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SCIT/P 5/99

ORIGINAL: English

DATE: November 22, 1999

WORLD INTELLECTUAL PROPERTY ORGANIZATION
ORGANISATION MONDIALE DE LA PROPRIÉTÉ INTELLECTUELLE
GENEVA / GENÈVE

STANDING COMMITTEE ON INFORMATION TECHNOLOGIES

COMITÉ PERMANENT DES TECHNIQUES DE L'INFORMATION

SCIT PROGRAM PROJECT FILE
DOSSIER DE PROJET DE PROGRAMME SCIT

SUBJECT / SUJET		UNIQUE IDENTIFICATION OF A PATENT DOCUMENT/ IDENTIFICATION UNIVOQUE D'UN DOCUMENT DE BREVET		PROPOSED BY / SDWG PROPOSÉ PAR	
ANNEX / ANNEXE	CONTENT / CONTENU		SEE / VOIR P 5/99	ORIGIN / ORIGINE	DATE
1	Circular SCIT 2460 and Questionnaire	Circulaire SCIT 2460 et questionnaire		WO	19.08.99
2	Comments	Commentaires		VA	06.09.99
3	Comments	Commentaires		BE	08.09.99
4	Comments	Commentaires		UA	24.09.99
5	Comments	Commentaires		CZ	27.09.99
6	Comments	Commentaires		KG	01.10.99
7	Comments	Commentaires		AT	21.10.99
8	Comments	Commentaires		GE	26.10.99
9	Comments	Commentaires		LT	26.10.99
10	Comments	Commentaires		ES	27.10.99
11	Comments	Commentaires		PL	27.10.99
12	Comments	Commentaires		US	27.10.99
13	Comments	Commentaires		CN	29.10.99
14	Comments	Commentaires		DE	29.10.99
15	Comments	Commentaires		KZ	29.10.99
16	Comments	Commentaires		RU	29.10.99

NEXT ACTION: Consideration by SCIT/WG/2 (SDWG) **BY:** December 1999

REF. SCIT/2/8, paragraph 14;
Task No. 39

PROCHAINE ACTION : Examen par le SCIT/WG/2
(SDWG)

POUR : décembre 1999

ANNEX / ANNEXE	CONTENT / CONTENU		SEE / VOIR P 5/99	ORIGIN / ORIGINE	DATE
17	Comments	Commentaires		SK	29.10.99
18	Comments	Commentaires		HU	01.11.99
19	Comments	Commentaires		MK	01.11.99
20	Comments	Commentaires		MD	02.11.99
21	Comments	Commentaires		BG	03.11.99
22	Comments	Commentaires		AM	05.11.99
23	Summary of replies and conclusions	Résumé des réponses et conclusions		WO	22.11.99

WORLD INTELLECTUAL PROPERTY
ORGANIZATION

世界知识产权组织

ORGANIZACION MUNDIAL
DE LA PROPIEDAD INTELECTUAL



ORGANISATION MONDIALE
DE LA PROPRIÉTÉ INTELLECTUELLE

المنظمة العالمية للملكية الفكرية

ВСЕМИРНАЯ ОРГАНИЗАЦИЯ
ИНТЕЛЛЕКТУАЛЬНОЙ СОБСТВЕННОСТИ

C. SCIT 2460
03

August 19, 1999

Re: Unique identification of a patent document (Task No. 39)

Madam,
Sir,

At its first session, the SCIT Standards and Documentation Working Group (SDWG) discussed a suggestion made by the United States Patent and Trademark Office (USPTO) in connection with Task No. 7(b) (Inclusion of supplementary correction codes in WIPO Standard ST.14). The USPTO proposed to review certain WIPO Standards in view of the need for a unique identification of a patent document when applying supplementary correction codes. The SDWG agreed to recommend to the SCIT Plenary the creation of a new task regarding this proposal. (See document SCIT/WG/1/12, paragraph 28.)

In accordance with the above-mentioned recommendation by the SDWG, the SCIT Plenary, at its second session, agreed to create Task No. 39 with the following wording:

“Investigate, in the light of the revised Standard ST.14, whether any other WIPO Standard, such as ST.6, ST.10/B, ST.11, ST.12, ST.16, ST.19, ST.30, ST.32, ST.35 and ST.40, requires revision in order to clarify how a patent document should be uniquely identified”

and to assign it to the SDWG. (See paragraph 14 of document SCIT/2/8.)

/...

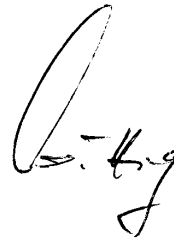
C. SCIT 2460
03

2.

As a follow-up to this decision, the International Bureau has prepared a Questionnaire to gather information on the views of industrial property offices concerning the need for revising WIPO Standards to clarify how a patent document, particularly when it has been corrected, should be uniquely identified.
./ The said Questionnaire is given as an Annex to this Circular.

The International Bureau would appreciate your kind cooperation in providing, before October 30, 1999, the information requested in the said Questionnaire. Your reply should be sent preferably by e-mail to our address "scit.mail@wipo.int"; please indicate the number of this Circular in your reply.

Sincerely yours,



K.-P. Wittig
Deputy Director
Inter-Office Information Services Department

Annex to Circular C. SCIT 2460
03

QUESTIONNAIRE RELATED TO TASK No. 39*

The purpose of this Questionnaire is to gather information on the views of industrial property offices as to whether and how certain WIPO Standards should be revised in order to determine the unique identification of any patent document.

Reporting Office
or Organization:

Name of person to be contacted (in case of need):
E-mail address:
Fax:
Telephone:

1. Does your Office see a need for revision of certain WIPO Standards in connection with the application of supplementary correction codes?

Yes

No

2. (a) Which WIPO Standards, among those listed in Task No. 39, are considered by your Office as needing revision in order to clarify how a patent document should be uniquely identified?

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(b) Should any other WIPO Standard be added to those listed in your answer to question 2(a)? If so, please list them.

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Annex to Circular C. SCIT 2460, page 2
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3. For each of the WIPO Standards given in your answers to questions 2(a) and 2(b), please list the specific part(s) which may require revision and/or further provisions with respect to this matter.

.....
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4. If your Office has any proposals concerning revision of, and/or further provisions to be inserted in, any of the items listed in your answer to question 3, please indicate.

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.....

[End of Questionnaire and of Circular]

* Task No. 39 reads as follows:

“Investigate, in the light of the revised Standard ST.14, whether any other WIPO Standard, such as ST.6, ST.10/B, ST.11, ST.12, ST.16, ST.19, ST.30, ST.32, ST.35 and ST.40, requires revision in order to clarify how a patent document should be uniquely identified”

[Annex 2 follows]

SCIT/P 5/99

ANNEX 2/ANNEXE 2



GOVERNATORATO
—
SEGRETARIA GENERALE

00120 CITTÀ DEL VATICANO, 6 settembre 1999

Signor Direttore Aggiunto,

In relazione alla circolare del 19 agosto, n. C. SCIT 2460/03, Le rendo noto che l'accluso questionario non riguarda lo Stato della Città del Vaticano, che non ha finora rilasciato documenti in materia di proprietà industriale.

Con distinti saluti,

+ *R. Dauri*

K.P. WITTIG
Directeur Adjoint du Département des services
d'informations interoffices de l'OMPI
34, chemin des Colombettes
1211 Genève 20 (SUISSE)

[Annex 3 follows/
L'annexe 3 suit]

SCIT/P 5/99

ANNEX 3/ANNEXE 3

From: Dienst voor industridle eigendom/Office de la Propr  t  Industrielle
<pie_dir@pophost.eunet.be>
To: <scit.mail@wipo.int>
Date: Wed, Sep 8, 1999 2:05 PM
Subject: identification univoque d'un documentde brevet (t che 39)

Monsieur K.-P. WITTIG,

En r ponse   votre lettre du 19 ao t 1999 de r f rences C.SCIT 2460/03, nous vous informons que nous n'avons pas de commentaire   formuler pour ce qui concerne l'identification univoque d'un document de brevet (t che 39 du SCIT).

Veillez agr er, Monsieur Wittig, l'expression de ma consid ration distingu e.

Claude BOLLAND
Ing nieur   l'Office de la Propri t  Industrielle belge.
T l:+32 2 206 48 33

[Annex 4 follows/
L'annexe 4 suit]

ANNEX 4/ANNEXE 4

QUESTIONNAIRE RELATED TO TASK No. 39*

The purpose of this Questionnaire is to gather information on the views of industrial property offices as to whether and how certain WIPO Standards should be revised in order to determine the unique identification of any patent document.

Reporting Office State Patent Office
or Organization: of Ukraine.....

Name of person to be contacted (in case of need): Mr. Novikov, Deputy Chairman

E-mail address:

Fax: (380-44) 212-34-49

Telephone: (380 44) 212-50-80.....

1. Does your Office see a need for revision of certain WIPO Standards in connection with the application of supplementary correction codes?

Yes

No

2. (a) Which WIPO Standards, among those listed in Task No. 39, are considered by your Office as needing revision in order to clarify how a patent document should be uniquely identified?

ST.6, ST.10/B, ST.11, ST.12/C, ST.16, ST.19, ST.32, ST.40

(b) Should any other WIPO Standard be added to those listed in your answer to question 2(a)? If so, please list them.

3. For each of the WIPO Standards given in your answers to questions 2(a) and 2(b), please list the specific part(s) which may require revision and/or further provisions with respect to this matter.

ST.6 p.14; ST.10/B p.5; ST.11 p.6; ST.12/C p.7; ST.16 p.10; ST.19 p.15; ST.32 (Table of SGML tags for bibliographic data); ST.40 p. 25

4. If your Office has any proposals concerning revision of, and/or further provisions to be inserted in, any of the items listed in your answer to question 3, please indicate.

.....
.....
.....

[End of Questionnaire and of Circular]

* Task No. 39 reads as follows:

“Investigate, in the light of the revised Standard ST.14, whether any other WIPO Standard, such as ST.6, ST.10/B, ST.11, ST.12, ST.16, ST.19, ST.30, ST.32, ST.35 and ST.40, requires revision in order to clarify how a patent document should be uniquely identified”

[Annex 5 follows
L’annexe 5 suit]

Annex to Circular C. SCIT 2460

03

QUESTIONNAIRE RELATED TO TASK No. 39*

The purpose of this Questionnaire is to gather information on the views of industrial property offices as to whether and how certain WIPO Standards should be revised in order to determine the unique identification of any patent document.

Reporting Office Industrial Property Office of the Czech Republic.....
or Organization:

Name of person to be contacted (in case of need): Mr. Miroslav Paclík.....
E-mail address: mpaclik@upv.cz.....
Fax: + 420-2-24324718.....
Telephone:

1. Does your Office see a need for revision of certain WIPO Standards in connection with the application of supplementary correction codes?

Yes

No

2. (a) Which WIPO Standards, among those listed in Task No. 39, are considered by your Office as needing revision in order to clarify how a patent document should be uniquely identified?

No comments

(b) Should any other WIPO Standard be added to those listed in your answer to question 2(a)? If so, please list them.

No comments

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Annex 5/Annexe 5

Annex to Circular C. SCIT 2460, page 2
03

3. For each of the WIPO Standards given in your answers to questions 2(a) and 2(b), please list the specific part(s) which may require revision and/or further provisions with respect to this matter.

No comments

4. If your Office has any proposals concerning revision of, and/or further provisions to be inserted in, any of the items listed in your answer to question 3, please indicate.

No comments

[End of Questionnaire and of Circular]

* Task No. 39 reads as follows:

“Investigate, in the light of the revised Standard ST.14, whether any other WIPO Standard, such as ST.6, ST.10/B, ST.11, ST.12, ST.16, ST.19, ST.30, ST.32, ST.35 and ST.40, requires revision in order to clarify how a patent document should be uniquely identified”

[Annex 6 follows/
L’annexe 6 suit]

Annex to Circular C. SCIT 2460

03

QUESTIONNAIRE RELATED TO TASK No. 39*

The purpose of this Questionnaire is to gather information on the views of industrial property offices as to whether and how certain WIPO Standards should be revised in order to determine the unique identification of any patent document.

Reporting Office State Agency of Intellectual Property under the Government of the Kyrgyz or Organization: Republic (Krygyzpatent).....

Name of person to be contacted (in case of need): Isabaeva Zina

E-mail address: oms@elcat.kg; oms@imfiko.bishkek.su.....

Fax: 996 (3312) 510813

Telephone: 996 (3312) 510814; 510810... ..

1. Does your Office see a need for revision of certain WIPO Standards in connection with the application of supplementary correction codes?

Yes

No

2. (a) Which WIPO Standards, among those listed in Task No. 39, are considered by your Office as needing revision in order to clarify how a patent document should be uniquely identified?

WIPO Standard ST.14.....

.....

(b) Should any other WIPO Standard be added to those listed in your answer to question 2(a)? If so, please list them.

No

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03

3. For each of the WIPO Standards given in your answers to questions 2(a) and 2(b), please list the specific part(s) which may require revision and/or further provisions with respect to this matter.

We agree with proposition paragraphs 6 and 13 of ST.14

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4. If your Office has any proposals concerning revision of, and/or further provisions to be inserted in, any of the items listed in your answer to question 3, please indicate.

No

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[End of Questionnaire and of Circular]

* Task No. 39 reads as follows:

“Investigate, in the light of the revised Standard ST.14, whether any other WIPO Standard, such as ST.6, ST.10/B, ST.11, ST.12, ST.16, ST.19, ST.30, ST.32, ST.35 and ST.40, requires revision in order to clarify how a patent document should be uniquely identified”

[Annex 7 follows/
L’annexe 7 suit]

QUESTIONNAIRE RELATED TO TASK No. 39*

The purpose of this Questionnaire is to gather information on the views of industrial property offices as to whether and how certain WIPO Standards should be revised in order to determine the unique identification of any patent document.

Reporting Office AUSTRIAN PATENT OFFICE
or Organization:

Name of person to be contacted (in case of need): Mrs. Elvira Gronau.....
E-mail address: elvira.gronau@patent.bmwa.gv.at
Fax: +43 1 53424 520
Telephone: +43 1 53424 348.....

1. Does your Office see a need for revision of certain WIPO Standards in connection with the application of supplementary correction codes?

Yes

No

2. (a) Which WIPO Standards, amongst those listed in Task No. 39, are Considered by your Office as needing revision in order to clarify how a patent document should be uniquely identified?

all

(b) Should any other WIPO Standard be added to those listed in your answer to question 2(a)? If so, please list them.

ST.22, ST.25, ST.33.....
.....

3. For each of the WIPO Standards given in your answers to questions 2(a) and 2(b), please list the specific part(s) which may require revision and/or further provisions with respect to this matter.

ST.6 (§3, 9-13, REFERENCE TO ST.50), ST.10, §3, ST.10/B (§9, 11), ST.11 (§4-6), ST.12/C (§7), ST.16 (§8-11), ST.19 (§6, 8, 15-19), ST.22 (§8), ST.25 (§38 REFERENCE TO ST.50), ST.30 (§4, ANNEX), ST.32 (§20, PART 1 (TABLE OF ATTRIBUTES, SGML TAGS:DESCRIPTION AND ATTRIBUTES), PART 2:PATENT BILIOGRAPHIC DATA, ANNEX B), ST.33 (APPENDICES), ST.35 (APPENDIX 2), ST.40 (§4, 19-22, 25, ANNEX H)

4. If your Office has any proposals concerning revision of, and/or further provisions to be inserted in, any of the items listed in your answer to question 3, please indicate.

Priority of revisions of certain standards should be considered, e.g. low priority for ST.22

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Task No. 39 reads as follows:

“Investigate, in the light of the revised Standard ST.14, whether any other WIPO Standard, such as ST.6, ST.10/B, ST.11, ST.12, ST.16, ST.19, ST.30, ST.32, ST.35 and ST.40, requires revision in order to clarify how a patent document should be uniquely identified”

[Annex 8 follows/
L’annexe 8 suit]

Annex to Circular C. SCIT 2460

03

QUESTIONNAIRE RELATED TO TASK No. 39*

The purpose of this Questionnaire is to gather information on the views of industrial property offices as to whether and how certain WIPO Standards should be revised in order to determine the unique identification of any patent document.

Reporting Office National Intellectual Property Center of Georgia.....
or Organization:

Name of person to be contacted (in case of need): Mrs. Tamara Charkviani.....

E-mail address: saqpatenti@global-erty.net.....

Fax: (00995 32) 98 84 26; 08 84 19.....

Telephone: (00995 32) 98 84 26.....

1. Does your Office see a need for revision of certain WIPO Standards in connection with the application of supplementary correction codes?

Yes

No

2. (a) Which WIPO Standards, among those listed in Task No. 39, are considered by your Office as needing revision in order to clarify how a patent document should be uniquely identified?

We have no special opinion on this problem, as we have no practice in using the suppl. correction code.....

(b) Should any other WIPO Standard be added to those listed in your answer to question 2(a)? If so, please list them.

No opinion

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Annex to Circular C. SCIT 2460, page 2
03

3. For each of the WIPO Standards given in your answers to questions 2(a) and 2(b), please list the specific part(s) which may require revision and/or further provisions with respect to this matter.

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4. If your Office has any proposals concerning revision of, and/or further provisions to be inserted in, any of the items listed in your answer to question 3, please indicate.

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[End of Questionnaire and of Circular]

* Task No. 39 reads as follows:

“Investigate, in the light of the revised Standard ST.14, whether any other WIPO Standard, such as ST.6, ST.10/B, ST.11, ST.12, ST.16, ST.19, ST.30, ST.32, ST.35 and ST.40, requires revision in order to clarify how a patent document should be uniquely identified”

[Annex 9 follows/
L’annexe 9 suit]

Annex to Circular C. SCIT 2460

03

QUESTIONNAIRE RELATED TO TASK No. 39*

The purpose of this Questionnaire is to gather information on the views of industrial property offices as to whether and how certain WIPO Standards should be revised in order to determine the unique identification of any patent document.

Reporting Office The State Patent Bureau of the Republic of Lithuania.....
or Organization:

Name of person to be contacted (in case of need):

E-mail address: saule.daukuviene@is.lt.....

Fax: (370 2) 263 469.....

Telephone: (370 2) 236 218.....

1. Does your Office see a need for revision of certain WIPO Standards in connection with the application of supplementary correction codes?

Yes +

No

2. (a) Which WIPO Standards, among those listed in Task No. 39, are considered by your Office as needing revision in order to clarify how a patent document should be uniquely identified?

ST.6, ST.10/B, ST.11, ST.12, ST.16, ST.19, ST.30, ST.32, ST.35, ST.40

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(b) Should any other WIPO Standard be added to those listed in your answer to question 2(a)? If so, please list them.

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Annex to Circular C. SCIT 2460, page 2
03

3. For each of the WIPO Standards given in your answers to questions 2(a) and 2(b), please list the specific part(s) which may require revision and/or further provisions with respect to this matter.

ST.:

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4. If your Office has any proposals concerning revision of, and/or further provisions to be inserted in, any of the items listed in your answer to question 3, please indicate.

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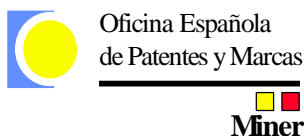
.....

[End of Questionnaire and of Circular]

* Task No. 39 reads as follows:

“Investigate, in the light of the revised Standard ST.14, whether any other WIPO Standard, such as ST.6, ST.10/B, ST.11, ST.12, ST.16, ST.19, ST.30, ST.32, ST.35 and ST.40, requires revision in order to clarify how a patent document should be uniquely identified”

[Annex 10 follows/
L’annexe 10 suit]



Ref: Circular C. SCIT 2460/03, fechada el 19 de agosto de 1999, sobre identificación exclusiva de un documento de patente (Tarea N° 39).

Estimado Sr. Wittig:

En contestación a su Circular de la referencia enviamos el "Cuestionario relativo a la Tarea n° 39", contenido en el anexo a dicha Circular, cumplimentado con los comentarios de nuestra Oficina.

Oficina: OFICINA ESPAÑOLA DE PATENTES Y MARCAS.

Nombre de la persona que deberá contactarse (en caso de necesidad): Ignacio Muñoz Ozores

Dirección de correo electrónico: ignacio.munoz@x400.oepm.es

Telefacsimile: 91 349 53 80

Teléfono: 91 349 55 69

1. ¿Considera su Oficina que es necesario revisar ciertas Normas de la OMPI en relación con la aplicación de códigos de corrección suplementaria?
SI.
2. a) ¿Cuales Normas de la OMPI, de las que se indican en la Tarea N° 39, considera su Oficina que es necesario revisar para aclarar la manera en que debería identificarse exclusivamente un documento de patente?
ST. 6, ST. 10/B, ST.11, ST.16, ST.19, ST.30, ST.32, ST.40.
No vemos necesario revisar la ST.12.
- b) ¿Debería añadirse alguna otra Norma de la OMPI a las que se indican en su respuesta a la pregunta 2.a)? De ser así, sirvase indicarlás.
ST.9, ST.10, ST.50.

3. Para cada una de las Normas de la OMPI indicadas en sus respuestas a las preguntas 2.a) y 2.b), sírvase señalar expresamente respecto de qué partes podría ser necesaria una revisión, y/o las disposiciones adicionales relativas a esta cuestión.

ST.6 - ph. 14

ST.9 - apéndice 1 INID (15) - añadir un asterisco

ST.10 - REFERENCIAS, ph.3 - añadir referencia a ST.50

ST.10/B - ph. 9

ST.11 - ph. 6

ST.16 - Hacer referencia en alguna parte del texto de la Norma a la utilización de códigos adicionales de corrección según establece la Norma OMPI ST.50

ST.30 - REFERENCIAS - Incluir referencia a la ST.50

ST.32 - ph.90 - Incluir el dato bibliográfico INID (15)

ST.40 - modificar párrafos 19, 20 y 25 e incluir referencia a la ST.50 en el ph.4

ST.50 - ph.5 - Incluir texto que haga referencia a la utilización de los códigos adicionales de corrección, similar al que sigue:

“Los códigos adicionales de corrección deberán utilizarse para la identificación de documentos de patentes citados en “informes de búsqueda” y “listas de referencias” en documentos de patentes, código INID (56), y para la identificación de las páginas en los documentos de patentes publicados”.

4. Sírvase indicar toda propuesta que su Oficina quisiera formular en lo relativo a la revisión de cualquiera de los elementos indicados en su respuesta a la pregunta 3, y/o a las disposiciones adicionales a incluir en esos elementos.

Proponemos la inclusión de las Normas ST.9, ST.10 y ST.50 dentro de la lista de Normas a revisar para aclarar la manera en que debería identificarse exclusivamente un documento de patente. Los motivos de dicha inclusión están apuntados en la contestación del párrafo anterior.

[Fin del Cuestionario y de la Circular]

* El texto de la Tarea N°39 es el siguiente:

“Investigar, habida cuenta de la Norma ST.14 revisada, si alguna otra norma de la OMPI, como la ST.6, ST.10/B, ST.11, ST.12, ST.16, ST.19, ST.32, ST.35 y ST.40, necesitan ser revisadas a fin de aclarar la manera en que debería identificarse exclusivamente un documento de patente”.

[Annex 11 follows/
L'annexe 11 suit]

Annex to Circular C. SCIT 2460

03

QUESTIONNAIRE RELATED TO TASK No. 39*

The purpose of this Questionnaire is to gather information on the views of industrial property offices as to whether and how certain WIPO Standards should be revised in order to determine the unique identification of any patent document.

Reporting Office The Patent Office of the Republic of Poland
or Organization:

Name of person to be contacted (in case of need): Anna Paluchowska / Mrs./

E-mail address: urzadpat@plearn.edu.pl

Fax:

Telephone:

1. Does your Office see a need for revision of certain WIPO Standards in connection with the application of supplementary correction codes?

Yes

No

2. (a) Which WIPO Standards, among those listed in Task No. 39, are considered by your Office as needing revision in order to clarify how a patent document should be uniquely identified?

ST.6, ST.10/B, ST.11, ST.12, ST.16, ST.19, ST.30, ST.32, ST.35, ST.40

.....

(b) Should any other WIPO Standard be added to those listed in your answer to question 2(a)? If so, please list them.

No

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03

3. For each of the WIPO Standards given in your answers to questions 2(a) and 2(b), please list the specific part(s) which may require revision and/or further provisions with respect to this matter.

ST.6 - paragr. 14; ST.10/B-p.5; ST.11-Appendix; ST.12/C-p.7; ST16-p.4; ST.19-p. 1 and 7; ST.30-Annex p.9; ST.32-p.9. Annex B; ST.35-Appendix

4. If your Office has any proposals concerning revision of, and/or further provisions to be inserted in, any of the items listed in your answer to question 3, please indicate.

No

.....

.....

[End of Questionnaire and of Circular]

* Task No. 39 reads as follows:

“Investigate, in the light of the revised Standard ST.14, whether any other WIPO Standard, such as ST.6, ST.10/B, ST.11, ST.12, ST.16, ST.19, ST.30, ST.32, ST.35 and ST.40, requires revision in order to clarify how a patent document should be uniquely identified”

[Annex 12 follows/
L’annexe 12 suit]

ANNEX 12/ANNEXE 12



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

October 27, 1999

Mr. K.-P. Wittig
Deputy Director
Inter-Office Information Services Department
World Intellectual Property Organization
34, chemin des Colombettes
1211 Geneva 20
SWITZERLAND

Re: WIPO SCIT Circular 2460 – Unique identification of a patent document (Task No. 39)

Dear Mr. Wittig:

Enclosed is the US Patent and Trademark Office (USPTO) response to the “Questionnaire related to Task No. 39.”

In order to uniquely cite a patent document four pieces of data are necessary:

1. ST.3 country or organization code
2. ST.6 publication number
3. ST.16 kind of document code
4. Date of publication of the document as provided by ST.9 INID codes (41) through (48)

As pointed out in WIPO Standard ST.14, paragraph 12(a)(v), the supplementary correction code is not always provided on patent documents. Prior to the May 1998 revision of WIPO Standard ST.50, the codes did not exist and offices are only now beginning to use the correction codes. Use of supplementary the correction codes in uniquely identifying a patent document is therefore quite limited. In fact, the only situation where they would be useful would be when the same patent document was corrected twice on the same date. This would seem to be highly unlikely.

To modify several electronic and paper standards to incorporate the correction codes would not seem to be warranted. Of course, it may be desirable to incorporate the correction codes and dates in some additional standards such as WIPO Standard ST.32 for bibliographic purposes even though they are not needed for uniquely identifying the document.

/...

SCIT/P 5/99
Annex 12/Annexe 12
page 2

In addition to changing the existing WIPO standards, the Working Group should discuss making information on how to uniquely identify a patent document more readily available to the user community. A possible way to do this may be to create a separate new standard listing the four items cited above along with any other related useful information for uniquely identifying a patent document. Alternatively, the information could be provided separately in the WIPO Handbook on Industrial Property Information and Documentation, provided it could be easily found by someone new to patent documentation.

We look forward to further discussing this matter at the upcoming Standards and Documentation Working Group meeting.

Sincerely,

/Robert W. Saifer/

Robert W. Saifer, Director
International Liaison Staff

Enclosure

QUESTIONNAIRE RELATED TO TASK No. 39*

The purpose of this Questionnaire is to gather information on the views of industrial property offices as to whether and how certain WIPO Standards should be revised in order to determine the unique identification of any patent document.

Reporting Office
or Organization:U.S. Patent and Trademark Office.....

Name of person to be contacted (in case of need):Robert W. Saifer
E-mail address:robert.saifer@uspto.gov.....
Fax:1-703-308-6879.....
Telephone:1-703-308-6853.....

1. Does your Office see a need for revision of certain WIPO Standards in connection with the application of supplementary correction codes?

Yes No

2. (a) Which WIPO Standards, among those listed in Task No. 39, are considered by your Office as needing revision in order to clarify how a patent document should be uniquely identified?

WIPO Standards ST.6, ST.10/B, ST.16, ST.35 and ST.40

(b) Should any other WIPO Standard be added to those listed in your answer to question 2(a)? If so, please list them.

.....Yes, WIPO Standard ST.33.....
.....

3. For each of the WIPO Standards given in your answers to questions 2(a) and 2(b), please list the specific part(s) which may require revision and/or further provisions with respect to this matter.

ST.6, Paragraph 14, second sentence; ST.10/B, paragraphs 5, 17 and 19; ST.16, new section; ST.33, Appendix II; ST.35, Appendix 2; and ST.40, paragraphs 19 and 20

4. If your Office has any proposals concerning revision of, and/or further provisions to be inserted in, any of the items listed in your answer to question 3, please indicate.

(Please note: Additions are underlined and deletions are in ~~strike through~~ font.)

Standard ST.6, paragraph 14, second sentence

However, both codes, along with the publication date of the document, have to be associated with the publication number for the complete identification of the patent document.

Standard ST.10/B, paragraph 5

Insert the following:

(e) the publication date of the document (INID code (41) through (48), as appropriate)

Standard ST.10/B, paragraph 17

17. When an office republishes the whole or part of the text of a patent document already published by another office or organization, the identification of the republished document should be given as normal on the first page of the republished document, viz. the two-letter code identifying the republishing office, the document number ~~and~~ the kind of document code appropriate to the republished document and the date of publication. These ~~three~~four elements of identification should be printed together at the top of the page and preferably in a large type face. The corresponding ~~three~~four elements identifying the originally published document should be given, together with the appropriate INID codes, immediately below the element identifying the republished document but in a smaller typeface. Examples are as follows:

Appropriate dates should be provided for the examples.

Standard ST.10/B, paragraph 19

19. In any computer record corresponding to the republished document, a record of the normal ~~three~~four data elements identifying the republished document only should be made in the part of the computer record dealing with document identification data, viz. the two-letter code identifying the office republishing the document, the document number ~~and~~ the kind of document code and the date of publication appropriate to the republished document, i.e., DK044610 T3year-month-day.

The correct date should be inserted in the example.

Standard ST.16

The following section should be added to Standard ST.16 to point out that the publication date is necessary for unique identification of a document:

FURTHER OBSERVATIONS

It should be noted that the two-letter code according to WIPO Standard ST.3, the publication number according to WIPO Standard ST.6., the kind of document code according to WIPO Standard ST.16 and the publication date of the document are required for the unique identification of a patent document.

Standard ST.33, Appendix II

Prefix Item 20.2 “Publication date” should be mandatory not desirable in order to uniquely identify the document.

Standard ST.35, Appendix 2

The table does not provide a clear indication of a Prefix Item covering publication date. Item 10, the “Date of issue of amendment” does not appear to be the publication date, although it is not clear what date is actually being cited. Item 14, the “Date of production” is defined as the date of capture and thus not the date of publication either. A mandatory Prefix Item similar to Item 20.2, “Publication date” of ST.33 needs to be provided in ST.35.

Standard ST.40, paragraphs 19 and 20

The publication date (INID code(41) through (48), as appropriate) similar to that provided under paragraph 25 should be included in each of the enumerated lists for paragraphs 19 and 20 to uniquely identify the document being referred to by the open and document location indexes, respectively.

[End of Questionnaire and of Circular]

* Task No. 39 reads as follows:

“Investigate, in the light of the revised Standard ST.14, whether any other WIPO Standard, such as ST.6, ST.10/B, ST.11, ST.12, ST.16, ST.19, ST.30, ST.32, ST.35 and ST.40, requires revision in order to clarify how a patent document should be uniquely identified”

[Annex 13/follows
L’annexe 13 suit]

QUESTIONNAIRE RELATED TO TASK No. 39 *

The purpose of this Questionnaire is to gather information on the views of industrial property offices as to whether and how certain WIPO Standards should be revised in order to determine the unique identification of any patent document.

Reporting Office ...State Intellectual Property Office of China
or Organization:

Name of person to be contacted (in case of need): Zhang Xiyi
E-mail address: zhangxy@cpon.cpo.cn.net.
Fax: 0086 10 6201 0931
Telephone: 0086 10 6209 3068

1. Does your Office see a need for revision of certain WIPO Standards in connection with the application of supplementary correction codes?

No

2. (a) Which WIPO Standards, among those listed in Task No. 39, are considered by your Office as needing revision in order to clarify how a patent document should be uniquely identified?

.....
.....

(b) Should any other WIPO Standard be added to those listed in your answer to question 2(a)? If so, please list them.

.....
.....

3. For each of the WIPO Standards given in your answers to questions 2(a) and 2(b), please list the specific part(s) which may require revision and/or further provisions with respect to this matter.

.....
.....

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03

4. If your Office has any proposals concerning revision of, and/or further provisions to be inserted in, any of the items listed in your answer to question 3, please indicate.

.....
.....
.....

[End of Questionnaire and of Circular]

- Task No. 39 reads as follows:

"investigate, in the light of the revised Standard ST.14, whether any other WIPO Standard, such as ST.6, ST.10/B, ST.11, ST.12, ST.16, ST.19, ST.30, ST.32, ST.35 and ST.40, requires revision in order to clarify how a patent document should be uniquely identified

[Annex 14 follows/
L'annexe 14 suit]

Annex to Circular C. SCIT 2460

03

QUESTIONNAIRE RELATED TO TASK No. 39*

The purpose of this Questionnaire is to gather information on the views of industrial property offices as to whether and how certain WIPO Standards should be revised in order to determine the unique identification of any patent document.

Reporting Office **German Patent and Trademark Office**
or Organization:

Name of person to be contacted (in case of need): **Mr. Hubert Rothe**.....

E-mail address: **dpah2@isar.de**

Fax: **0049-89-2195-3153**

Telephone: **0049-89-2195-2448**

1. Does your Office see a need for revision of certain WIPO Standards in connection with the application of supplementary correction codes?

Yes

No

2. (a) Which WIPO Standards, among those listed in Task No. 39, are considered by your Office as needing revision in order to clarify how a patent document should be uniquely identified?

ST.10/B, ST.11, ST.12/C, ST.19, ST.32, ST.35

(b) Should any other WIPO Standard be added to those listed in your answer to question 2(a)? If so, please list them.

no

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03

3. For each of the WIPO Standards given in your answers to questions 2(a) and 2(b), please list the specific part(s) which may require revision and/or further provisions with respect to this matter.

ST.10/B: Bar code; ST.11: Column 5 of Appendix; ST.12/C: para. 7; ST.19: Column 4 of Appendix; ST.32: Table of SGML tags for bibliographic data, DTD; ST.35: Prefex items

4. If your Office has any proposals concerning revision of, and/or further provisions to be inserted in, any of the items listed in your answer to question 3, please indicate.

ST.10/B: The supplementary correction code should be included in the bar code.

ST.32: A tag B150 should be created in order to be able to handle supplementary correction codes. The DTD should be amended accordingly.

ST.35: It should be examined whether it is necessary to insert in the list of Prefex Items Definitions (see Appendix 2) a new field for correction information in addition to the existing items no. 2, 3 and 4 in order to allow a unique identification of a patent document.

[End of Questionnaire and of Circular]

* Task No. 39 reads as follows:

“Investigate, in the light of the revised Standard ST.14, whether any other WIPO Standard, such as ST.6, ST.10/B, ST.11, ST.12, ST.16, ST.19, ST.30, ST.32, ST.35 and ST.40, requires revision in order to clarify how a patent document should be uniquely identified”

[Annex 15 follows
L’annexe 15 suit]

Annex to Circular C. SCIT 2460

03

QUESTIONNAIRE RELATED TO TASK No. 39*

The purpose of this Questionnaire is to gather information on the views of industrial property offices as to whether and how certain WIPO Standards should be revised in order to determine the unique identification of any patent document.

Reporting Office The National Office of the Republic of Kazakstan.....
or Organization:

Name of person to be contacted (in case of need): Nina P. Novodvorskaya.....

E-mail address: kazpat@online.ru.....

Fax: (327) 30 09 73.....

Telephone: (327) 30 13 76.....

1. Does your Office see a need for revision of certain WIPO Standards in connection with the application of supplementary correction codes?

Yes

No

2. (a) Which WIPO Standards, among those listed in Task No. 39, are considered by your Office as needing revision in order to clarify how a patent document should be uniquely identified?

There is no necessity to revise Standards.....

(b) Should any other WIPO Standard be added to those listed in your answer to question 2(a)? If so, please list them.

No.....

Annex to Circular C. SCIT 2460, page 2
03

3. For each of the WIPO Standards given in your answers to questions 2(a) and 2(b), please list the specific part(s) which may require revision and/or further provisions with respect to this matter.

.....
.....

4. If your Office has any proposals concerning revision of, and/or further provisions to be inserted in, any of the items listed in your answer to question 3, please indicate.

No

.....

.....

[End of Questionnaire and of Circular]

* Task No. 39 reads as follows:

“Investigate, in the light of the revised Standard ST.14, whether any other WIPO Standard, such as ST.6, ST.10/B, ST.11, ST.12, ST.16, ST.19, ST.30, ST.32, ST.35 and ST.40, requires revision in order to clarify how a patent document should be uniquely identified”

[Annex 16 follows/
L’annexe 16 suit]

SCIT/P 5/99

ANNEX 16/ANNEXE 16

From: <MKryukova.ag@rupto.ru>
To: <scit.mail@wipo.int>
Date: Fri, Oct 29, 1999 4:38 PM
Subject: C.SCIT 2460

Dear Mr. Wittig,

Referring to the circular C.SCIT 2460 of August 19, 1999 please find the Questionnaire related to task N39* filled by the Russian Agency for Patents and Trademarks.

According to WIPO Standard ST.50, par.10, additional correction information is to be provided where necessary for unambiguous identification of category and nature of correction. Though the supplementary correction codes are essential for full identification of document it seems to us that for the Standards concerning necessary minimum requirements for unique identification of the document (e.g. ST.6, ST.10/B, ST.12/C, ST.16) the kind of document code with digital elements 8 or 9 associated with document number and the country code would be sufficient.

QUESTIONNAIRE RELATED TO TASK No. 39

The purpose of this Questionnaire is to gather information on the views of industrial property offices as to whether and how certain WIPO Standards should be revised in order to determine the unique identification of any patent document.

Reporting Office: The Russian Agency for Patents and Trademarks
or Organization:

Name of person to be contacted (in case of need): Marina V.KRYUKOVA
E-mail address: MKryukova.ag.@ rupto.ru
Fax: (095) 240 2571, (095) 243 3337
Telephone: (095) 240 3417*

1. Does your Office see a need for revision of certain WIPO Standards in connection with the application of supplementary correction codes?

Yes No

2. (a) Which WIPO Standards, among those listed in Task No. 39, are considered by your Office as needing revision in order to clarify how a patent document should be uniquely identified?

ST.11, ST.19, ST.30, ST.32, ST.35, ST.40

(b) Should any other WIPO Standard be added to those listed in your answer to question 2(a)? If so, please list them.

NO

3. For each of the WIPO Standards given in your answers to questions 2(a) and 2(b), please list the specific part(s) which may require revision and/or further provisions with respect to this matter.

See Comments below

4. If your Office has any proposals concerning revision of, and/or further provisions to be inserted in, any of the items listed in your answer to question 3, please indicate.

See Comments below

COMMENTS

1. Reference to WIPO Standard ST.50 under the heading "References" should be included into each Standard to be revised.

2. ST.11, par.6: To change the wording of the paragraph as follows: "..., i.e. kind of document code associated where necessary with supplementary correction code, document number, date of application (publication),..."

3. ST.19, Use of codes and WIPO Recommendations: To add a new paragraph after par.(17) worded as follows: "For unique identification of corrected documents it is recommended to use the supplementary correction codes according to WIPO Standard ST.50."

4. ST.30, ST.32, ST.35, ST.40: To add where appropriate tags for bibliographic data elements concerning corrections, i.e. INID codes (15), (48) with definitions according to WIPO Standard ST.9.

Sincerely yours

A. Gvinepadze
First Deputy Director
Federal Institute of Industrial Property
Rospatent

[Annex 17 follows/
L'annexe 17 suit]

Annex to Circular C. SCIT 2460

03

QUESTIONNAIRE RELATED TO TASK No. 39*

The purpose of this Questionnaire is to gather information on the views of industrial property offices as to whether and how certain WIPO Standards should be revised in order to determine the unique identification of any patent document.

Reporting Office Industrial Property Office of the Slovak Republic.....
or Organization:

Name of person to be contacted (in case of need): Mr. Rastislav Marcok.....
E-mail address: rmarcok@ indprop.gov.sk
Fax:
Telephone:

1. Does your Office see a need for revision of certain WIPO Standards in connection with the application of supplementary correction codes?

Yes No

2. (a) Which WIPO Standards, among those listed in Task No. 39, are considered by your Office as needing revision in order to clarify how a patent document should be uniquely identified?

ST.6, ST.10/B, ST. 11, ST.19, ST.40
.....

(b) Should any other WIPO Standard be added to those listed in your answer to question 2(a)? If so, please list them.

.....
.....

Annex to Circular C. SCIT 2460 page 2
03

3. For each of the WIPO Standards given in your answers to questions 2(a) and 2(b), please list the specific part(s) which may require revision and/or further provisions with respect to this matter.

.....
.....

4. If your Office has any proposals concerning revision of, and/or further provisions to be inserted in, any of the items listed in your answer to question 3, please indicate.

.....
.....
.....

[End of Questionnaire and of Circular]

* Task No. 39 reads as follows:

“Investigate, in the light of the revised Standard ST.14, whether any other WIPO Standard, such as ST.6, ST.10/B, ST.11, ST.12, ST.16, ST.19, ST.30, ST.32, ST.35 and ST.40, requires revision in order to clarify how a patent document should be uniquely identified”

[Annex 18 follows/
L’annexe 18 suit]

Annex to Circular C. SCIT 2460

03

QUESTIONNAIRE RELATED TO TASK No. 39*

The purpose of this Questionnaire is to gather information on the views of industrial property offices as to whether and how certain WIPO Standards should be revised in order to determine the unique identification of any patent document.

Reporting Office: Hungarian Patent Office.....
or Organization:

Name of person to be contacted (in case of need): Ms Zsuzsa Torocsik

E-mail address: torocsik@hpo.hu.....

Fax: (361) 332 9537.....

Telephone: (361) 331 4140.....

1. Does your Office see a need for revision of certain WIPO Standards in connection with the application of supplementary correction codes?

Yes

No

2. (a) Which WIPO Standards, among those listed in Task No. 39, are considered by your Office as needing revision in order to clarify how a patent document should be uniquely identified?

ST.10/B, ST.11, ST.12, ST.16, ST.19, ST.30, ST.32, ST.35 and ST.40

.....

(b) Should any other WIPO Standard be added to those listed in your answer to question 2(a)? If so, please list them.

No other WIPO Standard should be added.....

.....

Annex to Circular C. SCIT 2460, page 2
03

3. For each of the WIPO Standards given in your answers to questions 2(a) and 2(b), please list the specific part(s) which may require revision and/or further provisions with respect to this matter.

We have no further provisions.....
.....

4. If your Office has any proposals concerning revision of, and/or further provisions to be inserted in, any of the items listed in your answer to question 3, please indicate.

As we see, no further revisions are necessary.....
.....
.....

[End of Questionnaire and of Circular]

* Task No. 39 reads as follows:

“Investigate, in the light of the revised Standard ST.14, whether any other WIPO Standard, such as ST.6, ST.10/B, ST.11, ST.12, ST.16, ST.19, ST.30, ST.32, ST.35 and ST.40, requires revision in order to clarify how a patent document should be uniquely identified”

[Annex 19 follows/
L’annexe 19 suit]

Annex to Circular C. SCIT 2460

03

QUESTIONNAIRE RELATED TO TASK No. 39*

The purpose of this Questionnaire is to gather information on the views of industrial property offices as to whether and how certain WIPO Standards should be revised in order to determine the unique identification of any patent document.

Reporting Office Industrial Property Protection Office of
or Organization: The Republic of Macedonia.....

Name of person to be contacted (in case of need): Spasoski Davor

E-mail address: zzis@unet.com.mk.....

Fax: +389 91 116 041.....

Telephone: + 389 91 116 379.....

1. Does your Office see a need for revision of certain WIPO Standards in connection with the application of supplementary correction codes?

Yes

No

2. (a) Which WIPO Standards, among those listed in Task No. 39, are considered by your Office as needing revision in order to clarify how a patent document should be uniquely identified?

.....
.....

(b) Should any other WIPO Standard be added to those listed in your answer to question 2(a)? If so, please list them.

.....
.....

Annex to Circular C. SCIT 2460 page 2
03

3. For each of the WIPO Standards given in your answers to questions 2(a) and 2(b), please list the specific part(s) which may require revision and/or further provisions with respect to this matter.

.....
.....

4. If your Office has any proposals concerning revision of, and/or further provisions to be inserted in, any of the items listed in your answer to question 3, please indicate.

.....
.....
.....

[End of Questionnaire and of Circular]

* Task No. 39 reads as follows:

“Investigate, in the light of the revised Standard ST.14, whether any other WIPO Standard, such as ST.6, ST.10/B, ST.11, ST.12, ST.16, ST.19, ST.30, ST.32, ST.35 and ST.40, requires revision in order to clarify how a patent document should be uniquely identified”

[Annex 20 follows/
L’annexe 20 suit]

Annex to Circular C. SCIT 2460

03

QUESTIONNAIRE RELATED TO TASK No. 39*

The purpose of this Questionnaire is to gather information on the views of industrial property offices as to whether and how certain WIPO Standards should be revised in order to determine the unique identification of any patent document.

Reporting Office State Agency on Industrial Property Protection
or Organization: of the Republic of Moldova.....

Name of person to be contacted (in case of need): Mr. Eugen Stashkov

E-mail address: office@agepi.md.....

Fax: (3732) 44 01 19.....

Telephone: (3732) 44 32 53

1. Does your Office see a need for revision of certain WIPO Standards in connection with the application of supplementary correction codes?

Yes

No

2. (a) Which WIPO Standards, among those listed in Task No. 39, are considered by your Office as needing revision in order to clarify how a patent document should be uniquely identified?

ST.6, ST.10/B, ST.11, ST.12/C

(b) Should any other WIPO Standard be added to those listed in your answer to question 2(a)? If so, please list them.

.....
.....

Annex to Circular C. SCIT 2460 page 2
03

3. For each of the WIPO Standards given in your answers to questions 2(a) and 2(b), please list the specific part(s) which may require revision and/or further provisions with respect to this matter.

ST.6 - item 14 should include references to the item 10 of ST.16 (digits 8.9),
ST.10/B - item 5; ST.11 - item 6; Appendix; St.12/C - item 7

4. If your Office has any proposals concerning revision of, and/or further provisions to be inserted in, any of the items listed in your answer to question 3, please indicate.

.....
.....
.....

[End of Questionnaire and of Circular]

* Task No. 39 reads as follows:

“Investigate, in the light of the revised Standard ST.14, whether any other WIPO Standard, such as ST.6, ST.10/B, ST.11, ST.12, ST.16, ST.19, ST.30, ST.32, ST.35 and ST.40, requires revision in order to clarify how a patent document should be uniquely identified”

[Annex 21 follows/
L’annexe 21 suit]

QUESTIONNAIRE RELATED TO TASK No. 39 *

The purpose of this Questionnaire is to gather information on the views of industrial property offices as to whether and how certain WIPO Standards should be revised in order to determine the unique identification of any patent document.

Reporting Office: Patent Office of the Republic of Bulgaria
or Organization:

.....

Name of person to be contacted (in case of need): Mrs. Anna Nenova- Head
of Patent Information and Documentation Department

E-mail address: bpo@Ind.internet-bg.bg

Fax: +359-2/708 325

Telephone: +359-2/7113 307

1. Does your Office see a need for revision of certain WIPO Standards in connection with the application of supplementary correction codes?

Yes

2. (a) Which WIPO Standards, among those listed in Task No. 39, are considered by your Office as needing revision in order to clarify how a patent document should be uniquely identified?

All of listed into Task No.39 WIPO Standards

(b) Should any other WIPO Standard be added to those listed in your answer to question 2(a)? If so, please list them.

No

3. For each of the WIPO Standards given in your answers to questions 2(a) and 2(b), please list the specific part(s) which may require revision and/or further provisions with respect to this matter.

The unique identification of a patent document when applying supplementary correction codes requires the inclusion of the supplementary correction codes provided for by the WIPO Standard ST.50 as a further data element at the appropriate place of cited into Task No.39 WIPO Standards.

4. If your Office has any proposals concerning revision of, and/or further provisions to be inserted in, any of the items listed in your answer to question 3, please indicate.

No

[End of Questionnaire and of Circular C.SCIT 2460/03]

*Task No. 39 reads as follows:

“Investigate, in the light of the revised Standard ST.14, whether any other WIPO Standard, such as ST.6, ST.10/B, ST.11, ST.12, ST.16, ST.19, ST.30, ST.32, ST.35 and ST.40, requires in order to clarify how a patent document should be uniquely identified”

[Annex 22 follows/
L’annexe 22 suit]

Annex to Circular C. SCIT 2460

03

QUESTIONNAIRE RELATED TO TASK No. 39*

The purpose of this Questionnaire is to gather information on the views of industrial property offices as to whether and how certain WIPO Standards should be revised in order to determine the unique identification of any patent document.

Reporting Office: *PATENT OFFICE Of THE REPUBLIC Of ARMENIA*

Name of person to be contacted (in case of need): *Mrs. Marine NIKOGHOSYAN*

E-mail address: *patent@arminco.com*

Fax: *(3742) 151 823*

Telephone: *(3742) 56 11 26*

- 1. Does your Office see a need for revision of certain WIPO in connection with the application of supplementary correction codes?
 Yes No

- 2. (a) Which WIPO Standards, among those listed in Task No. 39, are considered by your Office as needing revision in order to clarify how a patent document should be uniquely identified?

.....

.....

- (b) Should any other WIPO Standard be added to those listed in your answer to question 2 (a)? If so, please list them.

.....

.....

- 3. For each of the WIPO Standards given in your answers to questions 2(a) and 2(b), please list the specific part(s) which may require revision and/or further provisions with respect to this matter.

.....

.....

[Annex 23 follows/
L'annex 23 suit]

SUMMARY OF REPLIES AND CONCLUSIONS

prepared by the International Bureau

1. By November 22, 1999, 21 Offices (AM, AT, BE, BG, CN, CZ, DE, ES, GE, HU, KG, KZ, LT, MD, MK, PL, RU, SK, UA, US, VA) had replied to Circular SCIT 2460, dated August 19, 1999, containing the Questionnaire related to Task No. 39.

2. The following 13 Offices supported the need for a revision of certain WIPO Standards in connection with the application of supplementary correction codes: AT, BG, DE, ES, GE, HU, KG, LT, MD, PL, RU, SK, UA. Six Offices (AM, CN, CZ, KZ, MK, US) did not see a need for such a revision. Two Offices (BE and VA) indicated that they had no comments on the matter.

3. In addition to the WIPO Standards listed in Task No. 39 (see Annex 1 to this project file), the following WIPO Standards were proposed as needing revision by the Offices given in parentheses: ST.9 (ES), ST.10 (AT, ES), ST.22 (AT), ST.25 (AT), ST.33 (AT and US), and ST.50 (ES).

4. As a possible way to make information on how to uniquely identify a patent document more readily available to the user community, the US Office proposed to create a separate new standard. This new standard should list the following items:

- (a) WIPO Standard ST.3 country or organization code;
- (b) WIPO Standard ST.6 publication number;
- (c) WIPO Standard ST.16 kind-of-document code; and
- (d) date of publication of the document as provided by WIPO Standard ST.9 INID codes (41) through (48), as appropriate,

along with any other related useful information for uniquely identifying a patent document. Alternatively, the US Office proposed that the information be provided separately in the *WIPO Handbook on Industrial Property Information and Documentation*, provided it could be easily found by someone new to patent documentation (see Annex 12).

CONCLUSIONS

5. The following WIPO Standards were considered by the Offices as needing revision in order to clarify how a patent document should be uniquely identified: ST.6, ST.9, ST.10, ST.10/B, ST.11, ST.12, ST.12/C, ST.16, ST.19, ST.22, ST.25, ST.30, ST.32, ST.33, ST.35, ST.40 and ST.50.

6. *The Standards and Documentation Working Group is invited to consider*

(a) *which of the WIPO Standards listed in paragraph 5, above, require revision in order to clarify how a patent document should be uniquely identified, as well as*

(b) *the proposal by the US Office given in paragraph 4, above.*

[End of Annex 23 and of document]