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NEWSLETTER

October 2001

No. 10/2001

MEETING OF THE PCT ASSEMBLY

The following items outline several important decisions that were made at the Assembly of the PCT Union during its thirtieth (13th ordinary) session which was held in Geneva from 24 September to 3 October 2001, as part of the meetings of the Assemblies of the Member States of WIPO. Documents which were prepared for the PCT Assembly, and which give detailed background information, are available from "PCT Meetings" on the PCT website:

<http://www.wipo.int/pct/en/index.html>

Modification of the time limit under PCT Article 22(1)

The PCT Assembly decided, with effect from 1 April 2002, to change the time limit under PCT Article 22(1) for performing the acts necessary to enter the national phase **from 20 to 30 months from the priority date**. As a result, the time limit for national phase entry under PCT Article 22(1) will be the same as that which applies under PCT Article 39(1)(a) (that is, the time limit which applies where the applicant files a demand for international preliminary examination within 19 months from the priority date).

Since a number of countries will have to change their national laws in order to implement the modifications, transitional arrangements will enable postponement of the entry into force of the modifications in respect of the

designated Offices concerned, which will have to notify the International Bureau accordingly by 31 January 2002. Where a designated Office finds it necessary to make use of the transitional arrangements, an applicant wishing to benefit from the 30-month time limit in respect of national phase entry before that Office

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will therefore still need to file a demand before the expiration of 19 months from the priority date, even though the 30-month time limit may apply in respect of other designated Offices without the need to file a demand.

At the moment, at least 80% of international applications are the subject of a demand for international preliminary examination. Experience has shown that a significant proportion of applicants use the international preliminary examination procedure only to delay entry into the national phase. Even though such appli-

cants may not even have any interest in using the international preliminary examination report (IPER), the usual procedures involved in processing the demand and IPER must nevertheless be followed. It is expected that this amendment will help to reduce the ever-increasing workload at the Offices which act as International Preliminary Examining Authorities (IPEAs); it will also greatly benefit applicants who are not particularly interested in the international preliminary examination procedure since they will now be able to enter the national phase at 30 months without having to pay the fees associated with filing the demand. The resulting reduction in the workload of IPEAs will allow them to focus their resources on serving the needs of those applicants who have a genuine desire to take advantage of the substantive benefits offered by the international preliminary examination procedure.

As a consequence of the modification of the time limit under PCT Article 22(1), PCT Rules 90*bis*.1, 90*bis*.2 and 90*bis*.3, which deal with the withdrawal of the international application, any designation, and any priority claim, respectively, will, with effect from 1 April 2002, refer only to the time limit of 30 months from the priority date.

Entry into force of the modified time limit

As indicated above, the modification of the time limit fixed in PCT Article 22(1) will, in general, enter into force on 1 April 2002. Certain Offices may, however, apply an earlier date of entry into force of the 30-month time limit since PCT Article 22(3) enables any national law to fix limits expiring later than those applicable under PCT Article 22(1).

The modified (30-month) time limit will be applicable to any international application, so far as a particular designated Office is concerned, in respect of which the 20-month time limit expires on or after the date of entry into force of the modifications of PCT Article 22(1) for that Office, and in respect of which the acts referred to in PCT Article 22(1) have not yet been performed by the applicant.

(See documents PCT/A/30/4 and PCT/A/30/4 Add. for background information.)

PCT MATERIALS ON INTERNET

(<http://www.wipo.int/pct/en/index.html>)

PCT InfoLine (contacts)

PCT filing

- *Basic Facts about the PCT*
- Forms
- Fees
- Direct filing of PCT applications with the International Bureau as PCT receiving Office
- *PCT Applicant's Guide*

PCT-EASY

PCT E-filing

PCT legal texts and guidelines

- About the Treaty
- Texts of the Patent Cooperation Treaty, Regulations and Administrative Instructions
- PCT Receiving Office Guidelines
- PCT International Search Guidelines
- PCT International Preliminary Examination Guidelines
- Ratification situation
- Reservations and incompatibilities
- PCT legal texts index

PCT Gazette

PCT news

- *PCT Newsletter* (Nos. 01/1997–10/2001); Practical Advice published in the *PCT Newsletter* between March 1994 and December 1996 and search facility for all Practical Advice
- PCT Press Releases/Updates
- The PCT in 2000

Seminar calendar and seminar materials:

- seminar documents: March 2001 (English, French); May 2001 (Spanish, German)

PCT meetings (documents):

- Committee (21–25 May 2001) and Working Group (12–15 November 2001) on Reform of the PCT
- PCT Assembly: 1978–2001
- PCT Informal Consultation Meeting on Electronic Filing (July 2000); redraft of legal framework and technical standards for PCT electronic filing
- PCT Committee for Administrative and Legal Matters: 1999

* * *

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Reduction in the maximum number of designation fees payable

The Assembly decided to amend the Schedule of Fees (annexed to the Regulations under the PCT) to decrease, with effect from 1 January 2002, the maximum number of designation fees payable, from six to five. This will be the fifth consecutive year in which the fee payable for designating States has been reduced.

The new reduction in the maximum number of designation fees payable will have the effect that no designation fee will be due for any designation made under PCT Rule 4.9(a) **in excess of five**; this means that the maximum amount of designation fees payable will be 700 Swiss francs (instead of 840 Swiss francs, which is the current maximum amount payable). This will cover all possible designations that can be made. For applicants who already benefit from either the 75% reduction of the international fee for PCT applications filed by natural persons from certain States and/or the reduction of the international fee for using the PCT-EASY software (see items 4 and 5 in the Schedule of Fees), the new reduction will reduce even further the overall cost of obtaining patent protection using the PCT. Note that the maximum number of designation fees payable relates only to express designations made under PCT Rule 4.9(a), and not to precautionary designations that are later confirmed under PCT Rule 4.9(c).

The reduced maximum number of designation fees payable (five) will be applicable:

(1) for all international applications filed on or after 1 January 2002;

(2) for international applications filed before 1 January 2002 where the following requirements are met:

- the designation fees are paid on or after 1 January 2002, **and**
- the time limit under Rule 15.4(b)(i) applies, **and**
- the designation fees are paid later than one month from the date of receipt of the application but within one year from the priority date (see Rule 15.4(c), second sentence).

The previously applicable maximum number (6) applies for international applications filed before 1 January 2002 where

the designation fees are paid within one month from the date of receipt of the application, irrespective of whether they are paid before, on or after 1 January 2002 (see Rule 15.4(c), first sentence).

The amended Schedule of Fees, as in force from 1 January 2002, will be included as an insert in an upcoming issue of the *PCT Newsletter*. When the Request Form (PCT/RO/101) is next updated (with effect from January 2002), the fee calculation sheet and accompanying notes (which are annexed to the Request Form) will take into account the new maximum number of designation fees payable.

Appointment of the Spanish Patent and Trademark Office as an International Preliminary Examining Authority

The PCT Assembly appointed the Spanish Patent and Trademark Office as an International Preliminary Examining Authority (IPEA); that Office will thus become the 10th Office to function as an IPEA under the PCT. This will be of great benefit to Spanish-speaking applicants.

The appointment will have effect one month after the date on which the Office notifies the Director General of WIPO that it is ready to start functioning as an IPEA, which is expected to be the case sometime in 2002. Note that before that time, the Spanish Patent and Trademark Office may not be chosen by the applicant as a competent IPEA.

Restriction of the competence of the EPO as International Searching and Preliminary Examining Authority

The PCT Assembly approved the amendment, with effect from 1 November 2001, of the text of the Agreement between the European Patent Organisation and the International Bureau of WIPO relating to the functioning of the European Patent Office (EPO) as an International Searching Authority (ISA) and International Preliminary Examining Authority (IPEA) under the PCT. The EPO is currently universally competent as ISA and IPEA and acts as ISA for about 60% of international applications and as IPEA for about 58% of international applications. The amended Agreement will enable the EPO, with effect from a date agreed upon between the receiving Office and the EPO, to determine, in a more flexible way, which inter-

national applications it is competent to act for as ISA and IPEA, whenever the workload of that Office reaches such a level that it cannot perform the tasks assumed by it under the Agreement without jeopardizing its proper functioning both as a PCT Authority and as the Office responsible for granting European patents. Specific information on any changes in the competence of the EPO as ISA and IPEA will be announced in the *PCT Newsletter* as and when the EPO notifies the International Bureau.

Reform of the PCT

The PCT Assembly noted the report of the Committee on Reform of the PCT, which held its first session in Geneva from 21 to 25 May 2001 (for details, see *PCT Newsletter* No. 06/2001) and approved the Committee's recommendation concerning the establishment of a working group, the matters to be referred to the working group and the work program of both the Committee and the working group between the September 2001 and September 2002 sessions of the Assembly. The working group will address, in particular, issues such as the concept and operation of the designation system, improved coordination of international search and international preliminary examination and the time limit for entering the national phase, conforming PCT filing date and "missing part" type requirements to those in the Patent Law Treaty (PLT), as well as general simplification and streamlining of PCT filing and processing procedures.

The first session of the Working Group on Reform of the PCT will meet from 12 to 16 November 2001, in Geneva. The documents for the meeting are available from the "PCT Meetings" section of the PCT website.

IMPACT project and PCT electronic filing project

The PCT Assembly took note of progress in the PCT automation project (IMPACT) and in the PCT Electronic Filing Project, which, with the rapid expansion of the PCT system, are of the highest priority for WIPO. These projects will lead to lower costs for applicants who will also benefit from more efficient services. Further information about the status of these projects will be given in an upcoming issue of the

PCT Newsletter. For information on the redesigning of working methods and procedures in the Office of the PCT, within the framework of the IMPACT project, see page 5.

NEW PCT CONTRACTING STATE

Tunisia (country code: TN)

On 10 September 2001, Tunisia deposited its instrument of accession to the PCT, and on 10 December 2001, will become bound by the PCT. Consequently, in any international application filed on or after 10 December 2001, Tunisia may be designated and, because it will be bound by Chapter II of the PCT, may also be elected. Furthermore, nationals and residents of Tunisia will be entitled from 10 December 2001 to file international applications under the PCT.

REGULAR UPDATES TO THE INTERNET VERSION OF THE PCT APPLICANT'S GUIDE

The Internet version of the *PCT Applicant's Guide*, in English and in French, is now being regularly updated. Changes published in Section IV of the *PCT Gazette* will be simultaneously included in the Internet version of the *Guide*. PCT users will thus be able to obtain the most up-to-date information on-line without having to wait until the publication of the next "paper" update.

To help users determine whether the version they have is the most up-to-date, each page of the Internet version of the *Guide* indicates the actual date of modification. Users simply have to compare the date on the Internet version with that on their paper version. All paper updates are now also printed (and have been since the March 2001 update) with the actual date of modification at the bottom of each page so that users will readily be able to check when the information was last updated.

It is recalled that the English version of the *Guide* is available from the PCT website by going to:

<http://www.wipo.int/pct/en/index.html>

and then clicking on "*PCT Applicant's Guide*" in the linkbox; for the French version, go to:

<http://www.wipo.int/pct/fr/index.html>
and click on "*Le Guide du déposant*."

NEW PCT MATERIALS AVAILABLE ON THE INTERNET

The Patent Cooperation Treaty and Regulations are now available in Spanish, in PDF and html formats, at:

<http://www.wipo.int/pct/es/texts/index.htm>

The Basic Facts about the PCT is now available in German, in PDF format, on the PCT website at:

<http://www.wipo.int/pct/en/access/filing.htm>

REDESIGNING OF WORKING METHODS AND PROCEDURES IN THE OFFICE OF THE PCT

In March 1998, the Assemblies of the Member States of WIPO approved a project to fully automate the operations of the PCT, now known by the acronym IMPACT (Information Management for the Patent Cooperation Treaty).

The vision of the IMPACT project is to modernize, and make more efficient and cost effective, the operations of the PCT system at the International Bureau, in particular with regard to the filing, receipt, processing, storage, publication and dissemination of international applications and related documents and data, so as to further facilitate the use of the PCT system by, and to further improve services offered to, applicants, receiving Offices, International Searching Authorities, International Preliminary Examining Authorities, designated/elected Offices and, to a certain extent, the general public.

The objectives of the IMPACT project are:

- to handle the growth in international applications effectively by improving the efficiency of operations in the Office of the PCT (OPCT);
- to enable further reduction of costs and of certain PCT fees;
- to enable staff skills and time to be used more productively and to be focused on providing value-added services to Offices, applicants and the general public;

- to further improve communications between the OPCT and Offices, applicants and the general public by providing flexible and electronic communication methods;
- to accomplish this while continuing to provide high quality services with motivated staff and maintaining business as usual activities.

It is important to note that it is not an objective of the IMPACT project to impose new technology on the OPCT and to force it to change its working methods in order to fit that technology; nor is the aim to simply automate the current working methods in the OPCT. Rather, the IMPACT project is seen as an opportunity for the OPCT to adopt more efficient working methods that cannot be supported by the current operational systems within the essentially paper-based environment at the OPCT.

To take advantage of this opportunity, and in preparation for the implementation of the IMPACT system, all PCT-related activities (such as document receipt, document processing, work distribution and workflow, publication, translation, document distribution, document storage, inter-office communications, fee administration, management reporting) are currently being reviewed with the aim of making recommendations for a new organizational structure of the OPCT and a redesign of the current working methods and procedures.

In order to offset the risks associated with the introduction of a new organizational structure and new working methods in a mission-critical operation such as the OPCT, and bearing in mind that business as usual must be maintained, an initial "pilot" or Processing Team of staff within the OPCT has been created according to a proposed new team-oriented structure. The aim of this Processing Team is to explore and to achieve a "proof of concept" of the proposed new organizational structure and new working methods before such new structure is implemented and such new methods are applied across the OPCT. As a net result of this further optimization of PCT operations at the International Bureau, it is expected that the OPCT will have a more flexible organizational structure that will allow for inno-

vative new functions and services to be introduced and developed, to the benefit of all users of the PCT system.

TYPES OF PROTECTION AVAILABLE IN PCT CONTRACTING STATES

Corrigendum

In the table which was published in *PCT Newsletter* No. 09/2001, "ARIPO patent" should have been indicated as a type of protection available in Zambia (ZM) (as from 15 November 2001).

PCT INFORMATION UPDATE

ZA South Africa (special requirements for entry into the national phase)

The South African Patents and Trade Marks Office has notified additional special requirements under PCT Rule 51*bis* for entry into the national phase before it as designated and elected Office, as follows:

- proof of assignment or transfer of rights where the applicant is not the inventor*
- proof by the applicant concerning his right to claim priority of the earlier application, if he did not apply himself for that earlier application*

* This requirement may be satisfied if the corresponding declaration has been made in accordance with PCT Rule 4.17

(Updating of *PCT Applicant's Guide*, Vol. II/C, National Chapter, Summary (ZA))

Search fee (European Patent Office)

As from 15 November 2001, there will be a change in the equivalent amount payable in ZAR, and on 1 December 2001, there will be a change in the equivalent amount payable in CAD, for international searches carried out by the European Patent Office, as indicated in Table I(b).

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex D (EP))

List of Contracting States

The pink tear-out provisional sheet for the *PCT Applicant's Guide*, Vol. I/A, Annex A (PCT Contracting States) includes Tunisia and the date of entry into force of the

PCT in respect of that State (see page 4 for details).

BUDAPEST TREATY

Accession by India

On 17 September 2001, India deposited its instrument of accession to the Budapest Treaty on the International Recognition of the Deposit of Microorganisms for the Purposes of Patent Procedure. That Treaty will enter into force with respect to India on 17 December 2001.

PCT PUBLICATIONS

Administrative Instructions under the PCT: Corrigendum to Internet address

The underlining was missing from the Internet address given on page 3 of *PCT Newsletter* No. 09/2001 for the *Administrative Instructions* available via the *PCT Gazette*. The address should have read:

http://www.wipo.int/pct/en/gazette/spec_iss.htm

EMERGENCY CLOSURE OF USPTO; INTERRUPTION OF US POSTAL SERVICE

As a result of the tragic events of 11 September 2001, the United States Patent and Trademark Office (USPTO) was closed for official business on that day and has declared that:

"Any action or fee due on September 11, 2001, will be considered as timely for the purposes of, e.g., 35 U.S.C. §§ 119, 120, 133 and 151, if the action is taken, or the fee paid, on the next succeeding business day on which the Patent and Trademark Office is open. 37 C.F.R. § 1.6(a)(2) provides that correspondence deposited as Express Mail in accordance with 37 C.F.R. § 1.10 will be considered filed on the date of its deposit."

Also, since all post offices in the borough of Manhattan were closed on 11 September 2001 and for an undefined period thereafter, the USPTO is designating the interruption in the service of the United States Postal Service in the borough of Manhattan as a postal service interruption and an emergency within the meaning of 35 U.S.C. 21(a). For further details see the USPTO Internet site: www.uspto.gov.

JAPAN: SEALS AND SIGNATURES; REQUESTING EXAMINATION IN THE NATIONAL PHASE

The Japan Patent Office (JPO) has informed the International Bureau of the following:

Seals and signatures

For the purposes of the "signature" (within the meaning of PCT Rule 2.3) of international applications filed with the JPO as receiving Office, a seal must, in general, be used instead of a signature by the applicant. However, in the case of international applications filed *in English* with the JPO as receiving Office **and in respect of which the European Patent Office has been chosen by the applicant as International Searching Authority (ISA), a signature must be furnished instead of a seal.**

Reduction of time limit for requesting examination in the national phase

The time limit for submitting a request for examination for a patent application is to be changed as of 1 October 2001 from seven years to three years from the filing date (Section 48*ter* of the Patent Law of Japan).

This change also applies to international applications **filed on or after 1 October 2001**. The attention of applicants is drawn to the fact that the time limit for submitting a request for examination in respect of an international application, on or after 1 October 2001, is to be computed from its international filing date, since the international application is deemed as being filed with the Japan Patent Office on the same day as the international filing date under Section 184*ter* of the Patent Law of Japan.

PRACTICAL ADVICE

Errors relating to the designation of States

Q: I am the agent for an international application in which four designations were made, including what I thought was the designation for a European patent. However, upon receipt of Form PCT/IB/301 (Notification of Receipt of Record Copy) from the International Bureau, I noticed that EP was not included among the designated Offices listed. I then checked my copy of the Request Form and found out that a designation for a Eurasian

patent ("EA") had been made instead of a designation for a European patent ("EP"). Is it possible to replace the designation of EA with that of EP? (Note that I have not yet paid the designation fees and the time limit for doing so has not yet expired.)

A: The PCT does not provide for the "replacement" of one designation for another in the case where a mistake has been made when designating States. Although PCT Rule 91 does permit applicants to request the rectification of obvious errors, it would probably be very difficult to justify **not only** that an obvious error was made **but also** that the rectification proposed would be obvious, unless the specific circumstances surrounding your application speak in your favor since, according to PCT Rule 91: "Errors which are due to the fact that something other than what was obviously intended was written in the international application or other paper shall be regarded as obvious errors. The rectification itself shall be obvious in the sense that anyone would immediately realize that nothing else could have been intended than what is offered as rectification."

Your safest option is to confirm the precautionary designation of EP, in accordance with PCT Rule 4.9(c). To do this you must file a written notice of confirmation of the precautionary designation of EP (preferably by using Form PCT/RO/144, which is available on the PCT Internet site at: <http://www.wipo.int/pct/en/forms/index.htm>), and pay the designation fee and confirmation fee referred to in PCT Rule 15.5 to your receiving Office **before the expiration of 15 months from the priority date**, or if there is no priority claim, before the expiration of 15 months from the international filing date. It is recalled that even where the maximum number of designation fees have been paid* in respect of the specific designations, a separate designation fee, as well as a confirmation fee of 50% of the designation fee, is due in respect of each precautionary designation confirmed.

As far as the EA designation is concerned, since the time limit for the payment of the designation fee under PCT Rule 15.4(b) has not yet expired and you have not yet

* Currently six, but with effect from 1 January 2002, five (see page 3).

paid that fee, and the maximum number of designation fees are not being paid, you could omit the payment of that designation, provided you clarify, in a letter accompanying your payment, that the amount paid corresponds to the payment of the designation fees in respect of all designations except EA. The receiving Office would consequently consider the EA designation withdrawn after the time limit under Rule 16*bis* has expired.

Should the designation fee have already been paid, you could withdraw the EA designation by sending a written notice of withdrawal of that designation to the International Bureau at any time prior to the applicable time limit for entry into the national phase.

You may, however, wish to maintain the EA designation for the time being, and, if it is still your wish not to proceed before the Eurasian Patent Office in the national phase, you could then simply not enter the national phase before that Office.

Finally, if the time limit for filing a confirmation of a precautionary designation has expired, and a request for rectification of an obvious error under PCT Rule 91 is refused, it might be possible, as a last resort, to make use of the provisions under PCT Article 25 ("Review by Designated Offices"), where the purported designated Office concerned, provided that the national fee (if any) has been paid and the appropriate translation (as prescribed) has

been furnished within the prescribed time limit, decides whether the refusal was justified under the provisions of the PCT and Regulations. This case does not fall strictly under the provisions of PCT Article 25, however, and ***it is entirely up to the designated Office concerned whether or not it takes any action*** in respect of any such request for review.

Note that such a problem would never occur if applicants took advantage of the possibility of making all possible designations while paying only the maximum of six* designation fees. The attention of applicants is drawn to the importance of checking Form PCT/IB/301 ***immediately upon receipt*** so that, should any designations have been erroneously made or omitted when marking the check boxes of Box No. V ("Designation of States") of the Request Form, the situation can be redressed before the expiration of the applicable time limit, by withdrawing the designation of an erroneously made designation or by confirming the precautionary designation of an omitted designation.

For further information on confirming precautionary designations, see *PCT Newsletter* No. 03/1994 and the *PCT Applicant's Guide*, paras. 90–92 and 261–266; for further information on the rectification of obvious errors, see the *PCT Applicant's Guide*, paras. 443–448.

* Five with effect from 1 January 2002, five (see page 3).

PCT SEMINAR CALENDAR			
Dates and location	Language of seminar	Nature of seminar; WIPO speakers (and others where known)	Organizer and contact numbers
9–10 October 2001 Munich (DE)	German	Basic PCT seminar for patent administrators WIPO speakers: Mr. Matthes and Mr. Reischle	Forum Institut für Management GmbH Tel: (49–6221) 500 500 Fax: (49–6221) 500 505 E-mail: Management@Forum-Institut.de
11 October 2001 Stockholm (SE)	English	PCT presentation in the framework of “Patent Updating Day 2001” WIPO speaker: Mr. Thomas	Swedish Patent Office (Mr. Christer Eklöf) Tel: (46–8) 782 28 32 Fax: (46–8) 783 01 63 E-mail: christer.eklof@prv.se
12 October 2001 Stuttgart (DE)	German	PCT seminars for patent administrators (in morning) and for patent attorneys (in afternoon) WIPO speakers: Mr. Matthes and Mr. Reischle	Deutsche Vereinigung für Gewerblichen Rechtsschutz und Urheberrecht (GRUR) (Mr. Gregor Schuster) Tel: (49–711) 222 99 40 Fax: (49–711) 29 95 94
18 October 2001 London (GB) <i>[POSTPONED—dates to be announced]</i>	English	PCT presentation at “The Corporate Patent Department 2001” WIPO speaker: Mr. Thomas	Management Forum Ltd Tel: (44–1483) 57 00 99 Fax: (44–1483) 53 64 24 E-mail: josephine.leak@management-forum.co.uk
22–23 October 2001 Malabo (GQ) <i>[POSTPONED—dates to be announced]</i>	French and Spanish	“Séminaire national sur la propriété industrielle et le PCT” WIPO speaker: Mrs. Simon-Vianès	Council of Scientific and Technological Research (CICTE) Tel: (240–9) 35 68 Fax: (240–9) 45 35
29 October– 1 November 2001 Seoul (KR)	English	WIPO Regional Seminar on the PCT for the Asia and Pacific Region WIPO speakers: Mr. Wang, Mr. Kim and Mr. Bartels	Korean Intellectual Property Office (Mr. Youngmin Goo, International Cooperation Division) Tel: (82–42) 481 50 69 Fax: (82–42) 472 34 59 e-mail: ymgoo@kipo.go.kr
29–30 October 2001 Costa Mesa, CA (US)	English	Advanced PCT seminar WIPO speakers: Mr. Reischle and Mr. Maassel	Orange County Patent Law Association (OCPLA) (Mr. Stefan J. Kirchanski) Tel: (1–310) 734 54 03 Fax: (1–310) 734 52 99 E-mail: skirchanski@chrn.com
30–31 October 2001 Helsinki (FI)	English	Advanced PCT seminar for patent attorneys WIPO speakers: Mr. Bryan and Ms. Eriksson	National Board of Patents and Registration (Ms. Mirja Kuismanen) Tel: (358–9) 69 39 52 36 Fax: (358–9) 69 39 53 22 E-mail: Mirja.Kuismanen@prh.fi

[continued on next page]

PCT SEMINAR CALENDAR [continued]			
Dates and Location	Language of seminar	Nature of seminar; WIPO speakers (and others where known)	Organizer and contact numbers
1–2 November 2001 San Francisco (US)	English	Advanced PCT seminar for patent administrators WIPO speakers: Mr. Reischle and Mr. Maassel	Intellectual Property International (Ms. Virginia H. Meyer) Tel: (1–415) 289 74 71 Fax: (1–415) 331 60 68 E-mail: IPI@IPISeminars.com Internet: www.ipiseminars.com
5–6 November 2001 San Francisco (US) [CANCELLED]	English	PCT seminar for patent attorneys WIPO speakers: Mr. Reischle and Mr. Maassel	Intellectual Property International (Ms. Virginia H. Meyer) Tel: (1–415) 289 74 71 Fax: (1–415) 331 60 68 E-mail: IPI@IPISeminars.com Internet: www.ipiseminars.com
5–6 November 2001 Mumbai (IN)	English	WIPO National Roving Seminar on the Patent Cooperation Treaty (PCT) WIPO speakers: Mr. Kim and Mr. Sinha	Department of Industrial Policy and Promotion, Ministry of Commerce and Industry, Government of India (Mr. Rajiv Ranjan) Tel: (91–11) 301 06 88 Fax: (91–11) 301 99 19 E-mail: rajeevranjan@ub.nic.in
8–9 November 2001 Ahmedabad (IN)	English	WIPO National Roving Seminar on the Patent Cooperation Treaty (PCT) WIPO speakers: Mr. Kim and Mr. Sinha	Department of Industrial Policy and Promotion, Ministry of Commerce and Industry, Government of India (Mr. Rajiv Ranjan) Tel: (91–11) 301 06 88 Fax: (91–11) 301 99 19 E-mail: rajeevranjan@ub.nic.in
8–9 November 2001 Chicago (US)	English	Advanced PCT seminar WIPO speakers: Mr. Reischle and Mr. Maassel	The John Marshall Law School Department of Event Management Tel: (1–312) 987 14 20 Fax: (1–312) 427 71 28 E-mail: Events@jmls.edu
12–13 November 2001 Chicago (US)	English	Advanced PCT seminar WIPO speakers: Mr. Reischle and Mr. Maassel	The John Marshall Law School Department of Event Management Tel: (1–312) 987 14 20 Fax: (1–312) 427 71 28 E-mail: Events@jmls.edu
12–13 November 2001 Hyderabad (IN)	English	WIPO National Roving Seminar on the Patent Cooperation Treaty (PCT) WIPO speakers: Mr. Kim and Mr. Sinha	Department of Industrial Policy and Promotion, Ministry of Commerce and Industry, Government of India (Mr. Rajiv Ranjan) Tel: (91–11) 301 06 88 Fax: (91–11) 301 99 19 E-mail: rajeevranjan@ub.nic.in

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PCT SEMINAR CALENDAR [continued]			
Dates and Location	Language of seminar	Nature of seminar; WIPO speakers (and others where known)	Organizer and contact numbers
15–17 November 2001 Nairobi (KE)	English	WIPO–ARIPO Sub-Regional Seminar on the Patent Cooperation Treaty (PCT) WIPO speakers: Mr. Wang and Mr. Nyagua	African Regional Industrial Property Organization (ARIPO) in cooperation with the Government of Kenya (Professor Norah K. Olemba) Kenya Industrial Property Office Tel: (254–2) 33 26 48 Fax: (254–2) 21 94 30
19–20 November 2001 Port of Spain (TT)	English	WIPO Sub-Regional Seminar on the Patent Cooperation Treaty (PCT) for Caribbean Countries WIPO speakers: Mr. Hernández Vigaud and Mr. Regis	Intellectual Property Office (Ms. Mazina Kadir) Tel: (1–868) 625 99 72 Fax: (1–868) 624 12 21
21–22 November 2001 Paris (FR)	French	Basic seminar on PCT procedures WIPO speakers: Mr. Baron and Mr. Genin	Institut national de la propriété industrielle (INPI) (Mrs. Christiane Sadrin) Tel: (33–1) 53 04 55 76 Fax: (33–1) 42 93 63 52
5 December 2001 London (GB)	English	PCT workshop for patent administrators WIPO speakers: Ms. Eriksson and Mr. Reischle	Management Forum Ltd Tel: (44–1483) 57 00 99 Fax: (44–1483) 53 64 24 E-mail: josephine.leak@management-forum.co.uk
6–7 December 2001 London (GB)	English	Advanced PCT seminar for patent administrators WIPO speakers: Ms. Eriksson and Mr. Reischle	Management Forum Ltd Tel: (44–1483) 57 00 99 Fax: (44–1483) 53 64 24 E-mail: josephine.leak@management-forum.co.uk
17–18 December 2001 Lisbon (PT) <i>[dates previously indicated as 12–15 November 2001]</i>	French and Portuguese	Regional Seminar for Portuguese-Speaking African Countries on Industrial Property and the PCT WIPO speakers: Mr. Castelo, Mr. Sery-Kore and Mrs. Simon-Vianès	National Institute of Industrial Property of Portugal Tel: (351–21) 881 82 67 Fax: (351–21) 887 53 08
7–9 January 2002 Zurich (CH)	English	PCT presentation in the framework of a Postgraduate Program in Intellectual Property WIPO speaker: Mr. Reischle	Swiss Federal Institute of Technology Zurich (ETH) NDS Intellectual Property (Ms. Elise Brandstätter) Tel: (41–1) 632 68 11 E-mail: brandstaetter@recht.gess.ethz.ch Internet: http://www.nds-ge.ethz.ch
3–4 April 2002 Ecully (FR)	French	PCT seminar for patent attorneys WIPO speaker: Ms. Boutillon	Centre Paul Roubier (Mrs. Audi) Tel: (33–4) 78 33 07 08 Fax: (33–4) 78 33 58 96

PCT FEE TABLES

The following Tables show the amounts and currencies of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Vol. I/B, Annexes C, D and E. Note that all amounts are subject to change due to variations in the fees themselves or fluctuations in exchange rates. The total amount of the basic fee and designation fee may be reduced by CHF 200 under certain circumstances where the request is prepared using the PCT-EASY software; see footnote 2 for details. A 75% reduction in the basic fee, the supplement per sheet over 30, the designation fee and the handling fee, as well as an exemption from the transmittal fee payable to the International Bureau as receiving Office, is also available to applicants from certain States; see footnote 13 for details. (Note that if both the CHF 200 reduction and the 75% reduction are applicable, the 75% reduction is calculated **after** the CHF 200 reduction.) The footnotes to the Fee Tables follow Table II.

Key to abbreviations used in fee tables:

eq	equivalent of	BGL	Bulgarian lev	FRF	French franc	KRW	KR won	RUR	Russian rouble
IPEA	International Preliminary Examining Authority	BRR	Brazilian real	GBP	Pound sterling	KZT	Kazakh tenge	SDP	Sudanese pound
ISA	International Searching Authority	BYR	Belarussian rouble	GEL	Georgian lari	LSM	Lesotho loti	SEK	Swedish krona
n a	not applicable	BZD	Belize dollar	GHC	Ghanaian cedi	LTL	Lithuanian litas	SGD	Singapore dollar
RO	receiving Office	CAD	Canadian dollar	GRD	Greek drachma	LUF	Luxembourg franc	SIT	Slovenian tolar
		CHF	Swiss franc	HRK	Croatian kuna	LVL	Latvian lat	SKK	Slovak koruna
		CNY	Yuan renminbi	HUF	Hungarian forint	MAD	Moroccan dirham	TJR	Tajik rouble
		CUP	Cuban convertible peso	IDR	Indonesian rupiah	MDL	Moldovan leu	TTD	Trinidad and Tobago dollar
		CYP	Cyprus pound	IEP	Irish pound	MKD	Macedonian denar	UAH	Ukrainian hryvnia
AED	United Arab Emirates dirham	CZK	Czech koruna	ILS	New Israel shekel	MWK	Malawian kwacha	USD	US dollar
ALL	Albanian lek	DEM	Deutsche mark	INR	Indian rupee	MXP	Mexican peso	VND	Vietnamese dong
AMD	Armenian dram	DKK	Danish krone	ISK	Icelandic krona	NLG	Netherlands guilder	YUD	Yugoslavian dinar
ATS	Austrian schilling	DZD	Algerian dinar	ITL	Italian lira	NOK	Norwegian krone	ZAR	South African rand
AUD	Australian dollar	EEK	Estonian kroon	JPY	Japanese yen	NZD	New Zealand dollar	PLZ	Polish zloty
AZM	Azerbaijani manat	ESP	Spanish peseta	KES	Kenyan shilling	PTE	Portuguese escudo	ZWD	Zimbabwe dollar
BEF	Belgian franc	EUR	Euro	KGS	Kyrgyz som	ROL	Romanian leu		
		FIM	Finnish markka	KPW	KP won				

Table I(a) — TRANSMITTAL, BASIC AND DESIGNATION FEES

(as at 1 October 2001, unless otherwise indicated)

RO	Transmittal fee ¹	Basic fee ^{1,2,3} (CHF 650)	Supplement per sheet over 30 ^{1,2,3,4} (CHF 15)	Designation fee ^{1,2,3,5,6} (CHF 140)	PCT-EASY reduction ² (CHF 200)	Competent ISA(s) ⁷
AE	AED ⁸ —	AED eq CHF 650	15	140	n a	AT AU
AL	ALL 9,000	CHF 650	15	140	n a	EP
AM	AMD 32,000	USD 382	9	82	117	EP RU
AP	USD 30 (or eq in local currency)	USD 382	9	82	117	AT EP SE
AT	ATS 700	ATS 5,627.96	123.84	1,210.91	1,733.80	EP
AU	AUD 100	AUD 759	18	164	234	AU
AZ	AZM eq USD 15 plus mailing costs	USD 382	9	82	n a	EP RU
BA	DEM 50	DEM 799.93	17.60	172.11	246.43	EP
BE	BEF 1,500	BEF 16,499	363	3,550	5,083	EP
BG	BGL 60	BGL eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP RU
BR	BRR 236	BRR eq CHF 650	eq CHF 15	eq CHF 140	n a	AT EP SE US
BY	BYR eq USD 70	USD 382	9	82	n a	EP RU
BZ	BZD 220	USD 382	9	82	117	EP
CA	CAD 200	CAD 562	13	121	173	EP
CH	CHF 100	CHF 650	15	140	200	EP
CN	CNY 500	CNY eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	CN
CR	USD 175	USD 382	9	82	117	EP ES
CU	USD (or CUP eq) 200	USD (or CUP eq) 382	9	82	117	AT EP ES RU
CY	CYP 75	CYP 235	5	50	n a	EP
CZ	CZK 1,500	CZK eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP

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Table I(a) — TRANSMITTAL, BASIC AND DESIGNATION FEES [continued]
(as at 1 October 2001, unless otherwise indicated)

RO	Transmittal fee ¹	Basic fee ^{1,2,3} (CHF 650)	Supplement per sheet over 30 ^{1,2,3,4} (CHF 15)	Designation fee ^{1,2,3,5,6} (CHF 140)	PCT-EASY reduction ² (CHF 200)	Competent ISA(s) ⁷
DE	DEM 175	DEM 799.93	17.60	172.11	246.43	EP
DK	DKK 1,500	DKK 3,060	70	660	940	EP SE
DZ	DZD ⁸ —	CHF 650	15	140	n a	AT EP
EA	RUR eq USD 50	USD 382	9	82	117	EP RU
EC	USD ⁸ —	USD 382	9	82	117	EP ES
EE	EEK 1,800	EEK eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
EP ⁹	EUR 102	EUR 409	9	88	126	EP
ES	ESP 10,040	ESP 68,052	1,497	14,642	20,965	EP ES
FI	FIM 800	FIM 2,431.80	53.51	523.22	749.16	EP SE
FR	FRF 400 or EUR 60.98	FRF 2,682.86 or EUR 409	59.04 9	577.24 88	826.51 126	EP
GB	GBP 55	GBP 264	6	56	81	EP
GE	GEL ¹⁰ 10	USD 382	9	82	117	EP RU
GH	GHC ¹¹ 2,500 or 5,000	USD 382	9	82	n a	AT AU CN EP SE
GR	GRD 39,500	GRD 136,000	3,000	29,000	42,000	EP
HR	HRK 200	HRK eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
HU	HUF ¹² 10,000	HUF eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP RU
IB	CHF ¹³ 100 or USD ¹³ 60	CHF 650 or USD 382	15 9	140 82	200 117	See footnote 14
ID	IDR 500,000	IDR eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	AU EP RU
IE	IEP 60 or EUR 76.18	IEP 322.11 or EUR 409	7.09 9	69.31 88	99.23 126	EP
IL	ILS 437	USD 382	9	82	117	EP US
IN	INR 5,000 (filing by individual: 1,500)	USD 382	9	82	117	AT AU CN EP SE US
IS	ISK 5,500	ISK 38,500	900	8,300	n a	EP SE
IT	ITL 60,000	ITL 791,934	17,426	170,392	n a	EP
JP	JPY 18,000	JPY 46,200	1,100	10,000	14,000	EP JP
KE	USD (or KES equiv) ³⁰	USD 382	9	82	n a	AT AU CN EP SE
KG	KGS eq USD 100	USD 382	9	82	117	EPRU
KP	KPW eq CHF 50	KPW eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	ATRU
KR	KRW 45,000	KRW 500,000	12,000	108,000	154,000	AT AU JP ¹⁵ KR
KZ	KZT ⁸ —	USD 382	9	82	117	EP RU
LR	USD 45	USD 382	9	82	n a	AT AU CN EP SE
LS	LSM ⁸ —	LSM eq CHF 650	eq CHF 15	eq CHF 140	n a	AT EP
LT	LTL 320	USD 382	9	82	n a	EPRU
LU	LUF/BEF 800	LUF/BEF 16,499	363	3,550	n a	EP
LV	LVL 47.20	USD 382	9	82	117	EPRU
MA	None	CHF 650	15	140	n a	ATEPRUSE
MC	FRF 320	FRF 2,682.86	59.04	577.24	n a	EP
MD	MDL ⁸ 180	USD 382	9	82	117	EPRU
MK	MKD 2,750	MKD eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
MN	None	CHF 650	15	140	200	EPRU
MW	MWK 2,000	MWK 28,500	700	6,000	8,800	EP

[continued on next page]

Table I(a) — TRANSMITTAL, BASIC AND DESIGNATION FEES [continued]
(as at 1 October 2001, unless otherwise indicated)

RO	Transmittal fee ¹	Basic fee ^{1,2,3} (CHF 650)	Supplement per sheet over 30 ^{1,2,3,4} (CHF 15)	Designation fee ^{1,2,3,5,6} (CHF 140)	PCT-EASY reduction ² (CHF 200)	Competent ISA(s) ⁷
MX	MXP ³ eq USD 200	MXP eq CHF 650	eq CHF 15	eq CHF 140	n a	EP SE US
NL	NLG 110	NLG 901.32	19.83	193.93	277.67	EP
NO	NOK 500	NOK 3,380	80	730	1,040	EP SE
NZ	NZD 180	NZD 923	21	199	284	AU EP US
PL	PLZ 280	PLZ eq CHF 650	eq CHF 15	eq CHF 140	n a	EP
PT	PTE 4,650	PTE 81,997.10	1,804.30	17,642.40	25,260.70	EP
RO	ROL 300,000	CHF 650	15	140	200	ATE PRU
RU	RUR 294	USD 382	9	82	117	EP RU
SD	SDP 50	SDP eq CHF 650	eq CHF 15	eq CHF 140	n a	EP
SE	SEK 1,200	SEK 3,540 (from 15.11.01: 3,950)	80 (90)	760 (850)	1,090 (1,220)	EP SE
SG	SGD 150	SGD 638	15	137	196	AT AU EP
SI	SIT 22,000	SIT eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
SK	SKK 1,600	SKK eq CHF 650	eq CHF 15	eq CHF 140	eq CHF 200	EP
TJ	TJR ⁸ —	USD 382	9	82	n a	EP RU
TM	USD ⁸ —	USD 382	9	82	117	EP RU
TR	CHF 100	CHF 650	15	140	200	EP
TT	TTD 750	USD 382	9	82	117	AT EP SE US
UA	UAH 255	USD 382	9	82	n a	EP RU
US	USD 240	USD 382	9	82	117	EP US
UZ	USD ⁸ —	USD 382	9	82	117	EP RU
VN	VND eq USD 150	VND eq CHF 650	eq CHF 15	eq CHF 140	n a	AT AU EP RU SE
YU	YUD 600	CHF 650	15	140	200	EP
ZA	ZAR 500	ZAR 3,152	72	680	972	AT AU EP US
ZW	ZWD 1,000	ZWD eq USD 382	eq USD 9	eq USD 82	eq USD 117	AT AU CN EP RU

Table I(b) — SEARCH FEES
(as at 1 October 2001, unless otherwise indicated)

ISA	Search fee ¹								
AT	ATS 2,200	CHF 250	KRW 188,000	SGD 247	USD 170	ZAR 1,165			
AU	AUD 800	CHF 780	KRW 555,000	NZD 990	SGD 749	USD 510			
	ZAR 3,150								
CN	CNY 800	CHF 150	USD 100						
EP ¹⁶	EUR 945	CYP 558	FRF 6,198.79	ITL 1,829,775	NLG 2,082.51	SEK 8,690			
	ATS 13,003.48	DEM 1,848.26	GBP 624	JPY 103,000	NOK 7,800	SGD 1,457			
	BEF 38,121	DKK 7,090	GRD 322,009	LUF 38,121	NZD 2,000	USD 846			
	CAD* 1,232	ESP 157,235	IEP 744.25	MWK 62,000	PTE 189,455.50	ZAR** 6,500			
	CHF 1,460	FIM 5,618.71	ISK 82,000						
	* (from 1.12.01 CAD 1,338)								
	** (from 15.11.01: ZAR 7,220)								
ES ¹⁷	ESP 157,235	CHF 1,460	EUR 945	USD 846					
JP	JPY 72,000	CHF 1,170	KRW 753,000	USD 720					
KR	KRW 150,000	CHF 173	USD 110						
RU ¹⁸	USD 300	CHF 460							
SE	SEK 8,690	CHF 1,460	DKK 7,090	FIM 5,618.71	ISK 82,000	NOK 7,800			
	USD 846								
US	USD 700	450 ¹⁹	CHF 1,064	684 ¹⁹	NZD 1,700	1,100 ¹⁹	ZAR 5,500	3,500 ¹⁹	

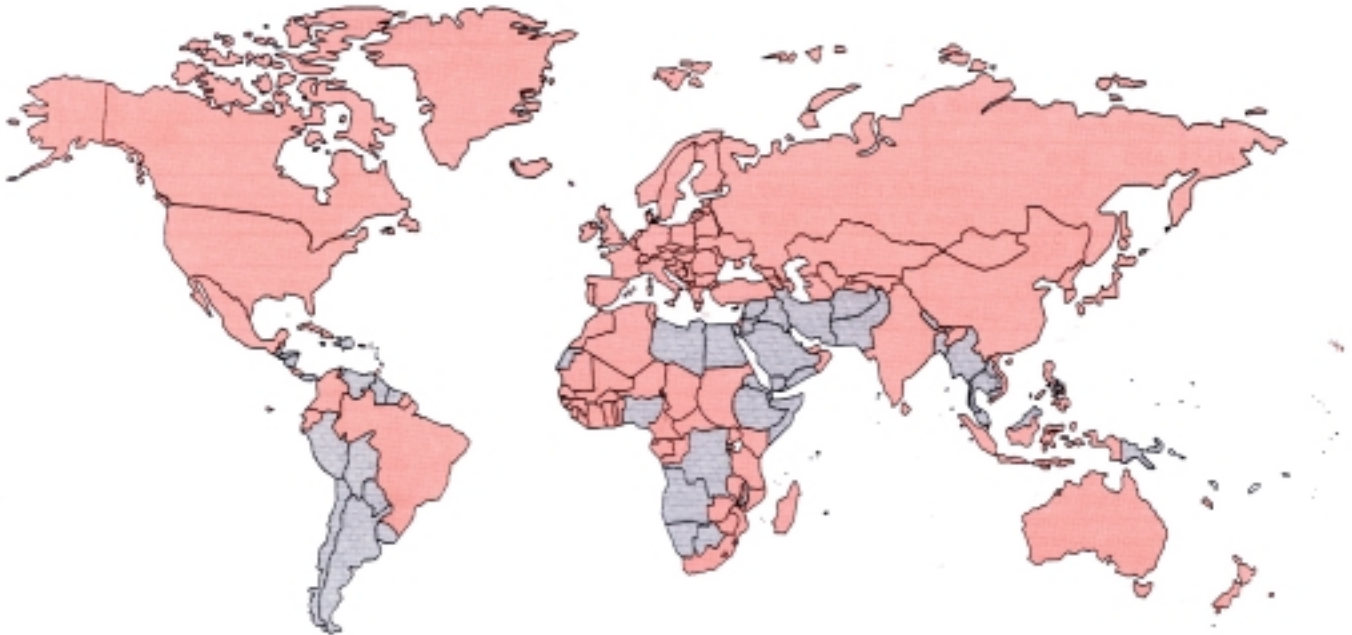
Table II — PRELIMINARY EXAMINATION FEES
(as at 1 October 2001, unless otherwise indicated)

IPEA	Preliminary examination fee ²⁰			Handling fee ^{3,20} (CHF 233)		
AT	ATS 2,200			ATS 2,022.76		
AU	AUD 450			AUD 272		
CN	CNY 800			CNY eq CHF 233		
EP ¹⁶	EUR 1,533	DKK 11,500	IEP 1,207.34	EUR 147	DKK 1,100	IEP 115.77
	ATS 21,094.54	ESP 255,070	ITL 2,968,302	ATS 2,022.76	ESP 24,459	ITL 284,632
	BEF 61,841	FIM 9,114.80	LUF 61,841	BEF 5,930	FIM 874.02	LUF 5,930
	CHF 2,380	FRF 10,055.82	NLG 3,378.29	CHF 233	FRF 964.26	NLG 323.95
	CYP 904	GBP 1,012	PTE 307,338.90	CYP 84	GBP 94	PTE 29,470.90
	DEM 2,998.29	GRD 522,370	SEK 14,100	DEM 287.51	GRD 49,000	SEK* 1,270
				*(from 15.11.01: SEK 1,420)		
JP	JPY 28,000			JPY 16,600		
KR	KRW 150,000			KRW 179,000		
RU ¹⁸	USD 200 ²¹ 300 ²²			USD 137		
SE	SEK 5,000			SEK* 1,270 *(from 15.11.01: SEK 1,420)		
US	USD 490 750 ²³			USD 137		

Footnotes to fee tables:

- 1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 2 The total amount of the basic fee and designation fee is reduced by 200 Swiss francs where: (a) the request is presented as a computer print-out prepared using the PCT-EASY software; (b) the request is filed together with a computer diskette, prepared using that software, containing a copy in electronic form of the data contained in the request and of the abstract; and (c) the PCT application is filed with a receiving Office which is prepared to accept the filing of PCT applications containing requests in PCT-EASY format together with PCT-EASY diskettes.
- 3 This fee is reduced by 75% where the applicant or, if there are two or more applicants, each applicant is a natural person and is a national of and resides in Albania, Algeria, Armenia, Azerbaijan, Belarus, Belize, Benin, Bosnia and Herzegovina, Brazil, Bulgaria, Burkina Faso, Cameroon, Central African Republic, Chad, China, Colombia, Congo, Costa Rica, Côte d'Ivoire, Croatia, Cuba, Czech Republic, Democratic People's Republic of Korea, Dominica, Ecuador, Equatorial Guinea, Estonia, Gabon, Gambia, Georgia, Ghana, Grenada, Guinea, Guinea-Bissau, Hungary, India, Indonesia, Kazakhstan, Kenya, Kyrgyzstan, Latvia, Lesotho, Liberia, Lithuania, Madagascar, Malawi, Mali, Mauritania, Mexico, Mongolia, Morocco, Mozambique, Niger, Philippines, Poland, Republic of Moldova, Romania, Russian Federation, Saint Lucia, Senegal, Sierra Leone, Slovakia, South Africa, Sri Lanka, Sudan, Swaziland, Tajikistan, The former Yugoslav Republic of Macedonia, Togo, Tunisia (from 10 December 2001), Turkey, Turkmenistan, Uganda, Ukraine, United Republic of Tanzania, Uzbekistan, Viet Nam, Yugoslavia, Zambia (from 15 November 2001) or Zimbabwe. For further details, see *PCT Newsletter* No. 10/1995, cover page, No. 11/1995, page 6, and No. 05/1996, cover page.
- 4 Where the PCT application as filed contains a sequence listing part in computer readable form only, or both in that form and on paper, under Section 801 of the PCT *Administrative Instructions*, and where that application is filed with a receiving Office which is prepared to accept such filings, a fixed component of 400 times the supplement per sheet over 30 is payable for the sequence listing part, irrespective of the actual length of that part (see Section 803(ii) of the *Administrative Instructions*).
- 5 The maximum number of designation fees payable is six.
- 6 The confirmation fee payable under PCT Rules 4.9(c) and 15.5 is 50% of the designation fee due (taking into account any applicable 75% reduction; see footnote 3).
- 7 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- 8 The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 9 The amounts of the transmittal fee in currencies other than EUR are as follows: ATS 1,403.55; BEF 4,115; CHF 160; CYP 60; DEM 199.49; DKK 770; ESP 16,971; FIM 606.46; FRF 669.08; GBP 67; GRD 34,757; IEP 80.33; ITL 197,500; LUF 4,115; NLG 224.78; PTE 20,449.20; SEK 940. The amounts in those currencies of the basic fee, supplement per sheet over 30 and designation fee are the same as those indicated for the receiving Offices of the respective States, that is RO/AT, BE, CH, etc.
- 10 This fee is reduced by 80% where the applicant is a natural person.
- 11 The first amount of the transmittal fee is applicable to individuals or entities employing less than 25 persons. The second amount is applicable to entities employing 25 persons or more.
- 12 A supplement of HUF 500 is also payable for each claim in excess of 10.
- 13 This fee is not payable in respect of international applications filed by applicants fulfilling the conditions indicated in footnote 3.
- 14 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national. See also *PCT Applicant's Guide*, Vol. I/B, Annex C (IB).
- 15 The Japan Patent Office is competent only for international applications in Japanese.
- 16 The search fee and the preliminary examination fee are reduced by 75% where the applicant or, if there are two or more applicants, each applicant is a natural person and is a national of and resides in a State not party to the European Patent Convention, which fulfils the requirements for the corresponding reduction of certain PCT fees as specified in the Schedule of Fees annexed to the PCT Regulations, in accordance with the decision of the EPO's Administrative Council of 11 October 2000, the text of which was included in *PCT Newsletter* No. 11/2000. The States concerned are those indicated in footnote 3, with the exception of Turkey.
- 17 The search fee can be reduced by 75% if the international application is filed by an applicant who is a national and resident of a State which fulfils the requirements for the corresponding reduction of fees payable to the European Patent Office.
- 18 The applicant may, instead of paying the USD amount, pay the equivalent amount in RUR at the exchange rate applicable, on the date of payment, at the Central Bank of the Russian Federation, provided that, in the case of the search fee, the receiving Office accepts payment in RUR.
- 19 Payable when a corresponding prior US national application has been filed under 35 USC 111(a) and the basic filing fee for that US application has been paid.
- 20 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 21 Payable when the international search report was established by the Russian Patent Office.
- 22 In all cases where footnote 21 does not apply.
- 23 Payable when the international search was not carried out by the United States Patent and Trademark Office (USPTO) (provided that the USPTO is a competent International Preliminary Examining Authority in the particular case—see *PCT Applicant's Guide*, Vol. I/B, Annex C.)

PCT CONTRACTING STATES AND TWO-LETTER CODES (115 on 1 October 2001)



AE United Arab Emirates	CO Colombia	ID Indonesia	MK The former Yugoslav Republic of Macedonia ¹	SN Senegal (OA) ²
AG Antigua and Barbuda	CR Costa Rica	IE Ireland (EP) ²	ML Mali (OA) ²	SZ Swaziland (AP) ²
AL Albania ¹	CU Cuba	IL Israel	MN Mongolia	TD Chad (OA) ²
AM Armenia (EA)	CY Cyprus (EP) ²	IN India	MR Mauritania (OA) ²	TG Togo (OA) ²
AT Austria (EP)	CZ Czech Republic	IS Iceland	MW Malawi (AP)	TJ Tajikistan (EA)
AU Australia	DE Germany (EP)	IT Italy (EP) ²	MX Mexico	TM Turkmenistan (EA)
AZ Azerbaijan (EA)	DK Denmark (EP)	JP Japan	MZ Mozambique (AP)	TN Tunisia (from 10 December 2001)
BA Bosnia and Herzegovina	DM Dominica	KE Kenya (AP)	NE Niger (OA) ²	TR Turkey (EP)
BB Barbados	DZ Algeria	KG Kyrgyzstan (EA)	NL Netherlands (EP) ²	TT Trinidad and Tobago
BE Belgium (EP) ²	EC Ecuador	KP Democratic People's Republic of Korea	NO Norway	TZ United Republic of Tanzania (AP)
BF Burkina Faso (OA) ²	EE Estonia	KR Republic of Korea	NZ New Zealand	UA Ukraine
BG Bulgaria	ES Spain (EP)	KZ Kazakhstan (EA)	OM Oman (from 26 October 2001)	UG Uganda (AP)
BJ Benin (OA) ²	FI Finland (EP)	LC Saint Lucia	PH Philippines	US United States of America
BR Brazil	FR France (EP) ²	LI Liechtenstein (EP)	PL Poland	UZ Uzbekistan
BY Belarus (EA)	GA Gabon (OA) ²	LK Sri Lanka	PT Portugal (EP)	VN Viet Nam
BZ Belize	GB United Kingdom (EP)	LR Liberia	RO Romania ¹	YU Yugoslavia
CA Canada	GD Grenada	LS Lesotho (AP)	RU Russian Federation (EA)	ZA South Africa
CF Central African Republic (OA) ²	GE Georgia	LT Lithuania ¹	SD Sudan (AP)	ZM Zambia (from 15 November 2001)
CG Congo (OA) ²	GH Ghana (AP)	LU Luxembourg (EP)	SE Sweden (EP)	ZW Zimbabwe (AP)
CH Switzerland (EP)	GM Gambia (AP)	LV Latvia ¹	SG Singapore	
CI Côte d'Ivoire (OA) ²	GN Guinea (OA) ²	MA Morocco	SI Slovenia ¹	
CM Cameroon (OA) ²	GQ Equatorial Guinea (OA)²	MC Monaco (EP) ²	SK Slovakia	
CN China	GR Greece (EP) ²	MD Republic of Moldova (EA)	SL Sierra Leone (AP)	
	GW Guinea-Bissau (OA) ²	MG Madagascar		
	HR Croatia			
	HU Hungary			

¹ Extension of European patent possible.

² May only be designated for a regional patent (the "national route" via the PCT has been closed).

Where a State can be designated for a regional patent, the two-letter code for the regional patent concerned is indicated in parentheses (AP = ARIPO patent, EA = Eurasian patent, EP = European patent, OA = OAPI patent).

Important:

This list includes all States that have adhered to the PCT by the date shown in the heading. Any State indicated in **bold italics** has adhered to the PCT but was not yet bound by the PCT on the date of issue of the latest version of the request form. Where any State has adhered to but is not yet bound by the PCT, the date on which it will become bound is shown in parentheses; it cannot be designated in international applications filed before that date. If the applicant wishes to designate, for the purposes of a national patent, any State which is bound by the PCT on the date on which the international application is filed but which is not listed in the request form, he must add it in Box No. V of the request form and mark the corresponding check-box.

Applicants should always use the latest versions of the request form (PCT/RO/101) and demand form (PCT/IPEA/401), updated versions of which are normally issued twice yearly. The latest versions are dated July 2001, and can be printed from the WIPO Internet site, in editable PDF format, at: <http://www.wipo.int/pct/en/forms/index.htm>, or obtained from receiving Offices or the International Bureau, or, in the case of the demand form, also from International Preliminary Examining Authorities.

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PCT Contracting States*

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Name of State followed by country code	Date on which State became bound by the PCT*	Name of State followed by country code	Date on which State became bound by the PCT*
Albania AL	4 October 1995	Hungary HU ¹	27 June 1980
Algeria DZ ¹	8 March 2000	Iceland IS	23 March 1995
Antigua and Barbuda AG	17 March 2000	India IN ¹	7 December 1998
Armenia AM ¹	25 December 1991	Indonesia ID ¹	5 September 1997
Australia AU	31 March 1980	Ireland IE	1 August 1992
Austria AT	23 April 1979	Israel IL	1 June 1996
Azerbaijan AZ	25 December 1995	Italy IT	28 March 1985
Barbados BB	12 March 1985	Japan JP	1 October 1978
Belarus BY ¹	25 December 1991	Kazakhstan KZ ¹	25 December 1991
Belgium BE	14 December 1981	Kenya KE	8 June 1994
Belize BZ	17 June 2000	Kyrgyzstan KG ¹	25 December 1991
Benin BJ	26 February 1987	Latvia LV	7 September 1993
Bosnia and Herzegovina BA	7 September 1996	Lesotho LS	21 October 1995
Brazil BR	9 April 1978	Liberia LR	27 August 1994
Bulgaria BG	21 May 1984	Liechtenstein LI	19 March 1980
Burkina Faso BF	21 March 1989	Lithuania LT	5 July 1994
Cameroon CM	24 January 1978	Luxembourg LU	30 April 1978
Canada CA	2 January 1990	Madagascar MG	24 January 1978
Central African Republic CF	24 January 1978	Malawi MW	24 January 1978
Chad TD	24 January 1978	Mali ML	19 October 1984
China CN	1 January 1994	Mauritania MR	13 April 1983
Colombia CO	28 February 2001	Mexico MX	1 January 1995
Congo CG	24 January 1978	Monaco MC	22 June 1979
Costa Rica CR	3 August 1999	Mongolia MN	27 May 1991
Côte d'Ivoire CI	30 April 1991	Morocco MA	8 October 1999
Croatia HR	1 July 1998	Mozambique MZ ¹	18 May 2000
Cuba CU ¹	16 July 1996	Netherlands NL ⁴	10 July 1979
Cyprus CY	1 April 1998	New Zealand NZ	1 December 1992
Czech Republic CZ	1 January 1993	Niger NE	21 March 1993
Democratic People's Republic of Korea KP	8 July 1980	Norway NO ²	1 January 1980
Denmark DK	1 December 1978	Oman OM ¹	(will become bound on 26 October 2001)
Dominica DM	7 August 1999	Philippines PH	17 August 2001
Ecuador EC	7 May 2001	Poland PL ²	25 December 1990
Equatorial Guinea GQ	17 July 2001	Portugal PT	24 November 1992
Estonia EE	24 August 1994	Republic of Korea KR	10 August 1984
Finland FI ²	1 October 1980	Republic of Moldova MD ¹	25 December 1991
France FR ^{1,3}	25 February 1978	Romania RO ¹	23 July 1979
Gabon GA	24 January 1978	Russian Federation RU ¹	29 March 1978
Gambia GM	9 December 1997	Saint Lucia LC ¹	30 August 1996
Georgia GE ¹	25 December 1991	Senegal SN	24 January 1978
Germany DE	24 January 1978	Sierra Leone SL	17 June 1997
Ghana GH	26 February 1997	Singapore SG	23 February 1995
Greece GR	9 October 1990	Slovakia SK	1 January 1993
Grenada GD	22 September 1998	Slovenia SI	1 March 1994
Guinea GN	27 May 1991	South Africa ZA ¹	16 March 1999
Guinea-Bissau GW	12 December 1997	Spain ES	16 November 1989

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A**PCT Contracting States*****A**

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Name of State followed by country code	Date on which State became bound by the PCT*	Name of State followed by country code	Date on which State became bound by the PCT*
Sri Lanka LK	26 February 1982	Uganda UG	9 February 1995
Sudan SD	16 April 1984	Ukraine UA ¹	25 December 1991
Swaziland SZ	20 September 1994	United Arab Emirates AE	10 March 1999
Sweden SE ²	17 May 1978	United Kingdom GB ⁵	24 January 1978
Switzerland CH	24 January 1978	United Republic of	
Tajikistan TJ ¹	25 December 1991	Tanzania TZ	14 September 1999
The former Yugoslav Republic		United States of America US ^{6,7}	24 January 1978
of Macedonia MK	10 August 1995	Uzbekistan UZ ¹	25 December 1991
Togo TG	24 January 1978	Viet Nam VN	10 March 1993
Trinidad and Tobago TT	10 March 1994	Yugoslavia YU	1 February 1997
Tunisia TN ¹	(will become bound on 10 December 2001)	Zambia ZM	(will become bound on 15 November 2001)
Turkey TR	1 January 1996	Zimbabwe ZW	11 June 1997
Turkmenistan TM ¹	25 December 1991		

* All PCT Contracting States are bound by Chapter II of the PCT relating to the international preliminary examination.

¹ With the declaration provided for in Article 64(5).

² With the declaration provided for in Article 64(2)(a)(ii).

³ Including all Overseas Departments and Territories.

⁴ Ratification for the Kingdom in Europe, the Netherlands Antilles and Aruba.

⁵ Extends to the Isle of Man.

⁶ With the declarations provided for in Articles 64(3)(a) and 64(4)(a).

⁷ Extends to all areas for which the United States of America has international responsibility.