

Patent Cooperation Treaty (PCT) Working Group

**Seventh Session
Geneva, June 10 to 13, 2014**

FEE REDUCTIONS FOR CERTAIN APPLICANTS FROM CERTAIN COUNTRIES, NOTABLY DEVELOPING AND LEAST DEVELOPED COUNTRIES

Document prepared by the International Bureau

SUMMARY

1. The present document sets out the update, requested by the Working Group at its sixth session, of previous working documents prepared by the International Bureau on the issue of fee reductions for certain applicants from certain countries, notably developing and least developed countries. As agreed by the Working Group, the information set out in the present document, together with previous working documents PCT/WG/3/4 Rev. and PCT/WG/6/10, are to form the basis for the continuation of the discussions on the issue by the Working Group.

BACKGROUND

2. The issue of a reduction in certain fees for applicants from certain countries, notably, developing and least developed countries, has been on the agenda of the Working Group for several years now. For a summary of the discussions by Member States in both the Assembly and the Working Group, see the “background” section in document PCT/WG/3/4 Rev., paragraphs 4 to 10.
3. Following discussions at the thirty-eighth session of the Assembly and the second session of the Working Group, the International Bureau had presented a set of revised proposals (document PCT/WG/3/4 Rev.) to the third session of the Working Group, suggesting that an updated set of criteria, namely, a *combination of income and innovation-based factors*, should be used to determine the eligibility for reduction in certain PCT fees.

4. More specifically, the International Bureau had proposed that an international application should only benefit from the 90% fee reduction if it is filed by:

- (a) an applicant who is a natural person and who is a national of and resides in a State that is listed as being a State whose per capita gross domestic product (GDP) is below US\$ 25,000, according to the most recent ten-year average per capita gross domestic product (GDP) figures at constant 2008 US\$ values published by the United Nations, *and* whose nationals and residents who are natural persons have filed less than 10 international applications per year (per million population) or less than 50 international applications per year (in absolute numbers) according to the most recent 5-year average yearly filing figures published by the International Bureau; or
- (b) an applicant, whether a natural person or not, who is a national of and resides in a State that is listed as being classified by the United Nations as a least developed country.

5. However, the proposals presented again met with concerns by certain Member States, and the third session concluded with no agreement on the proposed new criteria for the eligibility for reduction in certain PCT fees.

6. In view of the lack of suggestions by Member States as to a possible way forward, and noting the continued divergence of views among Member States as to which criteria to apply to determine which group of countries should benefit from reductions in certain PCT fees, the issue was not on the agenda of the fourth session of the Working Group held in 2011.

7. At its fifth session in 2012, the Working Group—while noting the complexity of the issue and of the issue of finding a financially sustainable way forward to make the PCT system more accessible—requested the International Bureau to prepare a further discussion paper on the issue (see the Summary by the Chair, document PCT/WG/5/21, paragraph 10, and the report of the session, document PCT/WG/5/22 Rev. paragraph 52).

8. At the sixth session in 2013, the Working Group continued its discussions on the issue, based on a further discussion paper prepared by the International Bureau (document PCT/WG/6/10, paragraphs 48 to 84). That discussion paper included a review of, and comments by the International Bureau on, the concerns raised and suggestions made by Member States during the discussions of the Working Group of the main proposal (document PCT/WG/3/4 Rev.) at its third session, and posed a number of questions to Member States as to the main principles which should govern the establishment of new eligibility criteria for PCT fee reductions.

9. The Chair summarized the discussions by the Working Group at its sixth session as follows (see the report of the session, document PCT/WG/6/24, paragraphs 217 and 218):

“217. The Chair summarized the interventions on fee reduction eligibility criteria for applicants from developing and least developed countries. There was no clear way forward, and a number of delegations had indicated a requirement to have further information in relation to this issue for discussion at the next session of the Working Group. There were some questions raised by delegations as to what it was that this initiative was trying to achieve, and what the ultimate aim of the discussions was. With the ultimate aim in mind, the Working Group might be able to frame formal or more specific proposals, but this question in some sense remained unanswered. On this question, the Chair believed that a general objective of the paper was to set forth a clear and useful fee reduction approach which would provide developing and least developed countries access to the PCT system via a mechanism of fee reductions, since applicants from these countries had difficulties to pay fees at the current level. There had been some interventions supporting a mixed approach of both economic and innovative criteria for determining fee reductions, where details would need to be finely elucidated at a later

stage. However, other delegations had suggested that the number of filings was not a good innovative indicator for determining eligibility, and, if used, could disadvantage countries with low population currently using the PCT system. The Chair therefore encouraged Member States to provide input and concrete suggestions on a possible way forward to the Secretariat, in preparation for a continued discussion of the matter at the next session.

“218. The Working Group agreed to continue its discussions on the matter at its next session and that the Secretariat would seek to update its working document to assist discussions.”

UPDATE

PCT CIRCULAR

10. Following the suggestion by the Chair of the sixth session of the Working Group to provide input and concrete suggestions on a possible way forward on the issue of fee reductions for certain applicants from developing and least developed countries, in preparation for a continued discussion of the matter at the next session of the Working Group, the International Bureau invited Member States and organizations representing users of the PCT system, by way of Circular C. PCT 1390, dated August 12, 2013, to provide such input and suggestions to the Secretariat.

11. In response to the Circular, the International Bureau received the following suggestions and comments by two Member States and one organization representing users of the system:

(a) The Office of one Member State suggested that the threshold for determining whether a developing country should be eligible for PCT fee reductions should be an external data point rather than a static number, in order to minimize the administrative burden of changing the threshold on a regular basis. This Office suggested using the World Bank categorization of income levels (low, lower middle, upper middle, high income), which were regularly updated and outside any complex rules.

(b) The Office of another Member State underlined the importance of PCT fee reductions to natural persons who were nationals and residents of the country concerned, which had, to some degree, contributed to the increase in PCT applications being filed by natural persons in that country, with a higher percentage of applications being filed by such natural persons compared to the average of all other Member States.

(c) One organization representing users of the PCT system commented that it believed that deeper and more substantive discussions would be necessary based on the study of income and innovation levels in developing countries.

STATISTICS

12. As requested by the Working Group at its sixth session, the International Bureau has updated the data that formed the basis for the “country profiles” set out in the Annex to document PCT/WG/6/10, taking into account statistical data up to 2012, showing, in particular, which countries would benefit from the reduction in certain PCT fees under the new eligibility criteria proposed in document PCT/WG/3/4 Rev. (see Annex I to the present document).

13. The update of the country profiles, taking into account statistical data up to 2012, has not resulted in any changes with regard to the countries that, under the new eligibility criteria proposed in document PCT/WG/3/4 Rev., would be eligible for the fee reductions. As before, there are 10 countries whose applicants are not eligible under the eligibility criteria presently in force but would become eligible under the new eligibility criteria proposed in document PCT/WG/3/4 Rev., namely, Bahamas, Cyprus, Greece, Malta, Nauru, Palau, Portugal, Saudi Arabia, Slovenia and Suriname.

14. On the other hand, there are two countries whose applicants are eligible under the eligibility criteria presently in force but would no longer be eligible under the new eligibility criteria proposed in document PCT/WG/3/4 Rev, namely, Singapore and the United Arab Emirates.

15. As further background information, Annex II to the present document contains up-to-date data showing the number of international applications filed during the period from 2000 to 2013 which have benefitted from the reduction of the international filing fee under the current eligibility criteria set out in the Schedule of Fees under the PCT Regulations, broken down by the country of origin of the first named applicant.

16. The Working Group is invited to continue its discussions on the issue of fee reductions for certain applicants from certain countries, notably developing and least developed countries, on the basis of documents PCT/WG/3/4 Rev., PCT/WG/6/10 and the information set out in the present document.

[Annexes follow]

COUNTRY PROFILES: PCT FEE REDUCTION FOR CERTAIN COUNTRIES

Annex I contains an update of the data presented in Annex I to document PCT/WG/3/4 Rev., taking into account statistical data up to 2012.¹

Countries whose names are highlighted in **green** are those countries whose applicants are not eligible under the eligibility criteria presently in force but would become eligible under the new eligibility criteria proposed in document PCT/WG/3/4 Rev. Those countries are Bahamas, Cyprus, Greece, Malta, Nauru, Palau, Portugal, Saudi Arabia, Slovenia and Suriname.

Countries whose names are highlighted in **red** are those countries whose applicants, under the new eligibility criteria proposed in document PCT/WG/3/4 Rev., would not be eligible for PCT fee reductions. Of those countries, there are two countries which are eligible under the eligibility criteria presently in force but would no longer be eligible under the new eligibility criteria proposed in document PCT/WG/3/4 Rev. These two countries are Singapore and the United Arab Emirates.

Data cells that are highlighted in **blue** indicate values which are higher than the thresholds for the three indicators proposed in document PCT/WG/3/4 Rev.: (i) “GDP per capita” (proposed threshold: USD 25,000 according to the most recent ten-year average per capita gross domestic product (GDP) figures at constant 2005 USD values published by the United Nations); (ii) “PCT applications by natural persons (per million population)” (proposed threshold: less than 10 international applications per year per million population); and (iii) “PCT applications by natural persons (in absolute numbers)” (proposed threshold: less than 50 international applications per year in absolute numbers).

¹ The data presented with regard to “GDP per capita” are based on “constant 2005 US dollar values as published by the United Nations”, unlike the data presented in document PCT/WG/3/4 Rev., which had (erroneously) been based on “constant 2008 US dollar values”. It is expected that those values will be updated in 2014/2015

Country	Current PCT fee reduction	Proposed new PCT fee reduction	Income group	Population (in million), 5-year average 2008-2012	GDP per capita, 2012 (current US\$)	GDP per capita, 10-year average 2003-2012 (constant 2005 US\$) PROPOSED THRESHOLD: 25,000 USD	Total PCT applications per million population, 5-year average 2008-2012	Total PCT applications, 2012	PCT applications by natural persons, 2012	PCT applications by natural persons per million population, 5-year average 2008-2012 PROPOSED THRESHOLD: LESS THAN 10	PCT applications by natural persons, 5-year average 2008-2012 PROPOSED THRESHOLD: LESS THAN 50
Afghanistan	Yes	Yes (a), (b)	L	28.4	683	325	0.0	0	0	0.0	0
Albania	Yes	Yes (a)	UM	3.2	3,809	2,930	0.3	3	2	0.2	2
Algeria	Yes	Yes (a)	UM	37.1	5,380	3,066	0.2	4	4	0.1	5
Andorra	No	No	H	0.1	41,101	38,199	78.9	7	5	43.3	3
Angola	Yes	Yes (a), (b)	UM	19.6	5,586	2,568	0.0	0	0	0.0	1
Antigua and Barbuda	Yes*	Yes (a), (b)	H	0.1	13,202	12,401	16.1	0	0	11.5	3
Argentina	Yes	Yes (a)	UM	40.4	11,610	5,552	0.5	27	6	0.2	8
Armenia	Yes	Yes (a)	LM	3.0	3,351	1,881	2.1	8	6	1.8	5
Australia	No	No	H	22.4	67,869	38,169	79.5	1,708	277	15.6	350
Austria	No	No	H	8.4	46,605	38,325	137.7	1,320	160	22.6	190
Azerbaijan	Yes	Yes (a)	UM	9.1	7,383	2,372	0.6	5	4	0.4	4
Bahamas	No	Yes (a)	H	0.4	21,622	22,214	54.4	13	1	3.9	2
Bahrain	Yes*	Yes (a)	H	1.2	23,040	17,348	1.1	2	0	0.5	2
Bangladesh	Yes	Yes (a), (b)	L	151.2	822	525	0.0	3	0	0.0	1
Barbados	Yes*	Yes (a)	H	0.3	16,006	14,507	503.6	165	0	2.1	1
Belarus	Yes	Yes (a)	UM	9.5	6,726	3,817	1.5	14	12	1.3	13
Belgium	No	No	H	10.9	43,707	36,393	102.8	1,226	47	5.0	55
Belize	Yes	Yes (a)	UM	0.3	4,795	4,195	9.7	2	0	1.9	3
Benin	Yes	Yes (a), (b)	L	9.5	752	547	0.0	0	0	0.0	1
Bhutan	Yes	Yes (a), (b)	LM	0.7	2,509	1,551	0.0	0	0	0.0	0

Key:

Yes/No

Eligible (yes) or not eligible (no) for current fee reduction

Yes*

Eligible for fee reduction since July 1, 2008

Yes (a)

Eligible for fee reductions due to proposed new criteria "GDP/PCT filings" (a)

Yes (a) (b)

Eligible for proposed fee reductions due to new criteria "GDP/PCT filings" (a) and due to unchanged criterion "classification as a Least Developed Country (LDC)" (b)

H

High income

UM

Upper middle income

LM

Lower middle income

L

Low income

Country	Current PCT fee reduction	Proposed new PCT fee reduction	Income group	Population (in million), 5-year average 2008-2012	GDP per capita, 2012 (current US\$)	GDP per capita, 10-year average 2003-2012 (constant 2005 US\$) PROPOSED THRESHOLD: 25,000 USD	Total PCT applications per million population, 5-year average 2008-2012	Total PCT applications, 2012	PCT applications by natural persons, 2012	PCT applications by natural persons per million population, 5-year average 2008-2012 PROPOSED THRESHOLD: LESS THAN 10	PCT applications by natural persons, 5-year average 2008-2012 PROPOSED THRESHOLD: LESS THAN 50
Bolivia (Plurinational State of)	Yes	Yes (a)	LM	10.2	2,576	1,110	0.0	0	0	0.0	0
Bosnia and Herzegovina	Yes	Yes (a)	UM	3.8	4,517	3,074	2.5	9	8	2.1	8
Botswana	Yes	Yes (a)	UM	2.0	7,191	5,862	0.2	0	0	0.0	0
Brazil	Yes	Yes (a)	UM	195.2	11,347	5,161	2.7	589	224	1.1	211
Brunei Darussalam	No	No	H	0.4	41,131	25,543	1.5	3	1	0.5	1
Bulgaria	Yes	Yes (a)	UM	7.4	7,004	4,156	4.0	33	15	2.3	17
Burkina Faso	Yes	Yes (a), (b)	L	15.6	649	433	0.0	0	0	0.0	1
Burundi	Yes	Yes (a), (b)	L	9.2	229	176	0.1	0	0	0.1	2
Cambodia	Yes	Yes (a), (b)	L	14.4	944	548	0.0	0	0	0.0	0
Cameroon	Yes	Yes (a)	LM	20.6	1,202	928	0.1	0	0	0.1	4
Canada	No	No	H	34.1	52,283	36,265	81.5	2,758	406	11.7	398
Cape Verde	Yes	Yes (a), (b)	LM	0.5	3,850	2,678	0.0	0	0	0.0	0
Central African Republic	Yes	Yes (a), (b)	L	4.4	483	352	0.0	0	0	0.0	0
Chad	Yes	Yes (a), (b)	L	11.7	818	564	0.0	0	0	0.0	1
Chile	Yes	Yes (a)	H	17.1	15,363	8,152	4.7	118	41	1.7	29
China	Yes	Yes (a)	UM	1359.9	6,070	2,330	9.0	18,617	2,724	1.3	1,830
Colombia	Yes	Yes (a)	UM	46.4	7,752	3,734	1.2	72	37	0.6	26
Comoros	Yes	Yes (a), (b)	L	0.7	858	617	0.0	0	0	0.0	0
Congo	Yes	Yes (a)	LM	4.1	3,404	1,806	0.1	0	0	0.0	1
Costa Rica	Yes	Yes (a)	UM	4.7	9,387	5,087	1.0	5	1	0.3	2

Key:

Yes/No

Eligible (yes) or not eligible (no) for current fee reduction

Yes*

Eligible for fee reduction since July 1, 2008

Yes (a)

Eligible for fee reductions due to proposed new criteria "GDP/PCT filings" (a)

Yes (a) (b)

Eligible for proposed fee reductions due to new criteria "GDP/PCT filings" (a) and due to unchanged criterion "classification as a Least Developed Country (LDC)" (b)

H

High income

UM

Upper middle income

LM

Lower middle income

L

Low income

Country	Current PCT fee reduction	Proposed new PCT fee reduction	Income group	Population (in million), 5-year average 2008-2012	GDP per capita, 2012 (current US\$)	GDP per capita, 10-year average 2003-2012 (constant 2005 US\$) PROPOSED THRESHOLD: 25,000 USD	Total PCT applications per million population, 5-year average 2008-2012	Total PCT applications, 2012	PCT applications by natural persons, 2012	PCT applications by natural persons, per million population, 5-year average 2008-2012 PROPOSED THRESHOLD: LESS THAN 10	PCT applications by natural persons, 5-year average 2008-2012 PROPOSED THRESHOLD: LESS THAN 50
Côte d'Ivoire	Yes	Yes (a)	LM	19.0	1,230	980	0.0	1	1	0.0	1
Croatia	Yes	Yes (a)	H	4.3	13,105	10,534	10.2	30	24	5.3	23
Cuba	Yes	Yes (a)	UM	11.3	6,301	4,387	0.8	9	0	0.0	0
Cyprus	No	Yes (a)	H	0.8	26,462	22,806	48.2	49	1	3.4	3
Czech Republic	Yes	Yes (a)	H	10.5	18,428	13,470	14.8	163	18	3.0	32
Democratic People's Republic of Korea	Yes	Yes (a), (b)	L	24.5	583	534	0.2	3	3	0.1	4
Democratic Republic of the Congo	Yes	Yes (a), (b)	L	62.2	286	144	0.0	0	0	0.0	1
Denmark	No	No	H	5.5	56,252	47,228	238.2	1,421	43	9.8	54
Djibouti	Yes	Yes (a), (b)	LM	0.8	1,583	1,061	0.0	0	0	0.0	0
Dominica	Yes	Yes (a)	UM	0.1	6,957	5,676	8.4	0	0	0.0	0
Dominican Republic	Yes	Yes (a)	UM	10.0	5,731	4,181	0.4	4	3	0.3	3
Ecuador	Yes	Yes (a)	UM	15.0	5,648	3,175	1.6	44	13	0.6	8
Egypt	Yes	Yes (a)	LM	78.1	3,155	1,478	0.5	41	39	0.5	37
El Salvador	Yes	Yes (a)	LM	6.2	3,790	2,911	0.2	0	0	0.2	2
Equatorial Guinea	Yes	Yes (a), (b)	H	0.7	19,680	14,360	0.0	0	0	0.0	0
Eritrea	Yes	Yes (a), (b)	L	5.7	507	205	0.0	0	0	0.0	0
Estonia	Yes	Yes (a)	H	1.3	17,335	11,027	27.7	34	3	3.7	5
Ethiopia	Yes	Yes (a), (b)	L	87.1	454	200	0.0	0	0	0.0	0
Fiji	Yes	Yes (a)	UM	0.9	4,572	3,574	0.0	0	0	0.0	0
Finland	No	No	H	5.4	45,741	38,130	405.5	2,326	48	12.5	67

Key:

Yes/No Eligible (yes) or not eligible (no) for current fee reduction
Yes* Eligible for fee reduction since July 1, 2008
Yes (a) Eligible for fee reductions due to proposed new criteria "GDP/PCT filings" (a)
Yes (a) (b) Eligible for proposed fee reductions due to new criteria "GDP/PCT filings" (a) and due to unchanged criterion "classification as a Least Developed Country (LDC)" (b)

H High income
UM Upper middle income
LM Lower middle income
L Low income

Country	Current PCT fee reduction	Proposed new PCT fee reduction	Income group	Population (in million), 5-year average 2008-2012	GDP per capita, 2012 (current US\$)	GDP per capita, 10-year average 2003-2012 (constant 2005 US\$) PROPOSED THRESHOLD: 25,000 USD	Total PCT applications per million population, 5-year average 2008-2012	Total PCT applications, 2012	PCT applications by natural persons, 2012	PCT applications by natural persons per million population, 5-year average 2008-2012 PROPOSED THRESHOLD: LESS THAN 10	PCT applications by natural persons, 5-year average 2008-2012 PROPOSED THRESHOLD: LESS THAN 50
France	No	No	H	65.2	39,617	33,980	113.1	7,851	333	6.2	406
Gabon	Yes	Yes (a)	UM	1.6	14,747	6,785	1.3	3	2	0.9	2
Gambia	Yes	Yes (a), (b)	L	1.7	512	441	0.0	0	0	0.0	0
Georgia	Yes	Yes (a)	LM	4.4	3,632	1,689	1.4	6	3	1.1	5
Germany	No	No	H	83.1	41,376	34,741	218.7	18,764	915	12.3	1,020
Ghana	Yes	Yes (a)	LM	24.3	1,605	908	0.0	1	1	0.0	2
Greece	No	Yes (a)	H	11.1	22,377	21,711	8.7	94	55	5.1	57
Grenada	Yes	Yes (a)	UM	0.1	7,417	6,436	1.9	0	0	1.9	1
Guatemala	Yes	Yes (a)	LM	14.4	3,340	2,240	0.3	1	1	0.3	5
Guinea	Yes	Yes (a), (b)	L	10.9	532	302	0.0	0	0	0.0	1
Guinea-Bissau	Yes	Yes (a), (b)	L	1.6	510	420	0.0	0	0	0.0	0
Guyana	Yes	Yes (a)	LM	0.8	3,585	1,943	0.0	0	0	0.0	0
Haiti	Yes	Yes (a), (b)	L	9.9	706	417	0.0	0	0	0.0	0
Honduras	Yes	Yes (a)	LM	7.6	2,339	1,488	0.1	0	0	0.0	0
Hungary	Yes	Yes (a)	UM	10.0	12,490	10,935	15.7	161	53	6.0	60
Iceland	No	No	H	0.3	41,666	54,100	168.0	43	4	10.7	3
India	Yes	Yes (a)	LM	1205.7	1,516	889	1.0	1,314	315	0.2	297
Indonesia	Yes	Yes (a)	LM	240.6	3,557	1,436	0.0	13	5	0.0	6
Iran (Islamic Republic of)	Yes	Yes (a)	UM	74.5	7,217	3,228	0.0	2	0	0.0	2
Iraq	Yes	Yes (a)	UM	31.0	4,557	1,441	0.0	0	0	0.0	0

Key:

Yes/No

Eligible (yes) or not eligible (no) for current fee reduction

Yes*

Eligible for fee reduction since July 1, 2008

Yes (a)

Eligible for fee reductions due to proposed new criteria "GDP/PCT filings" (a)

Yes (a) (b)

Eligible for proposed fee reductions due to new criteria "GDP/PCT filings" (a) and due to unchanged criterion "classification as a

Least Developed Country (LDC)" (b)

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High income

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Upper middle income

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Country	Current PCT fee reduction	Proposed new PCT fee reduction	Income group	Population (in million), 5-year average 2008-2012	GDP per capita, 2012 (current US\$)	GDP per capita, 10-year average 2003-2012 (constant 2005 US\$) PROPOSED THRESHOLD: 25,000 USD	Total PCT applications per million population, 5-year average 2008-2012	Total PCT applications, 2012	PCT applications by natural persons, 2012	PCT applications by natural persons per million population, 5-year average 2008-2012 PROPOSED THRESHOLD: LESS THAN 10	PCT applications by natural persons, 5-year average 2008-2012 PROPOSED THRESHOLD: LESS THAN 50
Ireland	No	No	H	4.5	46,032	47,772	99.1	391	48	11.2	50
Israel	No	No	H	7.4	31,537	21,468	209.7	1,376	253	35.0	259
Italy	No	No	H	60.4	33,069	29,903	45.5	2,863	453	7.9	477
Jamaica	Yes	Yes (a)	UM	2.7	5,343	4,155	0.4	1	0	0.1	1
Japan	No	No	H	127.3	46,838	36,200	272.2	43,688	439	3.8	480
Jordan	Yes	Yes (a)	UM	6.5	4,414	2,524	0.3	2	0	0.0	0
Kazakhstan	Yes	Yes (a)	UM	15.9	12,455	4,409	1.0	12	8	0.8	13
Kenya	Yes	Yes (a)	L	40.9	943	553	0.1	5	3	0.1	3
Kiribati	Yes	Yes (a), (b)	LM	0.1	1,745	1,162	0.0	0	0	0.0	0
Kuwait	No	No	H	3.0	56,366	32,200	0.5	0	0	0.1	1
Kyrgyzstan	Yes	Yes (a)	L	5.3	1,183	540	0.3	4	4	0.3	2
Lao People's Democratic Republic	Yes	Yes (a), (b)	LM	6.4	1,369	555	0.7	9	4	0.3	3
Latvia	Yes	Yes (a)	H	2.1	13,774	7,583	11.6	36	10	4.2	9
Lebanon	Yes	Yes (a)	UM	4.4	9,143	6,110	0.6	6	2	0.1	2
Lesotho	Yes	Yes (a), (b)	LM	2.0	1,191	795	0.0	0	0	0.0	0
Liberia	Yes	Yes (a), (b)	L	3.9	356	220	0.1	1	0	0.0	0
Libya	Yes*	Yes (a)	UM	6.0	15,566	8,152	0.2	0	0	0.2	1
Liechtenstein	No	No	H	0.0	158,787	111,159	3801.9	102	1	44.3	2
Lithuania	Yes	Yes (a)	H	3.1	13,984	8,729	7.0	30	5	2.9	9
Luxembourg	No	No	H	0.5	105,296	81,561	482.4	268	6	9.9	5

Key:

Yes/No Eligible (yes) or not eligible (no) for current fee reduction
Yes* Eligible for fee reduction since July 1, 2008
Yes (a) Eligible for fee reductions due to proposed new criteria "GDP/PCT filings" (a)
Yes (a) (b) Eligible for proposed fee reductions due to new criteria "GDP/PCT filings" (a) and due to unchanged criterion "classification as a Least Developed Country (LDC)" (b)

H High income
UM Upper middle income
LM Lower middle income
L Low income

Country	Current PCT fee reduction	Proposed new PCT fee reduction	Income group	Population (in million), 5-year average 2008-2012	GDP per capita, 2012 (current US\$)	GDP per capita, 10-year average 2003-2012 (constant 2005 US\$) PROPOSED THRESHOLD: 25,000 USD	Total PCT applications per million population, 5-year average 2008-2012	Total PCT applications, 2012	PCT applications by natural persons, 2012	PCT applications by natural persons per million population, 5-year average 2008-2012 PROPOSED THRESHOLD: LESS THAN 10	PCT applications by natural persons, 5-year average 2008-2012 PROPOSED THRESHOLD: LESS THAN 50
Madagascar	Yes	Yes (a), (b)	L	21.1	447	279	0.0	0	0	0.0	2
Malawi	Yes	Yes (a), (b)	L	15.0	355	276	0.0	0	0	0.0	0
Malaysia	Yes	Yes (a)	UM	28.3	10,422	5,987	9.4	289	42	1.6	45
Maldives	Yes	Yes (a), (b)	UM	0.3	7,701	4,808	0.0	0	0	0.0	0
Mali	Yes	Yes (a), (b)	L	14.0	691	476	0.0	0	0	0.0	0
Malta	No	Yes (a)	H	0.4	20,512	15,514	54.2	18	0	3.3	2
Marshall Islands	Yes	Yes (a)	UM	0.1	3,770	2,736	7.6	1	0	0.0	0
Mauritania	Yes	Yes (a), (b)	LM	3.6	1,018	749	0.0	0	0	0.0	0
Mauritius	Yes	Yes (a)	UM	1.2	9,238	6,037	2.6	5	0	0.2	1
Mexico	Yes	Yes (a)	UM	117.9	9,795	8,041	1.7	191	104	1.0	114
Micronesia (Federated States of)	Yes	Yes (a)	LM	0.3	3,601	2,529	0.0	0	0	0.0	0
Monaco	No	No	H	0.0	151,793	130,076	479.0	15	9	255.9	9
Mongolia	Yes	Yes (a)	LM	2.7	3,673	1,197	0.4	0	0	0.4	2
Montenegro	Yes	Yes (a)	UM	0.6	6,514	4,178	0.6	0	0	0.6	2
Morocco	Yes	Yes (a)	LM	31.7	2,952	2,204	0.7	39	15	0.4	14
Mozambique	Yes	Yes (a), (b)	L	24.0	579	356	0.0	0	0	0.0	0
Myanmar	Yes	Yes (a), (b)	L	52.0	1,126	313	0.0	0	0	0.0	1
Namibia	Yes	Yes (a)	UM	2.2	5,668	3,868	6.1	14	5	1.6	5
Nauru	No	Yes (a)	LM	0.0	12,060	3,461	0.0	0	0	0.0	0
Nepal	Yes	Yes (a), (b)	L	26.9	656	356	0.0	0	0	0.0	0

Key:

Yes/No

Eligible (yes) or not eligible (no) for current fee reduction

Yes*

Eligible for fee reduction since July 1, 2008

Yes (a)

Eligible for fee reductions due to proposed new criteria "GDP/PCT filings" (a)

Yes (a) (b)

Eligible for proposed fee reductions due to new criteria "GDP/PCT filings" (a) and due to unchanged criterion "classification as a Least Developed Country (LDC)" (b)

H

High income

UM

Upper middle income

LM

Lower middle income

L

Low income

Country	Current PCT fee reduction	Proposed new PCT fee reduction	Income group	Population (in million), 5-year average 2008-2012	GDP per capita, 2012 (current US\$)	GDP per capita, 10-year average 2003-2012 (constant 2005 US\$) PROPOSED THRESHOLD: 25,000 USD	Total PCT applications per million population, 5-year average 2008-2012	Total PCT applications, 2012	PCT applications by natural persons, 2012	PCT applications by natural persons per million population, 5-year average 2008-2012 PROPOSED THRESHOLD: LESS THAN 10	PCT applications by natural persons, 5-year average 2008-2012 PROPOSED THRESHOLD: LESS THAN 50
Netherlands	No	No	H	16.6	46,073	40,398	246.3	4,071	89	6.3	105
New Zealand	No	No	H	4.4	38,399	27,547	73.3	304	64	13.9	61
Nicaragua	Yes	Yes (a)	LM	5.8	1,754	1,222	0.1	2	2	0.1	2
Niger	Yes	Yes (a), (b)	L	15.9	395	265	0.0	2	0	0.0	0
Nigeria	Yes	Yes (a)	LM	159.9	1,555	891	0.0	11	10	0.0	5
Norway	No	No	H	4.9	100,056	65,427	136.4	669	58	11.4	56
Oman	Yes*	Yes (a)	H	2.9	23,570	13,685	0.4	0	0	0.1	1
Pakistan	Yes	Yes (a)	LM	173.1	1,201	777	0.0	2	1	0.0	1
Palau	No	Yes (a)	UM	0.0	10,250	8,798	0.0	0	0	0.0	0
Panama	Yes	Yes (a)	UM	3.7	9,534	5,630	2.7	16	1	0.1	1
Papua New Guinea	Yes	Yes (a)	LM	6.9	2,187	893	0.0	0	0	0.0	0
Paraguay	Yes	Yes (a)	LM	6.5	3,878	1,597	0.0	0	0	0.0	1
Peru	Yes	Yes (a)	UM	29.3	6,825	3,397	0.2	11	7	0.2	5
Philippines	Yes	Yes (a)	LM	93.5	2,587	1,308	0.2	18	12	0.1	14
Poland	Yes	Yes (a)	H	38.2	12,820	9,067	5.2	252	35	1.1	44
Portugal	No	Yes (a)	H	10.6	20,006	18,360	11.4	129	14	1.5	15
Qatar	No	No	H	1.7	93,832	57,727	7.1	53	4	0.8	2
Republic of Korea	No	No	H	48.4	23,052	19,528	197.5	11,819	1,922	36.1	1,749
Republic of Moldova	Yes	Yes (a)	LM	3.6	2,064	882	0.8	3	3	0.6	3
Romania	Yes	Yes (a)	UM	21.9	7,787	4,926	1.0	29	20	0.7	15

Key:

Yes/No Eligible (yes) or not eligible (no) for current fee reduction
Yes* Eligible for fee reduction since July 1, 2008
Yes (a) Eligible for fee reductions due to proposed new criteria "GDP/PCT filings" (a)
Yes (a) (b) Eligible for proposed fee reductions due to new criteria "GDP/PCT filings" (a) and due to unchanged criterion "classification as a Least Developed Country (LDC)" (b)

H High income
UM Upper middle income
LM Lower middle income
L Low income

Country	Current PCT fee reduction	Proposed new PCT fee reduction	Income group	Population (in million), 5-year average 2008-2012	GDP per capita, 2012 (current US\$)	GDP per capita, 10-year average 2003-2012 (constant 2005 US\$) PROPOSED THRESHOLD: 25,000 USD	Total PCT applications per million population, 5-year average 2008-2012	Total PCT applications, 2012	PCT applications by natural persons, 2012	PCT applications by natural persons, per million population, 5-year average 2008-2012 PROPOSED THRESHOLD: LESS THAN 10	PCT applications by natural persons, 5-year average 2008-2012 PROPOSED THRESHOLD: LESS THAN 50
Russian Federation	Yes	Yes (a)	H	143.5	14,178	5,930	6.1	1,091	598	3.8	541
Rwanda	Yes	Yes (a), (b)	L	10.8	620	319	0.0	0	0	0.0	0
Saint Kitts and Nevis	Yes	Yes (a)	H	0.1	14,263	10,929	26.7	1	0	3.8	1
Saint Lucia	Yes	Yes (a)	UM	0.2	7,287	6,005	0.0	0	0	0.0	0
Saint Vincent and the Grenadines	Yes	Yes (a)	UM	0.1	6,347	5,333	23.8	1	0	0.0	0
Samoa	Yes	Yes (a), (b)	LM	0.2	3,607	2,398	20.4	1	0	0.0	0
San Marino	No	No	H	0.0	59,391	63,169	194.4	7	2	19.4	2
Sao Tome and Principe	Yes	Yes (a), (b)	LM	0.2	1,386	906	0.0	0	0	0.0	0
Saudi Arabia	No	Yes (a)	H	27.3	25,136	14,821	4.8	293	0	0.2	6
Senegal	Yes	Yes (a), (b)	LM	13.0	1,017	780	0.0	1	1	0.0	2
Serbia	Yes	Yes (a)	UM	7.3	5,315	3,596	3.3	20	15	2.9	21
Seychelles	Yes*	Yes (a)	UM	0.1	11,169	11,787	105.3	9	0	2.2	1
Sierra Leone	Yes	Yes (a), (b)	L	5.8	725	362	0.1	0	0	0.1	1
Singapore	Yes*	No	H	5.1	52,141	30,748	125.6	708	63	12.7	65
Slovakia	Yes	Yes (a)	H	5.4	16,774	10,130	8.1	42	17	3.6	20
Slovenia	No	Yes (a)	H	2.1	21,947	18,641	59.5	115	18	10.9	22
Solomon Islands	Yes	Yes (a), (b)	LM	0.5	1,837	959	0.0	0	0	0.0	0
Somalia	Yes	Yes (a), (b)	L	9.7	128	273	0.0	0	0	0.0	0
South Africa	Yes	Yes (a)	UM	51.4	7,336	5,431	6.6	314	129	2.8	145
Spain	No	No	H	46.1	28,278	25,945	35.4	1,700	328	7.6	348

Key:

Yes/No

Eligible (yes) or not eligible (no) for current fee reduction

Yes*

Eligible for fee reduction since July 1, 2008

Yes (a)

Eligible for fee reductions due to proposed new criteria "GDP/PCT filings" (a)

Yes (a) (b)

Eligible for proposed fee reductions due to new criteria "GDP/PCT filings" (a) and due to unchanged criterion "classification as a

Least Developed Country (LDC)" (b)

H

High income

UM

Upper middle income

LM

Lower middle income

L

Low income

Country	Current PCT fee reduction	Proposed new PCT fee reduction	Income group	Population (in million), 5-year average 2008-2012	GDP per capita, 2012 (current US\$)	GDP per capita, 10-year average 2003-2012 (constant 2005 US\$) PROPOSED THRESHOLD: 25,000 USD	Total PCT applications per million population, 5-year average 2008-2012	Total PCT applications, 2012	PCT applications by natural persons, 2012	PCT applications by natural persons, per million population, 5-year average 2008-2012 PROPOSED THRESHOLD: LESS THAN 10	PCT applications by natural persons, 5-year average 2008-2012 PROPOSED THRESHOLD: LESS THAN 50
Sri Lanka	Yes	Yes (a)	LM	20.8	2,816	1,433	0.6	14	8	0.4	8
Sudan	Yes	Yes (a), (b)	LM	35.6	1,383	507	0.0	0	0	0.0	2
Suriname	No	Yes (a)	UM	0.5	9,377	4,833	0.0	0	0	0.0	0
Swaziland	Yes	Yes (a)	LM	1.2	3,137	2,387	0.3	0	0	0.2	1
Sweden	No	No	H	9.4	55,072	41,985	385.4	3,587	127	17.9	168
Switzerland	No	No	H	7.8	78,924	53,528	495.6	4,192	191	23.7	186
Syrian Arab Republic	Yes	Yes (a)	LM	21.3	2,126	1,598	0.3	4	3	0.3	7
Tajikistan	Yes	Yes (a)	L	66.4	5,775	3,128	0.0	0	0	0.0	0
TFYR of Macedonia	Yes	Yes (a)	UM	7.6	953	378	0.2	2	0	0.2	2
Thailand	Yes	Yes (a)	UM	2.1	4,548	3,145	23.1	67	34	10.2	21
Timor-Leste	Yes	Yes (a), (b)	LM	1.1	4,835	2,421	0.0	0	0	0.0	0
Togo	Yes	Yes (a), (b)	L	6.3	590	390	0.0	0	0	0.0	0
Tonga	Yes	Yes (a)	UM	0.1	4,431	2,573	0.0	0	0	0.0	0
Trinidad and Tobago	Yes*	Yes (a)	H	1.3	17,366	13,439	0.6	1	1	0.6	1
Tunisia	Yes	Yes (a)	UM	10.6	4,150	3,488	0.6	6	4	0.4	4
Turkey	Yes	Yes (a)	UM	72.2	10,653	7,523	6.5	535	173	2.2	156
Turkmenistan	Yes	Yes (a)	UM	5.0	6,469	3,888	0.0	0	0	0.0	1
Tuvalu	Yes	Yes (a), (b)	UM	0.0	4,030	2,496	0.0	0	0	0.0	0
Uganda	Yes	Yes (a), (b)	L	34.0	598	386	0.0	0	0	0.0	2
Ukraine	Yes	Yes (a)	LM	46.0	3,872	1,948	2.4	120	94	2.0	90

Key:

Yes/No Eligible (yes) or not eligible (no) for current fee reduction
Yes* Eligible for fee reduction since July 1, 2008
Yes (a) Eligible for fee reductions due to proposed new criteria "GDP/PCT filings" (a)
Yes (a) (b) Eligible for proposed fee reductions due to new criteria "GDP/PCT filings" (a) and due to unchanged criterion "classification as a Least Developed Country (LDC)" (b)

H High income
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Country	Current PCT fee reduction	Proposed new PCT fee reduction	Income group	Population (in million), 5-year average 2008-2012	GDP per capita, 2012 (current US\$)	GDP per capita, 10-year average 2003-2012 (constant 2005 US\$) PROPOSED THRESHOLD: 25,000 USD	Total PCT applications per million population, 5-year average 2008-2012	Total PCT applications, 2012	PCT applications by natural persons, 2012	PCT applications by natural persons per million population, 5-year average 2008-2012 PROPOSED THRESHOLD: LESS THAN 10	PCT applications by natural persons, 5-year average 2008-2012 PROPOSED THRESHOLD: LESS THAN 50
United Arab Emirates	Yes*	No	H	8.2	41,691	31,205	4.2	51	21	2.2	18
United Kingdom	No	No	H	62.1	39,367	38,418	81.0	4,896	467	9.1	568
United Republic of Tanzania	Yes	Yes (a), (b)	L	43.8	608	416	0.0	0	0	0.0	0
United States of America	No	No	H	312.2	51,163	43,802	155.7	51,650	3,285	10.5	3,276
Uruguay	Yes	Yes (a)	H	3.4	14,702	5,997	2.1	8	2	0.5	2
Uzbekistan	Yes	Yes (a)	LM	27.8	1,801	673	0.1	1	1	0.0	2
Vanuatu	Yes	Yes (a), (b)	LM	0.2	3,039	2,018	0.8	0	0	0.0	0
Venezuela (Bolivarian Republic of)	Yes	Yes (a)	UM	29.0	12,767	5,850	0.1	7	5	0.1	3
Viet Nam	Yes	Yes (a)	LM	89.1	1,716	778	0.1	13	9	0.1	7
Yemen	Yes	Yes (a), (b)	LM	22.8	1,376	951	0.1	1	0	0.0	1
Zambia	Yes	Yes (a), (b)	LM	13.2	1,527	690	0.0	0	0	0.0	1
Zimbabwe	Yes	Yes (a)	L	13.2	714	513	0.0	0	0	0.0	2

[Annex II follows]

Key:

Yes/No Eligible (yes) or not eligible (no) for current fee reduction
Yes* Eligible for fee reduction since July 1, 2008
Yes (a) Eligible for fee reductions due to proposed new criteria "GDP/PCT filings" (a)
Yes (a) (b) Eligible for proposed fee reductions due to new criteria "GDP/PCT filings" (a) and due to unchanged criterion "classification as a Least Developed Country (LDC)" (b)

H High income
UM Upper middle income
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L Low income

INTERNATIONAL APPLICATIONS BY COUNTRY OF ORIGIN
THAT HAVE BENEFITTED FROM PCT FEE REDUCTIONS (2000 – 2013)

Annex II sets out the number of international applications filed during the period from 2000 to 2013 which have benefitted from the reduction of the international filing fee under the current eligibility criteria set out in the Schedule of Fees under the PCT Regulations, broken down by the country of origin of the first named applicant.

Country	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	Total
Albania	0	8	0	0	0	0	1	0	0	0	1	0	1	0	11
Algeria	2	5	3	4	6	4	3	6	10	7	3	2	4	5	64
Angola	0	0	0	0	0	0	0	0	0	0	1	0	0	3	4
Argentina	0	1	1	1	0	2	4	6	1	0	3	0	2	3	24
Armenia	4	15	5	2	2	3	6	3	6	3	4	5	5	5	68
Azerbaijan	1	0	1	5	5	3	8	5	4	4	2	9	3	5	55
Bahrain	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1
Belarus	8	18	10	12	18	16	16	6	7	18	11	12	11	14	177
Belize	0	0	0	0	0	0	0	2	0	0	0	3	0	0	5
Benin	0	0	1	0	0	0	1	0	0	0	0	0	0	0	2
Bolivia (Plurilateral State of)	0	0	0	0	1	0	0	1	0	0	0	0	0	0	2
Bosnia and Herzegovina	4	7	4	7	3	7	8	12	9	10	8	6	8	6	99
Botswana	1	0	1	1	0	0	0	0	0	0	0	0	0	0	3
Brazil	83	53	67	82	86	110	122	152	190	191	194	237	221	242	2030
Bulgaria	28	12	20	21	20	12	19	19	17	14	18	17	15	26	258
Burkina Faso	0	0	0	0	0	0	0	0	1	0	0	0	0	0	1
Burundi	0	0	0	0	0	0	0	0	0	0	0	3	0	0	3
Cameroon	2			2	1	2	1	1	1	6	1	2		1	20
Chad	0	0	0	0	0	0	0	0	0	0	1	0	0	0	1
Chile	0	1	0	1	0	0	0	0	0	13	36	42	41	56	190
China	254	322	422	477	621	901	1030	1349	1554	2323	1869	2200	2754	3398	19474
Colombia	0	12	23	16	17	15	17	26	21	28	20	22	37	29	283
Congo	0	0	0	0	0	0	0	0	1	0	0	0	0	0	1
Costa Rica	3	3	1	2	3	3	5	1	2	1	0	1	1	7	33

[illegible]

[illegible]

Country	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	Total
Tonga	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1
Trinidad and Tobago	0	0	0	0	0	0	0	0	0	1		0	1		2
Tunisia	0	0	2	2	2	5	0	4	3	5	4	6	4	2	39
Turkey	21	21	31	40	20	29	49	65	92	110	177	218	170	235	1278
Turkmenistan	0	0	0	0	0	0	0	0	1	0	0	0	0	0	1
Uganda	0	0	0	0	0	0	0	0	0	0	0	2	0	1	3
Ukraine	29	51	68	50	63	52	63	76	70	69	79	120	92	119	1001
United Arab Emirates	0	0	0	0	1	0	0	0	2	7	12	12	14	21	69
Uruguay	0	0	0	1	1	0	0	1	0	1	0	0	0	2	6
Uzbekistan	2		2	0	0	2	1	0	1	0	4	0	2		14
Venezuela (Bolivarian Republic of)	0	0	0	1	0	0	1	2	0	0	0	1	0	0	5
Viet Nam	1	0	1	5	2	0	8	3	2	4	5	13	9	10	63
Yemen	1	0	0	0	0	0	0	0	0	1	0	0	0	0	2
Zambia	0	0	1	0	0	0	0	0	0	1	1	0	0	0	3
Zimbabwe	0	2	1	1	2	1	0	0	0	1	0	2	0	2	12

[End of Annex and of document]