

# WIPO



**PCT/MIA/II/6**  
**ORIGINAL:** English  
**DATE:** February 14, 1992

**WORLD INTELLECTUAL PROPERTY ORGANIZATION**  
GENEVA

**INTERNATIONAL PATENT COOPERATION UNION**  
**(PCT UNION)**

**MEETING OF INTERNATIONAL AUTHORITIES**  
**UNDER THE PCT**

**Second Session**  
**Geneva, March 9 to 13, 1992**

**DRAFT OF PROPOSED MODIFIED FORMS FOR USE BY**  
**THE INTERNATIONAL SEARCHING AUTHORITIES**

*prepared by the International Bureau*

The annex to this document contains the draft of the modified Forms for use by the International Searching Authorities. It also contains, for easy reference, a list of those Forms.

The modifications take into account the amendments to the PCT Regulations and are submitted to the International Searching Authorities for their observations and for the purpose of the consultations required under Rule 89.2(b).

[Annex follows]

## ANNEX

**LIST OF MODIFIED PCT/ISA FORMS AS FROM JULY 1992**

<u>Form identification number</u>	<u>Title of Form</u>	<u>Provision pursuant to which Form is issued</u>
PCT/ISA/201	INTERNATIONAL-TYPE SEARCH REPORT	Article 15.5
PCT/ISA/ 202	NOTIFICATION OF RECEIPT OF SEARCH COPY	Rule 25.1
PCT/ISA/203	DECLARATION OF NON-ESTABLISHMENT OF INTERNATIONAL SEARCH REPORT	Article 17(2)(a)
PCT/ISA/204	[DELETED]	
PCT/ISA/205	NOTIFICATION OF CHANGE IN ABSTRACT AS PREVIOUSLY ESTABLISHED BY INTERNATIONAL SEARCHING AUTHORITY	Rule 38.2(b)
PCT/ISA/206	INVITATION TO PAY ADDITIONAL FEES	Article 17(3)(a) and Rule 40.1
PCT/ISA/207	INVITATION TO COMMENT ON DRAFT TRANSLATION	Rule 48.3(b)
PCT/ISA/208	NOTIFICATION OF ACTION TAKEN CONCERNING COMMENTS ON DRAFT TRANSLATION	Administrative Instructions, Section 506
PCT/ISA/209	NOTIFICATION OF FACTS WHICH SHOULD HAVE PRECLUDED THE ACCORDING OF AN INTERNATIONAL FILING DATE	Rule 29.3
PCT/ISA/210	INTERNATIONAL SEARCH REPORT	Article 18 and Rules 43 and 44
PCT/ISA/211	NOTIFICATION OF TRANSMITTAL OF REQUESTED COPIES OF CITED DOCUMENTS	Article 20(3)
PCT/ISA/212	NOTIFICATION OF DECISION ON PROTEST	Rule 40.2 (c) and Administrative Instructions, Section 502
PCT/ISA/213	NOTIFICATON OF REFUND OF SEARCH FEE	Rules 16.3 and 41.1 and Administrative Instructions, Section 510

<u>Form identification number</u>	<u>Title of Form</u>	<u>Provision pursuant to which Form is issued</u>
PCT/ISA/214	REQUEST FOR THE PRODUCTION OF PROOF	Article 49 and Rule 83
PCT/ISA/215	[DELETED]	
PCT/ISA/216	INVITATION TO REQUEST RECTIFICATION	Rule 91.1(d)
PCT/ISA/217	NOTIFICATION OF DECISION CONCERNING REQUEST FOR RECTIFICATION	Rule 91.1(f)
PCT/ISA/218	NOTIFICATION CONCERNING EXPRESSIONS, ETC., NOT TO BE USED IN THE INTERNATIONAL APPLICATION	Rule 9
PCT/ISA/219	NOTIFICATION CONCERNING DOCUMENTS TRANSMITTED	Rules 44.1 and 48.3(b) and Administrative Instructions, Section 511(v)
PCT/ISA/220	NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT OR THE DECLARATION	Rule 44.1
PCT/ISA/221	INVITATION TO PAY FOR REQUESTED COPIES OF CITED DOCUMENTS	Rule 44.3(b)
PCT/ISA/222	INVITATION TO PAY FEE FOR PREPARATION OF DRAFT TRANSLATION OF THE INTERNATIONAL APPLICATION	Rule 48.3(b)
PCT/ISA/223	NOTIFICATION OF DEFECTS WITH REGARD TO CORRESPONDENCE SUBMITTED BY APPLICANT	Rules 92.1(b) and 92.4(g)(ii)
PCT/ISA/224	COMMUNICATION IN CASES FOR WHICH NO OTHER FORM IS APPLICABLE	
PCT/ISA/225	INVITATION TO FURNISH NUCLEOTIDE AND/OR AMINO ACID SEQUENCE LISTING	Rule 13 <sup>ter</sup> .1
PCT/ISA/226	COMMUNICATION REGARDING TRANSCRIPTION OF SEQUENCE LISTING BY INTERNATIONAL SEARCHING AUTHORITY	Rule 13 <sup>ter</sup> .1(d)
PCT/ISA/227	COMMUNICATION OF COPY OF SEQUENCE LISTING TO INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY	Rule 13 <sup>ter</sup> .1(e)
PCT/ISA/228	INVITATION TO PAY PROTEST FEE	Rule 40.2(e)

<u>Form identification number</u>	<u>Title of Form</u>	<u>Provision pursuant to which Form is issued</u>
PCT/ISA/229	NOTIFICATION REGARDING ATTEMPTED TRANSMITTAL OF DOCUMENTS VIA TELEGRAPH, TELEPRINTER, FACSIMILE MACHINE, ETC.	Rule 92.4(c)
PCT/ISA/230	INVITATION TO FURNISH ORIGINAL OF DOCUMENT TRANSMITTED BY TELEGRAPH, TELEPRINTER, FACSIMILE MACHINE, ETC.	Rule 92.4(d), (e) and (f)
PCT/ISA/231	NOTIFICATION REGARDING RECEIPT OF DOCUMENTS VIA TELEGRAPH, TELEPRINTER, FACSIMILE MACHINE, ETC,	Rule 92.4(h)



PATENT COOPERATION TREATY

PCT

INTERNATIONAL-TYPE SEARCH REPORT

(PCT Article 15.5)

Applicant's or agent's file reference	
National application No.	Country of filing
Filing date ( <i>day/month/year</i> )	(Earliest) Priority date ( <i>day/month/year</i> )
Applicant	

Date of request for international-type search	International-type search request No.
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This international-type search report has been prepared by this International Searching Authority and is hereby transmitted to the applicant.

This international-type search report consists of a total of \_\_\_\_\_ sheets.

☐ It is also accompanied by a copy of each relevant prior art document cited.

1. ☐ **Certain claims were found unsearchable** (See Box I).

2. ☐ **Unity of invention is lacking** (See Box II).

3. ☐ The national application contains disclosure of a **nucleotide and/or amino acid sequence listing** and the international-type search was carried out on the basis of the sequence listing

☐ filed with the national application.

☐ furnished by the applicant to this Authority,

☐ but not accompanied by a statement to the effect that it did not include matter going beyond the disclosure in the international application as filed.

☐ transcribed by this Authority.

INTERNATIONAL-TYPE SEARCH REPORT

Search request No.

**Box I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)**

This international-type search report has not been established in respect of certain claims for the following reasons:

1. ☐ Claims numbers \_\_\_\_\_, because they relate to subject matter not required to be searched by this Authority, namely:
  
2. ☐ Claims numbers \_\_\_\_\_, because they relate to parts of the national application that do not comply with the prescribed requirements to such an extent that no meaningful international-type search can be carried out, specifically:

**Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)**

This International Searching Authority found multiple inventions in this national application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international-type search report covers all searchable claims.
2. ☐ As only some of the required additional search fees were timely paid by the applicant, this international-type search report covers only those claims for which fees were paid, specifically claims numbers:
  
3. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international-type search report is restricted to the invention first mentioned in the claims; it is covered by claims numbers:
  
4. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.

**Remark on Protest**

☐

The additional search fees were accompanied by the applicant's protest.

☐

No protest accompanied the payment of additional search fees.

INTERNATIONAL-TYPE SEARCH REPORT

Search request No.

A. CLASSIFICATION OF SUBJECT MATTER

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.

☐ Further documents are listed in the continuation of Box C.

☐ Patent family members are listed in annex.

\* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international-type search

Date of mailing of the international-type search report

Name and mailing address of the ISA/

Authorized officer

Facsimile No.

Telephone No.

**INTERNATIONAL-TYPE SEARCH REPORT**

Search request No.

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.

**INTERNATIONAL-TYPE SEARCH REPORT**  
List of Patent Family Documents (Annex to Form PCT/ISA/201)

Search request No.

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**PATENT COOPERATION TREATY**

From the INTERNATIONAL SEARCHING AUTHORITY

**PCT**

**NOTIFICATION OF RECEIPT  
OF SEARCH COPY**

(PCT Rule 25.1)

To:		Date of mailing (day/month/year)	
		Applicant's or agent's file reference	
Applicant's or agent's file reference		<b>IMPORTANT NOTIFICATION</b>	
International application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)	
Applicant			

1. ☐ **Where the International Searching Authority and the receiving Office are not the same Office:**  
The applicant is hereby notified that the search copy of the international application was received by this International Searching Authority on the date indicated below.
2. ☐ **Where the International Searching Authority and the receiving Office are the same Office:**  
The applicant is hereby notified that the search copy of the international application was received on the date indicated below.

\_\_\_\_\_  
(date of receipt)

**Time limit for establishment of international search report**

The applicant is informed that the time limit for establishing the international search report is 3 months from the date of receipt indicated above or 9 months from the priority date, whichever time limit expires later.

A copy of this notification has been sent to the International Bureau and, where paragraph 1 applies, to the receiving Office.

Name and mailing address of the ISA/	Authorized officer
Facsimile No.	Telephone No.

**PATENT COOPERATION TREATY**

**PCT**

**DECLARATION OF NON-ESTABLISHMENT OF INTERNATIONAL SEARCH REPORT**  
(PCT Article 17(2)(a))

Applicant's or agent's file reference	<b>IMPORTANT DECLARATION</b>	Date of mailing ( <i>day/month/year</i> )
International application No.	International filing date ( <i>day/month/year</i> )	(Earliest) Priority Date ( <i>day/month/year</i> )
International Patent Classification (IPC) or both national classification and IPC		
Applicant		

This International Searching Authority hereby declares, according to Article 17(2)(a), that no international search report will be established on the international application for the reasons indicated below.

1. ☐ The subject matter of the international application relates to:
  - a. ☐ scientific theories.
  - b. ☐ mathematical theories.
  - c. ☐ plant varieties.
  - d. ☐ animal varieties.
  - e. ☐ essentially biological processes for the production of plants and animals, other than microbiological processes and the products of such processes.
  - f. ☐ schemes, rules or methods of doing business.
  - g. ☐ schemes, rules or methods of performing purely mental acts.
  - h. ☐ schemes, rules or methods of playing games.
  - i. ☐ methods for treatment of the human body by surgery or therapy.
  - j. ☐ methods for treatment of the animal body by surgery or therapy.
  - k. ☐ diagnostic methods.
  - l. ☐ mere presentations of information.
  - m. ☐ computer programs for which this International Searching Authority is not equipped to search prior art.
2. ☐ The failure of the following parts of the international application to comply with prescribed requirements prevents a meaningful search from being carried out:  
☐ the description      ☐ the claims      ☐ the drawings
3. ☐ The failure of the nucleotide and/or amino acid sequence listing to comply with the prescribed requirements prevents a meaningful search from being carried out:  
☐ it does not comply with the prescribed standard  
☐ it is not in the prescribed machine readable form
4. Further comments:

Name and mailing address of the ISA/	Authorized officer
Facsimile No.	Telephone No.

**PATENT COOPERATION TREATY**

From the INTERNATIONAL SEARCHING AUTHORITY

To:	<b>PCT</b>
	NOTIFICATION OF CHANGE IN ABSTRACT AS PREVIOUSLY ESTABLISHED BY INTERNATIONAL SEARCHING AUTHORITY  (PCT Rule 38.2(b))
Date of mailing (day/month/year)	
Applicant's or agent's file reference	<b>INFORMATION ONLY</b>
International application No.	International filing date (day/month/year)
Applicant	

The applicant is hereby notified that this International Searching Authority has considered the comments received from the applicant on the abstract established by this Authority (Form PCT/ISA/210) and has decided that:

- ☐ the text of the abstract remains as previously established by this Authority.
- ☐ the text of the abstract is changed in view of the applicant's comments and it now reads as it appears below/in the Annex.

A copy of this Notification and any Annex has been sent to the International Bureau.

Name and mailing address of the ISA/	Authorized officer
Facsimile No.	Telephone No.



PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHORITY

PCT

INVITATION TO PAY ADDITIONAL FEES

(PCT Article 17(3)(a) and Rule 40.1)

To:	Date of mailing (day/month/year)
	Applicant's or agent's file reference
International application No.	REPLY DUE within months/days from the above date of mailing
Applicant	International filing date (day/month/year)

1. This International Searching Authority

(i) considers that the international application does not comply with the requirements of unity of invention (Rules 13.1, 13.2 and 13.3) for the following reasons:

(ii) considers that there is(are) \_\_\_\_\_ (number of) additional invention(s) claimed in the international application covered by the following group(s) of claims:

(iii) ☐ has established a partial international search (see Annex A) ☐ will establish the international search report on those parts of the international application which relate to the invention first mentioned in claims Nos.: \_\_\_\_\_ ;

(iv) will establish the international search report on the other parts of the international application only if, and to the extent to which, additional fees are paid.

2. The applicant is hereby invited, within the time limit indicated above, to pay the amount indicated below:

Fee per additional invention multiplied by number of additional inventions equals total amount of additional fees.

\_\_\_\_\_ x \_\_\_\_\_ = \_\_\_\_\_

3. Claim(s) Nos. \_\_\_\_\_ have been found to be unsearchable under Article 17(2)(b) because of defects under Article 17(2)(a) and therefore have not been included with any invention.

4. The applicant is informed that, according to Rule 40.2(c), the payment of any additional fee may be made under protest, i.e. a reasoned statement to the effect that the international application complies with the requirement of unity of invention or that the amount of the required additional fee is excessive.

Name and mailing address of the ISA/	Authorized officer
Facsimile No.	Telephone No.

Annex A to Form PCT/ISA/206  
COMMUNICATION RELATING TO THE RESULTS OF THE  
PARTIAL INTERNATIONAL SEARCH

International application No.

1. The present communication is an Annex to the invitation to pay additional fees (Form PCT/ISA/206). It shows the results of the international search established on the parts of the international application which relate to the invention first mentioned in claims Nos.: \_\_\_\_\_  
This communication is not the international search report which will be established according to Article 18 and Rule 43.
2. If the applicant does not pay any additional search fees, the information appearing in this communication will be considered as the result of the international search and will be included as such in the international search report.
3. If the applicant pays additional fees, the international search report will contain both the information appearing in this communication and the results of the international search on the other parts of the international application for which such fees will have been paid.

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.

☐ Further documents are listed in the continuation of Box C.

☐ Patent family members are listed in Annex B.

\* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Name and mailing address of the ISA/

Authorized officer

Facsimile No.

Telephone No.

Annex A to Form PCT/ISA/206  
COMMUNICATION RELATING TO THE RESULTS OF THE  
PARTIAL INTERNATIONAL SEARCH

International application No.

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.

**List of Patent Family Documents**  
(Annex B to Form PCT/ISA/206)

International application No.

**PATENT COOPERATION TREATY**

From the INTERNATIONAL SEARCHING AUTHORITY

**PCT**

INVITATION TO COMMENT ON  
DRAFT TRANSLATION

(PCT Rule 48.3(b))

To:	Date of mailing (day/month/year)
	<b>REPLY DUE</b> within          months/days from the above date of mailing
Applicant's or agent's file reference	International filing date (day/month/year)
International application No.	
Applicant	

The applicant is hereby invited, within the time limit indicated above, to submit comments on the draft translation of the international application into English prepared under the responsibility of this International Searching Authority and transmitted herewith.

(See Rule 48.3(b) for further details)

Name and mailing address of the ISA/	Authorized officer
Facsimile No.	Telephone No.

# PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHORITY

To:

## PCT

NOTIFICATION OF ACTION TAKEN  
CONCERNING COMMENTS ON  
DRAFT TRANSLATION

(PCT Administrative Instructions, Section 506)

Date of mailing  
(day/month/year)

Applicant's or agent's file reference

**INFORMATION ONLY**

However, see last paragraph below

International application No.

International filing date  
(day/month/year)

Applicant

The applicant is hereby notified that, in respect of the comments submitted by the applicant on the draft English translation of the international application, this International Searching Authority:

1. ☐ has considered the said comments and has made the necessary corrections to the translation to the extent that this Authority is in agreement with the comments.
  2. ☐ has considered the said comments and disagrees with them for the following reason(s):
- 
3. ☐ has not considered the said comments due to lack of time before communication of the translation to the International Bureau.
  4. ☐ has not considered the said comments as they were not submitted within the prescribed time limit.

## ATTENTION

The applicant may send a copy of his comments, or what remains of them, to the International Bureau and each designated Office to which the translation was communicated. The International Bureau will publish the essence of the comments together with the translation of the International Searching Authority or subsequently to the publication of such translation (Rule 48.3(b)).

Name and mailing address of the ISA/

Authorized officer

Facsimile No.

Telephone No.

**PATENT COOPERATION TREATY**

From the INTERNATIONAL SEARCHING AUTHORITY

To:

**PCT**

**NOTIFICATION OF FACTS WHICH SHOULD  
HAVE PRECLUDED THE ACCORDING OF  
AN INTERNATIONAL FILING DATE**

(PCT Rule 29.3)

in its capacity as receiving Office

Applicant's or agent's file reference	Date of mailing (day/month/year)
International application No.	International filing date (day/month/year)
Applicant	

The International Searching Authority hereby calls the following facts to the attention of the receiving Office and considers that the receiving Office should make a finding that the international application is to be considered withdrawn (Article 14(4) and Rule 30.1).

1. ☐ The applicant obviously lacks for reasons of residence and nationality the right to file an international application with the receiving Office (Article 11(1)(i) and Rules 18 and 19).
2. ☐ The description is not in the prescribed language, which is: \_\_\_\_\_  
(Article 11(1)(ii) and Rules 12.1(a) and 20.4(c)).
3. ☐ The claims are not in the prescribed language, which is: \_\_\_\_\_  
(Article 11(1)(ii) and Rules 12.1(a) and 20.4(c)).
4. ☐ The application does not contain an indication that it is intended as an international application  
(Article 11(1)(iii)(a) and Rule 4.2).
5. ☐ The application does not contain the designation of at least one PCT Contracting State  
(Article 11(1)(iii)(b) and Rule 4.9).
6. ☐ The application does not contain the name of the applicant, as prescribed  
(Article 11(1)(iii)(c) and Rule 20.4(b)).
7. ☐ The application does not contain a part which on the face of it appears to be a description  
(Article 11(1)(iii)(d) and Rule 5).
8. ☐ The application does not contain a part which on the face of it appears to be a claim or claims  
(Article 11(1)(iii)(e) and Rule 6).

A copy of this notification has been sent to the International Bureau.

Name and mailing address of the ISA/	Authorized officer
Facsimile No.	Telephone No.

PATENT COOPERATION TREATY

PCT

INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference	NO REPLY DUE	However, see Notification of Transmittal of International Search Report (Form PCT/ISA/220) for further action and time limits, as well as, where applicable, item 5 below.
International application No.	International filing date ( <i>day/month/year</i> )	(Earliest) Priority Date ( <i>day/month/year</i> )
Applicant		

This international search report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This international search report consists of a total of \_\_\_\_\_ sheets.

☐ It is also accompanied by a copy of each relevant prior art document cited.

1. ☐ **Certain claims were found unsearchable** (See Box I).

2. ☐ **Unity of invention is lacking** (See Box II).

3. ☐ The international application contains disclosure of a **nucleotide and/or amino acid sequence listing** and the international search was carried out on the basis of the sequence listing

☐ filed with the international application.

☐ furnished by the applicant under Rule 13~~ter~~.1(a),

☐ but not accompanied by a statement to the effect that it did not include matter going beyond the disclosure in the international application as filed.

☐ transcribed by this Authority.

4. With regard to the **title**, ☐ the text is approved as submitted by the applicant.

☐ the text has been established by this Authority to read as follows:

5. With regard to the **abstract**,

☐ the text is approved as submitted by the applicant.

☐ the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. The figure of the **drawings** to be published with the abstract is:

Figure No. \_\_\_\_\_ ☐ as suggested by the applicant.

☐ None of the figures.

☐ because the applicant failed to suggest a figure.

☐ because this figure better characterizes the invention.



INTERNATIONAL SEARCH REPORT

International application No.

**Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)**

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims numbers \_\_\_\_\_, because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims numbers \_\_\_\_\_, because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☐ Claims numbers \_\_\_\_\_, because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

**Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)**

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims numbers:
3. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims numbers:
4. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.

**Remark on Protest**

- ☐ The additional search fees were accompanied by the applicant's protest.  
☐ No protest accompanied the payment of additional search fees.

**INTERNATIONAL SEARCH REPORT**

International application No.

**Box III TEXT OF THE ABSTRACT (Continuation of item 5 of the first sheet)**

INTERNATIONAL SEARCH REPORT

International application No.

<b>A. CLASSIFICATION OF SUBJECT MATTER</b>  According to International Patent Classification (IPC) or to both national classification and IPC				
<b>B. FIELDS SEARCHED</b>  Minimum documentation searched (classification system followed by classification symbols)  Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched  Electronic data base consulted during the international search (name of data base, search terms used)				
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>				
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.		
<input type="checkbox"/> Further documents are listed in the continuation of Box C. <input type="checkbox"/> Patent family members are listed in annex.				
<table style="width: 100%; border: none;"> <tr> <td style="width: 50%; vertical-align: top;"> <p>* Special categories of cited documents:</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </td> <td style="width: 50%; vertical-align: top;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</p> <p>"&amp;" document member of the same patent family</p> </td> </tr> </table>			<p>* Special categories of cited documents:</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p>	<p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</p> <p>"&amp;" document member of the same patent family</p>
<p>* Special categories of cited documents:</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p>	<p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</p> <p>"&amp;" document member of the same patent family</p>			
Date of the actual completion of the international search	Date of mailing of the international search report			
Name and mailing address of the ISA/	Authorized officer			
Facsimile No.	Telephone No.			

INTERNATIONAL SEARCH REPORT

International application No.

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.

**INTERNATIONAL SEARCH REPORT**  
List of Patent Family Documents (Annex to Form PCT/ISA/210)

International application No.

**PATENT COOPERATION TREATY**

From the INTERNATIONAL SEARCHING AUTHORITY

**PCT**

NOTIFICATION OF TRANSMITTAL OF  
REQUESTED COPIES OF CITED DOCUMENTS

(PCT Article 20(3))

To:		Date of mailing (day/month/year)
Applicant's or agent's file reference		<b>INFORMATION ONLY</b>
International application No.	International filing date (day/month/year)	
Applicant		

This International Searching Authority, in response to the request received, transmits herewith \_\_\_\_\_ (number of) copies of the documents listed below which were cited in the international search report established on the international application:

Name and mailing address of the ISA/	Authorized officer
Facsimile No.	Telephone No.

**PATENT COOPERATION TREATY**

From the INTERNATIONAL SEARCHING AUTHORITY

**PCT**

**NOTIFICATION OF DECISION ON PROTEST**

(PCT Rule 40.2(c) and  
Administrative Instructions, Section 502)

To:	Date of mailing (day/month/year)
	<b>IMPORTANT NOTIFICATION</b>
Applicant's or agent's file reference	International filing date (day/month/year)
International application No.	
Applicant	

The applicant is hereby notified that this International Searching Authority, after having examined the protest on the payment of the additional fees, has reached the decision indicated below.

1. ☐ The protest is found justified to the extent that:
- a. ☐ total reimbursement of the additional fees has been ordered and will be made in due course.
  - b. ☐ partial reimbursement in the amount of \_\_\_\_\_ (amount/currency) has been ordered and will be made in due course for the following reason(s):
  - c. ☐ the protest fee will be refunded to the extent indicated below:
2. ☐ The protest is found unjustified and the requirement of payment of additional fees and of any protest fee is upheld for the following reason(s):

**ATTENTION**

The applicant should notify the International Bureau if he wishes a copy of the protest and the decision thereon to be sent to the designated Offices.

Name and mailing address of the ISA/	Authorized officer
Facsimile No.	Telephone No.

**PATENT COOPERATION TREATY**

From the INTERNATIONAL SEARCHING AUTHORITY

**PCT**

**NOTIFICATION OF REFUND OF SEARCH FEE**

(PCT Rules 16.3 and 41.1 and  
Administrative Instructions, Section 510)

To:	Date of mailing (day/month/year)
Applicant's or agent's file reference	<b>INFORMATION ONLY</b>
International application No.	International filing date (day/month/year)
Applicant	

1. ☐ The applicant is hereby notified that the amount of \_\_\_\_\_ (amount/currency) will be refunded in due course on the search fee paid in respect of the international application. This amount is based upon the extent to which:
- a. ☐ the earlier international search report
  - b. ☐ the international-type search report
  - c. ☐ the other search report
- referred to in the Request was wholly or partially used to establish the international search report on the international application.
2. ☐ The applicant is hereby notified that the amount of \_\_\_\_\_ (amount/currency) which has been paid as a search fee will be refunded in due course since the international application was withdrawn, or was considered withdrawn, before the start of the international search.
3. The above amount will be refunded separately.

Name and mailing address of the ISA/	Authorized officer
Facsimile No.	Telephone No.



**PATENT COOPERATION TREATY**

From the INTERNATIONAL SEARCHING AUTHORITY

**PCT**

**REQUEST FOR THE PRODUCTION OF PROOF**

(PCT Article 49 and Rule 83)

To:

in its capacity as receiving Office

Date of mailing  
(day/month/year)

Applicant's or agent's file reference

**REPLY DUE** within          months/days  
from the above date of mailing

International application No.

International filing date  
(day/month/year)

Applicant

This International Searching Authority hereby requests the receiving Office to inform it whether the following person has the right to practice before it:

Name:

Address:

Name and mailing address of the ISA/

Authorized officer

Facsimile No.

Telephone No.

**PATENT COOPERATION TREATY**

From the INTERNATIONAL SEARCHING AUTHORITY

**PCT**

**INVITATION TO REQUEST RECTIFICATION**

(PCT Rule 91.1(d))

To:		Date of mailing (day/month/year)
		Applicant's or agent's file reference
Applicant's or agent's file reference		<b>REPLY DUE</b> see last paragraph for time limit
International application No.		International filing date (day/month/year)
Applicant		

1. This International Searching Authority has discovered in the international application/in other papers submitted by the applicant/what appears to be an obvious error

- ☐ as shown on the attached copy  
☐ as specified hereafter:

2. The applicant is hereby invited to submit a request for rectification to the following authority:

- ☐ the receiving Office      ☐ this International Searching Authority      ☐ the International Bureau of WIPO,  
34 chemin des Colombettes,  
1211 Geneva 20, Switzerland

**HOW TO CORRECT AN ERROR?**

A request for rectification of an obvious error must be submitted in a letter. The rectification may be stated in that letter if it is of such a nature that it can be transferred from the letter to the international application without adversely affecting the clarity and the direct reproducibility of the sheet onto which the rectification is to be transferred; otherwise, the applicant is required to submit a replacement sheet embodying the rectification and the letter containing the request for rectification must draw attention to the differences between the replaced sheet and the replacement sheet (Rule 26.4(a)).

**ATTENTION**

The applicant's attention is drawn to the fact that no rectification shall be made without the express authorization of the competent authority indicated above and that, in order to be effective, the authorization must be notified by that authority to the International Bureau, or given by the International Bureau, as the case may be, before the completion of the technical preparations for international publication.

Name and mailing address of the ISA/	Authorized officer
Facsimile No.	Telephone No.

**PATENT COOPERATION TREATY**

From the INTERNATIONAL SEARCHING AUTHORITY

**PCT**

**NOTIFICATION OF DECISION CONCERNING  
REQUEST FOR RECTIFICATION**

(PCT Rule 91.1(f))

To:		Date of mailing (day/month/year)	
Applicant's or agent's file reference		REPLY DUE NONE However, see last paragraph below	
International application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)	
Applicant			
<p>The applicant is hereby notified that this International Searching Authority has considered the request for rectification of obvious errors in the international application/in other papers submitted by the applicant to this Authority, and that it has decided:</p> <p>1. <input type="checkbox"/> to authorize the rectification:</p> <p style="margin-left: 40px;"><input type="checkbox"/> as requested by the applicant.</p> <p style="margin-left: 40px;"><input type="checkbox"/> to the extent set forth below:</p>  <p>2. <input type="checkbox"/> to refuse to authorize the rectification or part of it for the following reasons:</p>          <p>A copy of this notification, together with a copy of the applicant's request for rectification, has been sent to the receiving Office and to the International Bureau.</p> <p><b>ATTENTION</b></p> <p>Where the authorization of the rectification has been refused, the applicant may request the International Bureau, before the technical preparations for international publication have been completed and subject to the payment of a fee (for the amount, see Annex B2(WO), Volume I of the PCT Applicant's Guide) to publish the request for rectification together with the international application. See Rule 91.1(f), third and fourth sentences.</p>			
Name and mailing address of the ISA/		Authorized officer	
Facsimile No.		Telephone No.	

PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHORITY

PCT

NOTIFICATION CONCERNING EXPRESSIONS,  
ETC, NOT TO BE USED IN  
THE INTERNATIONAL APPLICATION

(PCT Rule 9)

To:		Date of mailing (day/month/year)
		REPLY DUE within months/days from the above date of mailing
Applicant's or agent's file reference		International filing date (day/month/year)
International application No.		
Applicant		

The applicant is hereby notified that the international application lacks compliance with Rule 9.1 for the reason(s) indicated below:

- ☐ The international application contains expressions or drawings contrary to morality.  
See page(s) \_\_\_\_\_ line(s) \_\_\_\_\_ figure(s) \_\_\_\_\_ .
- ☐ The international application contains expressions or drawings contrary to public order.  
See page(s) \_\_\_\_\_ line(s) \_\_\_\_\_ figure(s) \_\_\_\_\_ .
- ☐ The international application contains statements disparaging the products or processes of any particular person other than the applicant.  
See page(s) \_\_\_\_\_ line(s) \_\_\_\_\_ figure(s) \_\_\_\_\_ .
- ☐ The international application contains statements disparaging the merits or validity of applications or patents of any particular person other than the applicant.  
See page(s) \_\_\_\_\_ line(s) \_\_\_\_\_ figure(s) \_\_\_\_\_ .
- ☐ The International application contains statements or matter obviously irrelevant or unnecessary under the circumstances.  
See page(s) \_\_\_\_\_ line(s) \_\_\_\_\_ figure(s) \_\_\_\_\_ .

Further observations, if necessary:

**Invitation to correct:**

The applicant is hereby invited within time limit indicated above to voluntarily correct the international application.

**How to make the corrections?** Correction shall be submitted by filing a replacement sheet embodying the correction and a letter accompanying the replacement sheet, which shall draw attention to the difference between the replaced sheet and the replacement sheet. A correction may be stated in a letter only if it is of such a nature that it can be transferred from the letter to the record copy without adversely affecting the clarity and direct reproducibility of the sheet on to which the correction is to be transferred (Rule 26.4(a)).

**If the applicant fails to make the corrections,** the International Bureau may apply Article 21(6) and omit expressions, drawings, and statements, from its publications, indicating the place and number of words or drawings omitted, and furnishing, upon request, individual copies of the passages omitted.

A copy of this notification has been sent to the receiving Office and the International Bureau.

Name and mailing address of the ISA/	Authorized officer
Facsimile No.	Telephone No.

**PATENT COOPERATION TREATY**

From the INTERNATIONAL SEARCHING AUTHORITY

<p>To:</p>  <p style="text-align: center;">The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20 Switzerland</p>	<p style="text-align: center;"><b>PCT</b></p> <p style="text-align: center;">NOTIFICATION CONCERNING DOCUMENTS TRANSMITTED</p> <p style="text-align: center;">(PCT Rules 44.1 and 48.3(b) and Administrative Instructions, Section 511(v))</p> <table border="1" style="width: 100%;"><tr><td>Date of mailing (day/month/year)</td></tr></table>	Date of mailing (day/month/year)
Date of mailing (day/month/year)		

The International Searching Authority transmits herewith the following documents and number thereof:

1. ☐ \_\_\_\_\_ (number of) copies of international search reports (Rule 44.1).
2. ☐ \_\_\_\_\_ (number of) copies of declarations of non-establishment of international search reports (Rule 44.1).
3. ☐ \_\_\_\_\_ (number of) translations of international applications (Rule 48.3(b)).
4. ☐ \_\_\_\_\_ (number of) letters of rectifications (Administrative Instructions, Section 511(v)).
5. ☐ \_\_\_\_\_ (number of) replacement sheets (Administrative Instructions, Section 511(v)).
6. ☐ \_\_\_\_\_ (number of) other documents:

☐ Attached is a list identifying each document transmitted by the type of document it is, by the corresponding international application number and, if necessary, by other information.

Name and mailing address of the ISA/   Facsimile No.	Authorized officer   Telephone No.
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ANNEX TO FORM PCT/ISA/219(a)

Type of document	International application No.	Other information

**PATENT COOPERATION TREATY**

From the INTERNATIONAL SEARCHING AUTHORITY

**PCT**

**NOTIFICATION OF TRANSMITTAL OF  
THE INTERNATIONAL SEARCH REPORT  
OR THE DECLARATION**

(PCT Rule 44.1)

To:		Date of mailing (day/month/year)
Applicant's or agent's file reference		<b>REPLY DUE</b> See paragraphs 1 and 4 below
International application No.		International filing date (day/month/year)
Applicant		

1. <input type="checkbox"/>	The applicant is hereby notified that the international search report has been established and is transmitted herewith. <b>Filing of amendments and statement under Article 19:</b> The applicant is entitled, if he so wishes, to amend the claims of the international application (see Rule 46): <b>When?</b> The time limit for filing such amendments is 2 months from the date of the international search report or 16 months from the priority date, whichever time limit expires later. <b>Where?</b> Such amendments are to be submitted directly to the International Bureau at the following address: International Bureau of WIPO, 34, chemin des Colombettes, 1211 Geneva 20, Switzerland. Facsimile No.: (41-22) 740.14.35 <b>How?</b> Such amendments are to be submitted in the form of replacement sheets and must be in the language of publication of the international application.
2. <input type="checkbox"/>	The applicant is hereby notified that no international search report will be established and that the declaration under Article 17(2)(a) to that effect is transmitted herewith.
3. <input type="checkbox"/>	With regard to the protest against payment of (an) additional fee(s) under Rule 40.2, the applicant is notified that: <input type="checkbox"/> the protest together with the decision thereon has been transmitted to the International Bureau together with the applicant's request to forward the texts of both the protest and the decision thereon to the designated Offices. <input type="checkbox"/> no decision has been made yet on the protest; the applicant will be notified, as soon as a decision is made.
4.	<b>Further action(s):</b> The applicant is reminded of the following: Shortly after <b>18 months</b> from the priority date, the international application will be published by the International Bureau. If the applicant wishes to avoid or postpone publication, a notice of withdrawal of the international application, or of the priority claim, must reach the International Bureau as provided in Rules 90bis.1 and 90bis.3, respectively, before the completion of the technical preparations for international publication. Within <b>19 months</b> from the priority date, the applicant must file the demand for international preliminary examination. The entry into the national phase is then postponed until 30 months from the priority date (in some Offices even later). Within <b>20 months</b> from the priority date, the applicant must perform the prescribed acts for entry into the national phase before all designated Offices which have not been elected within 19 months from the priority date or could not be elected because they are not bound by Chapter II.

Name and mailing address of the ISA/	Authorized officer
Facsimile No.	Telephone No.

**NOTES TO FORM PCT/ISA/220**

These Notes are intended to give the basic instructions concerning the filing of amendments under Article 19. For authentic information, see the text of the Patent Cooperation Treaty and the texts of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and the said texts, the latter are applicable. For more detailed information, see also the PCT Applicant's Guide, a publication of WIPO.

**INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19**

The applicant has, after having received the international search report, one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims, description and drawings) may be amended during the international preliminary examination procedure, there is usually no need to file amendments of the claims under Article 19 except where, e.g. the applicant wants the latter to be published for the purposes of provisional protection or has another reason for amending the claims before international publication. Furthermore, it should be emphasized that provisional protection is available in some States only.

**What parts of the international application may be amended ?**

The claims only.

The description and the drawings may only be amended if a demand for international preliminary examination is filed.

**When ?** Within 2 months from the date of the international search report or 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as having been received on time if they are received by the International Bureau after the expiration of the applicable time limit but before the completion of the technical preparations for international publication (Rule 46.1).

**Where ?** To the International Bureau of WIPO  
34, chemin des Colombettes  
1211 Geneva 20, Switzerland  
Facsimile No.: (41-22) 740 14 35

The amendments may not be filed with the receiving Office or the International Searching Authority (Rule 46.2).

**How ?** Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed. A replacement sheet must be submitted for each sheet of the claims which, on account of an amendment or amendments, differs from the sheet originally filed. All the claims appearing on a replacement sheet must be numbered in Arabic numerals. Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively (Administrative Instructions, Section 205(b)).

**What documents must/may accompany the amendments ?**

**Letter (Section 205(b)):**

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confounded with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

The letter must indicate the differences between the claims as filed and the claims as amended. It must, in particular, indicate, in connection with each claim appearing in the international application (it being understood that identical indications concerning several claims may be grouped), whether

- (i) the claim is unchanged;
- (ii) the claim is cancelled;
- (iii) the claim is new;
- (iv) the claim replaces one or more claims as filed;
- (v) the claim is the result of the division of a claim as filed.

The following examples illustrate the manner in which amendments must be explained in the accompanying letter:

1. [Where originally there were 48 claims and after amendment of some claims there are 51]:  
"Claims 1 to 29, 31, 32, 34, 35, 37 to 48 replaced by amended claims bearing the same numbers; claims 30, 33 and 36 unchanged; new claims 49 to 51 added."
2. [Where originally there were 15 claims and after amendment of all claims there are 11]:  
"Claims 1 to 15 replaced by amended claims 1 to 11."
3. [Where originally there were 14 claims and the amendments consist in cancelling some claims and in adding new claims]:  
"Claims 1 to 6 and 14 unchanged; claims 7 to 13 cancelled; new claims 15, 16 and 17 added." or  
"Claims 7 to 13 cancelled; new claims 15, 16 and 17 added; all other claims unchanged."
4. [Where various kinds of amendments are made]:  
"Claims 1-10 unchanged; claims 11 to 13, 18 and 19 cancelled; claims 14, 15 and 16 replaced by amended claim 14; claim 17 subdivided into amended claims 15, 16 and 17; new claims 20 and 21 added."



**NOTES TO FORM PCT/ISA/220 (continued)**

**“Statement under Article 19(1)” (Rule 46.4)**

The amendments may be accompanied by a statement.

The statement will be published with the international application and the amended claims.

The statement should be brief, it should not exceed 500 words if in English or if translated into English. It should explain the amendments and indicate any impact that such amendments might have on the description and the drawings (which cannot be amended under Article 19(1)).

It should not be confounded with and does not replace the letter indicating the differences between the claims as filed and as amended. It must be filed on a separate sheet and must be identified as such by a heading, preferably by using the words “Statement under Article 19(1).”

It should not contain any disparaging comments on the international search report or the relevance of citations contained in that report. Reference to citations, relevant to a given claim, contained in the international search report may be made only in connection with an amendment of that claim.

**In what language ?**

The amendments must be made in the language in which the international application is published. The letter and any statement accompanying the amendments must be in the same language as the international application if that language is English or French; otherwise, it must be in English or French, at the choice of the applicant.

**Consequence if a demand for international preliminary examination has already been filed ?**

If, at the time of filing any amendments under Article 19, a demand for international preliminary examination has already been submitted, the applicant must preferably, at the same time of filing the amendments with the International Bureau, also file a copy of such amendments with the International Preliminary Examining Authority (see Rule 62.2(a), first sentence).

**Consequence with regard to translation of the international application for entry into the national phase ?**

The applicant's attention is drawn to the fact that, where upon entry into the national phase, a translation of the claims as amended under Article 19 may have to be furnished to the designated/elected Offices, instead of, or in addition to, the translation of the claims as filed.

For further details on the requirements of each designated/elected Office, see Volume II of the PCT Applicant's Guide.

**PATENT COOPERATION TREATY**

From the INTERNATIONAL SEARCHING AUTHORITY

**PCT**

INVITATION TO PAY FOR REQUESTED  
COPIES OF CITED DOCUMENTS

(PCT Rule 44.3(b))

To:
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Date of mailing (day/month/year)
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Applicant's or agent's file reference	<b>REPLY DUE</b> within          months/days from the above date of mailing
---------------------------------------	--

International application No.	International filing date (day/month/year)
-------------------------------	---

Applicant
-----------

This International Searching Authority will, upon receipt of payment in the amount of:

\_\_\_\_\_  
(amount/currency)

promptly transmit the requested \_\_\_\_\_ (number of) copies of the documents which were cited in the international search report established on the international application.

Name and mailing address of the ISA/  Facsimile No.	Authorized officer  Telephone No.
---	---

**PATENT COOPERATION TREATY**

From the INTERNATIONAL SEARCHING AUTHORITY

To:

**PCT**

INVITATION TO PAY FEE FOR  
PREPARATION OF DRAFT TRANSLATION  
OF THE INTERNATIONAL APPLICATION

(PCT Rule 48.3(b))

	Date of mailing (day/month/year)
Applicant's or agent's file reference	<b>REPLY DUE</b> within months/days from the above date of mailing
International application No.	International filing date (day/month/year)
Applicant	

1. The applicant is hereby invited, within the time limit indicated above, to pay the following translation fee:

\_\_\_\_\_  
(amount/currency)

2. Upon receipt of the payment, this International Searching Authority will undertake the responsibility of preparing a draft translation of the international application into English as prescribed by the Regulations.
3. The applicant will subsequently be invited to submit comments on that draft translation.

Name and mailing address of the ISA/	Authorized officer
Facsimile No.	Telephone No.

PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHORITY

PCT

NOTIFICATION OF DEFECTS WITH REGARD  
TO CORRESPONDENCE SUBMITTED BY  
APPLICANT

(PCT Rules 92.1(b) and 92.4(g)(ii))

To:

Date of mailing  
(day/month/year)

Applicant's or agent's file reference

REPLY DUE within months/days  
from the above date of mailing

International application No.

International filing date  
(day/month/year)

Applicant

1. The applicant is hereby invited, within the time limit indicated above, to remedy the omission mentioned below.
2. This International Searching Authority acknowledges the receipt on: \_\_\_\_\_ (date)  
of papers purporting to be:  
  
\_\_\_\_\_.
3. However, the said papers were ☐ not accompanied by a letter.  
☐ accompanied by a letter which, nevertheless, was not signed.  
☐ furnished in the form of a letter which, nevertheless, was not signed.  
☐ transmitted by facsimile machine, but the original has not been received.
4. The said letter or papers are returned herewith.

**Failure to remedy the omission** within the time limit indicated above shall result in the said letter or papers being disregarded or, in the case of a facsimile transmission, considered as not having been submitted.

Name and mailing address of the ISA/

Authorized officer

Facsimile No.

Telephone No.

**PATENT COOPERATION TREATY**

From the INTERNATIONAL SEARCHING AUTHORITY

**PCT**

COMMUNICATION IN CASES FOR WHICH  
NO OTHER FORM IS APPLICABLE

To:		Date of mailing (day/month/year)
Applicant's or agent's file reference		<b>REPLY DUE</b> See paragraph 1 below
International application No.		International filing date (day/month/year)
Applicant		

1. ☐ REPLY DUE within \_\_\_\_ months/days from the above date of mailing
- ☐ NO REPLY DUE, however, see below \_\_\_\_\_ .
- ☐ IMPORTANT COMMUNICATION
- ☐ INFORMATION ONLY

2.

Name and mailing address of the ISA/	Authorized officer
Facsimile No.	Telephone No.

PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHORITY

PCT

INVITATION TO FURNISH NUCLEOTIDE  
AND/OR AMINO ACID SEQUENCE LISTING

(PCT Rule 13<sup>ter</sup>.1)

To:		Date of mailing (day/month/year)	
		Applicant's or agent's file reference	
Applicant's or agent's file reference		REPLY DUE within months/days from the above date of mailing	
International application No.		International filing date (day/month/year)	
Applicant			

1. The International Searching Authority has found that the international application contains disclosure of a nucleotide and/or amino acid sequence and that the sequence (or copy thereof) is not presented as a listing complying with the standard prescribed by the Administrative Instructions.
2. The applicant is hereby invited, within the time limit indicated above:  

<input type="checkbox"/>	to furnish to this Authority a listing of the sequence complying with WIPO Standard ST.23.
<input type="checkbox"/>	to furnish to this Authority the listing of the sequence in a machine readable form provided, under Section 208, in Annex I of the Administrative Instructions.
<input type="checkbox"/>	to furnish to this Authority a listing of the sequence complying with WIPO Standard ST.23 in a machine readable form provided, under Section 208, in Annex I of the Administrative Instructions.
<input type="checkbox"/>	to pay to this Authority the cost of the transcription of the sequence listing into a machine readable form provided, under Section 208, in Annex I of the Administrative Instructions. The amount of the fee required for the transcription is: <div style="text-align: center;">_____</div> <div style="text-align: center;">(amount/currency)</div>
3. **Statement accompanying sequence listing.** The applicant's attention is drawn to the fact that any sequence listing furnished in response to this invitation must be accompanied by a statement to the effect that that listing does not include matter which goes beyond the disclosure in the international application as filed.
4. **Failure to comply with this invitation** may result in this Authority not carrying out the international search to the extent that no meaningful search can be carried out.

Name and mailing address of the ISA/	Authorized officer
Facsimile No.	Telephone No.

**PATENT COOPERATION TREATY**

From the INTERNATIONAL SEARCHING AUTHORITY

**PCT**

COMMUNICATION REGARDING  
TRANSCRIPTION OF SEQUENCE LISTING  
BY INTERNATIONAL SEARCHING AUTHORITY

(PCT Rule 13~~ter~~.1(d))

To:

Date of mailing  
(day/month/year)

Applicant's or agent's file reference

**IMPORTANT COMMUNICATION**

International application No.

International filing date  
(day/month/year)

Applicant

This International Searching Authority hereby transmits to the applicant a copy of the sequence listing as transcribed by it in machine readable form.

Name and mailing address of the ISA/

Authorized officer

Facsimile No.

Telephone No.

**PATENT COOPERATION TREATY**

From the INTERNATIONAL SEARCHING AUTHORITY

**PCT**

COMMUNICATION OF COPY OF  
SEQUENCE LISTING TO INTERNATIONAL  
PRELIMINARY EXAMINING AUTHORITY

(PCT Rule 13~~ter~~.1(e))

To:

in its capacity as International Preliminary Examining Authority

Date of mailing  
(day/month/year)

International application No.

International filing date  
(day/month/year)

Applicant

Pursuant to the International Preliminary Examining Authority's request, this International Searching Authority hereby transmits to the International Preliminary Examining Authority:

1. ☐ a copy of the sequence listing furnished to this International Searching Authority by the applicant  
☐ together with a copy of the applicant's statement that the listing does not include matter which goes beyond the disclosure in the international application as filed.  
☐ noting that the applicant did not furnish a statement to the effect that the listing does not include matter which goes beyond the disclosure in the international application as filed.
2. ☐ a copy of the sequence listing as transcribed, in machine readable form, by this International Searching Authority, at the request of the applicant.

Name and mailing address of the ISA/

Authorized officer

Facsimile No.

Telephone No.



**PATENT COOPERATION TREATY**

From the INTERNATIONAL SEARCHING AUTHORITY

To:

**PCT**

INVITATION TO PAY PROTEST FEE

(PCT Rule 40.2(e))

Date of mailing  
(day/month/year)

Applicant's or agent's file reference

**REPLY DUE**

within **ONE MONTH** from  
the above date of mailing

International application No.

International filing date (day/month/year)

(Earliest) Priority Date (day/month/year)

Applicant

The applicant is invited, within the time limit indicated above, to pay a protest fee for the examination of the protest, in the amount of:

\_\_\_\_\_  
(amount/currency)

since a prior review of the justification for the invitation to pay additional fees (Form PCT/ISA/206) has resulted in the requirement of payment of additional fees to be upheld for the following reason(s):

**Failure to pay** the protest fee within the time limit indicated above shall result in the protest being considered withdrawn.

Name and mailing address of the ISA/

Authorized officer

Facsimile No.

Telephone No.

**PATENT COOPERATION TREATY**

From the INTERNATIONAL SEARCHING AUTHORITY

To:
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**PCT**

NOTIFICATION REGARDING ATTEMPTED  
TRANSMITTAL OF DOCUMENTS VIA  
TELEGRAPH, TELEPRINTER,  
FACSIMILE MACHINE, ETC.

(PCT Rule 92.4(c))

	Date of mailing (day/month/year)
Applicant's or agent's file reference	<b>REPLY DUE</b> see last paragraph below
International application No.	International filing date (day/month/year)
Applicant	

<p>1. The applicant is hereby notified that this International Searching Authority has received via facsimile/teleprinter/telegraph a document which appears to be/entitled:</p> <p>_____ .</p> <p>2. However, <input type="checkbox"/> that document, as received, is illegible, <input type="checkbox"/> a part of that document was not received, as explained below:</p> <p>3. Consequently, the document is treated as not having been received by this International Searching Authority and the applicant should attempt to re-transmit it.</p>
--

Name and mailing address of the ISA/	Authorized officer
Facsimile No.	Telephone No.

**PATENT COOPERATION TREATY**

From the INTERNATIONAL SEARCHING AUTHORITY

To:	<b>PCT</b>  INVITATION TO FURNISH ORIGINAL OF DOCUMENT TRANSMITTED BY TELEGRAPH, TELEPRINTER, FACSIMILE MACHINE, ETC.  (PCT Rule 92.4(d), (e) and (f))
	Date of mailing (day/month/year)
Applicant's or agent's file reference	<b>REPLY DUE</b> within month/days from the above date of mailing
International application No.	International filing date (day/month/year)
Applicant	

<p>1. This International Searching Authority has received on _____ (date) via facsimile/teleprinter/telegraph a document which appears to be/which is/ entitled:</p> <p>_____</p>
<p>2. However, the original of the document was not furnished within 14 days of the date of receipt of the earlier transmission as is required by this International Searching Authority.</p>
<p>3. The applicant is hereby invited within the time limit indicated above to furnish:</p> <p>a. <input type="checkbox"/> the original of the document.</p> <p>b. <input type="checkbox"/> a letter identifying the earlier transmission.</p>
<p>4. If the original of the document concerned is not furnished, that document shall be considered as not having been submitted.</p>

Name and mailing address of the ISA/	Authorized officer
Facsimile No.	Telephone No.

**PATENT COOPERATION TREATY**

From the INTERNATIONAL SEARCHING AUTHORITY

To:

**PCT**

NOTIFICATION REGARDING RECEIPT  
OF DOCUMENTS VIA TELEGRAPH,  
TELEPRINTER, FACSIMILE MACHINE, ETC.

(PCT Rule 92.4(h))

	Date of mailing (day/month/year)
Applicant's or agent's file reference	<b>REPLY DUE</b> <b>NONE</b> However, see last paragraph below
International application No.	International filing date (day/month/year)
Applicant	

1. The applicant is hereby notified that this International Searching Authority has received via

- ☐ facsimile                      ☐ teleprinter                      ☐ telegraph  
☐ other (specify)

the following document:

\_\_\_\_\_ .

2. However, this International Searching Authority does not accept

- ☐ receipt of any document via that means.  
☐ other (specify):

3. Consequently, the document concerned is treated as not having been received by this International Searching Authority.

Name and mailing address of the ISA/	Authorized officer
Facsimile No.	Telephone No.