



ORIGINAL: English

DATE: December 11, 1992

# WORLD INTELLECTUAL PROPERTY ORGANIZATION GENEVA

# INTERNATIONAL PATENT COOPERATION UNION (PCT UNION)

# PCT COMMITTEE FOR TECHNICAL COOPERATION

# Fourteenth Session Geneva, December 7 to 11, 1992

#### REPORT

# adopted by the Committee

#### INTRODUCTION

- 1. The PCT Committee for Technical Cooperation (hereinafter referred to as "the Committee") held its fourteenth session in Geneva from December 7 to 11,
- 2. The following members of the Committee were represented: Australia, Austria, Brazil, Bulgaria, Czechoslovakia, Denmark, Finland, France, Germany, Hungary, Japan, Madagascar, Netherlands, Norway, Poland, Portugal, Republic of Korea, Romania, Russian Federation, Spain, Sweden, Switzerland, United Kingdom, United States of America and the European Patent Office (25). The Patent Documentation Group (PDG) and the publishers of the journal "World Patent Information" were represented by observers. The list of participants appears in Annex I to this report.
- 3. The session was opened by Mr. François Curchod, Deputy Director General, who welcomed the participants on behalf of the Director General of WIPO.

#### OFFICERS

4. The session was presided over by the Acting Chairman of the Executive Coordination Committee of the WIPO Permanent Committee on Industrial Property Information, Mr. Grahame K. Lindsey (United Kingdom). Mr. Klaus-Peter Wittig (WIPO) acted as Secretary of the session.

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#### ADOPTION OF THE AGENDA

5. The Committee unanimously adopted the agenda which is attached as Annex II to this report.

#### CONCLUSIONS

6. As decided by the Governing Bodies of WIPO at their tenth series of meetings (see document AB/X/32, paragraphs 51 and 52), held from September 24 to October 2, 1979, the report of this session reflects only the conclusions (decisions, recommendations, opinions, etc.) of the Committee and does not, in particular, reflect the statements made by any participants, except where any reservation in respect of any specific conclusion of the Committee was made or repeated after the conclusion was arrived at.

#### PCT MINIMUM DOCUMENTATION -- REVISION OF THE LIST OF PERIODICALS

- 7. The Committee considered a proposal for revising the PCT list of periodicals prepared by the International Bureau and given in Annex 14 to Project file P 972 and agreed on the principle that additions to and deletions from the said PCT list should be based on the frequency of citations of the respective periodical for the purposes of patent search and examination, also taking into account the language in which the periodical is published. It was further agreed that the PCT list should preferably not exceed the number of 200 journals.
- 8. The Committee agreed that 28 periodicals should be added to the list of periodicals established under PCT Rule 34.1(b)(iii). The list of those 28 periodicals appears in Annex III to this report.
- 9. In respect of "EDN Electrical Design News," published by Cahners Publishing Co. (US), the Committee requested the International Bureau to investigate whether the periodical was still being published. It agreed that, should that be the case, that periodical should also be added to the list.
- 10. The Committee agreed that 44 periodicals should be deleted from the current list in view of the low number of instances in which they had been cited. Where a periodical is issued in more than one language, all language versions are affected by the deletion. The list of those 44 periodicals appears in Annex IV to this report.
- 11. The Committee agreed that the deletions referred to in paragraph 10, above, would take effect on January 1, 1993, except the deletions of periodicals bearing PCT numbers 2, 34 and 89, which would take effect on January 1, 1994.
- 12. Due to the fact that no data on the citation frequency of the periodical "ABB Review" (PCT No. 201) was available and that the name of the journal had changed several times, the Committee agreed to postpone, until its next session, the decision as to whether the periodical should be maintained on the list. The Swedish Patent Office, which is reviewing and indexing this periodical under the JOPAL Project, was requested to provide information that could justify the retaining of the said journal in the PCT list.

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- 13. The Committee requested the International Bureau to:
- (a) prepare a new listing of the PCT periodicals which would be divided in two parts: first, a list of periodicals which are actually to be used for search and examination, and second, a list containing those journals which have been deleted from the current list during the present session or during previous sessions, including those for which publication has been discontinued;
- (b) make editorial corrections to the said lists due to changes which appeared in titles of periodicals, in names and addresses of publishers, or in names of countries or cities, etc.;
- (c) reword the introduction to the new PCT list by providing expressly that newly added periodicals need be consulted only in respect of issues published after the effective inclusion of such periodicals in the list;
- (d) circulate those lists to the members of the Committee by March 31, 1993, inviting them to comment on the information given for each publication or to complete such information, as well as to make suggestions for allotting the additional periodicals to offices under the JOPAL Project.
- 14. The Committee agreed to finalize the revised PCT list of periodicals at its next session, from June 3 to 11, 1993, with the intention of its taking effect as from January 1, 1994. Certain deletions, however, will take effect as from January 1, 1993, as set forth in paragraph 11, above.

PCT MINIMUM DOCUMENTATION -- CONSIDERATION OF THE DESIRABILITY OF REVISING PCT RULE 34 (CUT-OFF DATE OF THE MINIMUM DOCUMENTATION)

- 15. Discussions were based on document PCT/CTC/XIV/2.
- 16. The Committee considered the proposal of Australia set out in document PCT/CTC/XIV/2 and agreed that a more detailed study was needed of the question of the cut-off date for the PCT minimum documentation, taking into account the volume of the documentation concerned, the frequency and relevance of citations and the technical fields in which older documents were regularly cited. It requested the International Bureau to send a circular to all members of the Committee asking them to provide detailed information relevant to those issues. That information should cover such items as search file holdings, and the incidence and relevance of citations, in search reports and during examination, of publications of various ages. The circular, which should issue before February 1993, should ask for replies before April 1993. The results, preferably with a summary to be prepared by the International Bureau, should be distributed for consideration by the Committee at its next meeting.
  - 17. This report was unanimously adopted by the Committee at its closing meeting on December 11, 1992.

#### ANNEXE I/ANNEX I

# LISTE DES PARTICIPANTS/ LIST OF PARTICIPANTS

#### I. ETATS MEMBRES/MEMBER STATES

(dans l'ordre alphabétique des noms français des Etats/ in the alphabetical order of the names in French of the States)

# ALLEMAGNE/GERMANY

Sven SOMMER, Head of Documentation Division, German Patent Office, Munich

#### AUSTRALIE/AUSTRALIA

David HERALD, Assistant Commissioner of Patents, Patent, Trade Marks and Designs Offices, Woden, Australia

#### AUTRICHE/AUSTRIA

Elvira GRONAU (Mrs.), Technical Examiner, Presidential Department II, Austrian Patent Office, Wien

#### BRESIL/BRAZIL

Claudio R. TREIGUER, Director, Documentation and Information Center, National Institute of Industrial Property, Rio de Janeiro

#### BULGARIE/BULGARIA

Radoslavka KAZANDJIEVA (Mrs.), Deputy Director General, Institute of Inventions and Rationalizations, Sofia

# DANEMARK/DENMARK

Helge I. RASMUSSEN, Deputy Director General, Danish Patent Office, Taastrup

# ESPAGNE/SPAIN

Rosina VAZQUEZ DE PARGA (Sra.), Jefe de Area de Documentación y Búsquedas, Oficina Española de Patentes y Marcas, Madrid

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#### ETATS-UNIS D'AMERIQUE/UNITED STATES OF AMERICA

Boyd ALEXANDER, Deputy Assistant Commissioner, Patent and Trademark Office, United States Department of Commerce, Washington, D.C.

Russell GOUDEAU, Director, International Liaison Staff, Patent and Trademark Office, United States Department of Commerce, Washington, D.C.

Robert W. SAIFER, International Exchange and Standards Specialist, Patent and Trademark Office, United States Department of Commerce, Washington, D.C.

#### FEDERATION DE RUSSIE/RUSSIAN FEDERATION

Alexandre KHODYREV, Deputy Chairman, Committee for Patents and Trademarks, Moscow

Vladimir VARFOLOMEEV, Director, Patent Information Department, Committee for Patents and Trademarks, Moscow

#### FINLANDE/FINLAND

Juhani RAINESALO, Counsellor of intellectual property, National Board of Patents and Registration, Helsinki

#### FRANCE

Michèle LYON (Mme), Ingénieur en chef, Institut national de la propriété industrielle, Paris

#### HONGRIE/HUNGARY

Miklós BENDZSEL, Director, Patent Information Centre, National Office of Inventions, Budapest

Gabór KARETKA, Head, Industrial Property Information Section, National Office of Inventions, Budapest

#### JAPON/JAPAN

Junji YUITA, Director, Patent Classification Planning, Japanese Patent Office, Tokyo

Yoshiyuki TAKAGI, First Secretary, Permanent Mission, Geneva

#### MADAGASCAR

Abel RATOVOARISOA, Directeur d'appui à la recherche, Ministère de la recherche scientifique et technologique pour le développement, Antananarivo

#### NORVEGE/NORWAY

Per Einar LILLEJORDET, Head of Patent Division, Norwegian Patent Office, Oslo

#### PAYS-BAS/NETHERLANDS

Siep de VRIES, Head of Chemical Division, Patent Office, Rijswijk

#### PORTUGAL

Luisa M. RIBEIRO BARRIOS MODESTO (Mme), Examinateur de brevets, Institut national de la propriété industrielle, Lisbonne

#### POLOGNE/POLAND

Roman KOBUS, Vice-President, Patent Office, Warsaw

Barbara ZABCZYK (Mrs.), Counsellor to the President of the Patent Office, Warsaw

# REPUBLIQUE DE COREE/REPUBLIC OF KOREA

KIM Joon Kyu, Intellectual Property Attaché, Permanent Mission, Geneva

CHOI Gyu Wan, Deputy Director of International Cooperation Division, Korean Industrial Property Office, Seoul

HAN Seung Hwa, Deputy Director of Information Planning Division, Korean Industrial Property Office, Seoul

#### ROUMANIE/ROMANIA

Alexandru Cristian STRENC, Deputy Director General, State Office for Inventions and Trademarks, Bucarest

Valeriu Cristel GEAMBAZU, Head, Patent Information Division, State Office for Inventions and Trademarks, Bucarest

# ROYAUME-UNI/UNITED KINGDOM

Grahame K. LINDSEY, Superintending Examiner, Classification and Documentation Division, The Patent Office, Newport

David John BARFORD, Principal Examiner, Classification and Documentation Division, The Patent Office, Newport

#### SUEDE/SWEDEN

Kerstin BERGSTROM (Mrs.), Head, Documentation Division, Patent and Registration Office, Stockholm

#### SUISSE/SWITZERLAND

Valerio CANDOLFI, Chef de la Section d'information en matière de brevets, Office fédéral de la propriété intellectuelle, Berne

# TCHECOSLOVAQUIE/CZECHOSLOVAKIA

Jaroslav PAULÍK, Director, Patent Information Division, Federal Office for Inventions, Prague

Marta HOŠKOVÁ (Mrs.), Head, PCT Department, Federal Office for Inventions, Prague

# II. ORGANISATION MEMBRE/MEMBER ORGANIZATION

# OFFICE EUROPEEN DES BREVETS (OEB)/EUROPEAN PATENT OFFICE (EPO)

Herwig PAUWELS, Director, Operational Documentation, EPO, Rijswijk (ZH), Netherlands

# III. ORGANISATIONS OBSERVATRICES/OBSERVER ORGANIZATIONS

#### GROUPE DE DOCUMENTATION SUR LES BREVETS (PDG)/PATENT DOCUMENTATION GROUP (PDG)

Nico V. SCHUITEMAKER, Secretary General, PDG, Delft, Netherlands

# WORLD PATENT INFORMATION

Vincent S. DODD, Editor-in-Chief, World Patent Information, Cuffley, United Kingdom

#### IV. BUREAU/OFFICERS

Président/Chairman:

Grahame K. LINDSEY

(Royaume-Uni/United Kingdom)

Secrétaire/Secretary:

Klaus-Peter WITTIG (OMPI/WIPO)

V. BUREAU INTERNATIONAL DE L'ORGANISATION MONDIALE DE LA PROPRIETE INTELLECTUELLE (OMPI)/INTERNATIONAL BUREAU OF THE WORLD INTELLECTUAL PROPERTY ORGANIZATION (WIPO)

François CURCHOD, Vice-directeur général/Deputy Director General

Division de l'information en matière de propriété industrielle/Industrial Property Information Division: Akihiro NAKAMURA (Directeur/Director); Klaus-Peter WITTIG (Chef de la Section de l'information générale/Head, General Information Section); Bruce COX (Administrateur principal chargé de l'information en matière de propriété industrielle/Senior Industrial Property Information Officer); Angel LOPEZ SOLANAS (Administrateur principal chargé de l'information en matière de propriété industrielle, Section de l'information générale/Senior Industrial Property Information Officer, General Information Section)

Division juridique du PCT (Traité de coopération en matière de brevets)/Patent Cooperation Treaty (PCT) Legal Division: Busso BARTELS (Directeur/Director); Philip THOMAS (Juriste principal/Senior Legal Officer); Louis MAASSEL (Consultant)

[Annex II follows/L'annexe II suit]

# ANNEX II

# AGENDA

		Paragraph(s)
1.	Opening of the session	3
2.	Adoption of the agenda	5
3.	PCT Minimum DocumentationRevision of the list of periodicals (Project file PCIPI/P 972/92 and Rev.1)	7 - 14
4.	PCT Minimum Documentation—Consideration of the desirability of revising PCT Rule 34 (cut-off date of the minimum documentation) (Document PCT/CTC/XIV/2)	15 & 16
5.	Adoption of the report of the session	17
6.	Closing of the session	

[Annex III follows]

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# ANNEX III

# PERIODICALS TO BE ADDED TO THE LIST ESTABLISHED UNDER PCT RULE 34.1(b)(iii)

Title of Periodical	Publisher	ISSN No.	Language
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Electronic Letters	IEE Stevenage (GB)	0013-5194	English
Computer Design	Pennwell Publ. Littleton, Ma. (US)	0010-4566	English
Elektronik	Franzis Verlag GmbH. München (DE)	0013-5658	German
IEEE Electron Device Letters	IEEE Inc. New York, N.Y. (US)	0741-3106	English
Thin Solid Films	Elsevier-Sequoia S.A. Lausanne (CH)	0040-6090	
Wescon Technical Papers	Western Periodicals Co. No. Hollywood, Ca. (US)		English
IEEE Transactions on Nuclear Science	IEEE Inc. New York, N.Y. (US)	0018-9499	English
Journal of Biological Chemistry	Waverly Press P.O. Box 400 Prospect Heights, Il. 60070 (US)	0021-9258	English
BBA Biochemica et Biophysica ACTA	Elsevier P.O. Box 882 Madison Sq. Station New York, N.Y. (US)	0006-3002	English
Biochemistry	American Chemical Society 1155 16th St., N.W. Washington, D.C. 20036 (US)	0006-2960	English
Cancer Research	Waverly Press P.O. Box 400 Prospect Heights, Il. 60070 (US)	0008-5472	English

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# PERIODICALS TO BE ADDED TO THE LIST ESTABLISHED UNDER PCT RULE 34.1(b)(iii)

Title of	Publisher	ISSN No.	
Periodical	rubitsher	135N NO.	Language
Methods in Enzymology	Academic Press 1250 Sixth Ave. San Diego, Ca. 92101 (US)	0076-6879	English
BBRC Biochemistry Biophysiology Research Community	Academic Press 1250 Sixth Ave. San Diego, Ca. 92101 (US)		English
Clinical Chemistry	American Association for Clinical Chemistry 2029 K St., N.W. Washington, D.C. 20006 (US)	0009-9147	English
Journal of Immunology	Waverly Press P.O. Box 400 Prospect Heights, Il. 60070 (US)	0022-1767	English
Embo Journal	Oxford University 200 Madison Ave. New York, N.Y. (US)	0261-4189	English
Cell	Cell Press	0092-8674	English
Popular Science	NeoData Services	0161-7370	English
Popular Mechanics	Communications Data Service	0032-4558	English
Byte	McGraw-Hill, Inc. McGraw Hill Building 1221 Ave. of the Americas New York, N.Y. 10020 (US)	0360-5280	English
Biotechnology	Buttersworths- Heinemann 80 Montvale Ave. Stoneham, Ma. 02180 (US)		English

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# PERIODICALS TO BE ADDED TO THE LIST ESTABLISHED UNDER PCT RULE 34.1(b)(iii)

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	Title of Periodical	Publisher	ISSN No.	Language
	Plant Physiology	Waverly Press P.O. Box 400 Prospect Heights, Il. 60070 (US)	0032-0889	English
	Technische Rundschau	Hallwag AG Nordring 4 CH-3001 Bern (CH)	0040-148X	German
	Messen und Prüfen	IVA International Hansastrasse 17 8000 München 21 (DE)		German
	VDI-Nachrichten	VDI-Verlag Postfach 82 28 4000 Düsseldorf 1 (DE)	0042-1758	German
	Konstruktion	Springer Verlag Heidelberger Platz 3 1000 Berlin 33 (DE)	0720-5953	German
	Elektor	Elektor Verlag GmbH Süsterfeldstr. 25 5100 Aachen (DE)		German
E	Biotechnology Abstracts	Derwent Publ. Ltd. (GB)		English
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[Annex IV follows]

# ANNEX IV

# PERIODICALS TO BE DELETED FROM THE LIST ESTABLISHED UNDER PCT RULE 34.1(b)(iii)

PCT No. Title of Periodical	
2 *	Acoustical Society of Japan, Journal
9	American Dyestuff Reporter
26	Bulletin SEV/VSE
30	Chemical Engineering of Japan, Journal
34 *	Chemical Society of Japan, Journal
36	Chemiker Zeitung
43	Comptes-rendus des Séances
44	Control and Instrumentation
55	ETZ (Elektrotechnische Zeitschrift)
58	Giesserei
59	Glass and Ceramics
61	Heating, Piping & Air Conditioning
76	IEEE Trans-Geoscience and Remote Sensing
86	International Polymer Science & Tech.
89 *	Iron & Steel Inst. of Japan, Journal
93	Journal of Analy. Chemistry of the USSR
94	Journal of Chem. Tech. & Biotechnology
99	Journal of Electron Microscopy
109	Kautschuk & Gummi Kunststoffe
114(143)	Soviet Engineering Research
115	Measurement Techniques
116	Melliande Textilberichte, International Textile Reports
118	Metal Science and Heat Treatment
124	NTZ (Nachrichtentechnische Zeitschrift)
125	Nuclear Engineering International
128	Oyo Butsuri
138	RCA Review
140	Automatisierungstechnik
151	Soviet Atomic Energy
154	Soviet Physics - Solid State
161	Textil Praxis International
173	Coke and Chemistry - USSR
174	Farbe und Lack
175	Fibre Chemistry
176	Instruments and Experimental Techniques
182	Solar Energy
184	Soviet Physics - Uspekhi
186	Steel in the USSR
187	Telecommunications and Radio Engineering
190	IEEE Trans. on Energy Conversion
191	IEEE Trans. on Power Delivery
192	IEEE Trans. on Power Systems
194	Steel Times - Incorp. Iron & Steel
200	Welding International

<sup>\*</sup> Effective January 1, 1994.