

WIPO's 6th Conversation on Frontier Technologies: AI-Based Innovations

OUTLINE:

- TÜRKPATENT'S APPROACH TO AI-BASED INVENTIONS
- TÜRKPATENT'S ACTIVITIES TO FOSTER AI-BASED INNOVATIONS
- THE CURRENT STATE OF THE AI-BASED PATENTS IN TÜRKİYE

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TÜRKPATENT'S APPROACH TO AI-BASED INVENTIONS



- The main goal of most AI techniques are regression, classification, clustering, and dimensionality reduction that are considered **abstract** in their nature. The fact that they are trained does not alter the notion.
- Despite sounding technical, the terms such as **SVM**, **ANN** represent abstract models lacking technical character. Hence, they cannot stand as subject-matter.

Therefore; AI applications contribute the technical character of the invention, **if**

- ✓ They serve a certain technical purpose
- ✓ They are used in a specific technical implementation

TÜRKPATENT'S APPROACH TO AI-BASED INVENTIONS



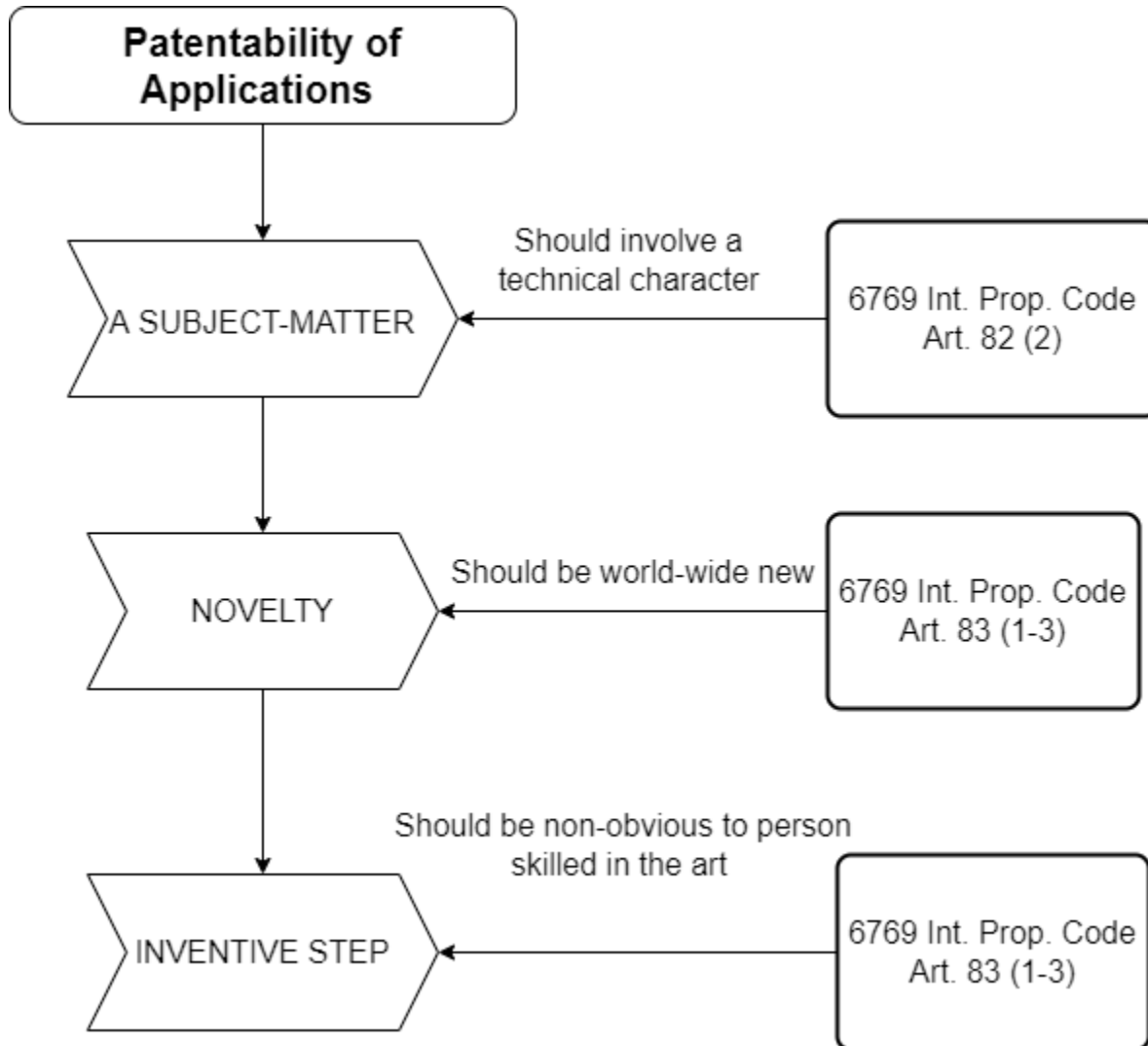
Subjects Including Technical Purpose

- A heart-rate monitoring device that utilizes **ANN** to identify cardiac arrhythmia
- Classification of digital images based on low-level features such as pixels

Subjects Including Non-Technical Purpose

- Classification of corpus based solely on text content
- ANN formed to represent recommendations

TÜRK PATENT'S APPROACH TO AI-BASED INVENTIONS



Given that an invention is applicable to the industry, it should meet the criteria shown in the flow-diagram.

TÜRKPATENT'S APPROACH TO AI-BASED INVENTIONS



A severe obstacle observed in the AI-Related Patent Applications is **insufficient disclosure**.

- If an AI model is trained for a specific technical purpose, the skilled person is likely to need clear information about how the model is trained.
- The skilled person is likely to need training information of the implemented AI model.
- To satisfy the sufficiency of the disclosure, it would be quite effective to disclose the weights of the trained model.
- *I/O Data, Test Results, Experimental Data*

TÜRKPATENT'S ACTIVITIES TO FOSTER AI-BASED INNOVATIONS



In 2020, TÜRKPATENT in collaboration with Presidency of Turkish Republic prepared a report expressing the measures to be taken for the emerging technology fields.

Those fields included but not limited to:

- Internet Of Things*
- Cloud Computing*
- Big Data and Data Mining*
- AI*
- AI and Trademark Law*
- AI and Industrial Designs*
- Blockchain Technology*

TÜRKPATENT'S ACTIVITIES TO FOSTER AI-BASED INNOVATIONS



The report comprises many subsections including the approaches of the national office, EPO and other PTOs to the AI-based invention in terms of:

- Debate on the sufficiency of disclosure
- Decisions reached for specific claim configurations featuring AI based techniques.
- AI as the inventor
- Machine skilled in the art
- Relationship with AI and IP

TÜRKPATENT'S ACTIVITIES TO FOSTER AI-BASED INNOVATIONS



- ❖ TÜRKPATENT is building its own guide to tackle the issues that AI-based patent applications contain.
- ❖ The guide includes implied recommendations to the applicants and the examiners.
- ❖ TÜRKPATENT is one of the leading participant and contributor of «**National AI Strategy**» initiated by Minister of Industry and Technology
- ❖ The guide aims to draw an easy-to-understand line between the patentable AI-based inventions and the unpatentable ones through the examples and instances.

TÜRKPATENT'S ACTIVITIES TO FOSTER AI-BASED INNOVATIONS



The Office organizes and participates meetings, training sessions, Q & A sessions with TTOs, incubation centers, corporate firms, SMEs to transmit expert-level information about the patentability of the AI related inventions.

The Office participates the national/international organizations either in person or online to track the recent developments in the field.

TÜRKPATENT has also been a contributing actor of incentive protocols to provide more people to file their inventions without having financial burden.

THE CURRENT STATE OF THE AI-BASED PATENTS IN TÜRKİYE



Now, we will discuss the current situation of the AI-based patent applications in Türkiye.

Data retrieved from the open database Turkish Patent and Trademark Office comprises 543 **published** patent applications filed after 2017 and published before August 2022.

Relevant keyword search was performed in patent abstracts to obtain AI-based patent. Baseline keywords include:

AI, ANN, CNN, machine learning, logistic regression etc.

THE CURRENT STATE OF THE AI-BASED PATENTS IN TÜRKİYE



The wordcloud displays the most frequent terms in the patent abstracts in **red** and **orange**, which read as:



- **artificial**
- **data**
- **system**
- **intelligence**
- **image**
- **analysis**
- **model**
- **detection**

THE CURRENT STATE OF THE AI-BASED PATENTS IN TÜRKİYE

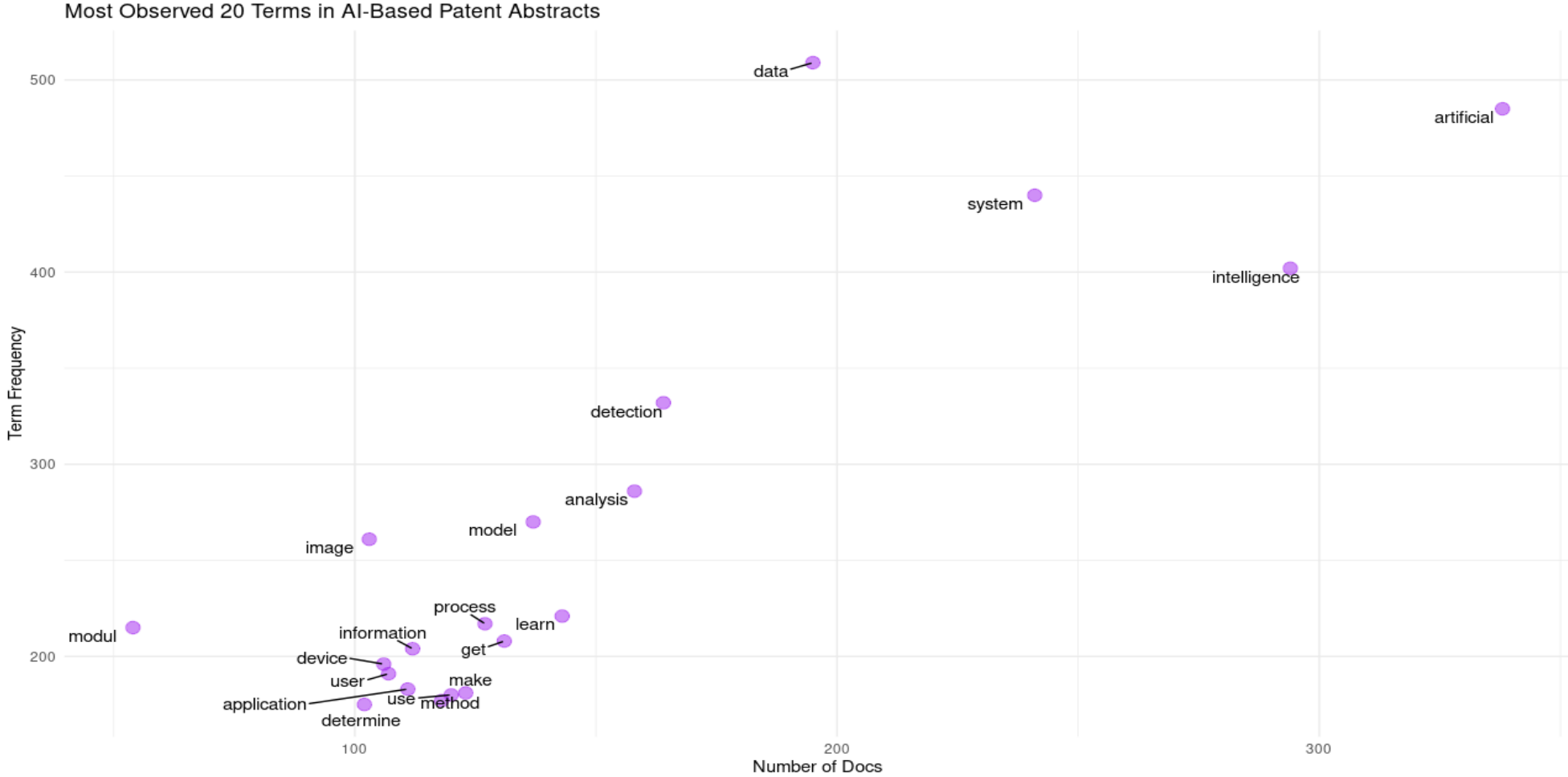


Figure: Corresponding Most Observed 20 Terms in abstracts according to their term frequency.

THE CURRENT STATE OF THE AI-BASED PATENTS IN TÜRKİYE

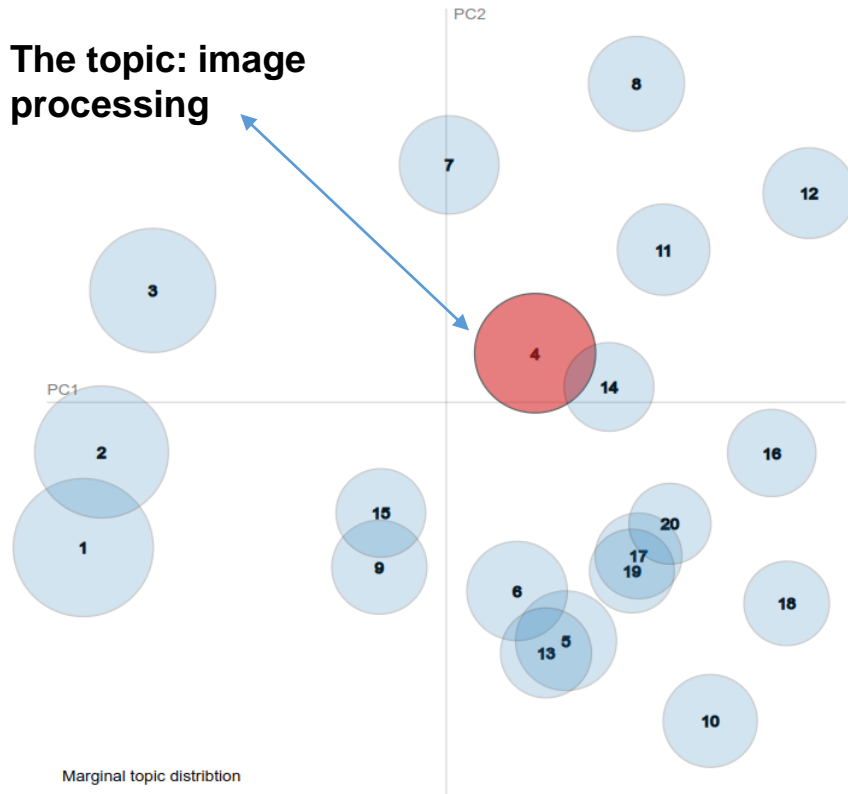
Selected Topic:

Slide to adjust relevance metric:⁽²⁾

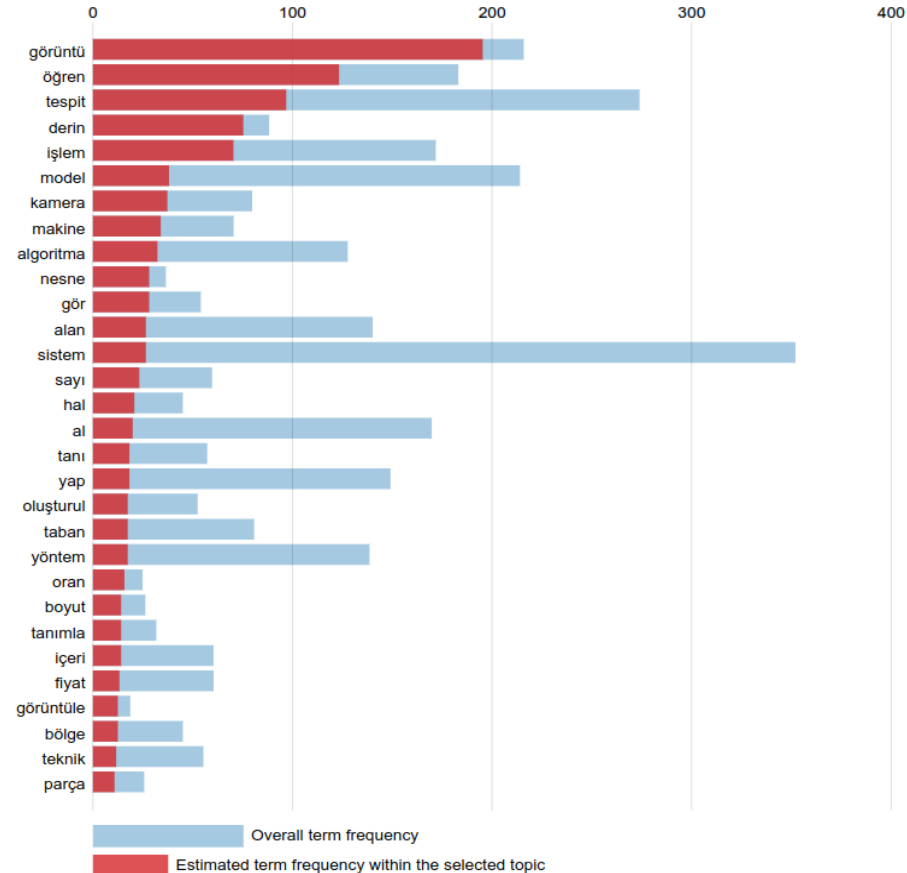
$\lambda = 1$

0.0 0.2 0.4 0.6 0.8 1.0

Intertopic Distance Map (via multidimensional scaling)



Top-30 Most Relevant Terms for Topic 4 (7.2% of tokens)



Marginal topic distribution



1. saliency(term w) = frequency(w) * [sum_t p(t | w) * log(p(t | w)/p(t))]; see Chuang et. al (2012)

2. relevance(term w | topic t) = $\lambda * p(w | t) + (1 - \lambda) * p(w | t)/p(w)$; see Sievert & Shirley (2014)

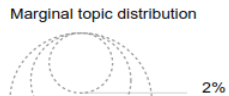
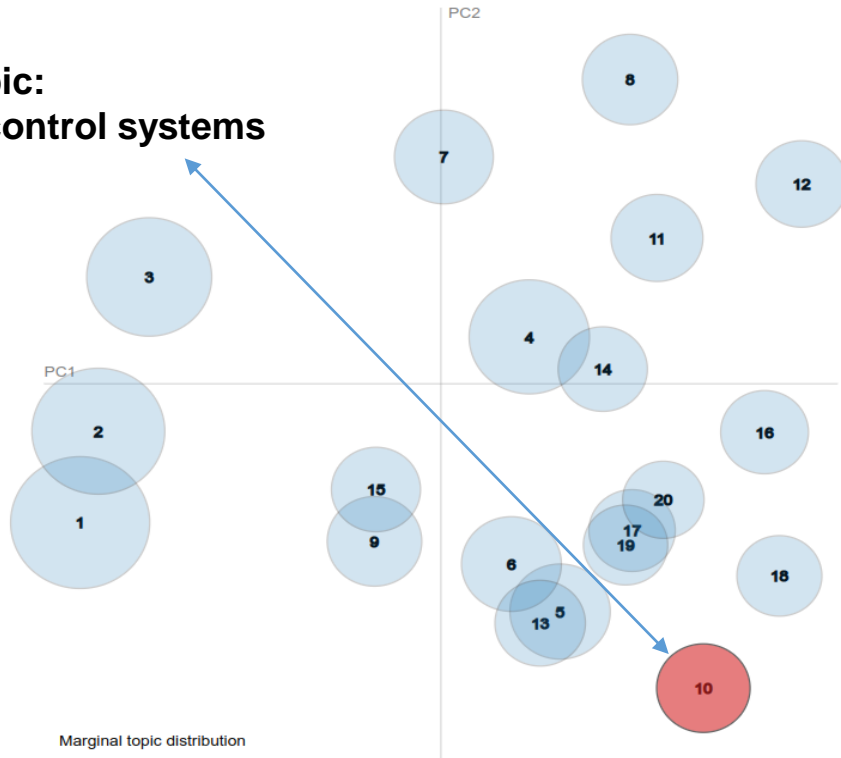
THE CURRENT STATE OF THE AI-BASED PATENTS IN TÜRKİYE

Selected Topic: Previous Topic Next Topic Clear Topic

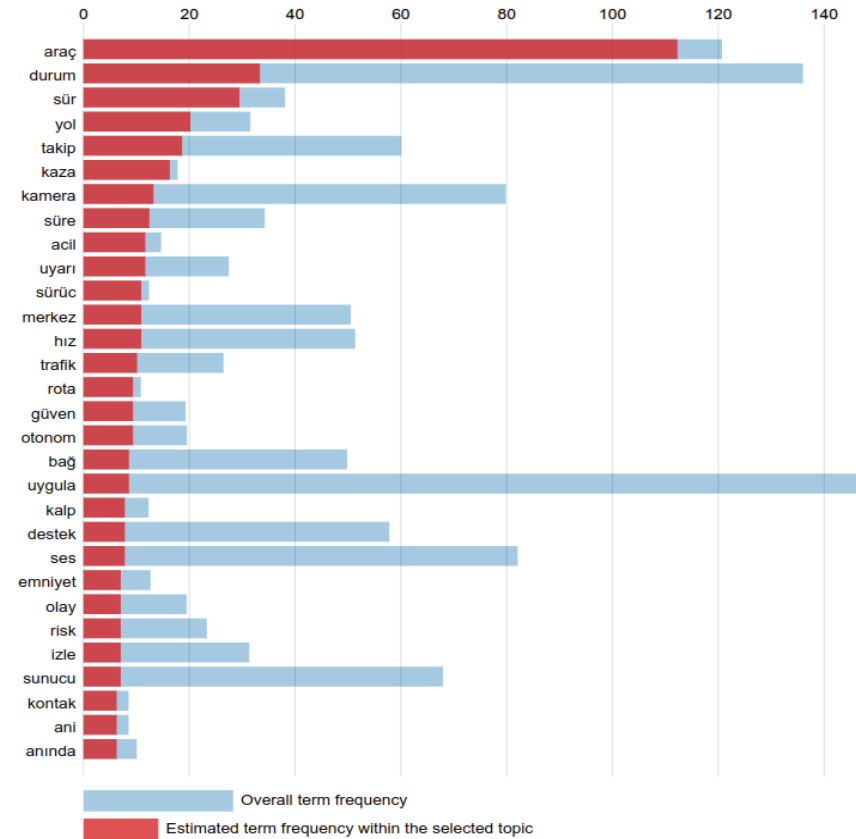
Slide to adjust relevance metric:⁽²⁾ $\lambda = 1$

Intertopic Distance Map (via multidimensional scaling)

The topic:
traffic control systems



Top-30 Most Relevant Terms for Topic 10 (4.4% of tokens)



THE CURRENT STATE OF THE AI-BASED PATENTS IN TÜRKİYE



Yapay Zeka Tabanlı Patentler: En Çok Gözlenen IPCler

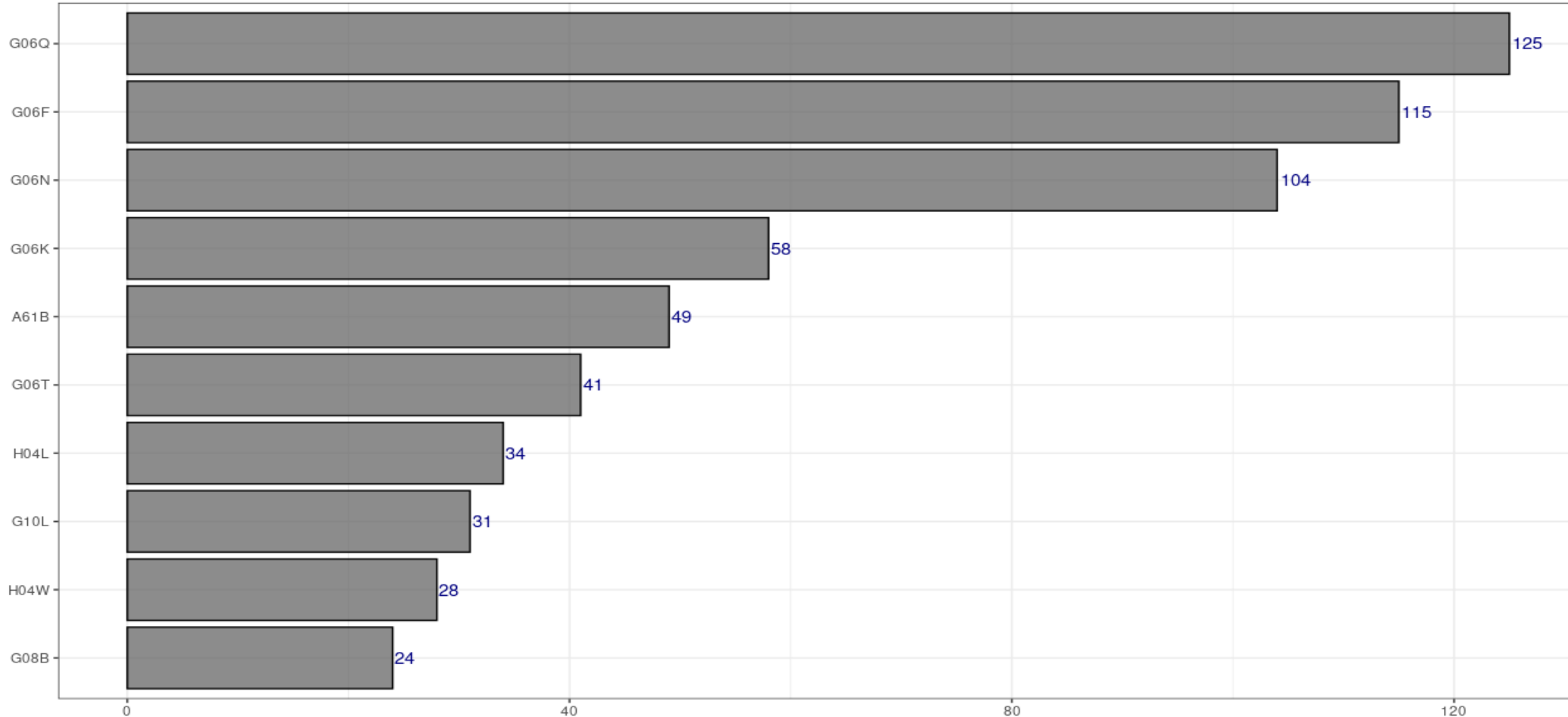


Figure: IPC subclass distribution of the dataset. Each subclass is counted if an application contains multiple IPC subclasses.