# Competitive Intelligence - Using Patent information

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# Overview

- Defensive use
  - What if your product is infringing other's patent?
    (in a case of WIPO IP panorama)
  - Prior patent search is needed for R&D project (All patent documents are published in 18 month after application. except for US )
- Proactive use
  - Technology mapping (patent map) and competitive analysis
  - Economic analysis based on patent information (such as creating patent quality index)

## What to do against infringement warning?

- Invent around
- License negotiation (in-license)
- Fight: post grant opposition system, lawsuit
- Ignore



Depending on

- How critical the potential infringing patent?
- Who warns you (patent troll? Serious players?)
- Who are you (with large IP dept. or small firm?)



US006871616B2

### (12) United States Patent Zhadan-Milligan et al.

### (54) PET UMBRELLA AND COMBINED PET LEASH AND UMBRELLA

- (76) Inventors: Irina Zhadan-Milligan, P.O. Box 6412, New York, NY (US) 10150; Yuri Zhadan, P.O. Box 6412, New York, NY (US) 10150
- (\*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.
- (21) Appl. No.: 10/782,942
- (22) Filed: Feb. 23, 2004
- (65) Prior Publication Data

US 2004/0200437 A1 Oct. 14, 2004

#### Related U.S. Application Data

- (60) Provisional application No. 60/449,451, filed on Feb. 24, 2003.
- (51) Int. Cl.<sup>7</sup> ...... A01K 27/00
- (52) U.S. Cl. ..... 119/795; 119/769; 135/16

#### (56) References Cited

#### U.S. PATENT DOCUMENTS

3,267,905	A	8/1966	Fleming	 119/795
4,537,339	А	8/1985	Pearson	 224/188

### (10) Patent No.: US 6,871,616 B2

(45) Date of Patent:

Mar. 29, 2005

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D324,943	S	3/1992	Wu D3/6	
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#### FOREIGN PATENT DOCUMENTS

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#### OTHER PUBLICATIONS

Harriet Carter Catalog Code 35 2465 4245 date unknown p. 65.

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Primary Examiner—Teri P. Luu Assistant Examiner—Kimberly S Smith (74) Attorney, Agent, or Firm—Nixon & Vanderhye

### (57) ABSTRACT

A pet umbrella combines an umbrella and a leash. The umbrella includes a shaft carrying a canopy moveable between open and closed positions. In the open position, the convex side of the canopy faces the umbrella handle. A leash is secured to the opposite end of the shaft on the concave side of the canopy. The canopy is preferably oval in plan and formed of clear plastic see-through material. The major axis of the oval defined by the canopy forms a plane with the shaft whereby the shaft forms an included angle in the plane with the shaft of less than 90° and preferably about 30°–60°.

#### 18 Claims, 5 Drawing Sheets

## http://patft.uspto.gov/



United States Patent and Trademark Office

An Agency of the Department of Commerce

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## Patent Information Sources

## **Official Patent Offices**

- WIPO: PATENTSCOPE (for PCT applications): http://www.wipo.int/pctdb/en/search-adv.jsp
- EPO: esp@snet: <u>http://ep.espacenet.com/</u>
- JPO: IPDL: <u>http://www.inpit.go.jp/ipdl/service/</u>
- SIPO: <u>http://www.sipo.gov.cn/sipo\_English/</u>

### Other sources

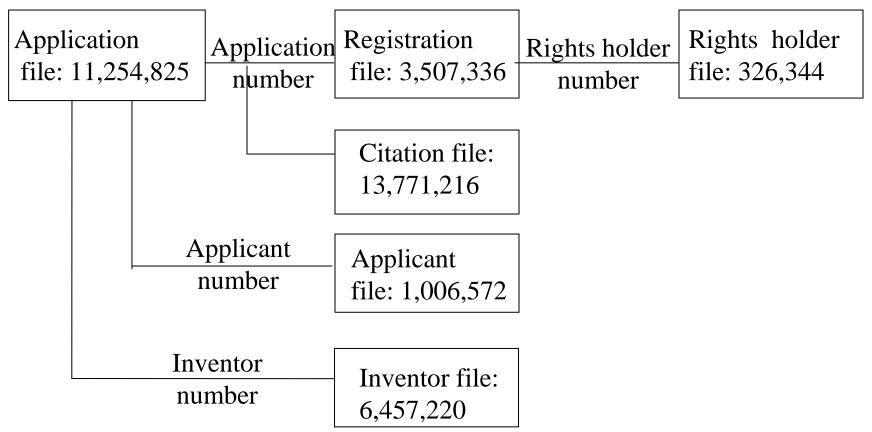
- Patent Databases (for academic researchers): PATSTAT, NBER Patent Database, IIP-Patent Database etc.
- Private data sources: Derwent-Thomson etc.

## IIP Patent Database for Japan

- Original Data Source; JPO Seiri hyojunka Data (publicly available version of JPO examiner's patent information system)
- Available at IIP website <u>http://www.iip.or.jp/e/index.html</u>
- All patents published until end of 2004, updated beta version is also available (until 2008 September publication)

## **IIP** Patent Dataset Structure

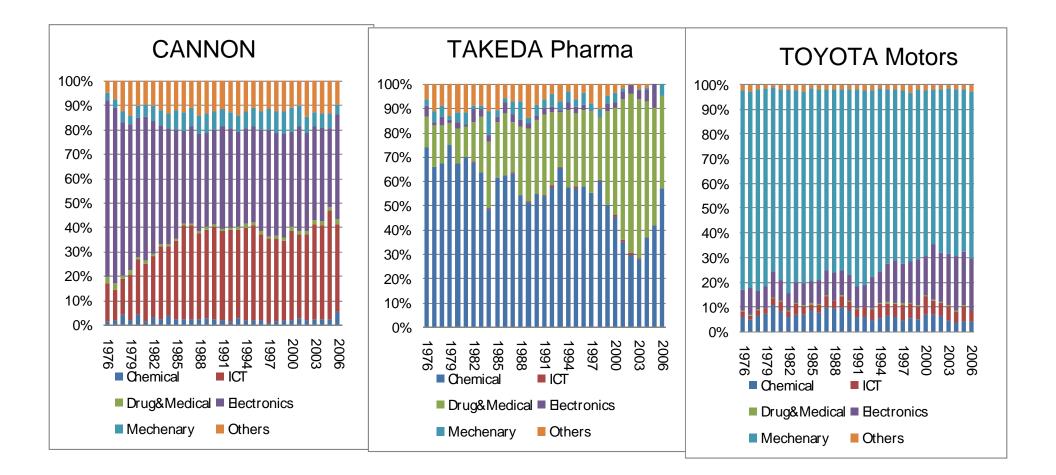
- IIP Patent Database (<u>http://www.iip.or.jp/patentdb/index.html</u>)
- Up to 2009 September publication data are available
- NBER Patent Data like public database using JPO patent publication information



## Patent database in the world

- NBER Patent Database (USPTO patents
  - <sup>o</sup> 1963-1999 registration patents (2,933,922
  - Updated version are available until 2006
  - <u>http://www.nber.org/patents/</u>
- EPO PATSTAT
  - Patent application information in the world (by EPO)
  - 40 mil applications for 70 countries
  - But depends on voluntary PO reporting

### Changes in technology Portfolio



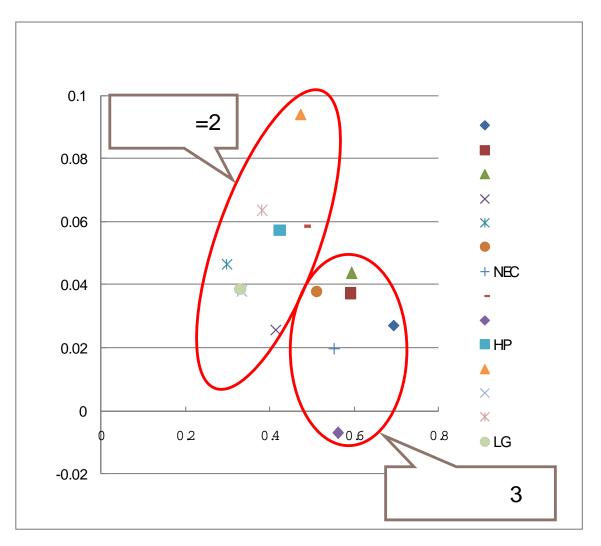
## Industry-Technology Concordance Table

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E04	8.3%	4.4%	64%	5.2%	2.8%	14 5%	10.4%	9.9%	1.7%	23%	42%	0.3%	15 5%
E05	1.3%	0.1%	18%	80.0	71%	13 D%	7.4%	10.8%	5.3%	30 D%	18%	0.9%	16.7%
E06	1.6%	0.5%	39%	0.4%	0.8%	50 ɓ%	4.9%	81%	1.4%	12%	0 5%	0 2%	21 5%
E21	1.7%	3.0%	2D%	5.9%	4 3%	1.7%	45.0%	2.5%	88.0	12%	2.6%	1 D%	19%
F01	0.7%	0.2%	12%	3.8%	80 0	0.3%	16.0%	5.1%	4.4%	60.2%	2.4%	0 2%	0 5%
F02	0.7%	0.2%	01%	16%	0 2%	0 2%	16.1%	11.4%	4.2%	619%	12%	0 2%	0 2%
F03	1.0%	1.4%	02%	2.3%	21%	21%	23.7%	17.2%	3.5%	126%	5.3%	5 2%	1 D%
F04	88.0	0.1%	06%	2.4%	0 9%	13%	30.5%	30.9%	6.9%	121%	3 2%	2 2%	15%
F15	0.4%	1.9%	02%	10%	0.7%	41%	59.8%	6.0%	1.8%	98%	5 2%	18%	2 9%
F16	4.6%	6.5%	15%	2.9%	16%	5 D%	23.8%	5.7%	4.3%	315%	13%	1.4%	19%
F17	2.3%	0.2%	08%	6 5%	11%	19%	28.3%	5.4%	3.4%	23%	3 D%	3 D%	0 9%
F21	1.2%	0.2%	07%	01%	0.3%	0 4%	4.7%	32.3%	4.6%	475%	11%	1 D%	5 D%
F22	1.5%		09%	5 <i>9</i> %	80 0	1.7%	35.3%	11.8%	1.9%	08%	7 ወ%	11%	19%
F23	0.6%	80.0	21%	5.7%	0 9%	36.7%	11.1%	11.5%	5.6%	31%	14%	0 2%	2 3%
F24	68.0	0.3%	13%	0.4%	80 0	24 9%	9.8%	39.6%	10.4%	11%	0.3%	01%	3 D%
F25	0.1%	80.0	01%	10%	0.8%	1 2%	23.8%	37.0%	16.1%	5.7%	0 5%	0.2%	10%
F26	0.9%	0.1%	13%	2.2%	11%	4 5%	24.1%	12.9%	6.9%	31%	09%	0.7%	2 2%
F27	1.2%	0.2%	5D%	37.3%	3 6%	0 5%	5.6%	10.3%	81%	38%	0 5%	8a 0	61%
F28	1.7%	88.0	11%	3.6%	18 6%	21%	14.0%	14.2%	8.3%	20.2%	12%	01%	80.0
F41	2.8%	80.0	01%	8.3%	80 0	5.7%	29.5%	20.3%	9.4%	49%	5 D%	18%	3 6%
F42	0.2%	80.0		2.6%	11%	19%	18.2%	11.1%	7.5%	14.4%	101%	2 D%	5 6%
G01	88.0	1.2%	09%	2.D%	3 D%	0.8%	14.1%	25.3%	13.7%	868	17%	12.7%	1.6%
G02	2.6%	11%	17%	0.2%	7 2%	0.3%	20.3%	6.9%	30.6%	2.7%	0 2%	13.4%	0 5%
G03	1.5%	1.0%	03%	0.2%	0 5%	8Q 0	41.5%	10.0%	10.5%	800	8Q 0	17 D%	0 2%
G04	0.2%	80.0	01%	8Q.0	8Q 0	0 5%	3.8%	71%	62.5%	15%	01%	21 D%	13%
G 05	0.5%	0.2%	01%	11%	68 0	16%	27.5%	35.0%	14.9%	72%	2.3%	2.4%	18%
G06	0.3%	0.1%	01%	0.7%	0 2%	0.3%	25.5%	17.6%	29.9%	32%	0.3%	9 D%	1.7%
G07	0.5%	0.1%	8Q0	10%	8Q 0	0 5%	46.2%	20.6%	22.3%	32%	0.4%	0.8%	0.4%
G08	0.4%	0.3%	01%	0.5%	1.7%	8 <u>3</u> 0	7.3%	42.5%	16.7%		15%	2.6%	11 1%
G 09	1.3%	0.3%	03%	01%	0.9%	3 3%	10.9%	13.0%	38.6%	112%	15%	5.3%	4 б%
G10	0.1%	0.2%	03%	8Q 0	8Q 0	01%	2.6%	5.8%	35.8%	14%	01%	11%	42.8%
G11	6.6%	80.0	02%	0.8%	0 2%	01%	15.8%	19.8%	46.6%	0.7%	8Q 0	7 2%	0.9%
G12	0.2%	3.0%	22%	0.4%	3.8%	1 2%	28.2%	17.0%	7.9%	808 400	14%	15 2%	0.2%
G 21	0.2%	0.2%	21%	2.7%	13 3%	0.7%	17.8%	19.1%	8.7%	4.7%	3.2%	5.8%	80.0
H 01	1.4%	80.0	12%	13%	5.4%	0.3%	11.2%	23.5%	32.1%	52%	0.4%	3.6%	21%
H 02	1.3%	86.0	02%	%a 0	4 8%	0 5%	11.5%	37.6%	20.4%	81%	14%	2 D%	31%
H 03	\$0.0	01%	00%	0.2%	0.6%	01%	9.4%	27.5%	48.3%	43%	0.4%	21%	19%
Н04	0.2%	0.1%	01%	01%	0.7%	0.4%	23.4%	21.9%	33.3%	19%	01%	10 D%	2.2%
Н05	2.0%	6%	25%	1.4%	2.7%	%a 0	7.5%	29 <i>.</i> 9%	28 <i>.</i> 9%	49%	0.4%	2.6%	4.7%

Industrial Classification

11

### Technology Portfolio and Performance (Electronics firms)



From Motohashi Laboratory, March 2009

## Comparison of Cannon and Hitachi DNA micro-alley innovation

• First, identify core scientist of both firms from inventor information of patent datasets.

CANNON Core Scientists

C-1	71	56.3%	
C-2	53	421%	
C -3	37	29.4%	

Hitach	Core	<b>Scientists</b>
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H-1	46	67.6%	
H-2	41	60.3%	
H-3	29	42.6%	
H-4	21	30,9%	
H-5	20	29.4%	
Н-б	19	27 9%	
H-7	18	26 5%	
H-8	18	26.5%	
H-9	16	23.5%	
H-10	16	23.5%	
H-11	14	20.6%	
H-12	14	20.6%	13
H-13	14	20.6%	

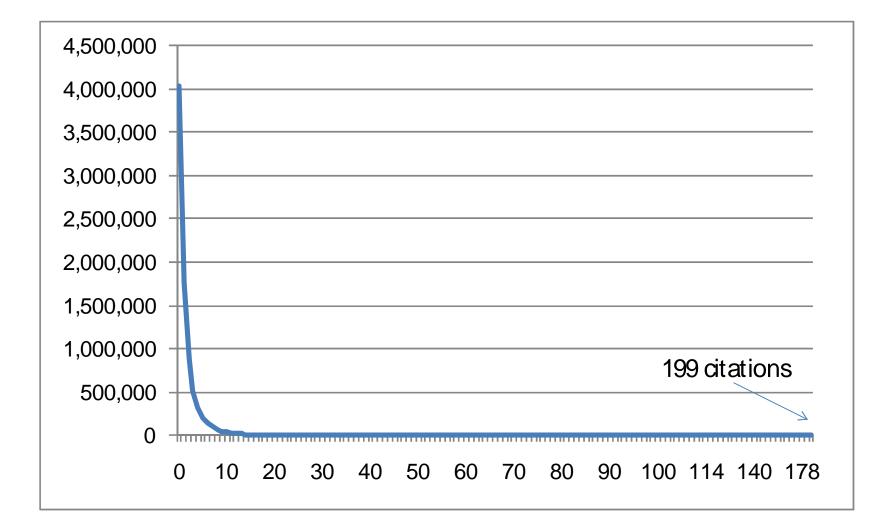
(Ota and Motohashi,2010

#### Changes of collaborative relationship of inventors Hitachi Software 📌 H-2 CANNON C-1 ∎́н-з DNAf ⅉ௺⊽ <sup>●</sup>Œ¤†Š DNAfjby ŒQnå DNAfjjøv H-2 ο Æø.∙ fXfef€fZf< H-9 H-10 c ∞ + Š DNAf j¥ Ω ø † ú§ðiq е H-4 C-2 "ú§ðiq H-13 H-12 MCS H C Z F f x f N 🖻 🖕 🕹 F f x f N DNAfjjøv Œ¤tŠ DNAf jøv DNAf jøv så DNA <u>f 16 ve o</u> • fXfef€ TS DNAf Jb ðq (F g DNAf jbv š H-13 f ⊈ *f,* fi " ĦсźF JÍNX ۳ðlq fx N NCZF • H-12 A-5 Èn c z F H-11 f x FN (Ota and Motohashi, 2010 < ã å "d M Æ

# Patent Quality Index

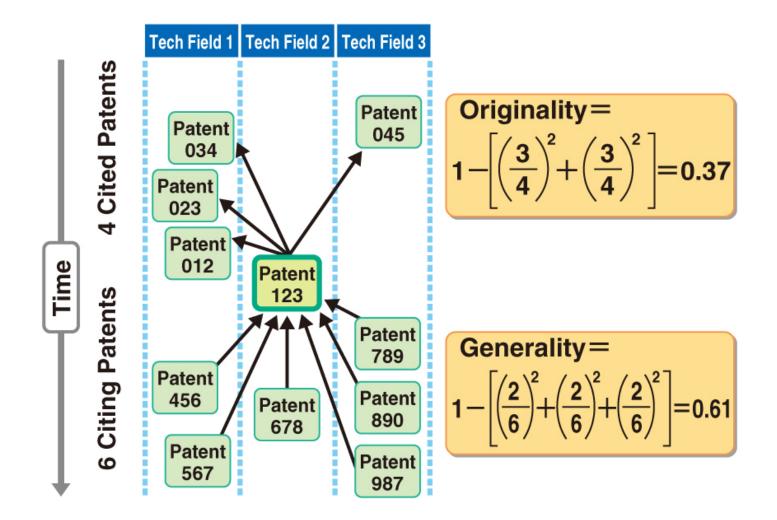
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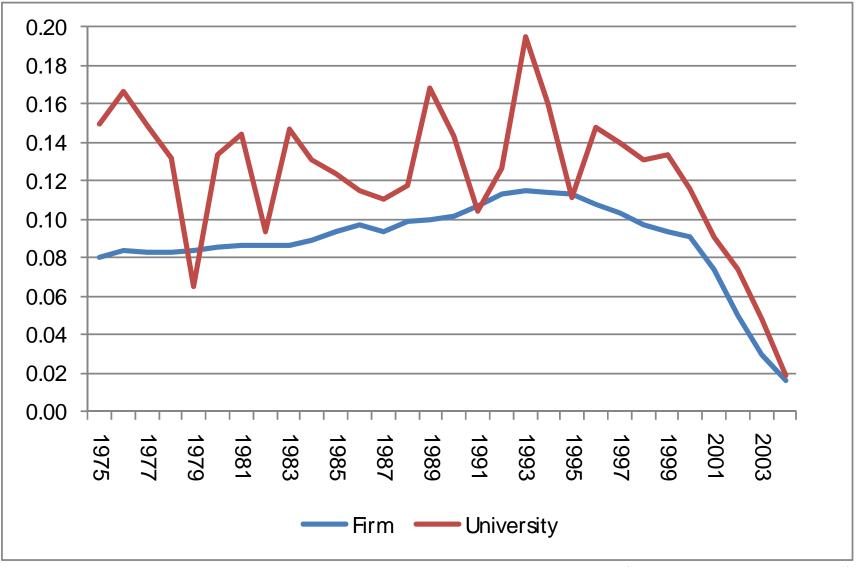


(IIP-Patent Database)

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## Comparing Generality Index



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