICATION

(PCT Article 14(2) and Rule 20.2(a)(iii))

Date of mailing
(day/month/year)

Applicant’s or agent’s file reference

REPLY DUE
within 30 DAYS from the date of receipt indicated below. See also item 5 below.

International application No.

Date of receipt (day/month/year)

Priority date (day/month/year)

Applicant

1. The applicant is hereby notified that this receiving Office has found that reference to drawings which were not included in the international application is made on pages:

2. The applicant may, within the time limit indicated above, submit the said drawings (Rule 20.2(a)(iii)).

3. IF THE DRAWINGS REACH this receiving Office within that time limit, the date on which they are received will become the international filing date.

4. IF THE DRAWINGS DO NOT REACH this receiving Office within that time limit, or IF NO DRAWINGS are submitted, any reference in the international application to these drawings will be considered non-existent, the drawings will not be taken into account for the purposes of the international processing and the date of receipt of the international application indicated above will be the international filing date.

5. The time limit for responding to this notification expires later than one year from the filing date of the earliest application whose priority is claimed. Thus, any drawing submitted in reply to this notification, which is received by this receiving Office after the priority year, will require the receiving Office to declare ex officio that the priority claim is considered, for the purposes of the procedure under the PCT, not to have been made.

Name and mailing address of the receiving Office

Authorized officer

Facsimile No. Telephone No.

Form PCT/RO/107 (July 1998; reprint January 2004)
1. This receiving Office has discovered in the international application/in other papers submitted by the applicant/what appears to be an obvious error:
   - as shown on the attached copy.
   - as specified hereafter:

2. The applicant is hereby invited to submit a request for rectification to the following authority:
   - this receiving Office
   - the International Searching Authority
   - the International Bureau of WIPO
     34 chemin des Colombettes
     1211 Geneva 20, Switzerland

**HOW TO CORRECT AN ERROR?**

A request for rectification of an obvious error must be submitted in a letter. The rectification may be stated in that letter if it is of such a nature that it can be transferred from the letter to the international application without adversely affecting the clarity and the direct reproducibility of the sheet onto which the rectification is to be transferred; otherwise, the applicant is required to submit a replacement sheet embodying the rectification and the letter containing the request for rectification must draw attention to the differences between the replaced sheet and the replacement sheet (Rule 26.4).

**ATTENTION**

No rectification will be made without the express authorization of the competent authority indicated above and, in order to be effective, the authorization by that authority must reach the International Bureau, or be given by the International Bureau, as the case may be, before the completion of the technical preparations for international publication (Rule 91.1(g) to (g-quater)).
The applicant is hereby notified that this receiving Office has considered the request for rectification of obvious errors in the request of the international application and that it has decided:

1. ☐ to authorize the rectification:
   ☐ as requested by the applicant.
   ☐ to the extent set forth below*:

2. ☐ to refuse to authorize the rectification or part of it for the following reasons*:

A copy of this notification, together with a copy of the applicant’s request for rectification, has been sent to the International Bureau.

* If the authorization of the rectification has been refused in whole or in part, the applicant may request the International Bureau, before the technical preparations for international publication have been completed and subject to the payment of a fee, to publish the request for rectification together with the international application. See Rule 91.1(f), third and fourth sentences, and, for the amount of the fee, see Annex B2(WO), Volume I of the PCT Applicant’s Guide.
The applicant is hereby invited, within the time limit indicated below, to correct, by a notice submitted to this receiving Office, defects in the priority claim(s), as indicated in the Annex.

1. **Time limit to respond to this Invitation (Rule 26bis.1(a))**:
   - within 16 months from the (earliest) priority date; or
   - if the (earliest) priority date is changed as a result of the correction or addition of the (earliest) priority claim, within 16 months from that (earliest) priority date so changed,

   whichever expires first, provided that such a notice may, in any event, be submitted until the expiration of four months from the international filing date.

   **Failure to respond** to this Invitation within the prescribed time limit may result in the priority claim concerned to be considered, for the purposes of the procedure under the PCT, not to have been made (Rule 26bis.2(b)).

2. In the case where **multiple priorities** have been claimed, this invitation relates to the following priority claim(s):

3. A copy of this Invitation is being sent to the International Bureau.
This receiving Office has found the following defects in the priority claim(s):

1. Failure to Comply with the Requirements of Rule 4.10
   a. National application
      ☐ Missing indication of the filing date of the earlier application.
      ☐ Filing date indicated for the earlier application does not fall within the period of 12 months preceding the international filing date.
      ☐ Missing indication of the number of the earlier application.*
      ☐ Missing indication of the country party to the Paris Convention for the Protection of Industrial Property, or of the Member of the World Trade Organization that is not party to that Convention, in which the earlier national application was filed.
      ☐ The country indicated is neither a party to the Paris Convention for the Protection of Industrial Property nor a Member of the World Trade Organization.
   b. Regional application
      ☐ Missing indication of the filing date of the earlier application.
      ☐ Filing date indicated for the earlier application does not fall within the period of 12 months preceding the international filing date.
      ☐ Missing indication of the number of the earlier application.*
      ☐ Missing indication of the authority entrusted with the granting of regional patents under the applicable regional patent treaty.
      ☐ The authority indicated as the authority entrusted with the granting of regional patents does not grant regional patents.
      ☐ The priority claim in relation to the ARIPO application does not indicate either at least one country party to the Paris Convention for the Protection of Industrial Property, or at least one Member of the World Trade Organization, for which the earlier application was filed.
   c. International application
      ☐ Missing indication of the filing date of the earlier application.
      ☐ Filing date indicated for the earlier application does not fall within the period of 12 months preceding the international filing date.
      ☐ Missing indication of the number of the earlier application.*
      ☐ Missing indication of the receiving Office with which it was filed.

2. Inconsistency with the Corresponding Indications in the Priority Document*
   a. Inconsistency with regard to the filing date of the earlier application:
      The request indicates:
      The priority document indicates:
   b. Inconsistency with regard to the number of the earlier application:
      The request indicates:
      The priority document indicates:
   c. Inconsistency with regard to the country party to the Paris Convention for the Protection of Industrial Property or the Member of the World Trade Organization in which the national application was filed:
      The request indicates:
      The priority document indicates:
   d. Inconsistency with regard to the authority entrusted with the granting of regional patents under the applicable regional patent treaty:
      The request indicates:
      The priority document indicates:
   e. Inconsistency with regard to the receiving Office with which the international application was filed:
      The request indicates:
      The priority document indicates:

* Even if this defect is not corrected in response to this Invitation, the priority claim concerned will not be considered not to have been made (Rule 26bis.2(b)).
NOTIFICATION RELATING TO PRIORITY CLAIM

(PCT Rules 26bis.1 and 26bis.2 and Administrative Instructions, Sections 302 and 314)

IMPORTANT NOTIFICATION

The applicant is hereby notified of the following in respect of the priority claim(s) made in the international application.

1. Correction of priority claim. In accordance with the applicant’s notice received on:

   - even though the indication of the number of the earlier application is missing.
   - even though the following indication in the priority claim is not the same as the corresponding indication appearing in the priority document:

2. Addition of priority claim. In accordance with the applicant’s notice received on: ________________________________

   - even though the indication of the number of the earlier application is missing.
   - even though the following indication in the priority claim is not the same as the corresponding indication appearing in the priority document:

3. As a result of the correction and/or addition of (a) priority claim(s) under items 1 and/or 2, the (earliest) priority date is:

4. The priority claim (see also item 5, below, if applicable) is considered not to have been made because:

   - the applicant failed to respond to the invitation under Rule 26bis.2(a) (Form PCT/RO/110) within the prescribed time limit.
   - the applicant’s notice was received after the expiration of the prescribed time limit under Rule 26bis.1(a).
   - the applicant’s notice failed to correct the priority claim so as to comply with the requirements of Rule 4.10.

   The applicant may, before the technical preparations for international publication have been completed and subject to the payment of a fee, request the International Bureau to publish, together with the international application, information concerning the priority claim. See Rule 26bis.2(c) and the PCT Applicant’s Guide, Volume I, Annex B2(IB).

5. In case where multiple priorities have been claimed, the above item(s) relate to the following priority claim(s):

6. A copy of this notification has been sent to the International Bureau and

   - to the International Searching Authority

---

From the RECEIVING OFFICE

To:

---

Applicant’s or agent’s file reference

Date of mailing (day/month/year)

---

International application No.

International filing date (day/month/year)

---

Applicant

---

The applicant is hereby notified of the following in respect of the priority claim(s) made in the international application.

1. **Correction of priority claim.** In accordance with the applicant’s notice received on:

   - even though the indication of the number of the earlier application is missing.
   - even though the following indication in the priority claim is not the same as the corresponding indication appearing in the priority document:

2. **Addition of priority claim.** In accordance with the applicant’s notice received on: ________________________________

   - even though the indication of the number of the earlier application is missing.
   - even though the following indication in the priority claim is not the same as the corresponding indication appearing in the priority document:

3. **As a result of the correction and/or addition** of (a) priority claim(s) under items 1 and/or 2, the (earliest) **priority date** is:

4. The priority claim (see also item 5, below, if applicable) is **considered not to have been made** because:

   - the applicant failed to respond to the invitation under Rule 26bis.2(a) (Form PCT/RO/110) within the prescribed time limit.
   - the applicant’s notice was received after the expiration of the prescribed time limit under Rule 26bis.1(a).
   - the applicant’s notice failed to correct the priority claim so as to comply with the requirements of Rule 4.10.

   The applicant may, before the technical preparations for international publication have been completed and subject to the payment of a fee, request the International Bureau to publish, together with the international application, information concerning the priority claim. See Rule 26bis.2(c) and the PCT Applicant’s Guide, Volume I, Annex B2(IB).

5. In case where **multiple priorities** have been claimed, the above item(s) relate to the following priority claim(s):

6. A copy of this notification has been sent to the International Bureau and

   - to the International Searching Authority

---

Name and mailing address of the receiving Office

Authorized officer

---

Facsimile No.  

Telephone No.

Form PCT/RO/111 (July 1998; reprint January 2004)
The applicant is hereby notified that the international application lacks compliance with Rule 9.1 because it contains:

1. **expressions or drawings contrary to morality.**
   - See page(s) ____________ line(s) ____________ figure(s) ____________ .

2. **expressions or drawings contrary to public order.**
   - See page(s) ____________ line(s) ____________ figure(s) ____________ .

3. **statements disparaging the products or processes of any particular person other than the applicant.**
   - See page(s) ____________ line(s) ____________ figure(s) ____________ .

4. **statements disparaging the merits or validity of applications or patents of any particular person other than the applicant.**
   - See page(s) ____________ line(s) ____________ figure(s) ____________ .

5. **statements or matter obviously irrelevant or unnecessary under the circumstances.**
   - See page(s) ____________ line(s) ____________ figure(s) ____________ .

Further observations, if necessary:

**Invitation to correct:**

The applicant is hereby invited, within the time limit indicated above, to voluntarily correct the international application.

**How to make the corrections?** Correction must be submitted by filing a replacement sheet embodying the correction and a letter accompanying the replacement sheet, which shall draw attention to the difference between the replaced sheet and the replacement sheet. A correction may be stated in a letter only if it is of such a nature that it can be transferred from the letter to the record copy without adversely affecting the clarity and direct reproducibility of the sheet onto which the correction is to be transferred (Rule 26.4(a)).

**If the applicant fails to make the corrections,** the International Bureau may omit the above-noted expressions, drawings, and statements, from its publications, indicating the place and number of words or drawings omitted, and furnish upon request, individual copies of the passages omitted (see Article 21(6)).

A copy of this notification has been sent to the International Searching Authority and to the International Bureau.
From the RECEIVING OFFICE

To:

The International Bureau of WIPO
34, chemin des Colombettes
1211, Geneva 20
Switzerland

Date of mailing
(day/month/year)

International application No. International filing date
(day/month/year)

1. The following indications appear on record concerning:

- [ ] the applicant
- [ ] the inventor
- [ ] the agent
- [ ] the common representative

<table>
<thead>
<tr>
<th>Name and address</th>
<th>State of Nationality*</th>
<th>State of Residence*</th>
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<th>Teleprinter No.</th>
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2. This receiving Office hereby requests the International Bureau to record the following change in:

- [ ] the person
- [ ] the name
- [ ] the address
- [ ] the nationality*
- [ ] the residence*

<table>
<thead>
<tr>
<th>Name and address</th>
<th>State of Nationality*</th>
<th>State of Residence*</th>
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3. Further observations, if necessary:

* To be indicated only for a change concerning the applicant.

<table>
<thead>
<tr>
<th>Name and mailing address of the receiving Office</th>
<th>Authorized officer</th>
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<th>Telephone No.</th>
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</table>
The applicant is hereby notified that, for the reason(s) indicated below, this receiving Office intends to declare that the international application will be considered withdrawn based upon the tentative finding that the requirements under Article 11(1) were not complied with at the time the international filing date was accorded.

1. ☐ The applicant obviously lacks the right to file an international application with this receiving Office for reasons of residence and nationality (Article 11(1)(i) and Rules 18 and 19).

2. ☐ The description is not in (one of) the prescribed language(s), which is (are): ________________________________ (Article 11(1)(ii) and Rules 12.1(a) and 20.4(c)).

3. ☐ The claims are not in (one of) the prescribed language(s), which is (are): ________________________________ (Article 11(1)(ii) and Rules 12.1(a) and 20.4(c)).

4. ☐ The application does not contain an indication that it is intended as an international application (Article 11(1)(iii)(a) and Rule 4.2).

5. ☐ The application does not contain the name of the applicant, as prescribed (Article 11(1)(iii)(c) and Rule 20.4(b)).

6. ☐ The application does not contain a part which on the face of it appears to be a description (Article 11(1)(iii)(d) and Rule 5).

7. ☐ The application does not contain a part which on the face of it appears to be a claim or claims (Article 11(1)(iii)(e) and Rule 6).

If the applicant disagrees with this tentative finding, the applicant may, within the time limit indicated above, submit to this receiving Office arguments to that effect.
NOTIFICATION THAT INTERNATIONAL APPLICATION CONSIDERED TO BE WITHDRAWN
(PCT Article 14(1) or (3) and Rules 12.3(d) or 12.4(d), 29.1 or 92.4(g)(i))

The applicant is hereby notified that the international application is declared by this receiving Office to be considered withdrawn for the reason indicated below:

1. □ Failure to correct certain defects: An invitation (Form PCT/RO/106) to correct defects in the international application was mailed by this receiving Office on

   However: □ no corrections, in response to that invitation, have been received within the prescribed time limit
             □ applicant’s corrections as submitted do not properly correct the defects noted in that invitation

2. □ Failure to furnish the required translation of the international application and/or to pay the late furnishing fee: An invitation (Form PCT/RO/150 or Form PCT/RO/157) to furnish the required translation of the international application and to pay, where applicable, the late furnishing fee was mailed by this receiving Office on

   However, within the time limit referred to in that invitation:
             □ the required translation was not furnished □ the required late furnishing fee was not paid

3. □ Failure to pay prescribed fees: An invitation (Form PCT/RO/133) to pay the prescribed fees was mailed by this receiving Office on

   However, within the time limit referred to in that invitation:
             □ no fees have been paid
             □ the amounts paid are not sufficient to cover the transmittal fee, the international filing fee, the search fee and the late payment fee

4. □ Failure to furnish the original of the international application: An invitation (Form PCT/RO/141) to furnish the original of the international application (transmitted earlier by facsimile machine/teleprinter/etc.) was mailed by this receiving Office on

   However, the original was not furnished within the time limit fixed in that invitation.

5. □ A copy of this notification has been sent to the International Bureau and to the International Searching Authority.

From the RECEIVING OFFICE

To:

Applicant’s or agent’s file reference

INTERNATIONAL APPLICATION

International application No.

Applicant

Date of mailing (day/month/year)

From the RECEIVING OFFICE

To:

Applicant’s or agent’s file reference

IMPORTANT NOTIFICATION

International application No.

Applicant

Date of mailing (day/month/year)

The applicant is hereby notified that the international application is declared by this receiving Office to be considered withdrawn for the reason indicated below:

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From the RECEIVING OFFICE

To:

Applicant’s or agent’s file reference

INTERNATIONAL APPLICATION

International application No.

Applicant

Date of mailing (day/month/year)

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From the RECEIVING OFFICE

To:

Applicant’s or agent’s file reference

INTERNATIONAL APPLICATION

International application No.

Applicant

Date of mailing (day/month/year)

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From the RECEIVING OFFICE

To:

Applicant’s or agent’s file reference

INTERNATIONAL APPLICATION

International application No.

Applicant

Date of mailing (day/month/year)

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4. □ Failure to furnish the original of the international application: An invitation (Form PCT/RO/141) to furnish the original of the international application (transmitted earlier by facsimile machine/teleprinter/etc.) was mailed by this receiving Office on

   However, the original was not furnished within the time limit fixed in that invitation.

5. □ A copy of this notification has been sent to the International Bureau and to the International Searching Authority.
The receiving Office transmits herewith the following documents:

1. □ _________ record copies (Article 12(1))
2. □ _________ search copies (Article 12(1))
3. □ _________ translations of international applications (Rule 12.3 or 12.4)
4. □ _________ copies of purported international applications (Rule 20.7(iv))
5. □ _________ record copies and corrections not already transmitted in respect of the international applications which have been considered withdrawn (Rule 29.1(a)(i))
6. □ _________ (copies of the) letters of corrections or rectifications (Administrative Instructions, Section 325(b) and (c))
7. □ _________ (copies of) replacement sheets (Administrative Instructions, Section 325(b) and (c))
8. □ _________ (copies of) later submitted sheets (Administrative Instructions, Section 309(b)(iii), (c)(ii))
9. □ _________ (copies of) later submitted drawings (Administrative Instructions, Section 310(c)(iii), (d)(ii))
10. □ _________ other documents (specify):

The Annex contains a list identifying each document transmitted by the type of document it is, the corresponding international application number and, if necessary, other information.

This notification is sent to the addressee in its capacity as:

□ the International Searching Authority
□ the International Bureau

Name and mailing address of the receiving Office
Authorized officer

Facsimile No.
Telephone No.
<table>
<thead>
<tr>
<th>Type of document</th>
<th>International application No.</th>
<th>Other information</th>
</tr>
</thead>
</table>

# NOTIFICATION OF REFUND OF FEES

(PCT Rules 15.6 and 16.2 and Administrative Instructions, Section 326(c))

1. The applicant is hereby notified that the amount(s) indicated below which has (have) been paid in respect of the (purported) international application will be refunded for the following reason:

   a. [ ] the application is not and will not be treated as an international application since the determination under Article 11(1) is negative.

   b. [ ] the international application has been withdrawn, or considered withdrawn, before the transmittal of the record copy to the International Bureau.

   c. [ ] the international application has been withdrawn, or considered withdrawn, before the transmittal of the search copy to the International Searching Authority.

   d. [ ] the application is not and will not be treated as an international application due to prescriptions concerning national security.

2. Amount(s) to be refunded:  
   - International Filing Fee: _______________________________
   - Search Fee: _______________________________
   - Total: _______________________________

---

Form PCT/RO/119 (January 2004)
The applicant is hereby invited, within the time limit indicated above, to pay to this receiving Office the following amount:

for the preparation of additional copies of

- [ ] the international application
- [ ] the translation of the international application

as detailed below:

- Number of copies required by this receiving Office: ________________________
- Number of copies filed by the applicant: ________________________
- Number of additional copies prepared by this receiving Office: ________________________

---

Name and mailing address of the receiving Office: ________________________
Authorized officer: ________________________
Facsimile No.: ________________________
Telephone No.: ________________________
From the RECEIVING OFFICE

To:

Date of mailing
(day/month/year)

<table>
<thead>
<tr>
<th>Applicant’s or agent’s file reference</th>
<th>Certified copy of international application enclosed</th>
</tr>
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<tbody>
<tr>
<td>International application No.</td>
<td>International filing date</td>
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<tr>
<td></td>
<td>(day/month/year)</td>
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<tr>
<td>Applicant</td>
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</table>

This receiving Office, in response to the applicant’s request, transmits herewith a certified copy of the international application as filed and of any corrections thereto.

Name and mailing address of the receiving Office

Authorized officer

Facsimile No.

Telephone No.

Form PCT/RO/122 (July 1992; reprint January 2004)
### PATENT COOPERATION TREATY

**From the RECEIVING OFFICE**

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<th>To:</th>
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**PCT**

**NOTIFICATION CONCERNING REPRESENTATION**

(PCT Rule 90 and Administrative Instructions, Section 328)

<table>
<thead>
<tr>
<th>Applicant’s or agent’s file reference</th>
<th>Date of mailing (day/month/year)</th>
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</thead>
<tbody>
<tr>
<td>International application No.</td>
<td>International filing date (day/month/year)</td>
</tr>
<tr>
<td>Applicant</td>
<td></td>
</tr>
</tbody>
</table>

1. This receiving Office hereby gives notice of the receipt of a document containing:

   - [ ] a power of attorney
   - [ ] a revocation of power of attorney
   - [ ] a renunciation of appointment

2. This notification, together with a copy of the document indicated above, is sent to the addressee in its capacity as:

   - [ ] the International Searching Authority.
   - [ ] the International Bureau, which is requested to record a change in the person of the agent or common representative under Rule 92bis.1(a)(ii).

---

**Name and mailing address of the receiving Office**

| Authorized officer |
|---|---|

**Facsimile No.**

| Telephone No. |
From the RECEIVING OFFICE

To:

Applicant’s or agent’s file reference

REPLY DUE within month/days from the above date of mailing

International application No.

International filing date (day/month/year)

Applicant

1. The applicant is hereby notified that:

☐ the power of attorney
☐ the revocation of power of attorney

submitted to this receiving Office has been found defective for the reason(s) indicated below:

☐ it does not contain the required signature(s) (specify):

☐ it is not contained in a separate document.

☐ it does not contain the indications required under Rule 4.4 concerning the name and address of the appointed person (specify, if necessary):

2. The applicant is hereby invited, within the time limit indicated above, to correct the defect(s).

3. Failure to do so shall result in the appointment/revocation being considered non-existent.

Name and mailing address of the receiving Office

Authorized officer

Facsimile No.

Telephone No.

Form PCT/RO/124 (July 1992; reprint January 2004)
From the RECEIVING OFFICE

To:

Name and mailing address of the receiving Office Authorized officer

Facsimile No. Telephone No.

<table>
<thead>
<tr>
<th>PCT PATENT COOPERATION TREATY</th>
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<tbody>
<tr>
<td>NOTIFICATION OF RECEIPT OF PAPERS PURPORTING TO BE AN INTERNATIONAL APPLICATION</td>
</tr>
<tr>
<td>(PCT Administrative Instructions, Section 301)</td>
</tr>
</tbody>
</table>

1. The applicant is hereby notified that this receiving Office has received papers purporting to be an international application on the date of receipt indicated above.

2. The applicant’s attention is drawn to the fact that these papers have not yet been checked by this receiving Office in respect of their compliance with the requirements of Article 11(1), that is, whether these papers meet the requirements necessary for the according of an international filing date.

3. As soon as this receiving Office has checked these papers, it will inform the applicant accordingly.

4. These papers have provisionally been given the international application number indicated above. The applicant is hereby requested to make reference to that number in all correspondence with this receiving Office.

<table>
<thead>
<tr>
<th>Applicant’s or agent’s file reference IMPORTANT NOTIFICATION</th>
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<tbody>
<tr>
<td>International application No. Date of receipt (day/month/year)</td>
</tr>
<tr>
<td>Applicant</td>
</tr>
<tr>
<td>Title of the invention</td>
</tr>
</tbody>
</table>

Form PCT/RO/125  (July 1992; reprint January 2004)
From the RECEIVING OFFICE

To:

Name and mailing address of the receiving Office

Authorized officer

Facsimile No.

Telephone No.

Form PCT/RO/126 (July 1992; reprint January 2004)

PCT

NOTIFICATION CONCERNING LATER SUBMITTED SHEETS OR DRAWINGS

(PCT Administrative Instructions, Sections 309(b) and (c) and 310(c) and (d))

Applicant’s or agent’s file reference

INTERNATIONAL NOTIFICATION

Date of mailing (day/month/year)

International application No.

International filing date/Date of first receipt of papers (day/month/year)

Applicant

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<th>1.</th>
<th>The applicant is hereby notified that certain</th>
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<td>☐ sheets (specify page numbers)</td>
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<td></td>
<td>☐ drawings (specify page or drawing numbers)</td>
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<tr>
<td></td>
<td>pertaining to the application were received by this receiving Office on:</td>
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<tr>
<td></td>
<td>(date of receipt of the later submission),</td>
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<tr>
<td></td>
<td>that is, on a date later than the date indicated above of first receipt of papers.</td>
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</tbody>
</table>

| 2. | ☐ As the date of receipt of the later submission is **within 30 days** of the date of first receipt of papers, the later submission is included in the application for international processing and |
|    | a. ☐ the date of receipt of the later submission becomes the date of receipt of the application. |
|    | b. ☐ the date of receipt of the later submission becomes the international filing date. |

| 3. | ☐ As the date of receipt of the later submission is **later than 30 days** from the date of first receipt of papers, the later submission **will not be taken into account for the purposes of international processing** and |
|    | a. ☐ the date of receipt of the application remains as of record. |
|    | b. ☐ the international filing date remains as of record. |
From the RECEIVING OFFICE

To:  

<table>
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<th>Date of mailing</th>
<th>(day/month/year)</th>
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<tr>
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<td>(day/month/year)</td>
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</table>

Applicant

1. The applicant is hereby notified that this receiving Office, after having considered the applicant’s arguments, does not intend to declare that the international application is considered withdrawn.

2. Consequently, the international processing of the international application will continue.

Name and mailing address of the receiving Office

Authorized officer

Facsimile No.

Telephone No.

Form PCT/RO/127 (July 1992; reprint January 2004)
**NOTIFICATION RELATING TO REQUESTED DOCUMENTS**

(PCT Rule 17.1(b) or 20.9 and Administrative Instructions, Section 323(b) and (e))

<table>
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<tr>
<th>ACTION DUE</th>
<th>see below</th>
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</table>

**1. Request for priority document under Rule 17.1(b)**

This receiving Office has received on ___________________________ (date of receipt) a request to prepare and transmit to the International Bureau a certified copy of the earlier application the priority of which is claimed in the international application ("request for priority document").

- **a.** However, the required fee has not been paid. Therefore the applicant is invited, within 16 months from the (earliest) priority date, to pay the following amount: ___________________________ (amount due)

  Failure to pay the amount due within the 16-month time limit will result in the request for priority document to be considered not to have been made.

- **b.** However, the required fee was not paid within the 16-month time limit; the request for priority document is therefore considered not to have been made.

- **c.** However, the request for priority document (and any required fee, where applicable) was received after the expiration of the 16-month time limit; the request for priority document is therefore considered not to have been made.

The attention of the applicant is directed to Rule 17.1(a) which provides that any priority document received by the International Bureau after the expiration of that time limit will be considered to have been received by it on the last day of that time limit if it reaches it before the date of international publication of the international application (Section 323(e)).

(Only where item 1.b applies) A copy of this Notification is being sent to the International Bureau

**2. Request for certified copy of international application under Rule 20.9**

This receiving Office has received a request for a certified copy of the international application.

However, the required fee has not been paid. Therefore the applicant is invited, within _________ days/month from the date of mailing indicated above, to pay the following amount: ___________________________ (amount due)

**3. Additional observations (if necessary):**

---

**From the RECEIVING OFFICE**

**To:**

**Applicant’s or agent’s file reference**

**International application No.**

**Applicant**

**Date of mailing**

(day/month/year)

**International filing date**

(day/month/year)

**Facsimile No.**

Telephone No.

Form PCT/RO/128 (July 1998; reprint January 2004)
NOTIFICATION OF DEFECTS WITH REGARD TO CORRESPONDENCE SUBMITTED BY THE APPLICANT

(PCT Rules 92.1(b) and 92.4(g)(ii))

REPLY DUE
within month/days from the above date of mailing

1. The applicant is hereby invited, within the time limit indicated above, to remedy the omission mentioned below.

2. This receiving Office acknowledges the receipt on: ______________________________________________________

3. However, the said papers were not accompanied by a letter (Rule 92.1(a)).

   accompanied by a letter which, nevertheless, was not signed (Rule 92.1(a)).

   furnished in the form of a letter which, nevertheless, was not signed (Rule 92.1(a)).

   transmitted by facsimile machine, but the original has not been received (Rule 92.4(d)).

4. The said letter or papers are returned herewith.

5. Failure to remedy the omission within the time limit indicated above will result in the said letter or papers being disregarded or, in the case of a facsimile transmission, considered as not having been submitted.

From the RECEIVING OFFICE

To:

Applicant’s or agent’s file reference

International application No.

Applicant

Date of mailing (day/month/year)

International filing date (day/month/year)

Name and mailing address of the receiving Office

Authorized officer

Facsimile No.

Telephone No.
<table>
<thead>
<tr>
<th>From the RECEIVING OFFICE</th>
</tr>
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<tbody>
<tr>
<td>To:</td>
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<thead>
<tr>
<th>Applicant’s or agent’s file reference</th>
<th>REPLY DUE</th>
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<tbody>
<tr>
<td></td>
<td>See paragraph 1 below</td>
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</table>

<table>
<thead>
<tr>
<th>International application No.</th>
<th>International filing date</th>
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<td>(day/month/year)</td>
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<tr>
<th>Applicant</th>
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</table>

1. **REPLY DUE within _____ months/days from the above date of mailing**

   - [ ] NO REPLY DUE, however, see below _________________________
   - [ ] IMPORTANT COMMUNICATION
   - [ ] INFORMATION ONLY

2. **COMMUNICATION:**

<table>
<thead>
<tr>
<th>Name and mailing address of the receiving Office</th>
<th>Authorized officer</th>
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<tbody>
<tr>
<td>Facsimile No.</td>
<td>Telephone No.</td>
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Form PCT/RO/132 (July 1992; reprint January 2004)
1. This receiving Office has found that the prescribed fees (i.e., transmittal fee, search fee and international filing fee) have not been paid (in full), within one month from the date of receipt of the international application (Rules 14, 15 and 16). See below for details of the calculation.

2. The applicant is hereby invited, within the time limit indicated above, to pay the following total amount (see the Annex for details):

\[
\text{Total unpaid fees} + \text{Late payment fee} = \text{Total amount due}
\]

3. **Failure to pay** the total amount due within the time limit indicated above may result in the international application being considered withdrawn by this receiving Office.

   **The applicant's attention is drawn to Rules 16bis.1(c) and 29.**

4. Additional observations (if any):
   - [ ] the amount of ________________________ was received by this receiving Office after the expiration of the applicable time limit; the total amount due indicated above is correspondingly reduced
   - [ ] other observations:

5. A copy of this invitation is being sent to the International Bureau.
### Transmittal Fee

Prescribed amount: ____________________________

Amount paid: ____________________________ -

Balance: ____________________________ =

### Search Fee

Prescribed amount: ____________________________

Amount paid: ____________________________ -

Balance: ____________________________ =

### International Filing Fee

Fixed amount for first 30 sheets: ____________________________

\[ \text{Number of sheets in excess of 30} \times \text{Fee per sheet} = \text{费 per sheet} \]

Additional component: \(_{400} \times \text{Fee per sheet} = \text{費 per sheet} \]

Reduction where the international application is filed
(See PCT Applicant’s Guide, Volume I, General Part, for details on the availability of this reduction):

- using the PCT-EASY software: ____________________________
- in electronic form where the text of the description, claims and abstract is not in character coded format: ____________________________
- in electronic form where the text of the description, claims and abstract is in character coded format: ____________________________

Sub-total: ____________________________

### Late Payment Fee

The amount of the late payment fee corresponds to:

- 50% of total unpaid fees
- the transmittal fee (minimum amount of the late payment fee)
- 50% of the international filing fee, not taking into account any fee for each sheet of the international application in excess of 30 sheets (maximum amount of the late payment fee)
# Indications Relating to Deposited Microorganism or Other Biological Material

(PCT Rule 13bis)

| **A.** The indications made below relate to the deposited microorganism or other biological material referred to in the description on page ____________________________ , line ______________________________ . |
| **B. IDENTIFICATION OF DEPOSIT** |
| Name of depositary institution |
| Address of depositary institution *(including postal code and country)* |
| Date of deposit | Accession Number |
| **C. ADDITIONAL INDICATIONS** *(leave blank if not applicable)* |
| This information is continued on an additional sheet |
| **D. DESIGNATED STATES FOR WHICH INDICATIONS ARE MADE** *(if the indications are not for all designated States)* |
| **E. SEPARATE FURNISHING OF INDICATIONS** *(leave blank if not applicable)* |
| The indications listed below will be submitted to the International Bureau later *(specify the general nature of the indications e.g., "Accession Number of Deposit")* |

For receiving Office use only

[ ] This sheet was received with the international application

Authorized officer

For International Bureau use only

[ ] This sheet was received by the International Bureau on:

Authorized officer

Form PCT/RO/134 (July 1998; reprint January 2004)
From the RECEIVING OFFICE

To:

The International Bureau of WIPO
34, chemin des Colombettes
1211 Geneva 20
Switzerland

1. This receiving Office hereby gives notice of the receipt of the priority document(s) identified below on:
   ________________________________________________.

2. This receiving Office hereby gives notice of the receipt of a request (made under Rule 17.1(b)) to prepare and transmit to the International Bureau the priority document(s) identified below on:
   ________________________________________________.

**Identification of the priority document(s):**

<table>
<thead>
<tr>
<th>Priority date</th>
<th>Priority application No.</th>
<th>Country or regional Office or PCT receiving Office</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
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</tbody>
</table>

**Applicant’s or agent’s file reference**

**Date of mailing (day/month/year)**

**International application No.**

**International filing date (day/month/year)**

**Applicant**

**Name and mailing address of the receiving Office**

**Authorized officer**

**Facsimile No.**

**Telephone No.**

Form PCT/RO/135 (July 1998; reprint January 2004)
From the RECEIVING OFFICE

To:

The International Bureau of WIPO
34, chemin des Colombettes
1211 Geneva 20
Switzerland

<table>
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<tr>
<th>Applicant’s or agent’s file reference</th>
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<td>International filing date (day/month/year)</td>
</tr>
<tr>
<td>Applicant</td>
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</tbody>
</table>

1. The receiving Office hereby transmits a notice effecting withdrawal received from the applicant on:

2. The withdrawal concerns:

   - the international application
   - the following designations of States:
     - for an ARIPO patent (AP) *(if the withdrawal concerns only some States, specify those States by indicating the two-letter country codes)*: 
     - all States designated for a Eurasian patent (EA)
     - for a European patent (EP) *(if the withdrawal concerns only some States, specify those States by indicating the two-letter country codes)*:
     - all States designated for an OAPI patent (OA)
     - for a national patent *(specify the States by indicating the two-letter country codes)*:
   - the priority claim *(if multiple priorities have been claimed, specify the priority claim(s) to which the withdrawal relates)*:

3. A copy of this notification is being sent to the International Searching Authority.

Name and mailing address of the receiving Office

Authorized officer

Facsimile No.

Telephone No.

Form PCT/RO/136 (October 1996; reprint January 2004)
**COMMUNICATION REGARDING EXTENSION OF TIME LIMIT**  
*(PCT Rule 26.2)*

1. In response to the applicant’s request of ____________________________ , the time limit for replying to:  
   - [ ] the Invitation to Correct Defects (PCT/RO/106)  
   - [ ] (other) ____________________________________________
   
   has been extended as follows:  
   - [ ] extension of _______ months/days from ____________________________  
   - [ ] extension until ____________________________

2. [ ] No extension of the time limit is granted and the time limit remains as previously set.

---

**From the RECEIVING OFFICE**

**To:**

<table>
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<tr>
<th>Date of mailing</th>
<th>(day/month/year)</th>
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</table>

**Applicant’s or agent’s file reference**

**INTERNATIONAL COMMUNICATION**

<table>
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<tr>
<th>International application No.</th>
<th>International filing date</th>
<th>(day/month/year)</th>
</tr>
</thead>
</table>

**Applicant**

---

**Name and mailing address of the receiving Office**

**Authorized officer**

<table>
<thead>
<tr>
<th>Facsimile No.</th>
<th>Telephone No.</th>
</tr>
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</table>

*Form PCT/RO/138 (July 1992; reprint January 2004)*
NOTIFICATION REGARDING ATTEMPTED TRANSMISSION OF DOCUMENTS VIA TELEGRAPH, TELEPRINTER, FACSIMILE MACHINE, ETC.

(PCT Rule 92.4(c))

REPLY DUE

However, see last paragraph below

From the RECEIVING OFFICE

To:

Applicant’s or agent’s file reference

INTERNATIONAL FILING DATE

Date of mailing
(d/m/y)

INTERNATIONAL APPLICATION NO.

REPLY DUE

NONE

However, see last paragraph below

INTERNATIONAL FILING DATE

(d/m/y)

APPENDANT

1. The applicant is hereby notified that this receiving Office has received via facsimile machine/teleprinter/telegraph:

☐ a document purporting to be an international application.

☐ a document which appears to be entitled:

________________________________________________________________________

2. However, ☐ that document, as received, is illegible,

☐ a part of that document was not received,

as explained below:

3. Consequently, the document is treated as not having been received by this receiving Office and the applicant should attempt to re-transmit it.

Name and mailing address of the receiving Office

Authorized officer

Facsimile No.

Telephone No.

Form PCT/RO/140 (July 1992; reprint January 2004)
From the RECEIVING OFFICE

<table>
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<th>From:</th>
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<td>To:</td>
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**PCT**

INVITATION TO FURNISH ORIGINAL OF DOCUMENT TRANSMITTED BY TELEGRAPH, TELEPRINTER, FACSIMILE MACHINE, ETC.

(PCT Rule 92.4(d), (e) and (f))

**REPLY DUE** within month/days from the above date of mailing

<table>
<thead>
<tr>
<th>Applicant’s or agent’s file reference</th>
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<tbody>
<tr>
<td>International application No.</td>
</tr>
<tr>
<td>International filing date (day/month/year)</td>
</tr>
<tr>
<td>Applicant</td>
</tr>
</tbody>
</table>

1. This receiving Office has received on ____________________________ via facsimile machine/teleprinter/telegraph the following document:
   - [ ] the international application.
   - [ ] a document purporting to be an international application.
   - [ ] a document which appears to be/which is/entitled:
      ____________________________

2. [ ] However, the original of the document was not furnished within 14 days of the date of receipt of the earlier transmission as is required by this receiving Office.

3. The applicant is hereby **invited** within the time limit indicated above to **furnish the original** of the document concerned with a letter identifying the earlier transmission.

4. **Failure to furnish the original of the document concerned** will have the following consequence:
   - where the document concerned is the international application, the international application will be considered withdrawn and the receiving Office shall so declare.
   - where the document concerned is a document subsequent to the international application, the document will be considered as not having been submitted.

| Name and mailing address of the receiving Office |
| Authorized officer |
| Facsimile No. |
| Telephone No. |

Form PCT/RO/141 (July 1992; reprint January 2004)
PCT
NOTIFICATION REGARDING RECEIPT OF DOCUMENTS VIA TELEGRAPH, TELEPRINTER, FACSIMILE MACHINE, ETC.
(PCT Rule 92.4(h))

1. The applicant is hereby notified that this receiving Office has received via
   - facsimile machine
   - teleprinter
   - telegraph
   - other means (specify):
   the following document: ________________________________ .

2. However, this receiving Office does not accept
   - receipt of any document via that means.
   - receipt of international applications via that means.
   - other (specify):

3. Consequently, the document concerned is treated as not having been received by this receiving Office. The applicant should promptly submit the original document to this receiving Office by mail, air mail, or another means accepted by this Office.

From the RECEIVING OFFICE

<table>
<thead>
<tr>
<th>To:</th>
<th>Applicant’s or agent’s file reference</th>
<th>REPLY DUE</th>
<th>NONE</th>
<th>However, see last paragraph below</th>
</tr>
</thead>
<tbody>
<tr>
<td>Date of mailing (day/month/year)</td>
<td>International application No.</td>
<td>International filing date (day/month/year)</td>
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</tbody>
</table>

Applicant

Name and mailing address of the receiving Office

Authorized officer

Facsimile No. | Telephone No.

Form PCT/RO/142 (July 1992; reprint January 2004)
## PATENT COOPERATION TREATY

From the RECEIVING OFFICE

<table>
<thead>
<tr>
<th>To:</th>
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</table>

### IMPORTANT NOTIFICATION

1. The applicant is hereby notified that this receiving Office declares the international application considered withdrawn because it does not comply with all the requirements for according an international filing date.

2. A notification (Form PCT/RO/115) stating the intention to declare the international application considered withdrawn was mailed by this receiving Office on ________________.

3. In response to that notification,

   - [ ] the applicant has not submitted arguments within the prescribed time limit.
   - [ ] the applicant’s arguments have been considered but have not been found persuasive for the following reason(s):

4. Consequently, this receiving Office finds that the requirements which were indicated under No. ____________ of the said notification were not complied with on the date which was accorded as the international filing date.

5. A copy of this notification has been sent to the International Bureau [ ] and to the International Searching Authority [ ].

<table>
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<tr>
<th>Applicant’s or agent’s file reference</th>
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<tr>
<th>International application No.</th>
<th>International filing date</th>
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<table>
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<tr>
<th>Applicant</th>
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Form PCT/RO/143 (July 1992; reprint January 2004)
1. The applicant is hereby notified that this receiving Office has corrected formal defects in the international application *ex officio*, as shown on the attached copy of:

- [ ] the request, sheet No.: _______________________________________________________________
- [ ] the description, sheet No.: _______________________________________________________________
- [ ] the claims, sheet No.: _______________________________________________________________
- [ ] the drawings, sheet No.: _______________________________________________________________
- [ ] other (specify): _______________________________________________________________

2. If the applicant agrees with these corrections, no further action is required in this regard.

3. **In case of disagreement** with these corrections, the applicant should promptly inform this receiving Office accordingly.

Form PCT/RO/146 (July 1992; reprint January 2004)
From the RECEIVING OFFICE

To:

PCT

NOTIFICATION CONCERNING FAILURE TO FORWARD RECORD COPY AND SEARCH COPY FOR NATIONAL SECURITY REASONS

(Rules 15.6(iii), 16.2(iii) and 22.1(a) and PCT Administrative Instructions, Section 330)

Date of mailing
(day/month/year)

Applicant’s or agent’s file reference

INTERNATIONAL APPLICATION

International application No.

International filing date
(day/month/year)

Applicant

1. This receiving Office hereby declares that, due to reasons of national security, this application is and will not be treated as an international application.

2. Consequently, the record copy of the international application will not be transmitted to the International Bureau and the search copy will not be transmitted to the International Searching Authority; any payments made by the applicant in respect of the international fee and search fee will be refunded in due course.

3. A copy of this notification is being sent to the International Bureau.

Name and mailing address of the receiving Office

Authorized officer

Facsimile No.

Telephone No.

Form PCT/RO/147 (July 1998; reprint January 2004)
In response to the request regarding the right to practice of the person named below, this receiving Office informs the addressee that this person

- [ ] has the right to practice before it.
- [ ] does not have the right to practice before it.

Name:

Address:

<table>
<thead>
<tr>
<th>Name and mailing address of the receiving Office</th>
<th>Authorized officer</th>
</tr>
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<tbody>
<tr>
<td>Facsimile No.</td>
<td>Telephone No.</td>
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</table>

Form PCT/RO/148 (July 1992; reprint January 2004)
**PCT**

**NOTIFICATION CONCERNING PAPER BEING DISREGARDED OR DOCUMENT CONSIDERED AS NOT HAVING BEEN SUBMITTED**

(PCT Rules 92.1(b), last sentence, and 92.4(g)(ii))

---

1. An invitation (Form PCT/RO/131) to correct defects in correspondence submitted by the applicant was mailed by this receiving Office on:

   ________________________________ .

   However, no response to the invitation was received by this Office within the time limit indicated in that invitation.

   Consequently, this Office hereby notifies the applicant that **the paper referred to in that invitation is being disregarded**.

2. An invitation (Form PCT/RO/141) to furnish the original of a document transmitted by telegraph, teleprinter, facsimile machine, etc., was mailed by this receiving Office on:

   ________________________________ .

   However, no response to the invitation was received by this Office within the time limit indicated in that invitation.

   Consequently, this Office hereby notifies the applicant that **the document referred to in that invitation is considered as not having been submitted**.

---

Form PCT/RO/149 (July 1992; reprint January 2004)
1. The applicant is hereby notified that this receiving Office has **not yet received the required translation of the international application** into a language which is all of the following:
   - a language accepted by the International Searching Authority that is to carry out the international search; and
   - a language of publication; and
   - a language accepted by this receiving Office (unless the international application is filed in a language of publication); that is, into the (or one of the) following language(s):

2. The applicant is hereby **invited**
   - a. [ ] to furnish the required translation within one month from the date of receipt of the international application
      (date of receipt: ____________________________);
   - b. [ ] in the event that the required translation is not furnished within the time limit referred to in item 2.a, to furnish that translation
      - within one month from the date of mailing of this Invitation or
      - within two months from the date of receipt of the international application
      (date of receipt: ____________________________),
      whichever expires later,
      [ ] together with a late furnishing fee of ____________________________.

3. **Failure to furnish** the required translation and/or, where applicable, to pay the required late furnishing fee within the applicable time limit referred to in item 2.b will result in the international application being considered withdrawn.

   [ ] A copy of this invitation is being sent to the International Bureau.
PATENT COOPERATION TREATY

From the RECEIVING OFFICE

To:  

---

Date of receipt of the purported international application (day/month/year)

Date of mailing (day/month/year)

Facsimile No.  

Telephone No.

---

Applicant’s or agent’s file reference

REPLY DUE  

15 days from the above date of mailing (only if item 3 applies)

Receiving Office reference/International application No.

Date of receipt of the purported international application (day/month/year)

---

1. The applicant is hereby notified that:

   ☐ this receiving Office is not competent, on account of the nationality and residence of the applicant, to receive the international application (Rule 19.1 or 19.2).

   ☐ the international application is not in a language accepted by this receiving Office under Rule 12.1(a) but is in a language accepted by the International Bureau as receiving Office.

2. Consequently, the international application is considered to have been received by this receiving Office on behalf of the International Bureau as receiving Office on the date of receipt indicated above, and is or will be promptly transmitted to it.

3. ☐ The transmittal to the International Bureau as receiving Office is subject to the payment of a fee in the amount of:

   ☐ The fee will be deducted from any fee already paid to this receiving Office.

   ☐ The applicant is hereby invited to pay that fee within the time limit indicated above.

   Failure to pay that fee may result in the international application not being transmitted to the International Bureau as receiving Office.

4. Any fees paid by the applicant will be refunded in due course, except, where applicable, the above-mentioned fee payable to this Office under Rule 19.4(b) (see item 3).

5. The transmittal fee, the international filing fee and the search fee are payable to the International Bureau as receiving Office, in a currency prescribed by it within a time limit of one month from the actual date of receipt of the international application by the International Bureau as receiving Office (rather than one month from the date of receipt of the international application indicated above).

6. ATTENTION: If the applicant has requested this receiving Office to prepare and transmit to the International Bureau a certified copy of any earlier application the priority of which is claimed by marking the check-box provided for in Box No. VI of the request (see Rule 17.1(b)), it remains the applicant’s responsibility to submit such certified copy to the International Bureau, or to the International Bureau as receiving Office, within the time limit under Rule 17.1(a).

7. A copy of this notification is being sent to the International Bureau as receiving Office together with the above-mentioned international application.

---

Name and mailing address of the receiving Office

Authorized officer

Facsimile No.

Telephone No.

Form PCT/RO/151 (January 2004)
From the RECEIVING OFFICE

To:

Applicant’s or agent’s file reference

Date of receipt of purported international application (day/month/year)

Date of mailing (day/month/year)

Receiving Office reference/International application No.

Date of receipt of purported international application (day/month/year)

Applicant

1. The applicant is hereby informed that this receiving Office and the International Bureau have, subject to the authorization of the applicant and, where required, payment of a fee (see items 2 and 4), agreed to transmit the international application to the International Bureau as receiving Office (the reason may be specified).

2. The applicant is hereby invited, within the time limit indicated above, to authorize the transmittal of the international application to the International Bureau as receiving Office by filing a written notice to this effect.

3. This receiving Office acknowledges receipt of the authorization of the applicant to transmit the international application to the International Bureau as receiving Office.

4. The transmittal of the international application is also subject to the payment of a fee in the amount of:
   - The fee will be deducted from any fee already paid to this receiving Office.
   - The applicant is hereby invited to pay that fee within the time limit indicated above.

5. If the transmittal is authorized by the applicant and any required fee is paid (see item 4), the international application will be considered to have been received by this receiving Office on behalf of the International Bureau as receiving Office on the date of receipt indicated above, and will be transmitted to the International Bureau as receiving Office (Rule 19.4(a) and (b)) and the following will apply:
   - Any fees paid by the applicant will be refunded in due course, except, where applicable, the above-mentioned fee payable to this Office under Rule 19.4(b) (see item 4).
   - The transmittal fee, the international filing fee and the search fee are payable to the International Bureau as receiving Office, in a currency prescribed by it within a time limit of one month from the actual date of receipt of the international application by the International Bureau as receiving Office (rather than one month from the date of receipt of the international application indicated above).
   - If the applicant has requested this receiving Office to prepare and transmit to the International Bureau a certified copy of any earlier application the priority of which is claimed by marking the check-box provided for in Box No. VI of the request (see Rule 17.1(b)), it remains the applicant’s responsibility to submit a certified copy of any such earlier application to the International Bureau, or to the International Bureau as receiving Office within the time limit under Rule 17.1(a).

6. If the applicant does not authorize the transmittal of the international application to the International Bureau as receiving Office, this receiving Office will process the application.

7. A copy of this invitation is being sent to the International Bureau as receiving Office.

Name and mailing address of the receiving Office

Authorized officer

Facsimile No.

Telephone No.

Form PCT/RO/152 (January 2004)
From the RECEIVING OFFICE

To:

PCT
NOTIFICATION OF TRANSMITTAL OF DEMAND TO THE INTERNATIONAL BUREAU OR TO THE COMPETENT INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

(PCT Rule 59.3(a) and (f) and Administrative Instructions, Section 334)

Date of mailing (day/month/year)

Applicant’s or agent’s file reference

IMPORTANT NOTIFICATION

International application No.  
International filing date (day/month/year)  
Priority date (day/month/year)

Applicant

1. This receiving Office has **received** on __________________________________________________________ (date of receipt) a demand for international preliminary examination of the international application.

2. The applicant is hereby **notified** that:

☐ this receiving Office **has transmitted the demand to the International Bureau** which will transmit it, as the case may be, directly to the competent International Preliminary Examining Authority and inform the applicant accordingly, or invite the applicant to indicate the competent International Preliminary Examining Authority to which the demand should be transmitted.

☐ this receiving Office **has transmitted the demand directly to the competent International Preliminary Examining Authority** which is:

3. The date of receipt indicated above has been marked on the demand; the demand will, in accordance with Rule 59.3(e), be **considered to have been received by the competent International Preliminary Examining Authority on that date of receipt**.

☐ **ATTENTION**: That date of receipt is **after** the expiration of 19 months from the priority date. Consequently, in respect of some Offices, the demand does not have the effect of postponing the entry into the national phase until 30 months from the priority date (or later in some Offices) (Article 39(1)) and the acts for entry into the national phase must therefore be performed within 20 months from the priority date (or later in some Offices). **However**, in respect of some other Offices, the time limit of 30 months (or later) may nevertheless apply. See the Annex to Form PCT/IB/301 and, for details about the applicable time limits, Office by Office, see the PCT Applicant’s Guide, Volume II, National Chapters and the WIPO Internet site.

☐ **ATTENTION**: This receiving Office is not in a position to determine whether that date of receipt is **after** the expiration of the applicable time limit under Rule 54bis.1(a), that is, three months from the date of transmittal to the applicant of the international search report (or of the declaration referred to in Article 17(2)(a)) and the written opinion established under Rule 43bis.1, or 22 months from the priority date, whichever expires later.

However, the applicant’s attention is drawn to the fact that, should the applicable time limit have already expired, the competent International Preliminary Examining Authority will notify the applicant accordingly at a later date.

☐ *(If applicable)* The applicant has already been informed accordingly by telephone, facsimile transmission or in person, on: __________________________________________________________________________________________

4. A copy of this notification is being sent to the International Bureau or to the competent International Preliminary Examining Authority indicated above, as the case may be.

Name and mailing address of the receiving Office

Authorized officer

Facsimile No.

Telephone No.

Form PCT/RO/153 (January 2004)
From the RECEIVING OFFICE

To:

PCT
PATENT COOPERATION TREATY

Applicant’s or agent’s file reference

Date of mailing
(day/month/year)

Applicant

International application No.

International filing date (day/month/year)

Priority date (day/month/year)

INVITATION TO INDICATE
COMPETENT INTERNATIONAL PRELIMINARY
EXAMINING AUTHORITY

(PCT Rule 59.3(f) and
Administrative Instructions, Section 334)

Name and mailing address of the receiving Office

Authorized officer

Facsimile No.

Telephone No.

REPLY DUE

15 days from the above date of mailing.
HOWEVER, see also item 3, below.

1. This receiving Office has received on __________________________________________________________ (date of receipt)
a demand for international preliminary examination of the international application.

2. The applicant is hereby invited, within the time limit indicated above, to indicate the competent International Preliminary Examining Authority to which the demand should be transmitted (Rule 59.3(c)(ii)).

Failure to respond to this Invitation, within the time limit indicated above, will result in this receiving Office declaring that the demand will be considered not to have been submitted (Rule 59.3(d)).

3. The date of receipt indicated above has been marked on the demand; provided the applicant responds to this Invitation within the time limit indicated above, the demand will, in accordance with Rule 59.3(e), be considered to have been received by the competent International Preliminary Examining Authority on that date of receipt.

ATTENTION: That date of receipt is after the expiration of 19 months from the priority date. Consequently, in respect of some Offices, the demand does not have the effect of postponing the entry into the national phase until 30 months from the priority date (or later in some Offices) (Article 39(1)) and the acts for entry into the national phase must therefore be performed within 20 months from the priority date (or later in some Offices). However, in respect of some other Offices, the time limit of 30 months (or later) may nevertheless apply. See the Annex to Form PCT/IB/301 and, for details about the applicable time limits, Office by Office, see the PCT Applicant’s Guide, Volume II, National Chapters and the WIPO Internet site.

ATTENTION: This receiving Office is not in a position to determine whether that date of receipt is after the expiration of the applicable time limit under Rule 54bis.1(a), that is, three months from the date of transmittal to the applicant of the international search report (or of the declaration referred to in Article 17(2)(a)) and the written opinion established under Rule 43bis.1 or 22 months from the priority date, whichever expires later.

However, the applicant’s attention is drawn to the fact that, should the applicable time limit have already expired, the competent International Preliminary Examining Authority will notify the applicant accordingly at a later date.

(IF applicable) The applicant has already been informed accordingly by telephone, facsimile transmission or in person, on: ___________________________
PATENT COOPERATION TREATY

From the RECEIVING OFFICE

To:

Date of mailing
(day/month/year)

Applicant’s or agent’s file reference

INTERNATIONAL NOTIFICATION

International application No.

International filing date
(day/month/year)

Applicant

1. The applicant is hereby notified that [this receiving Office declares that the demand is considered not to have been submitted] since the applicant has not furnished within the time limit referred to in the Invitation (Form PCT/RO/154) the indication of the competent International Preliminary Examining Authority to which the demand should have been transmitted.

2. Consequently, this receiving Office will **refund** to the applicant any amount paid in respect of the demand.

3. **ATTENTION**

   Since the demand is considered not to have been submitted, it does **not** have the effect, in respect of some Offices, of postponing the entry into the national phase until 30 months from the priority date (or later in some Offices) (Article 39(1)) and the acts for entry into the national phase must therefore be performed within 20 months from the priority date (or later in some Offices). **However**, in respect of some other Offices, the time limit of 30 months (or later) may nevertheless apply. See the Annex to Form PCT/IB/301 and, for details about the applicable time limits, Office by Office, see the **PCT Applicant’s Guide**, Volume II, National Chapters and the [WIPO Internet site](https://www.wipo.int).

Name and mailing address of the receiving Office

Authorized officer

Facsimile No.

Telephone No.

Form PCT/RO/155 (April 2002; reprint January 2004)
PATENT COOPERATION TREATY

From the RECEIVING OFFICE

To:

PCT

INVITATION TO CORRECT
DECLARATIONS MADE IN THE REQUEST
UNDER PCT RULE 4.17

(PCT Rules 4.17 and 26ter.2(a))

Date of mailing
(day/month/year)

Applicant’s or agent’s file reference

REPLY DUE
See below

International application No.

International filing date
(day/month/year)

Applicant

1. The applicant is hereby invited to submit to the International Bureau a corrected declaration within the time limit indicated below and as explained in the Annex. The applicant’s attention is drawn to the fact that the declaration has not been examined for compliance with national law requirements of the designated State(s) for which that declaration is made.

   When? Within 16 months from the priority date, provided that any corrected declaration which is received by the International Bureau after the expiration of that time limit shall be considered to have been received on the last day of that time limit if it reaches it before the technical preparations for international publication have been completed (Rule 26ter.1).

   How? By submitting a replacement sheet containing a corrected declaration accompanied by a letter explaining the correction (see Section 216). See Sections 211 to 215 for the applicable standardized wording.

   Where? Directly to the International Bureau at the following address:
   The International Bureau of WIPO, 34, chemin des Colombettes, 1211 Geneva 20, Switzerland
   (Facsimile No.: (41-22) 740.14.35)
   If the corrected declaration is submitted to the receiving Office, that Office shall mark the date of receipt on it and transmit it promptly to the International Bureau. The declaration shall be considered to have been submitted to the International Bureau on the date marked (see Section 317).

2. Failure to correct the declaration within the time limit will result in copies of the declaration, as originally filed, being communicated by the International Bureau to the designated Offices concerned according to Rule 47.1(a-ter).

3. In respect of national phase processing, the applicant’s attention is drawn to Rule 51bis.2 which provides that the designated Office shall not, unless it may reasonably doubt the veracity of the declaration concerned, require any document or evidence relating to the subject matter of any declaration complying with Rule 4.17(i) to (iv) which is contained in the request or submitted to the International Bureau or directly to the designated Office. Note, however, that Rule 51bis.2 may not apply in respect of certain States. For further information, see Notes to the request form, Box No. VIII.

4. A copy of this Invitation is being sent to the International Bureau.

Name and mailing address of the receiving Office

Authorized officer

Facsimile No.

Telephone No.

Form PCT/RO/156 (March 2001; reprint January 2004)
ANNEX TO FORM PCT/RO/156

The receiving Office has found the following defect(s) in the declaration(s) listed below:

1. declaration as to the identity of the inventor (Rules 4.17(i) and 51bis.1(a)(i) and Section 211), in respect of:
   a. (name(s) included in the declaration): .................................................................
      □ is not in the prescribed wording
      □ other (specify): .................................................................................................
   b. (name(s) included in the declaration): .................................................................
      □ is not in the prescribed wording
      □ other (specify): .................................................................................................

2. declaration as to the applicant’s entitlement, as at the international filing date, to apply for or be granted a patent (Rules 4.17(ii) and 51bis.1(a)(ii) and Section 212), in respect of:
   a. (name(s) included in the declaration): .................................................................
      □ is not in the prescribed wording
      □ other (specify): .................................................................................................
   b. (name(s) included in the declaration): .................................................................
      □ is not in the prescribed wording
      □ other (specify): .................................................................................................

3. declaration as to the applicant’s entitlement, as at the international filing date, to claim priority of the earlier application (Rules 4.17(iii) and 51bis.1(a)(iii) and Section 213), in respect of:
   a. (name(s) included in the declaration): .................................................................
      □ is not in the prescribed wording
      □ other (specify): .................................................................................................
   b. (name(s) included in the declaration): .................................................................
      □ is not in the prescribed wording
      □ other (specify): .................................................................................................

4. declaration of inventorship (only for the purposes of the designation of the United States of America) (Rules 4.17(iv) and 51bis.1(a)(iv) and Section 214), in respect of:
   a. (name(s) included in the declaration): .................................................................
      □ is not in the prescribed wording
      □ is not signed by all inventors named in the declaration
      □ other (specify): .................................................................................................
   b. (name(s) included in the declaration): .................................................................
      □ is not in the prescribed wording
      □ is not signed by all inventors named in the declaration
      □ other (specify): .................................................................................................

5. declaration as to non-prejudicial disclosures or exceptions to lack of novelty (Rules 4.17(v) and 51bis.1(a)(v) and Section 215), in respect of:
   a. (name(s) included in the declaration): .................................................................
      □ is not in the prescribed wording
      □ other (specify): .................................................................................................
   b. (name(s) included in the declaration): .................................................................
      □ is not in the prescribed wording
      □ other (specify): .................................................................................................

1 If necessary, additional entries (c., d., e…) may be included under each type of declaration or on another copy of this Annex.
1. The applicant is hereby notified that this receiving Office has not yet received the required translation of the international application into a language of publication accepted by this receiving Office that is, into the (or one of the) following language(s):

2. The applicant is hereby invited:
   a. [ ] to furnish the required translation within 14 months from the priority date
   b. [ ] in the event that the required translation is not furnished within the time limit referred to in item 2.a, to furnish the required translation within 16 months from the priority date

   [ ] together with a late furnishing fee of _____________________________

3. Failure to furnish the required translation and/or, where applicable, to pay the required late furnishing fee within the applicable time limit referred to in item 2.b will result in the international application being considered withdrawn and so declared by the receiving Office. However, any translation and any payment received by the receiving Office before that Office makes the declaration under the previous sentence and before the expiration of 17 months from the priority date will be considered to have been received before the expiration of that time limit.

[ ] A copy of this invitation is being sent to the International Bureau

From the RECEIVING OFFICE

To:

Applicant’s or agent’s file reference

International application No.

Applicant

Date of mailing

International filing date/Date of receipt

Applicant's or agent’s file reference

TIME LIMIT

see item 2 below

PCT

PATENT COOPERATION TREATY

INVITATION TO FURNISH TRANSLATION OF INTERNATIONAL APPLICATION AND TO PAY, WHERE APPLICABLE, LATE FURNISHING FEE

(PCT Rule 12.4(c) and (e))

See item 2 below

Form PCT/RO/157 (January 2003; reprint January 2004)