

# WIPO



**PCT/MIA/II/8**  
**ORIGINAL:** English  
**DATE:** February 21, 1992

**WORLD INTELLECTUAL PROPERTY ORGANIZATION**  
GENEVA

**INTERNATIONAL PATENT COOPERATION UNION**  
**(PCT UNION)**

**MEETING OF INTERNATIONAL AUTHORITIES**  
**UNDER THE PCT**

**Second Session**  
**Geneva, March 9 to 13, 1992**

**DRAFT OF PROPOSED MODIFIED FORMS FOR USE BY**  
**THE INTERNATIONAL PRELIMINARY EXAMINING AUTHORITIES**

*prepared by the International Bureau*

The annex to this document contains the draft of the modified Forms for use by the International Preliminary Examining Authorities. It also contains, for easy reference, a list of those Forms.

The draft of the modified Forms PCT/IPEA/408 and 409 is contained in document PCT/MIA/II/7.

The modifications take into account the amendments to the PCT Regulations and are submitted to the International Preliminary Examining Authorities for their observations and for the purpose of the consultations required under Rule 89.2(b).

[Annex follows]

## ANNEX

**LIST OF MODIFIED PCT/IPEA FORMS AS FROM JULY 1992**

<u>Form identification number</u>	<u>Title of Form</u>	<u>Provision pursuant to which Form is issued</u>
PCT/IPEA/402	NOTIFICATION OF RECEIPT OF DEMAND	Rule 61.1(b), first sentence and Administrative Instructions, Section 601
PCT/IPEA/403	NOTIFICATION CONCERNING PAYMENT OF THE PRELIMINARY EXAMINATION AND HANDLING FEES	Rules 57 and 58
PCT/IPEA/404	INVITATION TO CORRECT DEFECTS IN DEMAND	Rule 60.1
PCT/IPEA/405	INVITATION TO RESTRICT OR PAY ADDITIONAL FEES	Article 34(3)(a) and Rule 68.2
PCT/IPEA/406	[DELETED]	
PCT/IPEA/407	NOTIFICATION THAT DEMAND CONSIDERED AS NOT HAVING BEEN SUBMITTED	Rule 61.1(b), second sentence
PCT/IPEA/410	REQUEST FOR THE PRODUCTION OF PROOF	Article 49 and Rule 83
PCT/IPEA/411	INVITATION TO REQUEST RECTIFICATION	Rule 91.1(d)
PCT/IPEA/412	NOTIFICATION OF DECISION CONCERNING REQUEST FOR RECTIFICATION	Rule 91.1(f)
PCT/IPEA/413	NOTIFICATION OF TRANSMITTAL OF REQUESTED COPIES OF DOCUMENTS IN FILE	Rule 94.1
PCT/IPEA/414	INVITATION TO FURNISH TRANSLATION OF PRIORITY DOCUMENT	Rule 66.7(b)
PCT/IPEA/415	NOTIFICATION CONCERNING DOCUMENTS TRANSMITTED	Rules 61.1(a), 71.1 and 71.2(c)
PCT/IPEA/416	NOTIFICATION OF TRANSMITTAL OF INTERNATIONAL PRELIMINARY EXAMINATION REPORT	Rule 71.1
PCT/IPEA/417	NOTIFICATION OF TRANSMITTAL OF REQUESTED COPIES OF CITED DOCUMENTS	Article 36(4)

<u>Form identification number</u>	<u>Title of Form</u>	<u>Provision pursuant to which Form is issued</u>
PCT/IPEA/418	[DELETED]	
PCT/IPEA/419	[DELETED]	
PCT/IPEA/420	NOTIFICATION OF DECISION ON PROTEST	Rule 68.3(c) and Administrative Instructions, Section 603
PCT/IPEA/421	INVITATION TO PAY FOR REQUESTED COPIES OF CITED DOCUMENTS	Rule 71.2(b)
PCT/IPEA/422	INVITATION TO PAY FOR REQUESTED COPIES OF DOCUMENTS IN THE FILE	Rule 94.1
PCT/IPEA/423	INVITATION TO CORRECT DEFECTS IN CORRESPONDENCE SUBMITTED BY THE APPLICANT	Rules 92.1(b) and 92.4(g)(ii)
PCT/IPEA/424	COMMUNICATION IN CASES FOR WHICH NO OTHER FORM IS APPLICABLE	
PCT/IPEA/425	NOTIFICATION OF CANCELLATION OF CERTAIN ELECTIONS	Administrative Instructions, Section 606
PCT/IPEA/426	[DELETED]	
PCT/IPEA/427	COMMUNICATION REGARDING EXTENSION OF TIME LIMIT	Rules 60.1(a) and 66.2(d)
PCT/IPEA/428	NOTE ON INFORMAL COMMUNICATION WITH THE APPLICANT	Rule 66.6
PCT/IPEA/429	NOTIFICATION CONCERNING INFORMAL COMMUNICATION WITH THE APPLICANT	Rule 66.6
PCT/IPEA/430	REQUEST FOR INTERNATIONAL SEARCHING AUTHORITY TO FURNISH COPY OF SEQUENCE LISTING TO INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY	Rule 13 <sup>ter</sup> .1(e)
PCT/IPEA/431	INVITATION TO SUBMIT AMENDMENTS	Rule 60.1(g)
PCT/IPEA/432	COMMUNICATION REGARDING AMENDMENTS NOT TAKEN INTO ACCOUNT	Rule 66.4 <sup>bis</sup>
PCT/IPEA/433	NOTIFICATION REGARDING ATTEMPTED TRANSMITTAL OF DOCUMENTS VIA TELEGRAPH, TELEPRINTER, FACSIMILE MACHINE, ETC.	Rule 92.4(c)

<u>Form identification number</u>	<u>Title of Form</u>	<u>Provision pursuant to which Form is issued</u>
PCT/IPEA/434	INVITATION TO FURNISH ORIGINAL OF DOCUMENT TRANSMITTED BY TELEGRAPH, TELEPRINTER, FACSIMILE MACHINE, ETC.	Rule 92.4(d), (e) and (f)
PCT/IPEA/435	NOTIFICATION REGARDING RECEIPT OF DOCUMENTS VIA TELEGRAPH, TELEPRINTER, FACSIMILE MACHINE, ETC.	Rule 92.4(h)
PCT/IPEA/436	NOTIFICATION CONCERNING THE COMPETENT INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY	Rule 59
PCT/IPEA/437	INVITATION TO PAY PROTEST FEE	Rule 68.3(e)
PCT/IPEA/438	NOTIFICATION CONCERNING PAPER BEING DISREGARDED OR DOCUMENT CONSIDERED AS NOT HAVING BEEN SUBMITTED	Rules 92. 1(b), last sentence, and 92.4(g)(ii)
PCT/IPEA/439	NOTIFICATION THAT CERTAIN ELECTIONS CONSIDERED NOT TO HAVE BEEN MADE	Rule 60. 1(d)

**PATENT COOPERATION TREATY**

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

**PCT**

**NOTIFICATION OF RECEIPT  
OF DEMAND**

(PCT Rule 61.1(b), first sentence  
and Administrative Instructions, Section 601)

To:

Date of mailing  
(day/month/year)

Applicant's or agent's file reference		<b>IMPORTANT NOTIFICATION</b>	
International application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)	
Applicant			

1. The applicant is hereby notified that this International Preliminary Examining Authority considers the following date as the date of receipt of the demand for international preliminary examination of the international application:

\_\_\_\_\_ (date of receipt)

2. This date of receipt is:

- the actual date of receipt of the demand.  
 the date on which the proper corrections to the demand were timely received.

3.  This date is **AFTER** the expiration of 19 months from the priority date.

**Attention:** The election(s) made in the demand does(do) not have the effect of postponing the commencement of the national phase according to Article 39(1). Therefore, the acts for entry into the national phase must be performed within the time limit applicable under Article 22(1).

For details, see Annex B to Form PCT/IB/301 sent to the applicant by the International Bureau and Volume II of the PCT Applicant's Guide.

- This notification confirms the information given by telephone on:

\_\_\_\_\_ (date).

4. Only where paragraph 3 applies, a copy of this notification has been sent to the International Bureau.

Name and mailing address of the IPEA/ Facsimile No.	Authorized officer Telephone No.
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**PATENT COOPERATION TREATY**

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

**PCT**

**NOTIFICATION CONCERNING PAYMENT OF  
THE PRELIMINARY EXAMINATION  
AND HANDLING FEES**

(PCT Rules 57 and 58)

To:

Date of mailing (day/month/year)	
Applicant's or agent's file reference	<b>REPLY DUE</b> within ONE MONTH from the above date of mailing (only where paragraph 3 applies)
International application No.	International filing date (day/month/year)
Applicant	

1. The applicant is hereby notified that this International Preliminary Examining Authority has calculated the amounts of the prescribed fees and has recorded any payment thereof as indicated below.

2. **Calculation of the prescribed fees**

Preliminary Examination Fee	plus	Handling Fee	equals	total of prescribed fees
_____	+	_____	=	_____
		Amount paid	=	_____

The amount paid  is the prescribed amount.  
 represents an overpayment in the amount of: \_\_\_\_\_  
 leaves a balance due in the amount of : \_\_\_\_\_

3.  The applicant is hereby invited, within the time limit indicated above, to pay the balance due, as calculated above.

**Failure to pay the balance due** shall result in the demand being considered as not having been submitted, in which case, the time limit for entering the national phase under Article 22 applies and for all designated States for which the required acts for entering the national phase are not complied with within that time limit, the international application loses its effect.

Name and mailing address of the IPEA/ Facsimile No.	Authorized officer Telephone No.
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**PATENT COOPERATION TREATY**

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

**PCT**

**INVITATION TO CORRECT  
DEFECTS IN DEMAND**

(PCT Rule 60.1)

To:	
	Date of mailing (day/month/year)
Applicant's or agent's file reference	REPLY DUE within ONE MONTH from the above date of mailing. See also last paragraph below.
International application No.	International filing date (day/month/year)
Applicant	

The applicant is hereby invited within the time limit indicated above to correct the following defects which this International Preliminary Examining Authority has found in the demand for international preliminary examination:

1.  It does not contain the election of at least one Contracting State bound by Chapter II (Rules 53.2(a)(iv) and 53.7).
2.  It does not permit identification of the international application to which it relates (Rule 60.1(b)).
3.  It does not contain the required petition (Rules 53.2(a)(i) and 53.3).
4.  It does not contain the required indications concerning the agent as specified in the Annex (Rules 53.2(a)(ii) and 53.5).
5.  It does not contain the required indications concerning the international application as specified in the Annex (Rules 53.2(a)(iii) and 53.6).
6.  It is not submitted in the required language which is: \_\_\_\_\_ (Rule 55.1).
7.  It is not made on the printed form (Rule 53.1(a)).
8.  It is presented as a computer print-out the particulars of which do not comply with the Administrative Instructions (Rule 53.3(a)).
9.  It does not contain the required indications concerning the applicant as specified in the Annex (Rules 53.2(a)(ii) and 53.4).
10.  It does not contain the required signature as specified in the Annex (Rules 53.2(b) and 53.8).

**Effect of the date of receipt of the corrections on the date of receipt of the demand:**

- (i) If the defects noted under items 1 and 2 are corrected within the time limit indicated above, the demand will be considered to have been received on the date when the corrections are received (Rule 60.1(b)). If that date is later than the expiration of 19 months from the priority date, entry into the national phase before the elected Offices will NOT be postponed until the expiration of 30 months from the priority date.
- (ii) If the defects noted under items 3 to 10 are corrected within the time limit indicated above, the demand will be considered to have been received on its actual filing date (Rule 60.1(a)).

**Effect of failure to correct the defects within the time limit indicated above:**

- (i) In the case of defects noted under items 1 to 8, the demand will be considered as not having been submitted.
- (ii) In the case of defects noted under items 9 and 10, the election(s) of the State(s) concerned will be considered as not having been made.

Name and mailing address of the IPEA/	Authorized officer
Facsimile No.	Telephone No.

ANNEX TO FORM PCT/IPEA/404

International application No.

**Continuation of item 4**

As to indications concerning **the agent** (Rule 4.4), the demand:

- a.  does not properly indicate the agent's name (specify):
  
- b.  does not indicate the agent's address.
  
- c.  does not properly indicate the agent's address (specify):

**Continuation of item 5**

As to indications concerning **the international application**, the demand does not indicate:

- a.  the international filing date.
  
- b.  the international application number.
  
- c.  the name of the receiving Office, where the international application number was not known to the applicant at the time the demand was filed.
  
- d.  the title of the invention.

**Continuation of item 9**

As to indications concerning **the applicant** (Rules 4.4 and 4.5), the demand:

- a.  does not properly indicate the applicant's name (specify):
  
- b.  does not indicate the applicant's address.
  
- c.  does not properly indicate the applicant's address (specify):
  
  
- d.  does not indicate the applicant's nationality.
  
- e.  does not indicate the applicant's residence.

**Continuation of item 10**

As to requirements concerning **signature** (Rules 4.15 and 90.4), the demand:

- a.  was not signed.
  
- b.  was not signed by all the applicants for the elected States.
  
- c.  was not accompanied by the statement referred to in the check list in Box No. VI of the demand explaining the lack of the signature of an applicant for the election of the United States of America.
  
- d.  was signed by what appears to be an agent/common representative but the latter cannot be considered as such since
  - the demand was not accompanied by a power of attorney appointing him.
  - the power of attorney accompanying the demand was not signed by all the applicants for the elected States.

**PATENT COOPERATION TREATY**

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

**PCT**

**INVITATION TO RESTRICT OR  
PAY ADDITIONAL FEES**

(PCT Article 34(3)(a) and Rule 68.2)

To:	
	Date of mailing (day/month/year)
Applicant's or agent's file reference	REPLY DUE within _____ months/days from the above date of mailing
International application No.	International filing date (day/month/year)
Applicant	

1. This International Preliminary Examining Authority

- (i) considers that the international application does not comply with the requirement of unity of invention (Rules 13.1, 13.2 and 13.3) for the reasons indicated in the Annex.
- (ii) therefore considers that there is(are) \_\_\_\_\_ (number of) additional invention(s) claimed in the international application covered by the group(s) of claims indicated in the Annex.
- (iii) recalls that claims relating to inventions in respect of which no international search report has been established need not be the subject of international preliminary examination (Rule 66.1(e)).

2. Consequently **the applicant is hereby invited**, within the time limit indicated above, to restrict the claims as suggested under item 3, below, or to pay the amount indicated below:  
Fee per additional invention multiplied by number of additional inventions equals total amount of additional fees.

\_\_\_\_\_ x \_\_\_\_\_ = \_\_\_\_\_

3. If the applicant opts to restrict the claims, this Authority suggests the restriction possibilities indicated in the Annex, which in its opinion would be in compliance with the requirement of unity of invention.

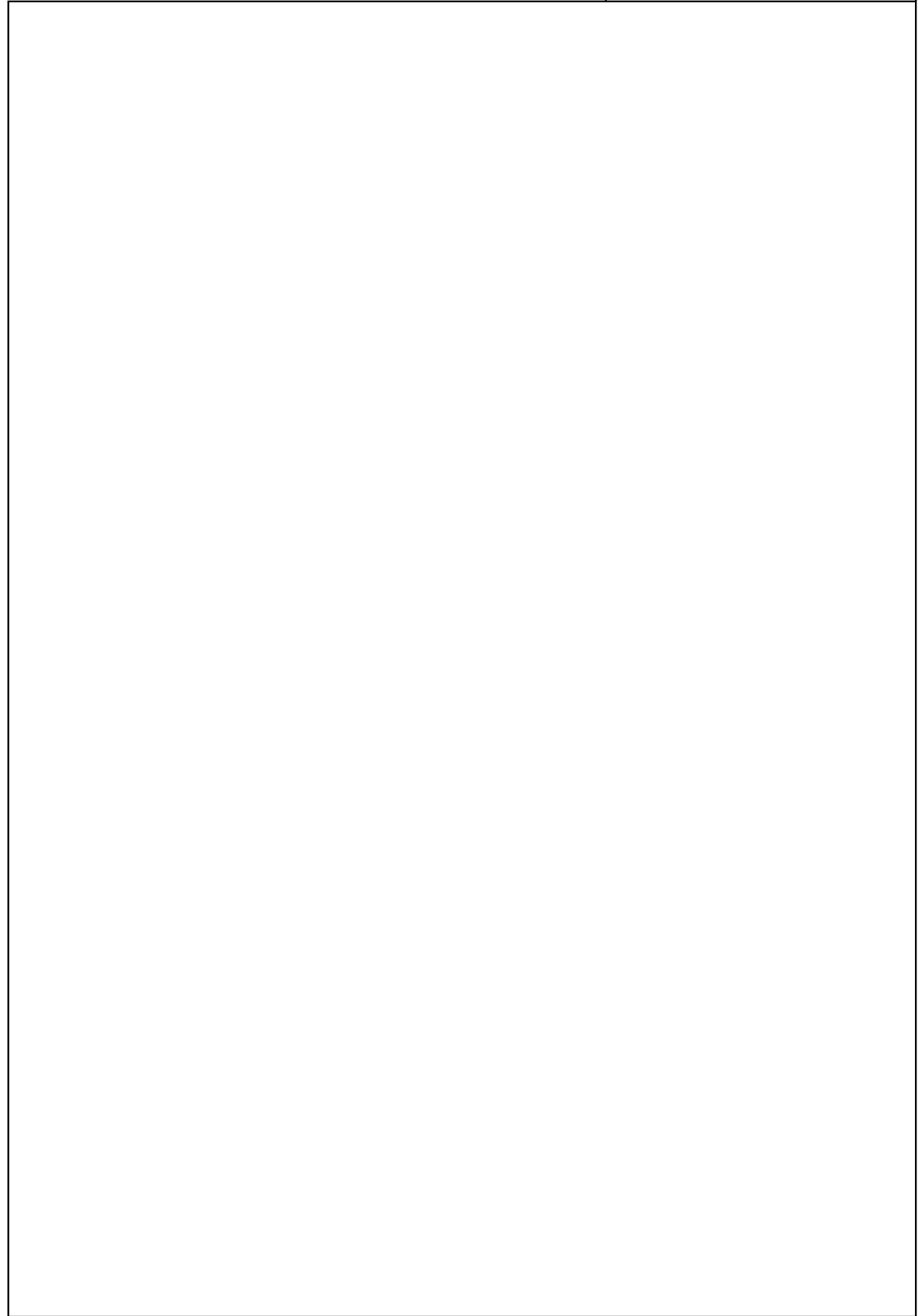
4. **In the absence of any response** from the applicant, this Authority will establish the international preliminary examination report on those parts of the international application indicated in the Annex which, in the opinion of this Authority, appear to relate to the main invention.

5. The applicant is informed that, according to Rule 68.3(e), the payment of any additional fee may be made under protest, i.e. a reasoned statement to the effect that the international application complies with the requirement of unity of invention or that the amount of the required additional fee is excessive.

Name and mailing address of the IPEA/  Facsimile No.	Authorized officer  Telephone No.
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**Annex to Form PCT/IPEA /405**

International application No.



**PATENT COOPERATION TREATY**

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

**PCT**

**NOTIFICATION THAT DEMAND CONSIDERED  
AS NOT HAVING BEEN SUBMITTED**

(PCT Rule 61.1(b), second sentence)

To:	
	Date of mailing (day/month/year)
Applicant's or agent's file reference	<b>IMPORTANT NOTIFICATION</b>
International application No.	International filing date (day/month/year)
Applicant	

1. The applicant is hereby notified that the demand has been considered as not having been submitted for the following reason:

the applicant does not have the right to make a demand (see Article 31(2)(a) and Rule 54.4(a)) since

he is not a resident or national of a Contracting State bound by Chapter II of the PCT.

the international application has not been filed with the receiving Office of or acting for a Contracting State bound by Chapter II of the PCT.

the lack of compliance within the prescribed time limit with the invitation (Form PCT/IPEA/403) to pay the required amount of the following fee(s):

the preliminary examination fee                       the handling fee

the lack of compliance within the prescribed time limit with the invitation (Form PCT/IPEA/404) to correct defects in the demand.

2. Since the demand is considered as not having been submitted, this Authority will refund to the applicant any amount paid for the international preliminary examination and handling fees:

in full     partially, in the amount of \_\_\_\_\_.

3. **Attention:**  
Since the demand is considered as not having been submitted, the election(s) concerned does(do) not have the effect of postponing the commencement of the national phase according to Article 39(1)(a). Therefore, the acts for the entry into the national phase must be performed within the time limit applicable under Article 22(1).  
For details, see Annex A to Form PCT / IB/301 sent by the International Bureau and Volume II of the PCT Applicant's Guide.

4. A copy of this notification has been sent to the International Bureau.

Name and mailing address of the IPEA/	Authorized officer
Facsimile No.	Telephone No.

**PATENT COOPERATION TREATY**

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

**PCT**

**REQUEST FOR THE PRODUCTION OF PROOF**

**(PCT Article 49 and Rule 83)**

To:	
in its capacity as receiving Office	Date of mailing (day/month/year)
Applicant's or agent's file reference	<b>REPLY DUE</b> within months/days from the above date of mailing
International application No.	International filing date (day/month/year)
Applicant	

This International Preliminary Examining Authority hereby requests the receiving Office to inform it whether the following person has the right to practice before it:

Name:

Address:

Name and mailing address of the IPEA/ Facsimile No.	Authorized officer Telephone No.
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**PATENT COOPERATION TREATY**

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

**PCT**

**INVITATION TO REQUEST RECTIFICATION**

(PCT Rule 91.1(d))

To:	
	Date of mailing (day/month/year)
Applicant's or agent's file reference	<b>REPLY DUE</b> see last paragraph below
International application No.	International filing date (day/month/year)
Applicant	

1. This International Preliminary Examining Authority has discovered in the international application/in other papers submitted by the applicant/what appears to be an obvious error

as shown on the attached copy

as specified hereafter:

2. The applicant is hereby invited to submit a request for rectification to the following authority:

the receiving Office       this International Preliminary Examining Authority       the International Bureau of WIPO,  
34 chemin des Colombettes,  
1211 Geneva 20, Switzerland

**HOW TO CORRECT AN ERROR?**

A request for rectification of an obvious error must be submitted in a letter. The rectification may be stated in that letter if it is of such a nature that it can be transferred from the letter to the international application without adversely affecting the clarity and the direct reproducibility of the sheet onto which the rectification is to be transferred; otherwise, the applicant is required to submit a replacement sheet embodying the rectification and the letter containing the request for rectification must draw attention to the differences between the replaced sheet and the replacement sheet (Rule 26.4(a)).

**ATTENTION**

No rectification will be made without the express authorization of the competent authority indicated above (see Rule 91.1(g) to (g-quater) for further details and for time limits).

Name and mailing address of the IPEA/	Authorized officer
Facsimile No.	Telephone No.

**PATENT COOPERATION TREATY**

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

**PCT**

**NOTIFICATION OF DECISION CONCERNING  
REQUEST FOR RECTIFICATION**

(PCT Rule 91.1(f))

To:	
	Date of mailing (day/month/year)
Applicant's or agent's file reference	REPLY DUE NONE However, see last paragraph below
International application No.	International filing date (day/month/year)
Applicant	

The applicant is hereby notified that this International Preliminary Examining Authority has considered the request for rectification of obvious errors in the international application/in other papers, submitted by the applicant to this Authority, and that it has decided:

1.  to authorize the rectification:

as requested by the applicant.

to the extent set forth below:

2.  to refuse to authorize the rectification or part of it for the following reasons:

A copy of this notification, together with a copy of the applicant's request for rectification, has been sent to the International Bureau.

**ATTENTION**

If the authorization of the rectification has been refused and the international application has not yet been published, the applicant may request the International Bureau, before the technical preparations for international publication have been completed and subject to the payment of a fee to publish the request for rectification together with the international application. See Rule 91.1(f), third and fourth sentences and, for the amount of the fee, see Annex B2(WO), Volume I of the PCT Applicant's Guide.

Name and mailing address of the IPEA/	Authorized officer
Facsimile No.	Telephone No.

**PATENT COOPERATION TREATY**

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

**PCT**

**NOTIFICATION OF TRANSMITTAL OF  
REQUESTED COPIES OF DOCUMENTS IN FILE**

(PCT Rule 94.1)

To:		Date of mailing (day/month/year)
Applicant's or agent's file reference		
International application No.		International filing date (day/month/year)
Applicant		

This International Preliminary Examining Authority, in response to the request received, transmits herewith \_\_\_\_\_ (number of) copies of the following documents contained in the file of the international application.

Name and mailing address of the IPEA/  Facsimile No.	Authorized officer  Telephone No.
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**PATENT COOPERATION TREATY**

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

**PCT**

**INVITATION TO FURNISH TRANSLATION  
OF PRIORITY DOCUMENT**

(PCT Rule 66.7(b))

To:	Date of mailing (day/month/year)
	<b>REPLY DUE</b> within <b>TWO MONTHS</b> from the above date of mailing
Applicant's or agent's file reference	International filing date (day/month/year)
International application No.	
Applicant	

This International Preliminary Examining Authority hereby invites the applicant to furnish to it, within the time limit indicated above, a translation into: \_\_\_\_\_ (language)

of the following earlier application whose priority is claimed in the international application.

**Failure to furnish** the requested translation(s) within that time limit may result in the international preliminary examination report being established as if the priority(ies) had not been claimed.

<u>Country</u>	<u>Date</u>	<u>Number</u>
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Name and mailing address of the IPEA/	Authorized officer
Facsimile No.	Telephone No.

**PATENT COOPERATION TREATY**

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

To:

The International Bureau of WIPO  
34, chemin des Colombettes  
1211 Geneva 20  
Switzerland

**PCT**

**NOTIFICATION CONCERNING  
DOCUMENTS TRANSMITTED**

(applicable PCT Rule indicated below)

Date of mailing  
(day/month/year)

This International Preliminary Examining Authority transmits herewith the following indicated types of documents and number thereof:

1.  \_\_\_\_\_ (number of) demands (Rule 61.1(a)).
2.  \_\_\_\_\_ (number of) copies of international preliminary examination reports and their annexes (Rule 71.1).
3.  \_\_\_\_\_ (number of) copies of documents cited in the international preliminary examination reports and not cited in the international search reports (Rule 71.2(c)).
4.  \_\_\_\_\_ (number of) other documents:

Attached is a list identifying each document transmitted by the type of document it is, by the corresponding international application number and, if necessary, by other information.

Name and mailing address of the IPEA/

Authorized officer

Facsimile No.

Telephone No.

ANNEX TO FORM PCT/IPEA/415(a)

Type of document	International application No.	Other information

**PATENT COOPERATION TREATY**

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

**PCT**

**NOTIFICATION OF TRANSMITTAL OF  
INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

(PCT Rule 71.1)

To:

Date of mailing  
(day/month/year)

Applicant's or agent's file reference		<b>IMPORTANT NOTIFICATION</b>	
International application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)	
Applicant			

1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.
4. **REMINDER**  
  
The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within the time limit applicable under Article 39(1) (see also the reminder sent by the International Bureau with Form PCT/IB/301).  
  
Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

Name and mailing address of the IPEA/	Authorized officer
Facsimile No.	Telephone No.

**PATENT COOPERATION TREATY**

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

**PCT**

**NOTIFICATION OF TRANSMITTAL OF  
REQUESTED COPIES OF CITED DOCUMENTS**

(PCT Article 36(4))

To:		Date of mailing (day/month/year)	
		Applicant's or agent's file reference	
Applicant's or agent's file reference		<b>INFORMATION ONLY</b>	
International application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)	
Applicant			
<p>This International Preliminary Examining Authority, in response to the request received, transmits herewith _____ (number of) copies of the documents listed below. These documents were cited in the international preliminary examination report established on the international application but were not cited in the international search report.</p>			
Name and mailing address of the IPEA/		Authorized officer	
Facsimile No.		Telephone No.	

**PATENT COOPERATION TREATY**

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

**PCT**

**NOTIFICATION OF DECISION ON PROTEST**

(PCT Rule 68.3(c) and  
Administrative Instructions, Section 603)

To:

Date of mailing  
(day/month/year)

Applicant's or agent's file reference	<b>REPLY DUE</b>	<b>NONE</b>
	However, see last paragraph below.	

International application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)
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Applicant

The applicant is hereby notified that this International Preliminary Examining Authority, after having examined the protest on the payment of the additional fees, has reached the decision indicated below.

1.  The protest is found justified to the extent that:
- total reimbursement of the additional fees [and the protest fee] will be made in due course.
  - partial reimbursement in the amount of \_\_\_\_\_ (amount/currency) and will be made in due course for the following reason(s):

2.  The protest is found unjustified and the additional fee(s) [and the protest fee] will not be refunded for the following reason(s):

**ATTENTION**

The applicant should notify the International Bureau promptly if he wishes a copy of the protest and the decision thereon to be sent to the elected Offices.

Name and mailing address of the IPEA/  Facsimile No.	Authorized officer  Telephone No.
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**PATENT COOPERATION TREATY**

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

**PCT**

INVITATION TO PAY FOR REQUESTED  
COPIES OF CITED DOCUMENTS

(PCT Rule 71.2(b))

To:

Date of mailing  
(day/month/year)

Applicant's or agent's file reference	<b>REPLY DUE</b> within _____ months/days from the above date of mailing
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International application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)
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Applicant

This International Preliminary Examining Authority will, upon receipt of payment in the amount of:

\_\_\_\_\_

(amount/currency)

promptly transmit the requested \_\_\_\_\_ (number of) copies of the documents which were cited in the international preliminary examination report established on the international application and which were not cited in the international search report.

Name and mailing address of the IPEA/  Facsimile No.	Authorized officer  Telephone No.
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**PATENT COOPERATION TREATY**

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

**PCT**

**INVITATION TO PAY FOR REQUESTED  
COPIES OF DOCUMENTS IN THE FILE**

(PCT Rule 94.1)

To:

Date of mailing  
(day/month/year)

Applicant's or agent's file reference      **REPLY DUE**      within      months/days  
from the above date of mailing

International application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)
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Applicant

1. The addressee is hereby invited, within the time limit indicated above, to pay the following fee:

\_\_\_\_\_

(amount/currency)

to cover the cost of preparation and mailing of the requested \_\_\_\_\_ (number of) copies of the documents contained in the file of the international application.

2. These copies will be promptly transmitted upon receipt of the payment.

Name and mailing address of the IPEA/  Facsimile No.	Authorized officer  Telephone No.
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**PATENT COOPERATION TREATY**

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

**PCT**

**INVITATION TO CORRECT DEFECTS IN  
CORRESPONDENCE SUBMITTED BY  
THE APPLICANT**

(PCT Rules 92.1(b) and 92.4(g)(ii))

To:	
Applicant's or agent's file reference	Date of mailing (day/month/year)
International application No.	REPLY DUE within months/days from the above date of mailing
Applicant	International filing date (day/month/year)

1. The applicant is hereby invited, within the time limit indicated above, to remedy the omission mentioned below.

2. This International Preliminary Examining Authority acknowledges the receipt on: \_\_\_\_\_ (date)  
of papers purporting to be:  
\_\_\_\_\_

3. However, the said papers were  not accompanied by a letter. (Rule 92.1(a))  
 accompanied by a letter which, nevertheless, was not signed. (Rule 92.1(a))  
 furnished in the form of a letter which, nevertheless, was not signed. (Rule 92.1(a))  
 transmitted by facsimile machine, but the original has not been received. (Rule 92.4(d))

4. The said letter or papers are returned herewith.

**Failure to remedy the omission** within the time limit indicated above shall result in the said letter or papers being disregarded or, in the case of a facsimile transmission, considered as not having been submitted.

Name and mailing address of the IPEA/  Facsimile No.	Authorized officer  Telephone No.
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**PATENT COOPERATION TREATY**

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

**PCT**

COMMUNICATION IN CASES FOR WHICH  
NO OTHER FORM IS APPLICABLE

To:

Date of mailing  
(day/month/year)

Applicant's or agent's file reference

**REPLY DUE**  
See paragraph 1 below

International application No.

International filing date  
(day/month/year)

Applicant

1.  REPLY DUE within \_\_\_\_ months/days from the above date of mailing  
 NO REPLY DUE, however, see below \_\_\_\_\_ .  
 IMPORTANT COMMUNICATION  
 INFORMATION ONLY

2.

Name and mailing address of the IPEA/  
Facsimile No.

Authorized officer  
Telephone No.

**PATENT COOPERATION TREATY**

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

**PCT**

**NOTIFICATION OF CANCELLATION OF  
CERTAIN ELECTIONS**

(PCT Administrative Instructions, Section 606)

To:		Date of mailing (day/month/year)	
Applicant's or agent's file reference		<b>IMPORTANT NOTIFICATION</b>	
International application No.		International filing date (day/month/year)	
Applicant			

<p>1. This International Preliminary Examining Authority has found that the applicant has elected the following States:</p> <p><input type="checkbox"/> which are not designated States (specify):</p>  <p><input type="checkbox"/> which are not bound by Chapter II of the PCT (specify):</p>
<p>2. Consequently, the applicant is hereby notified that the said elections have been cancelled <i>ex officio</i>.</p>
<p>3. A copy of this notification has been sent to the International Bureau.</p>

Name and mailing address of the IPEA/ Facsimile No.	Authorized officer Telephone No.
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**PATENT COOPERATION TREATY**

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

**PCT**

COMMUNICATION REGARDING  
EXTENSION OF TIME LIMIT

(PCT Rule 60.1(a) and 66.2(d))

To:		Date of mailing (day/month/year)	
Applicant's or agent's file reference		Extension of months/days	
International application No.		International filing date (day/month/year)	
Applicant			

1. In response to the applicant's request of \_\_\_\_\_, the time limit for replying to:

the \_\_\_\_\_ written opinion

other communication \_\_\_\_\_

has been extended by \_\_\_\_\_ months to a total of \_\_\_\_\_ months from the date of mailing of that communication.

2.  The new time limit expires on \_\_\_\_\_.

3.  No extension of the time limit is granted and the time limit remains as previously set.

Name and mailing address of the IPEA/	Authorized officer
Facsimile No.	Telephone No.



**PATENT COOPERATION TREATY**

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

**PCT**

**NOTIFICATION CONCERNING INFORMAL  
COMMUNICATION WITH THE APPLICANT**

(PCT Rule 66.6)

To:

		Date of mailing (day/month/year)
Applicant's or agent's file reference		<b>REPLY DUE</b> within          months/days from the above date of mailing
International application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)
Applicant		

1. An informal communication took place on \_\_\_\_\_ ,  
between the undersigned and

the applicant

the agent

and this International Preliminary Examining Authority transmits herewith a copy of the note on that communication (Form PCT/IPEA/428).

2. Further examination of the international application has revealed that the application fails to meet the requirements of the PCT and the Regulations as explained in the attached note.

3. The applicant is hereby invited, within the time limit indicated above, to submit a written reply accompanied by amendments.

4. If no reply is submitted, the international preliminary examination report will reflect only the opinion expressed by this Authority.

Name and mailing address of the IPEA/	Authorized officer
Facsimile No.	Telephone No.

**PATENT COOPERATION TREATY**

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

**PCT**

REQUEST FOR INTERNATIONAL SEARCHING  
AUTHORITY TO FURNISH COPY OF  
SEQUENCE LISTING TO INTERNATIONAL  
PRELIMINARY EXAMINING AUTHORITY  
(PCT Rule 13ter.1(e))

To:	
in its capacity as International Searching Authority	
International application No.	Date of mailing (day/month/year)
Applicant	
International filing date (day/month/year)	

The International Preliminary Examining Authority hereby requests the International Searching Authority to transmit to it a copy of the sequence listing which was furnished by the applicant or transcribed by the International Searching Authority under Rule 13ter.1.(a).

Name and mailing address of the IPEA/  Facsimile No.	Authorized officer  Telephone No.
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**PATENT COOPERATION TREATY**

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

**PCT**

**INVITATION TO  
SUBMIT AMENDMENTS**

(PCT Rule 60.1(g))

To:	
	Date of mailing (day/month/year)
Applicant's or agent's file reference	<b>REPLY DUE</b> within          months/days from the above date of mailing
International application No.	International filing date (day/month/year)
Applicant	

1. The applicant is hereby invited, within the time limit indicated above, to submit to this International Preliminary Examining Authority the following documents which were referred to in the statement concerning amendments made in the demand for international preliminary examination, but not attached to the demand:

amendments made under Article 34 of

the description

the claims

the drawings

letter accompanying amendments under Article 34.

2. If the documents are submitted to this Authority within the time limit indicated above, they will be taken into account for the start of the international preliminary examination.

3. If the documents are not submitted to this Authority within the time limit indicated above, the international preliminary examination may start without taking them into account.

Name and mailing address of the IPEA/	Authorized officer
Facsimile No.	Telephone No.

**PATENT COOPERATION TREATY**

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

**PCT**

**COMMUNICATION REGARDING  
AMENDMENTS NOT TAKEN INTO ACCOUNT**

(PCT Rule 66.4bis)

To:	
	Date of mailing (day/month/year)
Applicant's or agent's file reference	<b>INFORMATION ONLY</b>
International application No.	International filing date (day/month/year)
Applicant	

The applicant is hereby informed that the amendments under Article 34 submitted with the letter of:

\_\_\_\_\_ (date)

have not been taken into account by this International Preliminary Examining Authority because they were received after this Authority had started:

to draw up the \_\_\_\_\_ written opinion.  
If he so wishes, the applicant may submit these amendments again in response to that written opinion.

to establish the international preliminary examination report.  
There is no opportunity for the applicant during the international phase to submit amendments in response to the international preliminary examination report. However, the applicant is entitled to submit amendments before each elected Office, upon entry into the national phase (Article 41 and Rule 78).

Name and mailing address of the IPEA/  Facsimile No.	Authorized officer  Telephone No.
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**PATENT COOPERATION TREATY**

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

**PCT**

NOTIFICATION REGARDING ATTEMPTED  
TRANSMITTAL OF DOCUMENTS VIA  
TELEGRAPH, TELEPRINTER,  
FACSIMILE MACHINE, ETC.

(PCT Rule 92.4(c))

To:	
Applicant's or agent's file reference	Date of mailing (day/month/year) <b>REPLY DUE</b> see last paragraph below
International application No.	International filing date (day/month/year)
Applicant	

1. The applicant is hereby notified that this International Preliminary Examining Authority has received via facsimile/teleprinter/telegraph a document which appears to be/which is/entitled:

\_\_\_\_\_

2. However,  that document, as received, is illegible,  
 a part of that document was not received,  
as explained below:

3. Consequently, the document is treated as not having been received by this International Preliminary Examining Authority and the applicant should attempt to re-transmit it.

Name and mailing address of the IPEA/ Facsimile No.	Authorized officer Telephone No.
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**PATENT COOPERATION TREATY**

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

**PCT**

INVITATION TO FURNISH ORIGINAL  
OF DOCUMENT TRANSMITTED BY  
TELEGRAPH, TELEPRINTER,  
FACSIMILE MACHINE, ETC.

(PCT Rule 92.4(d), (e) and (f))

To:

	Date of mailing (day/month/year)
Applicant's or agent's file reference	<b>REPLY DUE</b> within month/days from the above date of mailing
International application No.	International filing date (day/month/year)
Applicant	

1. This International Preliminary Examining Authority has received on \_\_\_\_\_ (date) via facsimile/teleprinter/telegraph a document which appears to be/which is/entitled:

\_\_\_\_\_ .

2. However, the original of the document was not furnished within 14 days of the date of receipt of the earlier transmission as is required by this International Preliminary Examining Authority.

3. The applicant is hereby invited within the time limit indicated above to furnish:

a.  the original of the document.

b.  a letter identifying the earlier transmission.

4. Failure to furnish the original of the document concerned within the time limit indicated above shall result in that document being considered as not having been submitted.

Name and mailing address of the IPEA/	Authorized officer
Facsimile No.	Telephone No.

**PATENT COOPERATION TREATY**

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

**PCT**

**NOTIFICATION REGARDING RECEIPT  
OF DOCUMENTS VIA TELEGRAPH,  
TELEPRINTER, FACSIMILE MACHINE, ETC.**

(PCT Rule 92.4(h))

To:

Date of mailing  
(day/month/year)

Applicant's or agent's file reference

**REPLY DUE**  
See last paragraph below

International application No.

International filing date  
(day/month/year)

Applicant

1. The applicant is hereby notified that this International Preliminary Examining Authority has received via

facsimile machine       teleprinter       telegraph

other (specify): \_\_\_\_\_

the following document: \_\_\_\_\_

2. However, this Authority does not accept that documents be transmitted to it by the means indicated above.

3. **Consequently, the document concerned is treated as not having been received by this Authority. The applicant should promptly submit the original document to this Authority by mail, air mail, etc.**

Name and mailing address of the IPEA/ Facsimile No.	Authorized officer Telephone No.
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**PATENT COOPERATION TREATY**

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

**PCT**

**NOTIFICATION CONCERNING THE  
COMPETENT INTERNATIONAL PRELIMINARY  
EXAMINING AUTHORITY**

(PCT Rule 59)

To:		Date of mailing (day/month/year)	
Applicant's or agent's file reference		<b>REPLY DUE</b> within <b>19 MONTHS</b> from the priority date	
International application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)	
Applicant			
<p>1. This International Preliminary Examining Authority has received on _____ (date) a demand for international preliminary examination.</p> <p>2. However, this Authority is <b>NOT</b> the competent authority with which the demand should be filed.</p> <p>3. The competent International Preliminary Examining Authority(ies) is(are):</p> <p>4. <b>ATTENTION</b></p> <p>The applicant's attention is drawn to the fact that the national phase will be postponed until 30 months from the priority date for the States elected in the demand only if, before the expiration of 19 months from the priority date, a new demand is filed with (one of) the Authority(ies) listed above.</p> <p>If the applicant fails to file the demand with a competent Authority within that time limit, the acts for entry into the national phase before the designated/elected Offices must be performed within 20 months from the priority date (or later in some Offices, see Volume II, PCT Applicant's Guide).</p>			
Name and mailing address of the IPEA/		Authorized officer	
Facsimile No.		Telephone No.	

**PATENT COOPERATION TREATY**

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

**PCT**

INVITATION TO PAY PROTEST FEE

(PCT Rule 68.3(e))

To:

Applicant's or agent's file reference		Date of mailing (day/month/year)
		<b>REPLY DUE</b> within <b>ONE MONTH</b> from the above date of mailing
International application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)
Applicant		

The applicant is invited, within the time limit indicated above, to pay a protest fee for the examination of the protest, in the amount of:

\_\_\_\_\_

(amount/currency)

since a review of the justification for the invitation to pay additional fees (Form PCT/IPEA/405) has resulted in the requirement of payment of additional fees to be upheld for the following reason(s):

**Failure to pay** the protest fee within the time limit indicated above shall result in the protest being considered withdrawn.

Name and mailing address of the IPEA/  Facsimile No.	Authorized officer  Telephone No.
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**PATENT COOPERATION TREATY**

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

**PCT**

**NOTIFICATION CONCERNING  
PAPER BEING DISREGARDED OR  
DOCUMENT CONSIDERED AS NOT  
HAVING BEEN SUBMITTED**

(PCT Rules 92.1(b), last sentence, and 92.4(g)(ii))

To:	
	Date of mailing (day/month/year)
Applicant's or agent's file reference	<b>IMPORTANT NOTIFICATION</b>
International application No.	International filing date (day/month/year)
Applicant	

1.  An invitation (Form PCT/IPEA/423) to correct defects in correspondence submitted by the applicant was mailed by this International Preliminary Examining Authority on:

\_\_\_\_\_ (date).

However, no response to the invitation was received by this Authority within the time limit indicated in that invitation.

**Consequently**, this Authority hereby notifies the applicant that the paper is being disregarded.

2.  An invitation (Form PCT/IPEA/434) to furnish the original of a document transmitted by telegraph, teleprinter, facsimile machine, etc., was mailed by this Authority on:

\_\_\_\_\_ (date).

However, no response to the invitation was received by this Authority within the time limit indicated in that invitation.

**Consequently**, this Authority hereby notifies the applicant that the document is considered as not having been submitted.

Name and mailing address of the IPEA/  Facsimile No.	Authorized officer  Telephone No.
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**PATENT COOPERATION TREATY**

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

**PCT**

**NOTIFICATION THAT CERTAIN ELECTIONS  
CONSIDERED NOT TO HAVE BEEN MADE**

(PCT Rule 60.1(d))

To:

Date of mailing  
(day/month/year)

Applicant's or agent's file reference

**IMPORTANT NOTIFICATION**

International application No.

International filing date (day/month/year)

(Earliest) Priority Date (day/month/year)

Applicant

1. An invitation (Form PCT/IPEA/404) to correct defects in the demand was mailed by this International Preliminary Examining Authority on:  
  
\_\_\_\_\_ (date).
2. However, the invitation was not complied with, within the time limit indicated in that invitation, with regard to:
  - the required indications concerning the applicant.
  - the required signature of the applicant.
3. **Consequently**, the election(s) of the following State(s) is (are) considered as not having been made:
4. **Attention**  
Entry into the national phase before this(these) State(s) will **NOT** be postponed until the expiration of 30 months from the priority date. Therefore, the acts for the entry into the national phase must be performed within 20 months from the priority date (or later in some Offices). See Volume II of the PCT Applicant's Guide.

Name and mailing address of the IPEA/  
  
Facsimile No.

Authorized officer  
  
Telephone No.