

## MM5 (E) – REQUEST FOR THE RECORDING OF A CHANGE IN OWNERSHIP

### For use by the holder (transferor)/new holder (transferee):

Number of continuation sheets  
for several new holders  
(transferees):

Number of continuation sheets:

Holder/New holder's reference:

### For use by the Office:

Office's reference:

### 1. INTERNATIONAL REGISTRATION NUMBER(S)

Several international registrations may be indicated below, provided that all registrations concerned are the subject of a **total** change in ownership, as provided for in item 6(a)<sup>1</sup>.

### 2. NAME OF THE HOLDER (TRANSFEROR)<sup>2</sup>

As **recorded** in the International Register.

<sup>1</sup> If this request relates to a **partial** change in ownership, as provided for in item 6(b), this form may only be used to request the recording of a change in ownership for a **single** international registration.

<sup>2</sup> Where the international registration is **jointly owned** indicate the names of each joint holder as recorded in the international registration here.

### 3. NEW HOLDER (TRANSFeree)<sup>3</sup>

If there is **more than one new holder (transferee)**, indicate the number of new holders (transferees) and complete the “Continuation Sheet for Several New Holders”.

**Number of new holders (transferees):**

(a) **Name:**

(b) **Address:**

(c) **E-mail address<sup>4</sup>:**

(d) **Telephone number<sup>5</sup>:**

<sup>3</sup> If there is more than one new holder (transferee), indicate the details for the first new holder (transferee) only and provide the name(s), address(es) and email address(es) of the additional joint new holder(s) in the “Continuation Sheet for Several New Holders (Transferees)” attached to this form.

<sup>4</sup> You **must** indicate the e-mail address of the new holder (transferee). If a representative is appointed, the e-mail address of the new holder (transferee) and of the representative must be different. If you do not indicate the e-mail address of the new holder (transferee), or it is the same as the e-mail address of the representative, you will receive an irregularity notice and delay the recording of the change in ownership. The new holder (transferee) must ensure that the e-mail address indicated here is correct and kept up to date.

Where there is more than one holder (transferee), each holder (transferee) must provide their own e-mail address, which must be different from each other and their representative, if any.

WIPO will send all communications concerning the international registration(s) in item 1 to the e-mail address of the new holder (transferee), **unless** an alternative e-mail address for correspondence is indicated in item 3(g)(ii) or a representative is appointed in item 5.

Where a representative is appointed, WIPO will only send communications to the e-mail address of the representative, except for a few communications where the Regulations require that WIPO send a copy to the holder (see the Note for Filing Form MM5).

Where there are several new holders (joint transferees) and no alternative e-mail address for correspondence is indicated, and no representative is appointed, WIPO will send communications **only** to the e-mail address indicated for the first new holder (transferee) in the form.

<sup>5</sup> Indicating a phone number is not required, but it will allow WIPO to reach you if needed.

**(e) Nationality or legal nature and State of organization<sup>6</sup>:**

- (i)  If the new holder (transferee) is a **natural person**, indicate the nationality of the new holder (transferee):

|   |  |
|---|--|
| Nationality of the new holder (transferee): |  |
|---|--|

- (ii)  If the new holder (transferee) is a **legal entity**, provide **both** the following indications:

|   |  |
|---|--|
| Legal nature of the legal entity:   |  |
| State (country) and, where applicable, territorial unit within that State (canton, province, state, etc.), under the law of which the said legal entity has been organized: |  |

**Correspondence details (optional):**

- (f) **Preferred language for correspondence<sup>7</sup>:**  English  French  Spanish

**(g) Alternative address and e-mail address for correspondence<sup>8</sup>:**

- (i) Postal address:

|  |
|--|
|  |
|--|

- (ii) E-mail address:

|  |
|--|
|  |
|--|

<sup>6</sup> Certain designated Contracting Parties may require these indications; only provide indications in either item (i) **or** item (ii) but **not** in both items.

<sup>7</sup> The new holder (transferee) may choose a preferred language for correspondence.

<sup>8</sup> Use this **only** if you want WIPO to send all communications concerning the international registration(s) in item 1 to an address and e-mail address different from those indicated in item 2 (b) and (c).

#### 4. ENTITLEMENT OF THE NEW HOLDER (TRANSFEEE) TO BE THE RECORDED HOLDER OF THE INTERNATIONAL REGISTRATION(S)<sup>9</sup>

**(a) Indicate in the appropriate spaces:**

- (i) the name of the Contracting State of which the new holder (transferee) is a national; and/or,

- (ii) the name of the State member of a Contracting Organization of which the new holder (transferee) is a national; and/or,

- (iii) the name of the Contracting Party in the territory of which the new holder (transferee) is domiciled; and/or,

- (iv) the name of the Contracting Party in the territory of which the new holder (transferee) has a real and effective industrial or commercial establishment:

**(b) Where the new holder (transferee) is not a national of a Contracting State or of a State member of a Contracting Organization and the address given in item 3(b) is not in the territory of any of the Contracting Parties mentioned in paragraph (a)(iii) or (iv) of the present item, indicate in the space provided below:**

- (i) the address of the new holder (transferee) in the territory of the Contracting Party mentioned in paragraph (a)(iii) of the present item; or,

- (ii) the address of the new holder's (transferee) industrial or commercial establishment in the territory of the Contracting Party mentioned in paragraph (a)(iv) of the present item.

<sup>9</sup> If there is more than one new holder (transferee), indicate the entitlement details for the first new holder (transferee) only and refer to further instructions provided in the "Continuation Sheet for Several New Holders (Transferees)", attached to this form.

**5. APPOINTMENT OF A REPRESENTATIVE BY THE NEW HOLDER (TRANSFEEE)<sup>10</sup>**(a) **Name:** (b) **Address:**(c) **E-mail address<sup>11</sup>:** (d) **Telephone number<sup>12</sup>:** SIGNATURE OF THE NEW HOLDER (TRANSFEEE) APPOINTING THE ABOVE REPRESENTATIVE<sup>13</sup>The new holder (transferee) **must sign** this item in order for the appointment to be recorded.Signature: 

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<sup>10</sup> You **must** indicate the name, address and e-mail address of the representative (and sign this item) otherwise, WIPO cannot record the appointment.

<sup>11</sup> When a representative is appointed, WIPO will send all communications concerning the international registration(s) in item 1 **only** to the e-mail address of the representative, except for a few communications where the Regulations require that WIPO send a copy to the holder (see the Note for Filing Form MM5). The new holder (transferee) and the representative must ensure that the e-mail address indicated here is accurate and kept up to date.

<sup>12</sup> Indicating a phone number is not required, but it will allow WIPO to reach your representative if needed.

<sup>13</sup> If the signature of the new holder (transferee) is missing, WIPO will process the request but the representative will not be recorded (even if the same representative is recorded in the international registration for the current holder (transferor)).

**6. SCOPE OF THE CHANGE IN OWNERSHIP<sup>14</sup>**

Check either (a) or (b).

(a)  **Total change in ownership** (the change in ownership is to be recorded for **all** the Contracting Parties designated in the international registration(s) indicated in item 1, and for **all** the goods and services covered by such international registration(s)).

(b)  **Partial change in ownership** (If this request relates to a **partial** change in ownership, this form may only be used to request the recording of a change in ownership for a **single** international registration).

(i) the change in ownership is to be recorded for the designated Contracting Parties indicated below (if no Contracting Party is indicated, it will be understood that the change in ownership is to be recorded in respect of all the designated Contracting Parties); and/or,

|                                 |  |
|---------------------------------|--|
| Designated Contracting Parties: |  |
|---------------------------------|--|

(ii) the change in ownership is to be recorded for the goods and services indicated below (grouped in the appropriate classes); if no goods and services are indicated, it will be understood that the change in ownership is to be recorded in respect of all goods and services<sup>15</sup>.

If the space provided is not sufficient, check the box and use a **continuation sheet**.

<sup>14</sup> Where the request concerns **Brazil**, as designated Contracting Party, the new holder (transferee) declares that the transferee, or a company controlled by the new holder (transferee), effectively and lawfully conducts business in connection with the goods and services for which the international registration has effect in Brazil.

<sup>15</sup> You can use the Madrid Goods and Services Manager (MGS) to find indications accepted by WIPO. In MGS, you can also find acceptance information for selected Contracting Parties. MGS is available at [www.wipo.int/mgs](http://www.wipo.int/mgs). Use font "Courier New" or "Times New Roman", size 12 pt., or larger.

Use semicolon (;) to separate indications or goods or services listed in a given class. For example:

09 Screens for photoengraving; computers.

35 Advertising; compilation of statistics; commercial information agencies.

**7. SIGNATURE OF THE HOLDER (TRANSFEROR) AND/OR THEIR REPRESENTATIVE****Holder (transferor) (as recorded in the International Register):***By signing this form, I declare that I am entitled to sign it under the applicable law.*

Name:

Signature:

**Representative of the holder (transferor) (as recorded in the International Register):***By signing this form, I declare that I am entitled to sign it under the applicable law.*

Name:

Signature:

**8. OFFICE OF THE CONTRACTING PARTY OF THE RECORDED HOLDER (TRANSFEROR) OR THAT OF THE NEW HOLDER (TRANSFeree) PRESENTING THE REQUEST**

Where the request is presented through an Office.

**(a) Name of the Office:****(b) Name and signature of the official signing on behalf of the Office:***By signing this form, I declare that I am entitled to sign it under the applicable law.***(c) Name and e-mail address of the contact person in the Office:**

**FEE CALCULATION SHEET****(a) INSTRUCTIONS TO DEBIT FROM A CURRENT ACCOUNT**

- The International Bureau is hereby instructed to debit the required amount of fees from a current account opened with the International Bureau (if this box is checked, it is not necessary to complete (b)).

|  |  |
|--|--|
| Holder of the account:                         |  |
| Account number:                                |  |
| Identity of the party giving the instructions: |  |

**(b) AMOUNT OF FEES**

|                           |   |  |  |                                       |   |  |
|---------------------------|---|--|--|---------------------------------------|---|--|
| Amount (177 Swiss francs) | x |  | (per international registration mentioned in item 1) | <b>GRAND TOTAL<br/>(Swiss francs)</b> | = |  |
|---------------------------|---|--|--|---------------------------------------|---|--|

**(c) METHOD OF PAYMENT**

|  |  |
|--|--|
| Identity of the party effecting the payment: |  |
|--|--|

|  |                          |                        |            |
|--|--------------------------|------------------------|------------|
| Payment received and acknowledged by WIPO  | <input type="checkbox"/> | WIPO receipt number    |            |
| Payment made to WIPO bank account<br>IBAN No. CH51 0483 5048 7080 8100 0<br>Crédit Suisse, CH-1211 Geneva 70<br>Swift/BIC: CRESCHZZ80A | <input type="checkbox"/> | Payment identification | dd/mm/yyyy |
| Payment made to WIPO postal account<br>(within Europe only)<br>IBAN No. CH03 0900 0000 1200 5000 8<br>Swift/BIC: POFICHBE              | <input type="checkbox"/> | Payment identification | dd/mm/yyyy |



**CONTINUATION SHEET FOR SEVERAL NEW HOLDERS  
(TRANSFEREES)**

No.  of

Please, complete a separate continuation sheet for **each new holder (transferee)**.

**NEW HOLDER (TRANSFEREE) No.**  **of**

(a) **Name:**

(b) **Address:**

(c) **E-mail address<sup>16</sup>:**

(d) **Telephone number<sup>17</sup>:**

(e) **Nationality or legal nature and State of organization<sup>18</sup>:**

- (i)  If the new holder (transferee) is a **natural person**, indicate the nationality of the new holder (transferee):

|   |                      |
|---|----------------------|
| Nationality of the new holder (transferee): | <input type="text"/> |
|---|----------------------|

- (ii)  If the new holder (transferee) is a **legal entity**, provide **both** the following indications:

|   |                      |
|---|----------------------|
| Legal nature of the legal entity:   | <input type="text"/> |
| State (country) and, where applicable, territorial unit within that State (canton, province, state, etc.), under the law of which the said legal entity has been organized: | <input type="text"/> |

<sup>16</sup> You must indicate the e-mail address of each new holder (transferee). Where there is more than one new holder (transferee), each new holder (transferee) must provide their own e-mail address, which must be different from each other and their representative, if any.

WIPO will send all communications concerning the international registration(s) in item 1 **only** to the e-mail address of the new holder (transferee) named first in item 3 of the attached form, unless an alternative e-mail address for correspondence is indicated in item 3(g)(ii), or a representative is appointed in item 5. The new holders (transferees) must ensure that the e-mail address indicated here is correct and kept up to date.

<sup>17</sup> Indicating a phone number is not required, but it will allow WIPO to reach you if needed.

<sup>18</sup> Certain designated Contracting Parties may require these indications; only provide indications in either item (i) or item (ii) but **not** in both items.

**ENTITLEMENT OF THE NEW HOLDER (TRANSFEEE) TO BE THE RECORDED HOLDER OF THE INTERNATIONAL REGISTRATIONS(S)**

**(a) Indicate in the appropriate spaces:**

- (i) the name of the Contracting State of which the new holder (transferee) is a national; and/or,

- (ii) the name of the State member of a Contracting Organization of which the new holder (transferee) is a national; and/or,

; or

- (iii) the name of the Contracting Party in the territory of which the new holder (transferee) is domiciled; and/or,

- (iv) the name of the Contracting Party in the territory of which the new holder (transferee) has a real and effective industrial or commercial establishment:

**(b) Where the new holder (transferee) is not a national of a Contracting State or of a State member of a Contracting Organization and the address given in item 3(b) is not in the territory of any of the Contracting Parties mentioned in paragraph (a)(iii) or (iv) of the present item, indicate in the space provided below:**

- (i) the address of the new holder (transferee) in the territory of the Contracting Party mentioned in paragraph (a)(iii) of the present item; or,

- (ii) the address of the new holder's (transferee) industrial or commercial establishment in the territory of the Contracting Party mentioned in paragraph (a)(iv) of the present item.

**CONTINUATION SHEET**

No.  of

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