Proposed new and modifications of certain Forms of the International Bureau

This Circular is addressed to your Office in its capacity as receiving Office, International Searching Authority (ISA), International Preliminary Examining Authority (IPEA) and/or designated and/or elected Office under the Patent Cooperation Treaty (PCT) for the purpose of consultation under Rule 89.2(b). It is also addressed to certain non-governmental organizations. It concerns proposed new and modified Forms relating to the International Bureau in order to take into account amendments of the Regulations under the PCT as adopted by the PCT Assembly at its thirty-first (18th extraordinary) session held from September 23 to October 1, 2002 (please see document PCT/A/31/10), which will enter into force on January 1, 2004.

A number of new Forms and modifications to the Forms of the International Bureau are proposed with this Circular based on the amendments, as adopted by the PCT Assembly, which relate to the new concept and operation of the designation system, the enhanced international search and preliminary examination system and the system of communications on request. In addition, the occasion of this Circular is used to propose several improvements in respect of certain existing forms. A new Form, allowing the applicant to effect a withdrawal under Rule 90bis, is also proposed.

A detailed explanation of the proposed new and modified Forms is contained in Annex I of this Circular. The proposed new and modified Forms are set out in Annex II to this Circular (the modifications are identified by vertical lines in the right margin of the sheets concerned).
Comments on the proposed modifications of the Forms

Noting that the Forms should be promulgated with effect from the date of entry into force of the amendments referred to above, that is, with effect from January 1, 2004, you are invited to provide comments, if any, to the International Bureau by August 29, 2003, preferably by fax to (+41-22) 910 00 30 or by email to pct.legal@wipo.int.

Yours sincerely,

Francis Gurry
Assistant Director General

Enclosures:  Annex I – Detailed explanations of proposed new and modified Forms of the International Bureau

Annex II – Proposed new and modified Forms of the International Bureau
General comment

The International Bureau proposes a number of minor editorial and layout changes with a view to, as the case may be, harmonizing and simplifying the Forms. One such example is to adjust the size of the Boxes on the Forms themselves; while such changes are not explained in detail below, vertical lines in the right margin of the sheets concerned identify them.

Proposed new Forms and modifications of Forms relating to the concept and operation of the designation system

(a) PCT/IB/301 ("Notification of Receipt of Record Copy"). Following the amendment of Rule 4.9, it is proposed to delete the references to the confirmation of precautionary designations on the front page of the form as well as in the Annex thereto. For clarification, references to particular Articles and Rules have been added.

(b) PCT/IB/302 ("Notification to the Designated Office of Receipt of Record Copy"). Following the deletion of Rule 24.2(b) as of 1 January 2004, designated Offices will no longer be notified of the receipt and date of receipt of the record copy. Consequently, it is proposed to delete this Form.

(c) PCT/IB/313 ("Notification of Defects in the International Application"). In accordance with Rule 26.2bis, it is proposed to modify item 1 of Annex A to indicate that a possible defect in the international application is the lack of signature by at least one of the applicants as opposed to all of the applicants. Similarly, modifications are proposed to item 2, so that all indications made concern at least one of the applicants entitled to file under Rule 19.1. Please note that only the cover sheet and Annex A are included, since no changes are proposed to Annexes B1, C1, B2 and C2. Correspondingly, it has been proposed to modify Form PCT/RO/106, see Circular C.PCT 926 addressed to receiving Offices.

(d) PCT/IB/321 ("Notification of Facts Which Should Have Precluded the According of an International Filing Date"). The proposed modifications are consequential to the amendment of Rule 4.9.

(e) PCT/IB/324 ("Notification of Designations Considered to be Withdrawn"). Following the amendment of Rule 15 and the abolition of the designation fee, it is proposed to delete this Form.

(f) PCT/IB/359 ("Notification Regarding the Confirmation of Precautionary Designations"). Following the amendment of Rule 4.9 and the abolition of the possibility of making precautionary designations, it is proposed to delete this Form.
(g) PCT/IB/361 (“Notification of Designation under Rule 24.2(b”)).
Following the deletion of Rule 24.2(b), it is proposed to delete this Form.

Proposed new Forms and modifications of Forms relating to the enhanced international search and preliminary examination system

(a) PCT/IB/310 (“Notification Concerning Documents Transmitted”). It is proposed that the use of this Form be extended to the notification of documents such as the written opinion of the International Searching Authority, the International Preliminary Report on Patentability under Chapters I and II of the PCT and any translations required of those documents.

(b) PCT/IB/337 (“Notification Concerning the Written Opinion of the International Searching Authority and Amendments of the Claims”). It is proposed to modify this Form to provide for the transmittal, under amended Rule 62.1, of the written opinion of the International Searching Authority to the International Preliminary Examining Authority where that Authority is not the same Authority that acted as International Searching Authority.

(c) PCT/RO/338 (“Notification of Transmittal of Copies of Translation of the International Preliminary Report on Patentability (Chapter I or Chapter II of the Patent Cooperation Treaty”). It is proposed to modify this Form to provide for the transmittal to the applicant of a copy of the translation of the International Preliminary Report on Patentability (Chapter I), which has been prepared under the responsibility of the International Bureau pursuant to new Rule 44bis.3(a). The form is also proposed to be modified to reflect the new title of the international preliminary examination report.

(d) PCT/IB/373 (“International Preliminary Report on Patentability (Chapter I of the Patent Cooperation Treaty”). It is proposed to create this Form to provide for the establishment of the International Preliminary Report on patentability (Chapter I) which, according to new Rule 44bis.1(a), is to be issued by the International Bureau on behalf of the International Searching Authority. For the establishment of this report, it is proposed that this Form be used as a cover sheet for Form PCT/ISA/237 (“Written Opinion of the International Searching Authority”) issued by the competent International Searching Authority.

(e) PCT/IB/374 (“Notification of Transmittal of Copies of Translation of the Written Opinion of the International Searching Authority”). It is proposed to create this Form to provide for the transmittal to the applicant of a copy of the translation of the written opinion of the International Searching Authority, which has been prepared under the responsibility of the International Bureau pursuant to new Rules 44bis.3(d), 62bis.1(b) or 72.2bis.
(f) **PCT/IB/399 (“International Application Status Form”).** Reference is made to Circular C.PCT 930 of June 27, 2003, promulgating this new form. In light of the amendments to the Regulations entering into force on January 1, 2004, several modifications are proposed to this Form.

**Proposed new Forms and modifications of Forms relating to the communication of documents to designated Offices**

(a) **PCT/IB/308 (“Notice Informing the Applicant of the Communication of the International Application to the Designated Offices”).** It is proposed to modify this Form following the amendment of Rule 47.1(c). As required by that Rule, the Form will, in particular, indicate when the Article 20 communication has been effected and to which Office. It will also list those Offices which did not request such communication. Since this Form will be issued at 28 months from the priority date, it can no longer be used to communicate the pamphlet to the applicant. It is proposed that new Form PCT/IB/311 (see below) will be used for that purpose in the future. It is also proposed to delete a portion of the existing supplementary text on Form PCT/IB/308, since it is no longer relevant at 28 months from the priority date.

(b) **PCT/IB/311 (“Notification Concerning the Transmittal of Copy of International Application as Published”).** See comments on Form PCT/IB/308. It is also proposed to use this Form for any republication to be transmitted to the applicant.

(c) **PCT/IB/317 (“Notification of Withdrawal of Priority Claim”).** It is proposed to modify this Form to reflect new Rule 93bis.

(d) **PCT/IB/352 (“Information About Notices Concerning the Communication of International Applications”).** It is proposed to delete this Form, following the amendment of Rule 47.1(c) and new Rule 93bis.

(e) **PCT/IB/355 (“Notification to Designated Office of Receipt of Record Copy and Communication under PCT Article 20”).** It is proposed to delete this Form, following the amendment of Rule 47.1(c) and new Rule 93bis. It is proposed that the information currently communicated by Form PCT/IB/355 will, in future, be communicated by Form PCT/IB/399.

**Proposed modifications of Forms relating to the filing of the demand**

(a) **PCT/IB/328 (“Notice Effecting Later Elections”).** Since Rule 56 has been deleted, it is proposed to delete all Forms relating to later elections.
(b) **PCT/IB/329 ("Notification of Receipt of Notice Effecting Later Elections").** Since Rule 56 has been deleted, it is proposed to delete all Forms relating to later elections.

(c) **PCT/IB/331 ("Notification of Election").** It is proposed to modify this Form to reflect the deletion of Rule 56.

(d) **PCT/IB/333 ("Invitation to Correct Defects in the Notice Effecting Later Elections").** Since Rule 56 has been deleted, it is proposed to delete all Forms relating to later elections.

(e) **PCT/IB/334 ("Notification that Notice Effecting Later Elections Considered as Not Having Been Submitted or Later Election as Not Having Been Made").** Since Rule 56 has been deleted, it is proposed to delete all Forms relating to later elections.

(f) **PCT/IB/336 ("Notification of Defects in Demand").** It is proposed to delete previous item 1 (to the effect that the demand does not contain the election of at least one Contracting State bound by Chapter II of the PCT) since, under new Rule 53.7, the filing of a demand constitutes the election of all Contracting States which have been designated and which are bound by Chapter II of the Treaty. It is proposed to add a reference to new Rule 60.1(a-bis) and (a-ter) to items 8 and 9. Since these new provisions no longer require the IPEA to invite the applicant to correct certain formal defects, it is proposed to modify the continuation boxes for items 8 and 9 accordingly. It is also proposed to draw the attention of the applicant to the time limit under new Rule 54bis.1(a). Certain changes are also proposed due to the renumbering of the items.

(g) **PCT/IB/350 ("Notification That Demand or Election is Considered Not to Have Been Submitted or Made").** It is proposed to modify this Form to reflect the deletion of Rule 56.

(h) **PCT/IB/367 ("Invitation to Indicate Competent International Preliminary Examining Authority").** It is proposed to add a warning to this Form to remind applicants of the time limit for filing a demand for international preliminary examination under new Rule 54bis.1(a).

(i) **PCT/IB/368 ("Notification of Transmittal of Demand to the Competent International Preliminary Examining Authority").** It is proposed to add a warning to this Form to remind applicants of the time limit for filing a demand for international preliminary examination under new Rule 54bis.1(a).
Proposed new Forms and modifications of Forms relating to other issues

This opportunity is being taken to propose certain modifications and a new Form although they are not required to reflect the amendments adopted by the PCT Assembly at its thirty-first (18th extraordinary) session.

(a) PCT/IB/304 (“Notification Concerning Submission or Transmittal of Priority Document”). The purpose of this Form is not only to inform the applicant of the receipt by the International Bureau of priority documents relating to earlier applications whose priority is claimed, but also to provide the applicant with important information concerning the consequences of eventual non-receipt or late receipt of any such priority documents. In addition to the proposed changes to the text, Form PCT/IB/304 will now list all earlier applications whose priority has been claimed in the international application (this will include — until they have been either corrected or considered not to have been made under Rule 26bis— all priority claims which do not comply with the requirements of Rule 4.10), and will always include, depending on the circumstances, either an indication of the date of receipt of the priority document (and if applicable, that it was received late according to Rule 17.1(a) or (b)) or an indication that the International Bureau has not yet received a priority document under Rule 17.1(a) or (b) on the date of issuance of the form.

(b) PCT/IB/318 (“Notification Relating to Priority Claim”). It is proposed to add to item 1 a reference to paragraph 176 of the PCT Receiving Office Guidelines. Based on the experience gained by the International Bureau since Rule 26bis came into effect in July 1998, a reference to paragraph 176 of the Receiving Office Guidelines has been inserted so as to provide for cases where the request for correction of a priority claim was made by the applicant after the expiration of the time limit under Rule 26bis but before the receiving Office makes the declaration under Rule 26bis.2(b); in such cases the request for correction is considered to have been received before the expiration of that time limit. It is also proposed to add a reference to new Rule 93bis.

(c) PCT/IB/332 (“Information Concerning Elected Offices Notified of Their Election”). It is proposed to modify this Form to reflect the change in the time limit applicable for entry into the national phase under PCT Article 22(1) which came into effect on April 1, 2002.

(d) PCT/IB/372 (“Notice of Withdrawal”). Following the request of PCT users, it is proposed to create this Form in order to assist applicants in preparing notices of withdrawal for submission to the International Bureau under Rule 90bis.

[Annex II follows]
| **PCT** | **NOTIFICATION OF RECEIPT OF RECORD COPY**  
(PCT Rule 24.2(a)) |
|---|---|

| **Date of mailing**  
(day/month/year) | **IMPORTANT NOTIFICATION** |
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<tr>
<td><strong>Applicant’s or agent’s file reference</strong></td>
<td><strong>International application No.</strong></td>
</tr>
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</table>

The applicant is hereby **notified** that the International Bureau has received the record copy of the international application as detailed below.

Name(s) of the applicant(s) and State(s) for which they are applicants:

International filing date:

Priority date(s) claimed:

Date of receipt of the record copy by the International Bureau:

List of designated Offices:

**ATTENTION:** The applicant should carefully check the data appearing in this notification. In case of any discrepancy between these data and the indications in the international application, the applicant should immediately inform the International Bureau. **In addition, the applicant’s attention is drawn to the information contained in the Annex, relating to:**

- time limits for entry into the national phase – **see updated important information (as of April 2002)**
- requirements regarding priority documents (if applicable)

A copy of this notification is being sent to the receiving Office and to the International Searching Authority.

**The International Bureau of WIPO**  
34, chemin des Colombettes  
1211 Geneva 20, Switzerland

**Authorized officer**

**Facsimile No.** +41 22 740 14 35  
**Telephone No.** +41 22 338 XX XX

Form PCT/IB/301 (DRAFT FOR CONSULTATION – January 2004)
INFOGRAPHIC ON ENTERING THE NATIONAL PHASE

The applicant is reminded that the “national phase” must be entered before each of the designated Offices indicated on the cover sheet of this notification by paying national fees and furnishing translations, as prescribed by Articles 22 and 39 and the applicable national laws. In addition, the applicant may also have to comply with other special requirements applicable in certain Offices. It is the applicant’s responsibility to ensure that the necessary steps to enter the national phase are taken in a timely fashion. Most Offices do not issue reminders to applicants in connection with the entry into the national phase.

The applicable time limit for entering the national phase will, subject to what is said in the following paragraph, be 30 MONTHS from the priority date, not only in respect of any elected Office if a demand for international preliminary examination is filed before the expiration of 19 months from the priority date (see Article 39(1)), but also in respect of any designated Office, in the absence of filing of such demand, where Article 22(1) as modified with effect from 1 April 2002 applies in respect of that designated Office. For further details, see PCT Gazette No. 44/2001 of 1 November 2001, pages 19926, 19932 and 19934, as well as the PCT Newsletter, October and November 2001 and February 2002 issues.

In practice, time limits other than the 30-month time limit will continue to apply, for various periods of time, in respect of certain designated or elected Offices. For regular updates on the applicable time limits (20, 21, 30 or 31 months, or other time limit), Office by Office, refer to the PCT Gazette (“Section IV” part published on a weekly basis), to the PCT Newsletter (on a monthly basis) and to the relevant National Chapters in Volume II of the PCT Applicant’s Guide (the paper version of which is updated usually twice a year and the Internet version of which is updated usually on a weekly basis). Finally, a cumulative table of all applicable time limits for entering the national phase is available from WIPO’s Internet site, via links from various pages of the site, including those of the Gazette, Newsletter and Guide, at http://www.wipo.int/pct/en/index.html.

Information about the requirements for filing a demand for international preliminary examination is set out in the PCT Applicant’s Guide, Volume I/A, Chapter IX. Note that only an applicant who is a national or resident of a PCT Contracting State which is bound by Chapter II has the right to file a demand for international preliminary examination (at present, all PCT Contracting States are bound by Chapter II).

REQUIREMENTS REGARDING PRIORITY DOCUMENTS

For applicants who have not yet complied with the requirements regarding priority documents, the following is recalled.

Where the priority of an earlier national, regional or international application is claimed, the applicant must submit a copy of the said earlier application, certified by the authority with which it was filed (“the priority document”) to the receiving Office (which will transmit it to the International Bureau) or directly to the International Bureau, before the expiration of 16 months from the priority date, provided that any such priority document may still be submitted to the International Bureau before the date of international publication of the international application, in which case that document will be considered to have been received by the International Bureau on the last day of the 16-month time limit (Rule 17.1(a)).

Where the priority document is issued by the receiving Office, the applicant may, instead of submitting the priority document, request the receiving Office to prepare and transmit the priority document to the International Bureau. Such request must be made before the expiration of the 16-month time limit and may be subjected by the receiving Office to the payment of a fee (Rule 17.1(b)).

If the priority document concerned is not submitted to the International Bureau and if the request to the receiving Office to prepare and transmit the priority document has not been made (and the corresponding fee, if any, paid) within the applicable time limit indicated under the preceding paragraphs, any designated State may disregard the priority claim, provided that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances (Rule 17.1(c)).

Where several priorities are claimed, the priority date to be considered for the purposes of computing the 16-month time limit (and all other PCT time limits) is the filing date of the earliest application whose priority is claimed (Article 2(xi)(b)).
NOTIFICATION CONCERNING SUBMISSION OR TRANSMITTAL OF PRIORITY DOCUMENT

(PCT Administrative Instructions, Section 411)

1. By means of this Form, which replaces any previously issued notification concerning submission or transmittal of priority documents, the applicant is hereby notified of the date of receipt by the International Bureau of the priority document(s) relating to all earlier application(s) whose priority is claimed. Unless otherwise indicated by the letters “NR”, in the right-hand column or by an asterisk appearing next to a date of receipt, the priority document concerned was submitted or transmitted to the International Bureau in compliance with Rule 17.1(a) or (b).

2. (If applicable) The letters “NR” appearing in the right-hand column denote a priority document which, on the date of mailing of this Form, had not yet been received by the International Bureau under Rule 17.1(a) or (b). Where, under Rule 17.1(a), the priority document must be submitted by the applicant to the receiving Office or the International Bureau, but the applicant fails to submit the priority document within the applicable time limit under that Rule, the attention of the applicant is directed to Rule 17.1(c) which provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.

3. (If applicable) An asterisk (*) appearing next to a date of receipt, in the right-hand column, denotes a priority document submitted or transmitted to the International Bureau but not in compliance with Rule 17.1(a) or (b) (the priority document was received after the time limit prescribed in Rule 17.1(a) or the request to prepare and transmit the priority document was submitted to the receiving Office after the applicable time limit under Rule 17.1(b)). Even though the priority document was not furnished in compliance with Rule 17.1(a) or (b), the International Bureau will nevertheless transmit a copy of the document to the designated Offices, for their consideration. In case such a copy is not accepted by the designated Office as the priority document, Rule 17.1(c) provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.

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**Priority date** | **Priority application No.** | **Country or regional Office or PCT receiving Office** | **Date of receipt of priority document**
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1211 Geneva 20, Switzerland

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Authorized officer

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Form PCT/IB/304 (DRAFT FOR CONSULTATION – January 2004)
### NOTICE INFORMING THE APPLICANT OF THE COMMUNICATION OF THE INTERNATIONAL APPLICATION TO THE DESIGNATED OFFICES

(PCT Rule 47.1(c))

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<th>Applicant’s or agent’s file reference</th>
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**IMPORTANT NOTICE**

1. Notice is hereby given that the following designated Office(s) has/have requested that the communication of the international application, as provided for in Article 20, be effected under Rule 93bis.1. The International Bureau has effected that communication on the date(s) indicated below:

   In accordance with Rule 47.1(c-bis)(i), those Offices will accept the present notice as conclusive evidence that the communication of the international application has duly taken place on the date of mailing indicated above and no copy of the international application is required to be furnished by the applicant to the designated Office(s).

2. The following designated Offices have not requested, as at the time of mailing of the present notice, that the communication of the international application be effected under Rule 93bis.1:

   In accordance with Rule 47.1(c-bis)(ii), those Offices accept the present notice as conclusive evidence that the Contracting State for which that Office acts as a designated Office does not require the furnishing, under Article 22, by the applicant of a copy of the international application.

3. **TIME LIMITS for entry into the national phase**

   The applicable time limit for entering the national phase will, subject to what is said in the following paragraph, be **30 MONTHS** from the priority date, not only in respect of any elected Office if a demand for international preliminary examination has been filed before the expiration of **19 months** from the priority date (see Article 39(1)), but also in respect of any designated Office, in the absence of filing of such demand, where Article 22(1) as modified with effect from 1 April 2002 applies in respect of that designated Office. For further details, see PCT Gazette No. 44/2001 of 1 November 2001, pages 19926, 19932 and 19934, as well as the PCT Newsletter, October and November 2001 and February 2002 issues.

   In practice, **time limits other than the 30-month time limit** will continue to apply, for various periods of time, in respect of certain designated or elected Offices. For **regular updates on the applicable time limits**, Office by Office, refer to the PCT Gazette, the PCT Newsletter and the PCT Applicant’s Guide, Volume II, National Chapters, all available from WIPO’s Internet site, at http://www.wipo.int/pct/en/index.html.

   It is the applicant’s **sole responsibility** to monitor all these time limits.

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**The International Bureau of WIPO**
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1211 Geneva 20, Switzerland

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Form PCT/IB/308 (DRAFT FOR CONSULTATION – January 2004)
NOTIFICATION CONCERNING DOCUMENTS TRANSMITTED

The International Bureau transmits herewith the following documents and number thereof:

(number)

__________ copy of the written opinion of the International Searching Authority (Article 23(2), Rules 44bis.2(b) or 73.2(b)(ii))

__________ copy of the English translation of the written opinion of the International Searching Authority for the designated or elected Office(s) (Article 23(2), Rules 44bis.3(d) or 72.2bis)

__________ copy of the English translation of the written opinion of the International Searching Authority for the International Preliminary Examining Authority (Rule 62bis.1(b))

__________ copy of the international preliminary report on patentability (Chapter I) (Rule 44bis.2(a))

__________ copy of the English translation of the international preliminary report on patentability (Chapter I) (Rule 44bis.3(c))

__________ copy of the international preliminary report on patentability (Chapter II) (Article 36(3)(a), Rule 73.2(a))

__________ copy of the international preliminary report on patentability (Chapter II) and annexes (Article 36(3)(a), Rule 70)

__________ copy of the English translation of the international preliminary report on patentability (Chapter II) (Article 36(3)(a), Rule 72)

__________ copy(ies) of priority document(s) (Rule 17.2(a))

__________ copy(ies) of priority document(s) (Rule 66.7(a))

__________ copy of the international application and international search report or declaration (Administrative Instructions, Section 420)

__________ copy of the international application (Article 13(1), (2)(b))

__________ copy(ies) of document(s) in the file (Article 25(1)(a), (b))

__________ copy of the text of the protest concerning the payment of additional fees and of the decision thereon (Rule 40.2(c))

__________ copy of a request for rectification (Rule 91.1(f), last sentence)

__________ other document(s):

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1211 Geneva 20, Switzerland

Authorized officer

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Form PCT/IB/310 (DRAFT FOR CONSULTATION – January 2004)
NOTIFICATION CONCERNING THE
TRANSMITTAL OF COPY OF INTERNATIONAL
APPLICATION AS PUBLISHED OR REPUBLISHED

Date of mailing
(day/month/year)

Applicant’s or agent’s file reference

IMPORTANT NOTICE

International application No. International filing date (day/month/year) Priority date (day/month/year)

Applicant

The International Bureau transmits herewith the following documents:

☐ copy of the international application as published by the International Bureau on ____________________________ under No. WO/ ____________________________.

☐ copy of the international application as republished by the International Bureau on ____________________________ under No. WO/ ____________________________.

For an explanation as to the reason for this republication of the international application, reference is made to INID codes (15), (48) or (88) (as the case may be) on the front page of attached document.

Authorized officer

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Telephone No. +41 22 338 XX XX

Form PCT/IB/311 (DRAFT FOR CONSULTATION – January 2004)
PCT

NOTIFICATION OF DEFECTS IN THE INTERNATIONAL APPLICATION
(PCT Articles 3(4)(i) and 14(1) and Rule 28.1)

Date of mailing (day/month/year) in its capacity as receiving Office
International application No. International filing date (day/month/year)
Applicant

1. ☐ The International Bureau hereby calls the attention of the receiving Office to the defects in the international application as filed, which are specified on the attached:
   ☐ Annex A
   ☐ Annex B1 (text matter of the international application as filed)
   ☐ Annex C1 (drawings of the international application as filed)

2. ☐ The International Bureau hereby calls the attention of the receiving Office to the defects in the translation of the international application furnished under Rule 12.3 or 12.4, which are specified on the attached:
   ☐ Annex A
   ☐ Annex B2 (text matter of the translation of the international application)
   ☐ Annex C2 (drawings of the translation of the international application)

Additional observations (if necessary):

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Authorized officer

Authorized officer

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Form PCT/IB/313 (DRAFT FOR CONSULTATION – January 2004)
The International Bureau has found the following defects in the international application as filed:

1. As to *signature* of the international application (Rules 4.15, 26.2bis(a) and 90.4), the request:
   a. ☐ is not signed* by the applicant or, if there is more than one applicant, by at least one of them
   b. ☐ is not accompanied by the statement referred to in the check list in Box No. IX of the request explaining the lack of the signature of an applicant for the designation of the United States of America
   c. ☐ is signed by what appears to be an agent/common representative but:
      ☐ the international application is not accompanied by a power of attorney appointing him
      ☐ the power of attorney accompanying the international application is not signed by all the applicants
d. ☐ other (specify):

* Although Rule 4.15 requires that all applicants must sign the request (e.g. including all inventors/applicants for the designation of the United States of America), for the purposes of Article 14(1)(a)(i), if there is more than one applicant, it shall be sufficient that the request be signed by one of them (Rule 26.2bis(a)).

However, the applicant’s attention is drawn to the fact that the national law applied by each designated Office may require, in connection with the processing of the international application in the national phase, that the applicant furnish the confirmation of the international application by the signature of any applicant for the designated State who has not signed the request (Rule 51bis.1(a)(vi)).

2. As to indications concerning the *applicant* who is entitled, according to Rule 19.1, to file the international application with the receiving Office, the request (Rules 4.4, 4.5 and 26.2bis(b)):
   a. ☐ does not properly indicate the applicant’s name (specify):
   b. ☐ does not indicate the applicant’s address
   c. ☐ does not properly indicate the applicant’s address (specify):
   d. ☐ does not indicate the applicant’s nationality
   e. ☐ does not indicate the applicant’s residence

Further observations about indications concerning other applicants (if applicable):

* Although Rules 4.4 and 4.5 require indications concerning the applicant, or if there are several applicants, of each of them, for the purposes of Article 14(1)(a)(ii), if there is more than one applicant, it shall be sufficient that the indications required under Rule 4.5(a)(ii) and (iii) be provided in respect of one of them who is entitled according to Rule 19.1 to file the international application with the receiving Office (Rule 26.2bis(b)).

However, the applicant’s attention is drawn to the fact that the national law applied by each designated Office may require, in connection with the processing of the international application in the national phase, that the applicant furnish any missing indication required under Rule 4.5(a)(ii) and (iii) in respect of any applicant for the designated State (Rule 51bis.1(a)(vii)).

3. As to the *language* of certain elements of the international application, other than the description and claims (Rules 12.1(c) and 26.3ter(a) and (c)):
   a. ☐ the request is not in a language of publication accepted by the receiving Office; (the) language(s) accepted by the receiving Office is/are:
   b. ☐ the text matter of the drawings is not in the language in which the international application is to be published, which is:
   c. ☐ the abstract is not in the language in which the international application is to be published, which is:

4. The *title* of the invention:
   a. ☐ is not indicated in Box No. I of the request (Rule 4.1(a))
   b. ☐ is not indicated at the top of the first sheet of the description (Rule 5.1(a))
   c. ☐ as appearing in Box No. I of the request is not identical with the title heading the description (Rule 5.1(a))

---

Form PCT/IB/313 (Annex A) (DRAFT FOR CONSULTATION – January 2004)
**PCT**

NOTIFICATION OF WITHDRAWAL
OF PRIORITY CLAIM

(PCT Rule 90bis.3 and
Administrative Instructions, Section 415(a) and (b))

| Date of mailing  
| Applicant’s or agent’s file reference |
| International filing date |

**IMPORTANT NOTIFICATION**

1. The applicant is hereby notified that the priority claim made in the international application has been withdrawn in accordance with a notice of withdrawal received from the applicant on: ____________________________

   The attention of the applicant is drawn to the fact that the withdrawal of the priority claim will result in the re-calculation of time limits which have not already expired (see Rule 90bis.3(d)).

2. ☐ Where multiple priorities have been claimed, the above action relates to the following priority claim(s):

3. A copy of this notification has been sent to the receiving Office and to:
   ☐ the International Searching Authority *(where the international search report and the written opinion of the International Searching Authority have not yet issued)*
   ☐ the designated Offices *(in accordance with Rule 93bis)*
   ☐ the International Preliminary Examining Authority.

---

The International Bureau of WIPO
34, chemin des Colombettes
1211 Geneva 20, Switzerland

Authorized officer

Facsimile No. +41 22 740 14 35
Telephone No. +41 22 338 XX XX

Form PCT/IB/317 (DRAFT FOR CONSULTATION – January 2004)
Annex II to Circular C.PCT 934
page 10
PATENT COOPERATION TREATY

From the INTERNATIONAL BUREAU

To:

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**PCT**

**NOTIFICATION RELATING TO PRIORITY CLAIM**

(PCT Rules 26bis.1 and 26bis.2 and Administrative Instructions, Sections 402(c) and 409)

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<thead>
<tr>
<th>Date of mailing (day/month/year)</th>
<th>IMPORTANT NOTIFICATION</th>
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<tbody>
<tr>
<td>Applicant’s or agent’s file reference</td>
<td></td>
</tr>
<tr>
<td>International application No.</td>
<td>International filing date (day/month/year)</td>
</tr>
<tr>
<td>Applicant</td>
<td></td>
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</tbody>
</table>

The applicant is hereby **notified** of the following in respect of the priority claim(s) made in the international application.

1. **Correction of priority claim.** In accordance with the applicant’s notice received on __________________________, the following priority claim has been corrected, according to paragraph 176 of the PCT Receiving Office Guidelines where applicable, to read as follows:
   - even though the indication of the number of the earlier application is missing.
   - even though the following indication in the priority claim is not the same as the corresponding indication appearing in the priority document.

2. **Addition of priority claim.** In accordance with the applicant’s notice received on __________________________, the following priority claim has been added:
   - even though the indication of the number of the earlier application is missing.
   - even though the following indication in the priority claim is not the same as the corresponding indication appearing in the priority document.

3. **As a result of the correction and/or addition** of (a) priority claim(s) under items 1 and/or 2, the (earliest) priority date is:

4. **Priority claim considered not to have been made**
   - The applicant failed to respond to the invitation under Rule 26bis.2(a) (Form PCT/IB/316) within the prescribed time limit.
   - The applicant’s notice was received after the expiration of the prescribed time limit under Rule 26bis.1(a).
   - The applicant’s notice failed to correct the priority claim so as to comply with the requirements of Rule 4.10.
   The applicant may, before the technical preparations for international publication have been completed and subject to the payment of a fee, request the International Bureau to publish, together with the international application, information concerning the priority claim. See Rule 26bis.2(c) and the **PCT Applicant’s Guide**, Volume I, Annex B2(II).

5. **Where multiple priorities** have been claimed, the above item(s) relate(s) to the following priority claim(s):

6. A copy of this notification has been sent to the receiving Office and
   - to the International Searching Authority (where the international search report and the written opinion of the International Searching Authority have not yet issued).
   - the designated Offices (in accordance with Rule 93bis).

---

The International Bureau of WIPO
34, chemin des Colombettes
1211 Geneva 20, Switzerland

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Facsimile No. +41 22 740 14 35
Telephone No. +41 22 338 XX XX

Form PCT/IB/318 (DRAFT FOR CONSULTATION – January 2004)
The International Bureau of WIPO  
34, chemin des Colombettes  
1211 Geneva 20, Switzerland  

Authorized officer
Facsimile No.  +41 22 740 14 35  
Telephone No.  +41 22 338 XX XX

Form PCT/IB/321 (DRAFT FOR CONSULTATION – January 2004)
PCT

NOTIFICATION OF ELECTION
(PCT Article 31(7) and Rule 61.2)

<table>
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<tr>
<th>Priority date</th>
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<tr>
<td>(day/month/year)</td>
</tr>
</tbody>
</table>

| in its capacity as elected Office |

1. The designated Office is hereby notified of its election made in the demand filed with the International Preliminary Examining Authority on: ________________________

2. The election ☐ was ☐ was not made before the expiration of 19 months from the priority date (PCT Article 39(1)(a)).

The International Bureau of WIPO
34, chemin des Colombettes
1211 Geneva 20, Switzerland
Facsimile No. +41 22 740 14 35
Telefon No. +41 22 338 XX XX

Authorized officer

Form PCT/IB/331 (DRAFT FOR CONSULTATION – January 2004)
1. The applicant is hereby informed that the International Bureau has, according to Article 31(7), notified each of the following Offices of its election:

2. The following Offices have waived the requirement for the notification of their election; the notification will be sent to them by the International Bureau only upon their request:

3. [Text to be printed where the elections are made before the expiration of 19 months from the priority date]

Since the election(s) was (were) made before the expiration of 19 months from the priority date, the applicant is reminded that he must enter the "national phase" before the expiration of 30 months from the priority date before each of the Offices listed above. This must be done by paying the national fee(s) and furnishing, if prescribed, a translation of the international application (Article 39(1)(a)), as well as, where applicable, by furnishing a translation of any annexes of the international preliminary examination report (Article 36(3)(b) and Rule 74.1).

Some Offices have fixed time limits expiring later than the above-mentioned time limit. See the Annex to Form PCT/IB/301 and, for details about the applicable time limits, Office by Office, see the PCT Applicant’s Guide, Volume II, National Chapters, the PCT Newsletter and the WIPO Internet site, updated regularly.

[Text to be printed where the elections are made after the expiration of 19 months from the priority date]

Since the election(s) was (were) made after the expiration of 19 months from the priority date, the applicant is reminded that he must, subject to the following paragraph, enter the national phase within 20 months from the priority date (or later in some Offices) before some of the designated Offices in respect of which Article 22(1), as modified with effect of 1 April 2002, does not apply, by paying the national fee(s) and furnishing, if prescribed, a translation of the international application.

However, in respect of most other designated Offices, the time limit of 30 months (or later) may nevertheless apply. See the Annex to Form PCT/IB/301 and, for details about the applicable time limits, Office by Office, see the PCT Applicant’s Guide, Volume II, National Chapters, the PCT Newsletter and the WIPO Internet site, updated regularly.
Annex II to Circular C.PCT 934
page 14
PATENT COOPERATION TREATY

PCT

NOTIFICATION OF DEFECTS IN DEMAND

(PCT Rule 60.1(e))

Date of mailing
(day/month/year)

in its capacity as International Preliminary Examining Authority

International application No.

International filing date
(day/month/year)

Applicant

The International Bureau hereby calls the attention of the International Preliminary Examining Authority to the defects in the demand indicated below:

1. [ ] it does not permit the identification of the international application to which it relates (Rule 60.1(b)).
2. [ ] it does not contain the required petition (Rules 53.2(a)(i) and 53.3).
3. [ ] it does not contain the required indications concerning the agent as specified in the Annex (Rules 53.2(a)(ii) and 53.5).
4. [ ] it does not contain the required indications concerning the international application as specified in the Annex (Rules 53.2(a)(iii) and 53.6).
5. [ ] it is not submitted in the required language which is ________________________________ (Rule 55.1).
6. [ ] it is not made on the printed form (Rule 53.1(a)).
7. [ ] it is presented as a computer print-out the particulars of which do not comply with the Administrative Instructions (Rule 53.1(a)).
8. [ ] it does not contain the required indications concerning the applicant as specified in the Annex (Rules 53.2(a)(ii), 53.4 and 60.1(a-bis)).
9. [ ] it does not contain the required signature as specified in the Annex (Rules 53.2(b), 53.8, 60.1(a-ter) and 90.4).

Other observations, if necessary:

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34, chemin des Colombettes
1211 Geneva 20, Switzerland

Authorized officer

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Telephone No. +41 22 338 XX XX

Form PCT/IB/336 (DRAFT FOR CONSULTATION – January 2004)
**Continuation of item 3:** As to indications concerning the **agent** (Rules 53.2(a)(ii) and 53.5), the demand:

- a. [ ] does not properly indicate the agent’s name *(specify)*:
- b. [ ] does not indicate the agent’s address.
- c. [ ] does not properly indicate the agent’s address *(specify)*:

**Continuation of item 4:** As to indications concerning the **international application**, the demand does not indicate:

- a. [ ] the international filing date.
- b. [ ] the international application number.
- c. [ ] the name of the receiving Office, where the international application number was not known to the applicant at the time the demand was filed.
- d. [ ] the title of the invention.

**Continuation of item 8:** As to indications concerning the **applicant***(Rules 53.2(a)(ii), 53.4 and 60.1(a-bis)), the demand:

- a. [ ] does not indicate all the applicants.
- b. [ ] does not properly indicate the applicant’s name *(specify)*:
- c. [ ] does not indicate the applicant’s address.
- d. [ ] does not properly indicate the applicant’s address *(specify)*:
- e. [ ] does not indicate the applicant’s nationality.
- f. [ ] does not indicate the applicant’s residence.

* Although Rule 53.2(a)(ii) require indications concerning the applicant, or if there are several applicants, of each of them, for the purposes of Rule 53.4, if there is more than one applicant, it shall be sufficient that the required indications be provided in respect of one of them who has the right according to Rule 54.2 to make a demand (Rule 60.1(a-bis)).

**Continuation of item 9:** As to requirements concerning **signature** (Rules 53.2(b), 53.8, 60.1(a-ter) and 90.4), the demand:

- a. [ ] is not signed* by the applicant or, if there is more than one applicant, by at least one of them.
- b. [ ] is signed by what appears to be an agent/common representative but
  [ ] the demand is not accompanied by a power of attorney appointing him.
  [ ] the power of attorney accompanying the demand is not signed by all the applicants for the elected States.

* Although Rule 53.2(b) requires that all applicants must sign the demand (e.g. including all inventors/applicants for the designation of the United States of America), for the purposes of Rule 53.8, if there is more than one applicant, it shall be sufficient that the demand be signed by one of them (Rule 60.1(a-ter)).
### PCT

**NOTIFICATION CONCERNING THE WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY AND AMENDMENTS OF THE CLAIMS**

(PCT Rule 62 and Administrative Instructions, Section 417(d))

<table>
<thead>
<tr>
<th>Date of mailing (day/month/year)</th>
<th>in its capacity as International Preliminary Examining Authority</th>
</tr>
</thead>
<tbody>
<tr>
<td>International application No.</td>
<td>International filing date (day/month/year)</td>
</tr>
<tr>
<td>Applicant</td>
<td></td>
</tr>
</tbody>
</table>

1. The International Bureau hereby transmits a copy of the written opinion established by the International Searching Authority (Rule 62.1(i)).

2. The International Bureau hereby transmits a copy of the amendments to the claims under Article 19 together with any accompanying statement (Rule 62.1(ii)).

3. The International Bureau hereby informs the International Preliminary Examining Authority that no amendments under Article 19 have been received by the International Bureau (Administrative Instructions, Section 417(d)).

---

The International Bureau of WIPO  
34, chemin des Colombettes  
1211 Geneva 20, Switzerland  
Facsimile No. +41 22 740 14 35  
Authorized officer  
Telephone No. +41 22 338 XX XX  
Form PCT/IB/337 (DRAFT FOR CONSULTATION – January 2004)
PATENT COOPERATION TREATY

PCT
NOTIFICATION OF TRANSMITTAL OF COPIES OF TRANSLATION OF THE INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (CHAPTER I OR CHAPTER II OF THE PATENT COOPERATION TREATY)
(PCT Rules 44bis.3(c) and 72.2)

Date of mailing (day/month/year)

Applicant’s or agent’s file reference

INTERNATIONAL BUREAU

To:

1. Transmittal of the translation to the applicant.

☐ The International Bureau transmits herewith a copy of the English translation of the international preliminary report on patentability (Chapter I).

☐ The International Bureau transmits herewith a copy of the English translation of the international preliminary report on patentability (Chapter II).

2. Transmittal of the copy of the translation to the designated or elected Offices.

The International Bureau notifies the applicant that copies of that translation have been transmitted to the following designated or elected Offices requiring such translation:

The following designated or elected Offices, having waived the requirement for such a transmittal at this time, will receive copies of that translation from the International Bureau only upon their request:

3. Reminder regarding translation into (one of) the official language(s) of the elected Office(s).

The applicant is reminded that, where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary report on patentability (Chapter II).

It is the applicant’s responsibility to prepare and furnish such translation directly to each elected Office concerned (Rule 74.1). See Volume II of the PCT Applicant’s Guide for further details.

The International Bureau of WIPO
34, chemin des Colombettes
1211 Geneva 20, Switzerland

Authorized officer

Facsimile No. +41 22 740 14 35

Telephone No. +41 22 338 XX XX

Form PCT/IB/338 (DRAFT FOR CONSULTATION – January 2004)
| Date of mailing  
(day/month/year) | in its capacity as elected Office |
|-------------------|----------------------------------|
| International application No. | International filing date  
(day/month/year) |
| Applicant | |

The International Bureau hereby notifies the elected Office that the International Preliminary Examining Authority has declared that the demand relating to this international application has been considered as if it had not been submitted.
**PCT**

**INVITATION TO INDICATE COMPETENT INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY**

*(PCT Rule 59.3(c)(ii) and Administrative Instructions, Section 432)*

<table>
<thead>
<tr>
<th>Date of mailing (day/month/year)</th>
<th>Applicant’s or agent’s file reference</th>
<th><strong>REPLY DUE</strong></th>
<th>15 days from the above date of mailing or within the time limit applicable under Rule 54bis.1(a), whichever expires later</th>
</tr>
</thead>
<tbody>
<tr>
<td>International application No.</td>
<td>International filing date (day/month/year)</td>
<td>Priority date (day/month/year)</td>
<td></td>
</tr>
</tbody>
</table>

1. **a.** The International Bureau has received directly from the applicant, on the date indicated below, a demand for international preliminary examination of the international application:

   ____________________________
   (date of receipt by International Bureau)

   **b.** A receiving Office, International Searching Authority, or International Preliminary Examining Authority has transmitted to the International Bureau a demand for international preliminary examination of the international application, which it had received on:

   ____________________________
   (date of receipt by Office or Authority)

2. The applicant is hereby **invited**, within the time limit indicated above, to **indicate the competent International Preliminary Examining Authority** to which the demand should be transmitted (Rule 59.3(c)(ii)).

   **Failure to respond to this invitation**, within the time limit indicated above, will result in the International Bureau declaring that the demand will be considered not to have been submitted (Rule 59.3(d)).

3. The date of receipt indicated above has been marked on the demand; **the demand will, in accordance with Rule 59.3(e), be considered to have been received by the competent International Preliminary Examining Authority on that date of receipt.**

   **ATTENTION:** That date of receipt is after the expiration of 19 months from the priority date. Consequently, in respect of some Offices, the demand does not have the effect of postponing the entry into the national phase until 30 months from the priority date (or later in some Offices) (Article 39(1)) and the acts for entry into the national phase must therefore be performed within 20 months from the priority date (or later in some Offices). **However,** in respect of most other elected Offices, the time limit of 30 months (or later) may nevertheless apply. See the Annex to Form PCT/IB/301 and, for details about the applicable time limits, Office by Office, see the PCT Applicant’s Guide, Volume II, National Chapters and the WIPO Internet site.

   **ATTENTION:**

   **If applicable** The applicant has already been informed accordingly by telephone, facsimile transmission or in person, on:

   ____________________________

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The International Bureau of WIPO
34, chemin des Colombettes, 1211 Geneva 20, Switzerland
Facsimile No. +41 22 740 14 35

Authorised officer

Telephone No. +41 22 338 XX XX

Form PCT/IB/367 (DRAFT FOR CONSULTATION – January 2004)
PCT
NOTIFICATION OF TRANSMITTAL OF DEMAND TO THE COMPETENT INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

(PCT Rule 59.3(c)(i) and (d) and Administrative Instructions, Section 432)

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<th>Date of mailing (day/month/year)</th>
<th>Applicant’s or agent’s file reference</th>
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**IMPORTANT NOTIFICATION**

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<tr>
<th>International application No.</th>
<th>International filing date (day/month/year)</th>
<th>Priority date (day/month/year)</th>
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</table>

1. a. The International Bureau has received directly from the applicant, on the date indicated below, a demand for international preliminary examination of the international application:

   _________________________________
   (date of receipt by International Bureau)

   ☐ b. A receiving Office, International Searching Authority, or International Preliminary Examining Authority has transmitted to the International Bureau a demand for international preliminary examination of the international application, which it had received on:

   _________________________________
   (date of receipt by Office or Authority)

2. The applicant is hereby notified that the International Bureau has transmitted the demand directly to the competent International Preliminary Examining Authority which is:

3. The date of receipt indicated above has been marked on the demand; the demand will, in accordance with Rule 59.3(e), be considered to have been received by the competent International Preliminary Examining Authority on that date of receipt.

   ☐ ATTENTION: That date of receipt is after the expiration of 19 months from the priority date. Consequently, in respect of some Offices, the demand does not have the effect of postponing the entry into the national phase until 30 months from the priority date (or later in some Offices) (Article 39(1)) and the acts for entry into the national phase must therefore be performed within 20 months from the priority date (or later in some Offices). However, in respect of most other elected Offices, the time limit of 30 months (or later) may nevertheless apply. See the Annex to Form PCT/IB/301 and, for details about the applicable time limits, Office by Office, see the PCT Applicant’s Guide, Volume II, National Chapters and the WIPO Internet site.

   ☐ ATTENTION:

   ☐ That date of receipt is after the expiration of the applicable time limit under Rule 54bis.1(a). Any demand made after the expiration of said time limit shall be considered as if it had not been submitted and the International Preliminary Examining Authority shall so declare (Rule 54bis.1(b)).

   ☐ (If applicable) The applicant has already been informed accordingly by telephone, facsimile transmission or in person, on: _________________________________

4. A copy of this notification is being sent to the competent International Preliminary Examining Authority indicated above.

---

The International Bureau of WIPO  
34, chemin des Colombettes, 1211 Geneva 20, Switzerland  
Facsimile No. +41 22 740 14 35  
Authorized officer  
Telephone No. +41 22 338 XX XX

Form PCT/IB/368 (DRAFT FOR CONSULTATION – January 2004)
1. The applicant hereby withdraws:

- [ ] the international application identified above (Rule 90bis.1) (where the international application is withdrawn, the international processing of the international application will be discontinued (Rule 90bis.6(b)));
  - [ ] the withdrawal is made conditional on its being received by the International Bureau in time to prevent international publication

- [ ] the designations specified below (Rule 90bis.2) (withdrawal of all designated States will be treated as withdrawal of the international application under Rule 90bis.1. In such a case, the check-box “the international application” should preferably be marked instead);
  - [ ] the following designation(s):
    - [ ] all designations except:
  - [ ] the priority claim(s) specified below (Rule 90bis.3) (if multiple priorities have been claimed, this notice relates to the following priority claim(s)) (withdrawal of the earliest priority claim will result in the re-calculation of time limits which have not already expired (Rule 90bis.3(d)));
    - [ ] the withdrawal is made conditional on its being received by the International Bureau in time to prevent international publication
  - [ ] the demand (Rule 90bis.4) (where the demand is withdrawn, the processing of the international application by the International Preliminary Examining Authority will be discontinued (Rule 90bis.6(c)))

- [ ] the election(s) specified below (Rule 90bis.4) (where all elections are withdrawn, the processing of the international application by the International Preliminary Examining Authority will be discontinued (Rule 90bis.6(c)). In such a case, the check-box “the demand” should preferably be marked instead);

2. **ATTENTION:** Under Rule 90bis.6(a), withdrawal of the international application, of any designation, of any priority claim, of the demand or of any election under Rule 90bis will have no effect in any designated or elected Office where the processing or examination of the international application has already started under Article 23(2) or 40(2).

3. **Signature of applicant(s), agent or common representative** (in order for the withdrawal to be effective, the notice of withdrawal must be signed by (all) the applicant(s), their appointed agent or appointed common representative. Where one of the applicants is considered to be the common representative under Rule 90.2(b), all applicants must sign (see Rule 90bis.5)).

   **Date:**
# Annex II to Circular C.PCT 934

**PATENT COOPERATION TREATY**

**PCT**

**INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY**

(Chapter I of the Patent Cooperation Treaty)

(PCT Rule 44bis)

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<table>
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<th>Applicant’s or agent’s file reference</th>
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<th>See item 4 below</th>
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<td>International filing date (day/month/year)</td>
<td>Priority date (day/month/year)</td>
</tr>
<tr>
<td>International Patent Classification (IPC) or national classification and IPC</td>
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<td></td>
</tr>
<tr>
<td>Applicant</td>
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</table>

1. This report is issued by the International Bureau on behalf of the International Searching Authority under Rule 44bis.1(a).

2. This REPORT consists of a total of ___________ sheets, including this cover sheet.

   In the attached sheets, any reference to the opinion of the International Searching Authority should be read as a reference to the international preliminary report on patentability instead.

3. This report contains indications relating to the following items:

   - Box No. I  Basis of the report
   - Box No. II Priority
   - Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
   - Box No. IV Lack of unity of invention
   - Box No. V  Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
   - Box No. VI Certain documents cited
   - Box No. VII Certain defects in the international application
   - Box No. VIII Certain observations on the international application

4. The International Bureau will communicate this report to designated Offices in accordance with Rules 44bis.3(c) and 93bis.1 but not, except where the applicant makes an express request under Article 23(2), before the expiration of 30 months from the priority date (Rule 44bis.2).

---

Date of issuance of this report

The International Bureau of WIPO  
34, chemin des Colombettes, 1211 Geneva 20, Switzerland  
Facsimile No. +41 22 740 14 35

Authorized officer  
Telephone No. +41 22 338 XX XX

Form PCT/IB/373 (DRAFT FOR CONSULTATION – January 2004)
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<tr>
<td>International application No.</td>
<td>International filing date (day/month/year)</td>
</tr>
<tr>
<td>Applicant</td>
<td></td>
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</tbody>
</table>

1. **Transmittal of the translation to the applicant**

   The International Bureau transmits herewith a copy of the English translation of the written opinion of the International Searching Authority.

2. **Transmittal of the copy of the translation**

   The International Bureau notifies the applicant that copies of that translation have been transmitted to:

   - the International Preliminary Examining Authority (Rule 62bis.1(b)): IPEA__

   - the following designated Offices (Rule 44bis.3(d)):

   - the following elected Offices (Rule 72.2bis):

---

The International Bureau of WIPO  
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1211 Geneva 20, Switzerland  
Authorized officer

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Telephone No. +41 22 338 XX XX

Form PCT/IB/374 (DRAFT FOR CONSULTATION – January 2004)
## I. INTERNATIONAL APPLICATION

<p>| | |</p>
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<tr>
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<td>Title of the invention:</td>
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<td>I-5</td>
<td>International Patent Classification:</td>
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<tr>
<td>I-6</td>
<td>Language of filing:</td>
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<tr>
<td>I-7</td>
<td>The State for which the Office acts as a designated Office has been designated in the international application:</td>
</tr>
<tr>
<td>I-7-1</td>
<td>Indication of the State(s) designated in the international application in respect of which the Office acts as a designated Office (only where the designated Office is a regional Office):</td>
</tr>
<tr>
<td>I-7-2</td>
<td>The international application has been considered withdrawn in a declaration made by the receiving Office on (date):</td>
</tr>
<tr>
<td>I-7-3</td>
<td>The international application or the designation of the State for which the Office acts as a designated Office has been withdrawn by the applicant (date on which withdrawal became effective):</td>
</tr>
<tr>
<td>I-7-4</td>
<td>Kind of protection or treatment:</td>
</tr>
<tr>
<td>I-7-4-1</td>
<td>Identification of parent application or parent grant:</td>
</tr>
<tr>
<td>I-8</td>
<td>Date of receipt of record copy by the International Bureau:</td>
</tr>
<tr>
<td>I-9</td>
<td>Applicant(s) and/or inventor(s) for the State(s) for which the Office acts as a designated Office</td>
</tr>
<tr>
<td>I-9-1</td>
<td>Applicant and/or inventor</td>
</tr>
<tr>
<td>I-9-1-1</td>
<td>Data currently on record</td>
</tr>
<tr>
<td>I-9-1-1-1</td>
<td>Applicant's and/or inventor's name:</td>
</tr>
<tr>
<td>I-9-1-1-2</td>
<td>Address:</td>
</tr>
<tr>
<td>I-9-1-1-3</td>
<td>State of nationality:</td>
</tr>
<tr>
<td>I-9-1-1-4</td>
<td>State of residence:</td>
</tr>
<tr>
<td>I-9-1-1-5</td>
<td>This person is:</td>
</tr>
<tr>
<td>I-9-1-2</td>
<td>Data previously on record (in case of a change recorded by the International Bureau under Rule 92bis):</td>
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<tr>
<td>I-9-1-2-1</td>
<td>Date of receipt of Rule 92bis request:</td>
</tr>
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<td>I-9-1-2-1-1</td>
<td>Address:</td>
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<td>I-9-1-2-1-2</td>
<td>State of nationality:</td>
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<tr>
<td>I-9-1-2-1-3</td>
<td>State of residence:</td>
</tr>
<tr>
<td>I-9-1-2-1-4</td>
<td>This person is:</td>
</tr>
<tr>
<td>I-9-1-3</td>
<td>Indication of the State(s) designated in the international application for the purposes of which the person is an applicant and/or inventor (only where the designated Office is a regional Office):</td>
</tr>
</tbody>
</table>
Annex II to Circular C.PCT 934
page 25

<table>
<thead>
<tr>
<th>II</th>
<th>PRIORITY CLAIM(S)</th>
</tr>
</thead>
<tbody>
<tr>
<td>II-1</td>
<td>Number of earlier application:</td>
</tr>
<tr>
<td>II-1-1</td>
<td>Filing date of earlier application:</td>
</tr>
<tr>
<td>II-1-2</td>
<td>Country in which, or regional Office or receiving Office with which earlier application was filed (where the earlier application is an ARIPO application, indication of the country party to the Paris Convention or Member of the World Trade Organization for which that earlier application was filed):</td>
</tr>
<tr>
<td>II-1-3</td>
<td>Priority document received at the International Bureau:</td>
</tr>
<tr>
<td>II-1-4</td>
<td>Priority document submitted or transmitted in compliance with Rule 17.1(a) or (b) (only where priority document was received at the International Bureau):</td>
</tr>
<tr>
<td>II-1-5</td>
<td>Applicant has requested the receiving Office to prepare and transmit the priority document in compliance with Rule 17.1(b) (only where no priority document was received at the International Bureau):</td>
</tr>
<tr>
<td>II-1-6</td>
<td>Priority claim withdrawn by a notice from the applicant (date on which withdrawal became effective; if the notice of withdrawal was received by the International Bureau after completion of technical preparations for international publication, such withdrawal will not have been reflected in the pamphlet) (Rule 50bis.3):</td>
</tr>
<tr>
<td>II-1-7</td>
<td>Declaration by the receiving Office or the International Bureau that priority claim is considered, for the purposes of the procedure under the Treaty, not to have been made (date of declaration) (Rule 26bis.2(b)):</td>
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</table>

<table>
<thead>
<tr>
<th>III</th>
<th>INTERNATIONAL SEARCH REPORT</th>
</tr>
</thead>
<tbody>
<tr>
<td>III-1</td>
<td>International Searching Authority carrying out the international search:</td>
</tr>
<tr>
<td>III-2</td>
<td>International search report or declaration under Article 17(2)(a) received by the International Bureau:</td>
</tr>
<tr>
<td>III-3</td>
<td>Corrected version(s) of the international search report (if any) received by the International Bureau:</td>
</tr>
</tbody>
</table>
### IV REFERENCE TO DEPOSITED BIOLOGICAL MATERIAL

| IV-1 | Indications relating to deposited biological material were received by the International Bureau (if received after completion of technical preparations for international publication, such reference/indications may not be reflected in the pamphlet) (Rule 13bis.4(d)(ii)): |

### V INTERNATIONAL PUBLICATION

| V-1 | International publication number: |
| V-2 | International publication date: |
| V-3 | Language of publication: |
| V-4 | Number of figure of drawing published together with the abstract: |
| V-5 | Reproduction(s) (republication date(s) and reason(s)): |

### VI INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (CHAPTER I)

| VI-1 | International preliminary report on patentability (Chapter I) issued by the International Bureau on: |
| VI-2 | Corrected version(s) of the international preliminary report on patentability (Chapter I) (if any) issued by the International Bureau on: |

### VII INTERNATIONAL PRELIMINARY EXAMINATION

| VII-1 | A demand electing the State(s) for which the Office acts as an elected Office has been received by the International Preliminary Examining Authority (where the elected Office is a regional Office, indication of the State(s) elected in respect of which the Office acts as an elected Office): |
| VII-2 | The election was made before/after the expiration of 19 months from the priority date: |
| VII-3 | The election or the demand containing the election of the State(s) for which the Office acts as an elected Office has been considered not to have been made or submitted in a declaration made by the competent International Preliminary Examining Authority on (date): |
| VII-4 | The election or the demand containing the election of the State(s) for which the Office acts as an elected Office has been withdrawn by the applicant (date on which withdrawal became effective being the date of receipt of the notice of withdrawal by the International Bureau) (Rule 90bis.4): |
| VII-5 | International Preliminary Examining Authority carrying out international preliminary examination: |
| VII-6 | International preliminary report on patentability (Chapter II) received by the International Bureau: |
| VII-7 | Corrected version(s) of the international preliminary report on patentability (Chapter II) (if any) received by the International Bureau: |

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[End of Annex II]