

PCT

WIPO



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WORLD INTELLECTUAL PROPERTY ORGANIZATION

GENEVA

INTERNATIONAL PATENT COOPERATION UNION

(PCT UNION)

PCT COMMITTEE FOR TECHNICAL COOPERATION

Third Session

Geneva, October 20 to 24, 1980

REPORT

adopted by the PCT Committee for Technical Cooperation

#### INTRODUCTION

1. The PCT Committee for Technical Cooperation (hereinafter referred to as "the Committee") held its third session in Geneva from October 20 to 24, 1980. Following the Decision Establishing the WIPO Permanent Committee on Patent Information and Dealing with Related Matters, the session of the Committee was held jointly with the fourth session of the WIPO Permanent Committee on Patent Information (hereinafter referred to as "the PCPI").
2. The following members of the Committee were represented: Australia, Austria, Brazil, Denmark, Finland, France, Germany (Federal Republic of), Japan, Malawi, Netherlands, Norway, Romania, Soviet Union, Sweden, Switzerland, United Kingdom, United States of America, European Patent Office (EPO) (18). Representatives of the following States were present as special observers: Egypt, Ireland, Spain (3). Algeria, Bulgaria, Czechoslovakia, the German Democratic Republic, Kenya, Poland, Portugal and Zambia (8) were represented by observers. The United Nations, the United Nations Industrial Development Organization (UNIDO), the Commission of the European Communities (CEC), the International Patent Documentation Center (INPADOC) and the Patent Documentation Group (PDG) were also represented by observers. The list of participants is attached as Annex I to this report.
3. Mr. F.A. Sviridov, Deputy Director General of WIPO, welcomed the participants on behalf of the Director General of WIPO.
4. The session was presided over by the Chairman of the PCPI, Mr. G.J. Vianès, France. Mr. P. Claus, Director, Classifications and Patent Information Division, WIPO, acted as Secretary of the session.

## ADOPTION OF THE AGENDA

5. The Committee unanimously adopted the agenda which is attached as Annex II to this report.

## CONCLUSIONS

6. As decided by the Governing Bodies of WIPO at their tenth series of meetings (see document AB/X/32, paragraphs 51 and 52), held from September 24 to October 2, 1979, the report of this session reflects only the conclusions (decisions, recommendations, opinions, etc.) of the Committee and does not, in particular, reflect the statements made by any participant, except where any reservation in respect of any specific conclusion of the Committee was made or repeated after the conclusion was arrived at.

7. The Committee based its conclusions upon the conclusions and recommendations of the PCPI Working Group on Planning (hereinafter referred to as "the Planning Group") at its fifth and sixth sessions, as set forth in documents PCPI/PLAN/V/3 and PCPI/PLAN/VI/8, respectively, as well as upon the project files of projects P 39 to P 44.

PCT Minimum Documentation: "Patents"Inventory 1920 to Date (project P 39) and Inventory of Reported Gaps therein in the Files of the PCT International Searching Authorities (ISAs) (Project P 40)

8. The Committee endorsed the recommendation of the Planning Group that the International Bureau be requested to complete the said inventories so as to provide a true work of reference by including the patent documents issued by Australia, Austria, Canada, Japan and the Soviet Union in so far as those patent documents fell within the definition of the PCT Minimum Documentation as given in PCT Rule 34.

9. The Committee also endorsed the recommendation of the Planning Group that the International Bureau should be requested to ascertain from the African Intellectual Property Organization (OAPI) those patent documents that had been issued by that Office and circulated, free of charge, to the ISAs and to then include those patent documents in the said inventories.

10. In endorsing the above recommendations, the Committee noted that the inventories of patent documents published by Australia, Austria and Canada would each contain a reference to the detailed inventories to be made available when project P 41 (see paragraph 11 below) was completed and that the inventories of patent documents issued by Japan and the Soviet Union would each contain a reference to the detailed inventories to be made available when project P 42 (see paragraph 12 below) was completed. It was also noted that the said inventories would contain, when deemed necessary, a reference to the relevant PCT Rule in order to clearly define the provisions of the PCT with respect to the conditions under which the patent documents in question were included in the PCT Minimum Documentation.

Inventories of Sorted Collections of Patent Documents According to PCT Rule 34.1(c)(vi) (Project P 41)

11. The Committee endorsed the recommendation of the Planning Group that the International Bureau should prepare a machine-readable inventory of the sorted collections of patent documents according to PCT Rule 34.1(c)(vi) on the basis of the patent documents and the lists thereof supplied by the Industrial Property Offices of Australia, Austria and Canada to the ISAs, copies of which lists those Offices had already supplied to the International Bureau.

Inventories of English-language Abstracts of Patent Documents According to PCT Rule 34.1(e) (Project P 42)

12. The Representative of Japan informed the Committee that, in respect of English-language abstracts of patent documents according to PCT Rule 34.1(e), "Patent Abstracts of Japan" had been published by the Japanese Patent Office and distributed, free of charge, to, among others, each PCT International Searching Authority. Consequently, he asserted that those Japanese patent documents so abstracted should naturally be included in the PCT Minimum Documentation. In respect of inventories of "Patent Abstracts of Japan," he also informed the Committee that they had already been made available to anyone in the form of COM microfiche and that for recent issues of "Patent Abstracts of Japan" they were included in all issues.

13. The Committee expressed its great appreciation for the important contribution made by Japan to improve access to the technological content of the Japanese patent documents. In order to provide guidance to the Committee in discussing, at its next session, the problem raised by the representative of Japan, the Committee requested the International Bureau, in cooperation with the Japanese Patent Office, to study, and report thereon to the Committee at its next session, which Japanese patent documents should be regarded as falling within PCT Rule 34.1(e) from 1970 to date based upon generally available English-language abstracts of those documents from any source. Since the machine-readable form of the above-mentioned inventories was necessary for the said study, the International Bureau was requested to discuss with the Japanese Patent Office whether, and if the answer was yes, on what conditions, a machine-readable form which is in the possession of JAPATIC of the inventories mentioned in paragraph 12 above could be used solely for the purposes of the said study.

14. The Representative of Japan generally agreed to the conclusion mentioned in paragraph 13 above, but reserved the position of his Office as to the possible implication of the conclusion that the existence of an inventory was a requirement for "Patent Abstracts of Japan" to be "generally available" within the meaning of PCT Rule 34.1(e).

PCT Minimum Documentation: "Non-patent Literature"

List of Periodicals Established under PCT Rule 34.1(b)(iii) (Project P 43)

15. The Committee endorsed the recommendation of the Planning Group that in deciding whether a periodical should be included in (or excluded from) the list of periodicals established under PCT Rule 34.1(b)(iii), the periodical should be evaluated to determine that it met as many of the following criteria as possible:

(a) The periodical should contain a predominant amount of material useful for patent searching, e.g. practical technical information, on which patent applications could be based;

(b) The said material should be new;

(c) The periodical should be published in one of the languages in which patent documents of the PCT Minimum Documentation are published;

(d) There should be a considerable frequency of selection of articles from the periodical added to systematically arranged search files;

(e) Articles from the periodical should have reached a certain frequency of citation in search reports;

(f) Several Offices should already subscribe to the periodical;

(g) The periodical should not be a substantial duplication of any other periodical already on the list.

16. The Committee agreed to recommend to the PCT Assembly that the two French-language periodicals "L'Onde Electrique" and "Bulletin de la Société Chimique de France," as proposed by France, should be included in the said list. The Committee noted that this recommendation should in no way be considered as a precedent for the future revision of the list but would be made at this time since the two above-mentioned periodicals met the criteria set forth in the preceding paragraph. The Committee also agreed that future proposals for revision of the list should follow the normal PCPI working procedures before recommending any revision of the list to the PCT Assembly.

17. The Committee finally requested the International Bureau to issue a circular letter to all PCT Members inviting comments on the need to revise the existing list of periodicals established under PCT Rule 34.1(b) with respect to those periodicals on the present list which did not meet the above-stated criteria, and inviting suggestions as to new periodicals, meeting those criteria, for inclusion in the list.

Cooperation in Selecting Relevant Articles from PCT Minimum Documentation Periodicals (Project P 44)

18. The Committee endorsed the recommendation of the Planning Group that the International Bureau be given authority to go ahead with the project of cooperation among PCPI Members for selecting, exchanging and disseminating bibliographic information on selected articles from the PCT Minimum Documentation periodicals for a trial period of one year provided no financial burden would fall upon the International Bureau.

19. The Committee noted that nine Industrial Property Offices, i.e. those of Australia, Austria, France, Germany (Federal Republic of), Japan, Sweden, the Soviet Union and the United States of America, and the European Patent Office (EPO), would provide details of relevant articles selected from the PCT Minimum Documentation periodicals as given in Annex III to this report, and that the Office for Inventions and Discoveries of Czechoslovakia would inform the International Bureau shortly of its possible offer of input to the project. The Committee agreed that all Offices providing such input would have the right to receive, free of charge, the full results of the project.

20. The Committee decided that, for the purposes of the trial period, it was not essential that all PCT Minimum Documentation periodicals be covered and noted that the 18 periodicals listed in Annex IV to this report still remained to be covered. The Committee invited Offices wishing to contribute further input to the project, whether members of the Committee or not, to indicate their willingness by November 28, 1980.

NEXT SESSION

21. The Committee noted that its fourth session, a joint session with the fifth session of the PCPI, had been scheduled to take place in Geneva from November 9 to 13, 1981.

22. This report was unanimously adopted by the Committee at its closing meeting on October 24, 1980.

[Annexes I to IV follow]



## AGENDA

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\* Article 4 of the Organizational Rules of the PCPI provides that any meeting of the Committee for Technical Cooperation established pursuant to Article 56 of the Patent Cooperation Treaty shall as a rule be a joint meeting with the PCPI, and that the meetings shall be presided over by the Chairman of the PCPI.

LIST OF PARTICIPANTS/  
LISTE DES PARTICIPANTS

(in the English alphabetical order of the names of the States)/  
(dans l'ordre alphabétique anglais des noms des Etats)

I. MEMBER STATES/ETATS MEMBRES

AUSTRALIA/AUSTRALIE

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SOVIET UNION/UNION SOVIETIQUE

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Mr. L.G. BJÖRKLUND, Deputy Director General, Royal Patent and Registration  
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Mr. T.F. LOMONT, Director, Office of International Patent Classification,  
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II. MEMBER ORGANIZATION/ORGANISATION MEMBRE

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IRELAND/IRELANDE

- Mr. P. SLAVIN, Principal Examiner, Patents Office, Dublin

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- M. E. GUTIERREZ, Director del Departamento de Información Tecnológica, Registro de la Propiedad Industrial, Madrid

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Second Vice-Chairman/ Deuxième Vice-président :	Mr. M. KOPČA, Czechoslovakia/ Tchécoslovaquie
Secretary/Secrétaire:	Mr. P. CLAUS, WIPO/OMPI

VII. INTERNATIONAL BUREAU OF WIPO/  
BUREAU INTERNATIONAL DE L'OMPI

Mr. F.A. SVIRIDOV, Deputy Director General

Mr. P. CLAUS, Director, Classifications and Patent Information Division

Mr. B. HANSSON, Head, International Patent Classification Section,  
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Patent Information Division

Mr. R. BLUMSTENGEL, Head, General Patent Information Section, Classifications  
and Patent Information Division

[Annex II follows/L'annexe II suit]

PCT/CTC/III/3  
ANNEX III/ANNEXE III

Project P 44 - COOPERATION IN SELECTING RELEVANT ARTICLES FROM PCT MINIMUM DOCUMENTATION PERIODICALS/  
Projet P 44 - COOPERATION POUR LE CHOIX D'ARTICLES PERTINENTS DANS LA DOCUMENTATION MINIMALE DU PCT

PCT Identification Number/ Numéro d'identification du PCT	Cooperating Office/ Office coopérant	PCT Identification Number/ Numéro d'identification du PCT	Cooperating Office/ Office coopérant	PCT Identification Number/ Numéro d'identification du PCT	Cooperating Office/ Office coopérant
1	-	35	DE	69	DE
2	JP	36	AT	70	DE
3	DE	37	DE	71	DE
4	EP	38	AU	72	DE
5	-	(39) *		73	DE
6	AU	40	AU	74	DE
7	EP	41	-	75	DE
8	DE	42	AU	76	DE
9	AU	43	FR	77	DE
10	DE	44	AU	78	DE
11	DE	45	AU	79	DE
12	AU	46	SU	80	DE
13	DE	47	FR	81	DE
14	DE	48	DE	82	EP
15	SE	49	-	83	EP
16	AT	50	AU	84	EP
17	DE	51	-	85	JP
18	SU	52	AT	86	SU
19	SU	53	AU	87	AT
20	SE	54	AU	88	AU
21	AU	55	AT	89	JP
22	-	56	AT	90	-
23	FR	57	AT	91	JP
24	SU	58	DE	92	EP
25	SU	59	SU	93	SU
26	DE	60	DE	94	AU
27	AU	61	AU	95	SU
28	-	62	DE	96	DE
29	EP	63	DE	97	DE
30	-	64	DE	98	DE
31	DE	65	DE	99	-
32	-	66	DE	100	SU
33	-	67	DE	101	DE
34	JP	68	DE	102	AU

PCT Identification Number/ Numéro d'identification du PCT	Cooperating Office/ Office coopérant	PCT Identification Number/ Numéro d'identification du PCT	Cooperating Office/ Office coopérant	PCT Identification Number/ Numéro d'identification du PCT	Cooperating Office/ Office coopérant
103	DE	126	-	148	AU
104	DE	127	SU	149	AU
105	-	128	JP	150	DE
106	-	129	DE	151	SU
107	-	130	DE	152	SU
108	DE	131	DE	153	SU
109	DE	132	DE	154	SU
110	DE	133	EP	155	SU
111	DE	134	SU	156	DE
112	AU	135	US	157	DE
113	AU	136	AU	158	EP
114	SU	137	SU	159	AU
115	SU	138	-	160	DE
116	AT	139	-	161	AT
117	EP	140	DE	162	AU
118	SU	141	AU	163	EP
119	SU	142	SU	164	DE
120	AU	143	SU	165	DE
121	AU	144	AU	(166)*	
122	DE	145	AU	167	DE
123	AU	146	DE	168	AU
124	AT	147	US	(169)*	
125	AU				

- \* Notes:
- (i) Periodicals Nos 39 and 166 are no longer published/Les périodiques Nos 39 et 166 ne sont plus publiés;
  - (ii) Periodical No. 169 ("Chemical Abstracts") will not form part of the exchange of information/Le périodique No 169 ("Chemical Abstracts") ne fera pas partie de l'échange d'informations.

[Annex IV follows/L'annexe IV suit]



PCT/CTC/III/3  
ANNEXE IV/ANNEXE IV

Project P 44 - COOPERATION IN SELECTING RELEVANT ARTICLES FROM PCT MINIMUM DOCUMENTATION PERIODICALS (List of periodicals not covered as at October 24, 1980)/

Projet P 44 - COOPERATION POUR LE CHOIX D'ARTICLES PERTINENTS DANS LA DOCUMENTATION MINIMALE DU PCT (Liste des périodiques non couverts au 24 octobre 1980)

PCT Identification Number/ Numéro d'identification du PCT	Title of Periodical/ Titre du périodique	Language of Publication/ Langue de publication	Office offering Commitment/ Office s'engageant à participer
1	Acoustical Society of America, Journal	E	
5	Agricultural and Biological Chemistry	E	
22	Bell System Technical Journal	E	
28	Chemical and Pharmaceutical Bulletin, Japan	E	
30	Chemical Engineering of Japan, Journal	E	
32	Chemical Society (London), Journal (six sections)	E	
33	Chemical Society of Japan, Bulletin	E	
41	Collection of Czechoslovak Chemical Communications/Collection des Travaux chimiques de Tchécoslovaquie	E, F, G, R	
49	Electronic Design	E	
51	Electronics	E	
90	Japanese Journal of Applied Physics	E	
99	Journal of Electron Microscopy	E	
-	Journal of Physics:		
105	Part B - Atomic and Molecular Physics	E	
106	Part E - Scientific Instruments	E	
107	Journal of Polymer Sciences	E	
126	Optical Society of America, Journal	E	
138	RCA Review	E	
139	Review of Scientific Instruments	E	

E = English/anglais  
F = French/français  
G = German/allemand  
R = Russian/russe

[End of Annex IV and of document/  
Fin de l'annexe IV et du document]