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PCT/CTC/H/4 ORIGINAL: English DATE: October 26, 1979

WORLD INTELLECTUAL PROPERTY ORGANIZATION

GENEVA

INTERNATIONAL PATENT COOPERATION UNION

(PCT UNION)

PCT COMMITTEE FOR TECHNICAL COOPERATION

Second Session Geneva, October 22 to 26, 1979

REPORT

adopted by the PCT Committee for Technical Cooperation

INTRODUCTION

1. The PCT Committee for Technical Cooperation (hereinafter referred to as "the PCT/CTC") held its second session in Geneva from October 22 to 26, 1979. Following the Decision Establishing the WIPO Permanent Committee on Patent Information and Dealing with Related Matters, the session of the PCT/CTC was held jointly with the third session of the WIPO Permanent Committee on Patent Information (hereinafter referred to as "the PCPI").

2. The following members of the PCT/CTC were represented: Austria, Brazil, Denmark, France, Germany (Federal Republic of), Japan, Netherlands, Soviet Union, Sweden, Switzerland, United Kingdom, United States of America, European Patent Office (EPO) (13). Representatives of the following States were present as special observers: Australia, Canada, Finland, Hungary, Ireland, Norway, Spain (7). Bulgaria, Czechoslovakia, the German Democratic Republic and Portugal (4) were represented by observers. The United Nations (UN), the United Nations Industrial Development Organization (UNIDO), the Commission of the European Communities (CEC), the Council for Mutual Economic Assistance (CMEA), the International Federation for Documentation (FID), the International Patent Documentation Center (INPADOC) and the Patent Documentation Group (PDG) were also represented by observers. The list of participants is attached as Annex I to this report.

3. Mr. F.A. Sviridov, Deputy Director General of WIPO, welcomed the participants on behalf of the Director General of WIPO.

4. The session was presided over by the Chairman of the PCPI, Mr. J. Fichte, Austria. Mr. P. Claus, Director, Patent Information Division, WIPO, acted as Secretary of the session.

ADOPTION OF THE AGENDA

5. The PCT/CTC unanimously adopted the agenda which is attached as Annex II to this report.

DISCUSSIONS

6. The PCT/CTC based its discussions upon the conclusions and recommendations of the PCPI Working Group on Planning (hereinafter referred to as "the Planning Group") at its third and fourth sessions, as summarized in document PCT/CTC/II/2, as well as upon the project files of projects P 39 to P 44.

DECISIONS

7. The PCT/CTC endorsed the conclusions and recommendations made by the Planning Group at its third and fourth sessions in respect of the tasks given by the PCT/CTC at its first session to the Planning Group for action in 1979, and came to the following decisions in respect of each of those tasks, namely:

(a) <u>Inventory of Patent Documents Referred to in PCT Rule 34.1(c)(i) to (v)</u> (<u>Project 39</u>): <u>requested</u> the International Bureau to issue a circular letter to the Industrial Property Offices publishing those patent documents and to produce an updated inventory of those patent documents to cover the years 1977 to 1979, noting that the updated inventory would include--for the first time--patent documents published by the EPO and the International Bureau of WIPO and would identify gaps existing in sequentially numbered series of published patent documents;

(b) <u>Inventory of "Reported Gaps" in the Files of the PCT International</u> <u>Searching Authorities (ISAs) (Project 40): requested the International Bureau to</u> issue a circular letter to ISAs and to produce an inventory of the gaps as reported by the ISAs as existing in their files updated to 1979, since information at hand was based on data available in 1976;

(c) Inventories of Sorted Collections of Patent Documents According to PCT Rule 34.1(c)(vi) (Project P 41): requested the International Bureau to:

- (i) <u>explore</u> with those Industrial Property Offices which had so far made available to the ISAs inventories of patent documents according to PCT Rule 34.1(c) (vi), namely, Australia, Austria and Canada, the extent to which the said Offices could make available to the International Bureau machine-readable records of those inventories giving simply the publication numbers of those patent documents,
- (ii) <u>establish</u>, together with INPADOC, the cheapest method of supplementing such machine-readable records, if incomplete, with further such records so as to enable the production of a complete machinereadable inventory of patent documents according to PCT Rule 34.1(c) (vi),
- (iii) establish a system, in conjunction with the three above-mentioned Offices, whereby the publication numbers to be given on the machinereadable inventory would be exactly coincident with those patent documents placed at the disposal of ISAs by those Offices,
- (iv) <u>produce</u> cost estimates of providing the complete inventory on COM, magnetic tape and as paper copies, and to obtain from ISAs and other potential users firm commitments to purchase copies of the complete inventory based upon those cost estimates;

(d) Inventories of English-language Abstracts of Patent Documents According to PCT Rule 34.1(e) (Project 42):

- (i) requested the International Bureau to obtain, by circular letter, from ISAs and other potential users of the abstracts, firm commitments to purchase the inventories on the basis of a probable maximum price and a detailed format and layout of the information carriers, and on the basis of separate inventories being produced relating to Japanese and Soviet Union patent documents respectively.
- (ii) noted with approval the following statement by the Delegation of Japan:

"The Japanese Patent Office has been issuing 'Patents Abstracts of Japan,' which are English abstracts of published unexamined patent applications, since March 1, 1977. We are of the opinion that 'Patents Abstracts of Japan' contains the most important technology of various technical fields in which Japanese and foreign engineers are greatly interested. The total number of the English patent abstracts which will be issued during this fiscal year (April 1979 to March 1980) will reach 80,000, including 21,000 in General and Mechanical Section, 18,000 in Chemical Section, and 41,000 in Electrical and Physical Section.

We will make efforts to improve the English patent abstracts further to make them more useful. We are now negotiating with the finance authority for the cost necessary for expanding the coverage of the technical fields of the English patent abstracts and for inserting various indexes, including an IPC index, in 'Patents Abstracts of Japan.' It is expected that such improvements will be realized from April 1980 if the finance authority permits. Also, at present, we are preparing to decide the technical fields in which patent applications are abstracted in English by using the IPC so as to cope with the situation when the IPC will be the sole patent classification in Japan."

(iii) <u>noted</u> with appreciation the offer by the Delegation of Japan that several copies of "Patents Abstracts of Japan" would be made available to each ISA, free of charge, to facilitate the incorporation of English-language abstracts of Japanese patent documents in their search files;

(e) List of periodicals established under PCT Rule 34.1(b) (iii) (Project P 43): <u>approved</u> the List of periodicals established under PCT Rule 34.1(b) (iii) and incorporating the International Standard Serial Number (ISSN), as given in Annex 4 to project file PCPI/P 43/79 Rev.2, subject to minor corrections to page 6 of that Annex;

(f) <u>Cooperation for Selecting Relevant Articles from PCT Minimum Documentation</u> <u>Journals (Project P 44): requested the International Bureau to circulate a question-</u> naire, amended in the light of the discussions held, to all members of the PCT Union to seek an indication of the interest of those members in providing specific information concerning articles contained in non-patent literature.

UNIDO PROPOSAL FOR THE CREATION OF AN INTERNATIONAL PATENT EXAMINATION CENTER

8. The PCT/CTC <u>noted</u> the conclusions reached by the Governing Bodies of WIPO, as contained in paragraphs 17 and 18 of document AB/X/29, concerning a UNIDO proposal to establish an international patent examination center.

9. The Delegations of Switzerland and France, having expressed their agreement with the preceding paragraph, declared that to the extent that the UNIDO project deals with the dissemination of patent information, any duplication of WIPO's tasks by UNIDO should be avoided.

NEXT SESSION

10. The PCT/CTC noted that its third session, a joint session with the fourth session of the PCPI, was scheduled to take place in Geneva from October 20 to 24, 1980.

11. This report was unanimously adopted by the PCT/CTC at its closing meeting on October 26, 1979.

PCT/CTC/II/4

ANNEX I/ANNEXE I

LIST OF PARTICIPANTS/ LISTE DES PARTICIPANTS

(in the English alphabetical order of the name of the States) (dans l'ordre alphabétique anglais des noms des Etats)

I. MEMBER STATES/ETATS MEMBRES

AUSTRIA/AUTRICHE

Mr. J. FICHTE, Vice-President, Austrian Patent Office, Vienna

BRAZIL/BRESIL

- Mr. G.R. COARACY, Director, Technological Documentation and Information Center, National Institute of Industrial Property, Rio de Janeiro
- Mr. L.C. CUNHA LIMA, Director of Patents, National Institute of Industrial Property, Rio de Janeiro

DENMARK/DANEMARK

- Mr. A. MORSING, Head of the Patent Department, Patent and Trademark Office, Copenhagen
- Mr. S.T. SIMONSEN, Senior Examiner, Patent and Trademark Office, Copenhagen

FRANCE

- Mme M. VERDEROSA, Chef de la Division de la Documentation, des Publications, et de l'Information, Institut national de la propriété industrielle, Paris
- M. J. FOUCHY, Ingénieur en chef, Institut national de la propriété industrielle, Paris

GERMANY (FEDERAL REPUBLIC OF)/ALLEMAGNE (REPUBLIQUE FEDERALE D*)

Mr. A. WITTMANN, Abteilungspräsident, German Patent Office, Munich

JAPAN/JAPON

- Mr. M. UMEDA, Director of the Documentation Division, Japanese Patent Office, Tokyo
- Mr. S. UEMURA, First Secretary, Permanent Mission of Japan, Geneva

NETHERLANDS/PAYS-BAS

Mr. J.C.H. PERIZONIUS, Vice President, Patent Office, Rijswijk (ZH)

SOVIET UNION/UNION SOVIETIQUE

- Mr. V. BLINNIKOV, Director of VNIIGPE, USSR State Committee for Inventions and Discoveries, Moscow
- Mr. C. NEGULIAEV, Deputy Director of TSNIIPI, USSR State Committee for Inventions and Discoveries, Moscow

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V. OBSERVER ORGANIZATIONS/ORGANISATIONS OBSERVATRICES

UNITED NATIONS (UN)/ORGANISATION DES NATIONS UNIES (ONU)

Mr. S.P. PADOLECCHIA, Representative of the Secretary General, UNO, New York, United States of America

UNITED NATIONS INDUSTRIAL DEVELOPMENT ORGANIZATION (UNIDO)/ORGANISATION DES NATIONS UNIES POUR LE DEVELOPPEMENT (ONUDI)

Mr. S.P. PADOLECCHIA, Assistant Special Representative of the Executive Director at Geneva, UNIDO, Vienna, Austria

COMMISSION OF THE EUROPEAN COMMUNITIES (CEC)/COMMISSION DES COMMUNAUTEES EUROPEENES (CCE)

Mr. H. BANK, Principal Administrator, CEC, Luxembourg

COUNCIL FOR MUTUAL ECONOMIC ASSISTANCE (CMEA)/CONSEIL D'ASSISTANCE ECONOMIQUE MUTUELLE (CAEM)

Mr. D. SCHMIDT, Expert, CMEA, Moscow, USSR

INTERNATIONAL FEDERATION FOR DOCUMENTATION/FEDERATION INTERNATIONALE DE DOCUMENTA-TION (FID)

Mr. J.W. PLEVIER, CID-TNO, Delft, Netherlands

PATENT DOCUMENTATION GROUP (PDG)

Mr. D. LIGTENBERG, Secretary, PDG, Basel, Switzerland

INTERNATIONAL PATENT DOCUMENTATION CENTER (INPADOC)/CENTRE INTERNATIONAL DE DOCUMENTATION DE BREVETS

Mr. G. QUARDA, Vice-Director, External Relations, INPADOC, Vienna, Austria

VI. OFFICERS/BUREAU

| Chairman/Président: | Mr. | J. | FICHTE, | Austria |
|-----------------------|-----|----|---------|---------|
| Secretary/Secrétaire: | Mr. | P. | CLAUS, | WIPO |

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VII. INTERNATIONAL BUREAU OF WIPO/ BUREAU INTERNATIONAL DE L'OMPI

- Mr. F.A. SVIRIDOV, Deputy Director General
- Mr. P. CLAUS, Director, Patent Information Division
- Mr. P.A. HIGHAM, Senior Patent Information Officer, Patent Information Division
- Mr. R. ANDARY, Technical Officer, General Patent Information Section, Patent Information Division
- Mr. V. ROSLOV, Technical Officer, General Patent Information Section, Patent Information Division

[Annex II follows/Annexe II suit]

PCT/CTC/II/4 ANNEX II

AGENDA

- 1. Opening of the session by the Chairman of the Permanent Committee on Patent Information (PCPI)
- 2. Adoption of the agenda of the session
- 3. PCT Minimum Documentation "Patents" (document PCT/CTC/II/2):
 - (a) Inventory 1920 to date (Project file PCPI/P 39/79)
 - (b) Gaps in ISAs' files (Project file PCPI/P 40/79)
 - (c) According to PCT Rule 34.1(c) (vi) (Project file PCPI/P 41/79 Rev.1)
 - (d) English-language abstracts (Project file PCPI/P 42/79 Rev.1)
- 4. PCT Minimum Documentation "Non-patent Literature" (document PCT/CTC/II/2):
 - (a) List of periodicals (Project file PCPI/P 43/79 Rev.2)
 - (b) Selection of relevant articles (Project file PCPI/P 44/79 Rev.1)
- 5. UNIDO proposal for the creation of an international patent examination center
- 6. Next session
- 7: Adoption of the report of the session

[End of Annex II and of document]