

COMMITTEE ON DEVELOPMENT AND INTELLECTUAL PROPERTY (CDIP)

Sixth Session

Geneva, November 22 to 26, 2010

PROGRESS REPORTS ON DEVELOPMENT AGENDA PROJECTS

Prepared by the Secretariat

1. The Annexes to this document contain progress reports on the following Development Agenda projects:
 - (a) Conference on Mobilizing Resources for Development;
 - (b) Intellectual Property (IP) Technical Assistance Database (IP-TAD);
 - (c) Specialized Databases' Access and Support;
 - (d) IP Development Matchmaking Database (IP-DMD);
 - (e) A Pilot Project for the Establishment of "Start-Up" National IP Academies;
 - (f) Smart IP Institutions Project: The Deployment of Components and Business Solutions Customized for Modernizing IP Infrastructure of National and Regional IP Institutions;
 - (g) Innovation and Technology Transfer Support Structure for National Institutions;

- (h) Strengthening the Capacity of National IP Governmental and Stakeholder Institutions to Manage, Monitor and Promote Creative Industries, and to Enhance the Performance and Network of Copyright Collective Management Organizations;
- (i) Improvement of National, Sub Regional and Regional IP Institutional and User Capacity;
- (j) Intellectual Property and the Public Domain;
- (k) IP and Competition Policy;
- (l) IP, Information and Communication Technologies (ICTs), the Digital Divide and Access to Knowledge;
- (m) Developing Tools for Access to Patent Information;
- (n) Project on Enhancement of WIPO's Results-Based Management (RBM) Framework to Support the Monitoring and Evaluation of Development Activities.

2. *The Committee is invited to take note of the information contained in the Annexes to this document.*

[Annexes follow]

PROGRESS REPORT ON PROJECT FOR RECOMMENDATION 2

PROJECT SUMMARY	
Project Code:	DA_02_01
Title:	Conference on “Mobilizing Resources for Development”
Development Agenda Recommendation:	<p>Recommendation 2: Provide additional assistance to WIPO through donor funding, and establish Trust-Funds or other voluntary funds within WIPO specifically for least developed countries (LDCs), while continuing to accord high priority to finance activities in Africa through budgetary and extra-budgetary resources, to promote, <i>inter alia</i>, the legal, commercial, cultural, and economic exploitation of intellectual property (IP) in these countries.</p> <p>(This is the main recommendation to be addressed. However, a successful outcome to the Conference would also support WIPO’s technical assistance and capacity building work and Development Agenda Recommendations under Cluster A as well as addressing issues related to Recommendations 9 and 43.)</p>
Project Budget:	Non-personnel costs: Sfr.240,000
Project Start Date:	April 2009
Project Duration:	10 months
Key WIPO Sectors Involved and Links to WIPO Programs;	<p>Global Issues Sector and Development Sector</p> <p>Primarily Programs: 9, 10, 11 and 20.</p> <p>As the objective is to mobilize resources for development, a number of other WIPO Programs which undertake activities to support developing countries may also be beneficiaries: Programs: 1, 2, 3, 4, 14, 15, 16 and 17.</p>
Brief Description of Project:	<p>The purpose of the project is to convene a conference aimed at providing additional extrabudgetary resources to WIPO for its work to help developing countries benefit from the IP system and to seek to establish Trust Funds or other voluntary funds specifically for LDCs, while continuing to accord high priority to finance activities in Africa. The project will comprise 3 main phases: (i) consultation meetings to develop the conference concept and agenda (as well as to consider the feasibility of establishing a WIPO FIT for LDCs); (ii) promotion of the Conference to key constituents (iii) organizational and logistical arrangements and convening of Conference.</p>

Project Manager:	Mr. Joseph Bradley
Links to Expected Results in the Program and Budget 2010/11:	Strategic Goal VIII, Program 20: “An increase in resources available to WIPO, either through direct contributions and managed as Funds in Trust, or through access to existing external funding modalities to support its technical assistance and capacity building work in developing countries”.
Progress on Project:	<p>The project has been completed and the shorter term “outputs” as described in the approved project document have been successfully achieved. The Conference was held on time and within budget. The preparatory process was inclusive and this was reflected in the Conference agenda. An oral report was provided to CDIP 4 which was also published on the WIPO website (http://www.wipo.int/export/sites/www/ip-development/en/agenda/projects/pdf/donor_conf_oral_report_to_cdip4.pdf).</p> <p>The longer-term approved project “objective” is to be assessed on the basis of information to be made available in 2012 in the Financial Management Report 2010/11 and the Program Performance report 2010/11. The project objectives and indicators and the Conference follow-up activities have been mainstreamed into the approved Program and Budget 2010/11.</p>
Examples of Success/Impact and Key Lessons:	<p>Consultation with Member States throughout the preparatory process was of critical importance to ensure that the Conference Program best met everyone’s needs. A great deal of learning was achieved through consultations with donors, in terms of developing a greater understanding of the way they work and how to optimize prospects for resource mobilization. This was put into practice in both the Conference Program design and is guiding the follow-up activities. Outreach to donors supported their engagement, involvement and participation in the Conference. Attendance by the donor community at the Conference may have been further improved, if there had been additional outreach. This is now being addressed in the follow-up.</p>
Risks and Mitigation:	<p>Risks related to the organization of the Conference (as identified in the project document) were successfully mitigated by an inclusive preparatory process. However, risks related to the successful mobilization of resources remain. Implementation of the Conference follow-up activities are aimed at increasing the prospects for resource mobilization by acknowledging and applying the lessons learned through consultations with donors.</p>
Issues Requiring Immediate Support/Attention:	None
The Way Forward:	<p>The Oral Report to CDIP 4, as published on the WIPO website, lists the various project follow-up activities which have been mainstreamed into the Program and Budget 2010/11, with appropriate resources for implementation.</p>
Implementation Timeline:	<p>The project was completed on time (Project start date: January 2009; Project end date: November 2009).</p>
Project Implementation Rate:	<p>Budget utilization rate as per end of August 2010: 82.5%.</p>
Previous Reports:	<p>A report for this project was submitted in November 2009 for the fourth session of the CDIP (see document CDIP/4/2 Annex I).</p>

PROJECT SELF-EVALUATION

Key to Traffic Light System (TLS)

****	***	**	NP	NA
Fully achieved	Strong progress	Some progress	No progress	Not yet assessed/discontinued

Project Outputs ¹ (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
Conference prepared and organized.	Concept paper completed by time of CDIP meeting in April, 2009, which reflects the consultation process, meets the needs and reflects the views of Member States and contributes to a successful Conference	A concept paper was prepared and distributed to member States together with a draft Program. Both these documents reflected extensive consultations and briefing sessions with Member States.	****
	Progress report to CDIP on the consultation process indicating that level of support for the Conference and likelihood of successful outcome.	An oral progress report was provided to Member States on the preparations for the Conference. In addition to this, several briefing sessions were held, including during the WIPO Assemblies to update Member States on progress in the preparations.	****
	Conference Agenda developed through broad consultative process which reflects the view and interests of all Member States and contributes to a successful conference.	The Conference Agenda and the list of speakers were developed as part of a broad consultative process. This process endeavored to ensure that all interests were accommodated in the final version of the Program.	****
	Conference convened according to schedule (before end 2009) and within budget.	The Conference took place on schedule. The project was within the allocated budget. Overall project expenditure was Swiss francs 198,000. The project budget was Swiss francs 240,000, representing a saving of Swiss francs 42,000.	****
	Post Conference report to CDIP in November 2009 indicates positive prospects for additional donor resources as a result of the	The Conference enabled a greater understanding of how to access donor funding for IP projects and raised awareness among the donor community on IP and development.	****

¹ As per the original Project Document, Section 3.2.

Project Outputs ¹ (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
	Conference.	A number of contacts and ideas resulting from the Conference have now been incorporated in the follow-up activities. See below for further information.	

Project Objective(s)	Indicators of Success in Achieving Project Objective(s) (Outcome Indicators)	Performance Data	TLS
An increase in resources available to WIPO, either through direct contributions, or through access to existing funding modalities to support its technical assistance and capacity building work in developing countries.	<p>An increase in funds available through Fund-in-Trust arrangements.</p> <p>Baseline: The baseline will be the amount of income administered as FITs as reported in the Financial Management Report 2008/09.</p> <p>Target: 20% increase by end 2010/11.</p>	To be assessed in 2012 based on relevant information available in the Financial Management Report 2010/11 and Program Performance Report 2010/11.	NA
	<p>WIPO projects funded through existing external funding modalities.</p> <p>Baseline: Nil.</p> <p>Target: The Secretariat will seek to support Member States access such resources for at least 5 projects by the end of the 2010/11 biennium.</p>	<p>The baseline and target have been updated following the Conference, as described in the project document.</p> <p>To be assessed in 2012 based on relevant information available in the Financial Management Report 2010/11 and Program Performance Report 2010/11.</p>	NA
	<p>Increase in the number of donors to WIPO from all sources.</p> <p>Baseline: Currently 9 donors.</p> <p>Target: 12 by end 2010/11.</p>	To be assessed in 2012 based on relevant information available in the Financial Management Report 2010/11 and Program Performance Report 2010/11.	NA
The establishment of Funds-in-Trust or other voluntary funds within WIPO specifically for LDCs, while continuing to accord high priority to finance activities in Africa.	<p>Establishment of WIPO multi-donor FIT LDC.</p> <p>Baseline: Does not exist at present.</p> <p>Target: FIT LDC established with resources in excess of Swiss francs 1 million by end 2010/11.</p>	To be assessed in 2012 based on relevant information available in the Financial Management Report 2010/11 and Program Performance Report 2010/11.	NA

Project Objective(s)	Indicators of Success in Achieving Project Objective(s) (Outcome Indicators)	Performance Data	TLS
	WIPO projects in LDCs and Africa funded through existing external funding modalities, such as the Enhanced Integrated Framework and UN Multi-donor Trust Funds.	To be assessed in 2012 based on relevant information available in the Financial Management Report 2010/11 and Program Performance Report 2010/11.	NA

[Annex II follows]

PROGRESS REPORT ON PROJECT FOR RECOMMENDATION 5

PROJECT SUMMARY	
Project Code:	DA_05_01
Title:	IP Technical Assistance Database (IP-TAD)
Development Agenda Recommendation:	<p>Recommendation 5: WIPO shall display general information on all technical assistance activities on its website, and shall provide, on request from Member States, details of specific activities, with the consent of the Member State(s) and other recipients concerned, for which the activity was implemented.</p> <p>This project is linked to Recommendations 6 and 9.</p>
Project Budget:	<p>Non-personnel costs: Sfr.300,000 Additional personnel costs: Sfr.490,000</p>
Project Start Date:	April 2009
Project Duration:	12 months
Key WIPO Sectors Involved and Links to WIPO Programs:	<p>Development Sector, Global Issues Sector, Innovation and Technology Sector, Global Infrastructure Sector, Brands and Designs Sector, Culture and Creative Industries Sector and Administration and Management Sector.</p> <p>Links to WIPO Programs 1, 2, 3, 4, 9, 10, 11, 14, 15, 20 and 25.</p>
Brief Description of Project:	<p>It is proposed to design and develop a consolidated database, with supporting software, for all technical assistance activities and to update the same regularly. General information will be available on the WIPO website, while more detailed information will be made available on specific activities, based on appropriate authorizations. The new database will build on the existing information already provided by WIPO (http://www.wipo.int/export/sites/www/ip-development/en/pdf/wipo_eds_inf_1_rev.pdf) on its technical assistance activities. Information will be available on names of donors, consultants and project costs (with the appropriate authorizations). The project will be guided by the principle of transparency and donors and recipients will be encouraged to authorize WIPO to provide as much information as possible on technical assistance activities. The database will be linked to other relevant databases within WIPO.</p> <p>The system will be built using Internet-based technologies and open source software or software already licensed to WIPO, thus incurring no additional costs in this respect.</p>

Project Manager:	Mr. Allan Roach
Links to Expected Results in the Program and Budget 2010/11:	<p>Strategic Goal III, Program 9: Enhanced capacity and knowledge of policy makers, government officials, IP professionals and practitioners, IP owners and user community on effective use of IP for development.</p> <p>Strategic Goal III, Program 10: Enhanced capacity of IP Professionals.</p> <p>Strategic Goal III, Program 11: Upgraded and enhanced knowledge and skills of staff of IP offices and other stakeholders in developing countries.</p> <p>Strategic Goal III, Program 11: Enhanced networks and efficiency of international cooperation for IP training and education among Member States.</p> <p>Strategic Goal VI, Program 14: Improved access to information resources generated by IP systems.</p>
Progress on Project:	<p>A new computer system known as the Development Sector System (DSS) was released into production on July 1, 2010, and has been available since September 2010. It is a fully integrated system comprising :</p> <ul style="list-style-type: none"> – The IP Development Activities System (IP – DAS) (Recommendation 5) – The WIPO Roster of Consultants (ROC) (Recommendation 6) <p>The DSS is an internet web based system that meets all the measurable objectives and expected results identified in the project document. The system can be accessed at http://www.wipo.int/dss.</p> <p>WIPO is in the stage of populating the database with information related to technical assistance activities and consultants (from all WIPO Sectors), thus achieving an integrated technical assistance database and a Roster of Consultants.</p> <p>The system interface still needs to be translated into French and Spanish.</p>
Examples of Success/Impact and Key Lessons:	<p>Key Lessons</p> <p>One of the key lessons in implementing this system is that seldom do IT systems function in isolation. It is important to fully understand what data is already available within the Organization and to avoid duplication to the maximum extent possible.</p> <p>New modules developed for WIPO's financial system (AIMS) to ensure its compliance with the Financial Rules and regulations and the International Public Sector Accounting Standards (the FRR/IPSAS project) came on-line in parallel to this project and there is currently duplication in some of the data captured in the 2 systems. Hence an interface between the systems needs to be developed to avoid this duplication as well as ensure the integrity of the data. This work was not foreseen within the original project specification but the work will be accomplished within the original budget envelope mainly due to the fact that both systems operate within the same WIPO standard technical framework.</p> <p>The time taken to identify and recruit/redeploy human resources for this project exceeded the initial time-lines.</p>

	<p>Success Factors</p> <p>The successful integration of the system with other WIPO corporate systems will be greatly simplified by the fact that the technical platform (software and database) underpinning DSS is the same as AIMS. This decision was part of the initial project delivery strategy aimed at reducing costs with regard to licensing, ensuring the technical viability and hence the long-term sustainability of the project.</p> <p>The Project used the opportunity to implement Development Agenda Recommendation 6 which resulted in a much better overall result for the Organization and Member States due to the integration of the information and data held in the system.</p> <p>The involvement of Focal points throughout the project has resulted in a user-friendly system that has achieved wide acceptance amongst the user community.</p>
<p>Risks and Mitigation:</p>	<p>If the DSS is not updated then it would become ineffective and outdated so this responsibility has been decentralized to focal points who have been appointed and trained throughout the Organization in all areas that carry out technical assistance activities.</p> <p>Designated staff within the Development Sector has been assigned to maintain this system.</p>
<p>Issues Requiring Immediate Support/Attention:</p>	<p>To avoid unnecessary duplication of the data entry and to ensure the integrity of the data there is an urgent need to create an interface between DSS, AIMS and E-Work.</p>
<p>The Way Forward:</p>	<p>To further enhance the DSS software based on the feedback of Member States WIPO focal persons and internal/external users.</p> <p>To develop the interface to other WIPO corporate systems.</p> <p>Further develop the reporting and statistical functionality.</p> <p>Translate the system interface (screens) into French and Spanish.</p>
<p>Implementation Timeline:</p>	<p>Recommendation 5 (which now incorporates Recommendation 6) has been completed 3 months behind the original schedule for Recommendation 5.</p> <p>The inter-dependence between Development Agenda recommendations 5 and 6 meant that the project scope had to be altered and additional programming was needed to ensure that the expected outputs could be achieved. For example, seeing the link between technical assistance activities and WIPO Experts that undertook those activities and being able to cross-reference and evaluate this information. This integration caused a 3 month delay in the final delivery of the system.</p> <p>The post-implementation review period will be extended by 6 months and sufficient resources allocated to allow for the development of the system interface mentioned above in the section <i>Issues Requiring Immediate Attention</i>. This work can be accomplished within the original project budget due to the reduced resource level during this period.</p>
<p>Project Implementation Rate:</p>	<p>Budget utilization rate as per end of August 2010: 85.4%.</p>
<p>Previous Reports:</p>	<p>A report for this project was submitted in November 2009 for the fourth session of the CDIP (see document CDIP/4/2 Annex II).</p>

PROJECT SELF-EVALUATION

Key to Traffic Light System (TLS)

****	***	**	NP	NA
Fully achieved	Strong progress	Some progress	No progress	Not yet assessed/discontinued

Project Outputs ² (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
Software meets user functional specifications.	Software stable and free from errors and bugs.	System completely stable No bugs identified.	***
	Software performs to acceptable levels in terms of response time when retrieving data.	Achieving sub-second responses to complex searches. Other system functions perform as expected.	***
	Target for retrievals: Sub-second for each query.	Achieved even for complex queries and searches	***
Database functional.	Database able to store and retrieve data as specified and the data retrieved should be accurate and complete.	All specified data is stored and retrieved accurately.	***
	Database performance acceptable in terms of availability and retrieval times. Target for availability: 99.9% 7 days per week Target for retrievals: Sub-second for each query	Database availability is > 99.9% 7 days a week. Searches/queries have sub-second response times.	***
Institutional knowledge of all technical assistance activities available for use by WIPO and other interested parties.	Data accessible as specified to both internal and external users.	Internal Users access the data via the WIPO intranet and external users access the data via the WIPO public web-site.	***
	Data kept up to date at regular intervals is relevant and complete.	Data is regularly captured into the system. New features will be added during the post-implementation stage to capture certain data from other WIPO corporate IT systems as part of the activity	***

² As per the original Project Document, Section 3.2.

Project Outputs² (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
		workflow.	
Security and Confidentiality ensured.	Access to Data meets WIPO security requirements as well as any additional security/confidentiality requirements as specified.	System has been cleared by the WIPO IT Security Section prior to release.	***

Project Objective(s)	Indicators of Success in Achieving Project Objective(s) (Outcome Indicators)	Performance Data	TLS
Institutional knowledge of all technical assistance activities will be available for use by WIPO and other interested parties for designing and implementing future technical assistance activities.	New technical assistance (TA) activities systematically cross-refer to the experience made and lessons learnt in other WIPO TA activities, including good practice, and where possible, taken into account when planning and designing new activities.	Information can be retrieved by a number of different criteria which enables access to past activity data for similar or related technical assistance activities. The database is capable of storing and retrieving any documents related to a particular activity such as evaluation reports, progress reports, lessons learnt etc.	***
Information on performance and results achieved will be made available and can be used when designing future technical assistance activities. Lessons learned will provide valuable insights in this respect and they will be readily available to all stakeholders in future activities.	Database well used by WIPO internal users.	Any authorized WIPO staff member may have full access to the database which can contain any type of information related to an activity e.g. evaluations, reports and can use that information when planning future technical assistance activities.	***
Transparency of technical assistance activities will be in keeping with best practices of other technical assistance providers and has been requested by Member States.	The data contains sufficient detail on technical assistance activities to satisfy the needs of all stakeholders, in particular Member States.	The database contains as much detail as possible on all WIPO technical assistance activities and this will be in line with best practices of other technical assistance providers and the need for transparency requested by Member States.	***

Project Objective(s)	Indicators of Success in Achieving Project Objective(s) (Outcome Indicators)	Performance Data	TLS
	Database well used by external users.		NA

[Annex III follows]

PROGRESS REPORT ON PROJECT FOR RECOMMENDATION 8

PROJECT SUMMARY	
Project Code:	DA_08_01
Title:	Specialized Databases' Access and Support
Development Agenda Recommendation:	Recommendation 8: request WIPO to develop agreements with research institutions and with private enterprises with a view to facilitating the national offices of developing countries, especially LDCs, as well as their regional and sub-regional IP organizations to access specialized databases for the purposes of patent searches.
Project Budget:	Non-personnel costs: Sfr. 1,874,000
Project Start Date:	April 2009
Project Duration:	36 months
Key WIPO Sectors Involved and Links to WIPO Programs:	Global Infrastructure Sector and Development Sector Links to WIPO Programs 9, 14 and 15.
Brief Description of Project:	<p>The project aims to facilitate access to technological knowledge for users in developing countries, especially LDCs, and their regional and sub-regional IP organizations so as to effectively exploit it and, thereby, stimulate innovation and economic growth.</p> <p>The provision of specialized databases access will enable institutional users, in particular patent offices, to carry out more effective patent searches so as to identify known prior art and limit the scope of granted patents where necessary.</p> <p>In order to achieve these aims, the project will implement the following:</p> <ul style="list-style-type: none"> — Carry out and take into consideration the results of a needs analysis and database review study; — Provide access to specialized patent and non-patent databases for use by intellectual property offices and the public; — Enable and support effective use of these databases by assisting countries in establishing local support in the form of Technology and Innovation Support Centers, together with a corresponding network; and — Provide training and awareness-raising support for the staff of these centers and IPOs, as well as the general public.

Project Manager:	Mr. Alejandro Roca Campaña and Mr. Andrew Czajkowski
Links to Expected Results in the Program and Budget 2010/11:	<p>Strategic Goal III, Program 9: Enhanced capacity and knowledge of policy makers, government officials, IP professionals and practitioners, IP owners and user community on effective use of IP for development.</p> <p>Strategic Goal IV, Program 14: Improved access to information resources generated by IP systems.</p>
Progress on Project:	<ol style="list-style-type: none"> 1. Needs analysis and database review study <p>A Study Paper has been prepared that reviews the most important patent and non-patent databases, and provides conclusions regarding these databases for use by developing countries and LDCs based on a needs analysis of patent offices and local users; it has been published for the sixth session of the CDIP. Additionally, a more technically detailed "Guide to Databases" has been developed based on the Study Paper and is available for both Intellectual Property Offices and users in general on the WIPO website.</p> 2. Access to specialized scientific and technical journal databases <p>WIPO's "Access to Research for Development and Innovation" (aRD<i>i</i>) program was launched in July 2009, providing access to scientific and technical journals for LDCs for free and for certain developing countries in agreement with publishers for a very low cost. Three new journals were added since the launch, bringing the total number of journals to around 50, with a regular subscription cost exceeding 400,000 USD.</p> 3. Access to specialized patent databases <p>WIPO's "Access to Specialized Patent Information" (ASPI) program was launched in September 2010, providing access to commercial patent databases to LDCs for free and to certain developing countries for a sharply reduced cost (following the model of aRD<i>i</i>). Within the framework of this program, six leading patent database providers (LexisNexis, Minesoft, Proquest, Thomson Reuters, Questel, and WIPS) make available their respective flagship database products.</p> 4. Establishment of Technology and Innovation Support Centers (TISCs) <p>Technology and Innovation Support Centers (TISCs) allow local stakeholders to benefit effectively from increased accessibility to the above mentioned databases.</p> <p>Assessment missions were carried out in 25 countries in preparation for the establishment or development of TISCs in these countries. Within the framework of these assessment missions, information has been gathered from intellectual property offices (IPOs) and local stakeholders on national priorities relating to technology and innovation support and capacity building needs. Additionally, these missions have been combined with training and awareness-raising activities (see point 5 below).</p> <p>Service Level Agreements (SLAs), providing the framework for activities to be carried out in establishing or developing TISCs, have been concluded with four countries in the first half of 2010. A first set of comprehensive training sessions were provided to TISC staff in these countries (see point 5 below for further details) and means of developing and maintaining national TISC networks are being explored.</p>

	<p>5. Training and awareness-raising</p> <p>Effective access to and use of databases by local users, including those made available through the aRDi and ASPI programs (see points 2 and 3 above), is supported by specialized training aimed in particular at TISC staff.</p> <p>Assessment missions (see point 4 above) have incorporated half-day seminars open to various local stakeholders, providing an initial overview on the role of patent information in supporting innovation and describing good practices in establishing innovation support services.</p> <p>Countries having signed SLAs have further benefited from introductory training sessions on technology databases and their effective use, attended by a total of approximately 200 participants from potential TISC host organizations.</p> <p>A regional seminar promoting the TISC project and offering initial training on technology information resources was held in Singapore in May for 32 participants from 23 countries in the Asia-Pacific region and two more regional seminars will be held in Addis Ababa for the benefit of 39 participants from the African Region and in Moscow for 32 participants from certain Countries in Europe and Asia region. These seminars will also provide a platform for the exchange of experiences among countries in establishing and delivering technology and innovation support services.</p>
<p>Examples of Success/Impact and Key Lessons:</p>	<p>Intellectual Property Offices (IPOs) have generally proposed national Technology and Innovation Support Center (TISC) networks comprising academic, research and business institutions and not just a single TISC based in the IPO. The national network is coordinated by the IPO and has led to a broader audience and therefore more efficient training of at least 40 to 50 future TISC staff and stakeholders in all initial training seminars (these numbers are, on average, more than anticipated).</p>
<p>Risks and Mitigation:</p>	<p>The conclusion of Service Level Agreements (SLAs), providing the framework for activities to be carried out in establishing or developing TISCs, has been delayed by the need for extensive preparatory work in developing SLAs in cooperation with target countries adapted to their local needs and capacities as well as the concerns which are of certain countries about their lack of experience in setting up technology information services. To address these issues, regional seminars have been held to enable countries to jointly generate best practices in establishing technology information services.</p> <p>Staff capacity is generally an issue for potential TISCs, but which is effectively mitigated by using existing human resources, even on a part-time basis initially when demand is still likely to be small.</p> <p>The number of institutions participating in the aRDi program remains low; an active promotion campaign, at least for all Least Developed Countries which all have access to aRDi for free, will be undertaken.</p> <p>The number of publications in aRDi has roughly remained the same since launch (only 3 additional journals have been added); the program is waiting for confirmation of an important additional publisher to join, after which the publisher's and other journals can be added in a single batch.</p> <p>Implementation of the current project is restricted by the lack of staff (only non-personnel costs were allocated). Internal redeployment of staff mitigated the initial difficulty but has not provided sustainable solutions. To</p>

	support TISCs launched in several countries, for instance, requires further additional project personnel.
Issues Requiring Immediate Support/Attention:	None.
The Way Forward:	<ol style="list-style-type: none"> 1. Needs analysis and database review study: the "Guide to Databases" (based on the Study Paper prepared for the Committee on Development and IP) will be translated into French and Spanish so as to facilitate their use by IPOs and other institutions and individuals working in these languages. 2. aRD: Awareness-raising activities are foreseen to increase the number of participants in the program, while negotiations with publishers already contributing to the program and with new publishers are ongoing in order to expand the breadth and depth of the non-patent literature content made available through the program. 3. ASPI: The program will be promoted and training within the TISC project provided to eligible users. 4. TISCs: More than 30 other countries have requested to benefit from the project in the short to medium term. Further assessment missions are planned in the next six months. 5. Training and awareness raising: further training and launch events are planned in countries which have signed the SLA. A regional promotional symposium will be held in Moscow in December 2010 for countries from Central & Eastern Europe and the Commonwealth of Independent States (CIS).
Implementation Timeline:	Implementation is generally running on schedule according to the Project document timelines.
Project Implementation Rate:	Budget utilization rate as per end of August 2010: 32.9%.
Previous Reports:	A report for this project was submitted in November 2009 for the fourth session of the CDIP (see document CDIP/4/2 Annex III).

PROJECT SELF-EVALUATION

Key to Traffic Light System (TLS)

****	***	**	NP	NA
Fully achieved	Strong progress	Some progress	No progress	Not yet assessed/discontinued

Project Outputs ³ (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
1. Study Paper drafted.	Completed report delivered - 9 months after commencement of project; Recommendations delivered for providing databases and services for developing countries - 9 months after commencement of project.	Completed report published for CDIP 6 meeting. Recommendations provided within completed Study Paper.	****
2. aRDi website established.	Website created and visible on Internet – 6 months after commencement of project; Website offers: - search functionality (for all users) - access functionality (only for eligible users of IPOs); Over 100 scientific and technical journals within the list of PCT NPL Minimum Documentation will be available initially – 6 months after commencement of project.	Website created at launch. The website offers access functionality; the search functionality is being discussed with IT experts and with our partners in the other UN programs (HINARI, AGORE, OARE) for a coordinated approach to offering this functionality. Over 50 journals are available (only a few more than at launch), but with a sizeable addition of journals expected by the end of this year.	***
3. Provision of specialized patent databases at IPOs.	Proposed in Study Paper, e.g. by means of model contracts for all interested developing countries – 12 months after commencement of project.	WIPO's Access to Specialized Patent Information (ASPI) launched in September 2010.	****

³ As per the original Project Document, Section 3.2.

Project Outputs³ (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
<p>4. Establishment of Technology and Innovation Centers (TISCs) in the developing countries.</p>	<p>Completion of a needs analysis for each new TISC;</p> <p>Completion of basic training for each new TISC;</p> <p>Signing of mutually agreed Service Level Agreement (SLA) between each new TISC and WIPO;</p> <p>Official opening of TISCs' services within four initial pilot projects – 12 months after project start;</p> <p>Official opening of TISCs' services in at least 24 interested countries and institutions that meet minimum conditions as specified in the SLA – 36 months after project start ;</p> <p>Completion of ten roving seminars to train TISC and IPO staff in using and promoting specialized patent and non-patent databases – 36 months after project start.</p>	<p>Assessment missions and needs analysis undertaken to date;</p> <p>Four basic training seminars provided in Algeria, Ecuador, Morocco and Tunisia;</p> <p>8 SLAs signed to date;</p> <p>Four TISC networks launched in Algeria, Ecuador, Morocco and Tunisia;</p> <p>Additional TISC networks planned in the next two years of the project;</p> <p>To improve the effectiveness of the project at this initial stage, the roving seminars have been transformed into regional seminars.</p>	<p>****</p>
<p>5. Specialized patent and non-patent services at WIPO.</p>	<p>Initially proposed to be in the Study Paper.</p>	<p>This is a separate project outside the framework of this specific project and was not reported on in the Study Paper. However, the new WIPO services will be proposed within the same timeframe as originally proposed, i.e. by the end of 2010.</p>	<p>**</p>

Project Objective(s)	Indicators of Success in Achieving Project Objective(s) (Outcome Indicators)	Performance Data	TLS
1. Increased accessibility of technological knowledge for developing countries.	Regular survey of IPOs and TISCs detailing specific databases used and frequency of use; and Number of visits/calls/web-site hits increases at a national level (comparison with statistics prior to implementation).	No data currently available, but it is foreseen to use evaluation forms after every training seminar and to send out questionnaires regarding skills and database usage 6 months thereafter.	NA
2. Increased IPO / TISC capacity to carry out effective patent searches.	Regular survey monitoring use by IPO examiners / TISC staff of specific databases used and frequency of use; and	No data is currently available, but it is foreseen to use evaluation forms after every training seminar and to send out questionnaires regarding skills and database usage 6 months thereafter.	NA
3. Increased capacity by IPOs / TISCs to disseminate technological knowledge.	Broader use of various databases by IPOs and TISCs monitored by regular surveys distributed to TISCs and users regarding specific databases and frequency of their use; An increase in the number of IPO/TISC website hits, visits and calls; and An increase in the number of aRD _i and ASPI website hits.	No data is currently available, but it is foreseen to use evaluation forms after every training seminar and to send out questionnaires regarding skills and database usage 6 months thereafter.	NA
4. Increase in awareness of IPRs.	Increase in the number of website hits/visits/calls to the IPO or TISC increases; and Increase in the number of filed IPRs.	No data is currently available, but it is foreseen to use evaluation forms after every training seminar and to send out questionnaires regarding skills and database usage 6 months thereafter.	NA

[Annex IV follows]

PROGRESS REPORT ON PROJECT FOR RECOMMENDATION 9

PROJECT SUMMARY	
Project Code:	DA_09_01
Title:	IP Development Matchmaking Database (IP-DMD)
Development Agenda Recommendation:	Recommendation 9: Request WIPO to create, in coordination with Member States, a database to match specific IP-related development needs with available resources, thereby expanding the scope of its technical assistance programs, aimed at bridging the digital divide.
Project Budget:	Non-personnel costs: Sfr.190,000 Additional personnel costs: Sfr.490,000
Project Start Date:	April 2009
Project Duration:	12 months
Key WIPO Sectors Involved and Links to WIPO Programs:	Development Sector, Global Issues Sector, Global Infrastructure Sector and Innovation and Technology Sector. Links to WIPO Programs: 9, 10, 11, 14, 15, 20 and 25.
Brief Description of Project:	<p>Develop a database and software to support the CDIP proposal to establish an effective interactive process for assessing the IP-related development needs of countries, in conformity with the Development Agenda recommendations, and setting up match-making and clearing house mechanisms. It is also proposed to establish collaborative mechanisms for regular collection of information on potential donors and partners, as well as their available funds and expertise for WIPO to match with specific needs of Member States. The database will be linked to other relevant databases and to the TACB sites.</p> <p>The system will also be capable of monitoring and assessing progress.</p> <p>The system will be built using Internet based technologies and open source software or software already licensed to WIPO, thus incurring no additional cost in this respect.</p>

Project Manager:	Mr. Allan Roach
Links to Expected Results in the Program and Budget 2010/11:	<p>Strategic Goal VIII, Program 20: An increase in resources available to WIPO, either through direct contributions and managed as Funds-in-Trust, or through access to existing external funding modalities to support its technical assistance and capacity building work in developing countries.</p>
Progress on Project:	<p>The project team has now fully analyzed the work by the NGO Global Hand on behalf of the United Nation's business partnership matchmaking platform (www.business.un.org) to see to what extent, WIPO could learn from other experiences in developing a donor/request matchmaking system. It is to be noted that the functionality of the UN system is limited to only gathering requests and donations and is focused only on private sector partnerships. The UN system does not do any actual matching or reporting. Following this analysis WIPO will develop its own tailored and more focused system. It is noted that WIPO has a presence on the UN Matchmaking site but to date has not received any expressions of interest from donors or requests from potential partners.</p> <p>It is recalled that due to the additional requirements identified during CDIP/3 concerning the Roster of Consultants under Recommendation 6, the Project team is currently focused on the development of the structure and identification of sources of data for the Roster of consultants' database. It is recalled that the above database is a key component of the projects for recommendations 5 and 9, and serves as a foundation for these projects. Accordingly, this work was considered essential.</p> <p>The software development is now scheduled to commence at the start of September 2010 and the first version of the system is projected for release at the end of January 2011. This is a delay of approximately 6 months from the plan given to the CDIP in November 2009 and a delay of 12 months from the original plan. A revised project implementation timeline forms part of this report.</p> <p>Prototype screens have been designed and shared with Users within WIPO.</p>
Examples of Success/Impact and Key Lessons:	<p>Key Lessons As this is a new initiative in WIPO the business rules and procedures that would normally underpin such a system are yet to be defined or approved and if this is not done in a timely manner the full development of the technical solution may be delayed further.</p> <p>Success Factor Designated staff within the Development Sector has been assigned to maintain this system.</p>
Risks and Mitigation:	<p>If the rules and procedures that will govern the operation of the IP Matchmaking system are not defined and approved there is likely to be further delay in the development and release of the system.</p>
Issues Requiring Immediate Support/Attention:	<p>To develop and approve the rules and procedures that will govern this system.</p>

The Way Forward:	To develop the software for the system. Prepare rules and procedures for the operation of the system and get them approved.
Implementation Timeline:	Delayed by a further 6 months. Please see the new planning below.
Project Implementation Rate:	Budget utilization rate as per end of August 2010: 46.7%.
Previous Reports:	A report for this project was submitted in November 2009 for the fourth session of the CDIP (see document CDIP/4/2 Annex IV).

PROJECT SELF-EVALUATION

Key to Traffic Light System (TLS)

****	***	**	NP	NA
Fully achieved	Strong progress	Some progress	No progress	Not yet assessed/discontinued

Project Outputs ⁴ (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
Software meets user functional specifications.	Software stable and free from errors and bugs.		NP
	Software performs to acceptable levels in terms of response time when retrieving data.		NP
	Target for retrievals: Sub-second for each query.		NP
Database functional.	Database able to store and retrieve data as specified and the data retrieved should be accurate and complete.	.	NP
	Database performance acceptable in terms of availability and retrieval times. Target for availability: 99.9% 7 days per week. Target for retrievals: Sub-second for each query.		NP
Institutional knowledge of IP related needs of Member States available for use by WIPO and other interested parties.	Data accessible as specified to both internal and external users.		NP
	Data kept up to date at regular intervals is relevant and complete.		NP
Security and Confidentiality ensured.	Access to Data meets WIPO security requirements as well as any additional security/confidentiality requirements as specified.		NP

⁴ As per the original Project Document, Section 3.2.

Project Outputs⁴ (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
Match-making and clearing house mechanism established and operational.	System can show clearly the links between donors and the activities they are sponsoring.		NP
Information on potential donors or partners and the resources or skills available.	Data will be collected and entered systematically concerning donors and partners and used to match available resources and expertise with the needs of Member States.		NP

Project Objective(s)	Indicators of Success in Achieving Project Objective(s) (Outcome Indicators)	Performance Data	TLS
Institutional documented knowledge of IP related needs of Member States.	Number of Member State profiles contained in the system.		NP
Ability to match activities or projects with potential donors.	Number of successful matches.		NP
Information on potential donors or partners and the resources or expertise available.	Number of potential donors held in the system.		NP
Transparency of technical assistance needs and progress made in addressing those needs.	Information on the status of activities or projects.		NP
	Database should be actively used by internal and external users.		NP

5.

<i>Travel and Fellowships</i>					
Staff Missions					
Third-party Travel					
Fellowships					
<i>Contractual services</i>					
Conferences					
Experts' Honoraria					
Publishing					
Others	119				
<i>Equipment and Supplies</i>					
Equipment					
Supplies and Materials					
TOTAL	119	-	-	-	119

[Annex V follows]

PROGRESS REPORT ON PROJECT FOR RECOMMENDATION 10

PROJECT SUMMARY	
Project Code:	DA_10_01
Title:	A Pilot Project for the Establishment of “Start-Up” National IP Academies
Development Agenda Recommendation:	Recommendation 10: To assist Member States to develop and improve national IP institutional capacity through further development of infrastructure and other facilities with a view to making national intellectual property institutions more efficient and promote a fair balance between IP protection and the public interest. This technical assistance should also be extended to sub-regional and regional organizations dealing with IP.
Project Budget:	Non-personnel costs: Sfr. 480,000 Additional personnel costs: Sfr. 120,000
Project Start Date:	April 2009
Project Duration:	36 months
Key WIPO Sectors Involved and Links to WIPO Programs	Development Sector. Links to WIPO Programs 9 and 11.
Brief Description of Project:	The pilot project of “Start-Up” National IP Academies is intended to test a new model to help developing countries and LDCs to establish an IP training institution with minimum resources to meet their increasing demand from IP specialists, professionals, government officials and other stakeholders.

Project Manager:	Mr. Marcelo Di Pietro
Links to Expected Results in the Program and Budget 2010/11:	Strategic Goal III, Program 11: Strengthened infrastructure for IP education and introducing IP at different academic levels in Member States.
Progress on Project:	<p>The project is still at the preparatory phase.</p> <p>Initially, it has been decided that a pilot country from the ASPAC region would be selected as a receiving country for this project before other countries were contemplated.</p> <p>Nevertheless, due to changes in the national level, the official request from the government of the pilot country to benefit from such initiative was delayed, which led to an overall delay in the implementation of the project.</p> <p>As a result of a new incoming request, a needs assessment mission was conducted to another country in the LAC region, and the situation paper has been sent recently to the receiving country for comments. In this case, the implementation timeline has not been adhered to due to changes in the organizational structure of the Academy.</p> <p>Moreover, a letter of intentions was signed between WIPO and an IP Office from the Arab region for technical assistance aiming at supporting the creation of a national IP Academy, and discussions are being held on further steps to be taken in this regard.</p> <p>As a result of requests received from three countries from the LAC region, an exploratory mission will be organized aiming at assessing the current panorama in IP training and education, so as to prepare situation papers with realistic recommendations and to analyze the possible cooperation from WIPO for the attainment of national objectives of creating national IP Academies in the countries.</p> <p>Discussions are under way aiming at identifying prospective beneficiaries from the program in the African region as well.</p>
Examples of Success/Impact and Key Lessons:	At this early stage of the project, it is not possible to draw any conclusion.
Risks and Mitigation:	Lack of physical and human infrastructure in the Pilot Countries which could ensure sustainable delivery of the project.
Issues Requiring Immediate Support/Attention:	<p>Follow-up actions and continuing consultation with the receiving countries.</p> <p>A number of requests have been received in the recent months. In view of the scope of the project, not all these requests may be met.</p>
The Way Forward:	<p>It is intended to sign cooperation agreements between WIPO and partners so as to clearly identify activities to be held in the framework of the collaboration and the expected input from each partner involved.</p> <p>WIPO will work in close collaboration with experts in IP education from the beneficiary countries' regions, so as to elaborate priority curricula according to the countries' national development policies and in a cost effective manner.</p> <p>It is also intended to benefit from the experience from such experts so that they may act as focal points between WIPO and partners in the region.</p>

	<p>Simultaneous to the preparation of physical infrastructure, the creation of curricula for training programs will be undertaken during 2010 and launched in the third quarter of 2011.</p> <p>In addition to the three needs assessment missions that will be organized to countries in the LAC region in September, it is also expected to undergo a needs assessment activity so as to kick-off cooperation with the Arab country for which a letter of intentions has been exchanged.</p>
Implementation Timeline:	<p>The proposed timeline has not been adhered to, as a result of structural changes at the national level of selected pilot countries.</p> <p>Please find a proposed revised implementation timeline in section 4.</p>
Project Implementation Rate:	<p>Budget utilization rate as per end of August 2010: 10.5%</p>
Previous Reports:	<p>A report for this project was submitted in November 2009 for the fourth session of the CDIP (see document CDIP/4/2 Annex V).</p>

PROJECT SELF-EVALUATION

Key to Traffic Light System (TLS)

****	***	**	NP	NA
Fully achieved	Strong progress	Some progress	No progress	Not yet assessed/discontinued

Project Outputs ⁵ (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
1. Situation paper prepared	Needs assessment conducted within six months of the starting date of project	Needs assessment missions were conducted to two requesting countries as of June, 2010. Arrangements are being made with four other receiving countries for the organization of needs assessment missions. Consultations are being held internally so as to identify prospective receiving countries.	***
	Realistic recommendations made to allow for them being implemented	Two situation papers were prepared and submitted to local authorities for comments.	***
2. Implementation plan developed	Detailed implementation plan available and agreed in consultation with the national IP offices and regional bureaux concerned by June 2010.	Consultations are being held with officials of the receiving countries concerning activities to be carried out in order to create a realistic implementation plan.	**
3. IP Academies established	It is hoped that the four National IP Academies would be functional by the end of 2011; and	Not yet available	NA
	At least two quality training programs with specific national IP goals to be organized each year by the IP Academies.	Not yet available	NA

⁵ As per the original Project Document, Section 3.2.

Project Objective(s)	Indicators of Success in Achieving Project Objective(s) (Outcome Indicators)	Performance Data	TLS
Strengthened "Start-Up" institutional capacity to conduct training programs in the field of IP	% of effective behavioral change identified as a result of the project implementation	Not yet available	NA
	Training participants will be asked to perform a self-evaluation of their behavioral changes resulting from the training – examples of questions included in the questionnaire could be "Have you applied anything from the training sessions to your professional life? If yes, please provide one (or more) concrete example(s). Please be as specific as possible." By asking for concrete examples one avoids over value-based judgments.	Not yet available	NA

IMPLEMENTATION TIMELINE

ACTIVITY	QUARTERS											
	2009				2010				2011			
	1 st	2 nd	3 rd	4 th	1 st	2 nd	3 rd	4 th	1 st	2 nd	3 rd	4 th
1. <u>Situation Paper</u>												
1.1 Conduct needs assessment mission in a selected country for the pilot project			X									
1.2 Situation Paper (including needs analysis and recommendations) prepared						X						
1.3 Consultation with IP office of the target country for the pilot project							X	X				
2. <u>Preparation for the Pilot “Start-Up” National IP Academy</u>												
2.1 Train future teachers and trainers of the “Start-Up” National IP Academy									X			
2.2 Develop model curriculum for the “Start-Up” National IP Academy										X		
2.3 Establish a Library or info center for the “Start-Up” National IP Academy										X		
3. <u>Launching of the Pilot “Start-Up” National IP Academy</u>												
3.1 Launching of the pilot “Start-Up” National IP Academy											X	
3.2 Organize the first training program jointly with the “Start-Up” National IP Academy											X	
3.3 Support the organization of a training program by the “Start-Up” National IP Academy												X
3.4 Review of the performance of the “Start-Up” National IP Academy												X

[Annex VI follows]

PROGRESS REPORT ON PROJECT FOR RECOMMENDATION 10

PROJECT SUMMARY	
Project Code:	DA_10_02
Title:	Smart IP Institutions Project: the Deployment of Components and Business Solutions Customized for Modernizing IP Infrastructure of National and Regional IP Institutions.
Development Agenda Recommendation:	Recommendation 10: To assist Member States to develop and improve national IP institutional capacity through further development of infrastructure and other facilities with a view to making national IP institutions more efficient and promote fair balance between IP protection and the public interest. This technical assistance should also be extended to sub-regional and regional organizations dealing with IP.
Project Budget:	Non-personnel costs: Sfr. 1,169,000 Additional Personnel costs: Sfr. 150,000
Project Start Date:	April 2009
Project Duration:	33 months
Key WIPO Sectors Involved and Links to WIPO Programs:	Global Infrastructure Sector and Development Sector. Links to WIPO Programs: 9, 10, 14 and 15.
Brief Description of Project:	<p>The project comprises of sub-projects for selected national or regional IP institutions across all regions. This project is a partnership activity and hence depends as much on WIPO's assistance as on the expected contribution and commitment from the partners. All sub-projects will follow standard project stages including needs assessment, business process re-engineering, deployment of customized automation solutions to adapt to specific national/regional requirements, extensive training and knowledge transfer, progress monitoring and post-deployment impact evaluation. The sub-projects are listed below.</p> <p>(a) A pilot project to deploy ICT infrastructure and customized e-communication systems for "<i>l'Organisation Africaine de la Propriété Intellectuelle (OAPI)</i>" and two of its selected member countries to enable them to electronically manage, access and exchange IP information amongst themselves and internationally. The two countries will be selected in consultation with OAPI. The project will be developed in compliance with the elements of the global IP infrastructure such as WIPO standards, classifications, databases, automation solutions and services. The project's experience and deliverables could then be later re-utilized, as appropriate, to benefit the other 14 member countries of OAPI.</p> <p>(b) A project, similar to project a) above, will be undertaken for the African Regional Intellectual Property Organization (ARIPO) and two of its selected member countries to enable them also to electronically manage, access and exchange IP information amongst themselves and internationally. The two countries will be selected in consultation with ARIPO.</p> <p>(c) A project to deploy comprehensive customized automation solutions in three LDC IP institutions across regions. This project will include those components that are normally not covered by WIPO's regular Programs, i.e. cover extra resources for conversion of large volumes</p>

	<p>of paper IP registrations and applications to electronic form for establishment of IP databases, resources for more extensive training and knowledge transfer and resources for a full set of ICT infrastructure components to support end-to-end automation.</p> <p>(d) Automation workshops, one per region, to facilitate sharing and exchange of national experiences, issues, lessons learned, best practices and coping with challenges. Each regional workshop will include 10 to 12 countries that will be selected in consultation with the respective region. These targeted workshops will focus on transfer of knowledge amongst the countries, on building institutional capacity and in promoting South-South collaboration.</p>
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Project Manager:	Mr. William Meredith
Links to Expected Results in the Program and Budget 2010/11:	<p>Strategic Goal IV, Program 15: Enhanced efficiency of IP institutions through automation of business processes;</p> <p>IP institutions with capability to access and use global resources through use of standardized electronic data exchange systems.</p>
Progress on Project:	<p>OAPI Project: ICT infrastructure is now in place and the office is equipped to host its own web site and email services. The next phase of the project will be defined shortly and will focus on automation services and regional networking.</p> <p>ARIPO Project: A trial data exchange mechanism has been set up between ARIPO and KIPI. The next phase of the project needs to be carefully planned to extend the data exchange to other countries and to further refine the understanding of requirements.</p> <p>LDC Project: Preliminary work has begun to identify the countries and the exact nature of the projects to be executed.</p> <p>Regional Workshops: A workshop was held in Cairo in July 2010 and was attended by representatives of 16 offices.</p>
Examples of Success/Impact and Key Lessons:	Nothing of note.
Risks and Mitigation:	The project document identified risks relating to long-term sustainability of the projects. It is too early to evaluate whether these risks have materialized. All technical assistance projects have training and local ownership built in to the deployment strategy.
Issues Requiring Immediate Support/Attention:	None.
The Way Forward:	<p>Project planning will be undertaken for the OAPI and ARIPO project in order to clearly identify the activities for the next phases of those projects.</p> <p>Assessments and planning will be done for LDC projects.</p> <p>A second automation workshop may be organized in 2010, but this will depend on resources. Several workshops are already planned under other activities, covering the same topics.</p> <p>Faster progress could be made by making dedicated staff available in selected regions or sub-regions, in order to speed up delivery and knowledge-transfer to IP Offices. There is currently a small number of regional consultants providing support to IP Offices on office automation, but more resources would make this process more efficient. A revised business model for regional and sub-regional support is currently under consideration by management.</p>
Implementation Timeline:	All project activities are approximately 6 months behind the original implementation timeline. This is due to delays in recruiting additional project staff.
Project Implementation Rate:	Budget utilization rate as per end of August 2010: 17.1%

Previous Reports:	A report for this project was submitted in November 2009 for the fourth session of the CDIP (see CDIP/4/2, Annex VI).
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PROJECT SELF-EVALUATION

Key to Traffic Light System (TLS)

****	***	**	NP	NA
Fully achieved	Strong progress	Some progress	No progress	Not yet assessed/discontinued

Project Outputs ⁶ (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
<p>OAPI/Member Countries project:</p> <ul style="list-style-type: none"> – Appropriate equipment and systems operational in partner institutions for reception of IP applications and for electronic data exchange; – Training on the use, operation, support and maintenance of the systems; – Database interfaces established between OAPI and selected members. 	Network and Internet infrastructure installed as per the required project specifications;	OAPI infrastructure is in place and web site and email hosting are now live.	***
	Systems developed are tested, accepted and put into operation;	Web site and email hosting in place. No other new systems in place.	**
	80% of participants acquire knowledge on using the functionality provided by the project systems to carry out their day-to-day work;	Too early to assess	NA
	Quick resolution of system problems and high percentage of system uptime;	To early to assess	NA
	The IP data is electronically exchanged as per the content and time schedule defined by the project.	No data exchange mechanisms in place yet.	NA

Project Outputs ⁷ (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
<p>ARIPO/Member Countries project:</p> <ul style="list-style-type: none"> – Appropriate equipment and systems operational in 	Network and Internet infrastructure installed as per the required project specifications;	Most ARIPO infrastructure is already in place.	NA
	Systems developed are tested, accepted and put	No new systems deployed yet.	NA

⁶ As per the original Project Document, Section 3.2.

⁷ As per the original Project Document, Section 3.2.

Project Outputs⁷ (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
<ul style="list-style-type: none"> partner institutions for reception of IP applications and for electronic data exchange; – Training on the use, operation, support and maintenance of the systems; – Database interfaces established between ARIPO and selected members. 	into operation;		
	80% of participants acquire knowledge on using the functionality provided by the project systems to carry out their day-to-day work;	No new systems deployed yet.	NA
	Quick resolution of system problems and high percentage of system uptime;	No new systems deployed yet.	NA
	The IP data is electronically exchanged as per the content and time schedule defined by the project.	Preliminary data exchange system is in place between ARIPO and KIPI.	**

Project Outputs⁸ (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
<p>LDCs project:</p> <ul style="list-style-type: none"> – Establishment of the automation infrastructure, databases and systems for IP administration; – Training on the use, operation, support and maintenance of the systems; – Database interfaces established between ARIPO and selected members. 	Network and Internet infrastructure installed as per the required project specifications;	Too early to provide information. Assessment and identification of target offices is under way.	NA
	Systems developed are tested, accepted and put into operation;	As above	NA
	Completion of data capture from paper IP records to database;	As above	
	80% of participants acquire knowledge on using the functionality provided by the project systems to carry out their day-to-day work;	As above	NA

⁸ As per the original Project Document, Section 3.2.

Project Outputs⁸ (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
	Quick resolution of system problems and high percentage of system uptime;	As above	NA
	The IP data is electronically exchanged as per the content and time schedule defined by the project.	As above	NA

Project Outputs⁹ (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
Regional Automation Workshops: Focused sessions on priority topics, technical discussions, national experiences, country reports, evolving business and technology trends, and future directions and strategies successfully implemented.	Number of priority topics covered;	Arab regional workshop in Cairo in July 2010 covered many aspects of IP Offices automation, and related topics such as patent information and access to scientific and technical journals (aRD _i program).	***
	Number of countries participating in the workshops;	16 offices participated in the Arab regional workshop held in Cairo in July 2010.	***
	Number of requirements identified in workshops for future improvements of IP automation systems.	Feedback from participants was taken into account for future planning.	***

[Annex VII follows]

⁹ As per the original Project Document, Section 3.2.

PROGRESS REPORT ON PROJECT FOR RECOMMENDATION 10

PROJECT SUMMARY	
Project Code:	DA_10_03
Title:	Innovation and Technology Transfer Support Structure for National Institutions.
Development Agenda Recommendation:	Recommendation 10: To assist Member States to develop and improve national IP institutional capacity through further development of infrastructure and other facilities with a view to making national IP institutions more efficient and promote fair balance between IP protection and the public interest. This technical assistance should also be extended to sub-regional and regional organizations dealing with IP.
Project Budget:	Non-personnel costs: Sfr. 600,000
Project Start Date:	April 2009.
Project Duration:	27 months.
Key WIPO Sectors Involved and Links to WIPO Programs:	Innovation and Technology Sector. Links to WIPO Programs: 9, 10, 11, 14 and 25.
Brief Description of Project:	<p>The Project will create and test or, where they exist, update and improve, a series of modules and materials relating to managing IP rights (particularly patents) by academic and research institutions, including on the setting up and running of technology transfer offices at public research organizations, exploring technology transfer mechanisms (in particular, licensing agreements) and enhancing the capacity to draft patents.</p> <p>The Project will also include the inclusion of the material in a portal which will be a digital repository of training modules, guides, tools, examples, models of national IP strategies, institutional IP policies, best practices and case studies accessible through one single portal on WIPO's website (one stop shop) for the promotion of local innovation and technology transfer activities through improving IP infrastructure and IP management in developing countries.</p>

Project Manager:	Mr. Philippe Baechtold
Links to Expected Results in the Program and Budget 2010/11:	Strategic Goal VII, Program 18: Member States' capacity and understanding of innovation and technology management and transfer has been enhanced.
Progress on Project:	In accordance with item 3.2 - Project Self-Evaluation, the first step related to Project No. 10 is the establishment of a "project paper". The draft for the project paper is completed. The paper includes a description of existing instruments in WIPO's program and an analysis of the needs for improving the existing program (addition, deletion or modification of elements within the present offer) as well as relevant publications, studies and analyses from other organizations. The project paper also draws a picture of the current situation, covering what is available, what should be available, what would be ideal to have and what can be done concretely. This document, according to the original timetable, was supposed to be prepared by the third quarter of 2009, i.e. within six months. Due to a delayed start of the project, it became ready by February 2010. This document has been posted on the Development Agenda web page under project status (http://www.wipo.int/ip-development/en/agenda/projects.html). This required another thorough revision, which is also completed. The paper was submitted in July 2010 for review by two external experts. The objective is that the external experts refine the document and identify any missing elements from the project that are necessary to include for a complete project paper. The expert review should be completed within two months after submission. As a number of project activities have already been identified in the document, some time will be gained during the next phases of the project.
Examples of Success/Impact and Key Lessons:	Too early to determine at this stage of project implementation.
Risks and Mitigation:	Delayed start of the project due to unforeseen reasons affected project implementation.
Issues Requiring Immediate Support/Attention:	Additional human resources may be needed.
The Way Forward:	After the expert review is completed, a more refined definition of the contents of the digital portal will be carried out and submitted to Member States and research institutions for consultations.
Implementation Timeline:	Implementation is broadly in accordance with the Project implementation timelines since a provisional definition of contents has already started in the project document.
Project Implementation Rate:	Budget utilization rate as per end of August 2010: 0.0%
Previous Reports:	A report for this project was submitted in November 2009 for the fourth session of the CDIP (see document CDIP/4/2 Annex VII).

PROJECT SELF-EVALUATION

Key to Traffic Light System (TLS)

****	***	**	NP	NA
Fully achieved	Strong progress	Some progress	No progress	Not yet assessed/discontinued

Project Outputs ¹⁰ (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
Establishment of project paper	Finalization of document within six months from beginning of project, identifying needs and improvements, and providing feasible recommendations for the structure of the digital portal.	In view of the late start of the project (September 2009) and audience requirements for the project paper, this result was completed within 6 months after project start and finalization of the document within two months after submission to external experts.	**
Design of the training modules and other tools/services for the portal	Modules and other tools produced within 18 months from beginning of project reflecting the required quality as set out in the project paper for: training modules on infrastructure of IP asset management; training modules on capacity building for IP management; distance-learning training modules; and online follow-up modules on the above.	Too early to provide.	NP
Establishment of the digital portal	Portal operational and use of the portal by governments and public research institutions of developing countries.	Too early to provide.	NP
Establishment of the network	Web Forum of WIPO trainees is regularly used and considered useful by the users.	Too early to provide.	NP

¹⁰ As per the original Project Document, Section 3.2.

Project Objective(s)	Indicators of Success in Achieving Project Objective(s) (Outcome Indicators)	Performance Data	TLS
<p>Assist developing countries in setting up and improving mechanisms for stimulating and enhancing local innovation and technology transfer from the public sector, including technology transfer offices at public research institutions.</p>	<p>Increased expertise in using the patent system for protecting inventions (e.g. patent drafting) and marketing inventions (e.g. licensing; creating spin off companies; valuation);</p> <ul style="list-style-type: none"> — Improved infrastructure for technology transfer in developing countries, including: <ul style="list-style-type: none"> the setting up of TTOs; 1. strengthening networks of public research institutions; 2. increased number of joint projects through TTOs between public research institutions and the private sector; and 3. the strengthening of the local patent profession. 	<p>Too early to provide.</p>	<p>NP</p>
<p>Enhance the understanding of the potential uses of the patent system for development and growth.</p>	<p>Better awareness of the uses of the patent system at different stages of innovation, including:</p> <ul style="list-style-type: none"> — Development of institutional policies on IP by public research organizations and universities establishing clear rules in line with their respective mandate; and — Awareness at the government level to define innovation and IP policies relating to IP management by public research organization and universities. 	<p>Too early to provide.</p>	<p>NP</p>

<p>Greatly facilitate the availability of the tools, guides and models relating to IP infrastructure and management by creating a one-stop-shop on WIPO's website.</p>	<p>Widespread use of the support structure, including the new digital portal, particularly by developing countries;</p> <p>Increased demand for WIPO training based on the contents in the portal; and</p> <p>Feedback on the content <i>via</i> the Website and evaluation questionnaires of training events.</p>	<p>Too early to provide.</p>	<p>NP</p>
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[Annex VIII follows]

PROGRESS REPORT ON PROJECT FOR RECOMMENDATION 10

PROJECT SUMMARY	
Project Code:	DA_10_04
Title:	Strengthening the capacity of national IP governmental and stakeholder institutions to manage, monitor and promote creative industries, and to enhance the performance and network of Copyright Collective Management Organizations.
Development Agenda Recommendation:	Recommendation 10: To assist Member States to develop and improve national IP institutional capacity through further development of infrastructure and other facilities with a view to making national IP institutions more efficient and promote a fair balance between IP protection and the public interest. This technical assistance should also be extended to sub-regional and regional organizations dealing with IP.
Project Budget:	Non-personnel costs: Sfr.840,000 Additional personnel costs: Sfr.268,000
Project Start Date:	April 2009
Project Duration:	18 months.
Key WIPO Sectors Involved and Links to WIPO Programs:	Development Sector and Culture and Creative Industries Sector. Links to WIPO Programs: 3, 9, 10, 11, 15, 16 and 17.
Brief Description of Project:	<p>This project is designed to assist in the improvement and strengthening of national institutions and stakeholder organizations dealing with and representing creative industries in enhancing their understanding of the role of IP for the effective management and development of creative industries, and to facilitate the establishment of regional or sub-regional networks for the collective management of copyright and neighboring rights.</p> <p>Improving the understanding of the economic value of the creative sector, functioning on the basis of IP protection, and empowering the institutions with tools for analysis of the creative sector and the creators with tools for the practical management of IP, this project will contribute to enhance the overall performance of the creative sector.</p> <p>As for copyright collective management organizations (CMOs), the project takes a pilot approach to provide CMOs grouped within the West African Copyright Network (WAN) – Benin, Burkina Faso, Côte d'Ivoire, Ghana, Guinea, Mali, Niger, Nigeria, Senegal and Togo – with a series of tools enabling the establishment of a common digital collective management platform by standardizing and expediting the exchange of information among them and the rest of the world, for the identification of works and relevant interested parties, so that the distribution of royalties can be carried out in a fair, equitable and balanced way, as well as in a timely and efficient manner taking into account the economic interests of the countries concerned.</p>

	<p>The paradigm of this pilot project as well as the tools that will be developed and deployed can subsequently be customized to replicate and establish similar pilot projects under WIPO programs and fit the needs of other groups of countries and/or CMOs.</p>
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<p>Project Manager:</p>	<p>Mr. Andrew Tu</p>
<p>Links to Expected Results in the Program and Budget 2010/11:</p>	<p>A. Creative Industries</p> <p>Strategic Goal I, Program 3: Increased awareness and capacity of Members States in copyright and related rights, and enhanced knowledge in the management of IP-based assets by creative enterprise.</p> <p>B. Collective Management</p> <p>Strategic Goal I, Program 3: Contribution to the development of the international copyright and related right policy and legal framework and of a global copyright infrastructure.</p> <p>Strategic Goal III, Program 9: Modernized service-oriented IP administrations with strengthened infrastructure. Enhanced efficiency of IP institutions through automation of business processes, and IP institutions.</p> <p>Strategic Goals III and IV, Programs 3 and 15: Collective Management Organizations (CMOs) – with capability to access and use global resources through use of standardized electronic data exchange systems (Programs 3 and 15).</p>
<p>Progress on Project:</p>	<p>C. The implementation of the part of the project relating to creative industries has led to the realization of the objectives identified in the original Project document, which includes: (i) preparing the ground and establishing a foundation to enable national IP institutions, creators and other stakeholders of creative industries to be able to further develop and improve their institutional capacity; (ii) introducing the WIPO measurement tools for assessing the economic contribution of the creative industries and raising awareness on IP as a factor for economic, social and cultural development; (iii) supporting and strengthening individual creators' understanding of the management of IP.</p> <p>D. The implementation of the project relating to Collective Management has led to further examination of the WAN project plan. The examination indicated the need for the prior enhancement of the WIPOCOS software under a related project with the performers' umbrella organization SCAPR. This enhancement has taken longer than expected mainly due to the complexity in the business logic design and its software implementation. The examination also indicated that in order to facilitate WIPOCOS to meet emerging business requirements in the very complex on-line music environment, there would be the need for the use of a more efficient database software (e.g. Oracle) and web-based technologies to facilitate the interconnection of WIPOCOS users to each other and to necessary international systems.</p> <p>It also emerged that internet connectivity in some of the cities</p>

	<p>involved in the pilot is less than satisfactory and therefore solutions to this challenge are being studied.</p> <p>Additionally, the strategic delivery and implementation of the WAN project requires more highly qualified software development staff including at the level of oversight. Consequently, WIPO's ICT Department has been brought into the planning for the delivery of a world class system. A new functional specification has been developed and this has led to a complete review of the scope of the project and its likely impact on the overall framework of WIPO's role and function in global copyright infrastructure and services for developing countries on request.</p> <p>The new approach will therefore involve the development, design and deployment of the WIPOCOS software as well as web-based applications and related activities to enable the CMOs in the pilot countries to undergo a systematic streamlining process in order to support the management of copyright in the digital environment.</p> <p>The major activities undertaken in this reporting period include: (i) a concept paper of the West African Copyright Network (WAN) project and its provisional workplan have been prepared; (ii) the tasks of the field consultant on the WAN project have been prepared; (iii) a stakeholders consultative meeting for the West African Copyright Network (WAN) project was held in Lome, Togo from March 3 to 5, 2010 – four months after the original planned meeting date of November 2009. The meeting was organized in cooperation with WIPO and the Government of the Republic of Togo and was attended by the Directors General and representatives of the Bureaus and Societies of Benin, Gambia, Ghana, Guinea, Mali, Niger, Nigeria, Senegal and Togo. Representatives from CISAC, CCL and SGAE also attended the meeting; (iv) a meeting on exploring the potential partnership of CISAC in the WAN project was held in Paris, France from February 16 to 17, 2010 with participation from CISAC; (v) a WIPOCOS technical working group meeting was held in Geneva between June 28 and 29, 2010, with SCAPR's participation; and (vi) an evaluation of the WIPOCOS project/system and its way forward has been undertaken, and that has led to the recognition of the need for enhancements to WIPOCOS to support current and emerging business requirements.</p> <p>The main constraints in the implementation of this part of the project include: (i) the need for WIPOCOS enhancement to support the WAN project and emerging CMO business requirements notably related rights and interconnectivity to other sub-regional, regional and international collective management systems; (ii) the selection of suitable project personnel with the requested technical competence and professional experiences. These challenges are currently being addressed internally at WIPO and in collaboration with WAN participating Member States. WIPO's ICT Department is now involved in the resolutions of related technical issues.</p>
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	<p>E. The Caribbean Copyright Link (CCL) project is a supplementary component of the aforementioned collective management project. The CCL project focuses on linking aspects of the member societies work which are currently managed separately. This includes regional sampling method/system for works used in the Caribbean and the promulgation of harmonized distribution rules for collected royalties. Invaluable input and advice on the scope of the project were provided by the President of CCL.</p> <p>Several components have been completed as part of the project and it is estimated that the project could be completed by the end of November 2010. To this end, a more rigorous project control has been proposed to address some of the delay.</p> <p>The major activities undertaken in this reporting period included: (i) a project coordination meeting was held in Barbados between January 15 and 16, 2010. The meeting was organized by WIPO in cooperation with the Copyright Society of Composers, Authors and Publishers Inc (COSCAP) and attended by representatives of CCL societies from Jamaica, Saint Lucia and Trinidad and Tobago, in addition to the four regional consultants; (ii) a team of four regional consultants was selected in February 2010; and (iii) the team began working on the development of a common system of royalty distribution and implementation of a cost-effective sampling system in the region in April 2010.</p>
<p>Examples of Success/Impact and Key Lessons:</p>	<p>A. Concerning the Creative Industries part of the project, one of the lessons learnt is related to budget and logistics. In some cases the cost involved in organizing events limited the number of potential participants. As a result, a reduced number of stakeholders benefited from the project.</p> <p>Another lesson learned is the difficulty in measuring success/impact of an event immediately after its completion, especially with regard to activities such as workshops and seminars. The increased awareness of the participants on the issues discussed can be judged as an immediate impact, estimated by the active participation in the event. However, the effectiveness of the technical assistance can be demonstrated in subsequent correspondence from the countries involved and more specifically in the initiatives to further develop the discussed issues through specific studies or training.</p> <p>A request for follow-up came after the seminar on the Economic Contribution and Performance of Copyright-Based Industries held in Dominica in October 2009 and resulted in a specific workshop on Managing Copyright in Music Business held in Antigua in June 2010. A study on the economic contribution of creative industries in the OECS member states was also launched following this event and is ongoing since April 2010. The Seminar held in Seychelles (October 2009) also resulted in formulating a request for specific research on the creative industries in this country, which is under consideration.</p>

	<p>B. Concerning the Collective Management part of the project, the following lessons have so far been learnt. Firstly, project plans concerning the deployment of information systems across different nations should more carefully take into account and leverage the ubiquity of the Internet to interconnect national ICT systems. Secondly, the design of any ICT system (e.g. WIPOCOS, WAN) should be flexible and be adaptable to emerging business needs especially as this pilot must be exportable to other regions. Additionally, participating Member states are at different stages of technological development and hence the local operational environments must be carefully considered. For example, the availability of Internet connectivity and its reliability would have an impact on the architectural design of a regional ICT system, the location of the sub-regional database and its operational support. Furthermore, the technology chosen is important as it would have a critical impact on the long-term sustainability of any ICT project and system deployed.</p> <p>Although somewhat delayed, the development of a modular version of the WIPOCOS software covering the project requirements for related rights will be completed during the third quarter of 2010.</p> <p>Additionally, a new implementation timeline – see Section Implementation Timeline – would be indispensable taking into account the review and reorientation of the project for the CMOs concerned.</p> <p>C. Concerning the CCL project, the primary lesson learned thus far is the need for continued and sustained interaction with the stakeholders (CCL management, the regional consultants) in order to keep the process moving forward. Due to certain delays, the project was in fact started in April rather than January 2010 as originally planned. Hence project start dates should more carefully take WIPO’s internal processes into consideration.</p> <p>Two of the notable successes thus far include: the experts having undertaken a review of the distributions rules of the four societies involved in this project, as well as interviews having been conducted with key stakeholders of at least three societies.</p>
<p>Risks and Mitigation:</p>	<p>Concerning collective management, while the technology is a necessary enabling tool, the greatest risk to the success of CMOs is the management of the business. Government regulation may provide a restraining framework while the training and support provided by WIPO and the collective management NGOs will certainly continue to help.</p> <p>The location of the Web-based platform has been identified at the inception of the project as a critical success factor.</p> <p>Additionally, the need to support emerging business requirements imposes a risk on any poorly designed ICT system, and that can be mitigated by adopting a flexible design approach more reliant on the internet.</p>

	<p>The lack of communication is always a hidden risk and could lead to the project running significantly later than planned.</p>
<p>Issues Requiring Immediate Support/Attention:</p>	<p>A. The Creative Industries part of the project has been effectively completed. Hence there is no related urgent issue to be addressed.</p> <p>B. With respect to Collective Management, the following has been noted for special attention:</p> <ul style="list-style-type: none"> — the project has been significantly delayed and a revised project plan and timetable therefore requires immediate attention; — further training and support of some of the existing CMOs is necessary if they are to take advantage of the regional database being developed; — completion of the current enhancement of WIPOCOS to improve the quality of the service it provides;
<p>The Way Forward:</p>	<p>A. Since the part of the project concerning Creative Industries has been successfully completed more focused action will be undertaken in follow-up events and in undertaking similar events in other countries and regions in the 2010/11 work plan.</p> <p>B. With respect to the Collective Management project, the way forward would be the development and completion of the sub-regional database and a Web-based IT platform to link the participating CMOs. The following activities would be included:</p> <ul style="list-style-type: none"> — Development of a new project timeline; — Completion of the development of the WIPOCOS software on a modular basis to include related rights management. The intention here is to make this product world class; — Ensure the web-based applications are capable of meeting not just the requirements of the project pilot but are flexible enough for use in other regions; — Redirecting and controlling the project with greater involvement of WIPO's ICT staff. <p>C. For the CCL project, the focus is on the completion of the harmonized distribution rules for the collected royalties sampling system and on the production testing of the rules and systems in the operational environment. This includes:</p> <ul style="list-style-type: none"> — Consultants to undertake national focus group meetings; — Assess potential new user communities; — Run simulation to establish new sampling methods; — Establish new distribution rules; — Document the test results and rules.

Implementation Timeline:	<p>A. For the part of the Project concerning creative industries, the implementation of activities in the original project document has been successfully completed within the timeline put forward in that document.</p> <p>B. With respect to Collective Management, a provisional revised implementation timeline is attached as Annex I.</p> <p>C. The CCL project completion date has been moved from August 2010, to November 2010 although the number of uncompleted items still seems large.</p>
Project Implementation Rate:	Budget utilization rate as per end of August 2010: 37.4%.
Previous Reports:	<p>A report for this project was submitted in November 2009 for the fourth session of the CDIP (see document CDIP/4/2 Annex VIII).</p> <p>The part of this report concerning Creative Industries is a Project completion report.</p> <p>The part of this report concerning Collective Management and the CCL projects is a progress report.</p>

PROJECT SELF-EVALUATION

Key to Traffic Light System (TLS)

****	***	**	NP	NA
Fully achieved	Strong progress	Some progress	No progress	Not yet assessed/discontinued

A. For the part of the Project concerning Creative Industries

Project Outputs ¹¹ (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
<p><u>Seminars:</u></p> <p>Improved awareness of the economic importance of copyright.</p> <p>Discussions on appropriate tools to assess the national/regional performance and economic contribution of the creative industries and enabling governments to identify areas for policy intervention.</p> <p>Requests for launching of studies on the economic contribution of copyright-based industries.</p>	<p>Expression of interest in undertaking national research on the economic importance of copyright-based industries, translating and/or publishing existing research or preparing country specific awareness rising materials.</p> <p>Participants in the seminars represent a broad range of stakeholders (i.e. individual and corporate creative industries, government institutions represented, IGOs, NGOs, banking and financing institutions).</p> <p>Identification of the most appropriate indicators for measuring performance of the creative sector.</p> <p>Over 50 % of the participants in the seminars confirm, at the end of the seminar, that they have at least been satisfied with the seminar regarding the</p>	<p>For the sub-regional seminar on the Economic Contribution and Performance of Creative Industries in the Countries of the Indian Ocean, plus Burundi and Rwanda, October 20 and 21, 2009, Mahé, Seychelles, 76% of participants who provided feedback was “totally or highly satisfied” by the seminar, while 24% were totally satisfied. In addition, 76% thought that the topics of the seminar were totally or very relevant to their profession. All participants who filled the evaluation forms thought that the quality of the speakers was excellent or very good. The seminar was attended by a representative group of stakeholders. As a follow up, a request was received for a study on the economic contribution of creative industries in the Seychelles, which is currently under consideration.</p> <p>For the Sub-Regional Seminar on the Economic Contribution and Performance of the Copyright-Based Industries, October 26 and 27, 2009, Roseau, Dominica 75% of the participants were “highly satisfied” by the seminar, while 15%</p>	****

¹¹ As per the original Project Document, Section 3.2.

Project Outputs ¹¹ (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
	achievement of the objectives set. Number of requests, number of studies, publication or other WIPO materials.	were totally satisfied. 90% thought that the design and content of the seminar was “very good” and 95% thought that the quality of the speakers was “very good”. The seminar resulted in a specific workshop on Copyright Management in the Music Business held in Antigua on June 1 and 2, 2010 and a regional study on the economic contribution of the creative industries in the OECS member states, launched in April 2010. Various categories of stakeholders were broadly represented at the event.	

Project Objective(s)	Indicators of Success in Achieving Project Objective(s) (Outcome Indicators)	Performance Data	TLS
<p><u>Workshops:</u></p> <p>Raised awareness on the role of IP for the operation of creative enterprises; and transfer of practical knowledge on the management of IP by creative industries and support institutions.</p>	<p>Demand for WIPO publications and tools on management of IP in specific creative industries. Number of trained participants. Request for training based on WIPO tools.</p>	<p>Workshop on the Management of Intellectual Property in the Book Publishing Industry: Reading the Fine Prints, January 28 and 29, 2010, Manila, Philippines. 77% of the participants thought that the Program was very relevant, 79% thought the workshop was relevant to their professional field, 61% thought that the material and information provided during the workshop was very useful and in general the workshop was considered a success by more than 90% of the participants. More than 50 participants were trained on the topics under consideration.</p> <p>Participants acknowledged the growth potential the book publishing industry in Philippines and the need to develop additional WIPO tools on the subject. They proposed as a follow up a workshop focusing on piracy and other forms of copyright infringement and suggested broadening the participation for similar future events to include</p>	***

Project Objective(s)	Indicators of Success in Achieving Project Objective(s) (Outcome Indicators)	Performance Data	TLS
		authors/writers, academia, policy makers and other stakeholders.	

B. For the part of the Project concerning Collective Management

Project Outputs¹² (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
Specialized training modules on collective management.	customized training modules developed in the first three months of project fitting the needs of each CMO.	Stakeholders meeting of the WAN project held in from March 3 to 5, 2010.	***
Drafting of collective management business rules/CMOs assessment.	adoption and implementation of business rules (documentation, licensing and distribution) compatible with international standards.	Identification of the standards and formats to be used for musical data collection and exchange. Consultation with and endorsement of the CMOs (West Africa and Caribbean). Consultations with key partner NGOs have been conducted.	***
Provision of IT Equipment.	CMO IT infrastructure compatible with networking requirements for easy access to databases.	Functional requirements specification has been prepared. Work in progress.	**
Upgraded Software (WIPOCOS).	WIPOCOS' ability to automate all the CMO's operations and to allow access to international databases (WID, IPI, IPN, VRDB, etc).	Functional requirements specification has been prepared. Work in progress.	**
Database of CMOs musical works repertoire accessible and secure.	Database uniform in each CMO and compatible with data exchange system adopted.	Architectural design is in discussion. Work in progress.	**

¹² As per the original Project Document, Section 3.2.

Deployment package.	Number of training packages, officials trained, data captured and processed by period.	Work in progress.	**
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C. For the part of the Project concerning CCL

Project Outputs¹³ (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
Strengthen national IP institutional capacity through a standard, methodological and integrated approach in the collection, allocation and distribution of royalties within CCL societies.	Formulation and delivery of standardized rules for royalty distribution – enhanced ability to process and distribute royalties by CMOs.	Some necessary reviews of material and discussions with relevant stakeholders have been completed.	**
The implementation of a cost-effective sampling system given the operating peculiarities of each territory.	Establishment and delivery of sampling procedures – ease in establishing regional log for use by CMOs.	Methodology for reviewing current sampling systems proposed, which will provide the basis for one regional procedure.	**
Training in the use of the new rules and sampling method.	Demonstrated understanding and use of the new systems (rules and sampling) by staff members of CMOs – incorporation of procedures into work-flow.	No action can be taken here without completion of above items.	NA

Project Objectives	Indicators of Success in Achieving Project Objective (Outcome Indicators)	Performance Data	TLS
Identification of the potential of creative industries through economic analysis and practical management.	Development of specific research or business projects; setting up of monitoring mechanisms for the performance of the creative sector; specialized publications and manuals.	Data needed	N/A

¹³ As per the original Project Document, Section 3.2.

<p>Accomplishment of collective management business in a networked environment according to international state-of-the-art standards.</p>	<p>Networked CMOs are using business rules compatible with international standards by November 2010.</p>	<p>New Dimension and Reorientation as discussed in the Section on Progress of the Project. It is too early to make a progress assessment.</p>	<p>NP</p>
<p>Developing an IT Platform and Creating a Data Center.</p>	<p>Databases in 9 CMOs are uniform, accessible as a whole (online or off-line) and from all networked CMOs by September 2011.</p>	<p>No data currently available</p>	<p>NP</p>
<p>Achieving a common, cost-effective and easy-affordable registration system for the identification of works and rights owners</p>	<p>Each networked CMO has access to international databases and can register works and interested parties accordingly, by October 2011.</p>	<p>No data currently available</p>	<p>NP</p>

IMPLEMENTATION TIMELINE

ACTIVITY	QUARTERS							
	2010				2011			
	1 st	2 nd	3 rd	4 th	1 st	2 nd	3 rd	4 th
Development of a re-designed WIPOCOS modular package with related rights management included.			X	X				
Completion of conceptual description of function specification of the database and its web connectivity.			X	X				
Consultations with WAN member CMOs to decide on the location for the database.				X				
Recruitment of additional IT Project Staff.				X				
Completion of project design and database design.					X	X		
CMOs Infrastructure Assessment: IT, Business Rules Modernization, Drafting and Staff Training, WIPOCOS Installation, Business Rules Automation.				X	X	X	X	
Provision and Installation of IT Equipment.						X	X	
CMOs Connection, Interoperability, Deployment and Testing.						X	X	X
Project Completion, Review, Documentation and Report.								X

[Annex IX follows]

PROGRESS REPORT ON PROJECT FOR RECOMMENDATION 10

PROJECT SUMMARY	
Project Code:	DA_10_05
Title:	Improvement of National, Sub-Regional and Regional IP Institutional and User Capacity
Development Agenda Recommendation:	Recommendation 10: To assist Member States to develop and improve national IP institutional capacity through further development of infrastructure and other facilities with a view to making national IP institutions more efficient and promote fair balance between IP protection and the public interest. This technical assistance should also be extended to sub-regional and regional organizations dealing with IP.
Project Budget:	Non-Personnel Costs: Sfr.2,209,000 Personnel Costs: Sfr.882,000
Project Start Date:	April 2009
Project Duration:	24 months.
Key WIPO Sectors Involved and Links to WIPO Programs:	Cooperation for Development Sector Links to WIPO Programs: 8, 9, 10, 11, 14, 15, 17, and 19.
Brief Description of Project:	In light of the recognition of IP as a powerful tool for promoting creativity and innovation, as well as for the competitiveness of enterprises and countries, this project will provide an integrated set of policies and strategies, institutional and enterprise level interventions, including tools and mechanisms, directed at IP administrations, at the national, sub-regional and regional levels, and to enterprise-support institutions, the aim being to enhance both the efficiency and utilization of the IP system in developing LDCs, as well as countries in transition, by fulfilling their development objectives.

Project Manager:	Mrs. Francesca Toso and Mr. Guriqbal Singh Jaiya
Links to Expected Results in the Program and Budget 2010/11:	<p>Strategic Goal III, Program 9: In particular the Expected Results for countries in Africa, the Arab region, Asia and the Pacific, Latin America and the Caribbean, as well as Least Developed Countries, which include the development and adoption of national IP policies/strategies that are aligned with national development plans and priorities. The project aims to help attain these expected results.</p> <p>Strategic Goal III, Program 30: The project is linked to all Objectives and Expected Results in Program 30.</p>
Progress on Project:	<p>A. In line with the Objectives of Programs 9 and 30, the project aims to strengthen national IP institutional capacity through a standard, methodological and integrated approach to IP policy, strategy and institutional reform and modernization.</p> <p>In this regard, progress has been made in testing a proposed methodology for the development of national IP strategies in a number of selected countries, on a pilot basis. The methodology is based on the use of a series of benchmarking tools aimed at a) assessing the current status of the country's IP system; b) defining strategic IP targets and priority areas and how they are relevant to national economic development objectives and plans; and c) assessing specific IP needs to achieve those strategic targets.</p> <p>Testing and validation of this methodology is well underway in two of the six pilot countries, i.e. the Dominican Republic and Mongolia, while Moldova and Algeria are preparing to undertake the exercise. Initial feedback from the first two countries suggests that the proposed tools to conduct the IP audit and needs assessment exercise have so far proven useful in obtaining the required information for the IP strategy formulation. Key lessons are being drawn from this process, with a view to refining and strengthening the specific tools and the overall methodology.</p> <p>B. With regard to the regional and sub-regional component of the project, the 2009 WIPO Regional Ministerial-Level Meeting on Intellectual Property for Caribbean Countries adopted a Resolution supporting the establishment of a Caribbean Regional Patent System. A series of national consultations in each Member State of the Caribbean Community (CARICOM) followed the adoption of the Resolution, and gathered inputs from the legal, academic and business communities on the establishment of a Regional Patent Administration System. The CARICOM Technical Working Group is due to meet again in September 2010 to gather additional information from Member States and present a proposal to the Council for Trade and Economic Development (COTED).</p> <p>C. With regard to the SME's component of the project, in an Expert Group Meeting in September 2009, six pilot countries were identified to implement a methodology for national surveys/studies on IP and SME's, i.e. Brazil, India, Jordan, Poland, South Africa and United Republic of Tanzania. In this context, the following activities were implemented:</p> <p>1. Finalization of the study methodology</p> <p>The services of four experts were contracted to further elaborate and finalize the survey methodology, including the terms of reference for national and international experts and a common questionnaire.</p>

	<p>2. Signing of Agreements</p> <p>Agreements were signed with the competent authorities in the six selected countries for (i) conducting national studies on IP and SMEs, (ii) customization and/or translation of four WIPO publications on SMEs, and (iii) organization of Training the Trainers programs on IP and Business Development</p> <p>3. National Studies / Customization of publications</p> <p>The above-mentioned national studies, as well as the customization/ translation of SMEs publications in the six pilot countries are expected to be concluded and reports are expected to be submitted to WIPO by June 2011.</p> <p>4. Training of Trainers Programs</p> <p>Training of Trainers programs are expected to be carried out in three countries (Brazil, India and Poland) during the last quarter of 2010 and in the remaining three countries (Jordan, South Africa and Tanzania) during the first quarter of 2011.</p> <p>5. IP Panorama</p> <p>Approximately 90% of the work on the French and Spanish version of IP Panorama is expected to be completed by the end of 2010.</p> <p>6. International Conference</p> <p>An international conference to take stock of the studies and propose follow up action and strategy, initially scheduled for November 2010, will now take place during the second quarter of 2011.</p>
<p>Examples of Success/Impact and Key Lessons:</p>	<p>1. According to the methodology used for the development of national IP strategies, the IP audit/benchmarking exercise is conducted by a team of <i>national experts</i>, entrusted with the task of gathering and analyzing the data and information on which the IP strategy will be built. This approach has provided the following lessons/advantages:</p> <ul style="list-style-type: none"> (a) the country owns the results of the IP audit/benchmarking exercise, since this is being conducted by national experts with full knowledge of the country's economic, social, political and infrastructural context; (b) WIPO's role has focused on building the skills of national experts to undertake this exercise, strengthening human and institutional capacities at the national level; (c) each experience has demonstrated that standard tools must be adapted and completed based on each national context; and (d) existing gaps in the benchmarking tools are being filled as a result of the testing and validation in the present pilot phase. <p>2. For the sub-regional and SMEs components of the project, it is too early at this stage to identify key lessons. Impact of the project and success stories will be identified once the project is rolled out.</p>

<p>Risks and Mitigation:</p>	<p>For the IP strategy component, in the initial phase of the IP audit/benchmarking, risks may be anticipated in the following cases:</p> <ul style="list-style-type: none"> (a) weak or insufficient political commitment toward IP strategy formulation, resulting in lack of cooperation from required stakeholders; (b) inability or lack of experience of national consultants in conducting the research to gather and analyze the data to support the formulation of the strategy. <p>These risks can be mitigated by including, as an essential element of the project implementation, an assessment mission to the country concerned prior to the beginning of the project. The purpose of this mission would be to leverage the highest political support, establish contacts with the main stakeholders (in government, academia, the private sector), introduce the future role of the national experts and train them on the use of the IP audit/benchmarking tools.</p>
<p>Issues Requiring Immediate Support/Attention:</p>	<p>The original project orientation with regard to national IP strategies formulation has been adapted to the new implementation schedule and somewhat modified. The original project document indicated that the initial steps <i>“in the establishment of a toolkit for the formulation of IP and innovation strategies [were] the creation of an Expert Group, from a list of eminent specialists in this area, tasked with the review of all existing tools, including the benchmarking tools, in order to streamline them and identify possible remaining gaps and ways for filling those gaps; and, the consolidation and formulation of a final toolkit, as well as the provision of guidance on how to use and apply the new toolkit for pilot testing in the countries selected”</i>.</p> <p>In the interest of the project implementation, and in line with its stated objectives, it was felt that it was more advantageous to move straight ahead with the pilot testing and validation of the available tools in the selected countries. It is on the basis of the feedback provided by these pilot experiences that the methodology will be validated, the tools streamlined and possible existing gaps identified and filled. Once the testing will be completed in the six selected countries, a meeting of experts will be convened, including the national consultants who have participated in the national phase, to discuss the benefits and possible drawbacks of the proposed methodology, with a view to refining and consolidating the benchmarking tools and the overall strategic approach.</p>
<p>The Way Forward:</p>	<ul style="list-style-type: none"> 1. IP strategies formulation: <ul style="list-style-type: none"> a) Provide continued guidance to pilot countries, at their different level of advancement and implementation of the project; b) launch project implementation in last two countries; c) continued review and consolidation of benchmarking tools based on feedback from each pilot country; d) As we draw lessons and consolidate experiences from the project, offer the methodology to other countries outside the pilot group. 1. SMEs studies and training of trainers: <ul style="list-style-type: none"> a) Analyze the conclusions of the pilot studies; evaluate the

	<p>effectiveness of the study methodology;</p> <p>b) Formulate and propose appropriate policy recommendations to member states in order to strengthen the IP management capacity of SMEs and SME support institutions;</p> <p>c) Consider undertaking similar studies in other countries; and</p> <p>d) Review the effectiveness of the Training of Trainers programs, make improvements where appropriate and expand the regional scope of these programs.</p>
Implementation Timeline:	<p>1. IP strategies: Implementation started and proceeding according to schedule in two countries (Dominican Republic and Mongolia); preparatory work underway in two countries (Moldova and Algeria); implementation to be launched in last two countries (to be determined) in 2011. Project expected to be completed by December 2011.</p> <p>2. SMEs: Implementation is on schedule and is expected to be completed by July 2011.</p>
Project Implementation Rate:	The budget utilization rate for this project is 18.8%
Previous Reports:	A report for this project was submitted in November 2009 for the fourth session of the CDIP (see document CDIP/4/2 Annex VIII).

PROJECT SELF-EVALUATION

Key to Traffic Light System (TLS)

****	***	**	NP	NA
Fully achieved	Strong progress	Some progress	No progress	Not yet assessed/discontinued

Project Outputs ¹⁴ (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
Standardized, though flexible, methodology for the formulation of national IP strategies	Testing of the methodology in six pilot countries.	Process started in 2 countries; Planning underway in 2 countries; Process yet to start in 2 countries.	**
	Benchmarking, IP audit and needs assessment tools successfully validated in six countries.	IP audit and needs assessment conducted according to the proposed methodology completed in one country and underway in a second country; Process launched in 2 additional countries.	**
	National experts trained in the conduct of the proposed methodology.	Experts trained and fully performing in 2 countries.	**
	National IP strategy framework drafted in 6 countries.	Drafts initiated in 2 countries.	**
A standardized methodology for undertaking survey/studies on IP for SMEs.	Validation of the methodology by the expert groups and field testing of the validated methodology through pilot testing in six countries.	Agreement signed with the six countries identified for conducting studies. Work in progress.	***
Availability of national translated and/or adapted versions of WIPO publications on IP for SMEs.	Local partners identified, agreements signed, timely receipt and approval of drafts, authorization for publication granted.	Translation/Customization of IP for Business Series publications is in progress in six countries.	**
Availability of IP PANORAMA in French and Spanish.	Partners identified, agreements signed, timely receipt and quality check of interim and final draft, authorization for publication granted.	Work in progress.	**

¹⁴ As per the original Project Document, Section 3.2.

Project Outputs¹⁴ (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
Created or enhanced capacity of local teachers/trainers to create awareness on the role of IP in competitiveness of a business and to provide basic assistance on IP information and registration services.	Successful completion of training programs evaluated on the basis of a questionnaire by the participants.	Work in progress.	**

Project Objective(s)	Indicators of Success in Achieving Project Objective(s) (Outcome Indicators)	Performance Data	TLS
Strengthen national IP institutional capacity through a standard, methodological and integrated approach to IP policy, strategy and institutional reform and modernization.	National IP strategy frameworks elaborated on the basis of the proposed methodology accepted by national governments Based on successful testing of the methodology in six pilot countries, ascertain its general acceptability for application on a larger scale to all countries.	IP audit and needs assessment exercise conducted in 2 countries with full collaboration of national stakeholders; analysis of these experiences started, in order to refine and adjust the methodology for further use and expansion to other countries.	**
Strengthen regional/sub-regional institutions dealing with IP.	At least one sub-regional/regional mechanism of cooperation in the field of IP in place.	Inputs received from CARICOM Member States on the establishment of a Regional Patent System.	**
New and/or improved IPR support services to SMEs.	Integration of IP into business strategy of SMEs ascertained by subsequent surveys.	Extension of pilot testing of the studies on IP for SMEs, to countries outside the initial 6 pilot (currently 2 countries).	**

[Annex X follows]

PROGRESS REPORT ON PROJECT FOR RECOMMENDATIONS 16 AND 20

PROJECT SUMMARY	
Project Code:	DA_16_20_01
Title:	Intellectual Property and the Public Domain
Development Agenda Recommendation:	<p>Recommendation 16: Consider the preservation of the public domain within WIPO's normative processes and deepen the analysis of the implications and benefits of a rich and accessible public domain.</p> <p>Recommendation 20: To promote norm-setting activities related to IP that support a robust public domain in WIPO's Member States, including the possibility of preparing guidelines which could assist interested Member States in identifying subject matters that have fallen into the public domain within their respective jurisdictions.</p>
Project Budget:	<p>Non-personnel costs: Sfr. 505,000 Personnel costs: Sfr. 380,000</p>
Project Start Date:	January 2010
Project Duration:	24 months.
Key WIPO Sectors Involved and Links to WIPO Programs:	<p>Innovation and Technology Sector, Brands and Design Sector, Culture and Creative Industries Sector, Global Issues Sector, Global Infrastructure Sector and Development Sector.</p> <p>Links to WIPO Programs 1, 2, 3, 4, 8, 9 and 14.</p>
Brief Description of Project:	<p>Identifying subject matter that has fallen into the public domain, and preventing subject matter that is in the public domain or under a common or communal proprietorship from individual appropriation, is a key challenge for firms, individuals and Member States, worldwide. With a view to addressing the concerns raised under Recommendations 16 and 20, this project will provide a series of surveys and studies to deepen the conceptual understanding of what constitutes the public domain in different jurisdictions, what tools have been already made available to help identify the subject matter that has fallen into the public domain, and, to the extent relevant information is made available, what are the implications and benefits of a rich and accessible public domain. The project is divided into three components that will address the issue from the perspective of (1) copyright; (2) trademarks; and (3) patents on the understanding that the text of trademarks has to be discussed at the Fifth Session of the CDIP. The outcome of the studies and surveys should be a first step towards further work, including the preparation of guidelines and/or development of tools to facilitate the identification of and access to public domain subject matter to promote norm-setting activities related to IP that support a robust public domain in WIPO's Member States.</p>

Project Manager:	Mr. Alejandro Roca Campaña
Links to Expected Results in the Program and Budget 2010/11:	<p>Strategic Goal I, Program 1: Enhanced cooperation among Member States in the area of developing the international patent system; Greater awareness of the legal principles and practices, of the patent system, including the flexibilities existing in the system, and enhanced understanding and further clarification of current and emerging issues that arise in relation to patent-related matters.</p> <p>Strategic Goal I, Program 2: Progress achieved in the development of a balanced multilateral legal framework for trademarks, industrial designs and geographical indications; Better understanding among Member States of different existing approaches to the protection and use of geographical indications and the legal, administrative, socio-cultural and economic issues underlying the implementation of such approaches.</p> <p>Strategic Goal I, Program 3: Increased awareness and capacity of Member States in copyright and related rights and enhanced knowledge in the management of IP-based assets by creative enterprises; Clarification of current emerging issues on copyright and related rights to Member States, creative industries, right owners and users.</p> <p>Strategic Goal III, Program 9: National IP policies/strategies and country action plans that are in line with national development plans and priorities.</p> <p>Strategic Goal IV, Program 14: Improved access to information resources generated by IP systems.</p>
Progress on Project:	<p>Component on Copyright and Related Rights:</p> <ol style="list-style-type: none"> 1. Scoping Study on copyright and the public domain. The final version of the study in English is available on the WIPO Website (http://www.wipo.int/export/sites/www/ip-development/en/agenda/pdf/scoping_study_cr.pdf) ; and is currently under translation. The related performance indicator is linked to feedback from Member States at the CDIP. Data will be collected during the 6th session of the Committee. 2. Second survey on voluntary registration and deposit systems. Preparation of the Survey has been undertaken on the basis of more than eighty one replies from Member States. Provisional results from the Survey are expected to be ready for the CDIP/6. 3. Survey on private copyright documentation systems and practices. Terms of Reference have been prepared, consultants selected and preparatory work on the survey is currently underway. 4. Conference on copyright documentation and infrastructure. The event is expected to take place during the second half of 2011 <p>Component on Trademarks:</p> <p>Regarding the project component on trademarks, the CDIP approved at its fifth session (April 26 to 30, 2010) an updated version of the relevant parts of the project document.</p>

	<p>Following that revision, a consultant has been identified for the preparation of a study on misappropriation of signs in accordance with the project document (see document CDIP/4/3 Rev.1). Work on the study is expected to commence in September 2010 with a duration of one year.</p> <p>Component on Patents:</p> <p>Implementation of this project component is on track with a study on patents and the public domain and feasibility study on legal status commissioned to external experts.</p> <p>A questionnaire investigating availability of national patent registers and legal status data has been developed and will be circulated to national IP Offices in due course.</p>
<p>Examples of Success/Impact and Key Lessons:</p>	<p>As regards the study on the legal status of the patent component, it became apparent that some inputs can be derived from the experience gained from the patent landscape reports to be produced under another DA project where the issues of freedom-to-operate searches very much relate to the legal status.</p>
<p>Risks and Mitigation:</p>	<p>As regards the study on the legal status of the patent component, potential difficulties affecting the results of the study could be a lack of replies from the IP Offices to the Questionnaire. Proper and full responses are essential as the respective situation of national registers of DCs and LDCs is little known and needs investigation. It is planned to raise awareness in the concerned IP Offices and directly contact IP Offices which have not replied.</p>
<p>Issues Requiring Immediate Support/Attention:</p>	<p>Concerning the Second survey on voluntary registration and deposit systems, appropriate approaches will need to be identified in order to make available the massive amount of information sent by Member States in different formats and languages.</p>
<p>The Way Forward:</p>	<p>Component on Copyright and Related Rights:</p> <ol style="list-style-type: none"> 1. Scoping Study on copyright and the public domain. To be presented to the CDIP and to further proceed in accordance with Member States indications. 2. Second survey on voluntary registration and deposit systems. 3. Survey on private copyright documentation systems and practices. Upon completion of the study, revise it and make it accessible to Member States. 4. Design an optimal way for the 2011 Conference on Copyright documentation and infrastructure to provide a framework for relevant discussion among stakeholders and Governments. <p>Component on Trademarks:</p> <p>Preparatory work for the study to take place during September and October 2010, including identifying region reporters, drafting a template for their contributions, and drafting of region report using the European Union as a model.</p> <p>November 2010 to February 2011: Drafting of region reports by the region reporters.</p> <p>Component on Patents:</p> <p>The Study on Patents and the Public Domain will be finalized by the end of January 2011 (in English) and will be translated into the other WIPO</p>

	<p>languages immediately thereafter.</p> <p>Study on the legal status: the Questionnaire has to be circulated and replies evaluated. The other parts of the study to be completed by the expert.</p>
Implementation Timeline:	<p>Component on Copyright and related rights: Project implementation is progressing according to the approved schedule: -The Preparation of the Second survey on voluntary registration and deposit systems is delayed. The questionnaire was sent to Member States on February 11, 2010, and the original deadline for responses was extended from April 30 till June 30, 2010. A number of responses arrived beyond the deadline. Despite of the fact that questions were circulated in three languages (English, French or Spanish) some replies were received in different languages; which further impacted the timeframe for delivery of the Survey. Provisional results of the Survey are expected to be ready for the CDIP6.</p> <p>Component on Trademark: Project implementation is progressing according to the approved timelines.</p> <p>Component on Patents: Project implementation is progressing according to the approved timelines.</p> <p>Study on legal status: Completion of the study and presentation to the Member States to be extended into the end of 1st quarter of 2011.</p>
Project Implementation Rate:	Budget utilization rate as per end of August 2010: 11.5 %.
Previous Reports:	None.

PROJECT SELF-EVALUATION

Key to Traffic Light System (TLS)

****	***	**	NP	NA
Fully achieved	Strong progress	Some progress	No progress	Not yet assessed/discontinued

Project Outputs ¹⁵ (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
1. Scoping Study on copyright and the public domain.	Completion of the paper within the established timeframe and the quality required by the TOR for presentation to the CDIP;	Paper completed and available on WIPO webpage	**
	Feedback from Member States on the paper when presented to the CDIP.	Data to be collected during the 6 th session of the CDIP, November 2010	NA
2. Second survey on voluntary registration and deposit systems.	Reasonable number of replies to the questionnaire from Member States enabling a meaningful analysis by the Secretariat;	More than 80 responses.	***
	Completion of the paper within the established timeframe and the quality required by the terms of reference (TORs) for presentation to the CDIP;	Provisional results of the Survey to be presented to the 6 th session of the CDIP, November 2010.	**
	Feedback from Member States on the paper when presented to the CDIP.	Data to be collected during the 6 th session of the CDIP, November 2010.	NA
3. Survey on private copyright documentation systems and practices.	Completion of the paper within the established timeframe and the quality required by the TOR for presentation to the CDIP;	Not yet available.	NA
	Feedback from Member States on the paper when presented to the CDIP.	Not yet available.	NA

¹⁵ As per the original Project Document, Section 3.2.

Project Outputs¹⁵ (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
4. Conference on copyright documentation and infrastructure.	Feedback from Member States on the paper and on the outcome of the conference.	Not yet available.	NA
Study on Misappropriation of Signs which presents a fact-based comparative analysis of the trademark laws of a representative number of Member States to examine how they make their determinations to define and apply misappropriation of signs owned by specific collectivities.	Preparation of draft study.	Expert being contracted.	**
	Comments on study by Member States.	Not yet available.	NA
	Final version of study.	Not yet available.	NA
	Consideration of study by the SCT.	Not yet available.	NA

Project Objective(s)	Indicators of Success in Achieving Project Objective(s) (Outcome Indicators)	Performance Data	TLS
Enhanced understanding of the definition of the public domain and the availability of tools for identifying subject matter that has fallen into the public domain	Quality of discussion among Member States on the studies/tools/surveys developed.	Not yet available	NA
	Feedback by Member States on the extent to which the outputs have met the concerns addressed in the Recommendation.	Not yet available	NA
Feasibility study on creation of a national patent register database and linkage to PATENTSCOPE®	Completion of the study within the established timeframe and the quality required by the TOR for presentation to the CDIP	Expert contracted for report. Questionnaire drafted.	**
	Decision taken on the basis of conclusions reached by the Study.	Not yet available	NA
Identification of new tools or guidelines to be developed on the basis of findings of studies	List of tools and guidelines for possible development by WIPO in the specified areas, to be made available at the end of the project for evaluation by Member States.	Guidelines for legal status investigations (FTO searches) are under preparation in context of the feasibility study	**

Project Objective(s)	Indicators of Success in Achieving Project Objective(s) (Outcome Indicators)	Performance Data	TLS
Study on Patents and the Public Domain	Completion of the study within the established timeframe and the quality required by the TOR for presentation to the CDIP.	The study was commissioned to external experts within the established timeframe.	***
	Feedback from member States on the study when presented to the CDIP.	Not yet available	N/A

[Annex XI follows]

PROGRESS REPORT ON PROJECT FOR RECOMMENDATIONS 7, 23 AND 32

PROJECT SUMMARY	
Project Code:	DA_7_23_32_01
Title:	Intellectual Property and Competition Policy
Development Agenda Recommendation:	<p>Recommendation 7: Promote measures that will help countries deal with intellectual property related anti-competitive practices, by providing technical cooperation to developing countries, especially LDCs, at their request, in order to better understand the interface between IPRs and competition policies.</p> <p>Recommendation 23: To consider how to better promote pro-competitive intellectual property licensing practices, particularly with a view to fostering creativity, innovation and the transfer and dissemination of technology to interested countries, in particular developing countries and LDCs.</p> <p>Recommendation 32: To have within WIPO opportunity for exchange of national and regional experiences and information on the links between IPRs and competition policies.</p>
Project Budget:	Non-personnel costs: Sfr. 430,000 Personnel costs: Sfr. 640,000
Project Start Date:	January 2010
Project Duration:	24 months
Key WIPO Sectors Involved and Links to WIPO Programs:	<p>Innovation and Technology Sector, Brands and Design Sector, Culture and Creative Industries Sector, Global Issues Sector and Development Sector.</p> <p>Links to WIPO Programs 1, 2, 3, 8 and 9.</p>
Brief Description of Project:	<p>In order to promote a better understanding of the interface between intellectual property and competition policy, particularly in developing countries and countries with economies in transition, WIPO would undertake a series of activities that would collect and scrutinize recent practices, legal developments, jurisprudence and legal remedies available in selected countries and regions, comprising both practices of collusion or conspiracy between competitors (bilateral practices, including licensing agreements), and practices of unilateral misuse with anticompetitive effects (vertical price maintenance, tie-ins, refusal to deal, in certain circumstances). Those activities will consist of surveys as well as a series of sub-regional seminars and Geneva-based symposia which will be organized as fora for exchange of experiences in this field. WIPO's licensing training programs will include a component on the pro-competitive aspects of licensing and anti-competitive licensing practices, and a Global Meeting will be organized on Emerging Copyright Licensing Modalities. The studies and the proceedings of a number of meetings will be published. The WIPO's Guide on Franchising will also be revised and updated so as to reflect the eventual interaction between such a business model and antitrust law.</p>

Project Manager:	Mr. N. Pires de Carvalho
Links to Expected Results in the Program and Budget 2010/11:	Strategic Goal VII, Program 18: Addressing IP in relation to global policy issues promotion of well founded policy dialogue among stakeholders concerning global issues.
Progress on Project:	<p>All the Project components are supposed to be carried out in the course of the biennium. Thus far, all components are being implemented in a timely manner: the introduction of IP and competition issues in training programs has started; the studies on IP&CP are being executed; one international meeting was held in Brazil, and the second one will be held in South Africa in December; the Global Meeting will be held in November; one Symposium was held in May and the second one will be held in October; the questionnaires for the two surveys have been circulated and answers are being collected. The last component (publications) will be implemented at the end of the Project. The output indicators permit to conclude that the Project is being successfully implemented.</p> <p>As regards outcomes, it can be concluded that the project has already started contributing to an enhanced cooperation and coordination of activities between national IP and competition authorities in a number of Member States.</p>
Examples of Success/Impact and Key Lessons:	The major objective of the project is enhanced awareness about the interface of IP and CP. As an indication of progress made towards achieving this objective, two requests for technical assistance have been received from Member States, comprising debates on the implementation of national statutes and their revision. Moreover, in one Member State, the national IP and CP authorities have signed a MoU so as to coordinate activities.
Risks and Mitigation:	In a number of Member States we have detected lack of cooperation/coordination between IP and CP authorities. One of the studies will focus on this matter and will address recommendations based on a fact finding exercise. Moreover, the responses to both questionnaires, even if already representative, are below the benchmark approved by Member States (at least 25 responses from developed countries and 35 from developing countries). The attention of Member States will be drawn to this matter at CDIP/6.
Issues Requiring Immediate Support/Attention:	In view of the requests of technical assistance, as mentioned before, the needs for human resources are unexpectedly higher than initially foreseen.
The Way Forward:	The work will continue as initially planned.
Implementation Timeline:	The Project is being implemented in a timely manner.
Project Implementation Rate:	Budget utilization rate as per end of August 2010: 13.2%.
Previous Reports:	None.

PROJECT SELF-EVALUATION

Key to Traffic Light System (TLS)

****	***	**	NP	NA
Fully achieved	Strong progress	Some progress	No progress	Not yet assessed/discontinued

Project Outputs ¹⁶ (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
Introducing IP and competition issues into technology licensing programs:	Actual inclusion of the issue into training programs; and Updating of the guide and toolkit so as to include elements concerning IP and Competition Policy.	Text to be inserted in the guide drafted and discussed with the relevant sector of the Secretariat. Text is currently under revision.	***
Studies on IP and Competition:	Completion of the studies within an established timeframe for presentation to the CDIP; and Broad agreement of Member States with the trust and the conclusions of studies, when presented to the CDIP.	Two studies currently being elaborated. A third study will start being prepared in August 2010. The fourth study will start being elaborated in the 2 nd half of 2010.	***
Regional or Sub-Regional Meetings on IP and Competition:	Request for such meetings from Member States; and 75% of the participants confirm the usefulness of the meetings with regard to the objectives set.	The first meeting held in Brazil, in June 2010. The second one to be held in South Africa, in December 2010 (tentative date). Arrangements currently under discussion with the S.A. authorities. Usefulness not yet quantified but response was very positive.	***
Global Meeting on Emerging Copyright Licensing Modalities:	Organization of a conference in the first quarter of 2010; Broad participation of a wide range of stakeholders at the Global Meeting; and 75% of participants confirm the usefulness of the meeting with regard to the	Meeting will be held in November, 2010.	***

¹⁶ As per the original Project Document, Section 3.2.

Project Outputs ¹⁶ (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
	objectives set.		
Geneva-based Symposia on IP and Competition Policy:	<p>Organization of one symposium per semester; Participants of relevant stakeholders and contribution to a better understanding of the topics; and</p> <p>75% of the participants confirm the usefulness of the meetings with regard to the objectives set.</p>	<p>First symposium held in May 2010. Second symposium to be held in October 2010 (preparations in course).</p> <p>Usefulness not yet quantified but reactions were very positive.</p>	***
Elaboration of a survey, development of a Guide on Franchising and organization of two workshops:	<p>Obtaining a representative number of replies to the questionnaire; and</p> <p>Timely elaboration and publication of the Guide on Franchising.*</p> <p><i>* As per doc. CDIP/4/4, the elaboration of the survey has become a stand-alone component.</i></p>	24 responses obtained so far (August 11, 2010).	**
Elaboration of a survey and analysis on the use of compulsory licenses to repress anticompetitive practices.	Timely preparation of the questionnaire, its discussion with Members, its circulation and compilation of answers.	35 responses obtained so far (August 11, 2010).	**
Publication of studies and proceedings of relevant meetings:	Publication made on the basis of merit (i.e., fully meeting the specifications of the ToR) and convenience (i.e., assisting to determine priorities and having a broader usefulness beyond those who participated in the meetings).	Component of the Project to be considered in the last quarter of 2010.	NA

Project Objective(s)	Indicators of Success in Achieving Project Objective(s) (Outcome Indicators)	Performance Data	TLS
Better understanding of the interface between IP and Competition among policy-makers:	Feedback by Member States on the extent to which the outputs have met the concerns addressed in the Recommendation(s).	The Secretariat has received already feedback concerning cooperation and coordination of activities by national IP and competition authorities from a number of Member States.	***
Promoting pro-competitive intellectual property licensing practices:	Feedback from participants on the training programs concerning the new component; and Adoption of appropriate legal provisions in national or regional laws; adoption of guidelines and recommendations at the national or regional level.	The first indicator to be assessed at a later stage. The second indicator has already been identified as regards one regional organization, to which technical support in the matter of national/regional laws has been provided. It is foreseen that such kind of support will be extended to other Member States, upon request.	***
Opportunity for exchange of national and regional experiences:	Feedback from Member States on the seminars; Feedback from stakeholders on the symposia; Significant number of answers to the questionnaire(s) [at least 25 from developed countries, and 35 from developing countries]; and 75% of participants confirm the usefulness of the meetings with regard to the objectives set. This should be re-checked no later than 6 months later, after the event took place.	Feedback and quantification will be sought at a later stage. So far, a relatively small number of responses were submitted by Member States.	**

[Annex XII follows]

PROGRESS REPORT ON PROJECT FOR RECOMMENDATIONS 19, 24 AND 27

PROJECT SUMMARY	
Project Code:	DA_19_24_27
Title:	Intellectual Property, Information and Communication Technologies (ICTs), the Digital Divide and Access to Knowledge
Development Agenda Recommendation:	<p>Recommendation 19: To initiate discussions on how, within WIPO's mandate, to further facilitate access to knowledge and technology for developing countries and LDCs to foster creativity and innovation and to strengthen such existing activities within WIPO.</p> <p>Recommendation 24: To request WIPO, within its mandate, to expand the scope of its activities aimed at bridging the digital divide, in accordance with the outcomes of the World Summit on the Information Society (WSIS) also taking into account the significance of the Digital Solidarity Fund (DSF).</p> <p>Recommendation 27: Facilitating IP-related aspects of ICT for growth and development: Provide for, in an appropriate WIPO body, discussions focused on the importance of IP-related aspects of ICT, and its role in economic and cultural development, with specific attention focused on assisting Member States to identify practical IP-related strategies to use ICT for economic, social and cultural development.</p>
Project Budget:	<p>Non-personnel costs: Sfr.1,305,000</p> <p>Additional Personnel costs: Sfr.418,000</p>
Project Start Date:	January 2010
Project Duration:	24 months.
Key WIPO Sectors Involved and Links to WIPO Programs:	<p>Culture and Creative Industries Sector, Global Infrastructure Sector and Development Sector.</p> <p>Links to WIPO Programs: 3, 9, 14 and 15.</p>
Brief Description of Project:	<p>The project will have two components, one on copyright and access to knowledge, and the other on the digitization of industrial property rights.</p> <p>As part of the infrastructure for achieving the goals of digital inclusion, the copyright system, including its flexibilities, may play an important role in enabling access to ICTs and to information and knowledge, thus helping to bridge the "Digital Divide." The first component of the project regarding copyright aims at providing Member States with a source of relevant and balanced information on the opportunities provided by new models of distributing information and creative content, focusing on the areas of education and research, software development and e-information services (e.g., e-journals and public-sector information).</p> <p>The second component of the project on the digitization of national industrial property documents to create a database will enhance public access to digital content, generated by the industrial property system for enriching and empowering innovation stakeholders, with the power of knowledge. It aims to assist Member States in digitizing paper-based documents of IPRs as a first step, to improve the digital divide, and to gain skills for creating a national IP database, which would provide users with easy access to intellectual property information.</p>

Project Manager:	Ms. Carole Croella and Mr. William Meredith
Links to Expected Results in the Program and Budget 2010/11:	<p>Strategic Goal I, Program 3: Increased awareness and capacity of member States in copyright and related rights; Clarification of current emerging issues on copyright and related rights to member States, creative industries, right owners and users.</p> <p>Strategic Goal IV, Program 15: Enhanced efficiency of IP institutions through automation of business processes; IP institutions with capability to access and use global resources through use of standardized electronic data exchange systems.</p>
Progress on Project:	<p>Copyright and access to knowledge Component: Copyright project is divided into three studies which have been commissioned and are in progress as scheduled.</p> <p>Digitization Component: Digitization projects have been initiated and are in progress in eight IP offices worldwide. Some of these projects are scheduled to be completed in 2010, others will complete in 2011.</p>
Examples of Success/Impact and Key Lessons:	To early to assess.
Risks and Mitigation:	<p>Copyright and access to knowledge Component: The study will consist of a survey of legislation, public policies and government strategies with examples to be taken from Africa, Latin America and Asia. Some of the regions might not be very well advanced in terms of implementation of public policies related to the target areas to be covered under the project. This factor may lead to a shortage of relevant examples, but on the other hand it will permit a better identification of the geographical areas where promoting public actions is much needed.</p> <p>Digitization Component: Some offices may lack sufficient ICT equipment for digitization projects. Funding is included for the provision of a minimum set of hardware and software where necessary.</p> <p>Digitization of IP documents may be technically complex and the quality of the output depends on the quality of the digitization system. WIPO provides the WIPO Scan software to ensure that the projects deliver high-quality output that conforms to WIPO standards for data exchange.</p>
Issues Requiring Immediate Support/Attention:	None.
The Way Forward:	<p>Copyright and access to knowledge Component: The work is expected to proceed as planned. The interim reports for the three parts of the Study will be prepared for early December 2010. The Interim reports will be presented during a workshop to be held in Geneva in February 2011. The study will be finalized by early May 2011</p> <p>Digitization Component: Assessment missions will be conducted to several offices in 2010 and 2011 and additional projects will be initiated. The exact number will depend on the demand from offices.</p>

Implementation Timeline:	Copyright and access to knowledge Component: The project is proceeding according to the original planning. Digitization Component: The project is proceeding according to the original planning. Projects in some countries may start later than expected.
Project Implementation Rate:	Budget utilization rate as per end of August of 2010: 16.1%.
Previous Reports:	None.

PROJECT SELF-EVALUATION

Key to Traffic Light System (TLS)

****	***	**	NP	NA
Fully achieved	Strong progress	Some progress	No progress	Not yet assessed/discontinued

Project Outputs ¹⁷ (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
1.1. Study on “Using Copyright to Promote Access to Information and Creative Content”.	Completion of the Study within the established timeframe and the quality required by the TOR for presentation to the CDIP. Feedback from Member States on the Study when presented to the CDIP.	Study under completion Usefulness not yet quantified but further engagement or request from Member States for new activities to enhance access to information and creative content would be relevant measurements of performance. Feedback from Member States on the Study when presented to the CDIP is to be assessed at a later stage.	**
1.2. Feasibility Assessment on Possible New WIPO Activities.	Completion of the paper within the established timeframe and the quality required by the TOR for presentation to the CDIP. Feedback from Member States on the paper when presented to the CDIP.	Too early to evaluate whether MS will request new activities.	NA
2.1 Project Plans.	Timely preparation of the project plans and acceptance by the beneficiary countries.	Project plans are prepared as part of the initiation of each project in each country.	**
2.2. Digitized data generated from paper-based documents of industrial property.	Milestones are met according to a timetable and acceptance of the intermediate deliverables.	Projects in eight offices are proceeding according to plan.	**
2.3. Creation of a new database, or integration of the digitized data into	Milestones are met according to a timetable and acceptance of the intermediate deliverables.	New databases are being created in participating offices; Data will be integrated into the Patentscope® database as projects are	**

¹⁷ As per the original Project Document, Section 3.2.

Project Outputs¹⁷ (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
the existing databases.		completed.	
2.4. Grounds laid for operation and updating of the databases by continuing digitization of new documents.	At least for the next five years from the integration of the new data, the updating of the database by sufficient local staff trained in the project, and the provision of the WIPO PATENTSCOPE® Search Service to the public.	Too early to evaluate.	NA

Project Objectives¹⁸ (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
1.1. Raising Member States' awareness of the potential of the copyright system to enhance access to information and creative content and thus contribute to development.	Quality of discussion among Member States on the Study and its findings; Feedback, during CDIP sessions, on the extent to which the outputs have met the concerns addressed in the recommendations; Evaluation by Member States of the Study's recommendations.	Feedback and assessment to be provided at a later stage although it is likely that MS will require further activities to promote public action and involvement in the areas covered by the studies namely, education and research, software and public sector information .	NA
1.2. To discuss and evaluate possible new engagements of WIPO.	Discussion and decision on whether new engagements are required to address the recommendations.	To be assessed at a later stage.	NA
2.1. Mitigation of the digital divide among users of the IP system in developing countries and LDCs.	Access by users to the newly created database containing digitized data.	Too early to evaluate.	NA

¹⁸ As per the original Project Document, Section 3.2.

Project Objectives¹⁸ (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
2.2. Increased capacity to digitize documents and to update IP information databases.	New or improved skills which enable the IP Office to operate IP databases and continuously update them with the minimum additional assistance of WIPO.	Too early to evaluate. Training of local IP Office staff is included in projects. The results of that training in terms of improved skills will be assessed at a later stage.	NA

[Annex XIII follows]

PROGRESS REPORT ON PROJECT FOR RECOMMENDATIONS 19, 30 AND 31

PROJECT SUMMARY	
Project Code:	DA_19_30_31_01
Title:	Developing Tools for Access to Patent Information
Development Agenda Recommendation:	<p>Recommendation 19: To initiate discussions on how, within WIPO's mandate, to further facilitate access to knowledge and technology for developing countries and LDCs, to foster creativity and innovation and to strengthen such existing activities within WIPO.</p> <p>Recommendation 30: WIPO should cooperate with other intergovernmental organizations to provide developing countries, including LDCs, upon request, advice on how to gain access to and make use of IP-related information on technology, particularly in areas of special interest to the requesting parties.</p> <p>Recommendation 31: To undertake initiatives agreed by Member States, which contribute to the transfer of technology to developing countries, such as requesting WIPO to facilitate better access to publicly available patent information.</p>
Project Budget:	Non-personnel costs: Sfrs. 936,000 Personnel costs: Sfr. 640,000
Project Start Date:	January 2010
Project Duration:	30 months
Key WIPO Sectors Involved and Links to WIPO Programs:	Innovation and Technology Sector, Global Issues Sector and Global Infrastructure Sector. Links to WIPO Programs 1, 14 and 18.
Brief Description of Project:	<p>This project aims to provide developing countries, including LDCs, upon request, with services which will facilitate the use of patent information on specific technology for facilitating their indigenous innovation and R&D in cooperation with other intergovernmental organizations. In order to achieve these aims, the project will implement the following elements:</p> <ul style="list-style-type: none"> — Patent Landscaping Reports will be drafted which exploit the vast resources of patent information to provide an analysis of specific technologies and related existing IP rights for selected areas of technology; — An e-tutorial available on DVD or on the Internet will provide training on using and exploiting patent information, in particular focusing on the preparation of technology and patent search reports similar to Patent Landscaping Reports; and <p>Conferences, including workshops and training courses, will be organized for users, in particular for staff of Technology and Innovation Support Centers, in order to exchange experiences and best practices regarding the use of patent information, as well as to develop specific skills such as preparing Patent Landscaping Reports by local research institutions and universities.</p>

Project Manager:	Mr. Alejandro Roca Campaña
Links to Expected Results in the Program and Budget 2010/11:	<p>Strategic Goal I, Program 1: Greater awareness of the legal principles and practices, of the patent system, including the flexibilities existing in the system, and enhanced understanding and further clarification of current and emerging issues that arise in relation to patent-related matters.</p> <p>Strategic Goal IV, Program 14: Improved access to information resources generated by IP systems; Enhancement of WIPO Patent Search Support Services for IP offices of member states; Improved use of patent information through the development of patent landscapes and related tools covering selected topics.</p> <p>Strategic Goal VII, Program 18: Distinctive and practically useful information resources combining policy analysis with enhanced use of patent information for policy-makers and practical tools for open innovation.</p>
Progress on Project:	<p>Cooperation has been established with several IGOs, including WHO, UNITAID, FAO, ITU, UNIDO, UNFCCC. The cooperation has led to identification of several specific topics for patent landscape reports (PLRs):</p> <ul style="list-style-type: none"> – Patent landscape of the antiretroviral Ritonavir (cooperation with UNITAID) – Patent landscape of the antiretroviral Atazanavir (cooperation with UNITAID) – Vaccine manufacturing (cooperation with WHO's Initiative for Vaccine Research (IVR)) – Salinity resistance as an example of an adaptation technology for climate change (cooperation with FAO) – Solar cooking (WIPO initiative) – Solar cooling (WIPO initiative) <p>Terms of Reference (TORs) describing the specific issues to be investigated were established.</p> <p>The procurement approach was modified following recommendations of the Procurement and Travel Division: Expressions of Interest (EOIs) were invited for landscape reports in general instead of RFPs for specific landscape reports. With 36 EOIs the response was extraordinary. Some 33 potential contractors have pre-qualified.</p> <p>The overall strategy for the production of the e-tutorial has been agreed and established.</p> <p>The table of content has been agreed and completed. Phase one of implementation is to start in September 2010 for the engagement of the e-learning tutorial and the patent information specialist.</p>
Examples of Success/Impact and Key Lessons:	<p>One key lesson is the need for appropriate partners to properly define topics and scope of PLRs (see the risk section below).</p> <p>It became apparent that experience gained with some landscape reports with respect to legal status could provide some input for the Feasibility Study of DA project DA_16_20_01 (public domain).</p>

Risks and Mitigation:	<p>Risk: Lack of demand and expertise. The PLRs should be demand driven. However, there is little demand yet coming directly from DCs or LDCs. There is interest on the side of IGOs and NGOs that come up with topics of interest for DCs and LDCs.</p> <p>It is essential for WIPO to have for PLRs partners that propose topics to be investigated <u>and</u> provide expertise to properly draft TORs with appropriate scopes and issues to be researched. There is not enough technical expertise on WIPO side to cover all technical areas. Such external expertise is particularly important for PLRs as that requires knowledge of the local or community specific conditions or situations. WIPO will continue to actively identify and approach appropriate partners and ask existing partner organizations to promote this WIPO activity.</p>
Issues Requiring Immediate Support/Attention:	None.
The Way Forward:	<p>The above mentioned PLRs will be contracted out among the prequalified vendors.</p> <p>It is planned to present findings of the health related PLRs at an envisaged trilateral WIPO/WHO/WTO symposium on IP and access to medicines tentatively scheduled for January 2011.</p> <p>In the long run, it is envisaged to include PLRs as a standard product in the portfolio of WPIS (WIPO's Patent Information Service for developing countries).</p>
Implementation Timeline:	Due to the late start of the project, the whole delivery is delayed by two quarters. However, the expected total of 12 PLRs will be available by end of 2011. Since the procurement approach was modified (see the EOI approach above) and since TORs can only be established by and by, the items A.1 to A.4 of the Implementation timeline table of the project document do not accurately reflect the actual implementation procedure.
Project Implementation Rate:	Budget utilization rate as per end of August 2010: 19.1%.
Previous Reports:	None.

PROJECT SELF-EVALUATION

Key to Traffic Light System (TLS)

****	***	**	NP	NA
Fully achieved	Strong progress	Some progress	No progress	Not yet assessed/discontinued

Project Outputs ¹⁹ (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
Patent Landscaping Reports accessible on PATENTSCOPE® website.	Six Patent Landscaping Reports made accessible per year 2010 and 2011, with a quality corresponding to the TOR requirements;	EOIs received and prequalification selection completed; 6 TORs established.	**
	An increasing number of hits on the PATENTSCOPE® website pages containing the patent landscapes.		NA
E-learning tutorial completed and delivered.	E-tutorial published on DVD and distributed, as well as being available on the PATENTSCOPE® website – 18 months after project begins;	The table of content and the overall strategy for the engagement of contractors for the preparation of the e-tutorial is completed. Phase 1 is about to start for hiring the e-learning and the patent information specialists according to the defined strategy.	**
Completed organization of regional conferences.	Surveys reporting satisfaction, and whether objectives were fulfilled, to be completed at the end of the conference by participants.		NA

¹⁹ As per the original Project Document, Section 3.2.

Project Objective(s)	Indicators of Success in Achieving Project Objective(s) (Outcome Indicators)	Performance Data	TLS
Improved understanding of businesses and public policymakers in developing countries regarding key trends in specific technology and its effects on business and society.	30% of businesses and public policymakers in developing countries that have used the new PATENTSCOPE® landscapes, confirm that it enabled them to identify specific areas of technology, so as to either exploit, license-in or purchase the technology and know-how; this data would be established by means of a survey on PATENTSCOPE®.		NA
Improved understanding of patent information, in particular how, why and where to carry out a search.	A questionnaire on the usefulness of the tutorial will be available (on both the DVD and Internet versions), so as to be filled-out by users to gauge their understanding of patent information.		NA
More effective use and exploitation of patent information.	Follow-up survey 6 months after the Regional Conference to be filled-out by the Conference participants describing any developments with respect to knowledge, search practices and general services.		NA

[Annex XIV follows]

PROGRESS REPORT ON PROJECT FOR RECOMMENDATIONS 33, 38 AND 41

PROJECT SUMMARY	
Project Code:	DA_33_38_41_01
Title:	Enhancement of WIPO's Results-Based Management (RBM) Framework to Support the Monitoring and Evaluation of the Impact of the Organization's Activities on Development.
Development Agenda Recommendation(s):	<p>Recommendation 33: To request WIPO to develop an effective yearly review and evaluation mechanism for the assessment of all its development-oriented activities, including those related to technical assistance, establishing for that purpose specific indicators and benchmarks, where appropriate.</p> <p>Recommendation 38: To strengthen WIPO's capacity to perform objective assessments of the impact of the Organization's activities on development.</p> <p>Recommendation 41: To conduct a review of current WIPO technical assistance activities in the area of cooperation and development.</p>
Project Budget:	Non-personnel costs: Sfr. 647,000 Personnel costs: Sfr. 114,330
Project Start Date:	January 2010
Project Duration:	24 months
Key WIPO Sectors Involved and Links to WIPO Programs:	Administration and Management Sector, Development Sector, Internal Audit and Oversight Division and Economics and Statistics Division. Links to WIPO Programs: All programs.
Brief Description of Project:	<p>(i) Design, develop and establish a sustainable and coherent results-based framework to support the monitoring and evaluation of the impact of the Organization's activities on development. This framework will be consistent with and an integral component of WIPO's results-based management framework. It will be designed in close consultation with stakeholders to ensure that it meets their information requirements, and ensures the Secretariat's accountability, in terms of its activities and their impact on development and the implementation of the Development Agenda. This consultative process will also help establish ownership of the framework by all stakeholders and, thereby, support its sustainability once the project is complete. The framework will address the Organization's need for a management tool to improve the management of resources, in particular as these relate to the impact of the Organization's activities on development, and their use in an effective and efficient way to deliver tangible and positive development results.</p> <p>(ii) Seek to strengthen the capacity for objective development impact assessments of the Organization's activities; and</p> <p>(iii) Conduct a review of WIPO's existing technical assistance activities in the area of cooperation for development to help establish some baselines for further work.</p>

Project Manager:	Ms. Maya Bachner
Links to Expected Results in the Program and Budget 2010/11:	Strategic Goal IX, Program 22: Coherent program management and performance practices and accountability are enhanced across the Organization.
Progress on Project:	<p>Preparatory work to enhance WIPO's results frameworks to support the monitoring and evaluation of the impact of its activities on development is well underway.</p> <p>High level RBM experts conducted a marco-level analysis of the state of the art of RBM within the Organization, including in relation to development, and provided overall guidance for the project implementation. The experts also held a brainstorming session with interested Member States aimed at further clarifying the project scope.</p> <p>A review has been conducted by an IP and development expert on articulating the results to be expected from the implementation of the Development Agenda, the extent to which the expected DA results are reflected in the current Program and Budget 2010/11 and the MTSP and to what extent the performance indicators in the Program and Budget 2010/11 provide a sufficient framework to measure the results expected from the implementation of the Development Agenda. The review also addresses the links between the DA projects and WIPO's RBM framework.</p> <p>The senior RBM experts and the review have greatly contributed to an enhanced understanding of the strengths and weaknesses of RBM at WIPO, including the current Program and Budget (2010/11), in particular as regards the mainstreaming of the Development Agenda recommendations and development activities in general. The review findings and recommendations will be further fine-tuned and elaborated upon during the facilitated RBM workshops for each sector (see below "Way forward") and fully taken into consideration when preparing the Program and Budget 2012/13.</p> <p>As regards the MTSP, Development Agenda recommendations have been visibly mainstreamed throughout the proposed MTSP which has been submitted for recommendation and approval to the Program and Budget Committee and the WIPO Assemblies in September 2010.</p> <p>Concerning the Review of WIPO's Technical Assistance in the Area of Cooperation for Development, a draft ToR has been proposed by the Secretariat and circulated for comments to Member States (C.N. 3137-3132) in July 2010. An internal committee for the selection of the consultants for the review has been established.</p>
Examples of Success/Impact and Key Lessons:	Lesson learned to date: The strengthening of the WIPO RBM results framework in terms of focus on outcomes, including development outcomes, requires an in-depth understanding within the Organization and among its stakeholders of results chains and attribution issues. This will need to be adequately addressed during project implementation.
Risks and Mitigation:	Risks as identified in the project documents still remain valid. The risk of Program Managers perceiving the strengthening of the WIPO RBM framework, including in relation to development results, as an exercise of strengthening accountability rather than learning is still high. The project is mitigating this risk by employing as many participatory methods as possible specifically tailored to the needs of the sectors and individual managers.

Issues Requiring Immediate Support/Attention:	None.
The Way Forward:	<p>As regards the strengthening of capacities within WIPO in design, monitoring and evaluation, with particular emphasis on development, a series of facilitated results-based management workshops are being organized for each WIPO sector during the period September to November 2010. These workshops aim at building the foundation for a successful strengthening of RBM, including for development, by enhancing understanding of planning for results, the skills to apply it and deliver draft results frameworks as part of the preparations for the Program and Budget 2012/13. The facilitators include RBM and IP & development experts.</p> <p>The conduct of the Review of WIPO's Technical Assistance in the Area of Cooperation for Development is expected to commence in September 2010.</p>
Implementation Timeline:	Implementation of the project has been slightly delayed, especially the commencement of the Review of WIPO's Technical Assistance in the Area of Cooperation for Development. It is expected however, that all the deliverables related to the strengthening of WIPO's organizational RBM framework, including in relation to development results, will be finalized in time for feeding into the preparation for the Program and Budget 2012/13.
Project Implementation Rate:	Budget utilization rate as per end of August 2010: 28.9%.
Previous Reports:	None.

PROJECT SELF-EVALUATION

Key to Traffic Light System (TLS)

****	***	**	NP	NA
Fully achieved	Strong progress	Some progress	No progress	Not yet assessed/discontinued

Project Outputs ¹ (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
Advice and guidance provided internally within the WIPO Secretariat on the design of program strategies and results-framework, in particular related to the implementation of the Development Agenda and the impact of the Organization's activities on development.	Quality RBM Guidance materials developed and used by program managers.	Not yet available	NA
	Extensive consultations held with program managers during the preparation of the Medium-Term Strategic Plan and the WIPO Program and Budget 2012-2013. Program managers find the consultations useful.	Extensive consultations held with program managers in the context of the MTSP results framework. Extensive ownership of the frameworks at the Senior Management Team level.	***
Development of a WIPO monitoring and evaluation system to support assessments of the impact of the Organization's activities on development.	A WIPO monitoring and evaluation system which supports the assessment of the impact of the Organization's activities on development which fully incorporates the Development Agenda Recommendations is piloted during the biennium 2010-2011 and approved for full implementation starting from the biennium 2012-2013.	The establishment of a 1 st generation monitoring and performance assessment system, anchored on the results frameworks in the Program and Budget 2010/11, has been initiated with the definition of indicator baselines, identification of performance data to be collected on the performance indicators, identification of data sources, and assignment of responsibilities for collecting performance data.	**
Training of WIPO staff in the design of results frameworks and monitoring and evaluation, with particular emphasis on the Development Agenda and the impact of the Organization's activities on development.			
Awareness raising events on the benefits of monitoring and evaluation at the country and regional levels.	80% of WIPO staff in key management positions trained in RBM with particular emphasis on managing for development results.	Not yet available.	NA
	40 countries in all regions (proportional regional balance will be sought) benefit from awareness raising activities and are satisfied with their usefulness.	Not yet available.	NA
Review of WIPO's technical assistance	Review of WIPO's technical assistance work in the area of	Preparations for the review well underway.	**

Project Outputs¹ (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
work in the area of cooperation for development.	cooperation for development is presented to the CDIP which endorses the report's conclusions and recommendations.		

Project Objective(s)	Indicators of Success in Achieving Project Objective(s) (Outcome Indicators)	Performance Data	TLS
Development and more specifically the Development Agenda Recommendations are fully mainstreamed and reflected in WIPO's RBM planning documents (strategic planning, programming and budgeting) and effective organizational performance monitoring, evaluation and reporting mechanisms generate comprehensive information on WIPO's implementation of the Development Agenda and support assessments of the impact of the Organization's activities on development (DA Recommendations 33 and 41)	Development Agenda recommendations visibly mainstreamed in the Medium-Term Strategic Plan and the WIPO Program and Budget 2012-2013	Development Agenda recommendations mainstreamed throughout the proposed MTSP.	***
	Development Agenda principles fully and visibly reflected in the design and delivery of WIPO's programs and activities (WIPO Program and Budget 2012-2013).	Not yet available	NA
	Development Agenda projects incorporated into the WIPO organizational RBM framework and in the Development Assistance Frameworks at the country level.	Links are being established between DA projects and Expected Results in the Program and Budget 2010/11.	**
	Performance data as regards the impact of WIPO's activities on development is systematically collected and progress assessed by all relevant Programs as part of the annual program performance assessment exercise.	Not yet available	NA
	Evaluations (self and independent) of the Development Agenda projects are carried out in line with the procedures established by the Program Management and Performance Section, Evaluation Section and the Development Agenda Coordination Division.	Self evaluations of DA projects are being conducted for the purposes of reporting of progress to the CDIP, as per the output and outcome indicators defined in the project document.	**
	The WIPO Program Performance Report adequately informs Member States on progress made and results achieved on the implementation of the Development Agenda Recommendations and on the impact of WIPO's activities on development.	Not yet available	NA

Project Objective(s)	Indicators of Success in Achieving Project Objective(s) (Outcome Indicators)	Performance Data	TLS
	CDIP receives comprehensive performance related information on progress made in the implementation of development-oriented activities and the Development Agenda Recommendations and results achieved to support its decision-making processes.	Progress reports submitted to the CDIP based on information and analysis emanating from the project self-evaluations	**
Enhanced capacities within the WIPO Secretariat to effectively monitor and evaluate the results achieved and use of the generated information by the Organization and its stakeholders in support of decision-making. (DA Recommendation 33).	Monitoring and evaluation of WIPO's activities focuses on outcomes and is based on performance data collected at the country, regional and global level.	Not yet available	NA
	Monitoring and evaluation of WIPO's activities provides sufficient information to demonstrate the Organization's contribution to development results.	Not yet available	NA
	Monitoring and evaluation of WIPO's activities is of an adequate quality and provide useful information for decision-making.	Not yet available	NA
	Information generated by monitoring and evaluation, including lessons learned, is used to adjust the implementation of existing activities and projects, as appropriate, and in the design of new activities and projects.	Not yet available	NA
A framework is developed for the objective assessment, on a regular basis, of the development impact of WIPO's activities. (DA Recommendation 38).	Country level development assessment frameworks in place in 6 pilot countries and progress in their implementation assessed jointly with countries themselves.	Not yet available	NA
	Assessments of WIPO/IP contribution to development impacts at the national, regional and global levels are conducted regularly by independent experts.	Not yet available	NA

[End of Document and of annexes]