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# NEWSLETTER

January 2002

No. 01/2002

## FRANÇOIS CURCHOD RETIRES FROM WIPO AFTER 28 YEARS OF SERVICE

On 30 November 2001, François Curchod, Deputy Director General, retired from WIPO after 28 years of service, many of which were devoted to the PCT. Mr. Curchod was appointed Director of the PCT Division in 1981, just three years after the start of PCT operations, when the Office had a modest number of staff and processed some 4,500 international applications per year. He led PCT operations through these formative years, establishing effective procedures and working methods that paved the way for massive growth in the use of the PCT. In 1987 he was appointed Director of the Office of the Director General, and then afterwards, his responsibility for the PCT, among many other things, returned when he was elected Deputy Director General of WIPO. During the time in which he was Deputy Director General, the PCT experienced unprecedented growth with a more than fourfold increase in the total number of international applications filed, breaking through the 100,000 applications a year barrier in 2001. Throughout his career at WIPO, Mr. Curchod was dedicated to the PCT, presiding over key PCT meetings.

Mr. Curchod's considered attention, patience and experience will be greatly missed, and we wish him good luck in his new position as Adjunct Professor at the Centre for International Industrial Property Studies (CEIPI) at the

Robert Schuman University of  
Strasbourg, France.

## RATIONALIZATION OF THE INTERNATIONAL PRELIMINARY EXAMINATION PROCEDURE AT THE EPO

The continuing increase in the  
PCT search and examination

*[continued on page 2]*

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<i>Inserts</i> : <i>PCT Newsletter Annual Index</i> (2001); 2002 price lists for PCT publications	

[continued from cover page]

workload at the European Patent Office (EPO) has prompted the EPO to rationalize its procedures for international preliminary examination with effect from 3 January 2002. This rationalization is an interim measure, until the steps already taken or still pending in the current PCT reform become fully effective.

A central element in the rationalized procedure is that the result of the international search will serve as the basis for international preliminary examination, without involving the substantive examiner again

#### **PCT MATERIALS ON INTERNET**

(<http://www.wipo.int/pct/en/index.html>)

Welcome page, with links to what's new on the site  
PCT InfoLine (contacts)

PCT filing

- *Basic Facts about the PCT*
- Forms
- Fees
- Direct filing of PCT applications with the International Bureau as PCT receiving Office
- *PCT Applicant's Guide*

PCT-EASY

PCT E-filing

PCT legal texts and guidelines

- About the Treaty
- Texts of the Patent Cooperation Treaty, Regulations and Administrative Instructions and search facility for Articles under PCT
- PCT Receiving Office Guidelines
- PCT International Search Guidelines
- PCT International Preliminary Examination Guidelines
- PCT Contracting States
- Reservations and incompatibilities
- PCT legal texts index

*PCT Gazette*

PCT news

- *PCT Newsletter* (Nos. 01/1997–01/2002); Practical Advice published in the *PCT Newsletter* between March 1994 and December 1996 and search facility for all Practical Advice
- PCT Press Releases/Updates
- The PCT in 2000

Seminar calendar and seminar materials:

- seminar documents: March 2001 (English, French); May 2001 (Spanish, German)

PCT meetings (documents):

- Committee (21–25 May 2001) and Working Group (12–15 November 2001) on Reform of the PCT
- PCT Assembly: 1978–2001
- PCT Informal Consultation Meeting on Electronic Filing (July 2000); redraft of legal framework and technical standards for PCT electronic filing
- PCT Committee for Administrative and Legal Matters: 1999

\* \* \*

#### **PCT INFORMATION LINE**

Telephone: (41–22) 338 83 38  
Fax: (41–22) 338 83 39  
E-mail: [pct.infoline@wipo.int](mailto:pct.infoline@wipo.int)

unless the applicant files amendments and/or arguments, or expressly requests “detailed” (that is, non-rationalized) preliminary examination. The introduction of the EPO’s BEST (“Bringing Examination and Search Together”) project Office-wide means that the same examiner will carry out both search and substantive examination; that examiner will, even at the international search stage, take due account of the main requirements of international preliminary examination under PCT Article 33(1) (that is, novelty, inventive step and industrial applicability), reaching a provisional judgement which will later be set down in the first written opinion and the international preliminary examination report (IPER); all the EPO’s written opinions and IPERs will focus on those core aspects.

If an applicant files amendments and/or arguments, or expressly requests “detailed” preliminary examination (when filing the demand or later), the international application will always be dealt with by the substantive examiner again. Thus, the applicant determines whether the result of the international search becomes the basis for rationalized international preliminary examination, or whether a more detailed substantive examination is made.

#### **Implications on preliminary examination fee**

If the EPO has concluded the Chapter II procedure with a rationalized IPER, it will, according to Article 10d of the EPO Rules relating to Fees as in force from 3 January 2002, refund two thirds of the international preliminary examination fee at the same time as it transmits the IPER. This will apply to all international applications on which the EPO draws up a rationalized IPER as from 3 January 2002.

Note, however, that where such a refund has been given during the international phase, the full European examination fee is payable in the regional phase before the EPO as elected Office (see Article 12(2), second sentence, of the EPO Rules relating to Fees). Thus, applicants will only benefit from the 50% reduction in the European examination fee where a “detailed” IPER has been issued.

#### **Further rationalization measures**

The time limits fixed by the EPO for filing amendments or arguments under PCT

Rule 66.2(d) will be at the lower limit of the range allowed. Discussions with the applicant under PCT Rule 66.6 will take place only after the first written opinion has been responded to, and only by telephone. As a rule, only one single written opinion will be issued.

“Detailed” international preliminary examination at the EPO will focus on the claims defining the invention and the main requirements under Article 33(1). Other requirements (such as form, contents, clarity) will be covered only if this is essential for preliminary examination purposes. The written opinion and the IPER forms (PCT/IPEA/408 and 409) will normally contain no information concerning priority (Section II), cited documents (Section IV), defects in the application (Section VII) and comments on the international application (Section VIII).

The EPO will make full use of PCT Article 34(4), and will not perform international preliminary examination in cases where it applies. Likewise, no international preliminary examination would be carried out if the differences with the original application and the reasons for amendments have not been made sufficiently clear (see PCT Rule 66.8(a)).

The above text is based on information published in the *Official Journal of the EPO* No. 11/2001, which also includes examples of how these measures will affect applications with positive or negative international search reports; it is available on the EPO's Internet site at:

[http://www.european-patent-office.org/epo/pubs/oj001/11\\_01/11\\_5391.pdf](http://www.european-patent-office.org/epo/pubs/oj001/11_01/11_5391.pdf)

### **100,000 PCT APPLICATIONS RECEIVED IN LESS THAN A YEAR**

On 7 December 2001, WIPO received the 100,000th PCT application for the year 2001, with the result that, for the first time ever, the yearly total will exceed 100,000. In 2000, WIPO received 90,948 PCT applications, which represented a 22.9% increase over 1999. Since the PCT commenced operations in 1978, more than 680,000 international applications have been filed.

## **WORLD TRADE ORGANIZATION**

### **China and Taiwan, Province of China, become Members**

On 11 December 2001, China (country code: CN), which is already a member State of the PCT and of the Paris Convention, became a Member of the World Trade Organization (WTO). On 1 January 2002, Taiwan, Province of China (“Chinese Taipei” or “Separate Customs Territory of Taiwan, Penghu, Kinmen and Matsu” as referred to within the context of the WTO) became a Member of the WTO. This updates the table of Members of the WTO, the PCT and the Paris Convention which was published in *PCT Newsletter* No. 08/2001.

## **BUDAPEST TREATY**

### **Accession by the Democratic People's Republic of Korea**

On 21 November 2001, the Democratic People's Republic of Korea deposited its instrument of accession to the Budapest Treaty on the International Recognition of the Deposit of Microorganisms for the Purposes of Patent Procedure. That Treaty will enter into force with respect to the Democratic People's Republic of Korea on 21 February 2002.

## **MODIFICATIONS OF THE ADMINISTRATIVE INSTRUCTIONS RELATING TO THE ELECTRONIC FILING AND PROCESSING OF INTERNATIONAL APPLICATIONS**

Modifications of the *Administrative Instructions under the PCT* made under PCT Rule 89.2(b) will enter into force on 7 January 2002. The modifications comprise the addition of new Part 7 and new Annex F to the *Administrative Instructions* containing, respectively, the legal framework and technical standard necessary to enable the implementation of electronic filing and processing of international applications under the PCT, as provided for by PCT Rule 89*bis*.1. The modifications were made following consultations under PCT Rule 89.2(b) with receiving Offices, International Searching Authorities, International Preliminary Examining Authorities and designated and elected Offices, as well as consultations with organizations representing users of the PCT system.

The modifications will allow any PCT receiving Office having the necessary technical systems in place to be able to decide to accept the filing of international applications in electronic form in accordance with Part 7 and Annex F, provided they notify the International Bureau of their requirements; such requirements would then be published by the International Bureau.

It is important to note, however, that since no receiving Office has yet made such a notification, ***it is not yet possible for international applications to be filed in electronic form with any receiving Office*** pursuant to the new provisions.

The text of the modifications is reproduced in WIPO document PCT/AI/1 Rev.1 Add.2, which is available on the WIPO Internet site, together with other information concerning WIPO's electronic filing project, at:

[http://pcteasy.wipo.int/efiling\\_standards/EFPage.htm](http://pcteasy.wipo.int/efiling_standards/EFPage.htm)

and is also reproduced in a special issue of the *PCT Gazette* (see "PCT Publications," below).

## PCT PUBLICATIONS

### **PCT Gazette special issues**

Subscribers to the *PCT Gazette* automatically receive the special issues mentioned below. If you are not a subscriber to the *PCT Gazette* but would like to obtain either of the special issues mentioned below, you may order them from the Marketing and Distribution Section at WIPO at the address indicated on the cover page, or

by fax: (41-22) 740 18 12  
by e-mail: [publications.mail@wipo.int](mailto:publications.mail@wipo.int)  
electronic  
bookshop: [www.wipo.int/ebookshop](http://www.wipo.int/ebookshop)

The price per special issue is 24 Swiss francs or 15 US dollars by regular mail, and 28 Swiss francs or 17 US dollars by priority mail. Note that the special issues are also available in PDF format, free of charge, as part of the *PCT Gazette* on WIPO's Internet site ([http://www.wipo.int/pct/en/gazette/spec\\_issu/index.htm](http://www.wipo.int/pct/en/gazette/spec_issu/index.htm)).

### **(1) Modifications of the Administrative Instructions under the PCT which enter into force on 7 January 2002**

The modifications of the *Administrative Instructions under the PCT*, which enter

into force on 7 January 2002, were published in a special issue of the *PCT Gazette* dated 27 December 2001 (No. S-04/2001) (E) (English) and (F) (French). (See above for further information.)

### **(2) General information on Contracting States, national and regional Offices and International Authorities**

Consolidated general information relating to PCT Contracting States, national and regional Offices and International Authorities under the PCT is published in a special issue of the *PCT Gazette* dated 10 January 2002 (No. S-01/2002 (E) and (F)). It replaces the previous special issue containing consolidated general information, published on 12 July 2001 (No. S-02/2001 (E) and (F)).

### **PCT APPLICANT'S GUIDE UPDATE SHEETS**

The next half-yearly set of update sheets for the *PCT Applicant's Guide* (dated January 2002) is under preparation. Pink provisional sheets which have so far been included in last year's issues of the *PCT Newsletter*, as well as in this issue, and which have been inserted in the *PCT Applicant's Guide* by its users, should be removed from the *Guide* and replaced with the January 2002 update sheets when they are received.

The January 2002 update sheets for the *PCT Applicant's Guide* will also be incorporated shortly in the *PCT Applicant's Guide* on WIPO's Internet site.

Note that the above-mentioned special issue of the *PCT Gazette*, which is also available on the Internet, already contains Annexes A, B, C, D, E, L and the "Summaries" of the *Guide*, updated as of 10 January 2002.

### **GENERAL PCT INFORMATION MAILING LIST**

The PCT has launched a new e-mail updating service, enabling PCT users to be informed more rapidly about new developments in the PCT (accessions of new States to the PCT and related treaties, new information and materials made available on the PCT website, including the availability of new issues of the *PCT Newsletter* on the website, etc.)

You can subscribe to this e-mail list at <http://listbox.wipo.int/wreg/pct-general.html> and will also be able to search the collection of documents which have been sent via this new service.

### **EPO: FURTHER INFORMATION CONCERNING LIMITATIONS AS TO THE PCT APPLICATIONS FOR WHICH IT WILL ACT AS ISA AND/OR IPEA**

Further to the information published on the cover page of *PCT Newsletter* No. 12/2001 concerning the limitations as to the PCT applications for which the European Patent Office (EPO) will act as International Searching Authority and/or International Preliminary Examining Authority, the EPO has informed the International Bureau of the following:

“[The limitation criteria] also appl[y] to applications filed with the International Bureau [as receiving Office] rather than the USPTO by two or more applicants

- at least one of whom is a national or resident of the USA, and
- none of whom are nationals or residents of an EPC Contracting State.

“The limitation criteria [in respect of international preliminary examination] apply to all demands for international preliminary examination received at the EPO on or after 1 March 2002, which means they are also applicable to applications filed before 1 March 2002 for which the EPO was still the competent International Searching Authority.”

### **JAPAN: SO-CALLED “AUTOMATIC WITHDRAWAL” OF THE EARLIER APPLICATION**

The Japan Patent Office has informed the International Bureau that when a PCT application designating Japan claims priority of an earlier-filed Japanese application (whether a Japanese national application or a PCT application designating only Japan), the priority claim is regarded as a claim to Japanese internal priority, and consequently, the earlier Japanese application is considered withdrawn 15 months after its filing date.

The Japanese internal priority system was designed to enable improvements which are made to an invention which was the subject of an earlier-filed application to be

incorporated into subsequently-filed applications in Japan in such a manner that they are protected comprehensively and inclusively under the later filed application. In addition, the Japanese internal priority system works to effectively reduce the duplication of national publication and examination of two interrelated applications both filed in Japan.

If the applicant intends to maintain the earlier-filed Japanese application of which priority is claimed, he should ensure that the subsequently filed international application does not contain the designation of Japan.

For further information, contact the International Application Division at the Japan Patent Office:

telephone: (81-3) 3580 1665

fax: (81-3) 3501 0659

### **NON-WORKING DAYS AT THE INTERNATIONAL BUREAU**

For the purposes of computing time limits under PCT Rule 80.5, the days on which the International Bureau will not be open for business are, for the period up to the end of January 2003, the following:

All Saturdays and Sundays, and  
 1 January 2002  
 2 January 2002  
 22 February 2002  
 29 March 2002  
 1 April 2002  
 9 May 2002  
 20 May 2002  
 5 September 2002  
 25 December 2002  
 26 December 2002  
 1 January 2003  
 2 January 2003

### **PCT INFORMATION UPDATE**

#### **AM Armenia (fax number, e-mail and Internet addresses; fees)**

One of the fax numbers and the e-mail address of the Armenian Patent Office have changed, and the Office has notified its Internet address, as follows:

fax: (374-1) 54 34 67, 56 11 26

e-mail: [armpat@cornet.am](mailto:armpat@cornet.am)

Internet: [www.armpatent.org](http://www.armpatent.org)

The Office has established the following new amounts of the national fees, which are no longer in US dollars but in Armenian drams (AMD), payable to it as designated and elected office:

for patent:

filing fee:	AMD 20,000
claim fee for each independent claim in excess of one:	AMD 5,000
fee for priority claims, per priority:	AMD 10,000
substantive examination fee:	AMD 180,000
additional fee for each independent claim in excess of one:	AMD 140,000
annual fees for the 2nd and 3rd year, per year:	AMD 20,000

for utility model:

filing fee:	AMD 20,000
annual fees for the 2nd and 3rd year, per year:	AMD 20,000

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (AM) and Vol. II/A, National Chapter, Summary (AM))

**AP African Regional Industrial Property Organization (ARIPO) (means of telecommunication; telephone and fax numbers; e-mail addresses)**

The African Regional Industrial Property Organization has discontinued the use of its teleprinter, and the telephone and fax numbers, as well as the e-mail addresses of that Office have changed, as follows:

telephone:	(263-4) 79 40 54, 79 40 65, 79 40 66
fax:	(263-4) 79 40 73, 79 40 72
e-mail:	info@aripo.wipo.net aripo@ecoweb.co.zw

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B2 (AP))

**AZ Azerbaijan (e-mail address; types of protection; fees; special requirements)**

The e-mail address of the Azerbaijan Patent Office has changed, as follows:

e-mail:	Seyidov@azpat.org
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There has been a change in the types of national protection available in Azerbaijan via the PCT:

national: patents, utility models

The Office has established amounts of fees for utility models in USD, payable to it as designated and elected Office. The amounts are the same as the corresponding amounts for a patent. It has also notified an additional special requirement under PCT Rule 51*bis* for entry into the national phase before it as designated and elected Office, as follows:

name and address of the inventor if they have not been furnished in the "Request" part of the international application\*

\* This requirement may be satisfied if the corresponding declaration has been made in accordance with PCT Rule 4.17.

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (AZ) and Vol. II/A, National Chapter, Summary (AZ))

**BA Bosnia and Herzegovina (fees)**

The amounts of the following fees, payable to the Institute for Standards, Metrology and Intellectual Property of Bosnia and Herzegovina as receiving Office, are now payable in EUR, as follows:

transmittal fee, basic fee, supplement per sheet over 30, designation fee and PCT-EASY fee reduction: see Table I(a) and footnotes

fee for priority document:	EUR 18.40
plus, per page in excess of 20:	EUR 0.51

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (BA))

**BY Belarus (fax numbers; e-mail addresses)**

One of the fax numbers and two e-mail addresses of the Belarus Patent Office have been discontinued. The fax number which remains valid is as follows:

fax: (375-172) 84 06 68

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (BY))

**CA Canada (means of telecommunication)**

The requirements of the Canadian Patent Office concerning the furnishing of the original of a document transmitted by fax have changed, as follows:

must the original of the document be furnished in all cases?

no, only upon invitation

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (CA))

**CN China (requirements concerning the deposit of microorganisms and other biological material; fees; required contents of translation; special requirements)**

The China Intellectual Property Office has specified a new requirement concerning the deposit of microorganisms and other biological material. The following text should be added at the end of the current text relating to China in Annex L of the *PCT Applicant's Guide*:

...Deposits shall be made for the purposes of the patent procedure before, or at the latest on, the date of filing (or the priority date where priority is claimed).

The Office has introduced the following new fee for patents in CNY, payable to it as designated and elected Office (other fees not indicated have not changed), and has notified a change with regard to the reduction of the examination fee in certain cases:

for patent:

application publication fee	CNY	50
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exemptions, reductions or refunds of the national fee:

the examination fee is reduced by 20% where an international search has been carried out by the Japan Patent office, the Swedish Patent Office or the European Patent Office

The Office has also notified a change in its requirements concerning the contents of the translation for entry into the national phase, as well as an additional special requirement under PCT Rule 51*bis* for entry into the national phase, as follows:

under PCT Article 22:

request, description, claims (if amended, both as originally filed and as amended, if the applicant wishes the amendments to form the basis for the proceedings, together with any statement under PCT Article 19), any text matter of drawings, abstract

under PCT Article 39(1):

description, claims, any text matter of drawings, abstract (if any of those parts has been amended, both as originally filed and as amended by the annexes to the international preliminary examination report, if the applicant wishes the amendments to form the basis for the proceedings)

special requirements of the Office:

furnishing, where applicable, of a nucleotide and/or amino acid sequence listing in computer readable form

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex L, and Vol. II/A, National Chapter, Summary (CN))

**CY Cyprus (telephone and fax numbers)**

The telephone and fax numbers of the Department of Registrar of Companies and Official Receiver of Cyprus have changed, as follows:

telephone: (357-22) 40 43 01, 40 43 02

fax: (357-22) 30 48 87

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (CY))

**CZ Czech Republic (special provisions concerning the deposit of microorganisms and other biological material)**

The Industrial Property Office of the Czech Republic has specified special provisions concerning the deposit of microorganisms and other biological material, as indicated below:

time (if any) earlier than 16 months from priority date by which applicant must furnish:

the indications prescribed in Rule 13*bis*.3(a)(i) to (iii): the name of the depositary institution and the accession number:

– at the time of filing (as part of the application)

to the extent available to the applicant, relevant information on the characteristics of the biological material:

– at the time of filing (as part of the application)

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex L)



### DE Germany (requirements concerning agent)

There has been a change in the requirements as to who can act as agent before the German Patent and Trade Mark Office as receiving Office and as designated and elected Office, as follows:

who can act as agent?

any patent attorney or attorney-at-law\* resident in Germany, or, if an address for service is provided for through a patent attorney or an attorney-at-law resident in Germany, any national of a member State of the European Union or of a State party to the Agreement on the European Economic Area authorized to pursue certain professional activities (see Law on the Qualifying Examination for Gaining Admission to the Profession of Patent Attorney and Law on the Professional Activities of European Lawyers in Germany)

\* The list of patent attorneys may be obtained from the Patentanwaltskammer (Chamber of Patent Attorneys), Postfach 260108, 80058 München, Germany, and the list of attorneys-at-law from the Rechtsanwaltskammer (Chamber of Attorneys-at-Law), Joachimstrasse 1, 53113 Bonn, Germany.

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (DE), and Vol. II/A, National Chapter, Summary (DE))

### DK Denmark (special requirements)

The following special requirement under PCT Rule 51*bis* for entry into the national phase before the Danish Patent and Trade-mark Office as designated and elected Office **has been removed**:

appointment of an agent if the applicant is not resident in Denmark

(Updating of *PCT Applicant's Guide*, Vol. II/A, National Chapter, Summary (DK))

### EA Eurasian Patent Organization (location and mailing address; special requirements; requirements concerning agent)

The location and mailing address of the Eurasian Patent Office have changed, as follows:

location and mailing address:

2/6, M. Cherkassky per.  
Moscow, 101999  
Russian Federation

The Office has notified additional special requirements under PCT Rule 51*bis* for entry into the national phase before it as designated and elected Office, as well as additional information concerning agents, as follows:

- instrument of assignment of the priority right where the applicants are not identical\*
- translation of the amendments to the international application to be filed in triplicate (this applies in particular to the amendments annexed to the international preliminary examination report if the applicant wishes them to be taken into consideration for the proceedings before the Office)

who can act as agent?

any legal practitioner qualified to practice in patent matters in one of the States party to the Eurasian Patent Convention and inscribed in the register of patent attorneys kept in the Office. The list of registered patent attorneys may be obtained on the Internet at: <http://www.eapo.org/eng/information/attorneys.php3>

\* This requirement may be satisfied if the corresponding declaration has been made in accordance with PCT Rule 4.17

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B2 (EA) and Vol. II/A, National Chapter, Summary (EA))

### EP European Patent Office (fees)

As from 2 January 2002, there will be a change in respect of the cases in which the surcharge for late filing or late payment will apply, and, as from 3 January 2002, there will be changes in the amounts of the national fees in EUR payable to the EPO as designated and elected Office, as follows:

national fee, comprising:

- |   |             |     |
|---|-------------|-----|
| - national basic fee:   | EUR         | 125 |
| - designation fee for each EPO Contracting State designated and for the joint designation of Switzerland and Liechtenstein:                           | EUR         | 75  |
| - extension fee (for extension of the European patent to Albania, Latvia, Lithuania, Romania, Slovenia or the former Yugoslav Republic of Macedonia): | [no change] |     |



claims fee for the 11th and each subsequent claim: [no change]  
 search fee: [no change]  
 surcharge for late filing of either the translation of the international application or the request for examination, or for late payment of the national basic fee, the search fee or the designation fees:

50% of the relevant fees, but at least EUR 500 for late filing of the translation, up to a maximum of:  
 EUR 1,750  
 examination fee EUR 1,430  
 renewal fee for the third year: EUR 380

(Updating of *PCT Applicant's Guide*, Vol. II/A, National Chapter, Summary (EP))

#### **FR France (requirements concerning agent)**

There has been a change in the requirement of the National Institute of Industrial Property of France as to whether an agent is required by it as receiving Office, as follows:

is an agent required by the receiving Office?

no, if the applicant resides in a State member of the European Union or party to the Agreement on the European Economic Area

yes, if he is a non-resident

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (FR))

#### **IE Ireland (e-mail address; fees)**

The e-mail address of the Irish Patents Office has changed, as follows:

e-mail: patlib@entemp.ie

The amount of the following fee, payable in EUR to that Office as receiving Office, has changed:

transmittal fee: see Table I(a)

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (IE), and Vol. I/B, Annex C (IE))

#### **IL Israel (location; fees; telephone and fax numbers)**

The location and the telephone and fax numbers of the Israel Patent Office have

changed, as follows:

location:

4 Hasadna St.  
 Talpiot  
 Jerusalem 93420, Israel

telephone: (972-2) 565 17 05,  
 565 16 24, 565 16 45

fax: (972-2) 565 16 16

The amounts of the following fees, payable to that Office as receiving Office, have changed:

transmittal fee: see Table I(a)

fee for priority document: ILS 37  
 plus, per page: ILS 2.50

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annexes B1 (IL) and C (IL))

#### **IN India (e-mail and Internet address)**

The Indian Patent Office has notified its Internet address, and the Chennai branch office of the Indian Patent Office has an additional e-mail address, as follows:

Internet: www.patentoffice.nic.in

e-mail: patentchennai@vsnl.com  
 chpatent@tn.nic.in

Note that further changes of address, telephone and fax numbers and e-mail addresses have just been notified—these will be published in next month's issue, as well as in Section IV of *PCT Gazette* No. 02/2002.

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (IN))

#### **JP Japan (fax number; Internet address; competent International Searching Authorities; requirements concerning agent)**

The fax number and Internet address of the Japan Patent Office have changed, as follows:

fax: (81-3) 35 01 06 59 (general)  
 (81-3) 35 01 68 03 (filing of documents)

Internet: www.jpo.go.jp

The Office has also notified the International Bureau of a change in the availability of the European Patent Office (EPO) as a competent International Searching

Authority for international applications filed by nationals and residents of Japan: the EPO is competent only if the international application is filed in English.

There has been a change in the requirements as to who can act as agent before that Office as designated and elected Office, as follows:

who can act as agent?

any patent attorney, attorney-at-law or other person resident in Japan, or firm registered to practice before the Office

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (JP), Annex C (JP) and Vol. II/B, National Chapter, Summary (JP))

#### **KE Kenya (e-mail and Internet addresses)**

The e-mail address of the Kenyan Industrial Property Office has changed, and that Office has notified its Internet address, as follows:

e-mail: kipi@swiftkenya.com

Internet: www.kipo.ke.wipo.net

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (KE))

#### **KR Republic of Korea (telephone numbers)**

One of the telephone numbers of the Korean Intellectual Property Office has been discontinued. The remaining valid telephone number is as follows:

telephone: (82-42) 481 51 50

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (KR))

#### **KZ Kazakhstan (name of Office; location and mailing address, Internet addresses)**

The Kazakh Patent Office has notified changes in the name of the Office as well as in its location and mailing address, and has notified its Internet addresses, as follows:

name of Office:

Kazakhstan Respublikasy Adilet ministriginin Sanatkerlik menshik kuckygy zhonindegi komitetinin "Kazakhstan patenttik saraptama instituty" respublikalyk menlekettik kazynalyk kasiporny

location and mailing address:

National Public Enterprise  
"Kazakhstan Institute of Patent Examination"

6/1, R. & M. Abdullins St.  
480002 Almaty, Kazakhstan

Internet: www.kazpatent.kz  
www.kazpatent.org

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (KZ))

#### **LT Lithuania (fees)**

The amount of the following national fee, payable to the Lithuanian Patent Office as designated and elected Office, has changed (other fees not indicated have not changed), and that Office has introduced the following condition for the reduction of the filing fee:

filing fee: LTL 400

exemptions, reductions or refunds of the national fee:

the filing fee is reduced by 50% where the applicant is a natural person in whose name the invention will be patented

(Updating of *PCT Applicant's Guide*, Vol. II/B, National Chapter, Summary (LT))

#### **LU Luxembourg (fees)**

The Intellectual Property Office of Luxembourg has informed the International Bureau that, as from 1 January 2002, the Luxembourg franc (LUF) will no longer be the currency of payment of fees, and has introduced amounts of fees in euro (EUR), payable to it as receiving Office and designated and elected Office as from the same date, as follows:

transmittal fee: see Table I(a)

fee for priority document: EUR 7

plus, for every set of  
3 pages or part thereof: EUR 1

national fee:

filing fee: EUR 14

publication fee: EUR 4.34

first annual fee: EUR 19

second annual fee: EUR 24

third annual fee: EUR 29

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (LU), and Vol. II/B, National Chapter, Summary (LU))

**MD Republic of Moldova (name of Office; means of telecommunication; requirements concerning the deposit of microorganisms and other biological material; special requirements)**

The name of the Moldova Patent Office has changed as follows:

State Agency on Industrial Property Protection

The requirements of that Office concerning the furnishing of the original of a document transmitted by fax have changed, as follows:

must the original of the document be furnished in all cases?

yes, within one month from the date of the transmission, if the transmitted document is an international application or a replacement sheet containing corrections or amendments of an international application

no, only upon invitation in the case of other documents

The Office has notified a change in the requirements concerning the deposit of microorganisms and other biological material, as follows:

The deposit may be made no later than the priority date of the international application with a depositary institution designated by the Government or with institutions which have the status of international depositary authority.

The Office has notified an additional special requirement under PCT Rule 51*bis* for entry into the national phase before it as designated and elected Office, as follows:

any document relating to any transfer of rights\*

\* This requirement may be satisfied if the corresponding declaration has been made in accordance with PCT Rule 4.17

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annexes B1 (MD) and L, and Vol. II/B, National Chapter, Summary (MD))

**MK The former Yugoslav Republic of Macedonia (telephone and fax numbers; e-mail address)**

The telephone and fax numbers and the e-mail address of the Industrial Property

Protection Office of the former Yugoslav Republic of Macedonia have changed, as follows:

telephone: (389-2) 22 42 69, 11 63 79, 13 71 89

fax: (389-2) 11 60 41

e-mail: mail@ippo.gov.mk

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (MK))

**MX Mexico (location and mailing address; telephone and fax numbers; fees)**

The location and mailing address and the telephone and fax numbers of the Mexican Institute of Industrial Property have changed, as follows:

location and mailing address:

Arenal 550  
Col. Jardines del Pedregal  
C.P. 16020  
Mexico D.F., Mexico

telephone: (52-5) 334 07 24,  
334 07 00 (ext. 5024, 5025)

fax: (52-5) 555 44 31

The amounts of the following national fees, payable to that Office as designated and elected Office, have changed:

for patent:

filing fee: MXP 5,486.96<sup>1</sup>  
MXP 3,660.87<sup>2</sup>

for utility model:

filing fee: MXP 1,591.30<sup>1</sup>  
MXP 1,060.87<sup>2</sup>

1. Payable where the national phase is entered under PCT Article 22. This fee includes a 25% reduction based on the establishment of an international search report.

2. Payable where the national phase is entered under PCT Article 39(1). This fee includes a 50% reduction based on the establishment of an international preliminary examination report.

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annexes B1 (MX) and C (MX), and Vol. II/B, National Chapter, Summary (MX))

**NL Netherlands (institutions with which deposits of microorganisms and other biological material may be made)**

The address of the Centraalbureau voor Schimmelcultures (CBS), an international

depository authority under the Budapest Treaty, has changed, as follows:

Centraalbureau voor  
Schimmelcultures (CBS)  
Uppsalalaan 8  
NL-3584 CT Utrecht  
  
or, P.O. Box 85167  
NL-3508 AD Utrecht

(Updating of *PCT Applicant's Guide*,  
Vol. I/B, Annex L)

**NZ New Zealand (location; fax number;  
requirements concerning agent)**

The location and fax number of the Intellectual Property Office of New Zealand have changed, as follows:

location: 330 High Street  
Lower Hutt  
New Zealand  
  
fax: (64-4) 560 16 91

There has been a change in the requirements as to who can act as agent before that Office as receiving Office and as designated and elected Office, as follows:

who can act as agent?

any person registered to practice before the Office as a patent attorney. A list of registered patent attorneys may be obtained from the Office

(Updating of *PCT Applicant's Guide*,  
Vol. I/A, Annex B1 (NZ) and Vol. I/B,  
Annex C (NZ) and Vol. II/B, National Chapter,  
Summary (NZ))

**OA African Intellectual Property  
Organization (telephone and fax numbers)**

The telephone and fax numbers of the African Intellectual Property Organization have changed, as follows:

telephone: (237-2) 20 39 11, 20 57 00  
fax: (237-2) 20 18 44, 20 57 27

(Updating of *PCT Applicant's Guide*,  
Vol. I/A, Annex B2 (OA))

**PH Philippines (e-mail and Internet  
addresses; evidence of mailing a  
document; provisional protection after  
international publication; general  
information)**

The e-mail and Internet addresses of the Intellectual Property Office of the Philip-

pinas have changed, as follows:

e-mail: pct@ipophil.gov.ph  
Internet: ipophil.gov.ph

The Office has notified its requirements as to whether it would accept evidence of mailing of a document, in case of loss or delay, where a delivery service other than the postal authorities is used: it will not accept such evidence. It has also notified the provisions concerning provisional protection after international publication, as follows:

In accordance with PCT Article 29(1), as far as the protection of any rights of the applicant under Section 46 of the IP Code is concerned, the international publication in English of an international application shall have the same effect as a publication in the *IPO Gazette* (under Section 44 of the IP Code and its implementing rules and regulations), provided that notice of the international publication and copy of the international application have been transmitted, pursuant to Section 46.2 of the IP Code, by the applicant to the actual unauthorized user of the invention claimed in the international application.

If the language in which the international publication has been effected is a language other than English, the protection of any rights of the applicant under Section 46 of the IP Code shall be applicable only from such time as a translation into English has been published in the *IPO Gazette* (under Section 44 of the IP Code and its implementing rules and regulations), and such translation into English has been transmitted, pursuant to Section 46.2 of the IP Code, by the applicant to the actual unauthorized user of the invention claimed in the international application.

Where the international publication has been effected, on the request of the applicant, before the expiration of 18 months from the priority date, the rights provided for under Section 46 of the IP Code shall be applicable only from the expiration of 18 months from the priority date subject to the condi-

tions mentioned in the preceding paragraphs.

(Updating of provisional sheet issued with *PCT Newsletter* no. 09/2001, Annex B1 (PH))

Information about the Intellectual Property Office of the Philippines as receiving Office is set out on pink tear-out provisional sheets for the *PCT Applicant's Guide*, Vol. I/B, Annex C (PH). Those sheets will later need to be replaced by the corresponding January 2002 update sheets of the *PCT Applicant's Guide* (see "*PCT Applicant's Guide* update sheets," on page 4).

The Office has also notified its requirements as designated (and elected) Office for the deposit of microorganisms and other biological material, as follows:

time (if any) earlier than 16 months from priority date by which applicant must furnish:

the indications prescribed in Rule 13*bis*.3(a)(i) to (iii):

- at the time of filing (as part of the application)

to the extent available to the applicant, relevant information on the characteristics of the microorganism:

- at the time of filing (as part of the application)

Deposits may be made for the purposes of the patent procedure before the Intellectual Property Office of the Philippines with any depositary institution having acquired the status of international depositary authority under the Budapest Treaty on the International Recognition of the Deposit of Microorganisms for the Purposes of the Patent Procedure.

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex L)

### **PL Poland (fees; required contents of the translation; special requirements)**

The amounts of the following fees, payable to the Polish Patent Office as receiving Office and as designated and elected Office, have changed (other fees not indicated have not changed):

transmittal fee: see Table I(a)

fee for priority document:

for a patent:

- up to 20 sheets:	PLZ	40
- more than 20 sheets:	PLZ	100

for a utility model:

- up to 20 sheets:	PLZ	30
- more than 20 sheets:	PLZ	80

national fee:

for patent or utility model:

- where an international preliminary examination has been carried out: PLZ 225

- where no international preliminary examination has been carried out: PLZ 450

fee for priority claims, per priority: PLZ 50

The Office has also notified a change in its requirements concerning the contents of the translation for entry into the national phase, as well as an additional special requirement under PCT Rule 51*bis* for entry into the national phase, as follows:

under PCT Article 22:

request, description, claims (if amended, both as originally filed and as amended, together with any statement under PCT Article 19), any text matter of drawings, abstract

under PCT Article 39(1):

request, description, claims, any text matter of drawings, abstract (if any of those parts has been amended, both as originally filed and as amended by the annexes to the international preliminary examination report)

special requirements of the Office:

translation of the international application to be furnished in three copies, except that the translation of the request needs to be furnished only in one copy

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (PL) and Vol. II/C, National Chapter, Summary (PL))

### **RU Russian Federation (e-mail and Internet addresses)**

The e-mail address of the Russian Patent Office has changed, and that Office has notified its Internet address, as follows:

e-mail: rospat@pto.ru

Internet: www.rupto.ru

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (RU))

### **SI Slovenia (telephone number; e-mail and Internet addresses)**

The telephone number and the e-mail and Internet addresses of the Slovenian Intellectual Property Office have changed, as follows:

telephone: (386-1) 478 31 00

e-mail: sipo@uil-sipo.si

Internet: www.uil-sipo.si

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (SI))

### **SK Slovakia (telephone and fax numbers; fees; time limit for entry into the national phase; special requirements)**

The telephone and fax numbers of the Industrial Property Office of Slovakia have changed, as follows:

telephone: (421-48) 430 01 00

fax: (421-48) 413 25 67

The Office has introduced the following condition for the reduction of the filing fee, payable to it as designated and elected Office:

exemptions, reductions or refunds of the national fee:

the filing fee is reduced by 50% where the applicant is also the inventor

The time limit applicable for entry into the national phase under PCT Article 39(1) before that Office as an elected Office has changed from 30 to 31 months. There have also been changes in the special requirements under PCT Rule 51*bis* of that Office as designated and elected Office, as follows:

- declaration as to the identity of the inventor\*
- declaration as to the applicant's entitlement to apply for and be granted a patent\*
- declaration as to the applicant's entitlement to claim priority of the earlier application\*

- declaration as to non-prejudicial disclosures or exceptions to lack of novelty\*
- appointment of an agent if the applicant is not resident in Slovakia
- translation of the international application for a patent and copy of the drawings in triplicate
- translation of the international application for a utility model and copy of the drawings in duplicate
- power of attorney to be furnished in duplicate if the international application is for both a patent and a utility model

\* This requirement may be satisfied if the corresponding declaration has been made in accordance with PCT Rule 4.17.

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (SK), and Vol. II/C, National Chapter, Summary (SK))

### **TR Turkey (provisional protection after international publication)**

The Turkish Patent Institute has notified provisions concerning provisional protection after international publication, as follows:

national protection: [no change]

European protection:

a published European patent application designating Turkey benefits from provisional protection as from the date on which a translation of the claims as submitted by the applicant has been published by the Turkish Patent Institute or has been notified to the alleged infringer

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (TR))

### **US United States of America (Internet address)**

The Internet address of the United States Patent and Trademark Office has changed, as follows:

Internet: <http://www.uspto.gov/go/pct>

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex B1 (US))

### **YU Yugoslavia (location and mailing address; Internet address)**

The location and mailing address of the Federal Intellectual Property Office of

Yugoslavia has changed, and that Office has notified its Internet address, as follows:

location and mailing address:

Trg Nikole pašića 11  
11000 Beograd, Yugoslavia

Internet: www.yupat.sv.gov.yu

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (YU))

**Search fee (Australian Patent Office; China Intellectual Property Office; European Patent Office (EPO); Swedish Patent Office; United States Patent and Trademark Office)**

There has been a change in conditions for refund and the amount of refund of the search fee where the international search is carried out by the Swedish Patent Office. The third paragraph under "conditions for refund and amount of refund of the search fee" in the *PCT Applicant's Guide*, Vol. I/B, Annex D (SE), should be replaced by the following two paragraphs:

where an earlier international or international-type search has already been made by the Authority on an application whose priority is claimed: refund of 50% or 100%, depending upon the extent of the benefit

where on an earlier application, the priority of which is claimed, a search report has been issued by the Swedish Patent Office: refund of SEK 1,700

As from 13 January 2002, there will be a change in the amount payable in CNY, as well as in the equivalent amounts in CHF and USD for the purposes of the payment of fees to the International Bureau as receiving Office, for an international search carried out by the China Intellectual Property Office, as indicated in Table I(b).

As from 3 January 2002, there will be a change in one of the conditions for refund, in certain cases, of the search fee payable to the EPO, as follows:

conditions for refund and amount of refund of the search fee:

where the Authority benefits from an earlier search (including a privately commissioned "standard" search) already made by the Authority on an

application whose priority is claimed for the international application: refund of 100% or 50%, depending upon the extent to which the Authority benefits from the earlier search.

As from 1 March 2002, there will be a change in the equivalent amounts payable in NZD for an international search carried out by the EPO, and in ZAR for an international search carried out by the United States Patent and Trademark Office, as indicated in Table I(b).

As from 15 March 2002, there will be a change in the equivalent amount payable in ZAR for an international search carried out by the Australian Patent Office, as indicated in Table I(b).

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex D (AU, CN, EP, SE and US))

**Fees relating to the international search (China Intellectual Property Office; Swedish Patent Office)**

There has been a change in the amount of the following fee, payable to the Swedish Patent Office as International Searching Authority:

fee for translation into English of the international application,	
per word:	SEK 2.75

As from 13 January 2002, there will be a change in the amount payable in CNY for the following fee, payable to the China Intellectual Property Office as International Searching Authority:

additional search fee:	CNY 1,500
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(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex D (CN and SE))

**Preliminary examination fee (China Intellectual Property Office; European Patent Office (EPO))**

As from 3 January 2002, there will be a change in the amount payable in EUR for an international preliminary examination carried out by the EPO, as indicated in Table II.

As from 13 January 2002, there will be a change in the amount payable in CNY for an international preliminary examination



carried out by the China Intellectual Property Office, as indicated in Table II.

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex E (CN and EP))

### **Fees relating to the international preliminary examination (China Intellectual Property Office; European Patent Office (EPO))**

As from 3 January 2002, there will be a change in the amount of the following fees payable to the EPO as International Preliminary Examining Authority:

additional preliminary examination fee:	EUR 1,530
protest fee:	EUR 1,020

As from 13 January 2002, there will be a change in the amount payable in CNY for the following fee, payable to the China Intellectual Property Office as International Preliminary Examining Authority:

additional preliminary examination fee:	CNY 1,500
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(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex E (CN, EP))

### **Receiving Offices prepared to accept international applications containing sequence listing part filed on an electronic medium (International Bureau; Slovakia)**

The International Bureau as receiving Office has notified changes in its requirements concerning the type of electronic media it is prepared to accept for the filing of international applications containing a sequence listing part under Section 801(a)(i) or (ii) of the *Administrative Instructions under the PCT*, as follows:

CD-R  
DVD-R

The Industrial Property Office of Slovakia has notified the International Bureau that it is prepared to accept the filing of international applications containing a sequence listing part filed on an electronic medium under Section 801(a)(i) or (ii) of the *Administrative Instructions* and has specified, under Section 801(b), that it will accept such filings on the following electronic media:

CD-ROM  
DVD-ROM

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (IB and SK))

## **PCT-EASY UPDATE**

### **Receiving Offices that accept the filing of international applications containing requests in PCT-EASY format**

The Intellectual Property Office of the Philippines has notified the International Bureau that it is prepared to accept the filing of international applications containing requests in PCT-EASY format, together with PCT-EASY diskettes. See Table I(a) for the amounts of the corresponding PCT-EASY fee reductions.

(Updating of the list of Offices included in *PCT Newsletter* No. 02/2001).

### **New version of PCT-EASY software**

A new version of the PCT-EASY software, version 2.92 (build 0002) is now available and includes:

- 1) the designations of Oman (OM), Zambia (ZM) (for both national and ARIPO patents) and Tunisia (TN);
- 2) the acceptance of PCT-EASY filings by Algeria, Brazil, Mexico and the Philippines;
- 3) new fee schedules (effective 1 January 2002) and the reduction in the maximum number of designation fees payable from six to five. In addition, where applicable, the possibility of indicating fees in the national currency equivalent of CHF or USD has been added; and
- 4) the addition of the Abstract Editor for non-latin languages (Chinese, Japanese and Russian).

It will be necessary to update PCT-EASY versions 2.92 build 0001 and 2.91 (builds 0001 to 0004) using the full installation of version 2.92 build 0002. There will be no update patch. Users of version 2.90 and earlier should contact the Help Desk for further instructions.

For further information, please consult the PCT-EASY website (from which you can also download the PCT-EASY software):

<http://pcteasy.wipo.int/en/index.html>

or contact the PCT-EASY Help Desk:

e-mail: [pcteasy.help@wipo.int](mailto:pcteasy.help@wipo.int)  
tel: (41-22) 338 9523  
fax: (41-22) 338 8040

## PRACTICAL ADVICE

### ***Applicability of the modified time limit for entry into the national phase under PCT Article 22(1)***

*Q: In connection with the modification of the time limit under PCT Article 22(1), which will enter into force on 1 April 2002, I would like to know whether that new later time limit for entry into the national phase of 30 months from the priority date will apply to international applications filed prior to 1 April 2002. I filed an international application on behalf of my client on 10 September 2001, claiming the priority of an earlier application filed on 20 September 2000, and would like to benefit from the later entry into the national phase without having to pay the fees connected with filing a demand for international preliminary examination.*

*A: In general, but subject to what is said below*, it should be possible, without the need for filing a demand within 19 months from the priority date, to delay entry into the national phase until 30 months since, in your case, the time limit of 20 months from the priority date will expire on 20 May 2002, that is, after 1 April 2002. The modification of the time limit applicable under PCT Article 22(1) applies to any international application, ***regardless of when it was filed***, provided the period of 20 months from the priority date expires on or after the date of entry into force of the modification of PCT Article 22(1) for that Office (that is, ***in general***, on 1 April 2002), and the acts referred to in PCT Article 22(1) have not yet been performed by the applicant in respect of that application. Details regarding the entry into force of the modification of the time limit were published in tear-out sheets in *PCT Newsletter* No. 11/2001.

It is very important, however, to be aware that in respect of certain Offices, ***the new time limit of 30 months will not apply*** until their national laws are amended in order to implement the modification; if, on 3 October 2001, the modification of the time limit under PCT Article 22(1) is not compatible with the national law applied

by a designated Office, it will not apply in respect of that Office for as long as it continues not to be compatible with that law, provided that the said Office notifies the International Bureau accordingly by 31 January 2002. Information about any Offices that notify the International Bureau accordingly will be published in the *PCT Gazette* and the *PCT Newsletter* as soon as possible after the above-mentioned deadline of 31 January 2002.

Therefore, if any of the Offices before which you wish to enter the national phase notifies the International Bureau of such incompatibility, ***the old time limit for entry into the national phase under PCT Article 22(1) of 20 months from the priority date will continue to apply in respect of that Office***, and you will only be able to delay the entry into the national phase until 30 months from the priority date by filing, within 19 months from the priority date, a demand for international preliminary examination in which the country(ies) concerned is (are) elected; the required fees will then have to be paid, as usual.

The new (30-month) time limit will not apply until after the Office concerned notifies the International Bureau that the incompatibility has ceased to exist. Information to that effect will be published by the International Bureau in the *PCT Gazette* and the *PCT Newsletter* and the new time limit will be effective two months after the date of publication in the *Gazette*, or on such earlier or later date as may be indicated by the Office.

***If applicants are not sure about a given State after 1 April 2002, and they wish to enter the national phase in that State 30 months after the priority date, it is recommended that they file a demand within 19 months from the priority date.***

Although it is not linked with the modification under PCT Article 22(1) discussed above, it is recalled that the European Patent Office, with effect from 2 January 2002, has, in accordance with PCT Article 22(3), fixed a later time limit of 31 months from the priority date in replacement of its former 21-month time limit.

<b>PCT SEMINAR CALENDAR</b>			
<b>Dates and location</b>	<b>Language of seminar</b>	<b>Nature of seminar; WIPO speakers (and others where known)</b>	<b>Organizer and contact numbers</b>
<b>28–29 January 2002 Vienna (AT)</b>	German	Basic PCT seminar for patent attorneys and patent administrators WIPO speaker: Mr. Matthes and Mr. Reischle	Austrian Patent Office (Mr. Peter Hofbauer) Tel: (43–1) 534 24-225 Fax: (43–1) 534 24-200 E-mail: peter.hofbauer@patent.bmw.a.gv.at
<b>30–31 January 2002 Vienna (AT)</b>	German	Advanced PCT seminar for patent attorneys and patent administrators WIPO speaker: Mr. Matthes and Mr. Reischle	Austrian Patent Office (Mr. Peter Hofbauer) Tel: (43–1) 534 24 225 Fax: (43–1) 534 24 200 E-mail: peter.hofbauer@patent.bmw.a.gv.at
<b>5–6 February 2002 Helsinki (FI)</b>	Finnish	Course on the PCT system for patent administrators WIPO speaker: Mrs. Metcalfe	Helsinki University of Technology, Lifelong Learning Institute of Dipoli (Ms. Arja Andsten) Tel: (358–9) 451 40 47 Fax: (358–9) 451 40 68 E-mail: arja.andsten@dipoli.hut.fi Internet: www.dipoli.hut.fi
<b>21 February 2002 London (GB)</b> <i>[previously announced for 18 October 2001]</i>	English	PCT presentation at “The Corporate Patent Department 2002” WIPO speaker: Mr. Thomas	Management Forum Ltd Tel: (44–1483) 57 00 99 Fax: (44–1483) 53 64 24 E-mail: josephine.leak@management-forum.co.uk
<b>25–26 March 2002 Geneva (CH)</b>	English/ French/ Spanish	Conference on the International Patent System WIPO speaker(s): to be announced Other speaker(s): to be announced	PCT Administration Department, WIPO (Mrs. Jeanine Rojal) Tel: (41–22) 338 95 49 Fax: (41–22) 338 82 50
<b>4 April 2002 Paris (FR)</b>	French	PCT presentation for patent attorneys WIPO speaker: Ms. Boutillon	Fondation Nationale pour le Droit de l'Entreprise (FNDE) (Mrs. Heuzé) Tel: (33–1) 42 66 18 19 Fax: (33–1) 42 66 17 37
<b>8–9 April 2002 Algiers (DZ)</b>	French	PCT seminar: “Les brevets et le PCT” WIPO speaker: Mrs. Simon-Vianès Other speakers: to be announced	Institut national algérien de la propriété industrielle (INAPI) (M. Sadou) Tel: (213–2) 173 57 74 Fax: (213–2) 173 55 81 Internet: www.inapi.org
<b>10–11 April 2002 Ecully (FR)</b>	French	PCT seminar for patent attorneys WIPO speaker: Ms. Boutillon	Centre Paul Roubier (Mrs. Audi) Tel: (33–4) 78 33 07 08 Fax: (33–4) 78 33 58 96
<b>26–27 April 2002 Concord, New Hampshire (US)</b>	English	Basic PCT seminar for patent attorneys and patent administrators WIPO speaker(s): to be announced	Franklin Pierce Law Center (Ms. Carol Ruh) Tel: (1–603) 228 19 63, ext. 1108 Fax: (1–603) 224 33 42 E-mail: cruh@fplc.edu Internet: <a href="http://www.fplc.edu/TreatySem/treatsem.htm">http://www.fplc.edu/TreatySem/treatsem.htm</a>
<b>28–30 April 2002 Naples, Florida (US)</b>	English	Advanced PCT seminar for patent attorneys WIPO speaker(s): to be announced	Patent Resources Group, Inc. Tel: (1–804) 296 39 00 Fax: (1–804) 296 39 99
<b>2–4 May 2002 Naples, Florida (US)</b>	English	Basic PCT seminar for patent attorneys WIPO speaker(s): to be announced	Patent Resources Group, Inc. Tel: (1–804) 296 39 00 Fax: (1–804) 296 39 99
<b>4–5 June 2002 Paris (FR)</b>	French	Basic seminar on PCT procedures WIPO speaker: Mr. Baron	Institut national de la propriété industrielle (INPI) (Mrs. Christiane Sadrin) Tel: (33–1) 53 04 55 76 Fax: (33–1) 42 93 63 52
<b>26–27 June 2002 Paris (FR)</b>	French	Advanced seminar on PCT procedures WIPO speaker: Mr. Baron	Institut national de la propriété industrielle (INPI) (Mrs. Christiane Sadrin) Tel: (33–1) 53 04 55 76 Fax: (33–1) 42 93 63 52

## PCT FEE TABLES

The following Tables show the amounts and currencies of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Vol. I/B, Annexes C, D and E. Note that all amounts are subject to change due to variations in the fees themselves or fluctuations in exchange rates. The total amount of the basic fee and designation fee may be reduced by CHF 200 under certain circumstances where the request is prepared using the PCT-EASY software; see footnote 2 for details. A 75% reduction in the basic fee, the fee per sheet over 30, the designation fee and the handling fee, as well as an exemption from the transmittal fee payable to the International Bureau as receiving Office, is also available to applicants from certain States; see footnote 12 for details. (Note that if both the CHF 200 reduction and the 75% reduction are applicable, the 75% reduction is calculated *after* the CHF 200 reduction.) The footnotes to the Fee Tables follow Table II.

## Key to abbreviations used in fee tables:

eq	equivalent of	BGL	Bulgarian lev	GBP	Pound sterling	KRW	KR won	SDP	Sudanese pound
IPEA	International Preliminary Examining Authority	BRR	Brazilian real	GEL	Georgian lari	KZT	Kazakh tenge	SEK	Swedish krona
ISA	International Searching Authority	BYR	Belarussian rouble	GHC	Ghanaian cedi	LSM	Lesotho loti	SGD	Singapore dollar
n a	not applicable	BZD	Belize dollar	GRD	Greek drachma	LTL	Lithuanian litas	SIT	Slovenian tolar
RO	receiving Office	CAD	Canadian dollar	HRK	Croatian kuna	LVL	Latvian lat	SKK	Slovak koruna
		CHF	Swiss franc	HUF	Hungarian forint	MAD	Moroccan dirham	TJS	Tajik somoni
		CNY	Yuan renminbi	IDR	Indonesian rupiah	MDL	Moldovan leu	TTD	Trinidad and Tobago dollar
		CUP	Cuban convertible peso	IEP	Irish pound	MKD	Macedonian denar	UAH	Ukrainian hryvnia
		CYP	Cyprus pound	ILS	New Israel shekel	MWK	Malawian kwacha	USD	US dollar
AED	United Arab Emirates dirham	CZK	Czech koruna	INR	Indian rupee	MXP	Mexican peso	VND	Vietnamese dong
ALL	Albanian lek	DKK	Danish krone	ISK	Icelandic krona	NOK	Norwegian krone	YUD	Yugoslavian dinar
AMD	Armenian dram	DZD	Algerian dinar	ITL	Italian lira	NZD	New Zealand dollar	ZAR	South African rand
AUD	Australian dollar	EEK	Estonian kroon	JPY	Japanese yen	PHP	Philippine peso	PLZ	Polish zloty
AZM	Azerbaijani manat	EUR	Euro	KES	Kenyan shilling	ROL	Romanian leu	ZWD	Zimbabwe dollar
BEF	Belgian franc	FIM	Finnish markka	KGS	Kyrgyz som	RUR	Russian rouble		
		FRF	French franc	KPW	KP won				

Table I(a) – TRANSMITTAL, BASIC AND DESIGNATION FEES

(as at 1 January 2002, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>	Basic fee <sup>1,2,3</sup> (CHF 650)	Fee per sheet over 30 <sup>1,2,3,4</sup> (CHF 15)	Designation fee <sup>1,2,3,5,6</sup> (CHF 140)	PCT-EASY reduction <sup>2</sup> (CHF 200)	Competent ISA(s) <sup>7</sup>
AE	AED <sup>8</sup> —	AED eq CHF 650	15	140	n a	AT AU
AL	ALL 9,000	CHF 650	15	140	n a	EP
AM	AMD 32,000	USD 407	9	88	125	EP RU
AP	USD 50 (or eq in local currency)	USD 407	9	88	125	AT EP SE
AT	EUR 50	EUR 444	10	96	137	EP
AU	AUD 100	AUD 828	19	178	255	AU
AZ	AZM eq USD 15 plus mailing costs	USD 407	9	88	n a	EP RU
BA	EUR 25.56	EUR 444	10	96	137	EP
BE	Until 28.2.02: BEF 1,500	1.1.02–28.2.02 only: BEF 17,911	403	3,873	5,527	EP
	from 1.1.02: EUR 40	from 1.1.02: EUR 444	EUR 10	EUR 96	EUR 137	
BG	BGL 60	BGL eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP RU
BR	BRR 236	BRR eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	AT EP SE US
BY	BYR eq USD 70	USD 407	9	88	n a	EP RU
BZ	BZD 220	USD 407	9	88	125	EP
CA	CAD 200	CAD 639	15	138	197	EP
CH	CHF 100	CHF 650	15	140	200	EP

[continued on next page]

**Table I(a) – TRANSMITTAL, BASIC AND DESIGNATION FEES** [continued]  
(as at 1 January 2002, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>	Basic fee <sup>1,2,3</sup> (CHF 650)	Fee per sheet over 30 <sup>1,2,3,4</sup> (CHF 15)	Designation fee <sup>1,2,3,5,6</sup> (CHF 140)	PCT-EASY reduction <sup>2</sup> (CHF 200)	Competent ISA(s) <sup>7</sup>
CN	CNY 500	CNY eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	CN
CR	USD 175	USD 407	9	88	125	EP ES
CU	USD (or eq CUP) 200	USD (or eq CUP) 407	9	88	125	AT EP ES RU
CY	CYP 75	CYP 258	6	56	n a	EP
CZ	CZK 1,500	CZK eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
DE	EUR 90	EUR 444	10	96	137	EP
DK	DKK 1,500	DKK 3,300	80	710	1,020	EP SE
DZ	DZD <sup>8</sup> –	CHF 650	15	140	200	AT EP
EA	RUR eq USD 50	USD 407	9	88	125	EP RU
EC	USD <sup>8</sup> –	USD 407	9	88	125	EP ES
EE	EEK 1,800	EEK eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
EP	EUR 102 (from 3.1.02 100)	EUR 444	10	96	137	EP
ES	EUR 61.51	EUR 444	10	96	137	EP ES
FI	1.1.02-28.2.02 only: FIM 802.67	1.1.02-28.2.02 only: FIM 2,639.90	59.46	570.79	814.57	EP SE
	from 1.1.02: EUR 135	from 1.1.02: EUR 444	EUR 10	EUR 96	EUR 137	
FR	EUR 60	EUR 444	10	96	137	EP
GB	GBP 55	GBP 278	6	60	86	EP
GE	GEL <sup>9</sup> 10	USD 407	9	88	125	EP RU
GH	GHC <sup>10</sup> 2,500 or 5,000	USD 407	9	88	n a	AT AU CN EP SE
GR	Until 28.2.02: GRD 39,500	1.1.02–28.2.02 only: GRD 151,000	3,000	33,000	47,000	EP
	from 1.1.02: EUR 115	from 1.1.02: EUR 444	EUR 10	EUR 96	EUR 137	
HR	HRK 200	HRK eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
HU	HUF <sup>11</sup> 10,000	HUF eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP RU
IB	CHF <sup>12</sup> 100 or USD <sup>12</sup> 60	CHF 650 or USD 407	15 9	140 88	200 125	See footnote 13
ID	IDR 500,000	IDR eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	AU EP RU
IE	1.1.02-9.2.02 only: IEP 60	1.1.02-9.2.02 only: IEP 349.68	IEP 7.88	IEP 75.61	IEP 107.90	EP
	from 1.1.02: EUR 76.00	from 1.1.02: EUR 444	EUR 10	EUR 96	EUR 137	
IL	ILS 445	USD 407	9	88	125	EP US

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**Table I(a) — TRANSMITTAL, BASIC AND DESIGNATION FEES [continued]**  
(as at 1 January 2002, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>	Basic fee <sup>1,2,3</sup> (CHF 650)	Fee per sheet over 30 <sup>1,2,3,4</sup> (CHF 15)	Designation fee <sup>1,2,3,5,6</sup> (CHF 140)	PCT-EASY reduction <sup>2</sup> (CHF 200)	Competent ISA(s) <sup>7</sup>
IN	INR 5,000 (filing by indiv: 1,500)	USD 407	9	88	125	AT AU CN EP SE US
IS	ISK 5,500	ISK 41,300	1,000	8,900	n a	EP SE
IT	Until 28.2.02: ITL 60,000 from 1.1.02: EUR 30.99	1.1.02–28.2.02 only: ITL 859,704 from 1.1.02: EUR 444	19,363 EUR 10	185,882 EUR 96	n a	EP
JP	JPY 18,000	JPY 47,800	1,100	10,300	14,700	EP JP
KE	USD (or KES equiv) <sup>30</sup>	USD 407	9	88	n a	AT AU CN EP SE
KG	KGS eq USD 100	USD 407	9	88	125	EP RU
KP	KPW eq CHF 50	KPW eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	AT RU
KR	KRW 45,000	KRW 530,000	12,000	114,000	163,000	AT AU JP <sup>14</sup> KR
KZ	KZT <sup>8</sup> —	USD 407	9	88	125	EP RU
LR	USD 45	USD 407	9	88	n a	AT AU CN EP SE
LS	LSM <sup>8</sup> —	LSM eq CHF 650	eq CHF 15	eq CHF 140	n a	AT EP
LT	LTL 320	USD 407	9	88	n a	EP RU
LU	EUR 19	EUR 444	10	96	n.a.	EP
LV	LVL 47.20	USD 407	9	88	125	EP RU
MA	None	CHF 650	15	140	n a	AT EP RU SE
MC	Until 17.2.02: FRF 320 (EUR amount not yet known)	1.1.02–17.2.02 only: FRF 2,912.45 from 1.1.02: EUR 444	65.60 EUR 10	629.72 EUR 96	n a	EP
MD	MDL 180	USD 407	9	88	125	EP RU
MK	MKD 2,750	MKD eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
MN	None	CHF 650	15	140	200	EP RU
MW	MWK 2,000	MWK 25,000	600	5,400	7,700	EP
MX	MXP <sup>3</sup> eq USD 200	MXP eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP SE SE US
NL	EUR 50	EUR 444	10	96	137	EP
NO	NOK 500	NOK 3,560	80	770	1,090	EP SE
NZ	NZD 180	NZD 1,012	23	218	312	AU EP US
OM	Information not yet available					
PH	PHP 3,500	USD 407	9	88	125	AU EP JP KR US
PL	PLZ 300	PLZ eq CHF 650	eq CHF 15	eq CHF 140	n a	EP
PT	EUR 23.19	EUR 444	10	96	137	EP
RO	ROL 300,000	CHF 650	15	140	200	AT EP RU
RU	RUR 294	USD 407	9	88	125	EP RU
SD	SDP 50	SDP eq CHF 650	eq CHF 15	eq CHF 140	n a	EP
SE	SEK 1,200	SEK 4,390	100	950	1,350	EP SE
SG	SGD 150	SGD 720	17	156	222	AT AU EP
SI	SIT 22,000	SIT eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
SK	SKK 1,600	SKK eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP

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**Table I(a) – TRANSMITTAL, BASIC AND DESIGNATION FEES** [continued]  
(as at 1 January 2002, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>	Basic fee <sup>1,2,3</sup> (CHF 650)	Fee per sheet over 30 <sup>1,2,3,4</sup> (CHF 15)	Designation fee <sup>1,2,3,5,6</sup> (CHF 140)	PCT-EASY reduction <sup>2</sup> (CHF 200)	Competent ISA(s) <sup>7</sup>
TJ	TJS <sup>8</sup> –	USD 407	9	88	n a	EP RU
TM	USD <sup>8</sup> –	USD 407	9	88	125	EP RU
TN	Information not yet available					
TR	CHF 100	CHF 650	15	140	200	EP
TT	TTD 750	USD 407	9	88	125	AT EP SE US
UA	UAH 255	USD 407	9	88	n a	EP RU
US	USD 240	USD 407	9	88	125	EP US
UZ	USD <sup>8</sup> –	USD 407	9	88	125	EP RU
VN	VND eq USD 150	VND eq CHF 650	eq CHF 15	eq CHF 140	n a	AT AU EP RU SE
YU	YUD 600	YUD eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
ZA	ZAR 500	ZAR 3,560	80	770	1,090	AT AU EP US
ZM	Information not yet available					
ZW	ZWD 1,000	ZWD eq USD 407	eq USD 9	eq USD 88	eq USD 125	AT AU CN EP RU

**Table I(b) – SEARCH FEES**  
(as at 1 January 2002, unless otherwise indicated)

ISA	Search fee <sup>1</sup>											
AT	ATS*	2,200	CHF	230	KRW	191,000	SGD	259	USD	150	ZAR	1,280
	* (payments may no longer be made in this currency after 31.12.01; EUR amount to be confirmed)											
AU	AUD	800	CHF	628	KRW	500,000	NZD	978	SGD	700	USD	393
	ZAR*	3,430										
	* (from 15.3.02: ZAR 4,290)											
CN	CNY*	800	CHF*	150	USD*	100						
	*(from 13.1.02: CNY 1,500 CHF 290 USD 180)											
EP <sup>15</sup>	EUR	945	CYP	550	GBP	592	ITL*	1,829,775	NZD****	2,154	ZAR	7,570
	BEF*	38,121	DKK	7,030	GRD*	322,009	JPY	102,000	SEK	9,340		
	CAD	1,360	FIM*	5,618.71	IEP***	744.25	MWK	53,000	SGD	1,533		
	CHF	1,383	FRF**	6,198.79	ISK	88,000	NOK	7,570	USD	866		
	* (payments may no longer be made in this currency after 28.02.02—see EUR amount)											
	** (only for payments to the receiving Office of Monaco, where the fee may be paid in FRF until 17.02.02; after 17.02.02—see EUR amount)											
	*** (payments may no longer be made in IEP after 9.2.02—see EUR amount)											
	**** (from 1.3.02: NZD 2,023)											
ES <sup>15</sup>	EUR	945	CHF	1,383	USD	866						
JP	JPY	72,000	CHF	980	KRW	798,000	USD	610				
KR	KRW	150,000	CHF	180	USD	120						
RU <sup>16</sup>	USD	300	CHF*	460	*(from 1.1.02: CHF 480)							
SE	SEK	9,340	CHF	1,383	DKK	7,030	FIM*	5,618.71	ISK	88,000	NOK	7,570
	USD	866										
	* (payments may no longer be made in this currency after 28.02.02)											
US	USD	700	450 <sup>17</sup>	CHF	1,118	719 <sup>17</sup>	NZD	1,740	1,120 <sup>17</sup>	ZAR*	6,100	4,000 <sup>17</sup>
	* (from 1.3.02: ZAR 6,700 4,300 <sup>17</sup> )											



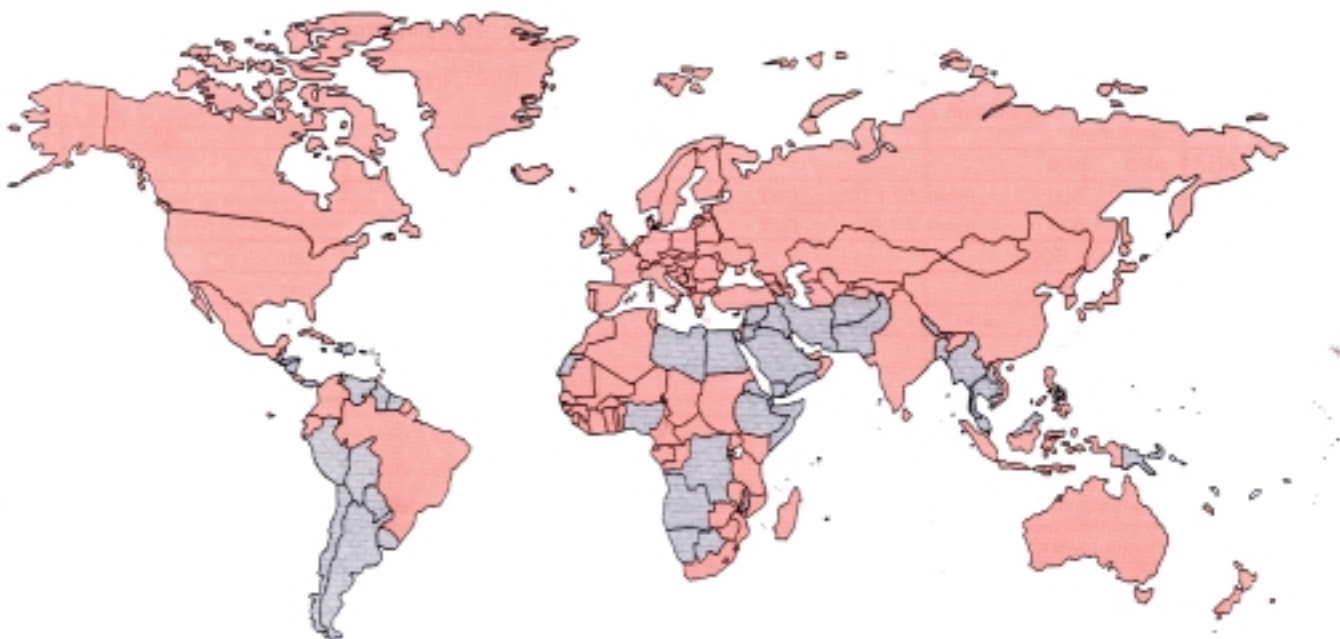
**Table II – PRELIMINARY EXAMINATION FEES**  
(as at 1 January 2002, unless otherwise indicated)

IPEA	Preliminary examination fee <sup>18</sup>	Handling fee <sup>3,18</sup> (CHF 233)
AT	ATS 2,200 (payments may no longer be made in this currency after 31.12.01; EUR amount, payable from 1.1.02, to be confirmed)	EUR 159
AU	AUD 450	AUD 297
CN	CNY 800 (from 13.1.02: CNY 1,500)	CNY eq CHF 233
EP <sup>15</sup>	EUR 1,533 (from 3.1.02: EUR 1,530)	EUR 159
JP	JPY 28,000	JPY 17,100
KR	KRW 150,000	KRW 190,000
RU <sup>16</sup>	USD 200 <sup>19</sup> 300 <sup>20</sup>	USD 146
SE	SEK 5,000	SEK 1,570
US	USD 490 750 <sup>21</sup>	USD 146

*Footnotes to fee tables:*

- 1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 2 The total amount of the basic fee and designation fee is reduced by 200 Swiss francs where: (a) the request is presented as a computer print-out prepared using the PCT-EASY software; (b) the request is filed together with a computer diskette, prepared using that software, containing a copy in electronic form of the data contained in the request and of the abstract; and (c) the PCT application is filed with a receiving Office which is prepared to accept the filing of PCT applications containing requests in PCT-EASY format together with PCT-EASY diskettes.
- 3 This fee is reduced by 75% where the applicant or, if there are two or more applicants, each applicant is a natural person and is a national of and resides in Albania, Algeria, Armenia, Azerbaijan, Belarus, Belize, Benin, Bosnia and Herzegovina, Brazil, Bulgaria, Burkina Faso, Cameroon, Central African Republic, Chad, China, Colombia, Congo, Costa Rica, Côte d'Ivoire, Croatia, Cuba, Czech Republic, Democratic People's Republic of Korea, Dominica, Ecuador, Equatorial Guinea, Estonia, Gabon, Gambia, Georgia, Ghana, Grenada, Guinea, Guinea-Bissau, Hungary, India, Indonesia, Kazakhstan, Kenya, Kyrgyzstan, Latvia, Lesotho, Liberia, Lithuania, Madagascar, Malawi, Mali, Mauritania, Mexico, Mongolia, Morocco, Mozambique, Niger, Philippines, Poland, Republic of Moldova, Romania, Russian Federation, Saint Lucia, Senegal, Sierra Leone, Slovakia, South Africa, Sri Lanka, Sudan, Swaziland, Tajikistan, The former Yugoslav Republic of Macedonia, Togo, Tunisia, Turkey, Turkmenistan, Uganda, Ukraine, United Republic of Tanzania, Uzbekistan, Viet Nam, Yugoslavia, Zambia or Zimbabwe. For further details, see *PCT Newsletter* No. 10/1995, cover page, No. 11/1995, page 6, and No. 05/1996, cover page.
- 4 Where the PCT application as filed contains a sequence listing part in computer readable form only, or both in that form and on paper, under Section 801 of the PCT *Administrative Instructions*, and where that application is filed with a receiving Office which is prepared to accept such filings, a fixed component of 400 times the fee per sheet over 30 is payable for the sequence listing part, irrespective of the actual length of that part (see Section 803(ii) of the *Administrative Instructions*).
- 5 The maximum number of designation fees payable is six (as from 1 January 2002 it will be five).
- 6 The confirmation fee payable under PCT Rules 4.9(c) and 15.5 is 50% of the designation fee due (taking into account any applicable 75% reduction; see footnote 3).
- 7 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- 8 The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 9 This fee is reduced by 80% where the applicant is a natural person.
- 10 The first amount of the transmittal fee is applicable to individuals or entities employing less than 25 persons. The second amount is applicable to entities employing 25 persons or more.
- 11 A supplement of HUF 500 is also payable for each claim in excess of 10.
- 12 This fee is not payable in respect of international applications filed by applicants fulfilling the conditions indicated in footnote 3.
- 13 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national. See also *PCT Applicants Guide*, Vol. I/B, Annex C (IB).
- 14 The Japan Patent Office is competent only for international applications in Japanese.
- 15 The search fee payable to the EPO and the Spanish Patent and Trademark Office, as well as the preliminary examination fee payable to the EPO, are reduced by 75% where the applicant or, if there are two or more applicants, each applicant is a natural person and is a national of and resides in a State not party to the European Patent Convention, which fulfils the requirements for the corresponding reduction of certain PCT fees as specified in the Schedule of Fees annexed to the PCT Regulations, and in accordance with the decision of the EPO's Administrative Council of 11 October 2000, the text of which was included in *PCT Newsletter* No. 11/2000. The States concerned are those indicated in footnote 3, with the exception of Turkey.
- 16 The applicant may, instead of paying the USD amount, pay the equivalent amount in RUR at the exchange rate applicable, on the date of payment, at the Central Bank of the Russian Federation, provided that, in the case of the search fee, the receiving Office accepts payment in RUR.
- 17 Payable when a corresponding prior US national application has been filed under 35 USC 111(a) and the basic filing fee for that US application has been paid.
- 18 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 19 Payable when the international search report was established by the Russian Patent Office.
- 20 In all cases where footnote 19 does not apply.
- 21 Payable when the international search was not carried out by the United States Patent and Trademark Office (USPTO) (provided that the USPTO is a competent International Preliminary Examining Authority in the particular case—see *PCT Applicants Guide*, Vol. I/B, Annex C.)

## PCT CONTRACTING STATES AND TWO-LETTER CODES (115 on 1 January 2002)



AE United Arab Emirates	CN China	HR Croatia	MD Republic of Moldova (EA)	SI Slovenia <sup>1</sup>
AG Antigua and Barbuda	CO Colombia	HU Hungary	MG Madagascar	SK Slovakia
AL Albania <sup>1</sup>	CR Costa Rica	ID Indonesia	MK The former Yugoslav Republic of Macedonia <sup>1</sup>	SL Sierra Leone (AP)
AM Armenia (EA)	CU Cuba	IE Ireland (EP) <sup>2</sup>	ML Mali (OA) <sup>2</sup>	SN Senegal (OA) <sup>2</sup>
AT Austria (EP)	CY Cyprus (EP) <sup>2</sup>	IL Israel	MN Mongolia	SZ Swaziland (AP) <sup>2</sup>
AU Australia	CZ Czech Republic	IN India	MR Mauritania (OA) <sup>2</sup>	TD Chad (OA) <sup>2</sup>
AZ Azerbaijan (EA)	DE Germany (EP)	IS Iceland	MW Malawi (AP)	TG Togo (OA) <sup>2</sup>
BA Bosnia and Herzegovina	DK Denmark (EP)	IT Italy (EP) <sup>2</sup>	MX Mexico	TJ Tajikistan (EA)
BB Barbados	DM Dominica	JP Japan	MZ Mozambique (AP)	TM Turkmenistan (EA)
BE Belgium (EP) <sup>2</sup>	DZ Algeria	KE Kenya (AP)	NE Niger (OA) <sup>2</sup>	TN Tunisia
BF Burkina Faso (OA) <sup>2</sup>	EC Ecuador	KG Kyrgyzstan (EA)	NL Netherlands (EP) <sup>2</sup>	TR Turkey (EP)
BG Bulgaria	EE Estonia	KP Democratic People's Republic of Korea	NO Norway	TT Trinidad and Tobago
BJ Benin (OA) <sup>2</sup>	ES Spain (EP)	KR Republic of Korea	NZ New Zealand	TZ United Republic of Tanzania (AP)
BR Brazil	FI Finland (EP)	KZ Kazakhstan (EA)	OM Oman	UA Ukraine
BY Belarus (EA)	FR France (EP) <sup>2</sup>	LC Saint Lucia	PH Philippines	UG Uganda (AP)
BZ Belize	GA Gabon (OA) <sup>2</sup>	LI Liechtenstein (EP)	PL Poland	US United States of America
CA Canada	GB United Kingdom (EP)	LK Sri Lanka	PT Portugal (EP)	UZ Uzbekistan
CF Central African Republic (OA) <sup>2</sup>	GD Grenada	LR Liberia	RO Romania <sup>1</sup>	VN Viet Nam
CG Congo (OA) <sup>2</sup>	GE Georgia	LS Lesotho (AP)	RU Russian Federation (EA)	YU Yugoslavia
CH Switzerland (EP)	GM Gambia (AP)	LT Lithuania <sup>1</sup>	SD Sudan (AP)	ZA South Africa
CI Côte d'Ivoire (OA) <sup>2</sup>	GN Guinea (OA) <sup>2</sup>	LV Latvia <sup>1</sup>	SE Sweden (EP)	ZM Zambia (AP)
CM Cameroon (OA) <sup>2</sup>	GQ Equatorial Guinea (OA) <sup>2</sup>	MA Morocco	SG Singapore	ZW Zimbabwe (AP)
	GR Greece (EP) <sup>2</sup>	MC Monaco (EP) <sup>2</sup>		
	GW Guinea-Bissau (OA) <sup>2</sup>			

<sup>1</sup> Extension of European patent possible.

<sup>2</sup> May only be designated for a regional patent (the "national route" via the PCT has been closed).

Where a State can be designated for a regional patent, the two-letter code for the regional patent concerned is indicated in parentheses (AP = ARIPO patent, EA = Eurasian patent, EP = European patent, OA = OAPI patent).

### Important:

This list includes all States that have adhered to the PCT by the date shown in the heading. Any State indicated in **bold italics** has adhered to the PCT but was not yet bound by the PCT on the date of issue of the latest version of the request form. Where any State has adhered to but is not yet bound by the PCT, the date on which it will become bound is shown in parentheses; it cannot be designated in international applications filed before that date. If the applicant wishes to designate, for the purposes of a national patent, any State which is bound by the PCT on the date on which the international application is filed but which is not listed in the request form, he must add it in Box No. V of the request form and mark the corresponding check-box.

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