# TABLE OF CONTENTS

## SPECIAL SECTION

**THE RISE OF DESIGN IN INNOVATION AND INTELLECTUAL PROPERTY - DEFINITIONAL AND MEASUREMENT ISSUES**  
19

## OVERVIEW OF IP ACTIVITIES

38

## SECTION A

**PATENTS, UTILITY MODELS AND MICROORGANISMS**  
41

### A.1

**PATENT APPLICATIONS AND GRANTS WORLDWIDE**

- A.1.1 Applications worldwide 43
- A.1.2 Grants worldwide 45

### A.2

**PATENT APPLICATIONS AND GRANTS BY OFFICE**

- A.2.1 Applications by office 47
- A.2.2 Grants by office 52

### A.3

**PATENT APPLICATIONS AND GRANTS BY ORIGIN**

- A.3.1 Applications and grants by origin 55
- A.3.2 Applications abroad by origin 56
- A.3.3 Applications by office and origin 57

### A.4

**PATENT FAMILIES**

- A.4.1 Patent families 59
- A.4.2 Patent families by office and origin 60

### A.5

**PATENT APPLICATIONS FILED THROUGH THE PATENT COOPERATION TREATY**

- A.5.1 PCT applications 62
- A.5.2 PCT applications by type of applicant 64
- A.5.3 PCT national phase entries 65

### A.6

**INTERNATIONAL COLLABORATION**  
68
<table>
<thead>
<tr>
<th>Section</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>A.7</td>
<td>70</td>
</tr>
<tr>
<td>PATENTS BY FIELD OF TECHNOLOGY</td>
<td></td>
</tr>
<tr>
<td>A.7.1</td>
<td>Applications by field of technology</td>
</tr>
<tr>
<td>A.7.2</td>
<td>Applications in selected energy-related technologies</td>
</tr>
<tr>
<td>A.8</td>
<td>76</td>
</tr>
<tr>
<td>PATENTS PER GDP AND R&amp;D EXPENDITURE</td>
<td></td>
</tr>
<tr>
<td>A.9</td>
<td>79</td>
</tr>
<tr>
<td>PATENTS IN FORCE</td>
<td></td>
</tr>
<tr>
<td>A.10</td>
<td>80</td>
</tr>
<tr>
<td>OPPOSITION AND INVALIDATION OF PATENTS GRANTED</td>
<td></td>
</tr>
<tr>
<td>A.11</td>
<td>82</td>
</tr>
<tr>
<td>PENDING PATENT APPLICATIONS</td>
<td></td>
</tr>
<tr>
<td>A.12</td>
<td>86</td>
</tr>
<tr>
<td>PATENT PROSECUTION HIGHWAY</td>
<td></td>
</tr>
<tr>
<td>A.13</td>
<td>90</td>
</tr>
<tr>
<td>UTILITY MODELS</td>
<td></td>
</tr>
<tr>
<td>A.13.1</td>
<td>Utility model applications</td>
</tr>
<tr>
<td>A.13.2</td>
<td>Utility model grants</td>
</tr>
<tr>
<td>A.14</td>
<td>95</td>
</tr>
<tr>
<td>MICROORGANISMS</td>
<td></td>
</tr>
</tbody>
</table>
## SECTION B

### TRADEMARKS

#### B.1

**Trademark Applications and Registrations Worldwide**
- B.1.1 Applications worldwide
- B.1.2 Registrations worldwide
- B.1.3 Applications by geographical region, income group and Nice class

#### B.2

**Trademark Application and Registration Class Counts by Office**
- B.2.1 Applications by office
- B.2.2 Registrations by office

#### B.3

**Nice Classes Specified in Trademark Applications by Office**
- B.3.1 Industry sectors by office
- B.3.2 Goods and services classes by office

#### B.4

**Trademark Application Class Counts by Origin**
- B.4.1 Applications by origin

#### B.5

**Nice Classes Specified in Trademark Applications by Origin**
- B.5.1 Industry sectors by origin
- B.5.2 Goods and services classes by origin

#### B.6

**International Trademark Registrations and Renewals through the Madrid System**
- B.6.1 Madrid registrations and renewals
- B.6.2 Number of classes and designations per Madrid registration
- B.6.3 Registrations and renewals by designated Madrid member
- B.6.4 Registrations and renewals by origin
- B.6.5 Madrid applicants
- B.6.6 Non-resident applications by filing route

#### B.7

**Trademark Application Class Count per GDP and Population**

#### B.8

**Trademarks in Force**
SECTION C
INDUSTRIAL DESIGNS

C.1 132
INDUSTRIAL DESIGN APPLICATIONS AND REGISTRATIONS WORLDWIDE

C.1.1 Applications worldwide 132
C.1.2 Registrations worldwide 135

C.2 136
INDUSTRIAL DESIGN APPLICATIONS AND REGISTRATIONS BY OFFICE

C.2.1 Applications by office 136
C.2.2 Registrations by office 140

C.3 141
INDUSTRIAL DESIGN APPLICATIONS AND REGISTRATIONS BY ORIGIN

C.3.1 Equivalent applications and registrations by origin 141
C.3.2 Industrial design applications by office and origin 143

C.4 144
INDUSTRIAL DESIGN REGISTRATIONS THROUGH THE HAGUE SYSTEM

C.4.1 International registrations of industrial designs 144
C.4.2 Top Hague applicants 146
C.4.3 Non-resident industrial design applications by filing route for selected Hague members 146

C.5 147
INDUSTRIAL DESIGN REGISTRATIONS IN FORCE
TABLE OF CONTENTS

SECTION D

PLANT VARIETY PROTECTION 149

D.1 149

PLANT VARIETY APPLICATIONS AND GRANTS

D.1.1 Applications worldwide 149
D.1.2 Grants worldwide 150

D.2 151

PLANT VARIETY APPLICATIONS AND GRANTS BY OFFICE

D.2.1 Applications for the top 20 offices 151
D.2.2 Grants for the top 20 offices 152

D.3 152

PLANT VARIETY APPLICATIONS AND GRANTS BY ORIGIN

D.3.1 Applications and grants by origin 153
D.3.2 Equivalent applications and grants by origin 154
D.3.3 Non-resident applications by office and origin 156

D.4 157

PLANT VARIETY GRANTS IN FORCE
ANNEX, GLOSSARY AND LIST OF ABBREVIATIONS 159

ANNEX A 159
Definitions for selected energy-related technology fields

ANNEX B 160
International classification of goods and services under the Nice Agreement
Class groups defined by Edital®

GLOSSARY 163

LIST OF ABBREVIATIONS 171

STATISTICAL TABLES 172

Table P1 Patent applications by patent office and origin, 2011 172
Table P2 Patent grants by patent office and origin, and patents in force, 2011 176
Table T1 Trademark applications by office and origin, 2011 179
Table T2 Trademark registrations by office and origin, and trademarks in force, 2011 183
Table ID1 Industrial design applications by office and origin, 2011 187
Table ID2 Industrial design registrations by office and origin, and industrial designs in force, 2011 190
Table PV1 Plant variety applications and grants by office and origin, 2011 193