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NEWSLETTER

February 2001

No. 02/2001

NEW PCT CONTRACTING STATE

Ecuador (country code: EC)

On 7 February 2001, Ecuador deposited its instrument of accession to the PCT, and on 7 May 2001, will become bound by the PCT. Consequently, in any international application filed on or after 7 May 2001, Ecuador may be designated and, because it will be bound by Chapter II of the PCT, may also be elected. Furthermore, nationals and residents of Ecuador will be entitled from 7 May 2001 to file international applications under the PCT.

INCLUSION OF CERTAIN DECLARATIONS IN THE REQUEST

It is recalled that new and amended regulations, which were adopted by the PCT Assembly on 17 March 2000, will enter into force on 1 March 2001. Those regulations will make it possible for applicants to include, in the request part of their international applications, certain declarations using standardized wording, for the purposes of processing by designated Offices in the national phase, thus simplifying the national phase for both applicants and national/regional Offices.

Information on the new regulations, as well as the text of the new regulations, was set out on tear-out sheets included in *PCT Newsletter* No. 01/2001.

Given that PCT Rule 4.17, as amended, provides that the declarations be worded as prescribed by the Administra-

tive Instructions under the PCT, the necessary modifications to those Instructions have been promulgated with effect from 1 March 2001. The relevant standardized wording for the declarations is contained in Sections 211 to 215 of the Administrative Instructions, the text of which is set out on tear-out sheets in this issue; the texts of new Sections 216, 317 and 419, modified Sections 303 and 327, as well as modified Annex D of the Administrative Instructions

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[continued from cover page]

(all dealing with certain procedural aspects of the handling of the declarations), are also included.

It is recalled that the International Bureau will shortly be publishing a consolidated text of the Administrative Instructions, as in force from 1 March 2001.

See below for information on the incompatibility of the national laws of some of the designated Offices with certain of the amended or new Rules. See also "Modified Request and Demand Forms" on page 3, which describes the modifications which have been made to those Forms with effect from 1 March 2001, as well as the "Practical advice" on page 8, which deals with the subject of filing declarations.

PCT MATERIALS ON INTERNET

(<http://www.wipo.int/pct/en/index.html>)

PCT InfoLine and contacts

Filing PCT applications

- *Basic Facts about the PCT*
- PCT forms
- Direct filing of PCT applications with the International Bureau as PCT receiving Office
- *PCT Applicant's Guide*
- Practical advice published in the *PCT Newsletter* between March 1994 and December 1996

PCT-EASY

PCT legal texts

- About the Treaty
- Text of the Patent Cooperation Treaty, Regulations and Administrative Instructions
- PCT Receiving Office Guidelines
- PCT International Search Guidelines
- PCT International Preliminary Examination Guidelines
- Ratification situation

PCT Gazette

PCT News

- *PCT Newsletter* (Nos. 01/1997–02/2001)
- PCT press releases/updates
- The PCT in 2000

PCT seminars

PCT meetings

- PCT Assembly documents: 2000, 1999, 1998, 1997
- PCT Informal Consultation Meeting on Electronic Filing (11–14 July 2000); draft legal framework and technical standards for PCT electronic filing
- PCT Committee for Administrative and Legal Matters documents: 1999

Ordering PCT publications

PCT INFORMATION LINE

Telephone: (41–22) 338 83 38
 Fax: (41–22) 338 83 39
 E-mail: pct.infoline@wipo.int

INCOMPATIBILITY WITH CERTAIN AMENDED OR NEW RULES

The amendments to the PCT Regulations which will enter into force on 1 March 2001 include the amendment or addition of PCT Rules 51*bis*.1(e), 51*bis*.2(a) and 51*bis*.3(a) (for the full text of those Rules, see *PCT Newsletter* No. 01/2001). The amended Regulations provide, for each of those Rules, that if on 17 March 2000, the Rule is not compatible with the law applied by the designated Office, that Rule shall not apply to that Office for as long as it continues not to be compatible with that law, provided that the said Office informs the International Bureau accordingly by 30 November 2000 (see new PCT Rules 51*bis*.1(f), 51*bis*.2(c) and 51*bis*.3(c)).

The following designated Offices have informed the International Bureau of such incompatibility in respect of the Rules mentioned below:

PCT Rule 51*bis*.1(e) (concerning the furnishing of a translation of the priority document):

- CH Swiss Federal Intellectual Property Institute
- DK Danish Patent and Trademark Office
- EP European Patent Office
- ES Spanish Patent and Trademark Office
- GB United Kingdom Patent Office
- KR Korean Intellectual Property Office
- SG Intellectual Property Office of Singapore

PCT Rule 51*bis*.2(a)(i) (concerning certain circumstances in which documents or evidence—relating to the identity of the inventor—may not be required):

- CH Swiss Federal Intellectual Property Institute
- DK Danish Patent and Trademark Office
- SE Swedish Patent Office

PCT Rule 51*bis*.2(a)(ii) (concerning certain circumstances in which documents or evidence—relating to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent—may not be required):

- CA Canadian Patent Office

- CH Swiss Federal Intellectual Property Institute
 DK Danish Patent and Trademark Office
 HU Hungarian Patent Office
 SE Swedish Patent Office

PCT Rule 51bis.2(a)(iii) (concerning certain circumstances in which documents or evidence—relating to the applicant's entitlement, as at the international filing date, to claim priority of an earlier application—may not be required):

- CH Swiss Federal Intellectual Property Institute
 DK Danish Patent and Trademark Office

PCT Rule 51bis.3(a) (concerning the opportunity to comply with national requirements referred to in PCT Rule 51bis.1(a)(i) to (iv) and (c) to (e)):

- CH Swiss Federal Intellectual Property Institute
 HU Hungarian Patent Office
 KR Korean Intellectual Property Office
 SG Intellectual Property Office of Singapore

MODIFIED REQUEST AND DEMAND FORMS

Request (Form PCT/RO/101)

As a result of the amendments of the Regulations under the PCT, which will enter into force on 1 March 2001, and the modifications of the Administrative Instructions under the PCT as in force from 11 January 2001 (see *PCT Newsletter* No. 01/2001) and 1 March 2001 (see cover page of this issue), the Request Form and the Notes to the request form, the Fee Calculation Sheet (Annex to the request) and the Notes to the fee calculation sheet have been modified with effect from 1 March 2001. Other modifications have also been made to take into account a number of comments received since the last major revision of the Form in 1998, to harmonize the terms used and to review both the English and French versions for editorial purposes.

Some of the main modifications are:

- (a) a field has been added in Boxes Nos. II and III for the indication of the applicant's registration number with the Office, and a

field has been added in Box No. IV for the indication of the agent's registration number with the Office;

(b) Box No.V of the Request Form, the Notes relating thereto and the Notes to the fee calculation sheet have been modified to take into account the entry into force of the PCT in respect of Colombia (on 28 February 2001) and the fact that utility models are no longer available but that utility certificates are now available in Ghana;

(c) in the Supplemental Box, the text of item 3, relating to statements concerning non-prejudicial disclosures or exceptions to lack of novelty, has been deleted since that matter is now provided for in new Declaration Box No. VIII (v) (see below);

(d) a new Box "Declarations" has been introduced as Box No. VIII, and six different optional sheets for declarations—one for each of the five types of declarations provided for in Rule 4.17 (new Boxes Nos. VIII (i) to (v)) plus a continuation sheet ("Continuation of Box No.VIII (i) to (v)") have been added, immediately following Box No. VIII. Note that, except in respect of new Declaration Box No. VIII (iv), the standardized text for the declarations is not pre-printed in the corresponding Boxes because of the large number of options that applicants will have to choose, and because the order in which the selected options should appear will vary from case to case; the standardized wording of the declaration of inventorship, which is only needed where the United States of America has been designated, has been pre-printed in Box No. VIII (iv) since no part of that wording may be omitted by applicants;

(e) Box No. IX (Check List) has been modified to include space for the indication that the sequence listing part of the description of an international application is filed in computer readable form under new Section 801 of the Administrative Instructions; furthermore, a space has been introduced for indicating the inclusion of an original general power of attorney with the international application;

(f) because of the modifications which have been made to Boxes Nos. VIII and IX, the Request Form is now composed of a minimum of four sheets;

(g) the Fee Calculation Sheet has been modified to allow for the specific indication of the additional component (referred to as "b3") of the basic fee in cases where the sequence listing part of the description of an international application is filed under new Section 801(a), as mentioned under paragraph (e), above.

Only the modified Request Form should be used for international applications filed from 1 March 2001.

Demand (Form PCT/IPEA/401)

As far as the Demand Form is concerned, Boxes Nos. II and III have been modified in the same manner as the corresponding parts of the Request Form in respect of the applicant's and agent's registration numbers, and modifications have been made to the items relating to powers of attorney in Box No. VI and the "Deposit Account Authorization" part of the Fee Calculation Sheet, in addition to other minor modifications. The Notes to the fee calculation sheet have been modified to take into account the entry into force of the PCT in respect of Colombia.

Only the updated version of the Demand Form should be used for demands for international preliminary examination filed from 1 March 2001.

How to obtain copies of the Request and Demand Forms

Consolidated versions, in English and French, of the modified Request and Demand Forms, together with their

respective accompanying notes, will be included in the March 2001 update of the *PCT Applicant's Guide*, Vol. I/B, Annexes X and Y. As from the end of February 2001, copies of the Request Form in English, French, German and Spanish will be available, free of charge, from receiving Offices and the International Bureau, as well as copies of the Demand Form in those languages which will be available, free of charge, from receiving Offices, International Preliminary Examining Authorities and the International Bureau. Due to translation requirements, there may be a slight delay in the availability of those forms in other languages.

The March 2001 versions of the Request and Demand Forms in English, French, German and Spanish will be included, in PDF format, on the WIPO Internet site:

<http://www.wipo.int/pct/en/index.html>

at the beginning of March 2001; the English and French version will also be available as from 8 February 2001 on the Internet via Section IV of *PCT Gazette* No. 06/2001:

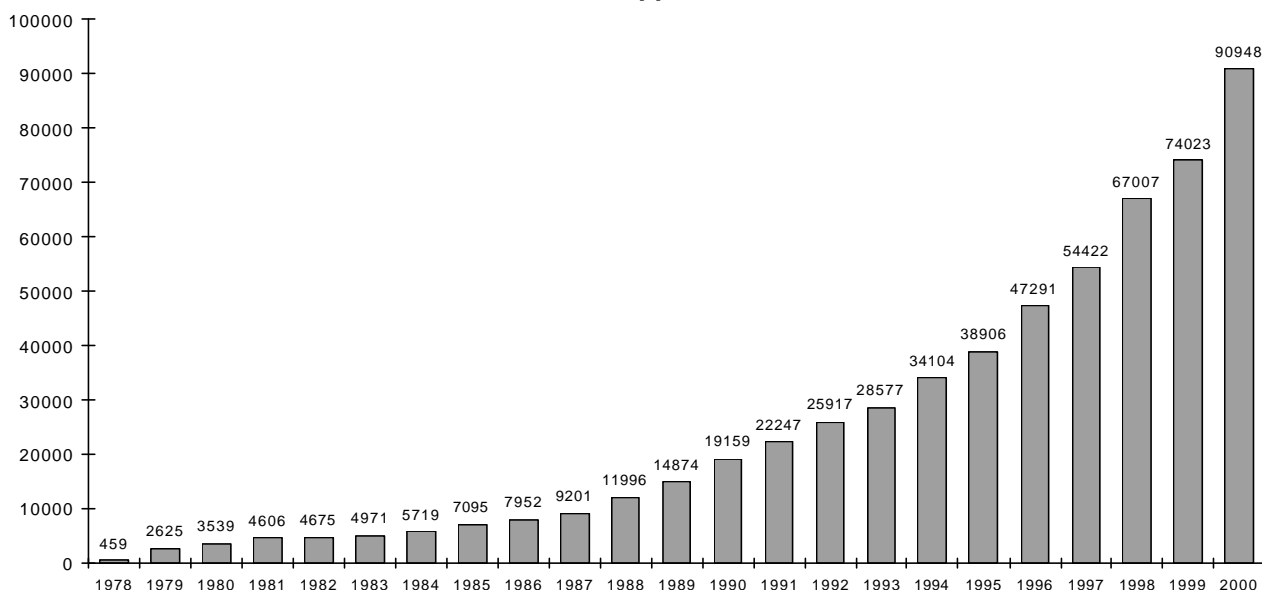
<http://ipdl.wipo.int>

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annexes X and Y)

PCT STATISTICS — 1 January to 31 December 2000

In 2000, the International Bureau of WIPO received 90,948 international applications filed with PCT receiving Offices worldwide,

Number of international applications received since 1978



**PCT filings
by country of origin (2000)**

<i>Country of origin</i>	<i>Applications received</i>	<i>% of total</i>
US	38,171	42.0
DE	12,029	13.2
JP	9,402	10.3
GB	5,538	6.1
FR	3,601	4.0
SE	3,071	3.4
NL	2,587	2.8
CH (incl. LI)	1,701	1.9
AU	1,627	1.8
CA	1,600	1.8
Other countries	11,621	12.7
	74,023	100.00

which is 16,925 (22.9%) more than in 1999. The graph on the previous page shows the number of international applications received each year since 1978.

The 90,948 international applications received in 2000 had the effect of 4,806,623 national applications, and of 262,793 regional applications which would be equivalent to 3,650,549 applications for patent protection in the member States of the regional patent systems, that is, a notional equivalent effect, in total, of 8,457,172 national applications.

The table above shows the top 10 countries of origin of international applications for that period. (Note that 16.1% of the applications received were filed with either the European Patent Office or the International Bureau as receiving Offices; those filings, as well as filings received from the other regional patent Offices as receiving Offices, are included in the figures relating to the countries of residence of the applicants.)

Applicants from the United States of America filed the largest number of PCT applications in 2000, filing 42.0% of all applications. As was the case in 1999, they were followed by Germany (13.2%), Japan (10.3%), the United Kingdom (6.1%) and France (4.0%). For statistics concerning developing countries, see "Rapid growth in filings from developing countries," below.

In 2000, there was a considerable increase in the number of international applications that were prepared using the PCT-EASY software. Of the 90,948 international applications received, 24,882 (27.4% (compared with 13% in 1999)) were prepared using the PCT-EASY software.

The number of demands for international preliminary examination also increased considerably in 2000. The total received was 64,243, which represents an increase over 1999 of 20.6%.

Further statistics, as well as information on the main events which occurred in 2000 in relation to the PCT, are contained in the Information Note "The Patent Cooperation Treaty (PCT) in 2000," which can be viewed under "PCT News" at:

<http://www.wipo.int/pct/en/index.html>

RAPID GROWTH IN FILINGS FROM DEVELOPING COUNTRIES

Rapid growth in the filing of international applications by inventors and industry in developing countries continued during 2000.

Compared to the increase of 22.9% in PCT filings worldwide, the filing of PCT applications in developing countries which are PCT Contracting States registered an overall growth of 80.6% over the year 1999, resulting in a total of 3,152 international applications originating from those countries.

Last year, applications under the PCT were received from 20 developing countries that are PCT Contracting States. Increased use of the PCT system for seeking patent protection worldwide was most pronounced in the Asian region, which, in 2000, contributed 79% of the total number of applications filed in developing countries, followed by Africa (12.6%) and Latin America and the Caribbean (8%).

On a country-wide basis, the highest growth rate over 1999 in PCT filings from developing countries was observed in India (155.8%), China (141.3%) and the Republic of Korea (91.6%). In terms of number of international applications filed in 2000 by applicants from developing countries, applicants from the Republic of Korea filed the largest number of international applications (1,514), followed by China (579) and

South Africa (386). It is also significant that PCT filings increased in several other developing countries, in particular, Indonesia, Costa Rica, Sudan, Sri Lanka and in member States of OAPI.

WIPO is pursuing an active program of PCT seminars and training programs, with a view to increasing the awareness of local industry and patent practitioners of what the PCT can offer them as inventive activity within these countries grows, as well as assisting them and national patent administrations to develop their knowledge of PCT procedures. With better understanding of the benefits of the PCT system among inventors, industry and the research and development community in developing countries, it is expected that the use of the PCT system in such countries will increase even further in the years to come.

PCT INFORMATION UPDATE

GH Ghana (types of protection; fees; required contents of translation; special requirements of the Office; who can act as agent)

There has been a change in the types of protection available in Ghana via the PCT, as follows:

national: patents, utility certificates
ARIPO: patents

The amounts of the following national fees, payable to the Registrar General's Department of Ghana as designated and elected Office, have changed:

for utility certificate:
national processing
fee: GHC 50,000
 (GHC 25,000)
(the amount in parentheses is applicable in case of filing by an individual or by an entity employing less than 25 persons)

There have also been changes in the requirements of that Office concerning the contents of the translation, in the special requirements for entry into the national phase, as well as in the requirements as to who can act as agent before that Office, as follows:

required contents of the translation for entry into the national phase:

under PCT Article 22:
description, claims (if amended, as amended only), any text matter of drawings, abstract

under PCT Article 39(1):
description, claims, any text matter of drawings, abstract (if any of those parts has been amended, only as amended by the annexes to the international preliminary examination report)

special requirements of the Office:

- document evidencing a change of name of the applicant if the change occurred after the international filing date
- document of assignment or transfer if the applicant has changed after the international filing date and the change has not been reflected in a notification from the International Bureau (Form PCT/IB/306)
- international application or translation to be furnished in three copies
- furnishing, where applicable, of a nucleotide and/or amino acid sequence listing in computer readable form
- declaration justifying the applicant's right to a patent if he is not the inventor
- appointment of an agent if the applicant is not resident in Ghana

who can act as agent?

any attorney or lawyer registered in Ghana or any engineer or other qualified scientist registered to practice before the Office

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (GH), and Vol. II/A, National Chapter, Summary (GH))

LC Saint Lucia (general information; competent International Searching and Preliminary Examining Authorities)

Information about Saint Lucia as a Contracting State is set out on a pink tear-out provisional sheet for the *PCT Applicant's Guide*, Vol. I/A, Annex B1 (LC). That sheet will later need to be replaced by the corresponding March 2001 update sheets of the *PCT Applicant's Guide*.

The Registry of Companies and Intellectual Property of Saint Lucia has specified the

Australian Patent Office and the European Patent Office as competent International Searching and Preliminary Examining Authorities for international applications filed by nationals and residents of Saint Lucia with the International Bureau as receiving Office. (The European Patent Office is a competent International Preliminary Examining Authority only if the international search is being or has been performed by that Office.)

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (IB))

PL Poland (institutions with which deposits of microorganisms and other biological material may be made)

The following depositary institutions have acquired the status of international depositary authority under the Budapest Treaty on the International Recognition of the Deposit of Microorganisms for the Purposes of Patent Procedure:

Collection of Industrial Microorganisms
Institute of Agricultural and Food
Biotechnology (IAFB)
Ul. Rakowiecka 36
02-532 Warsaw, Poland

Polish Collection of
Microorganisms (PCM)
Institute of Immunology and
Experimental Therapy
Polish Academy of Sciences
Ul. Weigla 12
53-114 Wroclaw, Poland

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex L)

ZA South Africa (fees)

As from 1 April 2001, there will be a change in the equivalent amounts of the following fees payable in ZAR to the South African Patents and Trade Marks Office as receiving Office, as well as a change in the equivalent amount of the PCT-EASY fee reduction:

basic fee, supplement per sheet over 30, designation fee and PCT-EASY fee reduction: see Table I(a) and footnotes

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (ZA))

Search fee (European Patent Office)

As from 1 April 2001, there will be a change in the equivalent amount payable

in ZAR for an international search carried out by the European Patent Office, as indicated in Table I(b).

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex D (EP))

Fees relating to the international preliminary examination (Australian Patent Office)

A new fee payable in AUD to the Australian Patent Office as International Preliminary Examining Authority has been established, as follows:

fee for copies of documents contained in the file of the international application, per document:	AUD 10
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(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex E (AU))

Receiving Offices prepared to accept international applications containing sequence listing part filed on an electronic medium

The Australian Patent Office and the Israel Patent Office have notified the International Bureau that they are prepared to accept the filing of international applications containing a sequence listing part filed on an electronic medium under Section 801(a)(i) or (ii) of the Administrative Instructions and have specified, under Section 801(b), that they will accept such filings on the following electronic media:

Australian Patent Office:

CD-ROM, in accordance with International Standard ISO 9660

CD-R, in accordance with International Standard ISO 9660

Israel Patent Office:

CD-ROM

BUDAPEST TREATY ACCESSION

Mexico

On 21 December 2000, Mexico deposited its instrument of accession to the Budapest Treaty on the International Recognition of the Deposit of Microorganisms for the Purposes of Patent Procedure. That Treaty will enter into force with respect to Mexico on 21 March 2001.

PCT-EASY UPDATE

A table listing the receiving Offices which have notified the International Bureau that they are prepared to accept the filing of international applications in such format appears on page 11, updating the list included in *PCT Newsletter* No. 01/2000.

PCT APPLICANT'S GUIDE UPDATE SHEETS

The next set of update sheets for the *PCT Applicant's Guide* (dated March 2001) is under preparation. Pink provisional sheets which have so far been included in the *PCT Newsletter* since the last set of update sheets was issued in July 2000, and which may have been inserted in the *PCT Applicant's Guide* by its users, should be removed from the *Guide* and replaced with the March 2001 update sheets when they are received.

The March 2001 update sheets for the *PCT Applicant's Guide* will also be incorporated shortly in the *PCT Applicant's Guide* on WIPO's Internet site.

UPDATE YOUR BOOKMARKS TO THE PCT GAZETTE DATABASE

Frequent users of the *PCT Gazette* database are probably already aware that the database has moved to WIPO's Intellectual Property Digital Library (IPDL) site:

<http://ipdl.wipo.int>

along with WIPO's two other databases Madrid and JOPAL. Until now, users have been able to continue accessing the *PCT Gazette* database using their old bookmarks to the <http://pctgazette.wipo.int> site, but this access ceased as of 1 February 2001.

To access the *PCT Gazette* database via the new IPDL site select "Search IPDL" or "Browse IPDL," enter your userid and password when prompted and click on "PCT Electronic Gazette." All established user-accounts remain fully functional and the basic presentation, content and search query syntaxes of the *PCT Gazette* database are unchanged.

Links from the main PCT site:

<http://www.wipo.int/pct>

to both the database and weekly PDF versions of the *Gazette* as printed in paper format have been updated to the IPDL site. Furthermore, access to the weekly

PDF versions is now also available via the link to PCT on the IPDL home page.

PRACTICAL ADVICE

Filing of Declarations under new PCT Rule 4.17

Q: I am the agent for a corporation which frequently files PCT applications. I have just heard that it will be possible, as from 1 March 2001, to include certain declarations in the request, for the purposes of national processing before the designated/elected Offices and would like to have the following matters clarified: (a) Am I required to submit such declarations during the international phase? (b) Should the declarations be worded in a particular way?

A: For international applications **filed from 1 March 2001**, it will be possible, under new PCT Rule 4.17, to include in the request any of the following declarations which relate to certain matters in respect of which some designated or elected Offices may require documents or evidence under their respective national laws: (i) declaration as to the identity of the inventor (Rule 4.17(i)); (ii) declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent (Rule 4.17(ii)); (iii) declaration as to the applicant's entitlement, as at the international filing date, to claim priority of the earlier application (Rule 4.17(iii)); (iv) declaration of inventorship (only for purposes of the designation of the United States of America) (Rule 4.17(iv)); and (v) declaration as to non-prejudicial disclosures or exceptions to lack of novelty (Rule 4.17(v)).

For international applications filed **prior to 1 March 2001**, the declarations may be made subject to certain conditions and where the applicable time limit expires on or after 1 March 2001. For further details, see *PCT Gazette* No. 42/2000 of 19 October 2000, page 15526.

(a) You are not required to submit any of the declarations referred to in PCT Rule 4.17 at the time of filing the request or during the international phase. However, the newly offered centralized procedure of filing such declaration(s) only once, for the purposes of the designated Offices concerned (see below for certain exceptions), may be more advantageous

for applicants than filing different "national-type" declarations with various Offices. If you choose this new option, it is strongly recommended that you submit such declarations at the time of filing, in the request part of the international application, or during the course of the international phase (refer to Rule 26*ter*.1 for the applicable time limit), particularly if you intend to enter the national phase before several designated (or elected) Offices whose national laws require the applicant to furnish documents or evidence relating to the matters to which the declarations relate.

In practice, you will be able to make such declarations in new optional boxes of the Request Form (as in force from 1 March 2001) one for each of the five types of declarations (Boxes Nos. VIII (i) to VIII (v)) (see page 3, above for further details).

If declarations are made as explained above, the International Bureau will, in the case of declarations under PCT Rule 4.17(i) to (iv), transmit a copy thereof to those designated Offices specified by the applicant, noting that a copy of any declaration made under PCT Rule 4.17(iv) will only be sent to the United States Patent and Trademark Office as the only designated Office to which it applies. Any declaration as to non-prejudicial disclosures or exceptions to lack of novelty (referred to in PCT Rule 4.17(v)) will be published as part of the PCT pamphlet.

In all cases, an indication about the fact that a given declaration has been made and the list of designated Offices concerned will be included on the front page of the pamphlet and in the corresponding entry in the *PCT Gazette*.

Subsequently, in connection with processing of these declarations in the national phase, it is only where the designated Offices concerned may reasonably doubt the veracity of the declarations that they will be

entitled to require further documents or evidence in respect of the matters to which the declarations relate; note that such limitation will not apply in respect of the declaration as to non-prejudicial disclosures and exceptions to lack of novelty (see PCT Rule 51*bis*.2). For those designated Offices which have informed the International Bureau that the applicable national law is not compatible with PCT Rule 51*bis*2(a)(i), (ii) or (iii), refer to page 2, above.

(b) The declarations under PCT Rule 4.17 must conform to the **standardized wording** provided for in new Sections 211 to 215 of the Administrative Instructions (set out in tear-out sheets included in this issue). The wording of the declaration under PCT Rule 4.17(iv) (declaration of inventorship) is pre-printed in new Box No. VIII (iv) of the Request Form (as in force from 1 March 2001), and the standardized wording of the other declarations is included in the Notes to Boxes Nos. VIII (i) to VIII (iii) and VIII (v) of that Form, noting that the applicant will have to create in each case a declaration including only those items of the standardized wording as is necessary to explain the particular circumstances.

Note that, according to PCT Rule 26*ter*.2(a), where the receiving Office or the International Bureau finds that any declaration is not worded as required, that Office or the International Bureau, as the case may be, may invite the applicant to correct the declaration within a time limit of 16 months from the priority date.

What to look out for in future issues of the *PCT Newsletter*: (i) applicability of the new centralized procedure to international applications filed before 1 March 2001; (ii) how to decide on which declarations to file; (iii) filing of declarations after the international application has been filed; (iv) correction of declarations; (v) access by third parties to copies of declarations.

PCT SEMINAR CALENDAR			
Dates and location	Language of seminar	Nature of seminar; WIPO speakers (and others where known)	Organizer and contact numbers
1-2 March 2001 San Francisco (US)	English	Basic PCT seminar for patent administrators WIPO speakers: Mr. Reischle and Mr. Maassel	Intellectual Property International (Ms. Virginia H. Meyer) Tel: (1-415) 289 74 71 Fax: (1-415) 331 60 68 E-mail: IPI@IPISeminars.com Internet: www.ipiseminars.com

[continued on next page]

PCT SEMINAR CALENDAR [continued]			
Dates and Location	Language of seminar	Nature of seminar; WIPO speakers (and others where known)	Organizer and contact numbers
5-6 March 2001 Chicago (US)	English	Basic PCT seminar for patent administrators WIPO speakers: Mr. Reischle and Mr. Maassel	The John Marshall Law School Department of Event Management Tel: (1-312) 987 14 20 Fax: (1-312) 427 71 28 E-mail: Events@jmls.edu
7-8 March 2001 Chicago (US)	English	Basic PCT seminar for patent administrators WIPO speakers: As above	As above
20 March 2001 Paris (FR)	French	PCT presentation for patent attorneys WIPO speaker: Ms. Boutillon	Fondation Nationale pour le Droit de l'Entreprise (FNDE) and Association Française des Spécialistes en Propriété Industrielle de l'Industrie (ASPI) (Mrs. Heuzé) Tel: (33-1) 42 66 18 19 Fax: (33-1) 42 66 17 37
21-22 March 2001 Milan (IT)	English	PCT seminar for patent attorneys WIPO speakers: Ms. Eriksson and Mr. Reischle	Ordine Consulenti Proprietà Industriale and the Association of Patent Attorneys in Industry (AICIPI) Tel/Fax: (39-2) 55 18 51 44 E-mail: consiglio@ordine-brevetti.it
28 (p.m.)-29 March 2001 Ecully (FR)	French	PCT seminar for patent attorneys WIPO speaker: Ms. Boutillon	Centre Paul Roubier (Mrs. Audi) Tel: (33-4) 78 33 07 08 Fax: (33-4) 78 33 58 96
2-3 April 2001 London (GB)	English	Advanced PCT seminar for patent administrators WIPO speakers: Mrs. Coeckelbergs and Ms. Eriksson	Management Forum Ltd Tel: (44-1483) 57 00 99 Fax: (44-1483) 53 64 24 E-mail: josephine.leak@management-forum.co.uk
4-5 April 2001 London (GB)	English	Basic PCT seminar for patent administrators WIPO speakers: Mrs. Coeckelbergs and Ms. Eriksson	Management Forum Ltd Tel: (44-1483) 57 00 99 Fax: (44-1483) 53 64 24 E-mail: josephine.leak@management-forum.co.uk
6 April 2001 London (GB)	English	PCT-EASY workshop WIPO speaker: Ms. Featherby	Management Forum Ltd Tel: (44-1483) 57 00 99 Fax: (44-1483) 53 64 24 E-mail: josephine.leak@management-forum.co.uk
22-24 April 2001 Palm Harbor, Florida (US)	English	Advanced PCT seminar for patent attorneys WIPO speaker: Mr. Maassel	Patent Resources Group, Inc. Tel: (1-804) 296 39 00 Fax: (1-804) 296 39 99
26-28 April 2001 Palm Harbor, Florida (US)	English	Basic PCT seminar for patent attorneys WIPO speaker: Mr. Maassel	Patent Resources Group, Inc. Tel: (1-804) 296 39 00 Fax: (1-804) 296 39 99
26-27 April 2001 Oslo (NO)	English and Swedish	Basic PCT seminar for patent attorneys and patent administrators WIPO speakers: Mrs. Coeckelbergs and Ms. Eriksson	The Norwegian Patent Office (Ms. Eli Mogstad Ranger) Tel: (47-22) 38 73 00 Fax: (47-22) 38 76 80 e-mail: kurs.kompetanse@patentstyret.no
8 May 2001 Munich (DE)	German	Basic PCT seminar for patent attorneys WIPO speakers: Mrs. Coeckelbergs and Mr. Reischle	Forum Institut für Management GmbH Tel: (49-6221) 500 500 Fax: (49-6221) 500 505 E-mail: Management@Forum-Institut.de
9-10 May 2001 Munich (DE)	German	Basic PCT seminar for patent administrators WIPO speakers: Mrs. Coeckelbergs and Mr. Reischle	Forum Institut für Management GmbH Tel: (49-6221) 500 500 Fax: (49-6221) 500 505 E-mail: Management@Forum-Institut.de
11 May 2001 Munich (DE)	German	PCT-EASY training	Forum Institut für Management GmbH Tel: (49-6221) 500 500 Fax: (49-6221) 500 505 E-mail: Management@Forum-Institut.de

**RECEIVING OFFICES PREPARED TO ACCEPT
THE FILING OF INTERNATIONAL APPLICATIONS
CONTAINING REQUESTS IN PCT-EASY FORMAT
(status on 1 February 2001)**

AM	Armenian Patent Office	IN	Indian Patent Office
AP	African Regional Industrial Property Organization	JP	Japanese Patent Office
AT	Austrian Patent Office	KG	Kyrgyz Patent Office
AU	Australian Patent Office	KP	Invention Office of the Democratic People's Republic of Korea
BA	Institute for Standardization, Metrology and Patents (Bosnia and Herzegovina)	KR	Korean Intellectual Property Office
BE	Industrial Property Office (Belgium)	KZ	Kazakh Patent Office
BG	Bulgarian Patent Office	LV	Latvian Patent Office
BZ	Intellectual Property Office of Belize	MD	Moldova Patent Office
CA	Canadian Patent Office	MK	Industrial Property Protection Office (The former Yugoslav Republic of Macedonia)
CH	Swiss Federal Intellectual Property Institute	MN	Mongolian Intellectual Property Office
CN	China Intellectual Property Office	MW	Ministry of Justice, Department of the Registrar General (Malawi)
CR	Registry of Intellectual Property (Costa Rica)	NL	Netherlands Industrial Property Office
CZ	Industrial Property Office (Czech Republic)	NO	Norwegian Patent Office
DE	German Patent and Trade Mark Office	PT	National Institute of Industrial Property (Portugal)
DK	Danish Patent and Trademark Office	RO	State Office for Inventions and Trademarks (Romania)
EA	Eurasian Patent Office	RU	Russian Patent Office
EE	Estonian Patent Office	SE	Swedish Patent Office
EP	European Patent Office	SG	Intellectual Property Office of Singapore
ES	Spanish Patent and Trademark Office	SI	Slovenian Intellectual Property Office
FI	National Board of Patents and Registration (Finland)	SK	Industrial Property Office (Slovakia)
FR	National Institute of Industrial Property (France)	TM	Turkmen Patent Office
GB	United Kingdom Patent Office	TR	Turkish Patent Institute
GE	Georgian Intellectual Property Office	TT	Intellectual Property Office (Trinidad and Tobago)
GR	Industrial Property Organization (Greece)	US	United States Patent and Trademark Office
HR	Croatian Intellectual Property Office	UZ	Uzbek Patent Office
HU	Hungarian Patent Office	YU	Federal Intellectual Property Office (Yugoslavia)
IB	International Bureau of the World Intellectual Property Organization	ZA	South African Patents and Trade Marks Office
ID	Directorate General of Intellectual Property (Indonesia)	ZW	Zimbabwe Patent Office
IE	Irish Patents Office		
IL	Israel Patent Office		

PCT FEE TABLES

The following Tables show the amounts and currencies of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Vol. I/B, Annexes C, D and E. Note that all amounts are subject to change due to variations in the fees themselves or fluctuations in exchange rates. The total amount of the basic fee and designation fee may be reduced by CHF 200 under certain circumstances where the request is prepared using the PCT-EASY software; see footnote 2 for details. A 75% reduction in the basic fee, the supplement per sheet over 30, the designation fee and the handling fee, as well as an exemption from the transmittal fee payable to the International Bureau as receiving Office, is also available to applicants from certain States; see footnote 12 for details. (Note that if both the CHF 200 reduction and the 75% reduction are applicable, the 75% reduction is calculated *after* the CHF 200 reduction.) The key to the abbreviations used and the footnotes to the Fee Tables follow Table II.

Table I(a) — TRANSMITTAL, BASIC AND DESIGNATION FEES

(as at 1 February 2001, unless otherwise indicated)

RO	Transmittal fee ¹	Basic fee ^{1,2,3} (CHF 650)	Supplement per sheet over 30 ^{1,2,3} (CHF 15)	Designation fee ^{1,2,3,4,5} (CHF 140)	PCT-EASY reduction ² (CHF 200)	Competent ISA(s) ⁶
AL	ALL 9,000	CHF 650	15	140	n a	EP
AM	AMD 32,000	USD 382	9	82	117	EP RU
AP	USD 30 (or eq in local currency)	USD 382	9	82	117	AT EP SE
AT	ATS 700	ATS 5,627.96	123.84	1,210.91	1,733.80	EP
AU	AUD 100	AUD 665	15	144	205	AU
AZ	AZM eq USD 15 plus mailing costs	USD 382	9	82	n a	EP RU
BA	DEM 50	DEM 799.93	17.60	172.11	246.43	EP
BE	BEF 1,500	BEF 16,499	363	3,550	5,083	EP
BG	BGL 60	BGL eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP RU
BR	BRR 236	BRR eq CHF 650	eq CHF 15	eq CHF 140	n a	AT EP SE US
BY	BYR eq USD 70	USD 382	9	82	n a	EP RU
BZ	BZD 220	USD 382	9	82	117	EP
CA	CAD 200	CAD 562	13	121	173	EP
CH	CHF 100	CHF 650	15	140	200	EP
CN	CNY 500	CNY eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	CN
CR	USD 175	USD 382	9	82	117	EP ES
CU	USD (or CUP eq)200	USD (or CUP eq)382	9	82	n a	AT EP ES RU
CY	CYP 75	CYP 235	5	50	n a	EP
CZ	CZK 1,500	CZK eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
DE	DEM 175	DEM 799.93	17.60	172.11	246.43	EP
DK	DKK 1,500	DKK 3,060	70	660	940	EP SE
DZ	DZD ⁷ —	CHF 650	15	140	n a	AT EP
EA	RUR eq USD 50	USD 382	9	82	117	EP RU
EE	EEK 1,800	EEK eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
EP ⁸	EUR 102	EUR 409	9	88	126	EP
ES	ESP 10,040	ESP 68,052	1,497	14,642	20,965	EP ES
FI	FIM 800	FIM 2,431.80	53.51	523.22	749.16	EP SE
FR	FRF 400 or EUR 60.98	FRF 2,682.86 or EUR 409	59.04 9	577.24 88	826.51 126	EP
GB	GBP 55	GBP 264	6	56	81	EP
GE	GEL ⁹ 10	USD 382	9	82	117	EP RU

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Table I(a) — TRANSMITTAL, BASIC AND DESIGNATION FEES [continued]
(as at 1 February 2001, unless otherwise indicated)

RO	Transmittal fee ¹	Basic fee ^{1,2,3} (CHF 650)	Supplement per sheet over 30 ^{1,2,3} (CHF 15)	Designation fee ^{1,2,3,4,5} (CHF 140)	PCT-EASY reduction ² (CHF 200)	Competent ISA(s) ⁶
GH	GHC ¹⁰ 2,500 or 5,000	USD 382	9	82	n a	AT AU CN EP SE
GR	GRD 39,500	GRD 136,000	3,000	29,000	42,000	EP
HR	HRK 200	HRK eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
HU	HUF ¹¹ 10,000	HUF eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP RU
IB	CHF ¹² 100 or USD ¹² 60	CHF 650 or USD 382	15 9	140 82	200 117	See footnote 13
ID	IDR 500,000	IDR eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	AU EP RU
IE	IEP 60 or EUR 76.18	IEP 322.11 or EUR 409	7.09 9	69.31 88	99.23 126	EP
IL	ILS 437	USD 382	9	82	117	EP US
IN	INR 5,000 (filing by individual: 1,500)	USD 382	9	82	117	AT AU CN EP SE US
IS	ISK 5,500	ISK 30,800	700	6,600	n a	EP SE
IT	ITL 60,000	ITL 791,934	17,426	170,392	n a	EP
JP	JPY 18,000	JPY 40,700	940	8,800	12,500	EP JP
KE	USD (or KES equiv) ³⁰	USD 382	9	82	n a	ATAUCN EP SE
KG	KGS eq USD 100	USD 382	9	82	117	EPRU
KP	KPW eq CHF 50	KPW eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	ATRU
KR	KRW 45,000	KRW 425,800	9,800	91,700	131,000	ATAUKR
KZ	KZT ⁷ —	USD 382	9	82	117	EPRU
LR	USD 45	USD 382	9	82	n a	ATAUCN EP SE
LS	LSM ⁷ —	LSM eq CHF 650	eq CHF 15	eq CHF 140	n a	ATEP
LT	LTL 320	USD 382	9	82	n a	EPRU
LU	LUF/BEF 800	LUF/BEF 16,499	363	3,550	n a	EP
LV	LVL 47.20	USD 382	9	82	117	EPRU
MA	None	CHF 650	15	140	n a	ATEPRUSE
MC	FRF 320	FRF 2,682.86	59.04	577.24	n a	EP
MD	MDL ⁷ 180	USD 382	9	82	117	EPRU
MK	MKD 2,750	MKD eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
MN	None	CHF 650	15	140	200	EPRU
MW	MWK 2,000	MWK 28,500	700	6,000	8,800	EP
MX	MXP ³ eq USD 200	MXP eq CHF 650	eq CHF 15	eq CHF 140	n a	EP ES SE US
NL	NLG 110	NLG 901.32	19.83	193.93	277.67	EP
NO	NOK 500	NOK 3,380	80	730	1,040	EP SE
NZ	NZD 180	NZD 923	21	199	n a	AU EP US
PL	PLZ 280	PLZ eq CHF 650	eq CHF 15	eq CHF 140	n a	EP
PT	PTE 4,650	PTE 81,997.10	1,804.30	17,642.40	25,260.70	EP
RO	ROL 300,000	CHF 650	15	140	200	ATEPRU

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Table I(a) — TRANSMITTAL, BASIC AND DESIGNATION FEES [continued]
(as at 1 February 2001, unless otherwise indicated)

RO	Transmittal fee ¹	Basic fee ^{1,2,3} (CHF 650)	Supplement per sheet over 30 ^{1,2,3} (CHF 15)	Designation fee ^{1,2,3,4,5} (CHF 140)	PCT-EASY reduction ² (CHF 200)	Competent ISA(s) ⁶
RU	RUR 294	USD 382	9	82	117	EPRU
SD	SDP 50	SDP eq CHF 650	eq CHF 15	eq CHF 140	n a	EP
SE	SEK 1,000	SEK 3,540	80	760	1,090	EPSE
SG	SGD 135	SGD 638	15	137	196	AT AU EP
SI	SIT 22,000	SIT eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
SK	SKK 1,600	SKK eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
TJ	TJR ⁷ —	USD 382	9	82	n a	EP RU
TM	USD ⁷ —	USD 382	9	82	117	EP RU
TR	CHF 100	CHF 650	15	140	200	EP
TT	TTD 750	USD 382	9	82	117	AT EP SE US
UA	UAH 255	USD 382	9	82	n a	EP RU
US	USD 240	USD 382	9	82	117	EP US
UZ	USD ⁷ —	USD 382	9	82	117	EP RU
VN	VND eq USD 150	VND eq CHF 650	eq CHF 15	eq CHF 140	n a	AT AU EP RU SE
YU	YUD 600	CHF 650	15	140	200	EP
ZA	ZAR 500	ZAR 2,620 (from 1.4.01: 3,152)	60 (72)	560 (680)	800 (972)	AT AU EP US
ZW	ZWD 1,000	ZWD eq USD 382	eq USD 9	eq USD 82	eq USD 117	AT AU CN EP RU

Table I(b) — SEARCH FEES
(as at 1 February 2001, unless otherwise indicated)

ISA	Search fee ¹										
AT	ATS 2,200	CHF 250	KRW 159,500	SGD 247	USD 170	ZAR 1,022					
AU	AUD 800	CHF 780	KRW 496,000	NZD 990	SGD 749	USD 510					
	ZAR 3,150										
CN	CNY 800	CHF 150	USD 100								
EP ¹⁴	EUR 945	CYP 558	FRF 6,198.79	ITL 1,829,775	NLG 2,082.51	SEK 8,220					
	ATS 13,003.48	DEM 1,848.26	GBP 572	JPY 90,600	NOK 7,800	SGD 1,457					
	BEF 846	38,121	DKK 7,090	GRD 314,700	LUF 38,121	NZD 1,900	USD				
	CAD 1,249	ESP 157,235	IEP 744.25	MWK 62,000	PTE 189,455.50	ZAR* 6,040					
	CHF 1,460	FIM 5,618.71	ISK 70,000	*(from 1.4.01: ZAR 7,000)							
ES	ESP 157,235	CHF 1,500	EUR 945	USD 846							
JP	JPY 72,000	CHF 1,170	USD 720								
KR	KRW 150,000	CHF 173	USD 110								
RU ¹⁵	USD 300	CHF 460									
SE	SEK 8,220	CHF 1,530	DKK 7,090	FIM 5,618.71	ISK 70,000	NOK 7,800					
	USD 846										
US	USD 700	450 ¹⁶	CHF 1,064	684 ¹⁶	NZD 1,714	1,102 ¹⁶	ZAR* 4,900	3,100 ¹⁶			
	*(from 15.2.01: ZAR 5,500 3,500 ¹⁶)										

Table II — PRELIMINARY EXAMINATION FEES
(as at 1 February 2001, unless otherwise indicated)

IPEA	Preliminary examination fee ¹⁷			Handling fee ^{3,17} (CHF 233)		
AT	ATS 2,200			ATS 2,022.76		
AU	AUD 450			AUD 238		
CN	CNY 800			CNY eq CHF 233		
EP ¹⁴	EUR 1,533	DKK 11,500	IEP 1,207.34	EUR 147	DKK 1,100	IEP 115.77
	ATS 21,094.54	ESP 255,070	ITL 2,968,302	ATS 2,022.76	ESP 24,459	ITL 284,632
	BEF 61,841	FIM 9,114.80	LUF 61,841	BEF 5,930	FIM 874.02	LUF 5,930
	CHF 2,380	FRF 10,055.82	NLG 3,378.29	CHF 233	FRF 964.26	NLG 323.95
	CYP 904	GBP 927	PTE 307,338.90	CYP 84	GBP 94	PTE 29,470.90
	DEM 2,998.29	GRD 510,500	SEK 13,340	DEM 287.51	GRD 49,000	SEK 1,270
JP	JPY 28,000			JPY 14,600		
KR	KRW 150,000			KRW 152,600		
RU ¹⁵	USD 200 ¹⁸ 300 ¹⁹			USD 137		
SE	SEK 4,200			SEK 1,270		
US	USD 490 750 ²⁰			USD 137		

Key to abbreviations used in fee tables:

eq	equivalent of	BYR	Belarussian rouble	GEL	Georgian lari	LSM	Lesotho loti	SEK	Swedish krona
IPEA	International Preliminary Examining Authority	BZD	Belize dollar	GHC	Ghanaian cedi	LTL	Lithuanian litas	SGD	Singapore dollar
ISA	International Searching Authority	CAD	Canadian dollar	GRD	Greek drachma	LUF	Luxembourg franc	SIT	Slovenian tolar
n a	not applicable	CHF	Swiss franc	HRK	Croatian kuna	LVL	Latvian lat	SKK	Slovak koruna
RO	receiving Office	CNY	Yuan renminbi	HUF	Hungarian forint	MAD	Moroccan dirham	TJR	Tajik rouble
		CUP	Cuban convertible peso	IDR	Indonesian rupiah	MDL	Moldovan leu	TTD	Trinidad and Tobago dollar
		CYD	Cyprus pound	IEP	Irish pound	MKD	Macedonian denar	UAH	Ukrainian hryvnia
		CZK	Czech koruna	ILS	New Israel shekel	MWK	Malawian kwacha	USD	US dollar
		DEM	Deutsche mark	INR	Indian rupee	MXP	Mexican peso	VND	Vietnamese dong
ALL	Albanian lek	DKK	Danish krone	ISK	Icelandic krona	NLG	Netherlands guilder	YUD	Yugoslavian dinar
AMD	Armenian dram	DZD	Algerian dinar	ITL	Italian lira	NOK	Norwegian krone	ZAR	South African rand
ATS	Austrian schilling	EEK	Estonian kroon	JPY	Japanese yen	NZD	New Zealand dollar	ZWD	Zimbabwe dollar
AUD	Australian dollar	ESP	Spanish peseta	KES	Kenyan shilling	PLZ	Polish zloty		
AZM	Azerbaijani manat	EUR	Euro	KGS	Kyrgyz som	PTE	Portuguese escudo		
BEF	Belgian franc	FIM	Finnish markka	KPW	KP won	ROL	Romanian leu		
BGL	Bulgarian lev	FRF	French franc	KRW	KR won	RUR	Russian rouble		
BRR	Brazilian real	GBP	Pound sterling	KZT	Kazakh tenge	SDP	Sudanese pound		

Footnotes to fee tables:

- 1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 2 The total amount of the basic fee and designation fee is reduced by 200 Swiss francs where: (a) the request is presented as a computer print-out prepared using the PCT-EASY software; (b) the request is filed together with a computer diskette, prepared using that software, containing a copy in electronic form of the data contained in the request and of the abstract; and (c) the international application is filed with a receiving Office which is prepared to accept the filing of international applications containing requests in PCT-EASY format together with PCT-EASY diskettes.
- 3 This fee is reduced by 75% where the applicant or, if there are two or more applicants, each applicant is a natural person and is a national of and resides in Albania, Algeria, Armenia, Azerbaijan, Belarus, Belize, Benin, Bosnia and Herzegovina, Brazil, Bulgaria, Burkina Faso, Cameroon, Central African Republic, Chad, China, Colombia (from 28.2.00), Congo, Costa Rica, Côte d'Ivoire, Croatia, Cuba, Czech Republic, Democratic People's Republic of Korea, Dominica, Estonia, Gabon, Gambia, Georgia, Ghana, Grenada, Guinea, Guinea-Bissau, Hungary, India, Indonesia, Kazakhstan, Kenya, Kyrgyzstan, Latvia, Lesotho, Liberia, Lithuania, Madagascar, Malawi, Mali, Mauritania, Mexico, Mongolia, Morocco, Mozambique, Niger, Poland, Republic of Moldova, Romania, Russian Federation, Saint Lucia, Senegal, Sierra Leone, Slovakia, South Africa, Sri Lanka, Sudan, Swaziland, Tajikistan, The former Yugoslav Republic of Macedonia, Togo, Turkey, Turkmenistan, Uganda, Ukraine, United Republic of Tanzania, Uzbekistan, Viet Nam, Yugoslavia or Zimbabwe. For further details, see *PCT Newsletter* No. 10/1995, cover page, No. 11/1995, page 6, and No. 05/1996, cover page.
- 4 The maximum number of designation fees payable is six.
- 5 The confirmation fee payable under PCT Rules 4.9(c) and 15.5 is 50% of the designation fee due (taking into account any applicable 75% reduction; see footnote 3).
- 6 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- 7 The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 8 The amounts of the transmittal fee in currencies other than EUR are as follows: ATS 1,403.55; BEF 4,115; CHF 160; CYP 60; DEM 199.49; DKK 770; ESP 16,971; FIM 606.46; FRF 669.08; GBP 62; GRD 34,000; IEP 80.33; ITL 197,500; LUF 4,115; NLG 224.78; PTE 20,449.20; SEK 890. The amounts in those currencies of the basic fee, supplement per sheet over 30 and designation fee are the same as those indicated for the receiving Offices of the respective States, that is RO/AT, BE, CH, etc.
- 9 This fee is reduced by 80% where the applicant is a natural person.
- 10 The first amount of the transmittal fee is applicable to individuals or entities employing less than 25 persons. The second amount is applicable to entities employing 25 persons or more.
- 11 A supplement of HUF 500 is also payable for each claim in excess of 10.
- 12 This fee is not payable in respect of international applications filed by applicants fulfilling the conditions indicated in footnote 3.
- 13 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national. See also *PCT Applicant's Guide*, Vol. I/B, Annex C (IB).
- 14 The search fee and the preliminary examination fee are reduced by 75% if the application is filed by a natural person who is a national of a State not party to the European Patent Convention, which fulfills the requirements for the corresponding reduction of fees payable to the International Bureau, and is resident in such a State (see decision of the EPO's Administrative Council of 11 October 2000, the text of which was included in *PCT Newsletter* No. 11/2000). The States concerned are those indicated in footnote 3, with the exception of Turkey.
- 15 The applicant may, instead of paying the USD amount, pay the equivalent amount in RUR at the exchange rate applicable, on the date of payment, at the Central Bank of the Russian Federation, provided that, in the case of the search fee, the receiving Office accepts payment in RUR.
- 16 Payable when a corresponding prior US national application has been filed and the basic filing fee for that US application has been paid.
- 17 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 18 Payable when the international search report was established by the Russian Patent Office.
- 19 In all cases where footnote 18 does not apply.
- 20 Payable when the international search was not carried out by the United States Patent and Trademark Office (USPTO) (provided that the USPTO is a competent International Preliminary Examining Authority in the particular case—see *PCT Applicant's Guide*, Vol. I/B, Annex C.)

PCT CONTRACTING STATES AND TWO-LETTER CODES (110 on 7 February 2001)



AE United Arab Emirates	CM Cameroon (OA) ²	GR Greece (EP) ²	LV Latvia ¹	SE Sweden (EP)
AG Antigua and Barbuda	CN China	GW Guinea-Bissau (OA) ²	MA Morocco	SG Singapore
AL Albania ¹	CO Colombia (from 28 February 2001)	HR Croatia	MC Monaco (EP) ²	SI Slovenia ¹
AM Armenia (EA)	CR Costa Rica	HU Hungary	MD Republic of Moldova (EA)	SK Slovakia
AT Austria (EP)	CU Cuba	ID Indonesia	MG Madagascar	SL Sierra Leone (AP)
AU Australia	CY Cyprus (EP) ²	IE Ireland (EP) ²	MK The former Yugoslav Republic of Macedonia ¹	SN Senegal (OA) ²
AZ Azerbaijan (EA)	CZ Czech Republic	IL Israel	ML Mali (OA) ²	SZ Swaziland (AP) ²
BA Bosnia and Herzegovina	DE Germany (EP)	IN India	MN Mongolia	TD Chad (OA) ²
BB Barbados	DK Denmark (EP)	IS Iceland	MR Mauritania (OA) ²	TG Togo (OA) ²
BE Belgium (EP) ²	DM Dominica	IT Italy (EP) ²	MW Malawi (AP)	TJ Tajikistan (EA)
BF Burkina Faso (OA) ²	DZ Algeria	JP Japan	MX Mexico	TM Turkmenistan (EA)
BG Bulgaria	EC Ecuador (from 7 May 2001)	KE Kenya (AP)	MZ Mozambique (AP)	TR Turkey (EP)
BJ Benin (OA) ²	EE Estonia	KG Kyrgyzstan (EA)	NE Niger (OA) ²	TT Trinidad and Tobago
BR Brazil	ES Spain (EP)	KP Democratic People's Republic of Korea	NL Netherlands (EP) ²	TZ United Republic of Tanzania (AP)
BY Belarus (EA)	FI Finland (EP)	KR Republic of Korea	NO Norway	UA Ukraine
BZ Belize	FR France (EP) ²	KZ Kazakhstan (EA)	NZ New Zealand	UG Uganda (AP)
CA Canada	GA Gabon (OA) ²	LC Saint Lucia	PL Poland	US United States of America
CF Central African Republic (OA) ²	GB United Kingdom (EP)	LI Liechtenstein (EP)	PT Portugal (EP)	UZ Uzbekistan
CG Congo (OA) ²	GD Grenada	LK Sri Lanka	RO Romania ¹	VN Viet Nam
CH Switzerland (EP)	GE Georgia	LR Liberia	RU Russian Federation (EA)	YU Yugoslavia
CI Côte d'Ivoire (OA) ²	GH Ghana (AP)	LS Lesotho (AP)	SD Sudan (AP)	ZA South Africa
	GM Gambia (AP)	LT Lithuania ¹		ZW Zimbabwe (AP)
	GN Guinea (OA) ²	LU Luxembourg (EP)		

1 Extension of European patent possible.

2 May only be designated for a regional patent (the "national route" via the PCT has been closed).

Where a State can be designated for a regional patent, the two-letter code for the regional patent concerned is indicated in parentheses (AP = ARIPO patent, EA = Eurasian patent, EP = European patent, OA = OAPI patent).

Important:

This list includes all States that have adhered to the PCT by the date shown in the heading. Any State indicated in **bold italics** has adhered to the PCT but was not yet bound by the PCT on the date of issue of the latest version of the request form. Where any State has adhered to but is not yet bound by the PCT, the date on which it will become bound is shown in parentheses; it cannot be designated in international applications filed before that date. If the applicant wishes to designate, for the purposes of a national patent, any State which is bound by the PCT on the date on which the international application is filed but which is not listed in the request form, he must add it in Box No. V of the request form and mark the corresponding check-box.

Applicants should always use the latest versions of the request form (PCT/RO/101) and demand form (PCT/IPEA/401), updated versions of which are normally issued twice yearly. The latest versions are dated January 2001. The forms are reproduced in Annexes X and Y, respectively, in Vol. I/B of the *PCT Applicant's Guide* (January 2001 update), and can be printed from the WIPO Internet site, in PDF format, at: <http://www.wipo.int/pct/en/forms/index.htm>, or obtained from receiving Offices or the International Bureau, or, in the case of the demand form, also from International Preliminary Examining Authorities. For details on the updated request form, to be used as from 1 March 2001, see page 3.

B1**Information on Contracting States****B1****LC****SAINT LUCIA****LC****General information**

Name of Office:	Registry of Companies and Intellectual Property
Location and mailing address:	Old Education Building, Corner Laborie and Micoud Streets, Castries, Saint Lucia
Telephone:	(1-758) 468 32 30, 468 32 31, 468 32 39
Facsimile machine:	(1-758) 451 79 89
Teleprinter:	—
E-mail:	rocip@candw.lc
Internet:	—
Does the Office accept the filing of documents by means of telecommunication (PCT Rule 92.4)?	No
Would the Office accept evidence of mailing a document, in case of loss or delay, where a delivery service other than the postal authorities is used (PCT Rule 82.1)?	No
Competent receiving Office for nationals and residents of Saint Lucia:	International Bureau of WIPO (see Annex C)
Competent designated (or elected) Office if Saint Lucia is designated (or elected):	Registry of Companies and Intellectual Property (see Volume II)
May Saint Lucia be elected?	Yes (bound by Chapter II of the PCT)
Types of protection available via the PCT:	Patents
Provisions of the law of Saint Lucia concerning international-type search:	None
Provisional protection after international publication:	None

[Continued on next page]

B1

Information on Contracting States

B1

LC

SAINT LUCIA

LC

[Continued]

Information of interest if Saint Lucia is designated (or elected)

Time when the name and address
of the inventor must be given
if Saint Lucia is designated (or elected):

Must be in the request. If not already complied with within the time
limit applicable under PCT Article 22 or 39(1)(a), the Office will
invite the applicant to comply with the requirement within a time
limit fixed in the invitation.

Are there special provisions concerning
the deposit of microorganisms?

No

MODIFICATIONS OF THE ADMINISTRATIVE INSTRUCTIONS

(as in force from 1 March 2001)

Section 211 [New]

Declaration as to the Identity of the Inventor

(a) Any declaration as to the identity of the inventor, referred to in Rule 4.17(i), shall be worded as follows:

“Declaration as to the identity of the inventor (Rules 4.17(i) and 51bis.1(a)(i)):

in relation to [this] international application [No. PCT/...],

- (i) ... (*name*) of ... (*address*) is the inventor of the subject matter for which protection is sought by way of [the] [this] international application
- (ii) this declaration is made for the purposes of (*include as applicable*):
 - (a) all designations [except the designation of the United States of America]
 - (b) the following designations for national and/or regional patents: ...”

(b) This declaration need not be made if the name and address of the inventor are otherwise indicated in the request.

(c) This declaration may, where applicable, be combined, in accordance with Section 212(b), with the declaration referred to in Section 212(a).

Section 212 [New]

Declaration as to the Applicant's Entitlement to Apply for and Be Granted a Patent

(a) Any declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent, referred to in Rule 4.17(ii), shall be worded as follows, with such inclusion, omission, repetition and re-ordering of the matters listed as items (i) to (viii) as is necessary to explain the applicant's entitlement:

“Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent (Rules 4.17(ii) and 51bis.1(a)(ii)), in a case where the declaration under Rule 4.17(iv) is not appropriate:

in relation to [this] international application [No. PCT/...],

... (*name*) is entitled to apply for and be granted a patent by virtue of the following:

- (i) ... (*name*) of ... (*address*) is the inventor of the subject matter for which protection is sought by way of [the] [this] international application
- (ii) ... (*name*) [is] [was] entitled as employer of the inventor, ... (*inventor's name*)
- (iii) an agreement between ... (*name*) and ... (*name*), dated ...
- (iv) an assignment from ... (*name*) to ... (*name*), dated ...
- (v) consent from ... (*name*) in favor of ... (*name*), dated ...
- (vi) a court order issued by ... (*name of court*), effecting a transfer from ... (*name*) to ... (*name*), dated ...
- (vii) transfer of entitlement from ... (*name*) to ... (*name*) by way of ... (*specify kind of transfer*), dated ...
- (viii) the applicant's name changed from ... (*name*) to ... (*name*) on ... (*date*)
- (ix) this declaration is made for the purposes of (*include as applicable*):
 - (a) all designations [except the designation of the United States of America]
 - (b) the following designations for national and/or regional patents: ...”

(b) The declaration referred to in paragraph (a) may, where applicable, be combined with the declaration referred to in Section 211(a), in which case the introductory phrase shall be worded as follows and the remainder of the combined declaration shall be worded as prescribed in paragraph (a):

“Combined declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent (Rules 4.17(ii) and 51bis.1(a)(ii)) and as to the identity of the inventor (Rules 4.17(i) and 51bis.1(a)(i)), in a case where the declaration under Rule 4.17(iv) is not appropriate:”

Section 213 [New]
**Declaration as to the Applicant's Entitlement to Claim Priority
of Earlier Application**

Any declaration as to the applicant's entitlement, as at the international filing date, to claim priority of the earlier application, referred to in Rule 4.17(iii), shall be worded as follows, with such inclusion, omission, repetition and re-ordering of the matters listed as items (i) to (viii) as is necessary to explain the applicant's entitlement:

"Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application specified below, where the applicant is not the applicant who filed the earlier application or where the applicant's name has changed since the filing of the earlier application (Rules 4.17(iii) and 51*bis*.1(a)(iii)):

in relation to [this] international application [No. PCT/...],

... (*name*) is entitled to claim priority of earlier application No. ... by virtue of the following:

- (i) the applicant is the inventor of the subject matter for which protection was sought by way of the earlier application
- (ii) ... (*name*) [is] [was] entitled as employer of the inventor, ... (*inventor's name*)
- (iii) an agreement between ... (*name*) and ... (*name*), dated ...
- (iv) an assignment from ... (*name*) to ... (*name*), dated ...
- (v) consent from ... (*name*) in favor of ... (*name*), dated ...
- (vi) a court order, issued by ... (*name of court*), effecting a transfer from ... (*name*) to ... (*name*), dated ...
- (vii) transfer of entitlement from ... (*name*) to ... (*name*) by way of ... (*specify kind of transfer*), dated ...
- (viii) the applicant's name changed from ... (*name*) to ... (*name*) on ... (*date*)
- (ix) this declaration is made for the purposes of (*include as applicable*):
 - (a) all designations
 - (b) the following designations for national and/or regional patents: ..."

Section 214 [New]
Declaration of Inventorship

(a) A declaration of inventorship, referred to in Rule 4.17(iv), that is made for the purposes of the designation of the United States of America shall be worded as follows:

"Declaration of inventorship (Rules 4.17(iv) and 51*bis*.1(a)(iv)) for the purposes of the designation of the United States of America:

I hereby declare that I believe I am the original, first and sole (if only one inventor is listed below) or joint (if more than one inventor is listed below) inventor of the subject matter which is claimed and for which a patent is sought.

This declaration is directed to the international application of which it forms a part (if filing declaration with application).

This declaration is directed to international application No. PCT/... (if furnishing declaration pursuant to Rule 26*ter*).

I hereby declare that my residence, mailing address, and citizenship are as stated next to my name.

I hereby state that I have reviewed and understand the contents of the above-identified international application, including the claims of said application. I have identified in the request of said application, in compliance with PCT Rule 4.10, any claim to foreign priority, and I have identified below, under the heading "Prior Applications," by application number, country or Member of the World Trade Organization, day, month and year of filing, any application for a patent or inventor's certificate filed in a country other than the United States of America, including any PCT international application designating at least one country other than the United States of America, having a filing date before that of the application on which foreign priority is claimed.

I hereby acknowledge the duty to disclose information that is known by me to be material to patentability as defined by 37 C.F.R. § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the PCT international filing date of the continuation-in-part application.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made

with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name: ...

Residence: ... (city and either US state, if applicable, or country)

Mailing Address: ...

Citizenship: ...

Prior Applications: ...

Inventor's Signature: ... (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)

Date: ... (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)"

(b) Where there is more than one inventor and all inventors do not sign the same declaration referred to in paragraph (a), each declaration shall indicate the names of all the inventors.

(c) Any correction or addition under Rule 26ter.1 of a declaration referred to in paragraph (a) shall take the form of a declaration referred to in that paragraph and be signed by the inventor. In addition, any such correction shall be entitled "Supplemental declaration of inventorship (Rules 4.17(iv) and 51bis.1(a)(iv))".

Section 215 [New]

Declaration as to Non-Prejudicial Disclosures or Exceptions to Lack of Novelty

Any declaration as to non-prejudicial disclosures or exceptions to lack of novelty shall be worded as follows, with such inclusion, omission, repetition and re-ordering of the matters listed as items (i) to (iv) as is necessary:

"Declaration as to non-prejudicial disclosures or exceptions to lack of novelty (Rules 4.17(v) and 51bis.1(a)(v)):

in relation to [this] international application [No. PCT/...],

... (*name*) declares that the subject matter claimed in [the] [this] international application was disclosed as follows:

- (i) kind of disclosure (*include as applicable*):
 - (a) international exhibition
 - (b) publication
 - (c) abuse
 - (d) other: ... (*specify*)
- (ii) date of disclosure: ...
- (iii) title of disclosure (*if applicable*): ...
- (iv) place of disclosure (*if applicable*): ...
- (v) this declaration is made for the purposes of (*include as applicable*):
 - (a) all designations
 - (b) the following designations for national and/or regional patents: ..."

Section 216 [New]

Notice of Correction or Addition of a Declaration Under Rule 26ter

Any notice referred to in Rule 26ter.1 shall consist of a replacement sheet containing a corrected declaration, or of an additional sheet containing a declaration, and an accompanying letter explaining the correction or addition.

Section 303 [Modified]

Deletion of Additional Matter in the Request

(a) Where, under Rule 4.18(b), the receiving Office deletes *ex officio* any matter contained in the request, it shall do so by enclosing such matter within square brackets and entering, in the margin, the words "DELETED BY RO" or their equivalent in the language of publication of the international application, and shall notify the applicant accordingly. If copies of the international application have already been sent to the

International Bureau and the International Searching Authority, the receiving Office shall also notify that Bureau and that Authority.

(b) The receiving Office shall not delete *ex officio* any indication made in declarations referred to in Rule 4.17 which are contained in the request.

Section 317 [New]
**Transmittal of a Notice of Correction or Addition of
a Declaration Under Rule 26ter.1**

If a notice under Rule 26ter.1 is submitted by the applicant to the receiving Office, that Office shall mark the date of receipt on the notice and transmit it promptly to the International Bureau. The notice shall be considered to have been received by the International Bureau on the date marked.

Section 327 [Modified]
Ex Officio Correction of Request by the Receiving Office

(a) Subject to paragraph (d), where the record copy has not yet been sent to the International Bureau and the request requires correction because it contains an inconsistency or a minor defect such as non-compliance with the requirement for indications under Section 115, the receiving Office may correct the request *ex officio*. If the receiving Office does so, it shall notify the applicant accordingly.

(b) and (c) [No change]

(d) The receiving Office shall not make any *ex officio* correction to declarations referred to in Rule 4.17 which are contained in the request.

Section 419 [New]
Processing of a Declaration Under Rule 26ter

(a) Where any declaration referred to in Rule 4.17, or any correction thereof under Rule 26ter.1, is submitted to the International Bureau within the time limit under Rule 26ter.1, the International Bureau shall indicate the date on which it received the declaration or correction and insert the additional sheet or replacement sheet in the record copy.

(b) The International Bureau shall promptly notify the applicant, the receiving Office and the International Searching Authority of any declaration corrected or added under Rule 26ter.1.

(c) The International Bureau shall not make any *ex officio* correction to declarations referred to in Rule 4.17 which are contained in the request.

(d) Where any declaration referred to in Rule 4.17, or any correction thereof under Rule 26ter.1, is submitted to the International Bureau after the expiration of the time limit under Rule 26ter.1, the International Bureau shall notify the applicant accordingly and inform the applicant that such a declaration or correction should be submitted directly to the designated Office or Offices concerned. Any declaration referred to in Rule 4.17(iv), signed as prescribed in Section 214, which is submitted to the International Bureau after the expiration of the time limit under Rule 26ter.1 shall be returned to the applicant.

ANNEX D [Modified]
**INFORMATION FROM PAMPHLET FRONT PAGE TO BE INCLUDED
IN THE GAZETTE UNDER RULE 86.1(a)(i)**

The following information shall be extracted from the front page of the pamphlet of each published international application and shall, in accordance with Rule 86.1(a)(i), appear in the corresponding entry of the Gazette:

1. to 6. [No change]
7. as to any indication in relation to deposited biological material furnished under Rule 13bis separately from the description:
 - 7.1 the fact that such indication is published
 - 7.2 the date on which the International Bureau received such indication
8. as to any declaration referred to in Rule 4.17 which was received by the International Bureau before the expiration of the time limit under Rule 26ter.1:
 - 8.1 the fact that such a declaration was made and a reference to the applicable item in Rule 4.17 under which it was made
 - 8.2 an indication of those designations for the purposes of which such declaration was made.

[End]