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# NEWSLETTER

December 2001

No. 12/2001

## EPO: LIMITATIONS CONCERNING THE PCT APPLICATIONS FOR WHICH IT WILL ACT AS ISA AND/OR IPEA

It is recalled that the PCT Assembly approved the amendment, with effect from 1 November 2001, of the text of the Agreement between the European Patent Organisation and the International Bureau of WIPO relating to the functioning of the EPO as an International Searching Authority (ISA) and International Preliminary Examining Authority (IPEA), to enable the European Patent Office (EPO) to determine, in a more flexible way, which international applications it is competent to act for as ISA and IPEA (see *PCT Newsletter* No. 10/2001, page 3).

In this respect, the International Bureau received, on 26 November 2001, the following notification from the President of the EPO, which will affect certain international applications filed on or after 1 March 2002:

"1. The European Patent Office will not carry out international search in respect of any international application filed on or after 1 March 2002 by a national or resident of the United States of America with the United States Patent and Trademark Office or the International Bureau as receiving Office where such application contains one or more claims relating to the **fields of biotechnology or business methods**, as defined by the following units of the International Patent Classification:

## PCT REFORM: FIRST SESSION OF THE WORKING GROUP ON REFORM OF THE PCT

The first session of the Working Group on Reform of the PCT was held in Geneva from 12 to 16 November 2001. The establishment of the Working Group goes back to a recommendation by the Committee on Reform of the PCT to the PCT Assembly to refer certain matters to a Working Group for consideration and advice, bearing in mind the general objectives of PCT reform set out by the Committee (see *PCT Newsletter* No. 06/2001, cover page). In October of this year, the PCT Assembly approved

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the Committee's recommendation. The first session of the Working Group was attended by representatives of 44 PCT Contracting States, 3 observer States, 3 intergovernmental organizations and 10 non-governmental organizations.

Some of the most important matters discussed by the Working Group are outlined below.

### Improved coordination of international search and international preliminary examination

The Working Group discussed a proposal to change the current PCT system so that,

for all international applications, an expanded international search (EIS) procedure would be followed, under which, in addition to the international search report, the International Searching Authority would issue an opinion of the examiner as to whether the claims of the application appear to satisfy the criteria of novelty, inventive step and industrial applicability, similar to the "first written opinion" which the applicant currently receives when he files a demand for international preliminary examination. The possible introduction of such an EIS system has wide general implications for the PCT system but should also be seen in the light of the current workload crisis of certain PCT Authorities. Following the recently adopted modification of the time limit under PCT Article 22, which will be 30 months from the priority date before those designated/elected Offices in respect of which that modification is compatible with the national law applied by them (for further details concerning the applicability of that modification, see the cover page of *PCT Newsletter* Nos. 10/2001 and 11/2001), it is expected that certain applicants will no longer opt for the international preliminary examination procedure under PCT Chapter II. Certain applications will therefore enter the national phase without an international preliminary examination report (IPER), contrary to the needs of many Offices, particularly small and medium-sized Offices and especially those in developing countries, for such examiners' opinions. The proposed EIS system would ensure that such Offices were still provided with an examiner's opinion, thus giving them a stronger basis for making decisions in the national phase. In the event that the applicant did opt for the full international preliminary examination procedure, the opinion would serve as the first written opinion for the purposes of the international preliminary examination.

### The concept and operation of the designation system

The Working Group also discussed a proposal for reform of the designation system, with the aim of making that system more automatic and seamless, and of bringing it into line with the way in which most applicants and designated Offices today perceive and use it. Under the proposed new system, the filing of an international application would automati-

#### PCT MATERIALS ON INTERNET

(<http://www.wipo.int/pct/en/index.html>)

PCT InfoLine (contacts)

PCT filing

- *Basic Facts about the PCT*
- Forms
- Fees
- Direct filing of PCT applications with the International Bureau as PCT receiving Office
- *PCT Applicant's Guide*

PCT-EASY

PCT E-filing

PCT legal texts and guidelines

- About the Treaty
- Texts of the Patent Cooperation Treaty, Regulations and Administrative Instructions and search facility for Articles under PCT
- PCT Receiving Office Guidelines
- PCT International Search Guidelines
- PCT International Preliminary Examination Guidelines
- PCT Contracting States
- Reservations and incompatibilities
- PCT legal texts index

*PCT Gazette*

PCT news

- *PCT Newsletter* (Nos. 01/1997–12/2001); Practical Advice published in the *PCT Newsletter* between March 1994 and December 1996 and search facility for all Practical Advice
- PCT Press Releases/Updates
- The PCT in 2000

Seminar calendar and seminar materials:

- seminar documents: March 2001 (English, French); May 2001 (Spanish, German)

PCT meetings (documents):

- Committee (21–25 May 2001) and Working Group (12–15 November 2001) on Reform of the PCT
- PCT Assembly: 1978–2001
- PCT Informal Consultation Meeting on Electronic Filing (July 2000); redraft of legal framework and technical standards for PCT electronic filing
- PCT Committee for Administrative and Legal Matters: 1999

\* \* \*

#### PCT INFORMATION SERVICE

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cally have the effect of designating all Contracting States, deferring to the national phase all decisions and choices by the applicant as to the countries in which protection is sought and as to the kinds of protection which are sought. Furthermore, a single "flat" international filing fee would be introduced, replacing the current separate basic fee and designation fee(s).

### **Changes relating to the Patent Law Treaty (PLT)**

It was agreed that the principles of the PLT should so far as possible be taken up in the PCT in order to achieve the same benefits for applicants and Offices in the filing and processing of international applications as would be available for national applications. However, it was recognized that certain features of the PCT system differed from national patent systems, such as in the number of Offices involved in the processing of an international application as compared to a national application, and certain time constraints peculiar to the PCT (such as the time limit for establishing the international search report). Moreover, while some provisions of the PLT could be implemented readily by changing the PCT Regulations, others would require changing the Articles of the Treaty itself. It was agreed that priority would be given by the Working Group to those matters which would result in the greatest and most immediate practical benefits for users, having regard also to the degree of complexity involved and to the workload implications for Offices and Authorities, such as inclusion in the PCT of provisions for restoration of the priority right and relief when time limits were missed, especially the time limit for entering the national phase.

The International Bureau will prepare revised proposals on many of these matters for consideration by the Working Group at its next session, which is scheduled for the end of April 2002. The results of the Working Group's work will be reported to the next meeting of the Committee on Reform of the PCT scheduled for mid-2002. That Committee could then submit its first proposals for reform to the PCT Assembly in September 2002. Further information relating to the proceedings, as well as background information relating to the proposals submitted to the Working Group, is available on WIPO's Internet site at:

[http://www.wipo.int/pct/en/meetings/reform\\_wg/index\\_1.htm](http://www.wipo.int/pct/en/meetings/reform_wg/index_1.htm)

### **PCT NEWSLETTER SUBSCRIPTIONS FOR 2002**

The 2002 annual subscription price of the *PCT Newsletter* will be maintained at the same level as this year: 60 Swiss francs by regular mail or 70 Swiss francs by priority mail. The equivalent amounts for a subscription paid in US dollars will change to 37 US dollars by regular mail, and 43 US dollars by priority mail.

The price of the *PCT Newsletter* binder remains at 18 Swiss francs by regular mail or 21 Swiss francs by priority mail, and the equivalent amounts in USD dollars will change to 11 US dollars and 13 US dollars, respectively. A list of the prices of all other PCT publications will be included in the *PCT Newsletter* shortly.

Included in this issue is a *PCT Newsletter* subscription form for 2002. **If you are already a subscriber, it is not necessary to return the form** since, unless notification to the contrary is received from you by WIPO, **subscription renewal is automatic**; subscribers will shortly receive an invoice for 2002 subscriptions. You may, however, wish to use the subscription form to subscribe to additional copies—for subscriptions to more than one copy, you are entitled to 25% off the basic price for each copy subscribed to (including the first). You may also use the subscription form to order binders for the *PCT Newsletter*.

You are reminded that the content of the *PCT Newsletter* can be viewed on the Internet free of charge by clicking on "PCT News" on the PCT home page:

<http://www.wipo.int/pct/en/index.html>

### **INTERRUPTION IN THE US MAIL SERVICE**

The United States Patent and Trademark Office (USPTO), the Office which acts as receiving Office for the most (42% in 2000) international applications filed under the PCT, has, since 21 October 2001, been experiencing an interruption in delivery of United States mail sent to its 20231 post code (zip code), with the result that it has received very little correspondence sent to that post code in the past few weeks (for further information, see the USPTO's

Internet site at: <http://www.uspto.gov/september11/mitigationofmaildelays.htm>).

Furthermore, on 16 November 2001, the United States Postal Service (USPS) announced that it was temporarily and immediately suspending the Express Mail service to Washington, D.C., post codes 202XX to 205XX (including the USPTO's post code 20231) and the USPTO established a special address to be used (as an alternative to the address set out in 37 CFR 1.1) on an emergency basis for patent-related correspondence being delivered to the USPTO by the USPS's Express Mail service. The suspension of the Express Mail service was then terminated on 29 November 2001 and the USPTO announced, on 5 December 2001, that the usual USPTO address at Washington, D.C. post code 20231 should now be used again, although it will continue to accept patent-related correspondence at the special address until further notice.

For further information concerning these matters, see the USPTO's Internet site at: <http://www.uspto.gov/emergencyclosure.htm>

Applicants who are concerned about whether their international applications, or documents relating to their international applications, have been received are advised to contact the PCT Helpdesk at the USPTO:

telephone: (+703) 305 32 57  
fax: (+703) 305 29 19

### **POSSIBLE BACKLOG IN PCT OPERATIONS AT THE USPTO AND AT THE INTERNATIONAL BUREAU**

As a consequence of the above-mentioned interruption in the US mail service, the USPTO expects that if it begins to receive the delayed mail in large batches, it may be faced with a significant backlog in the processing of international applications.

In view of the large proportion of international applications that are filed with the USPTO, the effects of that Office disposing of the expected backlog could be that the International Bureau will also receive particularly large batches of international applications and related documents for processing. This could, in turn, result in a backlog of work at the International Bureau, which could be even further ampli-

fied if those applications and documents are received around the time of the upcoming end-of-year holiday period, a time when the International Bureau is normally faced with a significant increase in workload. If such a backlog does occur, the staff of the International Bureau will endeavor to deal with it as well as they can, and the International Bureau will take measures to minimize the effects caused by this.

### **PROCEDURE FOR REQUESTING THE RECORDING OF A CHANGE OF ADDRESS (REMINDER)**

As a result of the problems being encountered in the distribution of mail in the US during recent weeks, it has come to the attention of the International Bureau that various law firms have been requesting that different mailing addresses be used in connection with a number of international applications. It is for this reason that it was considered useful to remind PCT users of the steps to be taken when requesting the recording of changes under PCT Rule 92*bis*.

The following information relates to a change of address of the agent of record, although it could equally be applied in the case of a change in the person or name of the agent, a change in the person, name, residence, nationality or address of the applicant, as well as a change in the person, name or address of the common representative or the inventor.

There is no special form for use when requesting a change under PCT Rule 92*bis*, which is the rule providing for such changes. Applicants (or their agent) can simply request the change of address of the agent in a letter. Although the applicant may send that letter to the receiving Office, which will then forward it to the International Bureau, the change will be recorded earlier if it is sent direct to the International Bureau, particularly if it is sent by fax. Sending the request for change by fax to the International Bureau would be preferable, in particular, if the time limits mentioned below are close to expiration in respect of any of the applications, or if any of the applications concerned are due to be published shortly and the change is to be reflected in the international publication.

The letter should indicate the name of the agent, the new address of the firm, and preferably the former address of the firm. The request for change can be made in respect of a number of international applications in just one letter, but note that each international application number concerned must be listed in the letter—it is not sufficient to simply request the change of address in respect of “all international applications filed by the agent.” The letter should be signed by the agent who has been appointed by the applicant(s) of the international applications concerned. Note that, should any of the other agents from the same firm be handling other international applications, separate letters should be sent by each agent in respect of the international applications they are handling.

It is important to note, however, that although the International Bureau (including the International Bureau as receiving Office) accepts a single letter requesting a change which concerns multiple applications, not all receiving Offices may be prepared to accept a request in such a form. It is therefore recommended that if it is the applicant's intention to send such a request for recording of a change direct to the receiving Office, the applicant should ensure that the Office will accept requests in that form.

Upon receipt of the letter, the International Bureau will record the change in respect of all international applications concerned if it receives the request for recording before the expiration of the time limit under PCT Article 22(1) or PCT Article 39(1)(a).

The International Bureau will confirm to the applicant the recording of the change by means of a special notification (Form PCT/IB/306) sent in respect of each international application concerned, and will send copies of that notification to the PCT Authorities concerned. It will also send copies to the designated Offices concerned or, if the Offices concerned have been elected under Chapter II, to the elected Offices concerned.

Applicants are requested to ensure that any list of international applications for which the change of address is being requested does **not include any international applications in respect of which the applicable 20 or 30 months time limit has already expired**. Any request for change

concerning applications for which the applicable time limit has expired will have no effect—the International Bureau will not record the change and will not notify any of the designated or elected Offices of the change. Also, note that including such applications in a request for change creates unnecessary work for the International Bureau since, even though the change is not recorded by the International Bureau, the file of each international application concerned must nevertheless be retrieved and a (negative) reply sent to the applicant in respect of each application.

In the case of international applications in respect of which the 20 or 30 months time limit has expired, and before which the applicant has entered the national phase, applicants should request the recording of the change of address **direct with the designated or elected Offices concerned**.

## EPO: LIMITATIONS CONCERNING THE PCT APPLICATIONS FOR WHICH IT WILL ACT AS ISA AND/OR IPEA

[continued from cover page]

### “Biotechnology

- |              |   |
|--------------|---|
| C 12 M       | Apparatus for enzymology or microbiology  |
| C 12 N       | Micro-organisms or enzymes; compositions thereof  |
| C 12 P       | Fermentation or enzyme-using processes to synthesise a desired chemical compound or composition or to separate optical isomers from a racemic mixture   |
| C 12 Q       | Measuring or testing processes involving enzymes or micro-organisms; compositions or test papers therefor; processes of preparing such compositions; condition-responsive control in microbiological or enzymological processes |
| C 07 K       | Peptides  |
| G 01 N 33/50 | (including subdivisions)<br>Chemical analysis of biological material, e.g. blood, urine; testing involving biospecific ligand binding methods; immunological testing  |
| A 61 K 39    | Medicinal preparations containing antigens or antibodies  |
| A 61 K 48    | Medicinal preparations containing genetic material which is   |

- inserted into cells of the living body to treat genetic diseases; Gene therapy
- A 01 H New plants or processes for obtaining them; plant reproduction by tissue culture techniques
- For information: US classes covering corresponding subject matter
- 424 Drug, bio-affecting and body treating compositions
  - 435 Chemistry: molecular biology and microbiology
  - 436 Chemistry: analytical and immunological testing
  - 514 Drug, bio-affecting and body treating compositions
  - 530 Chemistry: natural resins or derivatives; peptides or proteins; lignins or reaction products thereof
  - 536 Organic compounds—part of the class 532-570 series
  - 800 Multicellular living organisms and unmodified parts thereof
  - 930 Peptide or protein sequence

#### Business method related inventions

- G 06 F 17/60 Digital computing or data processing equipment or methods, specially adapted for specific functions: administrative, commercial, managerial, supervisory or forecasting purposes. To the extent that the application falls under above mentioned subgroup but does not relate to business methods the EPO's competence is not affected.

For information: US class covering corresponding subject matter

- 705 Data processing: financial, business practice, management, or cost/price determination

"2. The European Patent Office will not carry out international preliminary examination in respect of any international application filed by a national or resident of the United States of America with the United States Patent and Trademark Office or with the International Bureau as receiving Office where the corresponding demand is filed with the EPO on or after 1 March 2002 and the application contains one or more claims relating to the field of biotechnology or business methods as referred to in paragraph (1) or to the **field of telecommunication** as defined by the following unit of the International Patent Classification:

#### Telecommunication

- H 04 Electric communication technique with the exception of

H04N: Pictorial communication, e.g. television

For information: US classes covering corresponding subject matter

- 370 Multiplex communications
- 375 Pulse or digital communications
- 379 Telephonic communication
- 380 Cryptography
- 381 Electrical audio signal processing systems and devices
- 455 Telecommunications

"3. These limitations strictly speaking would take effect on the date mentioned in Article 3(4)(b) 2nd sentence of the PCT Agreement, i.e. three months from the date on which this notification is received by the International Bureau. However, in order to allow for them to take effect on the first day of a month, I propose that the limitations become effective on 1 March 2002.

"4. Pursuant to Article 3(4)(c) of the PCT Agreement, the initial duration of these limitations shall be three years. The issue of this notice is without prejudice to the right of the EPO to issue any further notice under Article 3(4) of the PCT Agreement which it may consider necessary."

Further details will be published in the next issue of the *PCT Newsletter*, and the EPO is preparing a notice on this matter which will soon be published in the *Official Journal of the EPO*, which will be available on the Internet at:

[http://www.european-patent-office.org/epo/pubs/oj\\_index\\_e.htm](http://www.european-patent-office.org/epo/pubs/oj_index_e.htm)

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annexes D (EP) and E (EP))

#### PCT INFORMATION UPDATE

##### New equivalent amounts of certain PCT fees in certain national currencies linked with the euro

As from 1 January 2002, the euro will be the only prescribed currency for the purposes of the receiving Offices of Austria, France, Germany, the Netherlands, Portugal, Spain as well as the European Patent Office (EPO) as receiving Office, and the EPO and the Austrian Patent Office as International Preliminary Examining Authorities. For the other Offices concerned, and only for a transitional period, new equivalent amounts of the basic fee, the fee per sheet over 30, the designation fee and the search fee, together with the equivalent amounts of the PCT-EASY reduction, have been established in Bel-

gian francs (BEF), Finnish Markka (FIM), French francs (FRF) (for the purposes of the receiving Office of Monaco only), Greek drachmas (GRD), Irish pounds (IEP), Italian lire (ITL) and Luxembourg francs (LUF), on the basis of the fixed exchange rates between the euro and those currencies. Those equivalent amounts, together with the dates until which they are applicable, are indicated in the fee tables.

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annexes C (AT, BE, DE, EP, ES, FI, FR, GR, IE, IT, LU, MC, NL, PT), D (EP and SE) and E (AT and EP))

#### **AP ARIPO (fees)**

The amount of the following fee, payable to the African Regional Industrial Property Organization as receiving Office, has changed:

transmittal fee: see Table I(a)

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (AP))

#### **AT Austria (fees)**

The Austrian Patent Office has informed the International Bureau that, as from 1 January 2002, the Austrian schilling (ATS) will no longer be the currency of payment of fees, and has introduced amounts of fees in euro (EUR), payable to it as receiving Office and designated and elected Office as from the same date, as follows:

transmittal fee: see Table I(a)  
 fee for priority document,  
 per page, including  
 covering sheet: EUR 1.45

National fee:  
 filing fee: EUR 50

The amounts in EUR of the fees payable for an international search and an international preliminary examination carried out by that Office, are still to be confirmed, and will be announced as soon as possible. The equivalent amount in EUR of the handling fee is indicated in Table II.

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annexes C (AT) and E (AT), and Vol. II/A, National Chapter, Summary (AT))

#### **AU Australia (telephone and fax numbers; e-mail address, requirements concerning transmissions by fax)**

The telephone and fax numbers, as well as the e-mail address, of the Australian Patent Office have changed, as follows:

telephone: (61-2) 6283 2999  
 fax: (61-2) 6283 7999  
 (Groups 2 and 3)  
 e-mail: assist@ipaustralia.gov.au

The requirements as to whether the original of the document needs to be furnished where documents are transmitted by fax have changed, as follows:

must the original of the document be furnished in all cases?

no, only upon invitation

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (AU))

#### **BA Bosnia and Herzegovina (name of Office; telephone and fax numbers)**

The name and the telephone and fax numbers of the Institute for Standardization, Metrology and Patents of Bosnia and Herzegovina have changed, as follows:

name of Office:  
 Institute for Standards, Metrology and Intellectual Property of Bosnia and Herzegovina  
 telephone: (387-33) 52 18 48,  
 65 27 98  
 fax: (387-33) 65 27 57

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (BA))

#### **BB Barbados (Internet address)**

The Corporate Affairs and Intellectual Property Office of Barbados has notified its Internet address, as follows:

Internet: www.caipo.org

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex B1 (BB))

#### **BE Belgium (fees)**

The Industrial Property Office of Belgium has informed the International Bureau that it will be possible to effect payment of fees in BEF until 28 February 2002, and has introduced the amounts of fees in euro (EUR), payable to it as receiving Office. These amounts, applicable as from 1 January 2002, are as follows:

transmittal fee: see Table I(a)  
 fee for priority document:  
 in fee stamps: EUR 5  
 plus, per page: EUR 0.36  
 plus, as a fee for  
 certification: EUR 5



(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (BE))

### DE Germany (fees)

The German Patent and Trade Mark Office has informed the International Bureau that, as from 1 January 2002, the Deutsche mark (DEM) will no longer be the currency of payment of fees, and has introduced amounts of fees in euro (EUR), payable to it as receiving Office and designated and elected Office as from the same date, as follows:

transmittal fee: see Table I(a)		
fee for priority document:	EUR	20
plus, per page for the first 50 pages:	EUR	0.50
plus, per page in excess of 50 pages:	EUR	0.15

National fee:

for patent:		
filing fee:	EUR	60
annual fee for the third year:	EUR	70
for utility model:		
filing fee:	EUR	40

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (DE), and Vol. II/A, National Chapter, Summary (DE))

### DZ Algeria (e-mail address; special requirements)

The e-mail address of the Algerian National Institute of Industrial Property has changed, as follows:

e-mail: [brevet@inapi.org](mailto:brevet@inapi.org)

There has also been a change in the last requirement in the list of special requirements under PCT Rule 51*bis* of that Office as designated and elected Office. Instead of reading "Copy or translation of the international application to be furnished in two copies" it should now read:

translation of the international application to be furnished in two copies

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (DZ), and Vol. II/A, National Chapter, Summary (DZ))

### EP European Patent Office (fees; PCT applications for which it will act as ISA and IPEA—see cover page)

As from 1 January 2002, all fees payable to the European Patent Office, in its capacity as receiving Office and International Preliminary Examining Authority, as

well as certain fees payable to it as International Searching Authority, may be paid **only in EUR**. Note, however, that it will still be possible to pay the fee for an international search by the European Patent Office in the equivalent amounts of certain currencies, if the international application has been filed with the applicant's (national) receiving Office (see Table I(b)).

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annexes C (EP), D (EP) and E (EP))

### ES Spain (fees)

The Spanish Patent and Trademark Office has informed the International Bureau that, as from 1 January 2002, the Spanish peseta (ESP) will no longer be the currency of payment of fees, and has introduced amounts of fees in euro (EUR), payable to it as receiving Office and designated and elected Office as from the same date, as follows:

transmittal fee: see Table I(a)		
fee for priority document:	EUR	24.61

National fee:

for patent:		
filing fee:	EUR	79.82
for utility model:		
filing fee:	EUR	79.82

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (ES), and Vol. II/A, National Chapter, Summary (ES))

### FI Finland (fees)

The National Board of Patents and Registration of Finland has notified changes in the amounts of certain fees in Finnish markka (FIM), has informed the International Bureau that as from 1 March 2002, the FIM will no longer be the currency of payment of fees, and has introduced the amounts of fees in euro (EUR), payable to it as receiving Office and as designated and elected Office. These amounts, applicable as from 1 January 2002, are as follows:

transmittal fee: see Table I(a)		
fee for priority document:	FIM	108.81
	EUR	18.30
plus, per document for a patent:	FIM	297.29
	EUR	50
plus, per document for a utility model:	FIM	89.19
	EUR	15



## National fee:

basic fee:	FIM	1,486.43
	EUR	250
claim fee for each claim in excess of 10:	FIM	148.64
	EUR	25
additional fee for late furnishing of translation or copy:	FIM	594.57
	EUR	100
annual fees for the first three years:	FIM	891.86
	EUR	150

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (FI), and Vol. II/A, National Chapter, Summary (FI))

**FR France (fees)**

The National Institute of Industrial Property of France has informed the International Bureau that, as from 1 January 2002, the French franc (FRF) will no longer be the currency of payment of fees, and that the amounts of the following fees, in euro (EUR), payable to it as receiving Office as from the same date, have changed:

transmittal fee: see Table I(a)		
fee for priority document:	EUR	15

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (FR))

**GB United Kingdom (evidence of mailing a document)**

There has been a change in the requirements as to whether the United Kingdom Patent Office would accept evidence of mailing a document, in case of loss or delay, where a delivery service other than the postal authorities is used: it will now accept such evidence.

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (GB))

**GR Greece (fees)**

The Industrial Property Organization of Greece has informed the International Bureau that, as from 1 March 2002, the Greek drachma (GRD) will no longer be the currency of payment of fees, and has introduced amounts of fees in euro (EUR), payable to it as receiving Office. These amounts, applicable as from 1 January 2002, are as follows:

transmittal fee: see Table I(a)		
fee for priority document:	EUR	41

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (GR))

**HU Hungary (fees)**

The amounts of the following national fees, payable to the Hungarian Patent Office as designated and elected Office, have changed:

where the Office is a designated Office:	HUF	24,000
plus:		
per claim for the 11th to the 20th claim:	HUF	1,200
per claim for the 21st to the 30th claim:	HUF	2,400
for each claim in excess of 30:	HUF	3,600
where the Office is an elected Office:	HUF	12,000
plus:		
per claim for the 11th to the 20th claim:	HUF	600
per claim for the 21st to the 30th claim:	HUF	1,200
for each claim in excess of 30:	HUF	1,800

(Updating of *PCT Applicant's Guide*, Vol. II/B, National Chapter, Summary (HU))

**IE Ireland (fees)**

The Irish Patents Office has informed the International Bureau that, as from 10 February 2002, the Irish pound (IEP) will no longer be the currency of payment of fees payable to it as receiving Office, and that the euro (EUR) will become the sole official currency of payment.

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (IE))

**IL Israel (e-mail address; fees)**

One of the e-mail addresses of the Israel Patent Office has changed. The list of e-mail addresses is now as follows:

e-mail:	igjppelt@trendline.co.il
	pct_office@justice.gov.il

The amounts of the following fees, payable to the Israel Patent Office as receiving Office, and as designated and elected Office, have changed:

transmittal fee: see Table I(a)		
national fee:		
filing fee:	ILS	839

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (IL), Vol. I/B, Annex C (IL), and Vol. II/B, National Chapter, Summary (IL))

**IT Italy (fees)**

The Italian Patent and Trademark Office has informed the International Bureau that, as from 1 March 2002, the Italian lira (ITL) will no longer be the currency of payment of fees, and has introduced amounts of fees in euro (EUR), payable to it as receiving Office. These amounts, applicable as from 1 January 2002, are as follows:

transmittal fee: see Table I(a)

fee for priority document (*in fee stamps*):

- for the request to prepare the priority document: EUR 10.33
- plus, for the filing certificate: EUR 10.33
- plus, for the certificate of authenticity: EUR 10.33
- plus, for every four pages or fraction of four pages (description, claims, abstract, drawings): EUR 10.33
- plus, for a patent application: EUR 3.10
- or, for a utility model application: EUR 1.81

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (IT))

**KE Kenya (location and mailing address; telephone and fax numbers)**

The location and mailing address, as well as the telephone and fax numbers, of the Kenya Industrial Property Office have changed, as follows:

location and mailing address:

Weights and Measures Building  
Kapiti Road, Off Mombasa Road  
Nairobi, Kenya

telephone: (254-2) 60 22 10

fax: (254-2) 60 63 12

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (KE))

**KG Kyrgyzstan (name of Office)**

The name of the Kyrgyz Patent Office has changed, as follows:

name of Office:

Kyrgyz Intellectual Property Office

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (KG))

**LC Saint Lucia (competent International Searching and Preliminary Examining Authorities)**

The Registry of Companies and Intellectual Property of Saint Lucia has specified the

United States Patent and Trademark Office (USPTO), in addition to the Australian Patent Office, the Austrian Patent Office and the European Patent Office, as a competent International Searching and Preliminary Examining Authority, for international applications filed by nationals and residents of Saint Lucia with the International Bureau as receiving Office. (The European Patent Office is a competent International Preliminary Examining Authority (IPEA) only if the international search is being or has been performed by that Office or the Austrian Patent Office; the USPTO is a competent IPEA only if the international search report has been prepared by that Office.)

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (IB))

**LT Lithuania (location and mailing address; e-mail address)**

The location and mailing address, as well as the e-mail address, of the Lithuanian Patent Office have changed, as follows:

location and mailing address:

Kalvarijų g. 3,  
LT-2600 Vilnius, Lithuania

e-mail: spb@vpb.lt

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (LT))

**LV Latvia (telephone and fax numbers)**

The telephone and fax numbers of the Latvian Patent Office have changed, as follows:

telephone: (371) 702 76 22

fax: (371) 702 76 90

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (LV))

**MA Morocco (telephone and fax numbers; Internet address)**

The telephone and fax numbers, as well as the Internet address, of the Industrial and Commercial Property Office of Morocco have changed, as follows:

telephone: (212-22) 33 55 10,  
97 24 86

fax: (212-22) 33 54 80,  
97 22 99

Internet: www.ompic.org.ma

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (MA))

**MN Mongolia (telephone number)**

One of the telephone numbers of the Mongolian Intellectual Property Office has been discontinued. The remaining valid telephone number is as follows:

telephone: (976-1) 32 74 56

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (MN))

**NL Netherlands (fees)**

The Netherlands Industrial Property Office has informed the International Bureau that, as from 1 January 2002, the Netherlands guilder (NLG) will no longer be the currency of payment of fees, and has introduced amounts of fees in euro (EUR), payable to it as receiving Office as from the same date, as follows:

transmittal fee: see Table I(a)  
fee for priority document: EUR 9

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (NL))

**PT Portugal (fees)**

The National Institute of Industrial Property of Portugal has informed the International Bureau that, as from 1 January 2002, the Portuguese escudo (PTE) will no longer be the currency of payment of fees, and has introduced amounts of fees in euro (EUR), payable to it as receiving Office and as designated and elected Office as from the same date, as follows:

transmittal fee: see Table I(a)  
fee for priority document: EUR 28.43

National fee:

for patent:  
filing fee: EUR 46.39  
examination fee: EUR 99.76  
fee for the submission of each application or document: EUR 3.99

for utility model:  
filing fee: EUR 41.40  
examination fee: EUR 82.30  
fee for the submission of each application or document: EUR 3.99

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (PT), and Vol. II/C, National Chapter, Summary (PT))

**RU Russian Federation (fees)**

The amounts of the following fees, payable to the Russian Patent Office as receiving Office, have changed:

fee for priority document: RUR 300

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (RU))

**SE Sweden (fees)**

The amounts of the following national fees, payable to the Swedish Patent Office as designated and elected Office, have changed (other fees not indicated have not changed):

filing fee:  
entry fee: SEK 1,000  
claim fee for each  
claim in excess of 10: SEK 150

(Updating of *PCT Applicant's Guide*, Vol. II/C, National Chapter, Summary (SE))

**TJ Tajikistan (Internet address)**

The Tajik Patent Office has notified its Internet address, as follows:

Internet: [www.tjpat.org](http://www.tjpat.org)

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex B1 (TJ))

**TR Turkey (fees)**

The amounts of the following national fees, payable to the Turkish Patent Institute as designated and elected Office, have changed (other fees not indicated have not changed):

for patent:  
fee for grant of  
letters patent: TRL 75,000,000  
for utility model:  
first annual fee: TRL 75,000,000

(Updating of *PCT Applicant's Guide*, Vol. II/C, National Chapter, Summary (TR))

**UA Ukraine (e-mail and Internet addresses; fees)**

The Ukraine Patent Office has notified its e-mail and Internet addresses, as follows:

e-mail: [POST@spou.kiev.ua](mailto:POST@spou.kiev.ua)  
(Patent Office)  
[POST@ukrpatent.org](mailto:POST@ukrpatent.org)  
(Receiving Office)

Internet: <http://www.spou.kiev.ua>  
(Patent Office)

The amount of the following fee, payable in UAH to the Ukraine Patent Office as receiving Office, has changed:

fee for priority document:	UAH	85
plus, for each sheet in excess of 30:	UAH	1.70

The following national fees, payable in USD to that Office as designated and elected Office, have been introduced:

for patent:		
filing fee:	[no change]	
additional fee for each claim, dependent or independent, in excess of 15:	USD	10
examination fee:	[no change]	
additional fee for each independent claim in excess of one:	USD	300

(Updating of *PCT Applicant's Guide*, Vol. I/A, Annex B1 (UA) and Vol. I/B, Annex C (UA), and Vol. II/C, National Chapter, Summary (UA))

#### YU Yugoslavia (fees)

As from 1 January 2002, the Yugoslavian dinar (YUD) will become the sole currency of payment of fees payable to it as receiving Office; the basic fee, supplement per sheet over 30 and designation fee will be paid, and the PCT-EASY fee reduction will be made, in the equivalent amount in YUD of the Swiss franc amount.

The amount of the search fee payable for an international search by the European Patent Office (EPO) will be the equivalent in YUD of the search fee payable to the EPO in EUR.

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex C (YU))

#### Search fee (Spanish Patent and Trademark Office)

The Spanish Patent and Trademark Office has notified the International Bureau of a change in the conditions of reduction of the search and additional search fees for an international search carried out by it—see footnote 16 of the fee tables.

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex D (ES))

#### Fees relating to the international search (European Patent Office (EPO); Spanish Patent and Trademark Office; Swedish Patent Office)

As from 3 January 2002, there will be a change in the amount of the following fee payable to the EPO as International Searching Authority (ISA):

protest fee:	EUR	1,020
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As from 1 January 2002, all fees payable direct to the Spanish Patent and Trademark Office as ISA will be payable only in euro. The amounts of the following fees have been established in euro,:

fee for copies of documents cited in the international search report:		
per national document:	EUR	3.74
per foreign document:	EUR	5.26

As from 1 January 2002, there will be a change in the amount of the additional search fee, payable in SEK to the Swedish Patent Office as ISA, as indicated below:

additional search fee:	SEK	9,340
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(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex D (EP, ES, SE))

#### Preliminary examination fee (European Patent Office)

As from 1 January 2002, the fee for an international preliminary examination carried out by the European Patent Office will be payable **only in euro**, as indicated in Table II.

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex E (EP))

#### Handling fee (Austrian Patent Office, European Patent Office)

As from 1 January 2002, the handling fee payable direct to the Austrian Patent Office and the European Patent Office in their capacity as International Preliminary Examining Authorities will be payable **only in euro**, as indicated in Table II.

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex E (AT, EP))

#### PCT-EASY UPDATE

The Algerian National Institute of Industrial Property, the Mexican Institute of Industrial Property and the National Institute of Industrial Property of Brazil have

notified the International Bureau that they are prepared to accept the filing of international applications containing requests in PCT-EASY format, together with PCT-EASY diskettes. See Table I(a) for the amounts of the corresponding PCT-EASY fee reductions.

(Updating of the list of Offices included in *PCT Newsletter* No. 02/2001, and of *PCT Applicant's Guide*, Vol. I/B, Annex C (BR, DZ and MX)).

## PRACTICAL ADVICE

### ***Submitting communications relating to international applications by e-mail—not yet possible***

*Q: With the ever-increasing usage of e-mail as a means of communication in general, are PCT applicants permitted to submit any communications relating to their international applications by e-mail? In particular, I would like to know whether I can submit, on behalf of my client, Article 19 amendments by e-mail to the International Bureau by attaching the replacement sheets and accompanying letter in PDF format.*

*A: At present, e-mails cannot be used validly to perform any procedural act before the International Bureau or any other Offices/Authorities under the PCT, including for the submission of amendments under PCT Article 19, requests for changes under PCT Rule 92bis or requests for withdrawals under PCT Rule 90bis.*

Although, potentially, the wording of PCT Rule 92.4(a) "Use of Telegraph, Teleprinter, Facsimile Machine, Etc." provides for the usage of e-mail, such provision is subject to paragraph (h) of that Rule, which reads: "No national Office or inter-governmental organization shall be obliged to receive any document submitted by a means referred to in paragraph (a) unless it has notified the International Bureau that it is prepared to receive such a document by that means and the International Bureau has published information thereon in the Gazette." ***To this date, no Office or Authority has informed the International Bureau of the acceptance of the submission of documents by e-mail; also, the International Bureau has not announced that it is ready to accept such submissions.*** Note that information on the forms

of telecommunication, if any, by which PCT Offices and Authorities are prepared to receive documents is published in the *PCT Applicant's Guide*, Vol. I/A, Annexes B1 and B2.

At present, if a communication in connection with a specific international application is received via e-mail from the applicant, the Office or Authority concerned will not normally take the information into account and should request the applicant to submit the information in a format which is accepted by the Office/Authority concerned. As far as the International Bureau is concerned, ***e-mails can be sent for informal queries which are not related to specific international applications***, and which could effectively replace subject matters which may also be discussed by telephone, for example, requests for general information about the PCT.

With regard to the Article 19 amendments, or to any other documents that you wish to submit in relation to the international application, if they need to be submitted quickly to the International Bureau, you should submit them by fax, since the International Bureau accepts the filing of all documents by fax, as do many other PCT Offices (the relevant fax numbers to use at the International Bureau are indicated in the *PCT Applicant's Guide*, Vol. I/A, Annex B2 (IB)). Note however, that of those Offices which do accept transmittals by fax, not all accept the filing of ***all*** documents by fax, and many of the Offices which do accept documents filed by fax require, depending on the nature of the transmitted document, that the original of the document be furnished, usually within 14 days from the date of transmission of the fax. For further information on the filing of documents by fax, see the "Practical advice" in *PCT Newsletter* Nos. 07/1997 and 02/1998, and for specific information about the acceptance by PCT Offices of the filing of documents by fax, see *PCT Applicant's Guide*, Vol. I/A, Annexes B1 and B2.

For information on the practice of the European Patent Office with regard to communications sent by e-mail, see the *Official Journal of the EPO*, No. 10/2000 (at the following Internet address:

[http://www.european-patent-office.org/epo/pubs/oj000/10\\_00/10\\_4580.pdf](http://www.european-patent-office.org/epo/pubs/oj000/10_00/10_4580.pdf)).

<b>PCT SEMINAR CALENDAR</b>			
<b>Dates and location</b>	<b>Language of seminar</b>	<b>Nature of seminar; WIPO speakers (and others where known)</b>	<b>Organizer and contact numbers</b>
<b>17–18 December 2001 Lisbon (PT)</b>	Portuguese and French	Regional Seminar for Portuguese-Speaking African Countries on Industrial Property and the PCT WIPO speakers: Mr. Castelo, Mr. Sery-Kore and Mrs. Simon-Vianès	National Institute of Industrial Property of Portugal Tel: (351–21) 881 82 67 Fax: (351–21) 887 53 08
<b>7–9 January 2002 Zurich (CH)</b>	English	PCT presentation in the framework of a Postgraduate Program in Intellectual Property WIPO speaker: Mr. Reischle and Mr. Bartels Other speaker: Mr. Jenny (European patent attorney)	Swiss Federal Institute of Technology Zurich (ETH) NDS Intellectual Property (Ms. Elise Brandstätter) Tel: (41–1) 632 68 11 E-mail: brandstaetter@recht.gess.ethz.ch Internet: <a href="http://www.nds-ge.ethz.ch">http://www.nds-ge.ethz.ch</a>
<b>28–29 January 2002 Vienna (AT)</b>	German	Basic PCT seminar for patent attorneys and patent administrators WIPO speaker: Mr. Matthes and Mr. Reischle	Austrian Patent Office (Mr. Peter Hofbauer) Tel: (43–1) 534 24-225 Fax: (43–1) 534 24-200 E-mail: peter.hofbauer@patent.bmw a.gv.at
<b>30–31 January 2002 Vienna (AT)</b>	German	Advanced PCT seminar for patent attorneys and patent administrators WIPO speaker: Mr. Matthes and Mr. Reischle	Austrian Patent Office (Mr. Peter Hofbauer) Tel: (43–1) 534 24 225 Fax: (43–1) 534 24 200 E-mail: peter.hofbauer@patent.bmw a.gv.at
<b>5–6 February 2002 Helsinki (FI)</b>	Finnish	Course on the PCT system for patent administrators WIPO speaker: Mrs. Metcalfe	Helsinki University of Technology, Lifelong Learning Institute of Dipoli (Ms. Arja Andsten) Tel: (358–9) 451 40 47 Fax: (358–9) 451 40 68 E-mail: arja.andsten@dipoli.hut.fi Internet: <a href="http://www.dipoli.hut.fi">www.dipoli.hut.fi</a>
<b>25–26 March 2002 Geneva (CH)</b>	English/ French/ Spanish	Conference on the International Patent System WIPO speaker(s): to be announced Other speaker(s): to be announced	PCT Administration Department, WIPO (Mrs. Jeanine Rojal) Tel: (41–22) 338 95 49 Fax: (41–22) 338 82 50
<b>10–11 April 2002 Ecully (FR)</b>	French	PCT seminar for patent attorneys WIPO speaker: Ms. Boutillon	Centre Paul Roubier (Mrs. Audi) Tel: (33–4) 78 33 07 08 Fax: (33–4) 78 33 58 96
<b>26–27 April 2002 Concord, New Hampshire (US)</b>	English	Basic PCT seminar for patent attorneys and patent administrators WIPO speaker(s): to be announced	Franklin Pierce Law Center (Ms. Carol Ruh) Tel: (1–603) 228 19 63, ext. 1108 Fax: (1–603) 224 33 42 E-mail: cruh@fplc.edu Internet: <a href="http://www.fplc.edu/TreatySem/treatsem.htm">http://www.fplc.edu/TreatySem/treatsem.htm</a>
<b>28–30 April 2002 Naples, Florida (US)</b>	English	Advanced PCT seminar for patent attorneys WIPO speaker(s): to be announced	Patent Resources Group, Inc. Tel: (1–804) 296 39 00 Fax: (1–804) 296 39 99
<b>2–4 May 2002 Naples, Florida (US)</b>	English	Basic PCT seminar for patent attorneys WIPO speaker(s): to be announced	Patent Resources Group, Inc. Tel: (1–804) 296 39 00 Fax: (1–804) 296 39 99

## PCT FEE TABLES

The following Tables show the amounts and currencies of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Vol. I/B, Annexes C, D and E. Note that all amounts are subject to change due to variations in the fees themselves or fluctuations in exchange rates. The total amount of the basic fee and designation fee may be reduced by CHF 200 under certain circumstances where the request is prepared using the PCT-EASY software; see footnote 2 for details. A 75% reduction in the basic fee, the supplement per sheet over 30, the designation fee and the handling fee, as well as an exemption from the transmittal fee payable to the International Bureau as receiving Office, is also available to applicants from certain States; see footnote 13 for details. (Note that if both the CHF 200 reduction and the 75% reduction are applicable, the 75% reduction is calculated **after** the CHF 200 reduction.) The footnotes to the Fee Tables follow Table II.

*Key to abbreviations used in fee tables:*

eq	equivalent of	BGL	Bulgarian lev	FRF	French franc	KRW	KR won	RUR	Russian rouble
IPEA	International Preliminary Examining Authority	BRR	Brazilian real	GBP	Pound sterling	KZT	Kazakh tenge	SDP	Sudanese pound
ISA	International Searching Authority	BYR	Belarussian rouble	GEL	Georgian lari	LSM	Lesotho loti	SEK	Swedish krona
n a	not applicable	BZD	Belize dollar	GHC	Ghanaian cedi	LTL	Lithuanian litas	SGD	Singapore dollar
RO	receiving Office	CAD	Canadian dollar	GRD	Greek drachma	LUF	Luxembourg franc	SIT	Slovenian tolar
		CHF	Swiss franc	HRK	Croatian kuna	LVL	Latvian lat	SKK	Slovak koruna
		CNY	Yuan renminbi	HUF	Hungarian forint	MAD	Moroccan dirham	TJS	Tajik somoni
		CUP	Cuban convertible peso	IDR	Indonesian rupiah	MDL	Moldovan leu	TTD	Trinidad and Tobago dollar
		CYP	Cyprus pound	IEP	Irish pound	MKD	Macedonian denar	UAH	Ukrainian hryvnia
		AED	United Arab Emirates dirham	ILS	New Israel shekel	MWK	Malawian kwacha	USD	US dollar
		DEM	Deutsche mark	INR	Indian rupee	MXP	Mexican peso	VND	Vietnamese dong
ALL	Albanian lek	DKK	Danish krone	ISK	Icelandic krona	NLG	Netherlands guilder	YUD	Yugoslavian dinar
AMD	Armenian dram	DZD	Algerian dinar	ITL	Italian lira	NOK	Norwegian krone	ZAR	South African rand
ATS	Austrian schilling	EEK	Estonian kroon	JPY	Japanese yen	NZD	New Zealand dollar	ZWL	Zimbabwe dollar
AUD	Australian dollar	ESP	Spanish peseta	KES	Kenyan shilling	PLZ	Polish zloty		
AZM	Azerbaijani manat	EUR	Euro	KGS	Kyrgyz som	PTE	Portuguese escudo		
BEF	Belgian franc	FIM	Finnish markka	KPW	KP won	ROL	Romanian leu		

**Table I(a) — TRANSMITTAL, BASIC AND DESIGNATION FEES**

(as at 1 December 2001, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>	Basic fee <sup>1,2,3</sup> (CHF 650)	Supplement per sheet over 30 <sup>1,2,3,4</sup> (CHF 15)	Designation fee <sup>1,2,3,5,6</sup> (CHF 140)	PCT-EASY reduction <sup>2</sup> (CHF 200)	Competent ISA(s) <sup>7</sup>
AE	AED <sup>8</sup> —	AED eq CHF 650	15	140	n a	AT AU
AL	ALL 9,000	CHF 650	15	140	n a	EP
AM	AMD 32,000	USD 382 (from 1.1.02: 407)	9 (9)	82 (88)	117 (125)	EP RU
AP	USD 50 (or eq in local currency)	USD 382 (from 1.1.02: 407)	9 (9)	82 (88)	117 (125)	AT EP SE
AT	ATS 700 (from 1.1.02: EUR 50)	ATS 5,627.96 (from 1.1.02: EUR 444)	123.84 (EUR 10)	1,210.91 (EUR 96)	1,733.80 (EUR 137)	EP
AU	AUD 100	AUD 759 (from 1.1.02: 828)	18 (19)	164 (178)	234 (255)	AU
AZ	AZM eq USD plus mailing costs	USD 382 (from 1.1.02: 407)	9 (9)	82 (88)	n a	EP RU
BA	DEM 50	DEM 799.93	17.60	172.11	246.43	EP
BE	Until 28.2.02: BEF 1,500 (from 1.1.02: EUR 40)	BEF 16,499 (1.1.02—28.2.02 only: BEF 17,911) (from 1.1.02: EUR 444)	363 (403) (EUR 10)	3,550 (3,873) (EUR 96)	5,083 (5,527) (EUR 137)	EP
BG	BGL 60	BGL eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP RU
BR	BRR 236	BRR eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	AT EP SE US
BY	BYR eq USD 70	USD 382 (from 1.1.02: 407)	9 (9)	82 (88)	n a	EP RU
BZ	BZD 220	USD 382 (from 1.1.02: 407)	9 (9)	82 (88)	117 (125)	EP
CA	CAD 200	CAD 562 (from 1.1.02: 639)	13 (15)	121 (138)	173 (197)	EP
CH	CHF 100	CHF 650	15	140	200	EP

[continued on next page]



Table I(a) — TRANSMITTAL, BASIC AND DESIGNATION FEES [continued]

(as at 1 December 2001, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>	Basic fee <sup>1,2,3</sup> (CHF 650)	Supplement per sheet over 30 <sup>1,2,3,4</sup> (CHF 15)	Designation fee <sup>1,2,3,5,6</sup> (CHF 140)	PCT-EASY reduction <sup>2</sup> (CHF 200)	Competent ISA(s) <sup>7</sup>
CN	CNY 500	CNY eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	CN
CR	USD 175	USD 382 (from 1.1.02: 407)	9 (9)	82 (88)	117 (125)	EP ES
CU	USD (or CUP eq)200	USD 382 (from 1.1.02: 407)	9 (9)	82 (88)	117 (125)	AT EP ES RU
CY	CYP 75	CYP 235 (from 1.1.02: 258)	5 (6)	50 (56)	n a	EP
CZ	CZK 1,500	CZK eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
DE	DEM 175 (from 1.1.02: EUR 90)	DEM 799.93 (from 1.1.02: EUR 444)	17.60 (EUR 10)	172.11 (EUR 96)	246.43 (EUR 137)	EP
DK	DKK 1,500	DKK 3,060 (from 1.1.02: 3,300)	70 (80)	660 (710)	940 (1,020)	EP SE
DZ	DZD <sup>8</sup> —	CHF 650	15	140	200	AT EP
EA	RUR eq USD 50	USD 382 (from 1.1.02: 407)	9 (9)	82 (88)	117 (125)	EP RU
EC	USD <sup>8</sup> —	USD 382 (from 1.1.02: 407)	9 (9)	82 (88)	117 (125)	EP ES
EE	EEK 1,800	EEK eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
EP <sup>9</sup>	EUR 102 (from 3.1.02 100)	EUR 409 (from 1.1.02: 444)	9 (10)	88 (96)	126 (137)	EP
ES	ESP 10,040 (from 1.1.02: 61.51)	ESP 68,052 (from 1.1.02: 444)	1,497 (EUR 10)	14,642 (EUR 96)	20,965 (EUR 137)	EP ES
FI	FIM 800 (1.1.02–28.2.02 only: 802.67) (from 1.1.02: EUR 135)	FIM 2,431.80 (1.1.02–28.2.02 only: 2,639.90) (from 1.1.02: EUR 444)	53.51 (59.46) (EUR 10)	523.22 (570.79) (EUR 96)	749.16 (814.57) (EUR 137)	EP SE
FR	FRF 400 or EUR 60.98 (from 1.1.02: only EUR 60)	FRF 2,682.86 or EUR 409 (from 1.1.02: only EUR 444)	59.04 9 (EUR 10)	577.24 88 (EUR 96)	826.51 126 (EUR 137)	EP
GB	GBP 55	GBP 264 (from 1.1.02: 278)	6 (6)	56 (60)	81 (86)	EP
GE	GEL <sup>10</sup> 10	USD 382 (from 1.1.02: 407)	9 (9)	82 (88)	117 (125)	EP RU
GH	GHC <sup>11</sup> 2,500 or 5,000	USD 382 (from 1.1.02: 407)	9 (9)	82 (88)	n a	AT AU CN EP SE
GR	Until 28.2.02: GRD 39,500 (from 1.1.02: EUR 115)	GRD 136,000 (1.1.02–28.2.02 only: 151,000) (from 1.1.02: EUR 444)	3,000 (3,000) (EUR 10)	9,000 (33,000) (EUR 96)	42,000 (47,000) (EUR 137)	EP
HR	HRK 200	HRK eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
HU	HUF <sup>12</sup> 10,000	HUF eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP RU
IB	CHF <sup>13</sup> 100 or USD <sup>13</sup> 60	CHF 650 or USD 382 (from 1.1.02: USD 407)	15 9 (9)	140 82 (88)	200 117 (125)	See footnote 14
ID	IDR 500,000	IDR eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	AU EP RU
IE	IEP 60 or EUR 76.18 (from 1.1.02: only EUR 76.18)	IEP 322.11 or EUR 409 (1.1.02–9.2.02 only: 349.68) (from 1.1.02: EUR 444)	7.09 9 (IEP 7.88) (EUR 10)	69.31 88 (IEP 75.61) (EUR 96)	99.23 126 (IEP 107.90) (EUR 137)	EP
IL	ILS 438	USD 382 (from 1.1.02: 407)	9 (9)	82 (88)	117 (125)	EP US

[continued on next page]

Table I(a) — TRANSMITTAL, BASIC AND DESIGNATION FEES [continued]

(as at 1 December 2001, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>	Basic fee <sup>1,2,3</sup> (CHF 650)	Supplement per sheet over 30 <sup>1,2,3,4</sup> (CHF 15)	Designation fee <sup>1,2,3,5,6</sup> (CHF 140)	PCT-EASY reduction <sup>2</sup> (CHF 200)	Competent ISA(s) <sup>7</sup>
IN	INR 5,000 (filing by indiv: 1,500)	USD 382 (from 1.1.02: 407)	9 (9)	82 (88)	117 (125)	AT AU CN EP SE US
IS	ISK 5,500	ISK 38,500 (from 1.1.02: 41,300)	900 (1,000)	8,300 (8,900)	n a	EP SE
IT	Until 28.2.02: ITL 60,000 (from 1.1.02: EUR 30.99)	ITL 791,934 (1.1.02—28.2.02 only: ITL 859,704) (from 1.1.02: EUR 444)	17,426 (19,363) (EUR 10)	170,392 (185,882) (EUR 96)	n a	EP
JP	JPY 18,000	JPY 46,200 (from 1.1.02: 47,800)	1,100 (1,100)	10,000 (10,300)	14,000 (14,700)	EP JP
KE	USD (or KES equiv) <sup>30</sup>	USD 382 (from 1.1.02: 407)	9 (9)	82 (88)	n a	AT AU CN EP SE
KG	KGS eq USD 100	USD 382 (from 1.1.02: 407)	9 (9)	82 (88)	117 (125)	EP RU
KP	KPW eq CHF 50	KPW eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	AT RU
KR	KRW 45,000	KRW 500,000 (from 1.1.02: 530,000)	12,000 (12,000)	108,000 (114,000)	154,000 (163,000)	AT AU JP <sup>15</sup> KR
KZ	KZT <sup>8</sup> —	USD 382 (from 1.1.02: 407)	9 (9)	82 (88)	117 (125)	EP RU
LR	USD 45	USD 382 (from 1.1.02: 407)	9 (9)	82 (88)	n a	AT AU CN EP SE
LS	LSM <sup>8</sup> —	LSM eq CHF 650	eq CHF 15	eq CHF 140	n a	AT EP
LT	LTL 320	USD 382 (from 1.1.02: 407)	9 (9)	82 (88)	n a	EP RU
LU	LUF/BEF 800	LUF/BEF 16,499 (1.1.02—28.2.02 only: LUF/BEF 17,911) (from 1.1.02: EUR 444)	363 (403) (EUR 10)	3,550 (3,873) (EUR 96)	n.a.	EP
LV	LVL 47.20	USD 382 (from 1.1.02: 407)	9 (9)	82 (88)	117 (125)	EP RU
MA	None	CHF 650	15	140	n a	AT EP RU SE
MC	FRF 320	FRF 2,682.86 (1.1.02—17.2.02 only: FRF 2,912.45) (from 1.1.02: EUR 444)	59.04 (65.60) (EUR 10)	577.24 (629.72) (EUR 96)	n a	EP
MD	MDL <sup>8</sup> 180	USD 382 (from 1.1.02: 407)	9 (9)	82 (88)	117 (125)	EP RU
MK	MKD 2,750	MKD eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
MN	None	CHF 650	15	140	200	EP RU
MW	MWK 2,000	MWK 28,500 (from 1.1.02: 25,000)	700 (600)	6,000 (5,400)	8,800 (7,700)	EP
MX	MXP <sup>3</sup> eq USD 200	MXP eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP ES SE US
NL	NLG 110 (from 1.1.02: EUR 50)	NLG 901.32 (from 1.1.02: EUR 444)	19.83 (EUR 10)	193.93 (EUR 96)	277.67 (EUR 137)	EP
NO	NOK 500	NOK 3,380 (from 1.1.02: 3,560)	80 (80)	730 (770)	1,040 (1,090)	EP SE
NZ	NZD 180	NZD 923 (from 1.1.02: 1,012)	21 (23)	199 (218)	284 (312)	AU EP US
OM	Information not yet available					
PH	Information not yet available					
PL	PLZ 280	PLZ eq CHF 650	eq CHF 15	eq CHF 140	n a	EP
PT	PTE 4,650 (from 1.1.02: EUR 23.19)	PTE 81,997.10 (from 1.1.02: EUR 444)	1,804.30 (EUR 10)	17,642.40 (EUR 96)	25,260.70 (EUR 137)	EP
RO	ROL 300,000	CHF 650	15	140	200	AT EP RU
RU	RUR 294	USD 382 (from 1.1.02: 407)	9 (9)	82 (88)	117 (125)	EP RU
SD	SDP 50	SDP eq CHF 650	eq CHF 15	eq CHF 140	n a	EP
SE	SEK 1,200	SEK 3,950 (from 1.1.02: 4,390)	90 (100)	850 (950)	1,220 (1,350)	EP SE
SG	SGD 150	SGD 638 (from 1.1.02: 720)	15 (17)	137 (156)	196 (222)	AT AU EP
SI	SIT 22,000	SIT eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
SK	SKK <sup>8</sup> 1,600	SKK eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
TJ	TJS <sup>8</sup> —	USD 382 (from 1.1.02: 407)	9 (9)	82 (88)	n a	EP RU

[continued on next page]

Table I(a) — TRANSMITTAL, BASIC AND DESIGNATION FEES [continued]

(as at 1 December 2001, unless otherwise indicated)

RO	Transmittal fee <sup>1</sup>	Basic fee <sup>1,2,3</sup> (CHF 650)	Supplement per sheet over 30 <sup>1,2,3,4</sup> (CHF 15)	Designation fee <sup>1,2,3,5,6</sup> (CHF 140)	PCT-EASY reduction <sup>2</sup> (CHF 200)	Competent ISA(s) <sup>7</sup>
TM	USD <sup>8</sup> —	USD 382 (from 1.1.02: 407)	9 (9)	82 (88)	117 (125)	EP RU
TR	CHF 100	CHF 650	15	140	200	EP
TT	TTD 750	USD 382 (from 1.1.02: 407)	9 (9)	82 (88)	117 (125)	AT EP SE US
UA	UAH 255	USD 382 (from 1.1.02: 407)	9 (9)	82 (88)	n a	EP RU
US	USD 240	USD 382 (from 1.1.02: 407)	9 (9)	82 (88)	117 (125)	EP US
UZ	USD <sup>8</sup> —	USD 382 (from 1.1.02: 407)	9 (9)	82 (88)	117 (125)	EP RU
VN	VND eq USD 150	VND eq CHF 650	eq CHF 15	eq CHF 140	n a	AT AU EP RU SE
YU	YUD 600	CHF 650 (from 1.1.02: 650)	15 (eq CHF 15)	140 (eq CHF 140)	200 (eq CHF 200)	EP
ZA	ZAR 500	ZAR 3,152 (from 1.1.02: 3,560)	72 (80)	680 (770)	972 (1,090)	AT AU EP US
ZM	Information not yet available					
ZW	ZWD 1,000	ZWD eq USD 382	eq USD 9	eq USD 82	eq USD 117	AT AU CN EP RU

Table I(b) — SEARCH FEES

(as at 1 December 2001, unless otherwise indicated)

ISA	Search fee <sup>1</sup>							
AT	ATS* 2,200	CHF** 250	KRW** 188,000	SGD** 247	USD** 170	ZAR** 1,165		
	* (payments may no longer be made in this currency after 31.12.01; EUR amount to be confirmed)							
	** (from 1.1.02: CHF 230 KRW 191,000 SGD 259 USD 150 ZAR 1,280)							
AU	AUD 800	CHF* 780	KRW* 555,000	NZD* 990	SGD* 749	USD* 510		
	ZAR* 3,150							
	* (from 1.1.02: CHF 628 KRW 500,000 NZD 978 SGD 700 USD 393 ZAR 3,430)							
CN	CNY 800	CHF 150	USD 100					
EP <sup>16</sup>	EUR 945	CYP** 558	FRF* 6,198.79	ITL** 1,829,775	NOK*** 7,800	USD*** 846		
	ATS* 13,003.48	DEM* 1,848.26	GBP*** 624	JPY*** 103,000	NZD*** 2,000	ZAR*** 7,220		
	BEF** 38,121	DKK** 7,090	GRD** 322,009	LUF** 38,121	PTE* 189,455.50			
	CAD*** 1,338	ESP* 157,235	IEP*** 744.25	MWK*** 62,000	SEK*** 8,690			
	CHF*** 1,460	FIM** 5,618.71	ISK** 82,000	NLG* 2,082.51	SGD*** 1,457			
	* (payments may no longer be made in this currency after 31.12.01 (apart from payments to the receiving Office of Monaco in the case of FRF, where the fee may be paid in FRF until 17.02.02) —see EUR amount)							
	** (payments may no longer be made in this currency after 28.02.02—see EUR amount)							
	*** (from 1.1.02: CAD 1,360 DKK 7,030 JPY 102,000 NZD 2,154 USD 866 CHF 1,383 GBP 592 MWK 53,000 SEK 9,340 ZAR 7,570 CYP 550 ISK 88,000 NOK 7,570 SGD 1,533)							
	**** (payments may no longer be made in IEP after 9.2.02—see EUR amount)							
ES <sup>16</sup>	ESP* 157,235	CHF** 1,460	EUR 945	USD** 846				
	* (payments may no longer be made in this currency after 31.12.01—see EUR amount)							
	** (from 1.1.02: CHF 1,383 USD 866)							
JP	JPY 72,000	CHF* 1,170	KRW* 753,000	USD* 720				
	* (from 1.1.02: CHF 980 KRW 798,000 USD 610)							
KR	KRW 150,000	CHF* 173	USD* 110	*(from 1.1.02: CHF 180 USD 120)				
RU <sup>17</sup>	USD 300	CHF* 460	*(from 1.1.02: CHF 480)					
SE	SEK* 8,690	CHF* 1,460	DKK* 7,090	FIM** 5,618.71	ISK* 82,000	NOK* 7,800		
	USD* 846							
	* (from 1.1.02: SEK 9,340 CHF 1,383 DKK 7,030 ISK 88,000 NOK 7,570 USD 866)							
	** (payments may no longer be made in this currency after 28.02.02)							
US	USD 700	450 <sup>18</sup> CHF* 1,064	684 <sup>18</sup> CHF* 1,118	719 <sup>18</sup> NZD* 1,700	1,100 <sup>18</sup> NZD 1,740	1,120 <sup>18</sup> ZAR 6,100	4,000 <sup>18</sup>	
	* (from 1.1.02: CHF 1,118 719 <sup>18</sup> NZD 1,740 1,120 <sup>18</sup> )							

Table II — PRELIMINARY EXAMINATION FEES

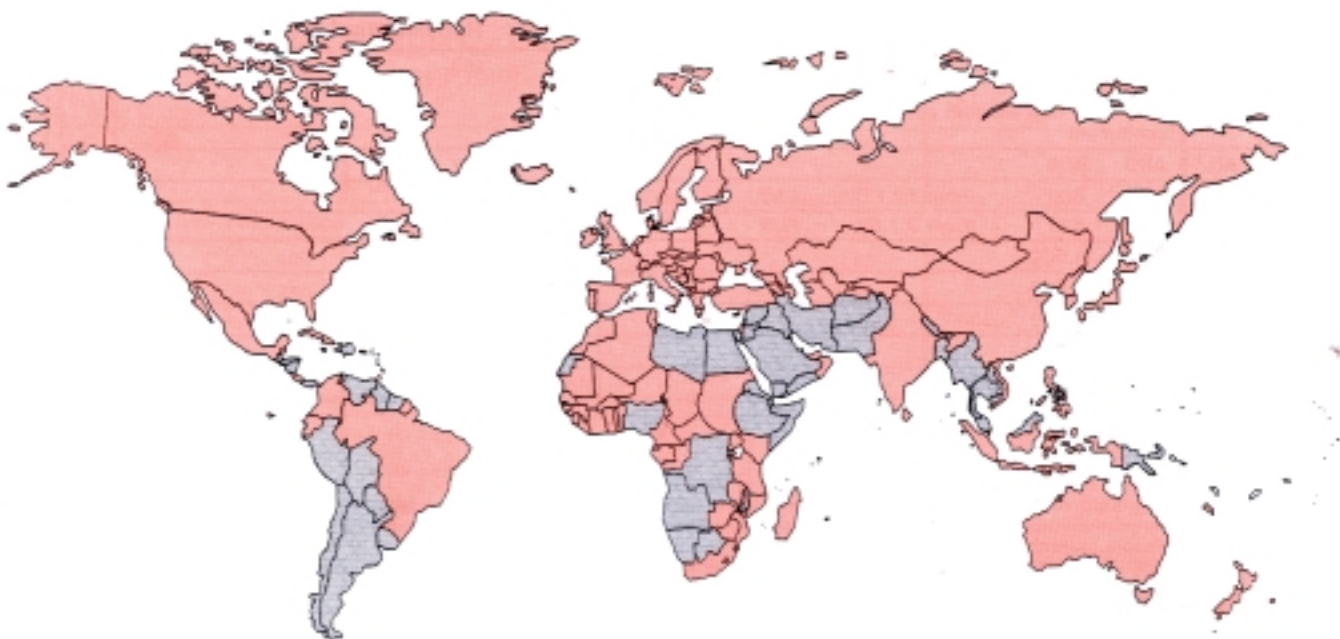
(as at 1 December 2001, unless otherwise indicated)

IPEA	Preliminary examination fee <sup>19</sup>	Handling fee <sup>3,19</sup> (CHF 233)
AT	ATS 2,200 (payments may no longer be made in this currency after 31.12.01; EUR amount, payable from 1.1.02, to be confirmed)	ATS 2,022.76 (payments may no longer be made in this currency after 31.12.01; from 1.1.02: EUR 159)
AU	AUD 450	AUD 272 (from 1.1.02: AUD 297)
CN	CNY 800	CNY eq CHF 233
EP <sup>16</sup>	EUR* 1,533    DKK** 11,500    IEP** 1,207.34 ATS** 21,094.54    ESP** 255,070    ITL** 2,968,302 BEF** 61,841    FIM** 9,114.80    LUF** 61,841 CHF** 2,380    FRF** 10,055.82    NLG** 3,378.29 CYP** 904    GBP** 1,012    PTE** 307,338.90 DEM** 2,998.29    GRD** 522,370    SEK** 14,100  * (from 3.1.02: EUR 1,530) ** (payments may no longer be made in this currency after 31.12.01—see EUR amount)	EUR* 147    DKK** 1,100    IEP** 115.77 ATS** 2,022.76    ESP** 24,459    ITL** 284,632 BEF** 5,930    FIM** 874.02    LUF** 5,930 CHF** 233    FRF** 964.26    NLG** 323.95 CYP** 84    GBP** 94    PTE** 29,470.90 DEM** 287.51    GRD** 49,000    SEK** 1,420  * (from 1.1.02: EUR 159) ** (payments may no longer be made in this currency after 31.12.01—see EUR amount)
JP	JPY 28,000	JPY 16,600 (from 1.1.02: JPY 17,100)
KR	KRW 150,000	KRW 179,000 (from 1.1.02: KRW 190,000)
RU <sup>17</sup>	USD 200 <sup>20</sup> 300 <sup>21</sup>	USD 137 (from 1.1.02: USD 146)
SE	SEK 5,000	SEK 1,420 (from 1.1.02: SEK 1,570)
US	USD 490 750 <sup>22</sup>	USD 137 (from 1.1.02: USD 146)

## Footnotes to fee tables:

- 1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 2 The total amount of the basic fee and designation fee is reduced by 200 Swiss francs where: (a) the request is presented as a computer print-out prepared using the PCT-EASY software; (b) the request is filed together with a computer diskette, prepared using that software, containing a copy in electronic form of the data contained in the request and of the abstract; and (c) the PCT application is filed with a receiving Office which is prepared to accept the filing of PCT applications containing requests in PCT-EASY format together with PCT-EASY diskettes.
- 3 This fee is reduced by 75% where the applicant or, if there are two or more applicants, each applicant is a natural person and is a national of and resides in Albania, Algeria, Armenia, Azerbaijan, Belarus, Belize, Benin, Bosnia and Herzegovina, Brazil, Bulgaria, Burkina Faso, Cameroon, Central African Republic, Chad, China, Colombia, Congo, Costa Rica, Côte d'Ivoire, Croatia, Cuba, Czech Republic, Democratic People's Republic of Korea, Dominica, Ecuador, Equatorial Guinea, Estonia, Gabon, Gambia, Georgia, Ghana, Grenada, Guinea, Guinea-Bissau, Hungary, India, Indonesia, Kazakhstan, Kenya, Kyrgyzstan, Latvia, Lesotho, Liberia, Lithuania, Madagascar, Malawi, Mali, Mauritania, Mexico, Mongolia, Morocco, Mozambique, Niger, Philippines, Poland, Republic of Moldova, Romania, Russian Federation, Saint Lucia, Senegal, Sierra Leone, Slovakia, South Africa, Sri Lanka, Sudan, Swaziland, Tajikistan, The former Yugoslav Republic of Macedonia, Togo, Tunisia (from 10 December 2001), Turkey, Turkmenistan, Uganda, Ukraine, United Republic of Tanzania, Uzbekistan, Viet Nam, Yugoslavia, Zambia or Zimbabwe. For further details, see *PCT Newsletter* No. 10/1995, cover page, No. 11/1995, page 6, and No. 05/1996, cover page.
- 4 Where the PCT application as filed contains a sequence listing part in computer readable form only, or both in that form and on paper, under Section 801 of the PCT *Administrative Instructions*, and where that application is filed with a receiving Office which is prepared to accept such filings, a fixed component of 400 times the supplement per sheet over 30 is payable for the sequence listing part, irrespective of the actual length of that part (see Section 803(ii) of the *Administrative Instructions*).
- 5 The maximum number of designation fees payable is six (as from 1 January 2002 it will be five).
- 6 The confirmation fee payable under PCT Rules 4.9(c) and 15.5 is 50% of the designation fee due (taking into account any applicable 75% reduction; see footnote 3).
- 7 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- 8 The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 9 The amounts of the transmittal fee in currencies other than EUR are as follows: ATS 1,403.55; BEF 4,115; CHF 160; CYP 60; DEM 199.49; DKK 770; ESP 16,971; FIM 606.46; FRF 669.08; GBP 67; GRD 34,757; IEP 80.33; ITL 197,500; LUF 4,115; NLG 224.78; PTE 20,449.20; SEK 940. **As from 1 January 2002, the transmittal fee will be payable only in EUR.**
- 10 This fee is reduced by 80% where the applicant is a natural person.
- 11 The first amount of the transmittal fee is applicable to individuals or entities employing less than 25 persons. The second amount is applicable to entities employing 25 persons or more.
- 12 A supplement of HUF 500 is also payable for each claim in excess of 10.
- 13 This fee is not payable in respect of international applications filed by applicants fulfilling the conditions indicated in footnote 3.
- 14 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national. See also *PCT Applicant's Guide*, Vol. I/B, Annex C (I/B).
- 15 The Japan Patent Office is competent only for international applications in Japanese.
- 16 The search fee payable to the EPO and the Spanish Patent and Trademark Office, as well as the preliminary examination fee payable to the EPO, are reduced by 75% where the applicant or, if there are two or more applicants, each applicant is a natural person and is a national of and resides in a State not party to the European Patent Convention, which fulfils the requirements for the corresponding reduction of certain PCT fees as specified in the Schedule of Fees annexed to the PCT Regulations, and in accordance with the decision of the EPO's Administrative Council of 11 October 2000, the text of which was included in *PCT Newsletter* No. 11/2000. The States concerned are those indicated in footnote 3, with the exception of Turkey.
- 17 The applicant may, instead of paying the USD amount, pay the equivalent amount in RUR at the exchange rate applicable, on the date of payment, at the Central Bank of the Russian Federation, provided that, in the case of the search fee, the receiving Office accepts payment in RUR.
- 18 Payable when a corresponding prior US national application has been filed under 35 USC 111(a) and the basic filing fee for that US application has been paid.
- 19 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 20 Payable when the international search report was established by the Russian Patent Office.
- 21 In all cases where footnote 20 does not apply.
- 22 Payable when the international search was not carried out by the United States Patent and Trademark Office (USPTO) (provided that the USPTO is a competent International Preliminary Examining Authority in the particular case—see *PCT Applicant's Guide*, Vol. I/B, Annex C.)

## PCT CONTRACTING STATES AND TWO-LETTER CODES (115 on 1 December 2001)



AE United Arab Emirates	CO Colombia	ID Indonesia	MK The former Yugoslav Republic of Macedonia <sup>1</sup>	SN Senegal (OA) <sup>2</sup>
AG Antigua and Barbuda	CR Costa Rica	IE Ireland (EP) <sup>2</sup>	ML Mali (OA) <sup>2</sup>	SZ Swaziland (AP) <sup>2</sup>
AL Albania <sup>1</sup>	CU Cuba	IL Israel	MN Mongolia	TD Chad (OA) <sup>2</sup>
AM Armenia (EA)	CY Cyprus (EP) <sup>2</sup>	IN India	MR Mauritania (OA) <sup>2</sup>	TG Togo (OA) <sup>2</sup>
AT Austria (EP)	CZ Czech Republic	IS Iceland	MW Malawi (AP)	TJ Tajikistan (EA)
AU Australia	DE Germany (EP)	IT Italy (EP) <sup>2</sup>	MX Mexico	TM Turkmenistan (EA)
AZ Azerbaijan (EA)	DK Denmark (EP)	JP Japan	MZ Mozambique (AP)	<b>TN Tunisia</b> (from 10 December 2001)
BA Bosnia and Herzegovina	DM Dominica	KE Kenya (AP)	NE Niger (OA) <sup>2</sup>	TR Turkey (EP)
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BF Burkina Faso (OA) <sup>2</sup>	EE Estonia	KR Republic of Korea	NZ New Zealand	UA Ukraine
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BJ Benin (OA) <sup>2</sup>	FI Finland (EP)	LC Saint Lucia	<b>PH Philippines</b>	US United States of America
BR Brazil	FR France (EP) <sup>2</sup>	LI Liechtenstein (EP)	PL Poland	
BY Belarus (EA)	GA Gabon (OA) <sup>2</sup>	LK Sri Lanka	PT Portugal (EP)	UZ Uzbekistan
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CA Canada	GD Grenada	LS Lesotho (AP)	RU Russian Federation (EA)	YU Yugoslavia
CF Central African Republic (OA) <sup>2</sup>	GE Georgia	LT Lithuania <sup>1</sup>	SD Sudan (AP)	ZA South Africa
CG Congo (OA) <sup>2</sup>	GM Gambia (AP)	LV Latvia <sup>1</sup>	SE Sweden (EP)	<b>ZM Zambia</b>
CH Switzerland (EP)	GN Guinea (OA) <sup>2</sup>	MA Morocco	SG Singapore	ZW Zimbabwe (AP)
CI Côte d'Ivoire (OA) <sup>2</sup>	<b>GQ Equatorial Guinea (OA)<sup>2</sup></b>	MC Monaco (EP) <sup>2</sup>	SI Slovenia <sup>1</sup>	
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CN China	GW Guinea-Bissau (OA) <sup>2</sup>	MG Madagascar	SL Sierra Leone (AP)	
	HR Croatia			
	HU Hungary			

<sup>1</sup> Extension of European patent possible.

<sup>2</sup> May only be designated for a regional patent (the "national route" via the PCT has been closed).

Where a State can be designated for a regional patent, the two-letter code for the regional patent concerned is indicated in parentheses (AP = ARIPO patent, EA = Eurasian patent, EP = European patent, OA = OAPI patent).

### Important:

This list includes all States that have adhered to the PCT by the date shown in the heading. Any State indicated in **bold italics** has adhered to the PCT but was not yet bound by the PCT on the date of issue of the latest version of the request form. Where any State has adhered to but is not yet bound by the PCT, the date on which it will become bound is shown in parentheses; it cannot be designated in international applications filed before that date. If the applicant wishes to designate, for the purposes of a national patent, any State which is bound by the PCT on the date on which the international application is filed but which is not listed in the request form, he must add it in Box No. V of the request form and mark the corresponding check-box.

Applicants should always use the latest versions of the request form (PCT/RO/101) and demand form (PCT/IPEA/401), updated versions of which are normally issued twice yearly. The latest versions are dated July 2001, and can be printed from the WIPO Internet site, in editable PDF format, at: <http://www.wipo.int/pct/en/forms/index.htm>, or obtained from receiving Offices or the International Bureau, or, in the case of the demand form, also from International Preliminary Examining Authorities. For details on the updated request form, to be used as from 1 January 2002, see *PCT Newsletter* No. 11/2001, page 4.



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