

WIPO



SCIT/SDWG/6/11

ORIGINAL: English

DATE: September 22, 2005

WORLD INTELLECTUAL PROPERTY ORGANIZATION

GENEVA

STANDING COMMITTEE ON INFORMATION TECHNOLOGIES

STANDARDS AND DOCUMENTATION WORKING GROUP

Sixth Session

Geneva, September 19 to 22, 2005

REPORT

adopted by the Working Group

INTRODUCTION

1. The Standards and Documentation Working Group (SDWG) of the Standing Committee on Information Technologies (SCIT) held its sixth session from September 19 to 22, 2005.
2. The following Member States of WIPO and/or the Paris Union were represented at the session: Austria, Brazil, Bulgaria, Canada, China, Croatia, Egypt, Finland, France, Germany, Greece, Haiti, Indonesia, Ireland, Japan, Libyan Arab Jamahiriya, Latvia, Lebanon, Lithuania, Mexico, Namibia, Portugal, Republic of Korea, Republic of Moldova, Romania, Russian Federation, Spain, Sudan, Sweden, Switzerland, Thailand, The former Yugoslav Republic of Macedonia, Turkey, Ukraine, United Kingdom and the United States of America (36).
3. Representatives of the African Regional Intellectual Property Organization (ARIPO), the African Intellectual Property Organization (OAPI), the United Nations Industrial Development Organization (UNIDO), the Benelux Trademark Office (BBM), the European Patent Office (EPO), the Eurasian Patent Organization (EAPO), the European Community (EC), the League of Arab States (LAS) and the Organization of the Islamic Conference (OIC) (9) took part in the session in a member capacity.
4. The Representative of the Patent Documentation Group (PDG) took part in the session in an observer capacity.

5. The list of participants appears as Annex I to this report.

Agenda Item 1: Opening of the Session

6. The session was opened by Mr. Francis Gurry, Deputy Director General who welcomed the participants on behalf of the Director General.

Agenda Item 2: Election of the Chair and Vice-Chairs

7. The Working Group unanimously elected Mr. Marc Krier (EPO) as Chair and Mrs. Valeria Maximova (Russian Federation) and Mrs. Karen Ryan (Ireland) as Vice-Chairs.
8. Mr. Neil Wilson, Director and Chief Information Officer, acted as Secretary of the session.

Agenda Item 3: Adoption of the Agenda

9. The Secretariat proposed the addition of a new agenda item No. 15 and the renumbering of the subsequent items. The new agenda item No. 15 would read:

“Exchange of Information: Status of XML standards developed by the TM-XML Working Group of the Office for Harmonization in the Internal Market (OHIM)
Oral presentation by the OHIM”.

10. The revised agenda was unanimously adopted by the SDWG and appears as Annex II to this report.

DISCUSSIONS, CONCLUSIONS AND DECISIONS

11. As decided by the Governing Bodies of WIPO at their tenth series of meetings held from September 24 to October 2, 1979 (see document AB/X/32, paragraphs 51 and 52), the report of this session reflects only the conclusions of the SDWG (decisions, recommendations, opinions, etc.) and does not, in particular, reflect the statements made by any participant, except where a reservation in relation to any specific conclusion of the SDWG was expressed or repeated after the conclusion was reached.

Agenda Item 4: Revision of WIPO Standard ST.10/C (Task No. 30)

12. Discussions were based on document SCIT/SDWG/6/2.
13. The Delegation of Japan, as Task Leader, gave an oral report concerning the work done by the ST.10/C Task Force, including a draft proposal for the revision of WIPO Standard ST.13. This proposal refers to an application number prototype format that could be used for all modalities of industrial property rights. This prototype format is still under consideration

by the ST.10/C Task Force and is presented in paragraphs 32 to 36 of Annex II to document SCIT/SDWG/6/2. In order to complete the discussions concerning the said format of application numbers, the Task Leader requested approval of two more actions, namely Step 3 and Step 4, which are documented in paragraph 37 of the above-mentioned Annex II.

14. Concerning the inclusion of trademarks as part of industrial property rights contained in the proposal for the revision of WIPO Standard ST.13, it was agreed that this matter be referred to the Trademark Standards Task Force that would report back to the SDWG at the next session. The work of the ST10/C Task Force should continue in the meantime.

15. The SDWG supported the concept of using digits-only for the industrial property rights in the prototype format.

16. The Working Group noted that industrial property offices had until December 15, 2005, to provide further comments, to the Secretariat, on document SCIT/SDWG/6/2, and information to update the Appendix to WIPO Standard ST.10/C.

17. The offer from the Representative of the PDG to serve as a “user group” to add comments from the European commercial sector was accepted by the Working Group.

18. The SDWG approved the proposal concerning Step 3 and Step 4 referred to in paragraph 11 of document SCIT/SDWG/6/2.

19. The Delegation of the United States of America posed a question concerning the circular to be issued by the Secretariat for surveying the offices to determine the compliance with the provisions of paragraph 12(a) in WIPO Standard ST.10/C (related to priority document numbers in filing notification and certificates of priority). The Secretariat informed the SDWG that it intended to issue such a circular, with a request for samples from each office, before the end of 2005.

Agenda Item 5: Proposal by the WIPO Handbook Task Force to review the publication and maintenance procedures of the WIPO Handbook on Industrial Property Information and Documentation (Task No. 26)

20. The Secretariat, as Task Leader, presented an oral report on the progress made by the WIPO Handbook Task Force with respect to the new publication and maintenance procedures of the WIPO Handbook. The Task Force proposal is reproduced in the Annex to document SCIT/SDWG/6/3.

21. The Task Leader reminded the SDWG that at its fourth session in January 2004, the WIPO Handbook Task Force was given a mandate to prepare a two-fold proposal to (1), renew the contents of the WIPO Handbook and (2), to revise its publication and maintenance procedures.

22. In summarizing document SCIT/SDWG/6/3, the Task Leader presented the proposal to move the WIPO Handbook from a paper-based, somewhat static publication model, to a more dynamic electronic publication model. More specifically, the Task Force proposed:

(a) The creation of a database to store and manage the contents of the WIPO handbook.

(b) The development of a web interface, free of charge to users and accessible on the WIPO Official website.

(c) The adoption of a workflow to allow for greater flexibility to industrial property offices for updating their survey information.

23. The WIPO Handbook website would be divided into a Public Area and a Restricted Area. Through the restricted area of the website the industrial property offices would be able to edit, update, add/remove and submit survey information to the International Bureau in accordance with specific access rights and review periods.

24. The SDWG approved the proposal concerning the publication and maintenance procedures of the WIPO Handbook as contained in the Annex to document SCIT/SDWG/6/3.

25. The Delegation of Canada requested project management and budgeting information concerning enhancements required by the current Annual Technical Report system to support this activity, as these activities had previously been approved by the SCIT Plenary.

26. The Secretariat informed the SDWG that work was underway on the preparation of a new publication, or republication, of the standards as described in the new content of the WIPO Handbook that was adopted by the SDWG at the last session.

27. It was expected to present some parts of the WIPO Handbook, in English, to the Renewal of the WIPO Handbook Task Force before the end of 2005.

28. Therefore, the Secretariat requested the SDWG to maintain the Renewal of the WIPO Handbook Task Force. The SDWG agreed to this request.

29. The Secretariat also informed the SDWG that only following the approval of the Draft Program and Budget 2006-2007 would the exact resource availability be known but the intention was to provide human and financial resources for this initiative as well as to convene a plenary session of the SCIT during the next biennia.

30. Following this discussion the SDWG emphasized the importance of allocating human and financial resources to these activities and that this matter needed to be discussed within the Secretariat.

Agenda Item 6: Revision of WIPO Standard ST.3 (Task No. 33)

31. Discussions were based on document SCIT/SDWG/6/4.

32. The Secretariat informed the SDWG that after the preparation of the said document the Community Plant Variety Office (CPVO) sent a letter to WIPO supporting the adoption of code "QZ" to represent the CPVO in WIPO Standard ST.3 despite not being very enthusiastic about this code. A copy of the letter was distributed to the SDWG.

33. The Secretariat presented an oral proposal to replace, for legal reasons, the expression “European Union” with “European Community” in paragraph 6(b) of document SCIT/SDWG/6/4.

34. The SDWG adopted the following revision of WIPO Standard ST.3:

(a) Paragraph 10 should read:

“10. The letter combinations AA, QM to QY, XA to XZ and ZZ are available for individual use and for provisional codes.”

(b) to add the code “QZ” – to represent the name of the “Community Plant Variety Office (European Community) (CPVO)” – to WIPO Standard ST.3, Annex A, Sections 1 and 2.

(c) replacing the word “Industrial” with “Intellectual” in the entries of ARIPO in Annex A, Sections 1 and 2, but retaining the same two letter code “AP” to represent this Organization.

35. The Representative of the EPO informed the SDWG that they have used the code “XP” internally for non-patent literature and that this internal code now appears on their website.

36. The SDWG noted the situation concerning the “XP” code.

Agenda Item 7: Proposal by the Electronic Data Processing and Exchange Standards (EDPES) Task Force on the revision of WIPO Standard ST.36

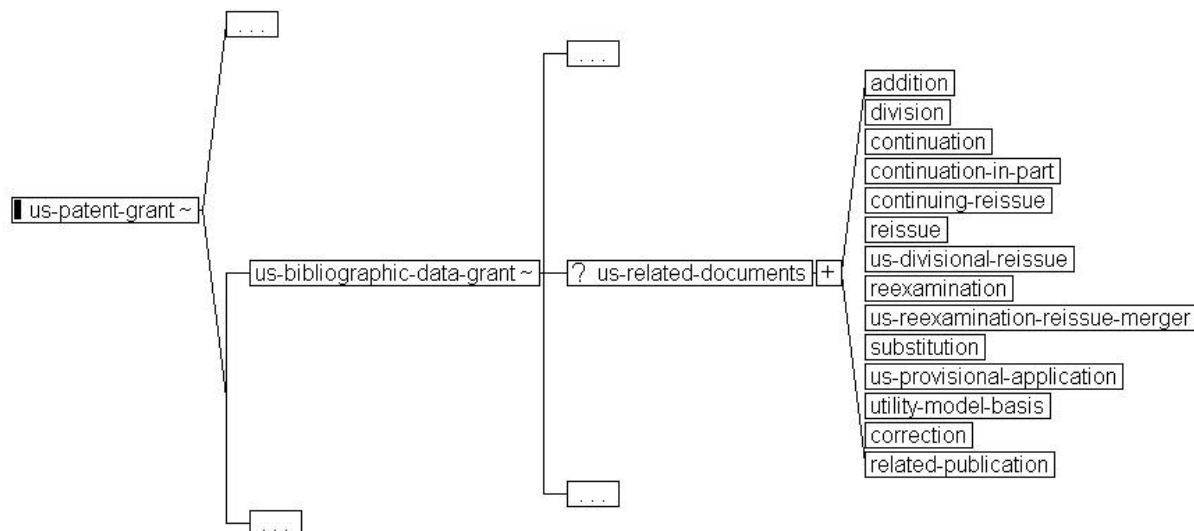
37. In introducing this agenda item the Secretariat informed the SDWG that, at its last session, the SDWG had adopted WIPO Standard ST.36, but a paragraph had been inadvertently omitted in the original proposal. The Trilateral WIPO Standards Working Group has therefore proposed a revision to WIPO Standard ST.36 as indicated in the Annex to SCIT/SDWG/6/5.

38. The Delegation of Germany suggested that the practice of mixing common elements and office specific data was already possible by using namespaces as detailed in paragraph 13(c) which would make the change unnecessary.

39. The Delegation of the United States of America responded that the change was preferred by the United States Patent and Trademark Office in accordance with its current practice and that of the Japan Patent Office (JPO), and would facilitate the transition of offices to WIPO Standard ST.36.

40. Following discussion the SDWG adopted the insertion of the following subparagraph (d) in paragraph 13 of WIPO Standard ST.36:

“(d) Mix office-specific elements with international common elements. For example, in the publishing DTD fragment below, office-specific elements are added to the content model of the `related-documents` element. For further details, see *DTD Conventions* below.”



Agenda Item 8: Oral progress report by the Task Leader of the P-Docs Task Force (Task No. 15)

41. The Secretariat, as Task Leader, gave an oral progress report on work undertaken by the P-Docs Task Force.

42. The Task Force employed a two step approach:

(a) Firstly, to attain consensus on the general framework for elaborating standards and procedures relating to the provision (including certification) and exchange in electronic form of priority documents.

(b) Secondly, to elaborate such standards and procedures once consensus has been attained.

43. The Task Force reviewed a draft paper on general framework prepared by the Secretariat. While, there appeared to be broad agreement on the general framework there remained a need for further discussion of several key issues before the Task Force could complete the first step outlined above.

44. The SDWG noted the progress report by the Task Force Leader.

Agenda Item 9: Oral progress report by the Task Leader of the Correction Procedures Task Force (Task No. 35)

45. The Task Leader gave an oral report to the Working Group and indicated that a summary of replies to the respective questionnaire had been prepared and distributed to the

Task Force members. The provisional conclusion was that Standard ST.50 was not widely implemented by offices, but many offices plan its use once their internal systems have been upgraded. The tentative conclusions will be reviewed by the Task Force members and a final report by the Task Force will be prepared for the seventh session of the SDWG which, following some discussion noted the progress of the Task Force.

46. The Representative of the PDG proposed that the survey of correction procedures, undertaken by the Task Force, would prove to be a useful benchmark and that the process should be repeated every two or three years as a means of measuring progress.

47. The Delegation of the United States of America felt that the survey of correction procedures could be of interest to other offices and proposed that this survey be included in the WIPO Handbook.

48. The SDWG agreed to include the survey carried out within the framework of Task No. 35 in the WIPO Handbook once the Task Force had presented its final version to the SDWG.

Agenda Item 10: Oral progress report by the Task Leader of the Citation Practices Task Force (Task No.36)

49. The Task Leader gave an oral report to the Working Group and pointed out that a summary of replies to the respective questionnaire and a draft proposal had been prepared and distributed to the Task Force members. The draft proposal included additional examples to be added to Standards ST.14 and ST.36. Information was exchanged on difficulties encountered with long paragraphs and embedded images inside the documents. A final proposal from the Task Force was expected to be ready for the seventh session of the SDWG.

Agenda Item 11: Progress report by the European Patent Office on making accessible, through the EPIDOS Patent Register Service, information about the entry into the national (regional) phase of published PCT International Applications (Task No. 23)

50. Discussions were based on document SCIT/SDWG/6/6.

51. The Representative of the EPO expressed the Office's willingness to continue collaboration with other offices and to start sharing national phase entry data with WIPO. The Secretariat thanked the EPO for their work on this matter which was of great importance to the patent system as a whole.

52. The Secretariat announced that WIPO had successfully collected national phase data from various receiving offices and designated offices, that had been exchanged with the International Bureau as part of the PCT process. Test data from 11 countries had been received and WIPO would continue to encourage transmission of national phase entry data. WIPO was also looking for non-entry data as requested by the PDG. WIPO felt that EPO's INPADOC system was very important and offered to share this data with the EPO to ensure both the EPO and WIPO have complete sets of national phase entry data.

53. The Delegation of Spain stated that the Spanish Patent and Trademark Office had already provided data during September 2005 and would continue to provide this data on a regular basis.

54. The Delegation of the United States of America felt that a complete reload of their national phase entry data was advisable. The Delegation continued by informing the meeting that the date of entry information was available in Application Redbook ICE ST.36 XML data, which could be obtained by EPIDOS on a weekly basis. However, non-entry information was not available in a reliable form.

55. The Representative of the PDG congratulated WIPO, EPO and the other offices involved in this initiative and emphasized the progress that had been made since 1995. The Representative continued by renewing the thrust of their letter to WIPO requesting non-entry data. The Secretariat replied that withdrawal information could be made available and undertook to prepare a document concerning non-entry information.

56. In conclusion the Secretariat offered to supplement subsequent reports of the EPO on national phase entry data with an oral report on their own efforts to collect national phase data and indicated that, in order to minimize the burden on offices, it would seek to establish with the EPO a common data structure for the collection and exchange of national phase entry data.

57. The SDWG agreed that further discussion of this Task would be necessary in the future.

Agenda Item 12: Annual Technical Reports (ATRs) on Patent, Trademark and Industrial Design Information Activities (Task No. 24)

58. Discussions were based on document SCIT/SDWG/6/7.

59. Following a substantial discussion concerning the Annual Technical Reports the SDWG agreed to:

(a) leave current Task No. 24 and ATRs as they were for the time being, without any modification; and

(b) create a new Task Force which should clarify the objectives of the ATRs and the target users (including industrial property information providers and users). Once this has been achieved the Task Force should prepare a proposal to revise and update the current recommended contents of the ATRs.

60. The SDWG agreed that the Secretariat lead this Task Force and issue a circular for its creation.

61. The SDWG accepted the suggestion from the Delegation of the United States of America to undertake a detailed analysis of the ATR website's settings and usage with the aim of understanding current access patterns and improving the delivery of the information provided.

62. The Representative of the PDG offered to provide information concerning the expectations of users, should the PDG community be considered a target group.

Agenda Item 13: Revision of WIPO Standard ST.60 (Task No. 33/2)

63. Discussions were based on document SCIT/SDWG/6/8.

64. The SDWG noted the oral presentation given by the Leader of the ST.60 Task Force.

65. The SDWG adopted the revision of WIPO Standard ST.60 as reproduced in Annex III to this report.

66. Following discussions, the Delegations of Germany and the Russian Federation withdrew their proposals for a further revision of WIPO Standard ST.60 following information from the Representative of OHIM that such internal problems could be solved by the future XML standard for trademarks.

67. The SDWG decided that there was no need for additional revision of WIPO Standard ST.60 at this time.

Agenda Item 14: Oral progress report by the Task Force Leader of the Trademark Standards Task Force

68. The Task Force Leader gave an oral report and informed the SDWG that the additional questionnaire concerning formats for figurative elements of marks had been finalized and distributed to industrial property offices for completion. The Task Force intended to present an analysis of this survey at the next session of the SDWG.

Agenda Item 15: Exchange of Information: Status of XML standards developed by the TM-XML Working Group of the Office for Harmonization in the Internal Market (OHIM)

69. The SDWG noted, with gratitude, the presentation by the Representative of OHIM on the status of the XML standards being developed by the TM-XML Working Group of the OHIM. The presentation can be found on the area of WIPO's website relating to the sixth session of the SDWG (http://www.wipo.int/meetings/en/details.jsp?meeting_id=8822).

Agenda Item 16: Consideration of the SDWG Task List

70. Following a brief introduction by the Secretariat, the SDWG discussed the Tasks contained in Annex I to document SCIT/SDWG/6/9 and agreed to the following:

Task No. 7: To continue to be held in abeyance.

Task No. 17: That the new WIPO Standards ST.8 and ST.36 should be listed in the description of the Task. The SDWG requested the Electronic Data Processing and Exchange Standards Task Force (EDPES Task Force) to update section "Proposed Action with Time Frame" and refer it to in the Task description.

Task No. 19: That the title of the task change from “Elaborate a WIPO standard concerning making patent documents available on mixed-mode optical disks” to “Elaborate a WIPO standard concerning making patent documents available on mixed-mode media”. The SDWG also noted the announcement by the Representative of the EPO that version 5 of MIMOSA had been released with the addition of access to GTI V5 patent databases on many media, including CD-ROM, DVD, across local area networks or via the Internet. The SDWG requested the EDPES Task Force to update the section “Proposed Action with Time Frame” and refer it to the Task description.

Task No. 30: The Secretariat undertook to issue, before the end of 2005, the circular to offices in order to determine the compliance with the provisions of paragraph 12(a) in WIPO Standard ST.10/C related to priority document numbers in filing notification and certificates of priority. Offices will also be requested to provide samples of their filing notifications and certificates of priority.

Task No. 31: That the revision of all WIPO Standards which may require modification in view of the IPC Reform had been completed and that this task could now be removed from the SDWG Task List.

Task No. 32: The Delegation of Romania informed the SDWG that a letter had been sent by their Office to WIPO concerning the Electronic Inventory system. The Secretariat undertook to review the status of this task and report back to the SDWG.

Task No. 33/2: That the revision of WIPO Standard ST.60 had been completed and that this task could now be removed from the SDWG Task List.

Task No. 33/3: To establish this as a new Task.

71. The Secretariat agreed to update the task lists as appropriate.

Agenda Item 17: Schedule of activities

72. The Secretariat proposed May 29 to June 2, 2006, for the next session of the SDWG and indicated that February 25, 2006, would be the deadline for all documents relating to the next meeting to be received by the Secretariat.

73. The SDWG agreed to hold its seventh session from May 29 to June 2, 2006.

Meetings of the SDWG Task Forces

74. During this session of the SDWG, the following meetings of the SDWG Task Forces took place: the joint meeting of the Trademark Standards Task Force and the OHIM XML Working Group; the meeting of the Citation Practices Task Force; the meeting of the P-Docs

Task Force; the meeting of the Correction Procedures Task Force; and the meeting of the ST.10/C Task Force.

Retirement of Mr. Lesprit (France)

75. On the occasion of the last participation of Mr. Lesprit in a session of a SCIT body, the SDWG and the Secretariat took the opportunity to thank him for and pay tribute to his many years of attendance at the various meetings convened by the Secretariat of WIPO and to his outstanding contribution to international cooperation in the field of industrial property information and documentation, and expressed their best wishes for his happy retirement.

Agenda Item 18: Adoption of the report of the session

76. This report was adopted by the Standards and Documentation Working Group (SDWG) of the Standing Committee on Information Technologies (SCIT).

Agenda Item 19: Closing of the session

77. The meeting was closed following the adoption of the Report.

[Annexes follow]

ANNEXE I/ANNEX I

I. ÉTATS MEMBRES/MEMBER STATES

(dans l'ordre alphabétique des noms français des États)
(in the alphabetical order of the names in French of the States)

ALLEMAGNE/GERMANY

Konrad HOFFMANN, Patent Examiner, IT International Cooperation, German Patent and Trade Mark Office, Munich

Katja DAUBERT (Ms.), Section 2.5.3, IT Strategic Planning and International Cooperation, German Patent and Trade Mark Office, Munich

AUTRICHE/AUSTRIA

Katharina FASTENBAUER (Mrs.), Deputy Head, Technical Department 3A, Electrical Engineering and Computer Science, Austrian Patent Office, Vienna

BRÉSIL/BRAZIL

Ademir TARDELLI, Head, Dissemination, Documentation and Technological Information Center (CEDIN), National Institute of Industrial Property, Rio de Janeiro

BULGARIE/BULGARIA

Aglaida IGNATOVA (Mrs.), Head, Information and Documentation of Marks Section, Geographical Indications and Industrial Designs Section, Patent Office of the Republic of Bulgaria, Sofia

Ivanka TONEVA (Mrs.), Principal Expert, Information, Publication Activity and IP State Registers Department, Patent Office of the Republic of Bulgaria, Sofia

CANADA

John ROMBOUTS, Technical Architect, Informatics Services Branch, Canadian Intellectual Property Office (CIPO), Gatineau, Québec

CHINE/CHINA

NING Long, Deputy Director General, Information Technology Department, State Intellectual Property Office (SIPO), Beijing

WANG Shan (Ms.), Senior Staff Member, Computer Management Division, Trademark Office, State Administration for Industry and Commerce of the People's Republic of China, Beijing

CROATIE/CROATIA

Vesna CERNELC-MARJANOVIC (Mrs.), Assistant Director General, State Intellectual Property Office, Zagreb

Marija SEVER (Mrs.), Senior Advisor, Department of ITD, State Intellectual Property Office, Zagreb

ÉGYPTE/EGYPT

Shereen TALAAT (Mrs.), Information Specialist, Egyptian Patent Office, Academy of Scientific Research and Technology, Cairo

ESPAGNE/SPAIN

José Antonio Martín PÉREZ, Jefe de la Dependencia Informática, Oficina Española de Patentes y Marcas, Madrid

Francisco José MORENO GÓMEZ, Técnico Superior Examinador, Oficina Española de Patentes y Marcas, Madrid

ÉTATS-UNIS D'AMÉRIQUE/UNITED STATES OF AMERICA

Edmond RISHHELL, International Exchanges and Standards Specialist, United States Patent and Trademark Office, Washington, D.C.

Robert JOHNSON, Director, CIS International Projects, United States Patent and Trademark Office, Washington, D.C.

Gary CANNON, Director, Office of Trademark Program Control, United States Patent and Trademark Office, Washington, D.C.

Betty ANDREWS (Ms.), IT Specialist, United States Patent and Trademark Office, Washington, D.C.

EX-RÉPUBLIQUE YOUGOSLAVE DE MACÉDOINE/THE FORMER YUGOSLAV
REPUBLIC OF MACEDONIA

Dejan MILANOV, Network Administrator, Information Technology Unit, Industrial Property Protection Office (IPPO), Skopje

FÉDÉRATION DE RUSSIE/RUSSIAN FEDERATION

Olga SEROVA (Mrs.), Principal Specialist, International Relations Department, Federal Service for Intellectual Property, Patents and Trademarks (ROSPATENT), Moscow

Valeria MAKSIMOVA (Mrs.), Deputy Head Information, Resources Development Department, Federal Institute of Industrial Property (FIPS), Moscow

FINLANDE/FINLAND

Juha REKOLA, Head, Development Division, Patents and Innovations Line, National Board of Patents and Registration, Helsinki

FRANCE

Jean-François LESPRIT, chargé de mission, Institut national de la propriété industrielle, Paris

Bernard PINGLIER, chef du Service informatique, Institut national de la propriété industrielle, Paris

GRÈCE/GREECE

Evangelia SAVVA (Mrs.), Administrative Employee, Ministry of Development, General Secretariat of Commerce, Directorate of Commercial and Industrial Property, Athens

HAÏTI/HAITI

Jean-Claude PIERRE, chargé d'affaires, Mission permanente, Genève

INDONÉSIE/INDONESIA

Andy NOORSAMAN SOMMENG, Director of Information Technology, Directorate General of Intellectual Property Rights, Jakarta

IRLANDE/IRELAND

Karen RYAN (Mrs.), Patent Examiner, Patents Office, Kilkenny

JAMAHIRIYA ARABE LIBYENNE/LIBYAN ARAB JAMAHIRIYA

Abdulhakim ZANTUTI, Director, Research Center of Informatics, National Bureau for Research and Development, Tripoli

JAPON/JAPAN

Ken MORITSUGU, Deputy Director, Patent Information Promotion Policy Office, Patent Information Division, Japan Patent Office, Tokyo

LETTONIE/LATVIA

Zigrīds AUMEISTERS, Director, Patent Office of the Republic of Latvia, Riga

Uldis IESALNIEKS, Director, Information Technologies Department, Patent Office of the Republic of Latvia, Riga

LIBAN/LEBANON

Maya DAGHER (Mlle), premier secrétaire, Mission permanente, Genève

LITUANIE/LITHUANIA

Algirdas KRUPOVNICKAS, Head, Information and Information Technologies Division, State Patent Bureau of the Republic of Lithuania, Vilnius

Saulė DAUKUVIENĖ (Ms.), Deputy Head, Information and Information Technologies Division, Industrial Property Information, State Patent Bureau of the Republic of Lithuania, Vilnius

MEXIQUE/MEXICO

José Antonio CEJUDO HERNÁNDEZ, Coordinador Departamental de Producción de Sistemas, Instituto Mexicano de la Propiedad Industrial, México

NAMIBIE/NAMIBIA

Maria POGISHO (Mrs.), Chief Control Officer, Office of the Registrar of Intellectual Property, Ministry of Trade and Industry, Windhoek

PORTUGAL

Maria Luísa Sam Pedro ARAÚJO (Mme), chef de département, Institut national de la propriété industrielle (INPI), Lisbonne

RÉPUBLIQUE DE CORÉE/REPUBLIC OF KOREA

LEE Byung-Jae, Deputy Director, Information Planning Division, Information Policy Bureau, Korean Intellectual Property Office, Daejeon

CHUL Byun-Sung, Information Management Division, Korean Intellectual Property Office, Daejeon

PARK Jooik, First Secretary, Permanent Mission, Geneva

RÉPUBLIQUE DE MOLDOVA/REPUBLIC OF MOLDOVA

Iurie MÍNDRESCU, Deputy Director, Informatics and Logistics Department, State Agency on Intellectual Property of the Republic of Moldova (AGEPI), Kishinev

ROUMANIE/ROMANIA

Cristina-Maria BARARU (Ms.), Head, Publishing Division, State Office for Inventions and Trademarks (OSIM), Bucharest

Eugenia NICOLAE (Ms.), Expert, IT Division, State Office for Inventions and Trademarks (OSIM), Bucharest

Eugenia OPRESCU (Ms.), Expert, Policy Marketing Division, State Office for Inventions and Trademarks (OSIM), Bucharest

ROYAUME-UNI/UNITED KINGDOM

Geoff COURT, Senior Classification and Documentation Manager, The Patent Office, Newport

SOUDAN/SUDAN

Mohammed ABDELAZEEM, Registrar General of Intellectual Property, Ministry of Justice, Khartoum

Wafa MORGANI (Mrs.), Legal Advisor, Office of the Registrar General of Intellectual Property, Ministry of Justice, Khartoum

SUÈDE/SWEDEN

Leif STOLT, Process Manager, Patent Information, Swedish Patent and Registration Office, Stockholm

Gunnar LINDBOM, Unit Manager, Administration and IT-Controller, Design and Trademark Department, Swedish Patent and Registration Office, Söderhamn

SUISSE/SWITZERLAND

Matthias GUENTER, Head IT, Swiss Federal Institute of Intellectual Property, Berne

THAÏLANDE/THAILAND

Viriya MONGKOLVEERAPAN, Internal Auditor, Ministry of Information and Communication Technology, Bangkok

Songporn KOMOLSURADEJ (Mrs.), Senior Policy and Planning Analyst, Ministry of Information and Communication Technology, Bangkok

Suvanna KAIKRITKHONGBUN (Mrs.), Senior Policy and Planning Analyst, Ministry of Information and Communication Technology, Bangkok

Nipapan SOOKSIRI (Mrs.), Policy and Planning Analyst, Ministry of Information and Communication Technology, Bangkok

Manasawee ACHARIYAWONG (Ms.), Policy and Planning Analyst, Ministry of Information and Communication Technology, Bangkok

TURQUIE/TURKEY

Aysun AL TUNKAYNAK (Ms.), Patent Examiner, Information Documentation and IT Department, Turkish Patent Institute, Ankara

UKRAINE

Galyna DOBRYNINA (Ms.), Deputy Director Assistant, Ukrainian Industrial Property Institute, State Department of Intellectual Property, Kyiv

Svitlana KUSA (Ms.), Head, Patent Documentation and Standardization Division, Ukrainian Industrial Property Institute, State Department of Intellectual Property, Kyiv

II. ORGANISATIONS INTERGOUVERNEMENTALES/
INTERGOVERNMENTAL ORGANIZATIONS

ORGANISATION RÉGIONALE AFRICAINE DE LA PROPRIÉTÉ INTELLECTUELLE
(ARIPO)/AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION
(ARIPO)

Gregory SADYALUNDA, Systems Administrator, Harare

ORGANISATION AFRICAINE DE LA PROPRIÉTÉ INTELLECTUELLE
(OAPI)/AFRICAN INTELLECTUAL PROPERTY ORGANISATION (OAPI)

Hamidou KONE, chef du Service informatique et statistique, Yaoundé

Laoubara Nassiyo MBAÏOUNDAKOM, chef du Service de la publication et de la documentation, Yaoundé

ORGANISATION DES NATIONS UNIES POUR LE DÉVELOPPEMENT INDUSTRIEL
(ONUDI)/UNITED NATIONS INDUSTRIAL DEVELOPMENT ORGANIZATION
(UNIDO)

Victor HINOJOSA, Senior Liaison Officer, UNIDO Office, Geneva

Joel TOWARA, UNIDO Office, Geneva

BUREAU BENELUX DES MARQUES (BBM)/BENELUX TRADEMARK OFFICE (BBM)

Jean-Marie PUTZ, IT Manager, The Hague

OFFICE EUROPÉEN DES BREVETS (OEB)/EUROPEAN PATENT OFFICE (EPO)

Miguel ALBRECHT, Director, Rijswijk

Marc KRIER, Director, Applied Research and Development, Rijswijk

Alfred WENZEL, Publications, Vienna Sub-Office, Vienna

Keri ROWLES, Publications, Vienna Sub-Office, Vienna

ORGANISATION EURASIENNE DES BREVETS (OEAB)/EURASIAN PATENT ORGANIZATION (EAPO)

Andrey SEKRETOV, Chief Specialist, Information and Search Systems Department, Eurasian Patent Organization, Moscow

COMMUNAUTÉ EUROPÉENNE (CE)/EUROPEAN COMMUNITY (EC)

Alexandre TRAN, IT Architect, Information Technologies and Facilities Management Department, Office for Harmonization in the Internal Market (Trade Marks and Designs) (OHIM), Alicante

Jesús ROMERO FERNÁNDEZ, Relations with IP Offices, General Affairs and External Relations Department, Office for Harmonization in the Internal Market (Trade Marks and Designs) (OHIM), Alicante

LIGUE DES ÉTATS ARABES (LEA)/LEAGUE OF ARAB STATES (LAS)

Osman EL HAJJÉ, conseiller, Délégation permanente, Genève

ORGANISATION DE LA CONFÉRENCE ISLAMIQUE (OCI)/ORGANIZATION OF THE ISLAMIC CONFERENCE (OIC)

Mohammed Amine JERRARI, ministre conseiller, Genève

III. ORGANISATION NON GOUVERNEMENTALE
NON-GOVERNMENTAL ORGANIZATION

Groupe de documentation sur les brevets/(PDG)/Patent Documentation Group (PDG):
Peter KALLAS, Senior Information Professional, BASF AG, Ludwigshafen

IV. BUREAU/OFFICERS

Président/Chair:	Marc KRIER (EPO/OEB)
Vice-présidents/Vice-Chairs:	Valeria MAKSIMOVA (Mrs.), (Fédération de Russie/Russian Federation) Karen RYAN (Mrs.) (Irlande/Ireland)
Secrétaire/Secretary:	Neil WILSON (OMPI/WIPO)

V. BUREAU INTERNATIONAL DE L'ORGANISATION MONDIALE
DE LA PROPRIÉTÉ INTELLECTUELLE (OMPI)/
INTERNATIONAL BUREAU OF THE
WORLD INTELLECTUAL PROPERTY ORGANIZATION (WIPO)

Francis GURRY (vice-directeur général/Deputy Director General)

Division des services informatiques/Information Technology (IT) Division: Neil WILSON (Directeur des services informatiques/Director and Chief Information Officer); Colin BUFFAM (directeur adjoint, Section de l'appui au programme informatique/Deputy Director, IT Program Support Section); Jim FULLTON (conseiller principal, Bureau du directeur des services informatiques/Senior Counsellor, Office of the Chief Information Officer); Roger HOLBERTON (chef, Section des applications relatives aux opérations/Business Applications Section)

Division de l'information en matière de brevets, de la classification et des normes relatives à la propriété industrielle/Patent Information, Classifications and IP Standards Division: Mikhail MAKAROV (directeur par intérim/Acting Director); Angel LOPEZ SOLANAS (chef, section des normes et de la normalisation/Head, Standards and Documentation Section)

Division des systèmes informatiques du PCT/PCT Information Systems Division: Karl KALEJS (chef, Section des opérations et de l'appui/Head, Operations and Support Section); Peter WARING (analyste principal, Section de la recherche-développement/Senior Analyst, Research and Development Section)

Bureau du PCT (Traité de coopération en matière de brevets)/Office of the PCT (Patent Cooperation Treaty): David Muls (directeur par intérim, Division des opérations du PCT/Acting Director, PCT Operations Division)

Secteur PCT et brevets, Centre d'arbitrage et de médiation et Questions mondiales de propriété intellectuelle/PCT and Patents, Arbitration and Mediation Center and Global Intellectual Property Issues Sector: William MEREDITH (chef, Section des statistiques de propriété industrielle/Head, IP Statistics Section)

Service des achats et des contrats/Procurement and Contracts Service: Sabina PINZAN (Ms.) (administratrice principale aux achats/Senior Procurement Officer)

[L'annexe II suit/Annex II follows]

ANNEX II

AGENDA

1. Opening of the session
2. Election of the Chair and two Vice-Chairs
3. Adoption of the agenda
4. Revision of WIPO Standard ST.10/C (Task No. 30)
 - (a) Oral progress report by the Task Leader of the ST.10/C Task Force
 - (b) Report of the ST.10/C Task Force on the study on the numbering of applications for industrial property rights
See document SCIT/SDWG/6/2.
5. Proposal by the WIPO Handbook Task Force to review the publication and maintenance procedures of the *WIPO Handbook on Industrial Property Information and Documentation* (Task No. 26)
See document SCIT/SDWG/6/3.
6. Revision of WIPO Standard ST.3 (Task No. 33)
See document SCIT/SDWG/6/4.
7. Proposal by the Electronic Data Processing and Exchange Standards (EDPES) Task Force on the revision of WIPO Standard ST.36
See document SCIT/SDWG/6/5.
8. Oral progress report by the Task Leader of the P-Docs Task Force (Task No. 15)
9. Oral progress report by the Task Leader of the Correction Procedures Task Force (Task No. 35)
10. Oral progress report by the Task Leader of the Citation Practices Task Force (Task No.36)
11. Progress report by the European Patent Office on making accessible, through the EPIDOS Patent Register Service, information about the entry into the national (regional) phase of published PCT International Applications (Task No. 23)
See document SCIT/SDWG/6/6.

12. Annual Technical Reports (ATRs) on Patent, Trademark and Industrial Design Information Activities (Task No. 24)
See document SCIT/SDWG/6/7.
13. Revision of WIPO Standard ST.60 (Task No. 33/2)
 - (a) Oral progress report by the Task Leader of the ST.60 Task Force
 - (b) Proposal by the ST.60 Task Force on the revision of WIPO Standard ST.60
See document SCIT/SDWG/6/8.
14. Oral progress report by the Task Force Leader of the Trademark Standards Task Force
15. Exchange of Information: Status of XML standards developed by the TM-XML Working Group of the Office for Harmonization in the Internal Market (OHIM)
Oral presentation by the OHIM
16. Consideration of the SDWG Task List
See document SCIT/SDWG/6/9.
17. Schedule of activities
See document SCIT/SDWG/6/10.
18. Adoption of the report of the session
19. Closing of the session

[Annex III follows]

ANNEX III

STANDARD ST.60

RECOMMENDATION CONCERNING BIBLIOGRAPHIC DATA RELATING TO MARKS

(Identification and minimum required)

*Revision adopted by the SCIT Standards and Documentation Working Group
at its sixth session on September 22, 2005*

INTRODUCTION

1. This recommendation is aimed at improving the access to information relating to marks in general and to the bibliographic content of (trademark) gazettes and certificates in particular.
2. This recommendation provides for codes whereby the various bibliographic data relating to marks, e.g., as given in (trademark) gazettes or certificates, can be identified without knowledge of the language used and the industrial property laws applied.
3. This recommendation further indicates the bibliographic data which as a *minimum* should be published in (trademark) gazettes.

DEFINITIONS

4. For the purpose of this recommendation the expression:
 - (a) "mark" means a trademark, a service mark or another type of distinguishing sign according to the definition of the mark in the legislation concerned, including but not limited to collective marks, certification marks or guarantee marks;
 - (b) "certificate" means the official document which is delivered to a mark owner certifying that his/her mark has been registered by the Office of the country/organization in question, or that such registration has been renewed or amended (this definition also covers "certificates" or "registry extracts" delivered by the Office, e.g., for the purposes of proceedings in court);
 - (c) "gazette" means an official publication containing announcements relating to marks and made in accordance with requirements under national industrial property laws or international industrial property conventions or treaties;
 - (d) "entry in a gazette" means a comprehensive announcement, including bibliographic data, made in a gazette regarding an application for the registration of a mark or a registration of a mark;
 - (e) "INID" is an acronym for "Internationally agreed Numbers for the Identification of (bibliographic) Data."

REFERENCES

5. The following WIPO Standards are of fundamental importance to this Standard:

WIPO Standard ST.2	Standard Manner for Designating Calendar Dates by Using the Gregorian Calendar;
WIPO Standard ST.3	Recommended Standard on Two-Letter Codes for the Representation of States, Other Entities and Intergovernmental Organizations.

IDENTIFICATION OF BIBLIOGRAPHIC DATA AND MINIMUM REQUIREMENTS

6. The list of definitions of bibliographic data with their corresponding INID codes is given in Appendix 1 to this recommendation and entitled "List of INID Codes." To assist industrial property offices and users of industrial property documentation, Appendix 2 to this recommendation contains those INID codes, with their definitions and/or notes, which were used at a certain period of time but have either ceased to be available for use or have been amended.

7. The list of bibliographic data has been organized into categories (100 to 800) to facilitate grouping of related data. Each category has several subdivisions to each of which an INID code has been assigned. Category codes, ending in "0," can themselves be used in one, or both, of the following situations:

(a) where several individual bibliographic data items of the same category are present and it is desired to present those individual data items together without using individual INID codes;

(b) where none of the specific INID codes can be assigned to one of the bibliographic data elements which clearly fall within the category definition.

Offices should clearly describe how they use category codes in each of the above situations.

8. The INID codes which are preceded by an asterisk (*) relate to those data elements which are considered to be the minimum elements which should appear in gazette entries and in certificates.

APPLICATION OF CODES

9. INID codes can be used in all entries in gazettes. However, as a minimum, if applied, they should be used in announcements regarding applications published for the first time, e.g., for opposition purposes, and announcements regarding registrations, if such announcements contain comprehensive entries.

10. INID codes can also be used in certificates. Since the language of the certificate is not always known to the user, the use of INID codes facilitates the recognition and understanding of the data.

11. The INID codes should be associated with the corresponding bibliographic data in so far as these data normally appear in gazettes.

12. Provided the presentation of bibliographic data in entries in a gazette is uniform, INID codes may be applied to the bibliographic data in a representative specimen entry in each issue of the gazette, instead of being included in each entry.

13. The INID codes should be printed in Arabic numerals, preferably within brackets (round or square) immediately *before* the corresponding bibliographic data element.

14. The presentation of calendar dates in any of the INID codes concerned should be in the sequence and format as recommended in WIPO Standard [ST.2](#).

IMPLEMENTATION

15. If bibliographic data to which INID codes are assigned in accordance with this recommendation do not appear in an entry in a gazette—because they are not applicable (e.g., where no priority is claimed or for some other reason)—it is not necessary to call attention to the non-existence of such elements (e.g., by leaving a space or by providing the relevant INID code followed by a dash).

16. In order that the users of gazettes may be able to make maximum use of the INID codes, it is recommended that a list of the codes used or the complete standard be published at regular intervals in official publications, e.g., in the gazette.

17. Industrial property offices can start using this recommendation at any time. It is recommended that when implementing the INID codes an announcement be made as indicated above and the International Bureau of WIPO be informed, e.g., by being forwarded a sample of the gazette.

18. Industrial property offices can be advised and assisted by the International Bureau of WIPO if they encounter problems in implementing this Standard.

[Appendix 1 follows]

APPENDIX 1

LIST OF INID CODES

(Any explanatory notes appear at the end of this list)

(100) Data concerning the registration/renewal

**Serial number*

- (111) Serial number of the registration
- (116) Serial number of the renewal where different from initial registration number
- (117) In case of a renewal, the number(s) of the registration prior to the renewal(s)

- (141) Date of the termination of the registration of the mark

**Date of the registration/renewal*

- (151) Date of the registration
- (156) Date of the renewal

Related registration numbers

- (161) Earlier registration number(s) of the renewed registration if different from the number(s) indicated under the codes (111) and (116)

- (170) Expected duration of the registration/renewal
- (171) Expected duration of the registration
- (176) Expected duration of the renewal

- (180) Expected expiration date of the registration/renewal
- (181) Expected expiration date of the registration
- (186) Expected expiration date of the renewal

Authority registering the mark

- * (190) Identification using the two-letter code (WIPO Standard [ST.3](#)) of the authority registering the mark

(200) Data concerning the application

- * (210) Serial number of the application
- * (220) Date of filing of the application
- (230) Data concerning exhibitions
- (250) Place of filing of the application
- * (260) Serial number of the examined application
- (270) Language(s) of the application

(300) Data relating to priority under the Paris Convention and other data relating to seniority or to the registration of the mark in the country of origin

- * (310) Serial number assigned to the first application
- * (320) Date of filing of the first application
- * (330) WIPO Standard [ST.3](#) code, identifying the national or regional Office where the first application was made or the organization where the first application was made
- (340) Partial priority
- (350) Seniority
- (360) Partial seniority
- (390) Data relating to the registration in the country of origin (for instance in the case of a "telle quelle" registration)

(400) Date(s) of making information available to the public

- (441) Date of making information available to the public regarding the non-examined application
- (442) Date of making information available to the public regarding the examined application

- (450) Date of making information available to the public regarding the registration

Appendix 1, page 2

(500) *Various information*

Classification, goods and/or services

- * (510) List of goods and/or services, if not classified
- * (511) The International Classification of Goods and Services for the Purposes of the Registration of Marks (Nice Classification) and/or list of goods and/or services classified according thereto
- (512) National classification and/or list of goods and/or services classified according thereto

Indications regarding the use of the mark

- (521) Indication to the effect that the mark has acquired distinctiveness through use in trade
- (523) Indication that the applicant has submitted evidence of his right to use certain elements of the mark
- (525) Indication that the mark has been cancelled for non-use
- (526) Disclaimer
- (527) Indications regarding use requirements
- (529) Indications regarding use limitations

Description of the figurative elements of the mark

- (531) According to the International Classification of the Figurative Elements of Marks (Vienna Classification)
- (532) According to a national classification
- (539) By using keywords or a freely formulated text
- * (540) Reproduction of the mark
- * (541) Reproduction of the mark where the mark is represented in standard characters
- * (546) Reproduction of the mark where the mark is represented in non-standard characters
- (550) Indication relating to the nature or kind of mark
- (551) Indication to the effect that the mark is a collective mark, a certification mark or a guarantee mark
- (554) Three-dimensional mark
- (555) Hologram mark
- (556) Sound mark, including characteristics
- (557) Olfactory mark, including characteristics
- (558) Mark consisting exclusively of one or several colors
- (561) Transliteration of the mark
- (566) Translation of the mark or of words contained in the mark
- (571) Description of mark
- (580) Date of recording of any kind of transaction in respect of applications or registrations (e.g., change in ownership, change in name or address, renunciation, termination of protection)
- (591) Information concerning colors claimed

(600) *References to other legally related application(s) and registration(s)*

- (641) Number(s) and date(s) of other legally related applications
- (646) Number(s) and date(s) of other legally related registrations

(700) *Information concerning parties concerned with the application/registration*

- * (730) Name and address of the applicant or the holder of the registration
- * (731) Name and address of the applicant
- * (732) Name and address of the holder of the registration
- (733) Indication of the trade of the applicant
- (740) Name and address of the representative
- (750) Address for correspondence

Appendix 1, page 3

- (770) Name and address of the previous applicant or holder (in case of change in ownership)
- (771) Previous name and address of the applicant or holder (in case of no change in ownership)

Information regarding licensee(s)

- (791) Name and address of the licensee
- (793) Indication of conditions and/or restrictions under the license

(800) *Certain data relating to the international registration of marks under the Madrid Agreement Concerning the International Registration of Marks and the Protocol Relating to that Agreement*

Data concerning entitlement

- (811) Contracting State of which the holder is a national
- (812) Contracting State or Contracting Organization in the territory of which the holder has a real and effective industrial or commercial establishment
- (813) Contracting State or Contracting Organization in the territory of which the holder has his domicile
- (814) Contracting Party in the territory of which the new holder fulfills the conditions to be the holder of the international registration

Data concerning the basic application or the basic registration

- (821) Basic application
- (822) Basic registration
- (823) Cancellation effected for some of the goods and services at the request of an Office of origin in accordance with Article 6(4) of the Agreement or Article 6(4) of the Protocol
- (824) Cancellation effected for all the goods and services at the request of an Office of origin in accordance with Article 6(4) of the Agreement or Article 6(4) of the Protocol
- (825) Judicial actions or proceedings under Rule 22(1)(b)

Data concerning the Contracting Parties covered by the international registration, the renewal or a change

- (831) Designations under the Madrid Agreement
- (832) Designations under the Madrid Protocol
- (833) Interested Contracting Parties

Various information

- (841) State of which the holder is a national
- (842) Legal nature of the holder (legal entity) and State, and, where applicable, territory within that State where the legal entity is organized
- (843) No request for review or appeal has been lodged
- (844) A request for review or an appeal has been lodged
- (845) Withdrawal of request for review or appeal

Data concerning the limitation of the list of goods and services

- (851) Limitation of the list of goods and services

Data concerning refusal of protection and invalidations

- (861) Total refusal of protection
- (862) Partial refusal of protection
- (863) Effective date of invalidation
- (864) Total Invalidation
- (865) Partial Invalidation
- (866) Provisional refusals notified without an indication of the products/services concerned (Rule 18(1)(c)(iii))
- (867) Refusal Grounds
- (868) Grant of Protection
- (869) Accepted with Reserve

Data concerning a change in respect of the international registration

- (871) Number of the assigned part of the international registration
- (872) Numbers of the international registrations which have been merged
- (873) Number of the international registration resulting from the merger

Appendix 1, page 4

Data concerning replacement and division

- (881) Number(s) and date(s) of national or regional registration(s) replaced by an international registration (Article 4bis of the Madrid Agreement and Article 4bis of the Protocol)
- (882) Date and number of the basic application, of the registration resulting therefrom, or of the basic registration, which is the subject of the division
- (883) New numbers of the basic application, of the registration resulting therefrom, or of the basic registration, resulting from the division

Data concerning subsequent designations

- (891) Date of subsequent designation (Rule 24(6) of the Common Regulations)

Data concerning final decisions

- (892) Final Decision confirming the disclaimer
- (893) Final Decision withdrawing the disclaimer
- (894) Final decision confirming the refusal of protection
- (895) Statement indicating that the mark is protected for all the goods and services requested (Rule 17(5)(a) or (b))
- (896) Statement indicating that protection of the mark is refused for all the goods and services requested (Rule 17(5)(a) or (b))
- (897) Statement indicating that protection of the mark is protected for some of the goods and services requested (Rule 17(5)(a) or (b))
- (898) Other final decision

[Explanatory notes follow]

EXPLANATORY NOTES

Re: INID codes (111), (116), (210), (260) and (310)

By “serial” is meant the number of the application, registration or renewal in the applicable series of numbers.

Re: INID code (117)

Only applicable to offices which allot a new registration number when a registration is renewed.

Re: INID code (141)

By termination is meant any way provided for in the law for terminating the registration: e.g., cancellation by the holder, *ex officio* by the office, by court decision.

Re: INID code (170) vis-à-vis codes (171) and (176) and
INID code (180) vis-à-vis codes (181) and (186), respectively

The codes (170) and (180) are to be used when an office does not differentiate between registrations and renewals.

Re: INID codes (171), (176) and (181), (186)

The “expected duration” is normally expressed in number of years: e.g., 10 years.

The “expected expiration date” is normally expressed by a date: e.g., 1998.11.11 or November 11, 1998. (See WIPO Standard [ST.2.](#))

The codes (171) and/or (176), on the one hand, and (181) and/or (186), on the other hand, are mutually exclusive.

Re: INID code (190)

This code is mainly intended for use in mark databases and in certificates where the identification of the authority registering the mark is indispensable; for gazettes, the authority publishing them is normally already mentioned on the cover page.

Re: INID code (220)

By “date of filing” is meant the date of filing as allotted to the application by the Office.

Re: INID code (230)

It is possible to indicate various data here such as place, dates of the exhibition; “exhibition” is defined as in Article 11 of the Paris Convention or according to the applicable law.

Re: INID code (260)

This code is essential for countries such as Japan and the Republic of Korea, which publish applications after examination in a number series different from the number series referred to in INID code (210).

Re: INID codes (300) plus (310), (320) and (330)

If multiple priorities are invoked, these INID codes can have multiple occurrences of at least the serial number and the date.

Re: INID codes (340), (350) and (360)

These codes are essential for Offices such as the Office for Harmonization in the Internal Market (Trade Marks and Designs) to indicate certain data related to national applications or registrations in respect of community trade marks.

Re: INID codes in category (400)

By “making available to the public” is also meant making available for the purposes of opposition.

Appendix 1, page 6

Re: INID codes (511) and (512)

These codes may be used to identify either (a) a class (or classes) only, or (b) list of goods and/or services only, or (c) both a class (or classes) and list of goods and/or services.

Re: INID codes (512) and (532)

These codes should be preceded in parentheses by the two-letter code (WIPO Standard [ST.3](#)) identifying the country whose national classification is used.

Re: INID codes (526), (527) and (529)

Normally to be used for indications in text form concerning certain limitations regarding the use of the mark or part of the mark, concerning geographical limitations, etc.

The code (527) may be used not only for indications relating to actual use but also for indications to intention to use the mark.

Re: INID codes (540) vis-à-vis (541) and (546)

The code (540) is to be used when an office does not want to differentiate between code (541) and code (546).

Re: INID code (541)

This code is normally to be used when the mark is considered to be a word mark.

Re: INID code (546)

This code is normally to be used when the mark contains figurative elements.

Re: INID code (550)

This code is provided to allow the grouping of the data elements corresponding to codes (551) to (558).

Re: INID code (600)

Category code (600) should be used by countries which were previously part of another entity for identifying bibliographic data elements relating to applications or registrations of marks which data had initially been announced by the industrial property office of that entity.

Re: INID codes (641) and (646)

These data are different from those referred to in INID code (161). They relate, e.g., to associated marks, divisional applications and partial assignments.

Re: INID codes (730), (731), (732), (770) and (791)

These codes also cover several applicants, holders, representatives or licensees.

Re: INID codes (730) vis-à-vis (731) and (732)

The code (730) is to be used when an office does not want to differentiate between code (731) and code (732).

Re: INID codes (730), (731) and (732) vis-à-vis (770) and (771)

The codes (730), (731) and (732) should also be used to identify the name and address of the new applicant or holder in case of change in ownership, and the new name and address of the applicant or holder in case of no change in ownership.

Re: INID codes (812) and (813)

Only applicable where the address of the holder (or of one of the holders) is not in the territory of the Contracting Party whose Office is the Office of origin or, where a change in the ownership of the international registration has been recorded in the International Register, where the address of the transferee (or of one of the transferees) is not in the territory of the Contracting Party (or of one of the Contracting Parties) in respect of which the transferee fulfills the conditions to be the holder of an international registration.

Appendix 1, page 7

Re: INID codes (821) and (822)

In some cases (e.g., where a country which has become party to the Protocol still applies the single class application system), the international application (exclusively governed by the Protocol) can be based on one or more basic applications (code (821)) and basic registrations (code (822)) if the international registration covers several classes of goods and/or services.

Re: INID codes (831) to (833)

No INID codes are provided for where a change affects all the designated Contracting Parties (in the case of total change in ownership (transfer)).

Re: INID codes (831) and (832)

By "designation" is meant a territorial extension made in the international application or subsequent to the international registration. Code (831) and/or code (832) will be used in the publication of international registrations, subsequent designations, renewals and partial changes in ownership.

Re: INID code (833)

This code will be used in the publications of renunciations, limitations and partial cancellation.

Re: INID codes (841) and (842)

The information covered by these codes is optional for the purposes of international registration and is intended to comply with requirements under the laws of some designated Contracting Parties.

Re: INID codes (843) to (845)

The provisions regarding 'requests for review or appeal' were in force for the period April 1, 1996 to 31st March 2002. They do not form part of the current regulations.

Re: INID code (851)

This code will be used where a limitation of the list of goods and services is included in an international application or in a subsequent designation.

Re: INID code (868)

When this code is used, the data should also include the date on which the Grant of Protection was received by WIPO.

Re: INID code (869)

This code will be used where protection is accepted, with the reserve that some elements of the mark itself are not protected

Re: INID code (871)

In the case of a partial assignment of the international registration, the assigned part (recorded in the name of the transferee) will bear the same number as the international registration concerned, followed by a capital letter.

Re: INID code (894)

The provisions regarding 'confirming the refusal of protection' were in force for the period April 1, 1996 to 31st March 2002. They do not form part of the current regulations.

Appendix 1, page 8

[End of Annex III and of document]