

PCT

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WORLD INTELLECTUAL PROPERTY ORGANIZATION  
GENEVA

INTERNATIONAL PATENT COOPERATION UNION  
(PCT UNION)

PCT COMMITTEE FOR TECHNICAL COOPERATION

Seventh Session  
Geneva, September 18 to 21, 1984

REPORT

adopted by the PCT Committee for Technical Cooperation

INTRODUCTION

1. The PCT Committee for Technical Cooperation (hereinafter referred to as "the Committee") held its seventh session in Geneva from September 18 to 21, 1984. Following the decision establishing the WIPO Permanent Committee on Patent Information, the session of the Committee was held jointly with the eighth session of the WIPO Permanent Committee on Patent Information (hereinafter referred to as "the Permanent Committee").
2. The following members of the Committee were represented: Australia, Austria, Denmark, Finland, France, Germany (Federal Republic of), Japan, Netherlands, Norway, Soviet Union, Sudan, Sweden, Switzerland, United Kingdom, United States of America, European Patent Office (EPO) (16). Canada, Czechoslovakia, German Democratic Republic, Kenya, Poland, Portugal, Spain and Viet Nam were represented by observers. The Commission of the European Communities, the International Organization for Standardization (ISO), the International Patent Documentation Center (INPADOC) and the Patent Documentation Group (PDG) were also represented by observers. The list of participants is attached as Annex I to this report.
3. Mr. L.E. Kostikov, Deputy Director General, welcomed the participants on behalf of the Director General of WIPO.
4. The session was presided over by the Chairman of the Permanent Committee, Mr. V.S. Dodd (United Kingdom). Mr. P. Higham, Head, Patent Information Section, Patent Information and Classification Division, WIPO, acted as Secretary of the session.

ADOPTION OF THE AGENDA

5. The Committee unanimously adopted the agenda which is attached as Annex II to this report.

CONCLUSIONS

6. As decided by the Governing Bodies of WIPO at their tenth series of meetings (see document AB/X/32, paragraphs 51 and 52), held from September 24 to October 2, 1979, the report of this session reflects only the conclusions (decisions, recommendations, opinions, etc.) of the Committee and does not, in particular, reflect the statements made by any participant, except where any reservation in respect of any specific conclusion of the Committee was made or repeated after the conclusion was arrived at.

7. The Committee based its deliberations and discussions upon a certain number of conclusions and recommendations of the PCPI Working Group on Special Questions at its fifth session, as given in document PCPI/SQ/V/2 and upon a certain number of conclusions and recommendations of the PCPI Working Group on Patent Information for Developing Countries at its fifth session as given in document PCPI/DC/V/5, as well as upon the project files of projects P 41, P 42 and P 44.

PCT MINIMUM PATENT DOCUMENTATION:

Sorted Collections of Patent Documents According to PCT Rule 34.1(c) (vi)  
(Project P 41)

8. The Committee noted the progress report prepared by the International Bureau concerning the production of inventories of patent documents published by Australia, Austria and Canada according to PCT Rule 34.1(c) (vi) as given in Annex 19 to project file PCPI/P 41/79 Rev.6. The Committee also noted a statement by the Representative of Austria that his office would continue to supply, on an annual basis, an inventory on magnetic tape of Austrian documents published according to the said Rule. The Representative of Australia moreover informed the Committee that the tape sent by his office in July 1983, to the PCT International Searching Authorities (PCT/ISAs), was intended to give PCT/ISAs information concerning non-priority documents published in the period 1920 to 1970 by his office: for technical reasons that tape also gave information concerning documents published in the period 1970 to 1982 but it was not the intention of his office to update the said tape.

9. The Committee, in the light of the above, unanimously agreed to request the International Bureau to continue the production, on COM microfiche and in the form of paper print-out, of inventories of patent documents issued according to the said Rule, recognizing the usefulness of the said inventories as an authoritative listing of patent documents that constitute an important part of the PCT minimum documentation. The Committee also requested the International Bureau to issue updates to the said inventories on an annual basis, if possible.

Inventories of English-Language Abstracts of Patent Documents According to PCT Rule 34.1(e) (Project P 42)

10. The Committee noted the progress report prepared by the International Bureau concerning the production of inventories of English-language abstracts of patent documents according to PCT Rule 34.1(e) as given in Annex 20 to project file PCPI/P 42/79 Rev.9 and congratulated the International Bureau on the progress made in its negotiations with Chemical Abstracts Service (CAS) for the delivery of further updates of CAS material towards the project.

11. The Committee accepted the principle, proposed by CAS, that for those PCT/ISAs wanting to receive inventories containing CAS material, a separate agreement would have to be signed between each PCT/ISA and CAS. The Committee further noted the terms of the draft agreement prepared by CAS as contained in Annex 21 to the project file and asked the International Bureau to inform CAS as soon as possible of this decision.

12. The Committee agreed upon the following procedure for the continuation of the project:

The International Bureau is requested

(a) to obtain from Derwent Publications Ltd and from the Japan Patent Information Center quotations for the supply of information to update to the end of 1984, and thereafter on a regular basis, the information already available to the International Bureau;

(b) to ascertain from CAS whether they would also be prepared to conclude agreements in respect of the supply of information with the offices of countries members of the PCT Union which are not PCT/ISAs and with regional industrial property offices;

(c) to ascertain from Derwent Publications Ltd. and from JAPATIC whether they would be prepared to extend their agreements with WIPO in respect of the supply of information to the offices of countries members of the PCT Union which are not PCT/ISAs and to regional industrial property offices;

(d) to issue, by the end of November 1984, a Circular Letter, containing cost estimates, to the offices of the countries members of the PCT Union and to the EPO and other regional industrial property offices, asking for commitments to purchase copies of the said inventories, it being made clear to non-PCT/ISAs that the availability of the said inventories is dependent upon the permission of CAS, Derwent Publications Ltd. and JAPATIC to provide information to non-PCT/ISAs.

13. The Committee agreed that the format of the magnetic tape containing the said inventories should be according to that given in Annex III to this document.

PCT MINIMUM DOCUMENTATION: "NON-PATENT LITERATURE"

Journal of Patent-Associated Literature (JOPAL) (project P 44)

14. The Committee noted the analysis (given in Annex 60 to project file PCPI/P 44/79 Rev.11) of replies received from 30 offices in response to Circular PCPI 543 which sought to discover the extent of interest in, and possible improvements to, the JOPAL project, noted an oral report given by the International Bureau concerning the discussion of the JOPAL project in the fifth session of the PCPI Working Group on Patent Information for Developing Countries, and agreed that JOPAL should continue to be published under the same conditions and in the same form as at present.

15. In arriving at the above decision, the Committee noted that JOPAL, for some offices, constituted an effective means of access to the technological information, useful for patent examination, contained in non-patent literature, and that, particularly for some developing countries, JOPAL constituted the only means of such access.

16. The Committee noted with appreciation the intention of several offices to start to contribute in the future to the selection of articles for inclusion in JOPAL and agreed to request the International Bureau to

(a) discuss, as a matter of priority, with all offices which had expressed an intention to contribute to the selection of articles for inclusion in JOPAL, a work sharing formula which took account of the revised list of periodicals established according to PCT Rule 34.1(b)(iii)--which would come into force on January 1, 1985-- and which, at the same time, gave a more equitable distribution of work load between the said offices;

(b) investigate the feasibility of and costs of production of cumulative indexes of JOPAL and of making JOPAL available in a machine-readable form (e.g. magnetic tape, diskette).

17. The Committee finally agreed that the continuation of the JOPAL project should be pursued within the more general framework of the Permanent Committee, particularly in view of the fact that several countries contributing to JOPAL were not members of the PCT Union.

OTHER BUSINESS

PCT Pamphlets

18. The Committee noted that the Assembly of the PCT Union would, in its forthcoming twelfth session, consider possibilities for a reduction of the expenditures of the budget of that Union. One such possibility would be to change the format of the PCT pamphlets other than those which are used for each application's communication to the designated Offices under Article 20 of the PCT (the latter would continue to have the same format as they now have). Such change would, in particular, consist in reproducing the drawings

recto-verso (instead of recto only) and in printing all pages of the pamphlet, other than the front page and the pages containing drawings, with a 50% reduction in size, thus allowing the reproduction of two sheets of the international application on one page of the pamphlet (see document PCT/A/XII/1, paragraph 8). The Committee, in view of the serious technical implications of the above-mentioned change in the format of the PCT pamphlets, especially for the work of searchers, expressed the wish that the Assembly, unless it decides during its forthcoming session that the said change should not be made, refer the matter to the Committee for consideration and advice.

List of Periodicals Established According to PCT Rule 34.1(b)(iii)

19. The Committee noted that letters to the International Bureau from the United States of America and from the EPO had drawn attention to corrections necessary to the revised list of periodicals established according to PCT Rule 34.1(b)(iii) at its last session due to changes of titles, ISSN numbers and the cessation of publication of a periodical, and requested members of the Committee to continually monitor the correctness of the said list, especially in respect of periodicals published in their own countries. Any apparent corrections should be communicated to the International Bureau. The Committee agreed to request the International Bureau to publish regularly in the PCT Gazette any such corrections.

20. This report was unanimously adopted by the Committee at its closing meeting on September 21, 1984.

[Annexes follow]

LIST OF PARTICIPANTS/  
LISTE DES PARTICIPANTS

(in the English alphabetical order of the names of the States)/  
(dans l'ordre alphabétique anglais des noms des Etats)

I. MEMBER STATES/ETATS MEMBRES

AUSTRALIA/AUSTRALIE

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SOVIET UNION/UNION SOVIETIQUE

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- Mr. Y. GYRDYMOV, Deputy Director, External Relations Department, USSR State Committee for Inventions and Discoveries, Moscow

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- Mr. J-E. BODIN, Head of Division, Swedish Patent Office, Stockholm
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- M. E. CAUSSIGNAC, Président des Chambres de recours, Office fédéral de la propriété intellectuelle, Berne
- M. M. LEUTHOLD, Chef de la division administration, Office fédéral de la propriété intellectuelle, Berne

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- Mr. V.S. DODD, Superintending Examiner, The Patent Office, London

UNITED STATES OF AMERICA/ETATS-UNIS D'AMERIQUE

- Mr. W.S. LAWSON, Administrator for Documentation, Patent and Trademark Office, Washington D.C.
- Mr. T.F. LOMONT, Director, Office of International Patent Classification, Patent and Trademark Office, Washington D.C.

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IV. OBSERVER ORGANIZATIONS/ORGANISATIONS OBSERVATRICES

COMMISSION OF THE EUROPEAN COMMUNITIES (CEC)

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INTERNATIONAL ORGANIZATION FOR STANDARDIZATION (ISO)

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Deuxième vice-président: Mr. Z. SOBCZYK, Poland  
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Mr. B. BARTELS, Head, PCT Legal Section, PCT Division

[Annex II follows]



## ANNEX II

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## ANNEX III

## RECORD FORMAT OF MERGED DATA BASE

Field	Length (Number of Characters)	Data
1	2	Country Code (JP or SU)
2	1	Document Type (A or B)
3	8	Serial Number
4*	9	Priority Application Number
5*	6	Priority Application Date
6*	2	Priority Country
7	3	Derwent Week Code
8	14	CAS Publication Citation
9	100	IPC as recorded by Derwent
10	50	IPC as recorded by CAS
11	100	IPC as recorded by JAPATIC
12	31	Derwent Classification
13	50	National Classification (occurs only on Japanese documents on CAS in place of IPC)
14	3	Flag indicating the presence of Derwent, CAS and/or JAPATIC references

\* this data will only be given in so far as it is available, otherwise the field will be left blank

[End of Annex III and of document]