

WIPO



PCT/CTC/X/2

ORIGINAL: English

DATE: September 9, 1987

WORLD INTELLECTUAL PROPERTY ORGANIZATION
GENEVA

INTERNATIONAL PATENT COOPERATION UNION
(PCT UNION)

PCT COMMITTEE FOR TECHNICAL COOPERATION

Tenth Session
Geneva, September 3 to 11, 1987

REPORT

adopted by the PCT Committee for Technical Cooperation

INTRODUCTION

1. The PCT Committee for Technical Cooperation (hereinafter referred to as "the Committee") held its tenth session in Geneva from September 3 to 9, 1987. Following the decision establishing the WIPO Permanent Committee on Patent Information, the session of the Committee was held jointly with the twelfth session of the WIPO Permanent Committee on Patent Information (hereinafter referred to as "the Permanent Committee").
2. The following members of the Committee were represented: Australia, Austria, Brazil, Bulgaria, Denmark, Finland, France, Germany (Federal Republic of), Japan, Netherlands, Norway, Republic of Korea, Soviet Union, Sweden, Switzerland, United Kingdom, United States of America, European Patent Office (EPO) (18). Canada, Czechoslovakia, the German Democratic Republic and Spain (4) were represented by observers. The International Patent Documentation Center (INPADOC), the Patent Documentation Group (PDG) and the publishers of the journal "World Patent Information" were also represented by observers. The list of participants is attached as Annex I to this report.
3. Mr. P. Claus, Director, Classifications and Patent Information Division, WIPO, welcomed the participants on behalf of the Director General of WIPO.
4. The session was opened by the Chairman of the Permanent Committee, Mr. T. Sage (United Kingdom). Mr. P.A. Higham, Head, Patent Information Section, Classifications and Patent Information Division, WIPO, acted as Secretary of the session.

ADOPTION OF THE AGENDA

5. The Committee unanimously adopted the agenda which is attached as Annex II to this report.

CONCLUSIONS

6. As decided by the Governing Bodies of WIPO at their tenth series of meetings (see document AB/X/32, paragraphs 51 and 52), held from September 24 to October 2, 1979, the report of this session reflects only the conclusions (decisions, recommendations, opinions, etc.) of the Committee and does not, in particular, reflect the statements made by any participant, except where any reservation in respect of any specific conclusion of the Committee was made or repeated after the conclusion was arrived at.

PCT MINIMUM DOCUMENTATION: PATENTS

Inventories of English-Language Abstracts of Patent Documents According to PCT Rule 34.1(e) (Project P 42)

7. The Committee noted that the International Bureau had recently received letters from Derwent Publications Ltd. and from the Japan Patent Information Organization (JAPIO) in which those organizations had indicated their agreement in principle to the inclusion of bibliographic details of English-language abstracts published by them in an inventory of English-language abstracts of Japanese patent documents generally available within the meaning of PCT Rule 34.1(e).

8. The Committee discussed the question raised in the letter received from Derwent Publications Ltd. as to whether the publication of an extended title, consisting of 15 to 20 words, in itself constituted an abstract within the meaning of PCT Rule 34, noting that in over half of the information published by Derwent concerning Japanese "Kokai" patent documents only such an extended title was given, the remaining part of the coverage including both an extended title and a Derwent prepared abstract. The Committee agreed that an abstract within the meaning of PCT Rule 34 necessitated a rather more detailed description of the contents of a patent document than could ordinarily be given in 15 to 20 words of text and accordingly decided that for the purposes of Rule 34 an extended title could not be considered as an abstract.

9. Notwithstanding its decision given in the previous paragraph, the Committee decided that the said inventory should include not only bibliographic details of English-language abstracts of Japanese patent documents but also bibliographic details of such documents when only an extended title was generally available and accordingly agreed that the inventory should be given the following title, namely: "English-language information of Japanese patent documents - an inventory of Japanese patent documents for which an English-language abstract has been published by the Japan Patent Information Organization and/or Derwent Publications Ltd. as well as including those documents for which an extended English-language title has been published by Derwent Publications Ltd."

10. The Committee agreed that the inventory should preferably not only include but also identify those Japanese patent documents for which only an extended English-language title is available, and accordingly requested the International Bureau to ascertain from Derwent Publications Ltd. the cost of providing that information. The Committee also requested the International Bureau to clarify with Derwent Publications Ltd. all the questions concerning commercial matters referred to in paragraph 3 of their recent letter (see Annex 30 to the project file), the Committee agreeing that either individual contracts between interested offices and Derwent Publications Ltd., or one contract between WIPO and Derwent Publications Ltd., would be acceptable.

11. On the basis of the information to be obtained from Derwent Publications Ltd., the Committee requested the International Bureau to then discuss with INPADOC the cost of producing (and, later, regularly updating) the inventory according to the format given in Annex III to this report, including the cost of supplementing the information already recorded on the INPADOC data base with information available from the International Bureau following earlier work by the International Bureau on this question, if this should be necessary so as to produce a complete coverage of the inventory defined in paragraph 9.

12. The Committee agreed that since English-language abstracts are generally available for all published Soviet patent documents, the establishment of an inventory thereof should no longer be pursued.

13. Finally, the Committee requested the Permanent Committee--or its successor--to include on its program for 1988-1989 a task concerning monitoring progress of work on this question.

PCT MINIMUM DOCUMENTATION: NON-PATENT LITERATURE

List of Periodicals According to PCT Rule 34.1.b(iii) (Project P 43)

14. The Committee agreed that the following two periodicals, namely "Nature" and "Proceedings of the National Academy of Science of the United States", be added to the list of periodicals established under PCT Rule 34.1.b(iii) so as to provide a better coverage of the technical field of biotechnology amongst the said periodicals. The Committee invited the Patent Offices of the United Kingdom and of the United States of America, respectively, to send to the International Bureau full details of the said two periodicals, including ISSN numbers and names and addresses of publishers.

15. The Committee discussed the question whether on-line access to an abstract journal or the complete text of a periodical on the PCT Minimum Documentation list could replace--at the PCT International Searching Authorities (PCT/ISAs)--a printed copy of the said journal or periodical and agreed that if such replacement was considered adequate then a change in PCT Rule 36 would be necessary. The Committee agreed that on-line search possibilities had not yet developed sufficiently to justify a change in the said Rule for the moment, but such a change could perhaps be considered at some point in the future. The Committee, therefore, concluded that PCT Rule 36 should still be interpreted as requiring PCT/ISAs to physically have printed copies of periodicals available and properly arranged for search purposes.

16. On the question of the inclusion in the PCT Minimum Documentation list of further periodicals concerned with the field of biotechnology, the Committee decided that this question should be further studied. Several members of the Committee said that their offices intended to conduct detailed tests on the usefulness of the Derwent publication "Biotechnology Abstracts" and offered to communicate the results of those tests to the International Bureau. Furthermore, several members indicated that their offices would be prepared to include other periodicals related to biotechnology on the said list in place of those periodicals shown to yield no or a limited number of articles of use for the purposes of patent search and examination, or additions thereto. The Committee agreed that these two aspects of the question should be studied together.

17. Accordingly, the Committee requested the International Bureau to issue a Circular by the end of September 1987 asking for comments on the points included in the previous paragraph, the Circular to include a listing of the titles of periodicals presently on the said list but for which no or a limited number of articles had been selected by contributing offices for publication in the WIPO Journal of Patent Associated Literature, and to ask for proposals to delete such periodicals.

18. The Committee noted and agreed to editorial corrections to the said list drawn to their attention by the International Bureau. As regards the question raised by the United States of America, as given in Annex 119 to the project file, the Committee agreed that the question raised several fundamental points that could not be immediately decided and requested the International Bureau to ask the views of its members on this question also in the Circular mentioned in the previous paragraph.

19. This report was unanimously adopted by the Committee at its closing meeting on September 9, 1987.

[Annexes follow]

ANNEX I/ANNEXE I

LIST OF PARTICIPANTS/
LISTE DES PARTICIPANTS

(in the English alphabetical order of the names of the States),
(dans l'ordre alphabétique anglais des noms des Etats)

I. MEMBER STATES/ETATS MEMBRES

AUSTRALIA/AUSTRALIE

Murray HADDRICK, Acting Commissioner of Patents, Australian Patent Office,
Canberra

AUSTRIA/AUTRICHE

Josef FICHTE, Vice President, Austrian Patent Office, Vienna

BRAZIL/BRESIL

Claudio R. TREIGUER, Director for Documentation and Technological Information,
Instituto Nacional de Propriedade Industrial, Rio de Janeiro

BULGARIA/BULGARIE

Orlin DELEV, First Secretary, Permanent Mission of the People's Republic of
Bulgaria, Geneva

DENMARK/DANEMARK

Helge I. RASMUSSEN, Director, Industrial Property Division, Danish Patent and
Trademark Office, Copenhagen (part-time/temps partiel)

Steen T. SIMONSEN, Coordinating Officer, Danish Patent and Trademark Office,
Copenhagen

FINLAND/FINLANDE

Reino LAUKKARINEN, Consulting Engineer, National Board of Patents and
Registration, Helsinki

FRANCE

Marguerite VERDEROSA (Mme), Chef de la Division de la Documentation, des
Publications et de l'Action Régionale, Institut national de la propriété
industrielle, Paris

Alice de PASTORS (Mme), Ingénieur en chef, Institut national de la propriété
industrielle, Paris

GERMANY, (FEDERAL REPUBLIC OF)/ALLEMAGNE, (REPUBLIQUE FEDERALE D')

Manfred VOEGTEL, Head, Documentation Department, German Patent Office, Munich

JAPAN/JAPON

Yoshihiro MASUDA, First Secretary, Permanent Mission of Japan, Geneva

NETHERLANDS/PAYS-BAS

Johannes C.H. PERIZONIUS, Vice President, Patent Office, Rijswijk (ZH)

Siep de VRIES, Member of the Patents Council, Patent Office, Rijswijk (ZH)

NORWAY/NORVEGE

Per Einar LILLEJORDET, Head of Division, Norwegian Patent Office, Oslo
(part-time/temps partiel)

REPUBLIC OF KOREA/REPUBLIQUE DE COREE

Tae-Chang CHOI, Liaison Officer to WIPO, Office of Patent Administration, Seoul

SOVIET UNION/UNION SOVIETIQUE

Valter Ivanovitch KUKOLEV, Director, Department of Patent Information and Automated Systems, USSR State Committee for Inventions and Discoveries, Moscow

Alexandre SENTCHIKHINE, Head, Department of International Patent Cooperation, All-Union Scientific Research Institute of State Patent Examination (VNIIGPE), USSR State Committee for Inventions and Discoveries, Moscow

SWEDEN/SUEDE

Kerstin BERGSTROM (Mrs.), Head, Documentation Division, Swedish Patent Office, Stockholm

SWITZERLAND/SUISSE

Edouard CAUSSIGNAC, Président des Chambres de recours, Office fédéral de la propriété intellectuelle, Berne

UNITED KINGDOM/ROYAUME-UNI

Terence W. SAGE, Assistant Comptroller, The Patent Office, London

Grahame K. LINDSEY, Superintending Examiner, The Patent Office, London

UNITED STATES OF AMERICA/ETATS-UNIS D'AMERIQUE

William S. LAWSON, Administrator for Documentation, Patent and Trademark Office, Washington D.C.

II. MEMBER ORGANIZATION/ORGANISATION MEMBRE

EUROPEAN PATENT ORGANISATION (EPO)/ORGANISATION EUROPEENNE DES BREVETS (OEB)

Carel JONCKHEERE, Director, Documentation Systems, EPO, Directorate General 1, Rijswijk (ZH), Netherlands

John ATKINS, Chief Examiner, Classification and Documentation, EPO, Directorate General I, Rijswijk (ZH), Netherlands

III. OBSERVER STATES/ETATS OBSERVATEURS

CANADA

Jean GARIEPY, Directeur, Information et Exploitation de la Technologie, Ministère de la consommation et des corporations, Hull

John GERO, Counsellor, Permanent Mission of Canada, Geneva

CZECHOSLOVAKIA/TCHECOSLOVAQUIE

Milan KOPČA, Vice President, Office for Inventions and Discoveries, Prague

Milada FORTOVÁ (Mrs.), Vice Director, Center of Information on Inventions, Office for Inventions and Discoveries, Prague

GERMAN DEMOCRATIC REPUBLIC/REPUBLIQUE DEMOCRATIQUE ALLEMANDE

Reiner BLUMSTENGEL, Deputy Head, Information and Documentation Division, Office for Inventions and Patents, Berlin

SPAIN/ESPAGNE

José-Daniel VILA ROBERT, Jefe del Servicio de Examen y Clasificación, Registro de la Propiedad Industrial, Madrid (part-time/temps partiel)

Josefina ALJARO-MARTINEZ (Sra.), Jefe del Servicio de Investigación y Difusión Documental, Registro de la Propiedad Industrial, Madrid

IV. OBSERVER ORGANIZATIONS/ORGANISATIONS OBSERVATRICES

INTERNATIONAL PATENT DOCUMENTATION CENTER (INPADOC)

Gerhard QUARDA, Vice Director, External Relations, Vienna

PATENT DOCUMENTATION GROUP (PDG)

Peter OCHSENBEIN, Secretary, Basel, Switzerland

WORLD PATENT INFORMATION

Vincent S. DODD, Editor-in-Chief, World Patent Information, London, United Kingdom

V. OFFICERS/BUREAU

Chairman/Président:	Terence W. SAGE, United Kingdom/Royaume-Uni
First Vice-Chairman/ Premier vice-président:	Valter Ivanovitch KUKOLEV, Soviet Union/Union soviétique
Second Vice-Chairman/ Deuxième vice-président:	Mahfoud ALBANE, Algeria/Algérie
Secretary/Secrétaire:	Philip HIGHAM, WIPO/OMPI

VI. INTERNATIONAL BUREAU OF WIPO/
BUREAU INTERNATIONAL DE L'OMPI

Paul CLAUS, Director, Classifications and Patent Information Division

Philip HIGHAM, Head, Patent Information Section, Classifications and Patent Information Division

Busso BARTELS, Head, PCT Legal Section

Guennadi NEGOULIAEV, Senior Patent Information Officer, Patent Information Section, Classifications and Patent Information Division

[Annex II follows/L'annexe II suit]

ANNEX II

AGENDA

	<u>Paragraphs</u>
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3. PCT Minimum Documentation: "Patents": Inventories of English-language abstracts of patent documents according to PCT Rule 34.1(e) (project file PCPI/P 42/79 Rev.13)	7 - 13
4. PCT Minimum Documentation: "Non-patent Literature": List of periodicals according to PCT Rule 34.1.b(iii) (project file PCPI/P 43/79 Rev.27)	14 - 18
5. Adoption of the report of the session	19
6. Closing of the session	

Membership in the PCT Committee for Technical Cooperation
(as at September 3, 1987)

Australia, Austria, Barbados, Belgium, Benin, Brazil, Bulgaria, Cameroon, Central African Republic, Chad, Congo, Democratic People's Republic of Korea, Denmark, Finland, France, Gabon, Germany (Federal Republic of), Hungary, Italy, Japan, Liechtenstein, Luxembourg, Madagascar, Malawi, Mali, Mauritania, Monaco, Netherlands, Norway, Republic of Korea, Romania, Senegal, Soviet Union, Sri Lanka, Sudan, Sweden, Switzerland, Togo, United Kingdom, United States of America, European Patent Office (EPO) (41)

[Annex III follows]

PROPOSED RECORD FORMAT OF DATA BASE

agreed by the PCT Committee for Technical Cooperation

Field	Length (Number of Characters)	Data
1	2	Country Code (JP)
2	1	Kind of document Code according to WIPO Standard ST.16 (A or B)
3	8	Serial Number
4*	9	Priority Application Number
5*	6	Priority Application Date
6*	2	Priority Country
7	3	Derwent Week Code
8	200	IPC as recorded by INPADOC
9	31	Derwent Classification
10	3	Flag indicating the presence of Derwent and/or JAPIO references
11	1	Flag indicating the availability of Derwent enhanced title but no Derwent abstract

* this data will only be given in so far as it is available, otherwise the field will be left blank

[End of Annex III and of document]