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## Thirty-month Time Limit for Entry into the National Phase Now Possible in Respect of all PCT Contracting States

### PCT Article 22(1): Withdrawal of Notification of Incompatibility—Serbia and Montenegro

The Intellectual Property Office (Serbia and Montenegro), in its capacity as designated Office, has notified the International Bureau that it has withdrawn, with effect from 10 July 2004, its notification of the incompatibility of PCT Article 22(1), as modified with effect from 1 April 2002, with its national law.

As indicated in the notice of withdrawal, the current 30-month time limit under PCT Article 22(1) will apply, in so far as Serbia and Montenegro is concerned, to international applications filed on or after 1 January 2004.

Now that Serbia and Montenegro has withdrawn its notification of incompatibility, the only remaining designated Offices that have not withdrawn their notification of incompatibility are the Offices of the seven States indicated at the bottom of page 2. Note, however, that all of those remaining States are covered by a regional designation (AP or EP), with the result that applicants can always enter the **regional** phase in those States within the time limit under PCT Article 22(3) of 31 months.

### Electronic Filing and Processing of International Applications

#### United Kingdom Patent Office to begin receiving and processing international applications in electronic form

The United Kingdom Patent Office, in its capacity as receiving Office,

will begin receiving and processing international applications in electronic form on 9 August 2004. The Office notified this information to WIPO under PCT Rule 89*bis*.1(d) on 19 July 2004.

The notification containing the United Kingdom Patent Office's requirements and practices with regard to the filing of international applications in electronic form will be published in *PCT Gazette* No. 32/2004, Section IV, on 5 August 2004 and will be available on the PCT website at:

[www.wipo.int/pct/en/gazette/weekissu.htm](http://www.wipo.int/pct/en/gazette/weekissu.htm)

For information on the newly established equivalent amounts in GBP of the applicable fee reductions for electronic filing, see "PCT information update," on page 3.

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[www.wipo.int/pct/en](http://www.wipo.int/pct/en)

### Selection of PCT Materials on the Internet ([www.wipo.int/pct/en/](http://www.wipo.int/pct/en/))

Home page, with links to what's new on the site  
PCT Information Service

PCT filing

- *Basic Facts about the PCT*
- Forms
- Direct filing of PCT applications with the International Bureau as PCT receiving Office
- *PCT Applicant's Guide*
- Fees

PCT-SAFE

PCT legal texts and guidelines

- About the Treaty
- Texts of the Patent Cooperation Treaty, Regulations and Administrative Instructions
- PCT Receiving Office Guidelines
- PCT International Search and International Preliminary Examination Guidelines
- PCT Contracting States
- Reservations and incompatibilities
- Useful tables: time limits for entering national/regional phase; types of protection; power of attorney waivers
- PCT legal text index

*PCT Gazette*

PCT news (including the *PCT Newsletter*, PCT-related Press Releases and Updates and PCT statistics)

Seminar calendar and seminar materials

PCT meetings

- PCT Assembly and various committees

PCT Reform

The History of the PCT Regulations

### PCT Information Service

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Fax: +41 22 338 83 39  
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*2004 Subscriptions*

Regular mail: CHF 70 or USD 51  
Priority mail: CHF 81 or USD 60  
25% discount for two or more subscriptions  
Binders: CHF 18 or USD 13

The *PCT Newsletter* is also available on the Internet at:

[www.wipo.int/pct/en/newslett/index.htm](http://www.wipo.int/pct/en/newslett/index.htm)

### New Monthly Statistical Indicators Report on PCT Activities

A new statistical indicators report on activities in the PCT system is now available on the PCT website.

As the PCT system has matured, WIPO has received an increasing number of requests for more frequent and more detailed information about the functioning of the PCT system. The requests indicate that Offices and other users of patent information need indicators on a wide range of criteria in order to help them with their own workload planning and analysis.

The new PCT statistical indicators report contains statistics on the filing of international applications, receiving Offices, International Search and Preliminary Examining Authorities and the International Bureau. The different sections of the report enable readers to track trends in PCT filings by country of origin, filing method (paper or electronic), choice of search or examining authority, trends in publication and use of the Chapter II procedure of the PCT. As the data collection and analysis methods are improved, it is intended to add statistics on filings by technical field, applicants and on PCT national phase entries.

The report will initially be available in English only, but other languages are planned for the future.

The report is published on the PCT website at:

[www.wipo.int/pct/en/statistics/index.htm](http://www.wipo.int/pct/en/statistics/index.htm)

Monthly updates will be published and interested parties can subscribe to receive e-mail notifications of each update from the website

### Reminder

The national Offices of the following States do not yet apply the 30-month time limit for entering the national phase under Chapter I as fixed in PCT Article 22(1) (as modified with effect from 1 April 2002). Note, however, that in respect of the **regional** designation of all those States, the time limit under PCT Article 22(3) of 31 months applies.

CH Switzerland  
FI Finland  
LU Luxembourg  
SE Sweden  
TZ United Republic of Tanzania  
UG Uganda  
ZM Zambia

A list of time limits applicable for each designated/elected Office for entering the national phase under Chapters I and II of the PCT is available at:

[www.wipo.int/pct/en/access/legal\\_text.htm](http://www.wipo.int/pct/en/access/legal_text.htm)

address above, or by sending an e-mail to:

ipstats.mail@wipo.int.

### **Modification of the Technical Standard for the Electronic Filing and Processing of International Applications**

It is recalled that Part 7 and Annex F of the Administrative Instructions under the PCT contain, respectively, the legal framework and the technical standard for electronic filing and processing of international applications, and serve as the basis for PCT-SAFE and other electronic filing systems for the filing of PCT applications. They both entered into force on 7 January 2002; Annex F and its Appendix I were subsequently the subject of modifications that entered into force on 12 December 2002, 19 June 2003, 1 January 2004 and 12 February 2004.

After consultation with the interested Offices and Authorities, Annex F of the Administrative Instructions and its Appendix I (“XML DTDs for the E-PCT Standard”) have been modified, with effect from 1 January 2005, as follows:

- (i) some minor additions to the recommended file naming convention (section 4.3.1 of Annex F);
- (ii) a number of corrections to certain DTDs (Appendix I of Annex F).

The texts of the modifications of Annex F and of its Appendix I have been published as documents PCT/AI/ANF/1 Rev.1 and PCT/AI/DTD/1 Rev.1, respectively, on the PCT website at:

[www.wipo.int/pct/en/texts/index.htm](http://www.wipo.int/pct/en/texts/index.htm)

Paper copies are available from the International Bureau upon request.

The present text of the Administrative Instructions is set out in the Special Issue of the *PCT Gazette*, No. S-04/2004, dated 1 July 2004, the present text of Annex F of the Administrative Instructions is set out in document PCT/AI/ANF/1, dated 17 June 2004, and the present text of Appendix I of Annex F of the Administrative Instructions is set out in document PCT/AI/DTD/1, dated 17 June 2004. These documents may also be downloaded from the PCT website at the above-mentioned address.

### **PCT Information Update**

#### **BE Belgium (institutions with which deposits of microorganisms and other biological material may be made)**

The names and addresses of the Belgian Coordinated Collections of Microorganisms

(BCCM™), international depositary authorities under the Budapest Treaty, have changed, as follows:

Headquarters:

Belgian Coordinated Collections of Microorganisms (BCCM™)  
Federal Public Planning Service Science Policy  
8, rue de la Science  
B-1000 Brussels, Belgium

Collections:

Institut scientifique de la Santé publique  
Section Mycologie (BCCM™/IHEM)  
14, rue J. Wytsman  
B-1050 Brussels, Belgium

Universiteit Gent  
Vakgroep Moleculaire Biologie -  
Plasmidecollectie (BCCM™/LMBP)  
Technologiepark 927  
B-9052 Zwijnaarde, Belgium

Universiteit Gent  
Laboratorium voor Microbiologie -  
Bacteriëncollectie (BCCM™/LMG)  
K.L. Ledeganckstraat, 35  
B-9000 Gent, Belgium

Université catholique de Louvain  
Mycothèque de l'Université catholique de Louvain (BCCM™/MUCL)  
Croix du Sud, 3 - bte 6  
B-1348 Louvain-La-Neuve, Belgium

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex L)

#### **CA Canada (competent International Searching and Preliminary Examining Authorities)**

The Canadian Patent Office has specified the Canadian Intellectual Property Office (CIPO), under the authority of the Canadian Commissioner of Patents, as the competent International Searching Authority (ISA) and International Preliminary Examining Authority (IPEA), for international applications filed on or after 26 July 2004 by nationals and residents of Canada with either the Canadian Patent Office or the International Bureau of WIPO as receiving Office. CIPO is now the only competent ISA and IPEA for such applications.

Where an ISA other than the Canadian Intellectual Property Office is specified in international applications filed on or after 26 July 2004 with CIPO or the International Bureau as receiving Office by nationals and residents of Canada, those receiving Offices will delete ex

*officio* that indication and replace it with ISA/CA.

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (CA))

**GB United Kingdom (fees; institutions with which deposits of microorganisms and other biological material may be made)**

Equivalent amounts in GBP have been established for the fee reductions given if an international application is validly filed in electronic form, when the text of the description, claims and abstract is not in character coded format and when it is in character coded format (see items 3(b) and 3(c) of the PCT Schedule of Fees annexed to the PCT Regulations). The amounts are GBP 90 and GBP 134, respectively, and are published in footnote 5 of the PCT fee tables, on page 19.

The address of the European Collection of Cell Cultures (ECACC), an international depository authority under the Budapest Treaty, has changed, as follows:

European Collection of Cell Cultures  
(ECACC)  
Health Protection Agency - Porton Down  
Salisbury, Wiltshire SP4 0JG  
United Kingdom

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (GB) and Annex L)

**IT Italy (telephone and fax numbers)**

The telephone and fax numbers of the Italian Patent and Trademark Office have changed. The numbers to be used are now as follows:

telephone: (39-06) 47 05 56 43  
fax: (39-06) 47 05 56 32  
(39-06) 47 05 56 35

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (IT))

**JP Japan (power of attorney waivers; languages accepted for international search)**

In connection with the waiver made by the Japan Patent Office, in its capacities as receiving Office, International Searching Authority and International Preliminary Examining Authority, of the requirement to submit either a separate power of attorney and/or a copy of a general power of attorney (see *PCT Newsletter* No. 01/2004, page 3), that Office has clarified the particular instances, which have applied since 1 January 2004, in which either a separate power of attorney or a general power of

attorney is required. The particular instances should now read as follows:

where an agent or a common representative who is not indicated in the Request Form at the time of filing performs any actions after filing; or in case of doubt as to the agent's entitlement to act.

The Japan Patent Office has notified a change in the conditions under which it will accept English as a language in which the Office will conduct international search. Footnote 3 to Annex D (JP) of the *PCT Applicant's Guide*, Vol. I/B, has therefore been deleted.

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annexes C (JP) and D (JP))

**LV Latvia (institutions with which deposits of microorganisms and other biological material may be made)**

The address of the Microbial Strain Collection of Latvia (MSCL), an international depository authority under the Budapest Treaty, has changed, as follows:

Microbial Strain Collection of Latvia (MSCL)  
Kronvalda Blvd. 4  
Riga LV-1586  
Latvia

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex L)

**MN Mongolia (competent International Searching and Preliminary Examining Authorities)**

The Mongolian Intellectual Property Office, in its capacity as receiving Office, has, for international applications filed with it in English, specified the Korean Intellectual Property Office, in addition to the European Patent Office and the Russian Patent Office, as a competent International Searching and Preliminary Examining Authority. (The European Patent Office is a competent International Preliminary Examining Authority only if the international search is being or has been performed by the European Patent Office.)

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (MN))

**PT Portugal (fees)**

The amounts of the following national fees, payable to the National Institute of Industrial Property (Portugal) as designated (or elected) Office, changed with effect from 1 July 2004:

for patent:	
filing fee:	EUR 113.63
examination fee:	EUR 206.60
fee for submission of any document:	EUR 5.17
for utility model:	
filing fee:	EUR 113.63
examination fee:	EUR 206.60
fee for submission of any document:	EUR 5.17

(Updating of *PCT Applicant's Guide*, Vol. II/D, National Chapter, Summary (PT))

#### **UA Ukraine (location and mailing address)**

The location and mailing address of State Department of Intellectual Property (SDIP), Ministry of Education and Science of Ukraine, have changed. The addresses are as follows:

location and mailing address:

SDIP  
8, Lvivska ploscha  
04655, Kyiv-53  
DSP-655  
Ukraine

Ukrainsky Instytut Ppomyslovoi Vlasnosti  
Ukrainian Industrial Property Institute  
(Ukrpatent)  
1, Glazunova Street  
01601, Kyiv 42  
Ukraine

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (UA))

#### **Search fee and other fees relating to the international search (Canadian Patent Office)**

The amount of the fee payable in CAD for an international search carried out by the Canadian Patent Office, as well as other fees payable to that Office, have been established, as follows:

search fee:	CAD 1,600
additional fee:	CAD 1,600
fee for copies of documents cited in the international search report, per page:	CAD 1

Further information on fees payable to the Office as International Searching Authority, including conditions for and the extent of refunds of fees, will be published shortly in a provisional sheet for the *PCT Applicant's Guide*, Vol. I/B, Annex D (CA).

#### **Preliminary examination fee and fees relating to the international preliminary examination (Canadian Patent Office)**

The amount of the fee payable in CAD for an international preliminary examination carried out by the Canadian Patent Office, as well as other fees payable to that Office, have been established, as follows:

preliminary examination fee:	CAD 800
additional preliminary examination fee:	CAD 800
fee for copies of documents cited in the international preliminary examination report and for copies of documents contained in the file of the international application, per page:	CAD 1

Further information on fees payable to the Office as International Preliminary Examining Authority, including conditions for and the extent of refunds of fees, will be published shortly in a provisional sheet for the *PCT Applicant's Guide*, Vol. I/B, Annex E (CA).

#### **New/Updated PCT Materials on the Internet**

(PCT homepage: [www.wipo.int/pct/en/](http://www.wipo.int/pct/en/))

#### **Video presentation about amendments to PCT Regulations**

WIPO has produced a video presentation in Spanish outlining the amendments to the PCT Regulations which entered into force on 1 January 2004, which is now available on the PCT website. This is in addition to the video presentations in English, French, German and Japanese that were already available.

The video includes information on the enhanced international search and preliminary examination system, the new designation system and changes regarding access to the file. The Spanish video, featuring Diego Carrasco Pradas (Acting Director, PCT External Legal Relations Division), is available at:

[www.wipo.int/pct/es/video/index.html](http://www.wipo.int/pct/es/video/index.html)

#### **New Monthly Statistical Indicators Report on PCT Activities**

See page 2.

## **Modification of the Administrative Instructions Under the PCT**

See "Modification of the technical standard for the electronic filing and processing of international applications," on page 3.

## **Administrative Instructions Under the PCT (Corrigendum)**

The texts of Sections 110, 307 and 404, as set out in the consolidated version of the Administrative Instructions Under the PCT in document PCT/AI/2 and the Special Issue of the *PCT Gazette*, No. S-04/2004, dated 1 July 2004, should read as reproduced below. This corrigendum will shortly be available from:

[www.wipo.int/pct/en/texts/index.html](http://www.wipo.int/pct/en/texts/index.html)

### **Section 110**

#### **Dates**

Any date in the international application, or used in any correspondence emanating from International Authorities relating to the international application, shall be indicated by the Arabic number of the day, by the name of the month, and by the Arabic number of the year. The receiving Office, where the applicant has not done so, or the International Bureau, where the applicant has not done so and the receiving Office fails to do so, shall, after, above, or below any date indicated by the applicant in the request, repeat the date, in parentheses, by indicating it by two-digit Arabic numerals each for the number of the day and for the number of the month followed by the number of the year in four digits, in that order and with a period, slant or hyphen after the digit pairs of the day and of the month (for example, "20 March 2004 (20.03.2004)," "20 March 2004 (20/03/2004)," or "20 March 2004 (20-03-2004)").

### **Section 307**

#### **System of Numbering International Applications**

(a) Papers purporting to be an international application under Rule 20.1(a) shall be marked with the international application number, consisting of the letters "PCT," a slant, the two-letter code referred to in Section 115, indicating the receiving Office, a four-digit indication of the year in which such papers were first received, a slant and a six-digit number, allotted in sequential order corresponding to the order in which the international applications are received

(e.g., "PCT/SE2004/000001"). Where the International Bureau acts as receiving Office, the two-letter code "IB" shall be used.

(b) [No change]

### **Section 404**

#### **International Publication Number of International Application**

The International Bureau shall assign to each published international application an international publication number which shall be different from the international application number. The international publication number shall be used on the pamphlet and in the Gazette entry. It shall consist of the two-letter code "WO" followed by a four-digit indication of the year of publication, a slant, and a serial number consisting of six digits (e.g., "WO 2004/123456").

## **Types of Protection Available in PCT Contracting States**

Since the publication in *PCT Newsletter* No. 08/2003 of a table setting out, for each Contracting State, the types of protection which can be obtained via the PCT, several States have become bound by the PCT, and there have also been changes in the types of protection, other than patents, which are available in certain States. An update of that table appears on pages 9 to 12.

## **States Party to the PCT and the Paris Convention and Members of the World Trade Organization**

It is recalled that under PCT Rule 4.10(a), it is possible to claim in an international application the priority of one or more earlier applications filed in, or for, any country party to the Paris Convention for the Protection of Industrial Property or in, or for, any Member of the World Trade Organization (WTO) that is not party to that Convention. The table on pages 13 to 15, which lists the Contracting States of the PCT, together with the States party to the Paris Convention and Members of the WTO, updates the table which was published in *PCT Newsletter* No. 08/2003.

## **Change to the Publication Schedule**

Due to the fact that Thursday, 9 September 2004 will be a non-working day at WIPO, the *PCT Gazette* and the PCT pamphlets (published PCT applications) will be published on

Friday, 10 September instead of on Thursday, 9 September.

Note that, in this instance, the completion of technical preparations for publication will take place on the usual day of the week, that is, on Wednesday, 25 August 2004.

## Practical Advice

### ***Filing international applications using the PCT-SAFE software: the filing of “dummy” applications***

*Q: I am the agent for a corporation which has been filing the request part of international applications for a number of years using the PCT-EASY software. We plan to file our international applications in their entirety electronically, using the PCT-SAFE software, as soon as our usual receiving Office has announced that it will accept such filings. Is it possible to prepare some “dummy” applications first so that we can get used to the system well before we actually start using it for filing “real” applications?*

A: You can file “dummy” applications in the “demo” mode of the PCT-SAFE software, and indeed, it is strongly recommended to do so. By doing this, you will be able to try the software out and thus be familiar with it once your receiving Office announces that it has started accepting international applications filed electronically using PCT-SAFE. This will reduce the risk of making any mistakes when you start filing real PCT applications electronically.

WIPO has set up a PCT-SAFE “demo environment” so that PCT users can practice and familiarize themselves with the PCT-SAFE system, filing to the demo server of the International Bureau in its capacity as receiving Office (RO/IB). The PCT-SAFE software gives you the opportunity to select whether the filing is being done in “demo mode” or, if it is a real filing, in “production mode.” If the demo mode has been selected, the application will be filed to a “demo server” and a demo receipt will be issued by WIPO confirming that the demo filing has been successfully completed. Note that applications filed in the demo environment must not contain any confidential or sensitive data.

To submit an international application (demo or real) on-line, you will need a digital certificate which can be obtained, free-of-charge, from WIPO via a simple on-line enrollment procedure through the PCT-SAFE website ([www.wipo.int/pct-safe/en/certificates.htm](http://www.wipo.int/pct-safe/en/certificates.htm)). It is

used by the system in digitally signing the international application and in creating a secure connection with the receiving Office for the transmission of the international application package.

To familiarize yourself with the use of different filing formats, it is recommended that you practice filing demo applications in a non-character coded format, for example in PDF format, as well as in a character coded format, that is, XML format. This is important since some receiving Offices which accept international applications filed fully electronically will only accept applications in certain formats. For example, the Japan Patent Office will only accept the application in character coded format. The PCT-SAFE system includes the PCT-SAFE Editor software which allows users to create their applications in XML format; no prior knowledge of XML is required. This software is also free of charge.

For further information on the use of the PCT-SAFE software, see the PCT-SAFE website at:

[www.wipo.int/pct-safe/en/index.htm](http://www.wipo.int/pct-safe/en/index.htm)

in particular the “support to PCT-SAFE users” at:

[www.wipo.int/pct-safe/en/support/index.htm](http://www.wipo.int/pct-safe/en/support/index.htm)

and “frequently asked questions” at:

[www.wipo.int/pct-safe/en/support/help/faq.htm](http://www.wipo.int/pct-safe/en/support/help/faq.htm)

You can also send your questions to the PCT-SAFE Help Desk at:

[PCTSafe.help@wipo.int](mailto:PCTSafe.help@wipo.int)

Another very useful source of information, which has been written by a PCT user, Carl Oppedahl, is entitled “How to e-file a “demo” PCT application with the RO/IB”. This article is available on the Internet at:

[www.patents.com/pct/efile1](http://www.patents.com/pct/efile1)

but can also be accessed from the PCT-SAFE website under “E-filing website and discussion group—by PCT users.”

Note that even though your usual receiving Office is not yet ready to accept international applications in electronic form, a national or resident of **any** PCT Contracting State can file an international application electronically with the RO/IB as receiving Office (see *PCT Newsletter* No. 02/2004, cover page). General information on the filing of international applications with the RO/IB is available at:

[www.wipo.int/pct/en/filing/filing.htm](http://www.wipo.int/pct/en/filing/filing.htm)

<b>PCT Seminar Calendar</b>			
<b>Dates and location</b>	<b>Language of seminar</b>	<b>Nature of seminar; WIPO speakers (and others where known)</b>	<b>Organizer and contact numbers</b>
<b>7–8 October 2004 Minneapolis (US)</b>	English	PCT update in the framework of the meeting of the Midwest Intellectual Property Institute WIPO speaker: Mr. Maassel Other speakers: Ms. Chasser (USPTO), Mr. Godici (USPTO), Ms. Peters (US Copyright Office)	Midwest Intellectual Property Institute Tel: (1–800) 759 88 40 Fax: (1–651) 227 62 62 (addressed to “Registrar”) E-mail: registrar@minncle.org
<b>12 October 2004 Frankfurt (DE)</b>	German	PCT update seminar WIPO speaker: Mr. H.G. Bartels	Forum Institut für Management GmbH Tel: (49–6221) 500 500 Fax: (49–6221) 500 505 E-mail: Management@Forum-Institut.de
<b>24–26 October 2004 Indian Wells, California (US)</b>	English	Advanced PCT seminar WIPO speaker: Mr. Maassel	Patent Resources Group, Inc. Tel: (1–434) 974 17 00 Fax: (1–434) 975 20 66
<b>28–30 October 2004 Indian Wells, California (US)</b>	English	Basic PCT seminar WIPO speaker: Mr. Maassel	Patent Resources Group, Inc. Tel: (1–434) 974 17 00 Fax: (1–434) 975 20 66
<b>4–5 November 2004 San Francisco (US)</b>	English	Advanced PCT seminar WIPO speakers: Mr. Reischle and Mr. Maassel	Intellectual Property International (Ms. Virginia H. Meyer) Tel: (1–415) 289 74 71 Fax: (1–415) 331 60 68 E-mail: IPI@IPISeminars.com Internet: www.ipiseminars.com
<b>8–9 November 2004 Chicago (US)</b>	English	Advanced training course on the PCT, including PCT-SAFE training (which was previously indicated for 10 November 2004) WIPO speakers: Mr. Reischle and Mr. Maassel	The John Marshall Law School Department of Event Management Tel: (1–312) 987 14 20 Fax: (1–312) 427 71 28 E-mail: Events@jmls.edu
<b>17–18 November 2004 Paris (FR)</b>	French	Seminar on PCT procedures WIPO speakers: Mr. Genin and Ms. Bonvallet	Institut national de la propriété industrielle (INPI) (Mrs. Christiane Sadrin) Tel: (33–1) 53 04 55 76 Fax: (33–1) 42 93 63 52
<b>17–18 November 2004 Dedham, Massachusetts (US)</b>	English	PCT seminar WIPO speaker: Mr. Maassel Other speakers: Ms. Bidwell (USPTO) and Mr. Reed (The Procter & Gamble Company (US))	Boston Patent Law Association Ms. Christine O’Day Tel: (1–617) 517 55 58 Fax: (1–617) 439 41 70 E-mail: coday@edwardsangell.com Internet: www.bpla.org
<b>19 November 2004 London (GB)</b>	English	PCT presentation at the Annual Senior Patent Administrators’ Conference WIPO speaker: Mr. Reischle	Management Forum Ltd Tel: (44–1483) 57 00 99 Fax: (44–1483) 53 64 24 E-mail: josephine.leak@management-forum.co.uk
<b>25–26 November 2004 Frankfurt (DE)</b>	German	Advanced PCT seminar WIPO speaker: to be announced	Forum Institut für Management GmbH Tel: (49–6221) 500 500 Fax: (49–6221) 500 505 E-mail: Management@Forum-Institut.de
<b>9–10 December 2004 London (GB)</b>	English	Advanced PCT formalities seminar WIPO speaker: to be announced	Management Forum Ltd Tel: (44–1483) 57 00 99 Fax: (44–1483) 53 64 24 E-mail: josephine.leak@management-forum.co.uk



Types of Protection Available via the PCT in PCT Contracting States (situation on 1 August 2004)								
State	National patent	ARIPO patent (AP)	Eurasian patent (EA)	European patent (EP)	OAPI patent (OA)	Utility model instead of national patent	Utility model in addition to national patent	Other
AE	X					X		Patent of addition
AG <sup>1</sup>	X							
AL	X					X		Extension of EP patent <sup>2</sup>
AM	X		X			X		Provisional patent
AT	X			X		X	X	Patent of addition
AU	X							Patent of addition
AZ	X		X			X		
BA	X							Patent of addition
BB	X							
BE				X				
BF					X	OAPI utility model		OAPI certificate of addition
BG	X			X		X		
BJ					X	OAPI utility model		OAPI certificate of addition
BR	X					X		Certificate of addition
BW <sup>1</sup>	X	X				ARIPO utility model	ARIPO utility model	
BY	X		X			X		
BZ	X					X		
CA	X							
CF					X	OAPI utility model		OAPI certificate of addition
CG					X	OAPI utility model		OAPI certificate of addition
CH + LI	X			X				
CI					X	OAPI utility model		OAPI certificate of addition
CM					X	OAPI utility model		OAPI certificate of addition
CN	X					X		
CO	X					X		
CR	X					X		
CU	X							Patent of addition, inventor's certificate, inventor's certificate of addition
CY				X				
CZ	X			X		X	X	
DE	X			X		X	X	Patent of addition
DK	X			X		X	X	
DM <sup>1</sup>	X							

[continued on next page]

Types of Protection Available via the PCT in PCT Contracting States <i>[continued]</i> (situation on 1 August 2004)								
State	National patent	ARIPO patent (AP)	Eurasian patent (EA)	European patent (EP)	OAPI patent (OA)	Utility model instead of patent	Utility model in addition to patent	Other
DZ	X							Certificate of addition
EC	X					X		
EE	X			X		X	X	
EG <sup>1</sup>	X							
ES	X			X		X		Patent of addition
FI	X			X		X	X	
FR				X				
GA					X	OAPI utility model		OAPI certificate of addition
GB	X			X				
GD <sup>1</sup>	X							
GE	X					X		
GH	X	X				ARIPO utility model	ARIPO utility model	Utility certificate
GM	X	X				ARIPO utility model	ARIPO utility model	
GN					X	OAPI utility model		OAPI certificate of addition
GQ					X	OAPI utility model		OAPI certificate of addition
GR				X				
GW					X	OAPI utility model		OAPI certificate of addition
HR	X							"Consensual patent," extension of EP patent <sup>2</sup>
HU	X			X		X		
ID	X							
IE				X				
IL	X							Patent of addition
IN	X							Patent of addition
IS	X							
IT				X				
JP	X					X		
KE	X	X				National or ARIPO utility model	ARIPO utility model	
KG	X		X			X		
KP	X					X		Inventor's certificate
KR	X					X		
KZ	X		X			X		Provisional patent
LC	X							
LI (see CH)								
LK	X							
LR	X							

*[continued on next page]*

Types of Protection Available via the PCT in PCT Contracting States <i>[continued]</i> (situation on 1 August 2004)								
State	National patent	ARIPO patent (AP)	Eurasian patent (EA)	European patent (EP)	OAPI patent (OA)	Utility model <b>instead of</b> patent	Utility model <b>in addition to</b> patent	Other
LS	X	X				National or ARIPO utility model	ARIPO utility model	
LT	X							Extension of EP patent <sup>2</sup>
LU	X			X				
LV	X							Extension of EP patent <sup>2</sup>
MA	X							Certificate of addition
MC				X				
MD	X		X			X		
MG	X							Certificate of addition
MK	X							Patent of addition, extension of EP patent <sup>2</sup>
ML					X	OAPI utility model		OAPI certificate of addition
MN	X							
MR					X	OAPI utility model		OAPI certificate of addition
MW	X	X				ARIPO utility model	ARIPO utility model	Patent of addition
MX	X					X		
MZ	X	X				National or ARIPO utility model	ARIPO utility model	
NA <sup>1</sup>	X	X				ARIPO utility model	ARIPO utility model	
NE					X	OAPI utility model		OAPI certificate of addition
NI	X					X		
NL				X				
NO	X							
NZ	X							Patent of addition
OM <sup>1</sup>	X							
PG <sup>1</sup>	X							
PH	X					X		
PL	X			X		X		Patent of addition
PT	X			X		X	X	
RO	X			X <sup>3</sup>				Extension of EP patent <sup>2,4</sup>
RU	X		X			X		
SC <sup>1</sup>	X							
SD	X	X				ARIPO utility model	ARIPO utility model	
SE	X			X				
SG	X							

*[continued on next page]*

Types of Protection Available via the PCT in PCT Contracting States <i>[continued]</i> (situation on 1 August 2004)								
State	National patent	ARIPO patent (AP)	Eurasian patent (EA)	European patent (EP)	OAPI patent (OA)	Utility model instead of patent	Utility model in addition to patent	Other
SI	X <sup>5</sup>			X <sup>6</sup>				Patent of addition <sup>5</sup> extension of EP patent <sup>2,5</sup>
SK	X			X		X	X	
SL	X	X				National or ARIPO utility model	ARIPO utility model	
SN					X	OAPI utility model		OAPI certificate of addition
SY <sup>1</sup>	X							
SZ		X				ARIPO utility model	ARIPO utility model	
TD					X	OAPI utility model		OAPI certificate of addition
TG					X	OAPI utility model		OAPI certificate of addition
TJ	X		X			X		
TM	X		X					Provisional patent
TN	X							
TR	X			X		X		Patent of addition
TT	X							Utility certificate
TZ	X	X				ARIPO utility model	ARIPO utility model	
UA	X					X		
UG	X	X				ARIPO utility model	ARIPO utility model	Utility certificate
US	X							
UZ	X					X		
VC	X							
VN	X							Patent for utility solution
YU	X							Patent of addition, petty patent
ZA	X							Patent of addition
ZM <sup>1</sup>	X	X				ARIPO utility model	ARIPO utility model	
ZW	X	X				ARIPO utility model	ARIPO utility model	Patent of addition

1 Information regarding any other type of national protection is not yet available.

2 A request for an extension may be made at the time of entry into the regional phase before the European Patent Office, provided that the designations of EP and/or of the State concerned have not been withdrawn, or, in the case of international applications filed before 1 January 2004, provided that the PCT application contained designations both for a European patent and of the State concerned. Such a request may not be made in the PCT request form itself. See the *PCT Applicant's Guide*, Vol. I/A, paragraphs 41 and 89, and Vol. II/A, National Chapter (EP) for further details as to the procedure to be followed.

3 Only for international applications filed on or after 1 March 2003.

4 Only for international applications filed before 1 March 2003.

5 Only for international applications filed before 1 December 2002.

6 Only for international applications filed on or after 1 December 2002.

**States Party to the PCT and the Paris Convention  
and Members of the World Trade Organization**  
(situation on 1 August 2004)

States/Members	PCT (123)	Paris (168)	WTO (147)	States/Members	PCT	Paris	WTO
Albania (AL)	X	X	X	Cameroon (CM)	X	X	X
Algeria (DZ)	X	X	–	Canada (CA)	X	X	X
Andorra (AD)	–	X	–	Central African Republic (CF)	X	X	X
Angola (AO)	–	–	X	Chad (TD)	X	X	X
Antigua and Barbuda (AG)	X	X	X	Chile (CL)	–	X	X
Argentina (AR)	–	X	X	China (CN)	X <sup>1</sup>	X <sup>1,2</sup>	X
Armenia (AM)	X	X	X	Colombia (CO)	X	X	X
Australia (AU)	X	X	X	Congo (CG)	X	X	X
Austria (AT)	X	X	X	Costa Rica (CR)	X	X	X
Azerbaijan (AZ)	X	X	–	Côte d'Ivoire (CI)	X	X	X
Bahamas (BS)	–	X	–	Croatia (HR)	X	X	X
Bahrain (BH)	–	X	X	Cuba (CU)	X	X	X
Bangladesh (BD)	–	X	X	Cyprus (CY)	X	X	X
Barbados (BB)	X	X	X	Czech Republic (CZ)	X	X	X
Belarus (BY)	X	X	–	Democratic People's Republic of Korea (KP)	X	X	–
Belgium (BE)	X	X	X	Democratic Republic of the Congo (CD)	–	X	X
Belize (BZ)	X	X	X	Denmark (DK)	X	X	X
Benin (BJ)	X	X	X	Djibouti (DJ)	–	X	X
Bhutan (BT)	–	X	–	Dominica (DM)	X	X	X
Bolivia (BO)	–	X	X	Dominican Republic (DO)	–	X	X
Bosnia and Herzegovina (BA)	X	X	–	Ecuador (EC)	X	X	X
Botswana (BW)	X	X	X	Egypt (EG)	X	X	X
Brazil (BR)	X	X	X	El Salvador (SV)	–	X	X
Brunei Darussalam (BN)	–	–	X	Equatorial Guinea (GQ)	X	X	–
Bulgaria (BG)	X	X	X	Estonia (EE)	X	X	X
Burkina Faso (BF)	X	X	X	European Communities	–	–	X
Burundi (BI)	–	X	X	Fiji (FJ)	–	–	X
Cambodia (KH)	–	X	–	Finland (FI)	X	X	X

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**States Party to the PCT and the Paris Convention  
and Members of the World Trade Organization [continued]**  
(situation on 1 August 2004)

States/Members	PCT	Paris	WTO	States/Members	PCT	Paris	WTO
France (FR)	X	X	X	Kyrgyzstan (KG)	X	X	X
Gabon (GA)	X	X	X	Lao People's Democratic Republic (LA)	–	X	–
Gambia (GM)	X	X	X	Latvia (LV)	X	X	X
Georgia (GE)	X	X	X	Lebanon (LB)	–	X	–
Germany (DE)	X	X	X	Lesotho (LS)	X	X	X
Ghana (GH)	X	X	X	Liberia (LR)	X	X	–
Greece (GR)	X	X	X	Libyan Arab Jamahiriya (LY)	–	X	–
Grenada (GD)	X	X	X	Liechtenstein (LI)	X	X	X
Guatemala (GT)	–	X	X	Lithuania (LT)	X	X	X
Guinea (GN)	X	X	X	Luxembourg (LU)	X	X	X
Guinea-Bissau (GW)	X	X	X	Macao, China (MO)	–	– <sup>2</sup>	X
Guyana (GY)	–	X	X	Madagascar (MG)	X	X	X
Haiti (HT)	–	X	X	Malawi (MW)	X	X	X
Holy See (VA)	–	X	–	Malaysia (MY)	–	X	X
Honduras (HN)	–	X	X	Maldives (MV)	–	–	X
Hong Kong, China (HK)	– <sup>1</sup>	– <sup>1</sup>	X	Mali (ML)	X	X	X
Hungary (HU)	X	X	X	Malta (MT)	–	X	X
Iceland (IS)	X	X	X	Mauritania (MR)	X	X	X
India (IN)	X	X	X	Mauritius (MU)	–	X	X
Indonesia (ID)	X	X	X	Mexico (MX)	X	X	X
Iran (Islamic Republic of) (IR)	–	X	–	Monaco (MC)	X	X	–
Iraq (IQ)	–	X	–	Mongolia (MN)	X	X	X
Ireland (IE)	X	X	X	Morocco (MA)	X	X	X
Israel (IL)	X	X	X	Mozambique (MZ)	X	X	X
Italy (IT)	X	X	X	Myanmar (MM)	–	–	X
Jamaica (JM)	–	X	X	Namibia (NA)	X	X	X
Japan (JP)	X	X	X	Nepal (NP)	–	X	X
Jordan (JO)	–	X	X	Netherlands (NL)	X	X	X
Kazakhstan (KZ)	X	X	–	New Zealand (NZ)	X	X	X
Kenya (KE)	X	X	X	Nicaragua (NI)	X	X	X
Kuwait (KW)	–	–	X	Niger (NE)	X	X	X

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**States Party to the PCT and the Paris Convention  
and Members of the World Trade Organization [continued]**  
(situation on 1 August 2004)

States/Members	PCT	Paris	WTO	States/Members	PCT	Paris	WTO
Nigeria (NG)	–	X	X	South Africa (ZA)	X	X	X
Norway (NO)	X	X	X	Spain (ES)	X	X	X
Oman (OM)	X	X	X	Sri Lanka (LK)	X	X	X
Pakistan (PK)	–	X	X	Sudan (SD)	X	X	–
Panama (PA)	–	X	X	Suriname (SR)	–	X	X
Papua New Guinea (PG)	X	X	X	Swaziland (SZ)	X	X	X
Paraguay (PY)	–	X	X	Sweden (SE)	X	X	X
Peru (PE)	–	X	X	Switzerland (CH)	X	X	X
Philippines (PH)	X	X	X	Syrian Arab Republic (SY)	X	X	–
Poland (PL)	X	X	X	Taiwan, Province of China (TW) <sup>3</sup>	–	–	X
Portugal (PT)	X	X	X	Tajikistan (TJ)	X	X	–
Qatar (QA)	–	X	X	Thailand (TH)	–	–	X
Republic of Korea (KR)	X	X	X	The former Yugoslav Republic of Macedonia (MK)	X	X	X
Republic of Moldova (MD)	X	X	X	Togo (TG)	X	X	X
Romania (RO)	X	X	X	Tonga (TO)	–	X	–
Russian Federation (RU)	X	X	–	Trinidad and Tobago (TT)	X	X	X
Rwanda (RW)	–	X	X	Tunisia (TN)	X	X	X
Saint Kitts and Nevis (KN)	–	X	X	Turkey (TR)	X	X	X
Saint Lucia (LC)	X	X	X	Turkmenistan (TM)	X	X	–
Saint Vincent and the Grenadines (VC)	X	X	X	Uganda (UG)	X	X	X
San Marino (SM)	–	X	–	Ukraine (UA)	X	X	–
Sao Tome and Principe (ST)	–	X	–	United Arab Emirates (AE)	X	X	X
Saudi Arabia (SA)	–	X	–	United Kingdom (GB)	X	X	X
Senegal (SN)	X	X	X	United Republic of Tanzania (TZ)	X	X	X
Serbia and Montenegro (YU)	X	X	–	United States of America (US)	X	X	X
Seychelles (SC)	X	X	–	Uruguay (UY)	–	X	X
Sierra Leone (SL)	X	X	X	Uzbekistan (UZ)	X	X	–
Singapore (SG)	X	X	X	Venezuela (VE)	–	X	X
Slovakia (SK)	X	X	X	Viet Nam (VN)	X	X	–
Slovenia (SI)	X	X	X	Zambia (ZM)	X	X	X
Solomon Islands (SB)	–	–	X	Zimbabwe (ZW)	X	X	X

1. China has notified the Director General of WIPO that the PCT and the Paris Convention apply also to the Hong Kong Special Administrative Region.
2. China has notified the Director General of WIPO that the Paris Convention applies also to the Macao Special Administrative Region.
3. Also referred to as “Chinese Taipei” or, within the context of the WTO, as “Separate Customs Territory of Taiwan, Penghu, Kinmen and Matsu.”

## PCT Fee Tables

### (amounts on 1 August 2004, unless otherwise indicated)

The following Tables show the amounts and currencies of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Vol. I/B, Annexes C, D and E. Note that all amounts are subject to change due to variations in the fees themselves or fluctuations in exchange rates. The international filing fee may be reduced by CHF 100 under certain circumstances where the request is prepared using the PCT-EASY features of the PCT-SAFE software or by CHF 200 or 300 where the international application is filed in electronic form, as prescribed; see footnotes 2 and 5 for details. A 75% reduction in the international filing fee (including the fee per sheet over 30) and the handling fee, as well as an exemption from the transmittal fee payable to the International Bureau as receiving Office, is also available to applicants from certain States—see footnotes 3 and 11. (Note that if the CHF 100, 200 or 300 reduction, as the case may be, and the 75% reduction are applicable, the 75% reduction is calculated **after** the CHF 100, 200 or 300 reduction.) The footnotes to the Fee Tables follow Table II.

#### Key to abbreviations used in fee tables:

eq	equivalent of –	BGL	Bulgarian lev	GBP	Pound sterling	LSM	Lesotho loti	SEK	Swedish krona
IPEA	International Preliminary Examining Authority	BRR	Brazilian real	GEL	Georgian lari	LTL	Lithuanian litas	SGD	Singapore dollar
ISA	International Searching Authority	BYR	Belarussian rouble	GHC	Ghanaian cedi	LVL	Latvian lat	SIT	Slovenian tolar
n a	not applicable	BZD	Belize dollar	HRK	Croatian kuna	MAD	Moroccan dirham	SKK	Slovak koruna
RO	receiving Office	CAD	Canadian dollar	HUF	Hungarian forint	MDL	Moldovan leu	TJS	Tajik somoni
		CHF	Swiss franc	IDR	Indonesian rupiah	MKD	Macedonian denar	TND	Tunisian dinar
		CNY	Yuan renminbi	ILS	New Israel shekel	MWK	Malawian kwacha	TTD	Trinidad and Tobago dollar
		COP	Colombian peso	INR	Indian rupee	MXP	Mexican peso	UAH	Ukrainian hryvnia
		CUP	Cuban convertible peso	ISK	Icelandic krona	NOK	Norwegian krone	USD	US dollar
AED	United Arab Emirates dirham	CYP	Cyprus pound	JPY	Japanese yen	NZD	New Zealand dollar	VND	Vietnamese dong
ALL	Albanian lek	CZK	Czech koruna	KES	Kenyan shilling	PHP	Philippine peso	YUD	Yugoslavian dinar
AMD	Armenian dram	DKK	Danish krone	KGS	Kyrgyz som	PLZ	Polish zloty	ZAR	South African rand
AUD	Australian dollar	DZD	Algerian dinar	KPW	KP won	ROL	Romanian leu	ZWD	Zimbabwe dollar
AZM	Azerbaijani manat	EEK	Estonian kroon	KRW	KR won	RUR	Russian rouble		
		EUR	Euro	KZT	Kazakh tenge	SDP	Sudanese pound		

**Table I(a) — Transmittal and international filing fees**  
(amounts on 1 August 2004, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>	International filing fee <sup>1,2,3</sup> (CHF 1,400)	Fee per sheet over 30 <sup>1,2,3,4</sup> (CHF 15)	PCT-EASY reduction <sup>2,5</sup> (CHF 100)	Competent ISA(s) <sup>6</sup>
AE	AED <sup>7</sup> —	AED eq CHF 1,400	15	n a	AT AU
AG	Information not yet available				
AL	ALL 9,000	CHF 1,400	15	n a	EP
AM	AMD 32,000	USD 1,134	12	81	EP RU
AP	USD 50 (or eq in local currency)	USD 1,134	12	81	AT EP SE
AT	EUR 50	EUR 902	10	64	EP
AU	AUD 100	AUD 1,525	16	109	AU
AZ	AZM 55,000	USD 1,134	12	n a	EP RU
BA	EUR 25.56	EUR 902	10	64	EP
BE	EUR 40	EUR 902	10	64	EP
BG	BGL 60	BGL eq CHF 1,400	eq CHF 15	eq CHF 100	EP RU
BR	BRR 236	BRR eq CHF 1,400	eq CHF 15	eq CHF 100	AT EP SE US
BW	Information not yet available				
BY	BYR eq USD 70	USD 1,134	12	n a	EP RU
BZ	BZD 220	USD 1,134	12	81	EP
CA	CAD 300	CAD 1,489	16	106	CA <sup>8</sup>
CH	CHF 100	CHF 1,400	15	100	EP
CN	CNY 500	CNY eq CHF 1,400	eq CHF 15	eq CHF 100	CN
CO	COP <sup>7</sup> —	COP eq USD 1,134	eq USD 12	eq USD 81	AT, EP, ES, RU
CR	USD 175	USD 1,134	12	81	EP ES
CU	USD (or eq CUP) 200	USD (or eq CUP) 1,134	12	81	AT EP ES RU
CY	CYP 75	CYP 526	6	n a	EP
CZ	CZK 1,500	CZK eq CHF 1,400	eq CHF 15	eq CHF 100	EP
DE	EUR 90	EUR 902	10	64	EP
DK	DKK 1,500	DKK 6,700	70	480	EP SE

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**Table I(a) — Transmittal and international filing fees [continued]**  
(amounts on 1 August 2004, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>	International filing fee <sup>1,2,3</sup> (CHF 1,400)	Fee per sheet over 30 <sup>1,2,3,4</sup> (CHF 15)	PCT-EASY reduction <sup>2,5</sup> (CHF 100)	Competent ISA(s) <sup>6</sup>
DM	Information not yet available				
DZ	DZD None	CHF 1,400	15	100	AT EP
EA	RUR eq USD 50	USD 1,134	12	81	EP RU
EC	USD <sup>7</sup> —	USD 1,134	12	81	EP ES
EE	EEK 1,800	EEK eq CHF 1,400	eq CHF 15	eq CHF 100	EP
EG	Information not yet available				
EP <sup>5</sup>	EUR 100	EUR 902	10	64	EP
ES <sup>5</sup>	EUR 63.99	EUR 902	10	64	EP ES
FI <sup>5</sup>	EUR 135	EUR 902	10	64	EP SE
FR <sup>5</sup>	EUR 60	EUR 902	10	64	EP
GB <sup>5</sup>	GBP 55	GBP 628	7	45	EP
GD	Information not yet available				
GE	GEL <sup>9</sup> 10	USD 1,134	12	81	EP RU
GH	GHC <sup>10</sup> 2,500 or 5,000	USD 1,134	12	n a	AT AU CN EP SE
GR	EUR 115	EUR 902	10	64	EP
HR	HRK 200	HRK eq CHF 1,400	eq CHF 15	eq CHF 100	EP
HU	HUF 10,000	HUF eq CHF 1,400	eq CHF 15	eq CHF 100	EP RU
IB <sup>5</sup>	CHF <sup>11</sup> 100 or EUR <sup>11</sup> 64 or USD <sup>11</sup> 81	CHF 1,400 or EUR 902 or USD 1,134	15 10 12	100 64 81	See footnote 12
ID	IDR 500,000	IDR eq CHF 1,400	eq CHF 15	eq CHF 100	AU EP RU
IE	EUR 76.00	EUR 902	10	64	EP
IL	ILS 476	USD 1,134	12	81	EP US
IN	INR 5,000 (filing by indiv: 1,500)	USD 1,134	12	81	AT AU CN EP SE US
IS	ISK 6,500	ISK 80,000	900	5,700	EP SE
IT	EUR 30.99	EUR 902	10	n a	EP
JP <sup>5</sup>	JPY 13,000	JPY 116,000 (from 1.9.04: 123,200)	1,200 (1,300)	8,300 (8,800)	EP JP
KE	USD (or KES equiv) 250 plus cost of mailing	USD 1,134	12	81	AT AU CN EP SE
KG	KGS eq USD 100	USD 1,134	12	81	EP RU
KP	KPW eq CHF 50	KPW eq CHF 1,400	eq CHF 15	eq CHF 100	AT RU
KR <sup>5</sup>	KRW 45,000	KRW 1,329,000	14,000	95,000	AT AU JP <sup>13</sup> KR
KZ	KZT <sup>7</sup> —	USD 1,134	12	81	EP RU
LR	USD 45	USD 1,134	12	n a	AT AU CN EP SE
LS	LSM <sup>7</sup> —	LSM eq CHF 1,400	eq CHF 15	n a	AT EP
LT	LTL 320	EUR 902	10	64	EP RU
LU	EUR 19	EUR 902	10	n a	EP
LV	LVL 47.20	USD 1,134	12	81	EP RU
MA	None	CHF 1,400	15	n a	AT EP RU SE
MC	EUR 49 <sup>14</sup>	EUR 902	10	n a	EP
MD	MDL 180	USD 1,134	12	81	EP RU
MK	MKD 2,750	MKD eq CHF 1,400	eq CHF 15	eq CHF 100	EP
MN	None	CHF 1,400	15	100	EP RU
MW	MWK 6,000	MWK 111,100	1,200	7,900	EP
MX	MXP <sup>3</sup> eq USD 200	MXP eq CHF 1,400	eq CHF 15	eq CHF 100	EP ES SE US
NA	Information not yet available				
NI	USD 200	USD 1,134	12	81	EP ES
NL	EUR 50	EUR 902	10	64	EP
NO	NOK 500	NOK 7,370	80	530	EP SE
NZ	NZD 180 <sup>15</sup>	NZD 1,624 (from 1.9.04: 1,772)	17 (19)	116 (127)	AU EP US

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**Table I(a) — Transmittal and international filing fees** [continued]  
(amounts on 1 August 2004, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>	International filing fee <sup>1,2,3</sup> (CHF 1,400)	Fee per sheet over 30 <sup>1,2,3,4</sup> (CHF 15)	PCT-EASY reduction <sup>2,5</sup> (CHF 100)	Competent ISA(s) <sup>6</sup>
OA	Information not yet available				
OM	Information not yet available				
PG	Information not yet available				
PH	PHP 3,500	USD 1,134	12	81	AU EP JP KR US
PL	PLZ 300	PLZ eq CHF 1,400	eq CHF 15	n a	EP
PT	EUR 30.99	EUR 902	10	64	EP
RO	ROL 300,000	CHF 1,400	15	100	AT EP RU
RU	RUR 294	USD 1,134	12	81	EP RU
SC	Information not yet available				
SD	SDP 50	SDP eq CHF 1,400	eq CHF 15	n a	EP
SE	SEK 1,200	SEK 8,140	90	580	EP SE
SG	SGD 150	SGD 1,926	21	138	AT AU EP
SI	SIT 22,000	SIT eq CHF 1,400	eq CHF 15	eq CHF 100	EP
SK	SKK 1,600	SKK eq CHF 1,400	eq CHF 15	eq CHF 100	EP
SY	Information not yet available				
TJ	TJS <sup>7</sup> —	USD 1,134	12	n a	EP RU
TM	USD <sup>7</sup> —	USD 1,134	12	81	EP RU
TN	TND <sup>7</sup> —	CHF 1,400	15	n a	EP
TR	CHF 100	CHF 1,400	15	100	EP
TT	TTD 750	USD 1,134	12	81	AT EP SE US
UA	UAH 255	USD (or eq UAH) 1,134	12	n a	EP RU
US	USD 300	USD 1,134	12	81	EP US
UZ	USD <sup>7</sup> —	USD 1,134	12	81	EP RU
VC	Information not yet available				
VN	VND eq USD 150	VND eq CHF 1,400	eq CHF 15	n a	AT AU EP KR RU SE
YU	YUD 3,000	YUD eq CHF 1,400	eq CHF 15	eq CHF 100	EP
ZA	ZAR 500	ZAR 7,490	80	530	AT AU EP US
ZM	Information not yet available				
ZW	ZWD 6,000	ZWD eq USD 1,134	eq USD 12	eq USD 81	AT AU CN EP RU

**Table I(b) — Search fees**  
(amounts on 1 August 2004, unless otherwise indicated)

ISA	Search fee <sup>1</sup>										
AT	EUR 159	CHF 247	KRW 235,000	SGD 310	USD 182	ZAR 1,320					
AU	AUD 1,200	CHF* 1,157	EUR* 738	KRW 1,014,000	NZD 1,370	SGD 1,490					
	USD 865	ZAR 5,800									
	* (from 1.9.04: CHF 1,050 EUR 684)										
CA	CAD 1,600	(equivalent amounts in other currencies are not available yet)									
CN	CNY 1,500	CHF 245	EUR 158	USD 181							
EP <sup>16</sup>	EUR 1,550	CAD 2,520	CHF 2,432	CYP 905	DKK 11,520	GBP 1,078					
	ISK 138,000	JPY 200,100	MWK 194,000	NOK 13,450	NZD 2,884	SEK 13,870					
	SGD 3,130	USD 1,920	ZAR 12,000								
ES <sup>16</sup>	EUR 1,550	CHF 2,432	USD 1,920								
JP	JPY 97,000	CHF* 1,168	EUR 752	KRW 1,066,000	USD 887						
	* (from 1.9.04: CHF 1,097)										
KR	KRW 225,000	CHF 238	EUR 151	USD 194							
RU <sup>17</sup>	USD 300	CHF 406	EUR 262								
SE	SEK 13,870	CHF 2,432	DKK 11,520	EUR 1,550	ISK 138,000	NOK 13,450					
	USD 1,920										
US	USD 1,000	300 <sup>18</sup>	CHF 1,318	395 <sup>18</sup>	EUR 787	254 <sup>18</sup>	NZD 1,570	470 <sup>18</sup>			
	ZAR* 6,600	2,000 <sup>18</sup>									

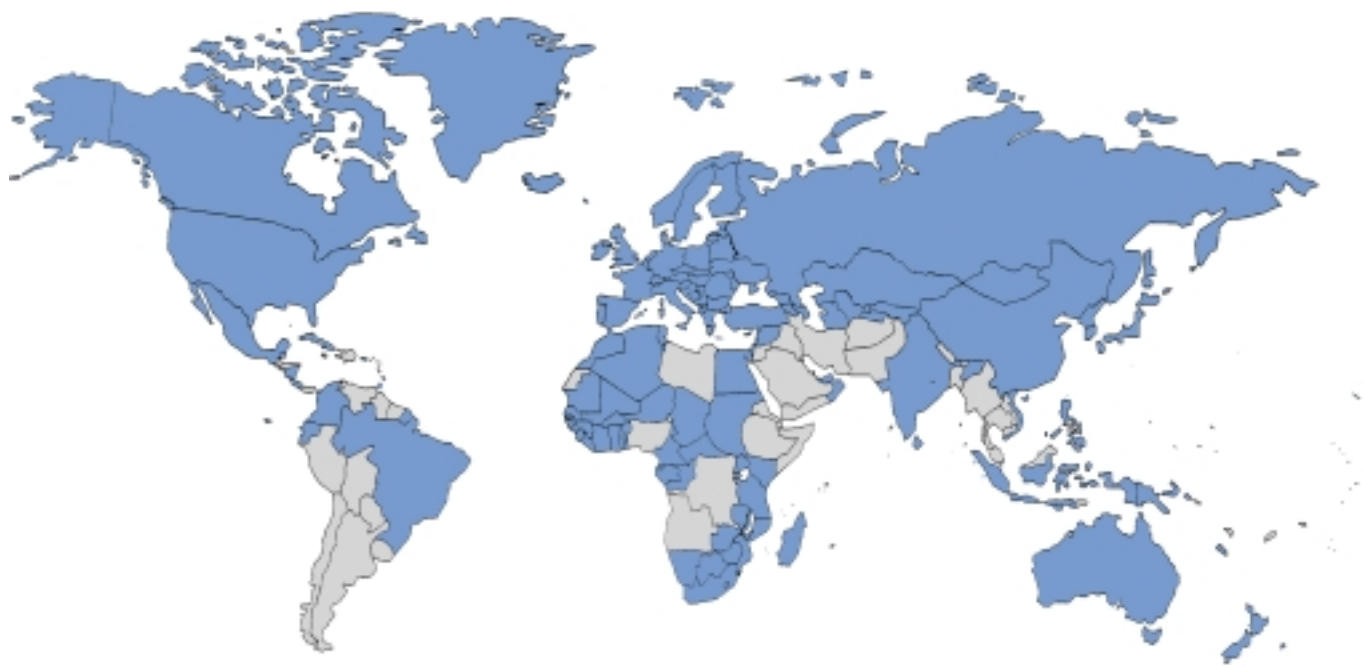
**Table II — Preliminary examination fees**  
(amounts on 1 August 2004, unless otherwise indicated)

IPEA	Preliminary examination fee <sup>19</sup>		Handling fee <sup>3,19</sup> (CHF 200)		
AT	EUR	159	EUR	129	
AU	AUD	550	1,000 <sup>20</sup>	AUD	218
CA	CAD	800	CAD (amount to be confirmed)		
CN	CNY	1,500	CNY eq CHF	200	
EP <sup>16</sup>	EUR	1,530	EUR	129	
ES	EUR	502.97	EUR	129	
JP	JPY	36,000	JPY	16,600 (from 1.9.04: 17,600)	
KR	KRW	225,000	KRW	190,000	
RU <sup>17</sup>	USD	200 <sup>21</sup>	300 <sup>22</sup>	USD	162
SE	SEK	5,000	SEK	1,160	
US	USD	600	750 <sup>23</sup>	USD	162

*Footnotes to fee tables:*

- 1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 2 The international filing fee is reduced by CHF 100 where: (a) the request is presented as a computer print-out prepared using the PCT-EASY features of the PCT-SAFE software; (b) the request is filed together with a computer diskette, prepared using that software, containing a copy in electronic form of the data contained in the request and of the abstract; and (c) the PCT application is filed with a receiving Office which is prepared to accept the filing of PCT applications containing requests in PCT-EASY format together with PCT-EASY diskettes.
- 3 This fee is reduced by 75% where the applicant or, if there are two or more applicants, each applicant fulfills the criteria indicated on page 27 of *PCT Newsletter* No. 12/2003, or on the PCT website at: [www.wipo.int/pct/en/fees/fee\\_reduction.pdf](http://www.wipo.int/pct/en/fees/fee_reduction.pdf)
- 4 Where the PCT application as filed contains a sequence listing part in computer readable form only, or both in that form and on paper, under Section 801 of the Administrative Instructions under the PCT, and where that application is filed with a receiving Office which is prepared to accept such filings, a fixed component of 400 times the fee per sheet over 30 is payable for the sequence listing part, irrespective of the actual length of that part (see Section 803(ii) of the *Administrative Instructions*).
- 5 Where the international application is filed in electronic form in accordance with and to the extent provided for in Part 7 and Annex F of the Administrative Instructions and where the international application is filed with a receiving Office which has notified the International Bureau under Section 710 of the Administrative Instructions that it is prepared to receive international applications in electronic form, the international filing fee is reduced by CHF 200 if the text of the description, claims and abstract is not in character coded format, and by CHF 300 if the text of the description, claims and abstract is in character coded format. Only the following receiving Offices have sent such a notification so far: RO/EP, ES, FI, FR, GB, IB, JP and KR. The equivalent amounts of those reductions in the relevant currencies are as follows: **CHF 200=EUR 129, from 9.8.04: GBP 90, KRW 190,000, USD 162; CHF 300=EUR 193, from 9.8.04: GBP 134, JPY 24,900 (from 1.9.04: JPY 26,400), KRW 285,000, USD 243.**
- 6 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- 7 The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 8 For international applications filed before 26 July 2004, the competent ISA is the European Patent Office. For international applications filed on or after 26 July 2004, the competent ISA is the Canadian Intellectual Property Office.
- 9 This fee is reduced by 80% where the applicant is a natural person.
- 10 The first amount of the transmittal fee is applicable to individuals or entities employing less than 25 persons. The second amount is applicable to entities employing 25 persons or more.
- 11 This fee is not payable in respect of international applications filed by applicants fulfilling the conditions indicated on page 27 of *PCT Newsletter* No. 12/2003, or on the PCT website at: [www.wipo.int/pct/en/fees/fee\\_reduction.pdf](http://www.wipo.int/pct/en/fees/fee_reduction.pdf).
- 12 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national. See also *PCT Applicant's Guide*, Vol. I/IB, Annex C (IB).
- 13 The Japan Patent Office is competent only for international applications in Japanese.
- 14 Plus EUR 1 for the preparation of additional copies, for each page and each copy.
- 15 Plus 12.5% GST (Goods and Services Tax) for New Zealand residents.
- 16 The search fee payable to the EPO and the Spanish Patent and Trademark Office, as well as the preliminary examination fee payable to the EPO, are reduced by 75% where the applicant or, if there are two or more applicants, each applicant is a natural person and is a national of and resides in a State not party to the European Patent Convention, which fulfils the requirements for the corresponding reduction of certain PCT fees as specified in Item 4(a) of the Schedule of Fees annexed to the PCT Regulations, and in accordance with the decision of the EPO's Administrative Council of 11 October 2000, the text of which was included in *PCT Newsletter* No. 11/2000. The States concerned are those indicated in part 1 of the table on page 27 of *PCT Newsletter* No. 12/2003 or on the PCT website at: [www.wipo.int/pct/en/fees/fee\\_reduction.pdf](http://www.wipo.int/pct/en/fees/fee_reduction.pdf), with the exception of Bulgaria, the Czech Republic, Estonia, Hungary, Poland, Romania, Slovakia and Turkey.
- 17 The applicant may, instead of paying the USD amount, pay the equivalent amount in RUR at the exchange rate applicable, on the date of payment, at the Central Bank of the Russian Federation, provided that, in the case of the search fee, the receiving Office accepts payment in RUR.
- 18 Payable when a corresponding prior US national application has been filed under 35 USC 111(a), the basic filing fee under 37 CFR 1.16(a) has been paid, and the prior US national application is identified by the application number if known, or if the application number is not known, by the filing date, title and name of applicant (and preferably by the application docket number), in the international application or accompanying the papers at the time of filing the international application.
- 19 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 20 Payable when the international search report was not issued by the Australian Patent Office.
- 21 Payable when the international search report was established by the Russian Patent Office.
- 22 In all cases where footnote 21 does not apply.
- 23 Payable when the international search was not carried out by the United States Patent and Trademark Office (USPTO) (provided that the USPTO is a competent International Preliminary Examining Authority in the particular case—see *PCT Applicant's Guide*, Vol. I/IB, Annex C (US)).

## PCT Contracting States and Two-letter Codes (123 on 1 August 2004)



AE United Arab Emirates	CR Costa Rica	IL Israel	ML Mali (OA) <sup>2</sup>	SY Syrian Arab Republic
AG Antigua and Barbuda	CY Cyprus (EP) <sup>2</sup>	IN India	MN Mongolia	SZ Swaziland (AP) <sup>2</sup>
AL Albania <sup>1</sup>	CZ Czech Republic (EP)	IS Iceland	MR Mauritania (OA) <sup>2</sup>	TD Chad (OA) <sup>2</sup>
AM Armenia (EA)	DE Germany (EP)	IT Italy (EP) <sup>2</sup>	MW Malawi (AP)	TG Togo (OA) <sup>2</sup>
AT Austria (EP)	DK Denmark (EP)	JP Japan	MX Mexico	TJ Tajikistan (EA)
AU Australia	DM Dominica	KE Kenya (AP)	MZ Mozambique (AP)	TM Turkmenistan (EA)
AZ Azerbaijan (EA)	DZ Algeria	KG Kyrgyzstan (EA)	NA Namibia (AP)	TN Tunisia
BA Bosnia and Herzegovina	EC Ecuador	KP Democratic People's Republic of Korea	NE Niger (OA) <sup>2</sup>	TR Turkey (EP)
BB Barbados	EE Estonia (EP)	KR Republic of Korea	NI Nicaragua	TT Trinidad and Tobago
BE Belgium (EP) <sup>2</sup>	EG Egypt	KZ Kazakhstan (EA)	NL Netherlands (EP) <sup>2</sup>	TZ United Republic of Tanzania (AP)
BF Burkina Faso (OA) <sup>2</sup>	ES Spain (EP)	LC Saint Lucia	NO Norway	UA Ukraine
BG Bulgaria (EP)	FI Finland (EP)	LI Liechtenstein (EP)	NZ New Zealand	UG Uganda (AP)
BJ Benin (OA) <sup>2</sup>	FR France (EP) <sup>2</sup>	LK Sri Lanka	OM Oman	US United States of America
BR Brazil	GA Gabon (OA) <sup>2</sup>	LR Liberia	PG Papua New Guinea	VN Viet Nam
BW Botswana (AP)	GB United Kingdom (EP)	LS Lesotho (AP)	PH Philippines	YU Serbia and Montenegro
BY Belarus (EA)	GD Grenada	LT Lithuania <sup>1</sup>	PL Poland (EP)	ZA South Africa
BZ Belize	GE Georgia	LU Luxembourg (EP)	PT Portugal (EP)	ZM Zambia (AP)
CA Canada	GH Ghana (AP)	LV Latvia <sup>1</sup>	RO Romania (EP)	ZW Zimbabwe (AP)
CF Central African Republic (OA) <sup>2</sup>	GM Gambia (AP)	MA Morocco	RU Russian Federation (EA)	
CG Congo (OA) <sup>2</sup>	GN Guinea (OA) <sup>2</sup>	MC Monaco (EP) <sup>2</sup>	SC Seychelles	
CH Switzerland (EP)	GQ Equatorial Guinea (OA) <sup>2</sup>	MD Republic of Moldova (EA)	SD Sudan (AP)	
CI Côte d'Ivoire (OA) <sup>2</sup>	GR Greece (EP) <sup>2</sup>	MG Madagascar	SE Sweden (EP)	
CM Cameroon (OA) <sup>2</sup>	HR Croatia <sup>1</sup>	MK The former Yugoslav Republic of Macedonia <sup>1</sup>	SG Singapore	
CN China	HU Hungary (EP)		SI Slovenia (EP) <sup>2</sup>	
CO Colombia	ID Indonesia		SK Slovakia (EP)	
	IE Ireland (EP) <sup>2</sup>		SL Sierra Leone (AP)	
			SN Senegal (OA) <sup>2</sup>	

<sup>1</sup> Extension of European patent possible.

<sup>2</sup> May only be designated for a regional patent (the "national route" via the PCT has been closed).

Where a State can be designated for a regional patent, the two-letter code for the regional patent concerned is indicated in parentheses (AP = ARIPO patent, EA = Eurasian patent, EP = European patent, OA = OAPI patent).

**Important:**

This list includes all States that have adhered to the PCT by the date shown in the heading. Any State indicated in **bold italics** has adhered to the PCT but will only become bound by the PCT on the date shown in parentheses; it will not be considered to have been designated in international applications filed before that date.

Note that even though the filing of a request constitutes under PCT Rule 4.9(a) the designation of all Contracting States bound by the PCT on the international filing date, for the grant of every kind of protection available and, where applicable, for the grant of both regional and national patents, applicants should always use the latest versions of the request form (PCT/RO/101) and demand form (PCT/IPEA/401) (the latest versions are dated January 2004) or, if filing the request using the PCT-EASY features of the PCT-SAFE software, the latest version of that software (the latest version is dated January 2004). The request and demand forms are reproduced in Annexes X and Y, respectively, in Vol. I/B of the *PCT Applicant's Guide* (January 2004 update), and can be printed from the WIPO Internet site, in editable PDF format, at: [www.wipo.int/pct/en/forms/index.htm](http://www.wipo.int/pct/en/forms/index.htm), or obtained from receiving Offices or the International Bureau, or, in the case of the demand form, also from International Preliminary Examining Authorities. The PCT-SAFE software is available from the PCT-SAFE website at: [www.wipo.int/pct-safe/en/index.htm](http://www.wipo.int/pct-safe/en/index.htm)