

Mastering priority claims in PCT applications

WIPO PCT Webinar Series

Session 4

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What we will cover....

What priority claims can be made and how

- Legal basis and background
- Correction and addition of priority claims
- Furnishing of priority documents

Poll questions



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Claiming priority (PCT Article 8, Rule 4.10)

- The international application may contain a declaration claiming the priority of one or more earlier applications
 - filed in or, by way of a regional or international application, for any country party to the Paris Convention and/or
 - filed in any Member of the World Trade Organization (WTO) that is not party to the Paris Convention

Contents of priority claims (Rule 4.10)

Filing date

Application number

Country or regional/receiving Office

Add				
Type * National	v	Option(s) for providing the priority document to the IB * © Receiving Office to prepare and transmit to the International Bureau		
Country/Office *	•	 To be provided by the applicant An electronic copy of the priority document (certified by the issuing Office) will be submitted with this data package at the time of filing International Bureau to obtain from a digital library [DAS] The receiving Office is requested to restore the right of priority for this earlier application. 		
Filing Date *				
Application number				
		Cancel OK		

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The right of priority (1) (Article 4 of the Paris Convention)





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The right of priority (2) (Article 4 of the Paris Convention)

- For prior art purposes, the effective date of the later applications = filing date of the first application
- The right of priority can be based only on the first filed application on the subject matter (see Paris Convention Art.4C(4) for exception)
- The later applications must concern the same subject matter
- Withdrawal, abandonment or rejection of the first application does not destroy its capacity to serve as a basis for priority
 - Multiple and partial priorities possible

Priority date for computing time limits (PCT Article 2(xi))

- One priority claim → the filing date of the priority application
- Several priority claims → the filing date of the earliest priority application
 - No priority claim \rightarrow the international filing date

Loss of priority claim

- Withdrawal by applicant
- Declaration by RO or IB that priority claim is considered not to have been made ("considered void")
- Decision by DO/EO:
 - □ for procedural reasons (e.g. no priority document)
 - for substantive reasons (e.g. not the same subject matter, no right to claim priority)

Correction/addition of priority claims affecting the priority date (Rule 26*bis*) (1)

Cases concerned:

- adding a priority claim with an earlier filing date than any priority claim present in the application
- correction of the filing date of the earliest priority claim

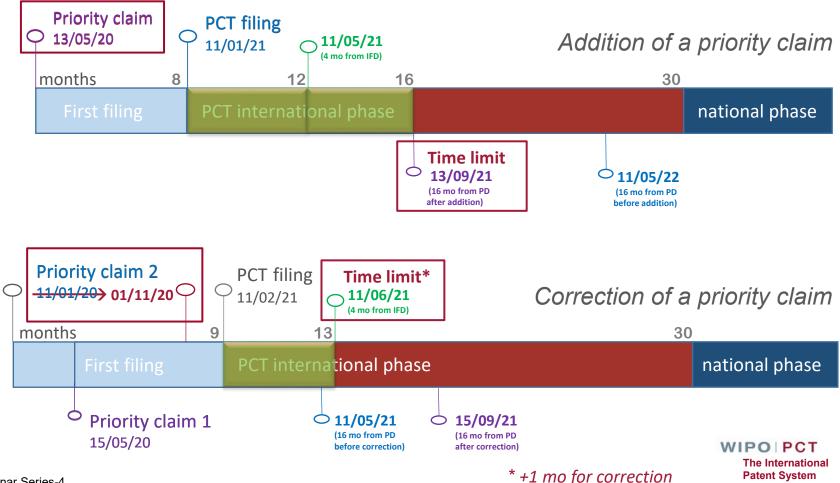
Correction/addition of priority claims affecting the priority date (Rule 26*bis*) (2)

Applicable time limit:

- ☐ 4 months from the international filing date; or
- Iater if the earlier of the following two time limits expires after the 4-month time limit:
 - 16 months from the priority date before the correction or addition
 - 16 months from the priority date after the correction or addition
- any correction received before the RO or IB has declared the priority claim void and not later than <u>one month</u> after the expiration of the above time limit, will be considered as timely received (Rule 26*bis*.2(b))

PCT Webinar Series-4 NOTE: This does not apply to late additions of priority claims

Correction/addition of priority claims affecting the priority date (Rule 26*bis*) (3)



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Correction/addition of priority claims not affecting the priority date (Rule 26*bis*) (1)

Cases concerned:

corrections which do not affect the filing date of the priority claim

adding a priority claim with a later filing date than the earliest priority claim present in the application (e.g., second priority claim)

corrections of the filing date of a priority claim which is not the earliest one Correction/addition of priority claims not affecting the priority date (Rule 26*bis*) (2)

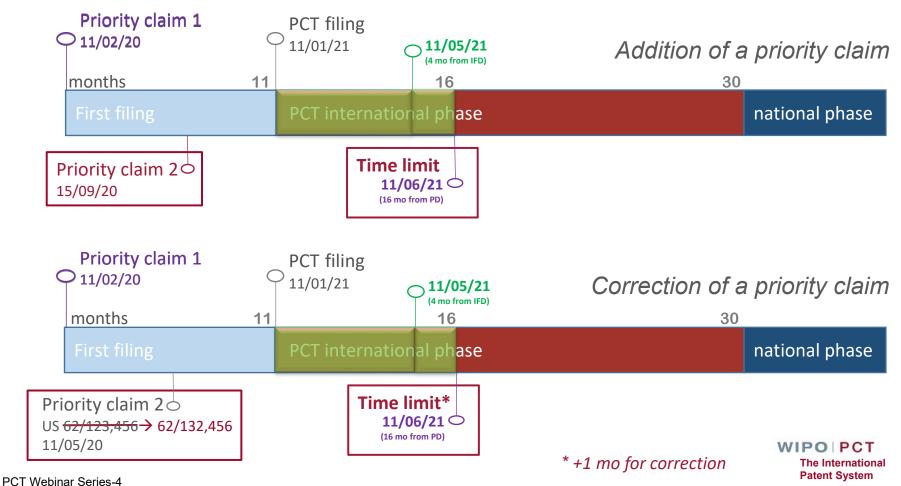
Applicable time limit:

- ☐ 4 months from the international filing date; or
- 16 months from the priority date, whichever time limit expires later
- any correction received before the RO or IB has declared the priority claim to be void and not later than <u>one month</u> after the expiration of the above time limit, will be considered as timely received (Rule 26*bis*.2(b))

NOTE: This does not apply to late additions of priority claims

Rule 91: 26 months from the priority date

Correction/addition of priority claims not affecting the priority date (Rule 26*bis*)(3)



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http://www.wipo.int/pct/en/calculator/pct-calculator.html

PCT Time Limit Calculator

The PCT Time Limit Calculator assists applicants in the computing of essential PCT Time Limits.

Main Time Limits	Correct / Add Priority	Amended Claims	Demand	Suppl. Int. Search	Withdrawal	Record (Changes	Other	
To calculate the time lin	nit for correcting or adding a priorit	y claim, please enter the ea	arliest priority dat	e, the priority date as propose	ed to be changed and	d the internat	tional filing date		
					DD	MM	YYYY		
	Earliest Priority Date 13 . 01 . 2021								
	Priority Date as Changed 15 05 2020								
	Interna	tional Filing Date			13	. 01	. 2021		
							Calculate	Reset	
	rection or Addition of Priority Cl	aim (Rule 26 <i>bis</i>)	Time 15 Septembe	Limit r 2021					
Summary [Print]									
Disclaimer	PCT Timelines [PDF]		Time Limits for Entering National/Regional Phase		PCT Reservations and Incompatibilities		Office Closed Dates		

Invitation to correct by RO or IB (1)

Forms:

□ receiving Office: Form PCT/RO/110

□ International Bureau: Form PCT/IB/316

Invitation (Rule 26*bis*.2(a)) is issued if:

- priority claim does not comply with requirements of Rule 4.10 (something is incorrect or missing)
- indication in the priority claim is inconsistent with the corresponding indication appearing in the priority document
- International filing date falls outside the priority period

Invitation to correct by RO or IB (2)

- Also alerts the applicant of the possibility to request restoration of the priority right (Rule 26*bis*.3) if the international filing date is within two months from the expiration of the priority period
- If the applicant does not correct the priority claim in response to the invitation, the priority claim concerned will be considered void, for the purposes of the procedure under the PCT (Rule 26*bis*.2(b))

Invitation to correct by RO or IB (3)

- However, a priority claim will not be considered void only because (Rule 26*bis*.2(c)) :
 - the indication of the number of the earlier application is missing; or
 - an indication in the priority claim is not the same as the corresponding indication appearing on the priority document; or
 - the international filing date is outside of the priority period but within two months from the expiration of the priority period

Publication related to priority claims (1)

- Information concerning a priority claim considered void or only not considered void because of Rule 26bis.2(c):
 - ❑ the International Bureau publishes such information, free of charge, together with any information furnished by the applicant concerning the priority claim (Rule 26*bis*.2(d))

Publication related to priority claims (2)

- Correction and addition of priority claims received after the time limit:
 - applicant may request the International Bureau (Rule 26bis.2(e)) to publish information concerning the priority claim concerned:
 - within 30 months from priority date; and
 - subject to the payment of a fee

Effect in the national phase

- Designated Offices can revive a priority claim considered void by the receiving Office
- Warning to third parties: different priority dates may apply in different designated States (Rules 26bis.2(d) and 48.2(a)(ix))

Furnishing of priority documents (Rule 17.1)

- The applicant must provide a priority document for each corresponding priority claim (a certified copy of the earlier application)
 - furnish directly to the receiving Office or the International Bureau (Rule 17.1(a)); or
 - request the receiving Office to prepare and transmit to the IB (Rule 17.1(b)), if the earlier application was filed with that Office; or
 - request the IB to obtain the priority document from a digital library (Rule 17.1(b-bis)) (only for Offices participating in the Digital Access Service (DAS)

Requesting DAS p-doc retrieval

Type * National	 Option(s) for providing the priority document to the IB * O Receiving Office to prepare and transmit to the Internat 				
Country/Office * US - United States of America	Ŧ	 To be provided by the applicant An electronic copy of the priority document (certified by the issu Office) will be submitted with this data package at the time of film International Bureau to obtain from a digital library (DAS) DAS access code * 1234			
Filing Date * 29/10/2019					
filing date does not match for US - 61/274654 in DAS.					
Application number * 61/274654		The receiving Office is requested to restore the right of priority for this earlier application.			
format should be "08/999,999"					



Time limit for the furnishing of priority documents (Rule 17.1)

Direct submission by the applicant to the RO:

u within 16 months from the priority date

Direct submission by the applicant to the IB:

before international publication

Request to the RO to prepare and transmit the priority document to the IB:

u within 16 months from the priority date

- Submission via DAS to IB:
 - The priority document must be made available to the IB via DAS <u>and</u> the request to the IB to retrieve the priority document must be made before international publication

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Poll answers



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Questions?



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PCT Resources

 General questions about the PCT
 Contact the PCT Information Service: Telephone: +41 22 338 83 38
 E-mail: pct.infoline@wipo.int

Questions about the ePCT
Contact PCT eServices Help Desk: Telephone: +41 22 338 95 23 E-mail: pct.eservices@wipo.int

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