

Filing a successful patent application - advice from a patent examiner's perspective

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> Cyberland 26 April 2022

World IP day

WIPO PUBLIC

Agenda

Patentsystem: Protection <> Sharing of knowledge

Patent applications

- Protection: Claims
- Sharing of knowledge: Description
- Patentability and examination: conditions of success
- What to do if not new or inventive
 - Admissible amendments
 - Initial disclosure
- Retrieving shared knowledge: Patent databases and search methodologies
- Hints for drafting patent applications

Intellectual Property Rights related to product



Patents, Utility Models: Protection of innovative technology



Trademarks, e.g. "Apple", "iPhone"

Trade secrets?



WIPO PUBLIC

World Intellectual Property Organization

• One out of 16 specialized agencies (SA) of the United Nations:

SA for Intellectual Property (IP)

- Based in Geneva, Switzerland
- 193 <u>Member States</u>





World Intellectual Property Organization

WIPO's Mission: Developing a **balanced and accessible international IP system**

- Administration of 26 international treaties on IP
- IP Services (generating income):
 - PCT (patents)
 - Madrid Treaty (trade marks)
 - The Hague Treaty (designs), ..
- Support for member countries for developing their IP infrastructure:

- Committee for Development and Intellectual Property (CDIP)



WORLD INTELLECTUAL PROPERTY ORGANIZATION

WIPO services for IP rights

PCT (Patent Cooperation Treaty) system

Madrid system

Hague system

Lisbon system

(Budapest)

Designs

Trademarks

Patents

Appellation of Origin

Microorganisms



WIPO administers 26 treaties including the WIPO Convention.

► IP Protection
Beijing Treaty on Audiovisual Performances
Berne Convention
Brussels Convention
Madrid Agreement (Indications of Source)
Marrakesh VIP Treaty
Nairobi Treaty
Paris Convention
Patent Law Treaty
Phonograms Convention
Rome Convention
Singapore Treaty on the Law of Trademarks
Trademark Law Treaty
Washington Treaty
WIPO Copyright Treaty (WCT)
WIPO Performances and Phonograms Treaty (WPPT)

INTELLECTUAL PROPERTY ORGANIZATION

Budapest Treaty

Hague Agreement

Lisbon Agreement

Madrid Agreement (Marks)

Madrid Protocol

Patent Cooperation Treaty (PCT)

Classification	
Locarno Agreement	
Nice Agreement	
Strasbourg Agreement	
Vienna Agreement	

Sri Lanka member to 8 of the treaties

WIPO administered treaties related to patents

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INTELLECTUAL PROPERTY

ORGANIZATION

Treaties	Members (2022)
Paris Convention for the Protection of Industrial Property (1883)	176 (<mark>LK</mark>)
Patent Cooperation Treaty (1970)	155 (<mark>LK</mark>)
Strasbourg Agreement Concerning the International Patent Classification (IPC) (1971)	64
Budapest Treaty on the International Recognition of the Deposit of Microorganisms for the Purposes of Patent Procedure (1977)	86
Patent Law Treaty (2000)	43
	WIPO

What is a patent?

A patent is an exclusive right (monopoly) granted for an invention, i.e. the invention cannot be used by others for commercial purposes without permission of the owner
Section 84

An invention offers a technical solution to a problem

Problem-Solution approach

Section 62 (1)

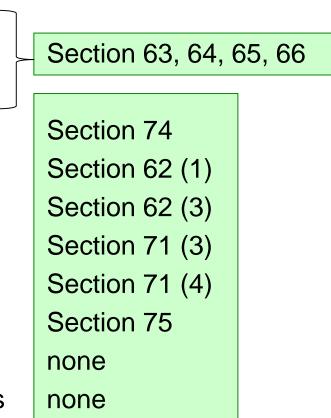
Not any solution (invention) deserves a patent right!
 Criteria for patentable and non-patentable inventions
 Substantive examination

Section 63

Requirements of patentability

Substantive patent examination has to check

- **Novelty**
- Inventive step (obviousness)
- Industrial applicability
- Unity
 - Technical nature
- No case of exclusion/exemption
- Sufficient disclosure
- Legal certainty of claims (clarity)
- Additions to initial disclosure
- Deposit of novel micro-organisms
- Disclosure of origin of genetic resources & traditional knowledge





What does this imply?

- You may raise the probability for obtaining a patent when you draft your application with a view to later examination
- Conduct your own "preliminary substantive examination" and amend your application prior to filing it if you encounter issues

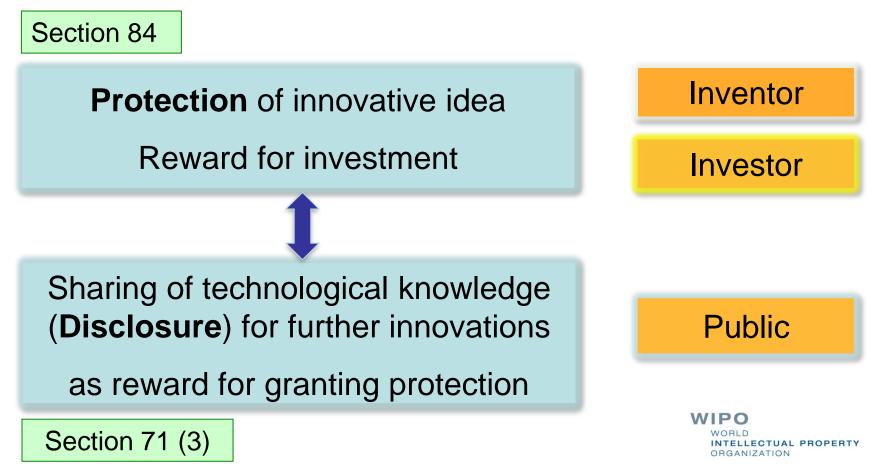
Why prior to filing?

Because no substantial amendments of disclosure (description & drawings) are permitted after filing date is allocated: Initial Disclosure



The Patent System

Different stakeholders / interests



Role of patent information (patent applications)

Patent system: **Protection** <> **Disclosure**

Correspondingly, patent information and its publication serves two purposes:

- Informing of existing protection rights – What? Where? When?
- Sharing (publication and dissemination) of knowledge – How was the problem solved ?



Content of patent information

Informing of existing protection rights

What? > see claims of granted (!) patent
Where? > research patent family
When? > verify status in National Patent Register(s)

Disclosure and dissemination of knowledge How was the problem solved ? > see descriptions and drawings



Patents protect any **commercial use**

What is protected ?

(e.g. manufacturing, copying, importing, selling, transporting,...)

Non-commercial use is usually not protected

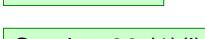
e.g. many jurisdictions have an explicit exemption for academic research

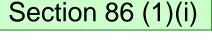
Scope of protection is defined by the claims of a granted patent, i.e. not anything described in a patent or shown in an illustration is protected more on claims later on

- Protection is in force as long as a granted patent is **valid**
- Maximum of **20 years** from filing date

Section 84

Section 86





Section 71 (6)

ORGANIZATION

NTELLECTUAL PROPERTY



WIPO

Protecting and sharing knowledge

- The patent system creates a balance between sharing of knowledge and the protection of the commercial exploitation of knowledge
- Free access to and dissemination of the technical disclosure is an essential concept of the patent system
 - National legislation and its implementation should assure free access
- Patents do therefore not protect the dissemination of the publications and the technical knowledge disclosed therein
- **No copyright protection** on patent publications!
 - Contrary to scientific publications which are (often) copyright protected
- The free access to this wealth of technology information fosters innovation

more on free patent databases later on

Geography of protection

Patent granted on a **territorial** basis, i.e.

country-by-country, e.g. Korea, US, India, etc. or

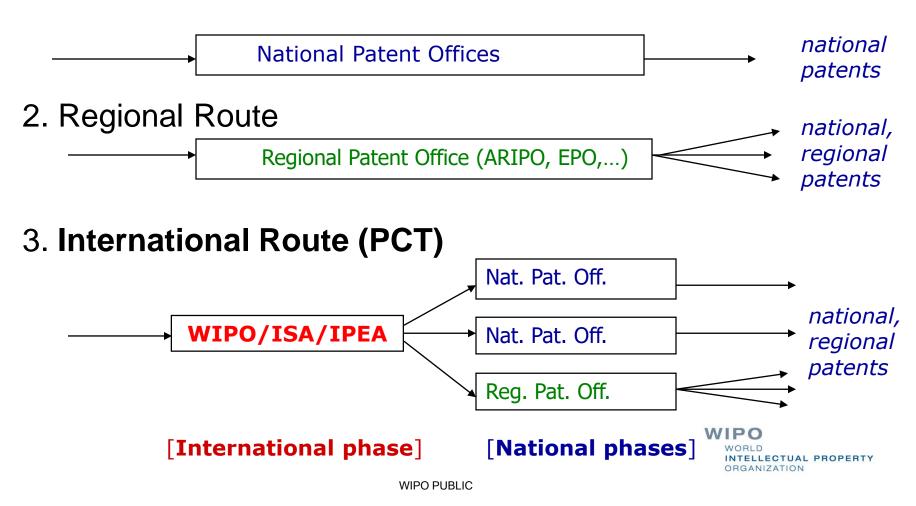
per region, e.g. Europe (EPO), Africa (ARIPO or OAPI), Eurasian Patent Office (EAPO), etc.

no global patent yet; only international application through PCT system (administered by WIPO)

E.g. an Indian patent does not provide protection outside India

Obtaining patent protection abroad

1. National Routes

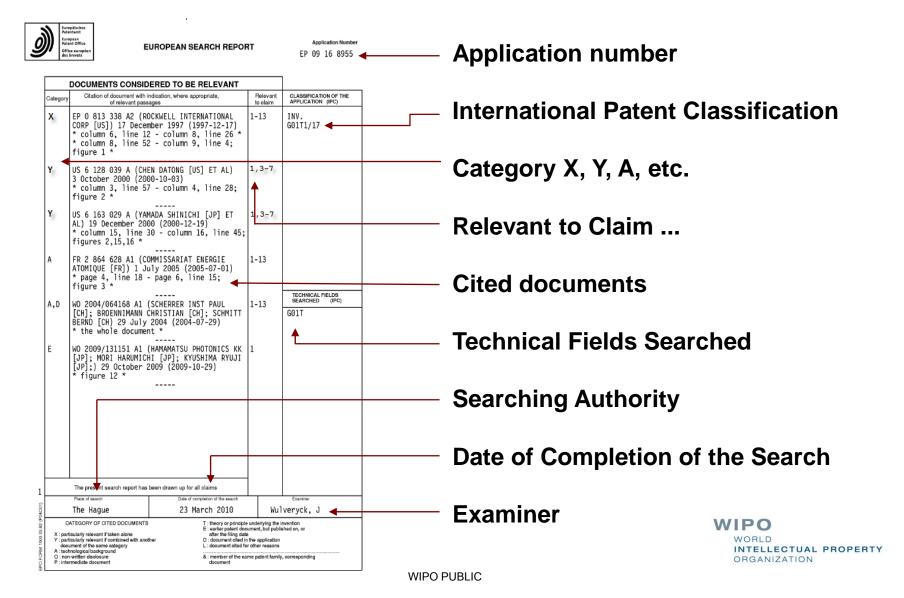


Patent Cooperation Treaty - PCT

- "One-stop shop" for parallel filing in several jurisdictions
- Filing with "Receiving Office"
- Paris Convention priority may be claimed or not
- International phase administered by WIPO: preliminary search and examination by selected ISAs; optionally, preliminary examination of amended claims by IPEA
- **National phases** administered by national IPOs:
 - Decision on entry into national phase at the latest 30/31 months after filing/priority date
 - National granting procedures/laws/regulations apply
 - Fully sovereign national phase examination
 - No obligation to adopt examination decisions of other offices

WORLD INTELLECTUAL PROPERTY ORGANIZATION

Enriched prior art search reports



Timelines to be observed for 2nd filing

Options for extension to other jurisdiction, i.e. to Offices of Second Filing (OSF):

Paris Convention & TRIPS: 12 months

PCT: 30 months

Without priority claim: anytime,

but effectively impossible after first publication by first filing office because published first filing becomes prior art:

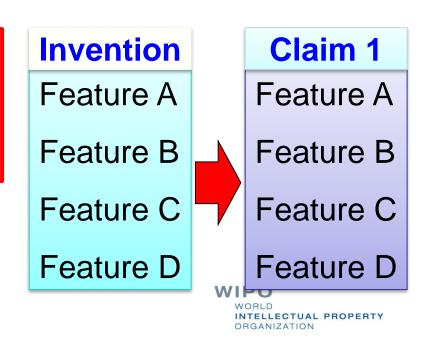
- many offices publish applications 18 months after filing (priority) date

Substantive examination: Determining the patentable invention



What is a patent claim?

- A patent is an exclusive right granted for an invention, i.e. the invention cannot be used by others for commercial purposes without permission of the owner
- An invention offers a technical solution to a problem
- Each invention can be defined by the **features** that are **essential** to solve the problem
 - Main claim includes these features



Claim sample – application



New?

1. A method of producing a soya bean product, the method including the step of exposing soya beans to an acidic aqueous solution.

- 2. A method as claimed in Claim 1, in which the acidic aqueous solution has a pH of between about 2,0 and 5,5.
- 3. A method as claimed in Claim 1 or Claim 2, in which the soya beans are whole beans.
- 4. A method as claimed in any one of the preceding claims, which includes the prior step of dissolving an organic acid in water to produce the aqueous acidic solution.
- 5. A method as claimed in Claim 4, in which the organic acid is citric acid.



The biological activity of the oxidizing enzymes may be at least partially

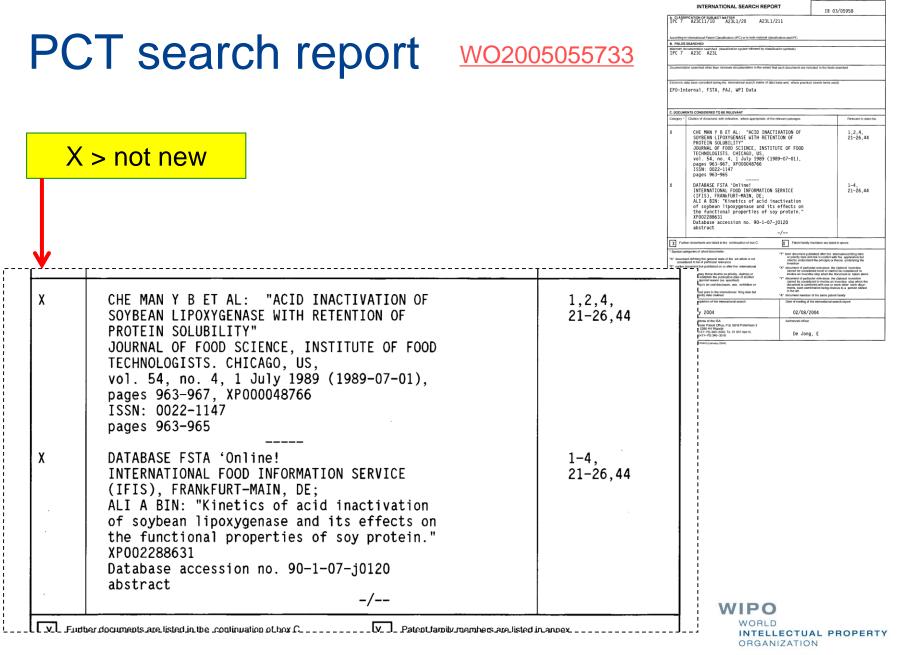
decreased by exposing the soya beans to an acidic aqueous solution.

A pH of between about 2,0 and 5,5 inhibits the lipoxygenase reaction which generally causes off flavours and off colours in soya products such as soya milk. In prior art processes, soya beans have generally been processed by a wet method which involves de-hulling of the beans. Because the lipoxygenase enzyme is concentrated in the hull of the bean, it is believed that, when de-hulling and wet processing takes place, the biological activity of the enzyme is increased when it comes into contact with oxygen and water when the hull is ruptured. The enzyme then oxidizes lipids in the bean. This is believed to lead to the formation of "grassy", "beany" or "paint-like" off flavours and off odours in the soya product and particularly in the soya milk which is produced. The method of the invention requires no prior dehulling of the beans and substantially reduces the problem of off flavours and off

odours to the extent that they present little or no problem.

INTELLECTUAL PROPERTY

OBGANIZATION



PCT search report p.2		C.C.Conti Castogry X X	<pre>untime DOLUMENTS CONSIDERIZION BE RELEVANT Childra of document, while Rockaton, where supportent of the Internet provides & DISSERTATION ABSTRACTS INTERNATIONAL, vol. 50, no. 2, 1989. UNIV. OF ILLINOIS, UEBANA, IL 61801, USA US 5 0.68 L17 A (MCCABE EDWARD M) 26 November 1991 (1991-11-26) claims 1-12 US 4 855 159 A (NAKASHIMA HIROSHI ET AL) 8 August 1889 (1989-08-80) claims 1-3; example 1 </pre>	Padovant to cite 1-4, 18, 21-2; 39,44 1-5
		x	SNYDER H.E.; KWON T.W.: "Passage Text: Soybean Utilization" 1987, SOYBEAN UTILIZATION, NEW YORK, VAN NOSTRAND REINHOLD, US , XP002288630 pages 149-150	21-2
X	US 4 855 159 A (NAKASHIMA HIROSHI ET AL) 8 August 1989 (1989-08-08) claims 1-3; example 1		1–5	21-2: 21-2: 21-2: 21-2:
X	SNYDER H.E.; KWON T.W.: "Passage Text: Soybean Utilization" 1987, SOYBEAN UTILIZATION, NEW YORK, VAN NOSTRAND REINHOLD, US , XP002288630		21-23,44	21-2: 28,30 45 6-8,

WO2005055733 was never granted anywhere!

Was it worth filing this patent?

US4855159A



WIPO PUBLIC

Claim sample – as filed

- 1. A method of determining the torque induced in a rotating shaft (51),
- A the shaft (51) having a torsional oscillation frequency that is dependent on the stiffness of the shaft (51),
- B where the torsional oscillation frequency and the stiffness are dependent upon the operating conditions of the shaft (51),

characterized in that

- **C** the torsional oscillation frequency of the rotating shaft (51) is measured (35);
- D the twist induced in the rotating shaft (51) by the torque is measured (39); and
- E the measured value of the torsional oscillation frequency and the measured value of the induced twist are used (41) to determine the torque induced in the shaft (51).

Claim sample - after grant

- 1. A method of determining the torque induced in a rotating shaft (51),
- A the shaft (51) having a torsional oscillation frequency that is dependent on the stiffness of the shaft (51),
- **B** where the torsional oscillation frequency and the stiffness are dependent upon the operating conditions of the shaft (51),

the method comprising:

- **c** measuring (35) the torsional oscillation frequency of the rotating shaft (51);
- D measuring (39) the twist induced in the rotating shaft (51) by the torque; and
- **E** using (41) the measured value of the torsional oscillation frequency and the measured value of the induced twist to determine the torque induced in the shaft (51);
- F the torsional oscillation frequency of the shaft (51) and the induced twist are measured (35) at the second set of operating conditions;
- the method is characterized by
- G determining the torsional oscillation frequency of the shaft (51) at a second set of operating conditions at which the stiffness of the shaft (51) can be determined (33) and
- **H** determining the stiffness of the shaft (51) at the second set of operating conditions;
- the torque induced in the shaft (51) at the first set of operating conditions is determined (41) using the measured torsional oscillation frequency and the induced twist at the first set of operating conditions, and the measured torsional oscillation frequency and the stiffness at the second set of operating conditions

Added during examination

EP 2006651 A2

Claim sample – as filed

WHAT IS CLAIMED IS: 1. A compound of Formula (I): Markush formula or a pharmaceutically acceptable salt thereof; wherein: X is N or CR^2 ; Y is N or CR^3 ; Z is H, cyano, halo, C_{1-3} alkyl, or C_{1-3} haloalkyl; L is C(R⁴)₂, C(=O), C(=O)N(R^{4a}), C(=O)C(R^{4b})₂, S(=O)₂, C(=O)O, $C(=O)OC(R^{4b})_2$ or $C(=O)N(R^{4a})C(R^{4b})_2$; A is C₁₋₆ alkyl, C₃₋₁₄ cycloalkyl, C₂₋₁₃ heterocycloalkyl, C₆₋₁₄ aryl, or C₁₋₁₄ heteroaryl; wherein said C1-6 alkyl, C3-14 cycloalkyl, C2-13 heterocycloalkyl, C6-14 aryl, and C₁₋₁₄ heteroaryl are each optionally substituted with 1, 2, 3, 4, 5, or 6 independently selected R⁵ groups; WIPO each R^1 is, independently, C_{1-4} alkyl, hydroxyl, C_{1-4} alkoxy, fluoro, hydroxyl- C_{1-4} INTELLECTUAL PROPERTY ORGANIZATION

WO2011112662A1

WIPO PUBLIC

Claim sample – as granted

2 distinct compounds of the range of compounds covered by the Markush formula

What is claimed is:

1. A compound, which is {1-{1-[3-Fluoro-2-(trifluoromethyl)isonicotinoyl]piperidin-4-yl}-3[4-(7H-pyrrolo[2,3-d] pyrimidin-4-yl)-1H-pyrazol-1-yl]azetidin-3-yl}acetonitrile, or a pharmaceutically acceptable salt thereof.

2. A salt, which is {1-{1-[3-Fluoro-2-(trifluoromethyl) isonicotinoyl]piperidin-4-yl}-3-[4-(7H-pyrrolo [2,3-d]pyri-midin-4-yl)-1H-pyrazol-1-yl]azetidin-3-yl}acetonitrile adipic acid salt.

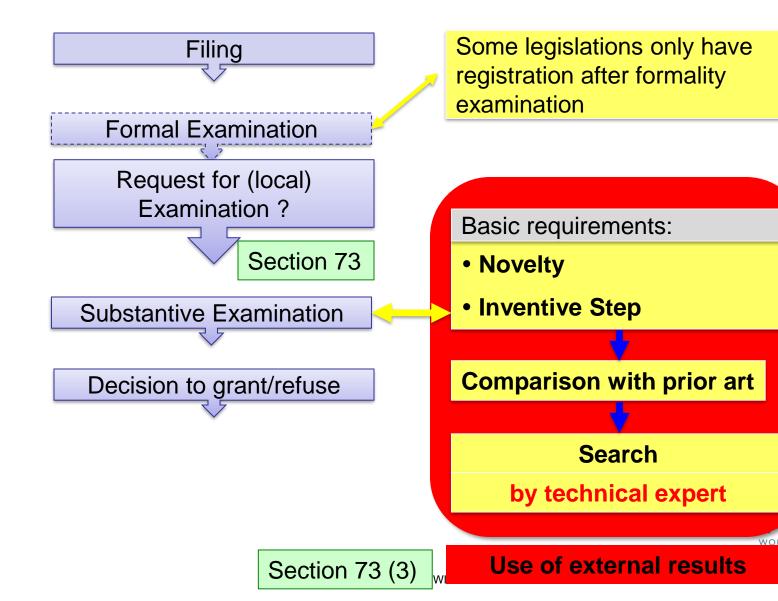
Examination and evolution of claims

Examination is mostly about claims (claimed subject matter)

- Greatest challenge in patent drafting is proper claim drafting
 - Clear claims enabling a meaningful search
 - Clear claims providing legal certainty
 - Claimed subject matter sufficiently restricted to be novel and inventive
- Initial claims (as filed) are hardly ever granted, usually amended during examination by restricting claimed subject matter
- Description comprehensive enough to enable claim amendments
- Claims need to be supported by description

Section 71 (4)

Elements of pre-grant prosecution



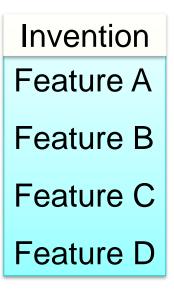
LECTUAL PROPERTY

NIZATION



Section 64 (1):

"An invention is new, if it is not anticipated by prior art"



"Has not been invented before"?





Section 64 (2) (a):

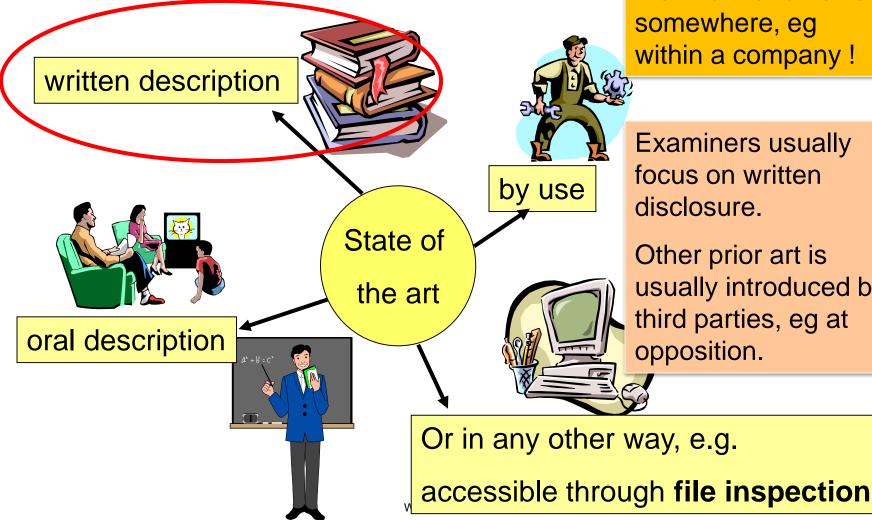
Prior art shall consist of everything disclosed to the public, anywhere in the world,

by publication in tangible form or by oral disclosure, by use or in any other way,

prior to the filing date or, where appropriate, the **priority date**, of the application claiming the invention.

Prior art / state of the art

Disclosure made available to public by



PUBLIC !!

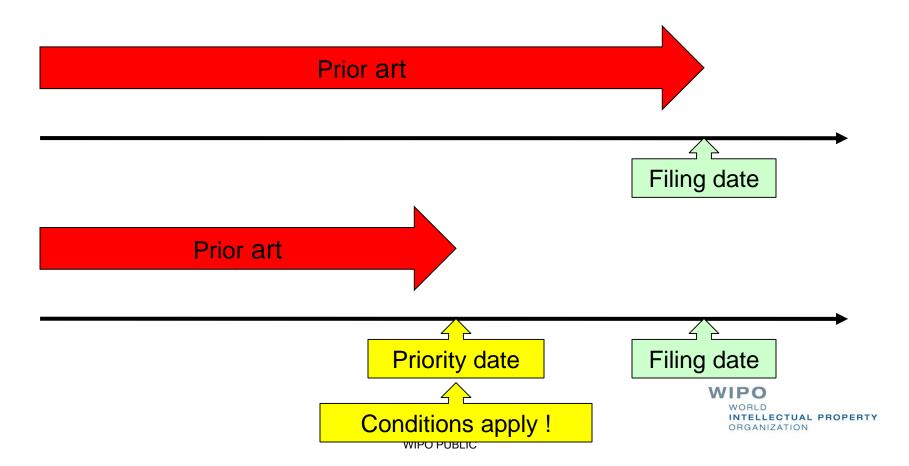
It is not enough that the information exists somewhere, eg within a company !

Examiners usually focus on written disclosure.

Other prior art is usually introduced by third parties, eg at opposition.



Any information that is made available to the public until the application date or the priority date (provided priority is acknowledged)

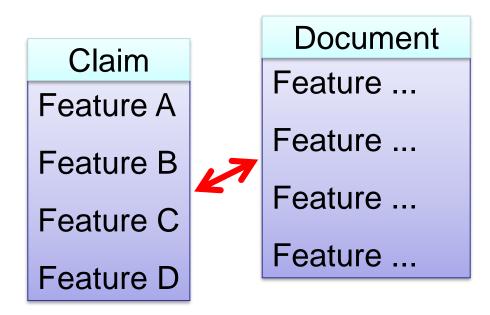


Prior art – grace period

- Many legislations grant to the inventor and applicant a grace period of 12 months for disclosures of her/his invention, e.g. scientific publications, presentations at conferences, fairs
- I.e. after the disclosure, she/he has 12 months time to file for a patent Section 64 (3)

Don't delay your patent application unduely!

Novelty



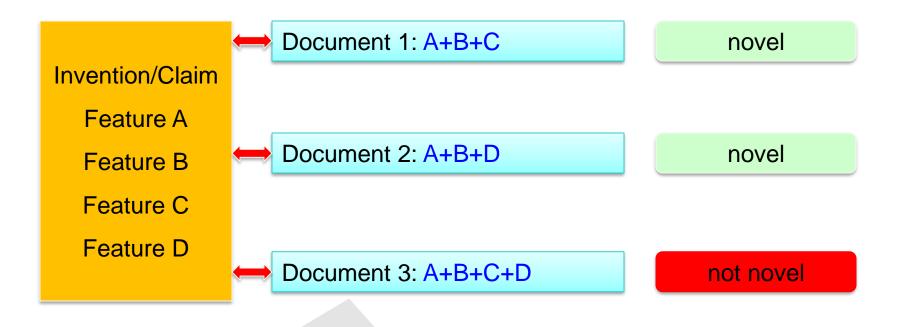
Claimed subject matter is not novel if

all features are known

from a single piece of prior art, e.g. another patent

Checking novelty

Compare claimed inventive subject matter (e.g. claim 1) individually with each prior art document



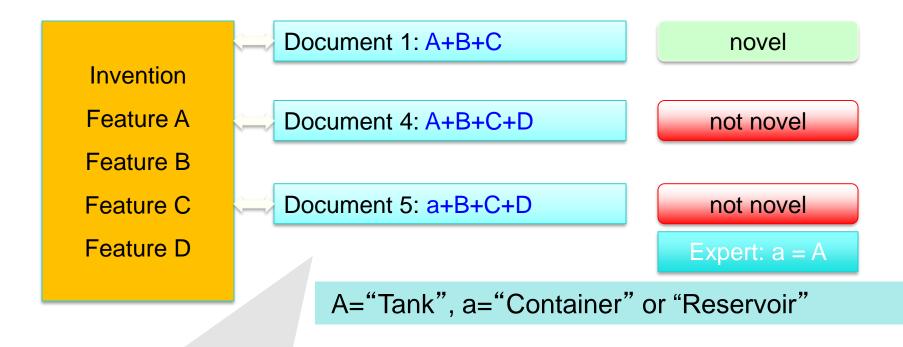
All features (A, B, C, D) known explicitly from single document

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Checking novelty (equivalent features)

Compare claimed inventive subject matter (e.g. claim 1) individually with each prior art document

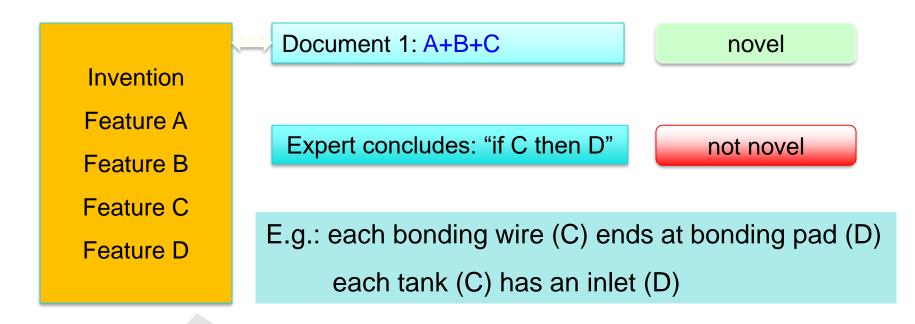


All features (A, B, C, D) known from single document 5 plus **expert** knowledge ("a=A")

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Checking novelty (implicit features)

Compare claimed inventive subject matter (e.g. claim 1) individually with each prior art document

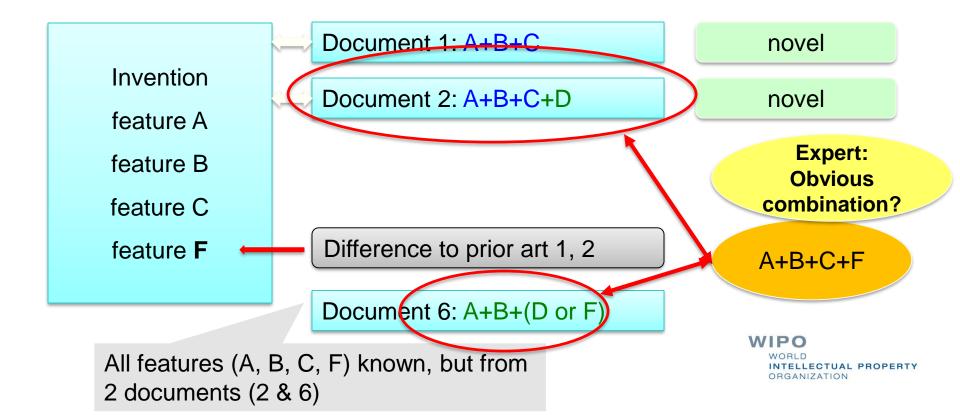


All features (A, B, C, D) known from single document 1 plus **expert** knowledge ("if C then D")

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Examine inventive step

If new: Is modification (difference), e.g. of "closest" prior art obvious for someone skilled in the art ?



From 'not novel' to 'novel'?

	Initial Claim feature A feature B feature C feature D	A	Amended Claim feature A feature B feature C feature F			Amended Claim feature A feature B feature C feature D feature E		
	not novel			Amende	d Cl	aim		
	Document 3: A+B+C+D			featu	feature A			
				featu	feature B			
				feature G		WIDO		
			WIPO	featu	re H		WIPO WORLD INTELLECTUAL P ORGANIZATION	ROPERTY

Actions and communications

Search and examination report by examiner with or without proposal for patentable claims

Included in file wrapper Accessible through file inspection

Applicant's reply or withdrawal with or without proposal for amended claims

Examiner to check: - whether amended claims are within initial disclosure - whether claims are properly worded

Top-up search if amended claims include features disclosed only in initial description and not in searched claims

If no withdrawal Examiner to reject with detailed reasoning Examiner to grant and check publication (nothing added to initial disclosure)



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Evolution of claims

- Claims of a patent application are usually different at different publication and prosecution stages of the application
- Before examination, the initially filed independent claims have a broader scope because applicants seek to get as much protection as possible
- Claims of granted patents are, in comparison to the initially filed claims,
 - Usually narrower, i.e. include additional features/limitations, or
 - May be totally different

Admissible claim amendments

Section 75 (1)

Applicant may usually amend/narrow claims anytime during examination, e.g. if originally filed claims are not patentable:

- Adding further features taken from description or other claims
- Replacement of features
- Completely reworded claims
- All features have to be supported by the original description

Section 71 (4)

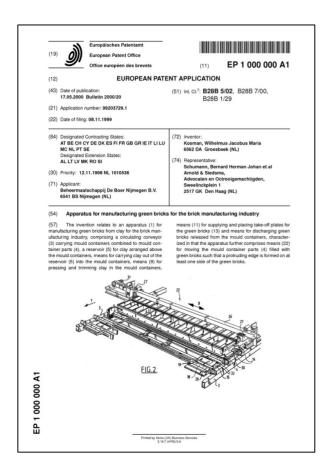
- Features from drawings not supported by the description are not permitted, i.e. they have to be mentioned explicitly in description
- Remember: Initial description may not be amended such that technical details are added
- Initial description (disclosure) has to be comprehensive and complete enough to enable amendments

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What to do if description lacks details?

- File **new application** including amended description/drawings
- Claim priority of earlier application
- Priority right applies only for parts of the new description already disclosed in earlier application!

Patent documents and drafting



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Components of patent documents

Bibliographic data (front page, meta data)
 title, applicant(s), inventor(s), filing date, priorities,...

Description

problem to be solved, prior art, inventive idea, embodiments

- Drawings
- Claims

Disclosure

Sample

Knowledge Sharing

Protection



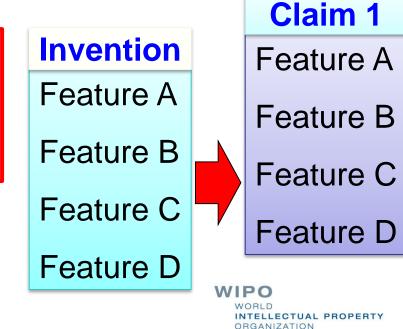
What is a patent claim?

A patent provides protection for an invention, i.e. the invention cannot be used by others for commercial purposes without permission of the owner

An invention offers a technical solution to a problem

Each invention can be defined by the **features** that are **essential** to solve the problem

Main claim includes these features



Drafting of claims

- Claims define the scope of protection
 - Claims have to be clear and concise
 - Claim wording should not permit ambiguous interpretation
 - Principle of Legal Certainty
- Claims are always worded in a rather abstract way
- Need not be self explanatory
- Description and drawings are used to interpret the claims
- Claims are worded as one sentence with heavy punctuation

Claim sample

- 1. A method of determining the torque induced in a rotating shaft (51),
- A the shaft (51) having a torsional oscillation frequency that is dependent on the stiffness of the shaft (51),
- B where the torsional oscillation frequency and the stiffness are dependent upon the operating conditions of the shaft (51),

characterized in that

- **C** the torsional oscillation frequency of the rotating shaft (51) is measured (35);
- D the twist induced in the rotating shaft (51) by the torque is measured (39); and
- E the measured value of the torsional oscillation frequency and the measured value of the induced twist are used (41) to determine the torque induced in the shaft (51).



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Categories of claims

- Two categories of claims according to the two categories of inventions:
 - Claims for methods, processes (intangible)
 - Claims for products (tangible)

Devices, apparatus, compositions,...

Section 62 (2)



Types of claims

Independent claims
 One part claim
 Two part claim
 Dependent claims

Sample: Main claim & dependent claims

- 1. A method of producing a soya bean product, the method including the step of **exposing soya beans to an acidic aqueous solution**.
- 2. A method as claimed in Claim 1, in which the acidic aqueous solution has a pH of between about 2,0 and 5,5.
- 3. A method as claimed in Claim 1 or Claim 2, in which the soya beans are whole beans.
- 4. A method as claimed in any one of the preceding claims, which includes the prior step of dissolving an organic acid in water to produce the aqueous acidic solution.

Claims 2-4 are dependent claims since they refer to claim 1.



Dependent claims

A dependent claim refers to at least one other claim, e.g.

- 2. Apparatus according claim 1 where
- 3. Apparatus according claim 1 or 2 where
- 6. Apparatus according claim 1 and 2 where
- 7. Apparatus according any of the preceding claims where

By way of reference the features/elements of the referenced claim(s) are included, i.e. combined with the other features/elements

References are therefore admissible only to claims of same category (method, product)

Why dependent and independent claims?

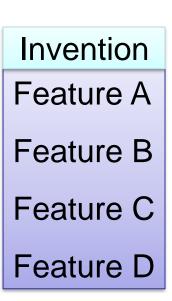
Main claim (1st independent claim):

Includes **all** the features/elements of the invention which are **essential** to solve the problem, and **only** those features!

"1. Apparatus/process with {feature A}, {feature B}, {feature C}, {feature D}."

Dependent claims:

additional features which are not essential but describe options for various embodiments, or for additional advantages







Several independent claims?

Further independent claims for

- Two categories: product and process
- Alternative similar solutions for same problem linked through the same inventive concept

(unity of invention!)

Section 74

Invention
Feature A
Feature B
Feature C
Feature E





Claim sample - one part claim

Introducing part (category, purpose) (preamble)

1. A method of producing a soya bean product, the method including the step of exposing soya beans to an acidic aqueous solution.

Body of claim





Types of independent claims

One part claim:

includes just list of the essential features

"1. Apparatus {with, where, comprising} A,B,C,D"

Invention
Feature A
Feature B
Feature C
Feature D



Claim sample – two part claim

Introducing part (category, purpose) (preamble)

- 1. A method of determining the torque induced in a rotating shaft (51),
- A the shaft (51) having a torsional oscillation frequency that is dependent on the stiffness of the shaft (51),
- B where the torsional oscillation frequency and the stiffness are dependent upon the operating conditions of the shaft (51),

characterized in that **____** generic expression, transitional phrase

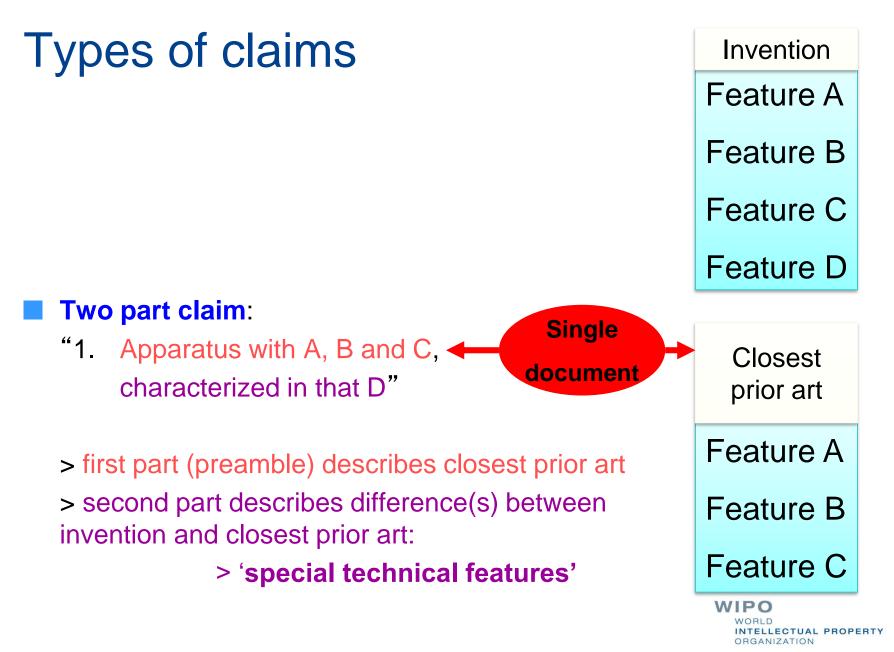
- **C** the torsional oscillation frequency of the rotating shaft (51) is measured (35);
- D the twist induced in the rotating shaft (51) by the torque is measured (39); and
- the measured value of the torsional oscillation frequency and the measured value of the induced twist are used (41) to determine the torque induced in the shaft (51).

Sequence of 5 features A - E (added)



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Closest prior art

State of the art published prior to filing/priority date

Invention

Feature A

Feature B

Feature C

Feature D

Document 1: A+B

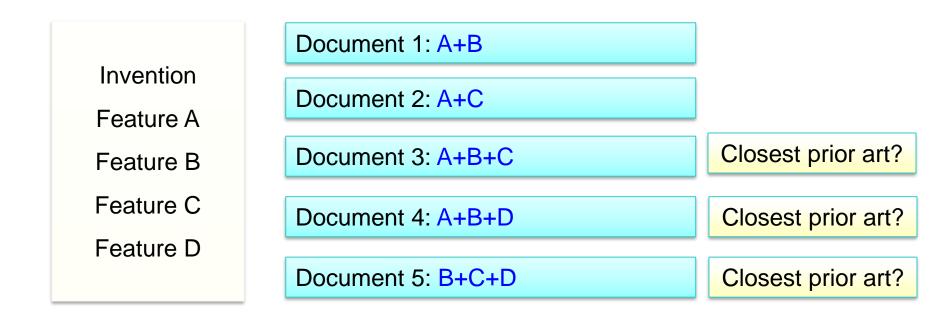
Document 2: A+C

Document 3: A+B+C

Closest prior art

Closest prior art ?

State of the art published prior to filing/priority date



Potential claim wordings

Document 3 is closest prior art: Apparatus with A, B and C, characterized in that D

Document 4 is closest prior art: Apparatus with A, B and D, characterized in that C

Document 5 is closest prior art: Apparatus with B, C and D, characterized in that A

One part claim: Apparatus with A, B, C and D.

WIPO WORLD INTELLECTUAL PROPERTY ORGANIZATION

Preparing a patent application

Do

- Put in writing the problem that you sought to solve
 - Note down the prior art that you are aware off, without searching any database
- Put in writing the solution(s) that you found
- List all features/elements of the solution individually

Which are essential?

- Which are optional, which are alternatives?
- Draft a tentative main claim
- Conduct a prior art search on this claim
- Identify relevant prior art documents
- Conduct a tentative novelty analysis of your main claim

Preparing a patent application

- If your claim appears to be new
 - Additional independent claims are warranted?
 - Prepare drawings
 - Draft description
 - Draft dependent claims based on varied embodiments of the inventive concept, e.g. as described in the detailed description
 - Is there a closest prior art document?
- If your claim is not new:
 - Restrict the claim by adding further features/elements
 - Determine differences of your invention and the prior art?
 - Which ones could be seen as essential or important?

Drafting claims

Do not

- include process steps in product/device claims and vice versa
- mention benefits, advantages, alleged positive effects
- mention the problem that was solved
- refer in a general way to the description or drawings ('as shown in Fig. 1')
- include in the main claim optional features
 - use ambiguous expression (about, nearly, perfectly, almost,...)

Do

- Include in main claim only essential features but all essential features to solve the problem, to achieve the benefits, advantages of the invention
- Refer to elements in drawings by using reference numerals in brackets

Drafting description

Introduction: Background

- Explain the problem that you sought to solve
- Indicate prior art
- Explain why the prior art did not sufficiently solve the problem
- Explain why and how the inventive concept better solves the problem

Brief description of drawings

Detailed embodiments

- Enabling someone skilled in the art to put the invention to work
- Best mode of carrying out the invention
- Utilize and refer to drawings whenever possible

Drafting description

Dont

- Include derogatory statements
- Promise benefits which the invention doesn't deliver

Amending the description and drawings

- Not possible, filing date fixes the initial disclosure!
- Only clerical errors, faint lines,...
- If something essential is missing, a new application need to be filed, usually by claiming the priority of the previous one.

WIPO Patent Drafting Manual

Second edition

https://www.wipo.int/publications/en/details.jsp?id=4584&plang=EN

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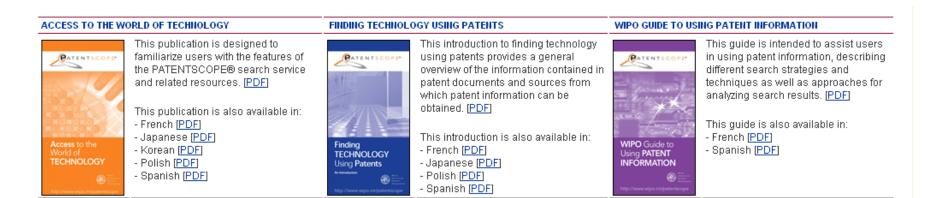
Searching prior art

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Patent Databases

WIPO patent information brochures

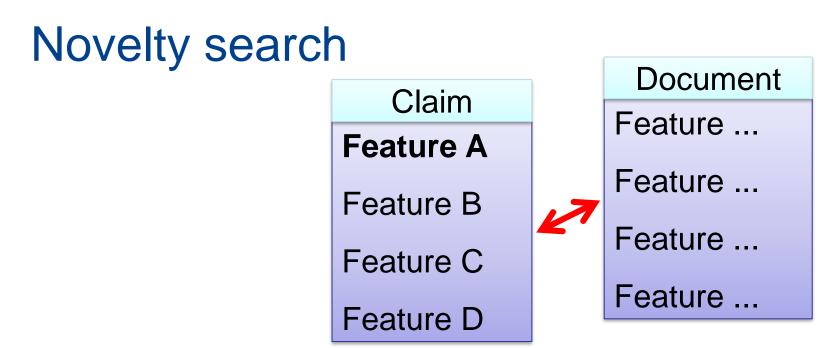
http://www.wipo.int/patentscope/en/publications/



WIPO Guide to Technology Databases:

http://www.wipo.int/edocs/mdocs/mdocs/en/cdip_3/cdip_3_inf_2study_iii_inf_1.pdf

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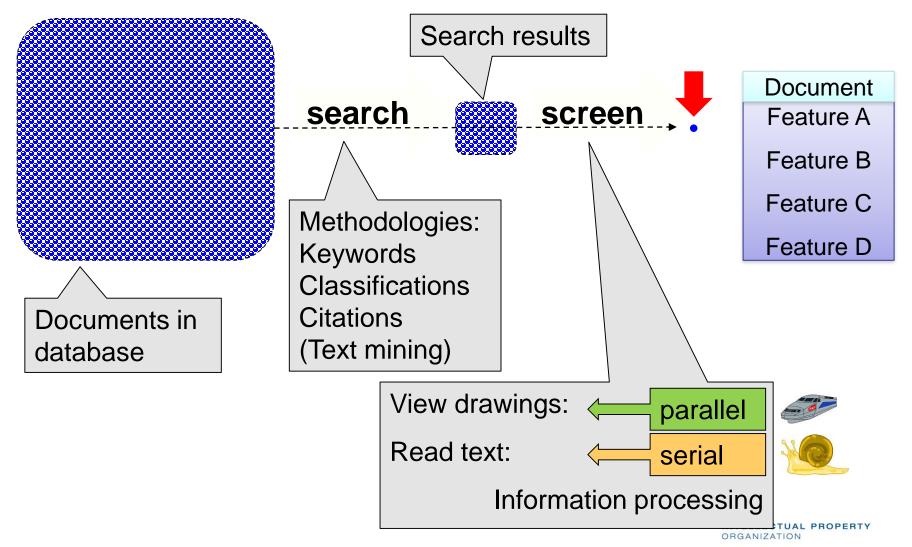


Claimed subject matter is not novel if

all features are known

from a single piece of prior art, e.g. another patent

Novelty: Quest for the one document



Sources of patent information

Primary sources: each jurisdiction defines how **authoritative (official)** patent information is published and the respective authority in charge (national patent offices)

Secondary sources Collect data from various primary sources and make it accessible through single interface:

- Commercial patent databases
- Non-public proprietary search systems of patent offices
 - accessible by selected other users (subscribers) EPOQUEnet (EPO), DEPATIS (DPMA)
 - Not accessible by others (JPO, KIPO, USPTO, ...)
 - Free-of-charge public patent databases:
 - hosted by some IPOs: Espacenet, Patentscope, Depatisnet
 - hosted by others: **Google Patents**, Patentlens,...

Sources: common features & differences

Patent information retrievable

- Which jurisdictions are covered? (country coverage)
- Which data per jurisdiction? Bibliographic data only, full specifications, PDF, legal status;
- Value added information; non patent data
- Patent information searchable (search fields)
- Complexity of query language and search queries:
 - operators
 - truncations
 - nesting, ranges
 - subqueries
 - Natural language, fuzziness, similar documents
- Various formats e.g. for priority data, dates, ... (a nightmare!)
 - Still little standardization

Major public IPO patent databases

Patentscope: WIPO

http://www.wipo.int/patentscope/search/en/search.jsf

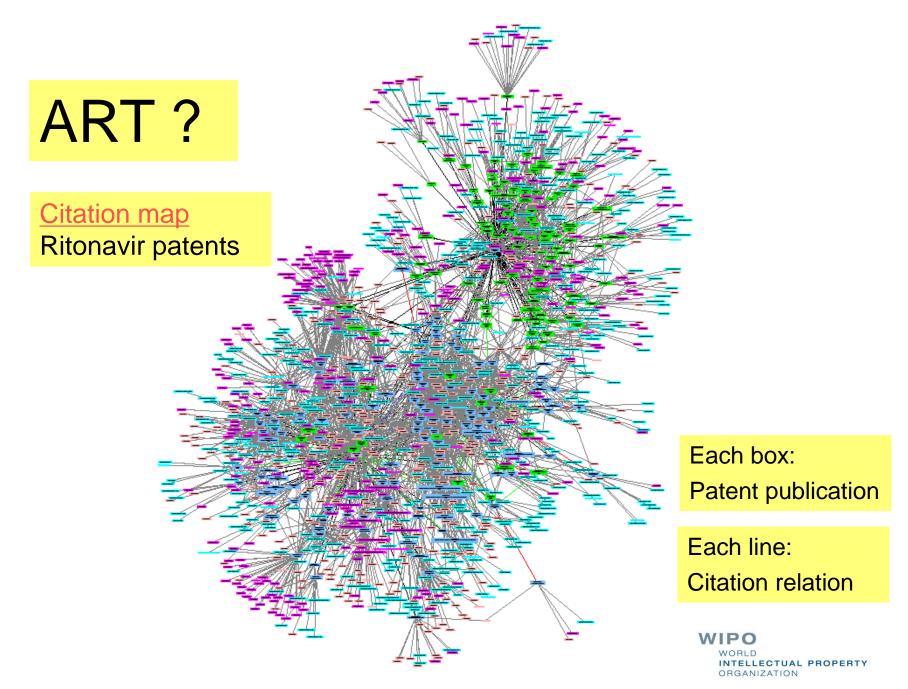
Espacenet: European Patent Office (EPO) <u>http://worldwide.espacenet.com/</u>

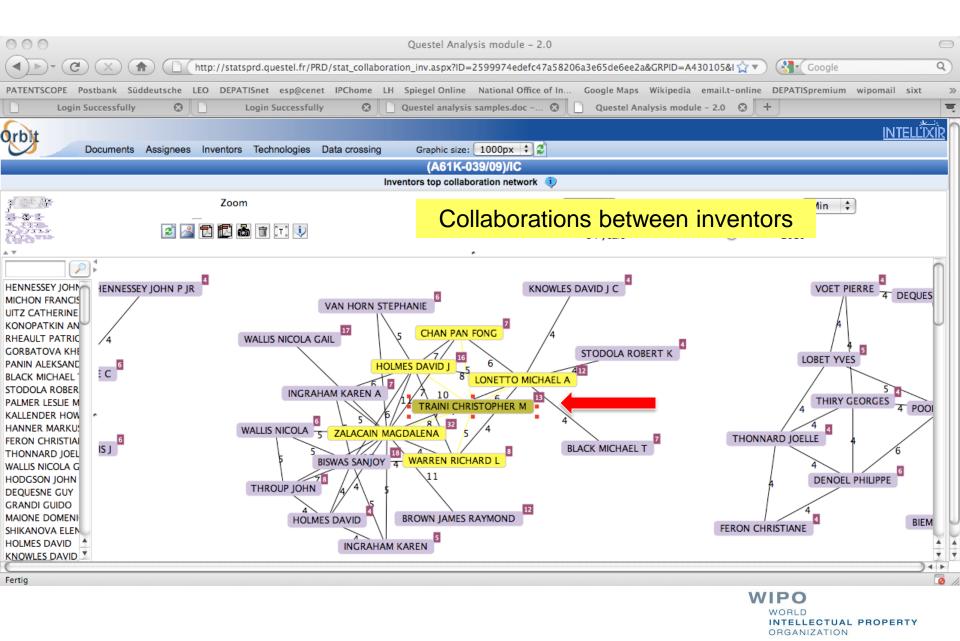
Depatisnet: German Patent Office (DPMA) <u>http://depatisnet.dpma.de</u>

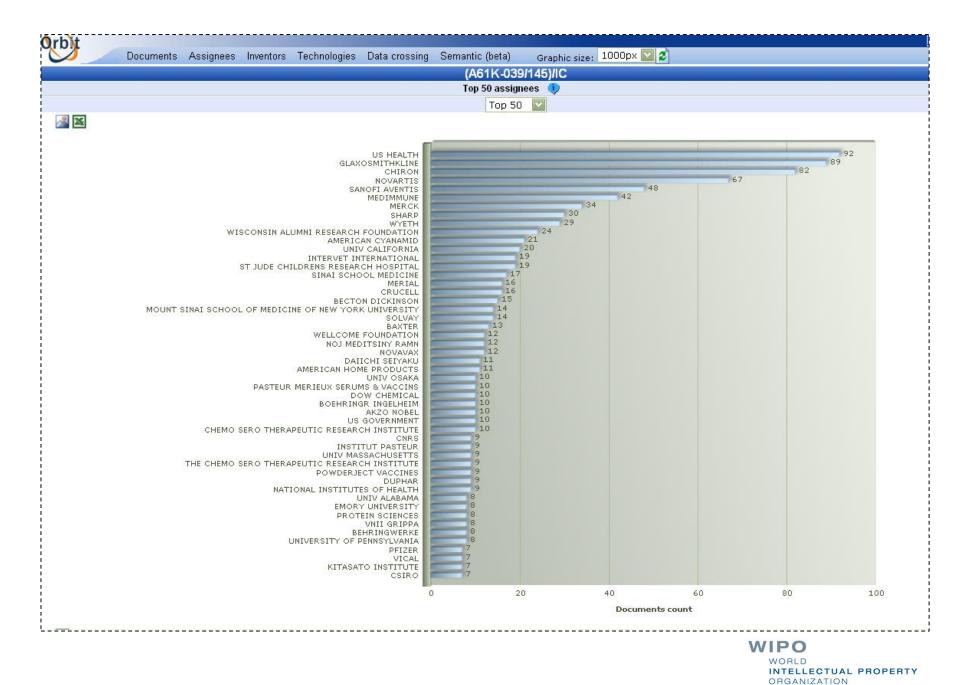
- Retrievable documents: mostly same as commercial providers and office search systems
- Search interface and functionalities: more basic and simple (competition)
- Do not permit as efficient searches as commercial databases or office search systems

Commercial providers

- Commercial database providers/vendors: Clarivate, Questel, STN, LexisNexis, Minesoft,
- fee based
- broad coverage of searchable and retrievable data (e.g. full texts)
- valued added services, e.g.:
 - analysis and visualization tools
 - data enhancement, quality checks
 - added proprietary information, e.g. enhanced abstracts
 - chemical identifiers like CAS registry codes
 - semantic search, text mining (search similar documents)







Abstract

DWPI Abstract ?

(WO2009056818A1)

Novelty

Pharmaceutical composition comprises a solid unit dosage form comprising ritonavir and atazanavir or their salts.

Detailed Description

An INDEPENDENT CLAIM is included for a method of making the pharmaceutical composition comprising: hot melt extruding the ritonavir to form an extrudate, then formulating the extrudate into the first layer; formulating the atazanavir into the second tablet layer; and combining the first and second layers to provide a single unitary multiple layer tablet formulation.

Activity

Anti-HIV.

Mechanism

Protease inhibitor; Cytochrome P450 inhibitor.

Use

The composition is useful for treating HIV or AIDS. No biological data given.

Advantage

The composition increases the treatment potency particularly against drug-resistant HIV-1 strains, without significantly raising the risk for toxicity in treatment-naive and treatment-experienced patients. The composition has greater stability, less risk of chemical interaction between different medicaments, smaller bulk and accurate dosage, and is easy to prepare.

Technology Focus

PHARMACEUTICALS - Preferred Composition: The composition is a tablet formulation comprising the ritonavir in the first layer of the formulation and the atazanavir in the second layer of the formulation; a water insoluble polymer and/or a water soluble polymer; and at least one excipient, where the excipient includes a plasticizer. Preferred Components: The polymer is present at least in the layer containing the ntonavir. The amount of atazanavir and ritonavir is 70-400 mg and 20-200 mg, respectively. The weight ratio of the ritonavir or atazanavir to the weight of the polymer is 1:1-1:6. Preferred Method: The layer containing the ritonavir is obtainable by hot melt extruding the ritonavir with the polymer. The ritonavir is mixed with the water soluble polymer and/or the water insoluble polymer prior the hot melt extrusion step. The atazanavir is mixed with the water soluble polymer and/or water insoluble polymer and extruded by hot melt granulation processor melt granulation process. The method comprises preparing a substantially homogeneous melt of the ritonavir or atazanavir and optionally one or more excipients, extruding the melt, and cooling the melt until it solidifies. The melt is formed at 50-200° C. In the method, the ritonavir, the polymer, and optionally one or more excipients are processed to form a powder blend which is transferred through the heated barrel of the extruder, where the powder blend melts and a molten solution product is formed, which is allowed to cool to form an extrudate. The method comprises processing the cooled extrudate into a desired pharmaceutical dosage form. The layer containing the atazanavir is prepared by direct compression or by wet granulation.

Abstract ?

The invention relates to pharmaceutical compositions containing a combination of atazanavir and ntonavir, to methods of making them, and their use in medicine.

DWPI sample

- written by experts
- covering some 45+ countries
- in English
- solution to language barrier in keyword searching
- alternative to poor quality of applicant written abstracts

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How to search technology ?

- Using keywords
 - Ianguage dependent
 - synonyms, variations
 - cross lingual search (in Patentscope)
- Using classification codes
 - Ianguage independent
 - different classification systems
 - predefined concepts
- Combinations of keywords/classifications
- Reiteration, Refinement by review of results
- Text mining tools (search for similar documents, e.g. by starting from one given document)

Keyword search

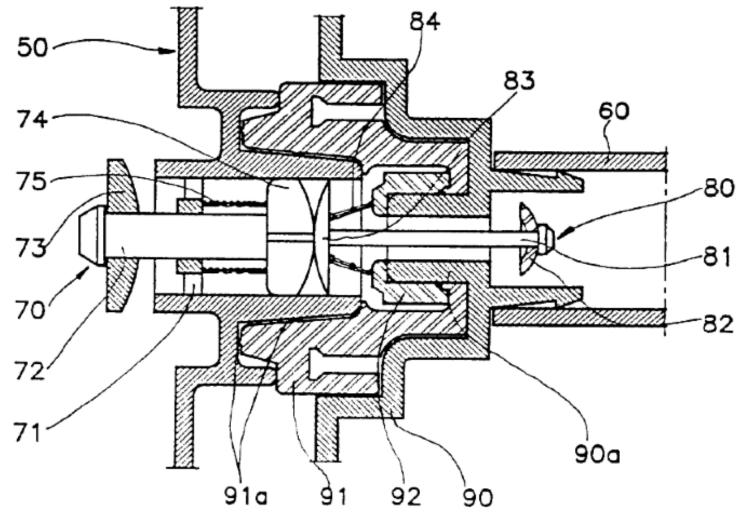
Searchable fields (parts of patent documents)

- **Title**: too short (field of technology)
- Abstract: may be unspecific, not focused on real invention; usualy not checked by examiners
- **Claims:** define invention but use sometimes unspecific terminology;
 - features described in a functional way, e.g. "device for doing this and that, where x happens when y is acted,....;
 - alternative expressions; imagine in how many ways a structural feature of a mechanical construction could be described

"lawyerish language"

- Full text: may increase noise, decrease precision
 - E.g. because descriptions also describe prior art solutions; or inappropriate details

Describing structural features ?



ORGANIZATION

OPERTY

How to search technology?

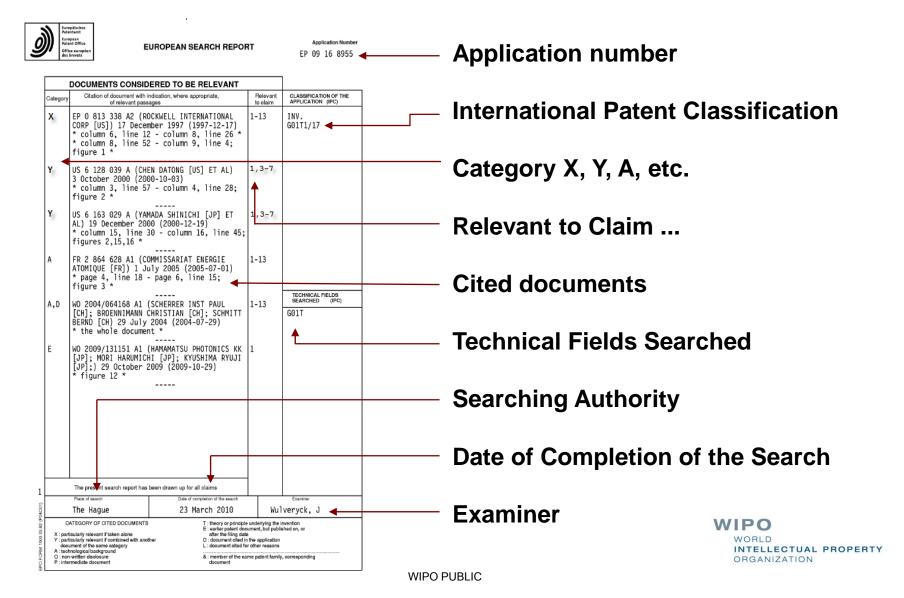
►Initial search results (hits), e.g. by keywords:

- Positive hits, i.e. relevant/appropriate documents
- Noise, i.e. irrelevant/inappropriate documents
- Use initial hits for further improvement/refinement of search results; you may indentify:
 - Further keywords, synonyms;
 - Keywords for excluding certain subject matter
 - Classification symbols
 - Applicant/inventor names to search for related inventions filed by them
 - Similar documents through backward/forward citations in researched documents

How to search technology?

- State of art (prior art) search report of applications include information on patent applications with similar technology
 - citations by examiner
 - citations by applicant
 - citations by third parties
 - Document categories:
 - X: challenging novelty > very similar
 - Y: challenging inventive step > quite similar
 - A: technical background > broadly similar
- Recurrent approach; exploit several generations of citations: citations in citations in; include backward and forward citations

Enriched prior art search reports



Common Citation Document (CCD)

http://ccd.fiveipoffices.org/CCD-2.1.6/

CCD \	/iew				Inspector: bil
Compa	ict view	Sor	t by country Filter (1) - Classifications & fields searched		Bibliograph
#	сс	Cat.	Citation details	Claims	Servo valve
	EP		Application Nº EP04425475 (EP20040425475) - 30 June 2004		engine fuel
			National Search Report		Publication d
DEV		X Y	DE4310984 A1 (REXROTH MANNESMANN GMBH [DE]) - 6 October 1994 Column 4, line 31 - column 5, line 54 Figure 1	1-5,13 6	Inventor(s):
DEVONthink		Y A	W00111227 A1 (SIEMENS AG [DE], et al) - 15 February 2001 Page 7, line 9 - page 9, line 3 Figure 1 Claim 17	6 1	Applicant(s):
		A	EP0740068 A2 (LUCAS IND PLC [GB]) - 30 October 1996 Column 4, line 27 - column 5, line 13 Figure 2	1	
		A	US6257499 B1 (STURMAN ODED E) - 10 July 2001 Column 4, line 49 - column 5, line 23 Figure 4	1	
2	ΑΤ		Application Nº AT05425384 (AT20050425384T) - 27 May 2005		Application n
3	ΑΤ		Application Nº AT05425383 (AT20050425383T) - 27 May 2005		Priority num
4	DE		Application N° DE602004004254 (DE200460004254T) - 30 June 2004		Abstract of
5	DE		Application No DE602005000662 (DE200560000662T) - 27 May 2005		A control server combustion en chamber (13)
6	DE		Application No DE602005003175 (DE200560003175T) - 27 May 2005		outlet passage the actuator (9 close and open
7	EP		Application Nº EP05425384 (EP20050425384) - 27 May 2005		the servo valve the actuator (9
			National Search Report		the outlet pass (30) of the axia
Simple	e familie	es: 5	Total family members: 23		and the shutte

Inspector: biblio fo	r EP200	4042	547	5			
Bibliographic da	ta: EP :	1612	2403	(A1)		۲
Servo valve for engine fuel inject		ling	an i	nterr	nal com	bustion	
Publication date:	4 Janu	Jary 2	006				
Inventor(s):	RICCO MARIO [IT]; DE MATTHAEIS SISTO LUIGI [IT]; GORGOGLIONE ADRIANO [IT]; DI MEO ALFONSO [IT]						
Applicant(s):	FIAT F	RICER	CHE (IT]			
		natio	nal:		159/46; 147/02		
		ean:		F02M F02M	47/02D; 63/00E2B 63/00E4C 63/00E4D		
Application number	r: EP200)4042	5475	2004	0630		_
Priority number(s)	: EP200	04042	5475	2004	0630		_
Abstract of EP 1 A control servo valve combustion engine fu	(8) is I	-	1) Hide	CCD		Double	/iewer
chamber (13) commu outlet passage (22), a	nicatir		iow				
the actuator (9) betw close and open the out	een a	Expand		Sort	by country	Filter (1)	- CI
the servo valve (8) al the actuator (9) and	the cor	#	сс	Cat.	Citation	details	
the outlet passage (2 (30) of the axial rod (and the shutter (35)	29)	7	EP		Applica	tion Nº	EP05
outer lateral surface ((30), a	8	ES		Applica	tion Nº	ES04
	5	9	ES		Applica	tion Nº	ES05
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	inter.	11	JP		Applica	tion N ^o	JP 20
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Compilation of backward citations from members of the patent family

C	D V	liew		Inspector: biblio for EP20040425475
Exp	and	view So	rt by country Filter (1) - Classifications & fields searched	Bibliographic data: EP 1612403 (A1)
#	ŧ	CC Ca	t. Citation details	Claims Servo valve for controlling an internal combustion
7	7	EP	Application Nº EP05425384 (EP20050425384) - 27 May 2005	engine fuel injector
8	в	ES	Application Nº ES04425475 (ES20040425475T) - 30 June 2004	Abstract of EP 1612403 (A1)
	9	ES	Application Nº ES05425384 (ES20050425384T) - 27 May 2005	A control servo valve (8) is housed inside the casing of an internal combustion engine fuel injector (1), and has an actuator (9), a control of the second s
-11	10	JP	Application Nº JP2005192051 (JP20050192051) - 30 June 2005	chamber (13) communicating with a fuel inlet (5) and with a fuel outlet passage (22), and a shutter (35) movable along an axis (3) by
think	11	JP	Application Nº JP2005118446 (JP20050118446) - 15 April 2005	the actuator (9) between a closed position and an open position to close and open the outlet passage (22) respectively
	12	US	Application Nº US11112772 (US20050112772) - 21 April 2005	the servo valve (8) also has a fixed axial rod (29) interposed between the actuator (9) and the control chamber (13)
1	13	US	Application Nº US11741474 (US20070741474) - 27 April 2007	the outlet passage (22) comes out through an outer lateral surface
1	14	US	Application Nº US11171659 (US20050171659) - 30 June 2005	(30) of the axial rod (29) and the shutter (35) is defined by a sleeve which slides axially on the
Þ 1	15	EP	Application Nº EP05425383 (EP20050425383) - 27 May 2005	outer lateral surface (30), and, in the closed position, closes the outlet passage (22) so as to be subjected to a zero axial resultant force by
1	16	JP	Application Nº JP2005191978 (JP20050191978) - 30 June 2005	the pressure of the fuel.
1	17	US	Application Nº US11171658 (US20050171658) - 30 June 2005	
Þ 1	18	AT	Application Nº AT06114551 (AT20060114551T) - 25 May 2006	Bibliographic data: EP 1612403 (B1)
, 1	19	CN	Application Nº CNA2006101639681 (CN20061163968) - 24 November 2006	
1	20	KR	Application Nº KR1020060117230 (KR20060117230) - 24 November 2006	
• :	21	EP	Application Nº EP06114551 (EP20060114551) - 25 May 2006	
2	22	JP	Application Nº JP2006147852 (JP20060147852) - 29 May 2006	
	22	lic	Application NO 11511441641 (UC20060441641) 26 May 2006	

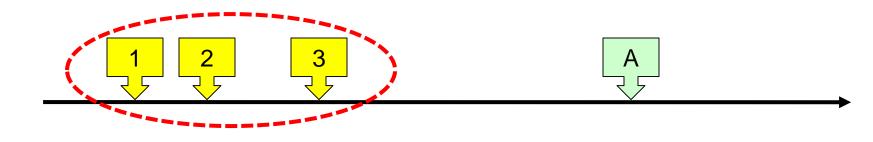
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#	CC	Cat.	Citation details		Claims	Full document: US 45	01246 (A)		
- 1	EP		Application Nº EP20040425480 (EP0442	30 June 2004	_				
			National Search Report					omostic f	omily of
		х	DE10345154 A1 (DENSO CORP [JP]) - 22 April 20 Page 3, paragraph 19	04	1-3			omestic f	
			Figure 1			Unit	ed	selected (citation
		x	US4501246 A (BOSCH GMBH ROBERT [DE]) - 26 Column 2, line 22 - column 2, line 23	February 1985	1-5	Leblan			
			Figure 1						
		х	EP0270720 A1 (RENAULT [FR]) - 15 June 1988 Page 5, line 12 - page 6, line 5		1.4-8	[54] [54]	JEL INJECTION	PUMP blanc, Lyons, France	4,398,518 8/1983 Leblanc e 4,398,519 8/1983 Tissot et
			Figure 1, 6	PDF of	selec	ted citatio	n Robert B	Bosch GmbH, Stuttgart, Fed.	FOREIGN PATENT
		Α	EP0299337 A2 (IVECO FIAT [IT], et al) - 18 Jan Figure 1	. 2. 01	00100		Rep. of 0	Germany	53-80803 7/1978 Japan Primary Examiner-William L.
		А	DE19714489 C1 (SIEMENS AG [DE]) - 1 October	1998	1-8		ed: Jul. 13, 1	1982	Assistant Examiner-Paul F. N Attorney, Agent, or Firm-Edw
2	47		Figure 1	20) 20 June 2004		[30] Jul. 22,		tion Priority Data	-{57] ABSTRA
	AT		Application Nº AT20040425480T (AT044254			[51] Int.		Rep. of Germany 3128975 	A fuel injection pump is prop metering during the intake strok
3	DE		Application Nº DE200460002105T (DE60200 2004	14002105) - 30 June			S. Cl		the fuel injection pump is effect duit, the cross section of which
4	ES		Application Nº ES20040425480T (ES044254)	80) - 30 June 2004		[58] Fie	417/253, 462, 505;	417/487, 519, 221, 244, ; 123/449, 450, 458, 502, 500,	electrically controlled switchin means of the control of a fuel
5	эр		Application Nº JP20050120087 (JP20051200			[56]	Referen	ces Cited	pressure conduit by means of a communicates with the pump
6	э. ЭР		Application Nº JP20090214944 (JP20092149			[50]		DOCUMENTS	part of a distributor shaft, is guid rpm. By means of the oblique d
0	26		2009		totions			edoff 123/450	groove and the possibility of an longitudinal displacement of the
			National Examination		alions	s of JPO	1971 Voit	m et al 123/449 et al	angular position at which injec embodied in an arbitrary manne
			JP2004011448 A (NIPPON SOKEN, et al) - 15 Jan	nuary 2004			775 4/1983 Strau	r et al	switching valve.
			JP2004124727 A (DENSO CORP) - 22 April 2004				,610 5/1983 Lebla		5 Claims, 2 Drawi
			JPH01160164 U						
			JP11230005 A (NIPPON SOKEN, et al) - 24 Augus					1/5 - ABSTRACT	
			JP10299611 A (NIPPON SOKEN) - 10 November 1			20 00 u 20 70 m d		2/5 - DRAWINGS 3/5	
		nilies: :	JP2001107776 A (NISSAN MOTOR) - 17 April 200 I Total family members: 7	1	-	20.99 x 29.70 cm 4		4/5 - DESCRIPTION 5/5 - CLAIMS	

Backward and forward citations

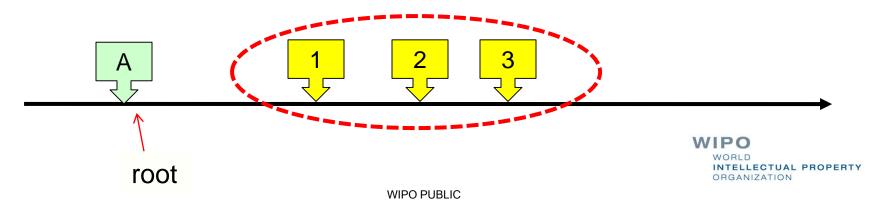
For any publication A:

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Publications citing A → Forward citations of A



Espacenet: Forward Citations

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WO2011113363 (A1) → Citations						
WO2011113363 (A1)	Citing documen	ts: WO2011113363 (A1) —	- 2011-09	-22		
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Claims Mosaics Original	3 documents citing WO2	011113363 (A1)				
Cited documents Citing documents INPADOC legal status	Sort by Priority date	Sort order Descending	Sor			
Quick help	★ Inventor: HAIPING WANG CHENG CHI (+3)	Applicant: SHANGHAI RIGHT HAND MEDICAL TECHNOLOGY DEV CO LTD	CPC:	IPC: A61K31/165 A61P1/00 A61P25/00 (+6)	Publication info: CN102718675 (A) 2012-10-10 CN102718675 (B) 2015-03-25	Priority date: 2012-06-07
What happens if I click on "Download covers"?	2. SOLID PHARMACI	EUTICAL COMPOSITION FOR ORALLY D	ELIVERING AC	SOMELATINE		
→ What are citing documents? → Why do some documents not have any citing documents? → What happens if I click on the star icon?	★ Inventor: THARRAULT FRANCOIS [FR] POIRIER CECILE [FR] (+2)	Applicant: SERVIER LAB [FR] THARRAULT FRANCOIS [FR] (+3)	CPC: <u>A61K31/165</u> <u>A61K9/0056</u> <u>A61K9/006</u> (+3)		Publication info: WO2013021139 (A1) 2013-02-14	Priority date: 2011-08-10
	3. <u>Solid pharmaceuti</u>	cal composition for buccal administratio	on of agomelati	ne		
	★ Inventor: THARRAULT FRANCOIS [FR] POIRIER CECILE [FR] (+2)	Applicant: SERVIER LAB [FR]	CPC: A61K31/165 A61K9/0056 A61K9/006 (+3)		Publication info: EP2556824 (A1) 2013-02-13	Priority date: 2011-08-10

Google patents: Forward Citations

Agomelatine hydrobromide hydrate and preparation thereof

Abstract

An agomelatine hydrobromide hydrate of formula (I), in which X is Br, preparation method and use thereof as well as pharmaceutical composition containing it are provided. The solubility of the agomelatine hydrobromide hydrate obtained by the present method is significantly higher than that of agomelatine. Therefore, it is more suitable for manufacturing pharmaceutical formulations. In addition, the product has higher stability and purity. The present product of high purity can be obtained through a simple process, rather than process with complicated steps.

Classifications

C07C233/18 Carboxylic acid amides having carbon atoms of carboxamide groups bound to hydrogen atoms or to acyclic carbon atoms having the nitrogen atom of at least one of the carboxamide groups bound to a carbon atom of a hydrocarbon radical substituted by singly-bound oxygen atoms with the substituted hydrocarbon radical bound to the nitrogen atom of the carboxamide group by an acyclic carbon atom having the carbon atom of the carboxamide group bound to a hydrogen atom or to a carbon atom of an acyclic saturated carbon skeleton

View 1 more classifications

WO2011113363A1

WO Application

Application number: PCT/CN2011/071912

Other languages: French

Inventor: Hanbin Shan , Zhedong Yuan , Xueyan Zhu , Peng Zhang , Hongjuan Pan , Xiong Yu

Original Assignee: Les Laboratoires Servier

Priority date: 2010-03-17

Filing date: 2011-03-17

Publication date: 2011-09-22

Info: Patent citations (2), Non-patent citations (1), Cited by (4), Also published as (16), Legal events, Similar documents

External links: Espacenet, Global Dossier, PatentScope, Discuss

Text mining – similar documents

- Text mining software analyses the content/topics of a given document, for example by determining statistical patterns of significant keywords
- Software identifies similar documents, for example such having similar keyword patterns
- Simple application available in Google patents

Semantic Search-similar documents

Google Patents

laser cancer infrared

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Q

2 of 45835 < >

← Back to results / laser; cancer; infrared;

Photodynamic laser detection for cancer diagnosis

Abstract

A method and apparatus for detecting the presence of cancerous tissue using fluorescence. The tissue under examination which has absorbed Hematoporphyrin Derivative (HpD) is excited with a beam of coherent light from a diode laser flashed to the body through a fibre optic probe assembly. The fluorescence spectrum and image, as well as normal image from the tissue under examination, are transmitted through the same probe assembly and collected by associated detectors and processor for analysis. The fluorescence spectrum and superimposed normal image and fluorescence image are observed simultaneously on a TV monitor to determine the presence of cancer and its extend, based on the knowledge that the fluorescence spectrum of cancerous tissue is substantially different from normal tissue. <IMAGE>

Classifications

G01N21/6456 Spatial resolved fluorescence measurements; Imaging

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+ Inventor	From the four images a di- mensionless contrast function value is calculated for each spatial location using the corresponding pixel values in the fluorescence images . Finally, a generalized image , spatially displaying the contrast function is formed						
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Patent Office - Language - Status - Type -	Publication 1991 Several attempts have been made in the past to enhance the detection of early lung cancer by using fluorescent tumor markers such Fluorescence images at two (or more) characteristic spectral bands are amplified and captured by a sensitive image -intensified camera						

Thank you

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