

WIPO



CDIP/4/2

ORIGINAL: English

DATE: October 23, 2009

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WORLD INTELLECTUAL PROPERTY ORGANIZATION

GENEVA

COMMITTEE ON DEVELOPMENT AND INTELLECTUAL PROPERTY (CDIP)

Fourth Session

Geneva, November 16 to 20, 2009

PROGRESS REPORT ON PROJECTS FOR IMPLEMENTATION OF RECOMMENDATIONS 2, 5, 8, 9 AND 10

Document prepared by the Secretariat

1. At the third session of the Committee on Intellectual Property and Development (CDIP) held from April 27 to May 1, 2009, the following project documents for the implementation of Recommendations 2, 5, 8, 9 and 10, were presented to the Committee:

- (a) Conference on Mobilizing Resources for Development;
- (b) Intellectual Property (IP) Technical Assistance Database (IP-TAD);
- (c) Specialized Databases' Access and Support;
- (d) IP Development Matchmaking Database (IP-DMD);
- (e) A Pilot Project for the Establishment of "Start-Up" National IP Academies;
- (f) Smart IP Institutions Project: The Deployment of Components and Business Solutions Customized for Modernizing IP Infrastructure of National and Regional IP Institutions;

- (g) Innovation and Technology Transfer Support Structure for National Institutions;
 - (h) Strengthening the Capacity of National IP Governmental and Stakeholder Institutions to Manage, Monitor and Promote Creative Industries, and to Enhance the Performance and Network of Copyright Collective Management Organizations; and
 - (i) Improvement of National, Sub-Regional and Regional IP Institutional and User Capacity.
3. The Annexes to this document contain the progress reports on the above-mentioned projects.

4. The Committee is invited to take note of the information contained in the Annexes to this document.

[Annex I follows]

ANNEX I

PROGRESS REPORT FOR RECOMMENDATION 2

PROJECT SUMMARY	
Project Code:	DA_02_01
Title:	Conference on Mobilizing Resources for Development
Development Agenda Recommendation:	Recommendation 2: Provide additional assistance to WIPO through donor funding, and establish Trust-in-Funds or other voluntary funds within WIPO specifically for LDCs, while continuing to accord high priority to finance activities in Africa through budgetary and extra-budgetary resources, to promote, <i>inter alia</i> , the legal, commercial, cultural, and economic exploitation of intellectual property in these countries.
Project Budget:	Sfr.240,000 (not including personnel resources)
Project Duration:	10 months
Key WIPO Sectors Involved and Links to WIPO Programs	<p>Coordination Sector for External Relations, Industry, Communications and Public Outreach; and Technical Assistance and Capacity Building Sector.</p> <p>Links to WIPO Programs 9, 10, 11 and 20.</p> <p>As the objective is to mobilize resources for development, a number of other WIPO Programs which undertake activities to support developing countries may also be beneficiaries: Programs: 1, 2, 3, 4, 14, 15, 16 and 17.</p>
Brief Description of Project:	The purpose of the project is to convene a conference aimed at providing additional extra-budgetary resources to WIPO for its work to help developing countries benefit from the IP system and to seek to establish Trust-Funds or other voluntary funds specifically for LDCs, while continuing to accord high priority to finance activities in Africa. The project will comprise three main phases: (i) consultation meetings to develop the conference concept and agenda (as well as to consider the feasibility of establishing a WIPO Fund-in-Trust for LDCs); (ii) promotion of the Conference to key constituents; and (iii) organizational and logistical arrangements and convening of the Conference.

Project Manager:	Mr. Joseph Bradley
Comments on Project:	<p>This project incorporates the consultative preparations and organization of the WIPO Conference on Building Partnerships for Mobilizing Resources for Development. At the time of preparation of this Report, the various preparations for the Conference are in the final phase. The Program has been finalized and the speaker's list is close to final. Expenditure on consultation missions (originally envisaged at Sfr.50,000) has been kept to a minimum to allow resources to be available to fund the participation of some speakers from developing countries.</p>
Key Lessons:	<p>The preparations for the Conference have involved an extensive consultation process. This has been undertaken through briefing sessions and consultation meetings in Geneva with all stakeholders. The preparation of the Conference Program and the identification of speakers have been informed by this process. This inclusive approach has received positive reactions from Member States.</p> <p>In addition, this process of consultation has proved extremely informative in terms of the needs and expectations of WIPO's developing country Member States, as well as the priorities and working methods of the donors. The consultations have also helped the initial identification of partnerships for future work.</p> <p>The allocation of additional human resources for the preparation of the Conference at an earlier stage in the process may have helped achieve better results. This may also have helped various administrative arrangements to have been undertaken in better time.</p>
Issues Requiring Immediate Support/Attention:	Finalize speaker's list. Further promotional work to support good attendance from relevant stakeholders.
The Way Forward:	<p>The outcome of the Conference will provide suggestions and ways in which to increase the assistance WIPO can provide through donor funding or other voluntary funds within WIPO, specifically for least-development countries (LDCs), while continuing to accord high priority to finance activities in Africa, through budgetary and extra-budgetary resources, to promote, <i>inter alia</i>, the legal, commercial, cultural, and economic exploitation of intellectual property in these countries.</p> <p>These suggestions will be for the consideration of Member States at the CDIP, to initiate activities and determine the next steps in this respect.</p>

PROJECT SELF-EVALUATION

Key to Traffic Light System (TLS)

*** ** NP NA
Strong progress Some progress No progress Not yet assessed/discontinued

Project Outputs (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
Conference prepared and organized	Concept paper completed in time for CDIP meeting in April 2009, which reflects the consultation process, meets the needs and reflects the views of Member States and contributes to a successful Conference.	A concept paper was prepared and distributed to Member States together with a draft Program. Both these documents reflected extensive consultations and briefing sessions with Member States.	***
	Progress report to CDIP on the consultation process indicating the level of support for the Conference and likelihood of successful outcome.	An oral progress report was provided to Member States on the preparations for the Conference. In addition to this, several briefing sessions were held, including during the WIPO Assemblies to update Member States on progress in the preparations.	***
	Conference Agenda developed through a broad consultative process which reflects the view and interests of all Member States, and contributes to a successful conference.	The Conference Agenda and the list of speakers were developed as part of a broad consultative process. This process endeavored to ensure that all interests were accommodated in the final version of the Program.	***
	Conference convened according to schedule (before end 2009) and within budget.	At the time of preparing this report, the Conference is on schedule and within budget.	**
	Post Conference report to CDIP in November 2009, indicates positive prospects for additional donor resources, as a result of the Conference.	The outcome of the Conference will be reported orally to the CDIP in November 2009.	**

[Annex II follows]

ANNEX II

PROGRESS REPORT FOR RECOMMENDATION 5

PROJECT SUMMARY	
Project Code:	DA_05_01
Title:	Intellectual Property Technical Assistance Database (IP-TAD)
Development Agenda Recommendation:	<p>Recommendation 5: WIPO shall display general information on all technical assistance activities on its website, and shall provide, on request from Member States, details of specific activities, with the consent of the Member State(s) and other recipients concerned, for which the activity was implemented.</p> <p>This project is linked to Recommendation 9.</p>
Project Budget:	<p>Non-personnel costs: Sfr.300,000</p> <p>Additional personnel costs: Sfr.490,000</p>
Project Duration:	12 months
Key WIPO Sectors Involved and Links to WIPO Programs	<p>WIPO Executive Management; Technical Assistance and Capacity Building; Certain countries in Europe and Asia; WIPO Academy; PATENTSCOPE®; IP Office Modernization; Coordination Sector for External Relations; Information and Communications Technologies; Patents, Innovation Promotion and Technology Transfer; Trademarks, Industrial Designs and Geographical Indications; Copyright and Related Rights; and Traditional Knowledge, Traditional Cultural Expressions and Genetic Resources.</p> <p>Links to WIPO Programs 1, 2, 3, 4, 9, 10, 11, 14, 15, 20 and 25.</p>
Brief Description of Project:	<p>It is proposed to design and develop a consolidated database, with supporting software, for all technical assistance activities and to update the same regularly. General information will be available on the WIPO website, while more detailed information will be made available on specific activities, based on appropriate authorizations. The new database will build on the existing information already provided by WIPO (http://www.wipo.int/export/sites/www/ip-development/en/pdf/wipo_eds_inf_1_rev.pdf) on its technical assistance activities. Information will be available on names of donors, consultants and project costs (with the appropriate authorizations). The project will be guided by the principle of transparency and donors and recipients will be encouraged to authorize WIPO to provide as much information as possible on technical assistance activities. The database will be linked to other relevant databases within WIPO.</p> <p>The system will be built using Internet-based technologies and open source software or software already licensed to WIPO, thus incurring no additional costs in this respect.</p>

Project Manager:	Mr. Allan Roach
Comments on Project:	<p>This project is currently scheduled to commence development in December 2009, and the first release of the system is currently projected for the end of the first quarter of 2010.</p> <p>Initial project specification document has been prepared and will form the basis for detailed discussions within the different areas of WIPO, which will use the system.</p> <p>1 Professional staff has been re-deployed to the key role of Project User Coordinator who will assist the technical team in both projects 5, 6 and 9.</p>
Key Lessons:	<p>The identification and recruitment of the technical resources within the available budget has been a major challenge, as it was not possible to secure IT contractors from the local market due to high costs.</p> <p>Therefore, with a view to maximizing the available budget, it was decided to adopt a more cost effective strategy, and this has resulted in the recruitment of one IT resource directly as a WIPO consultant, and one IT resource on an outsourcing contract with a company based in another country. Even though this route took slightly longer than using local companies, it will ensure the budget allocated for the project will suffice.</p>
Issues Requiring Immediate Support/Attention:	None at this stage.
The Way Forward:	<p>During the next quarter the following activities are planned:</p> <ul style="list-style-type: none">— Design and prototype the system;— Complete release 1 of the software;— Collect and load data; and— Train staff.

PROJECT SELF-EVALUATION

Key to Traffic Light System (TLS)

*** ** NP NA
Strong progress Some progress No progress Not yet assessed/discontinued

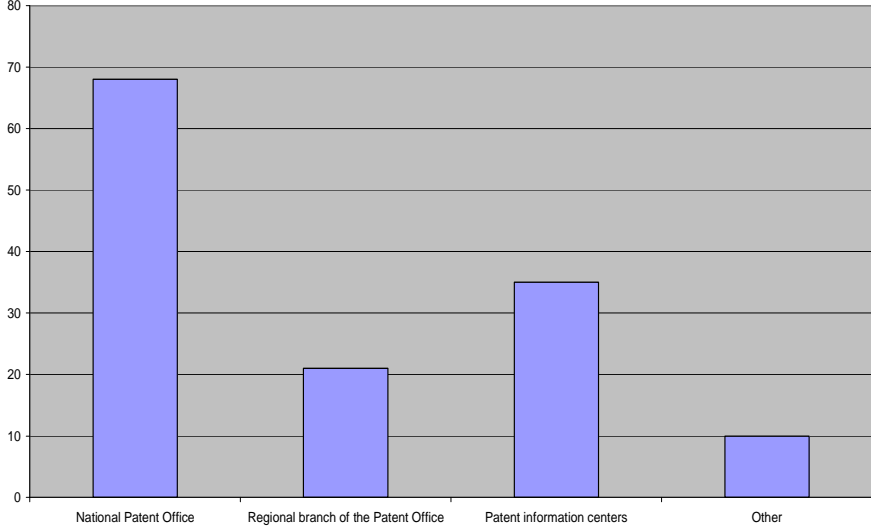
Project Outputs (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
Software meets user functional specifications	Software stable and free from errors and bugs.	Too early to provide	NA
	Software performs to acceptable levels in terms of response time when retrieving data.		NA
	Target for retrievals: Sub-second for each query.		NA
Database functional	Database able to store and retrieve data as specified and the data retrieved should be accurate and complete.	Too early to provide	NA
	Database performance acceptable in terms of availability and retrieval times.		NA
	Target for availability: 99.9% 7 days per week Target for retrievals: Sub-second for each query		NA
Institutional knowledge of all technical assistance activities available for use by WIPO and other interested parties	Data accessible as specified to both internal and external users.	Too early to provide	NA
	Data kept up to date at regular intervals [to be defined what timescale regular means], relevant and complete.		
Security/Confidentiality ensured	Access to Data meets WIPO security requirements, as well as any additional security/confidentiality requirements as specified.	Too early to provide	NA

[Annex III follows]

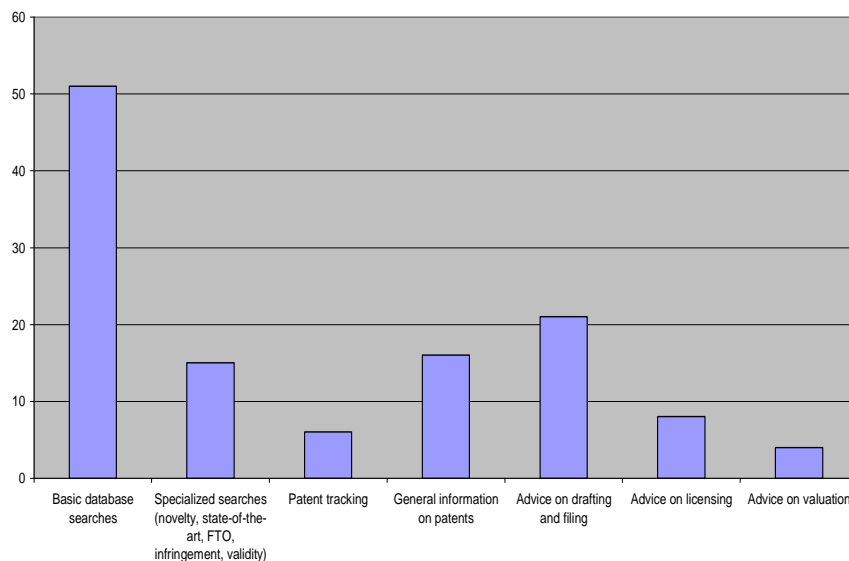
ANNEX III

PROGRESS REPORT FOR RECOMMENDATION 8

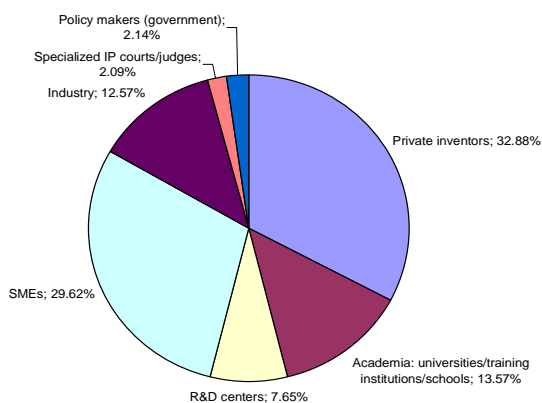
PROJECT SUMMARY	
Project Code:	DA_08_01
Title:	Specialized Databases' Access and Support
Development Agenda Recommendation:	Recommendation 3: Request WIPO to develop agreements with research institutions and with private enterprises with a view to facilitating the national offices of developing countries, especially LDCs, as well as their regional and sub-regional IP organizations to access specialized databases for the purposes of patent searches.
Project Budget:	Non-personnel costs: Sfr.1,874,000
Project Duration:	36 months
Key WIPO Sectors Involved and Links to WIPO Programs:	Global IP Information Service in cooperation with the Technical Assistance and Capacity Building Sector. Links to WIPO Programs 9, 14 and 15.
Brief Description of Project:	<p>The project aims to facilitate access to technological knowledge for users in developing countries, especially LDCs, and their regional and sub-regional IP organizations so as to effectively exploit it and, thereby, stimulate innovation and economic growth.</p> <p>The provision of specialized databases will enable institutional users, in particular patent offices, to carry out more effective patent searches so as to identify known prior art and limit the scope of granted patents where necessary.</p> <p>In order to achieve these aims, the project will implement the following:</p> <ul style="list-style-type: none"> — Carry out and take into consideration the results of a needs analysis and database review study; — Provide access to specialized patent and non-patent databases for use by intellectual property offices and the public; — Enable and support effective use of these databases by assisting countries in establishing local support in the form of Technology and Innovation Support Centers, together with a corresponding network; and — Provide training and awareness raising support for the staff of these centers and IPOs, as well as the general public.

Project Manager:	Mr. Andrew Czajkowski										
Comments on Project:	<p>1. Study Paper</p> <p>Two consultants were recruited for a three-month period starting August 1, 2009, to draft a Study Paper which includes a needs analysis of patent offices and local users, with regards to specialized databases, a review of these databases, and a recommendation regarding the most useful databases to be used by developing countries and LDCs.</p> <p>The needs analysis is based on replies to a questionnaire (cf. Circular CN 3027) sent to Member State IP Offices in early August, to which 74 replies have been received and of whom over two-thirds are from developing countries.</p> <p>Some initial broad indicators emerging from the replies received include:</p> <ul style="list-style-type: none"> — the technical fields with the most filings in which specialized databases would be useful include medical, pharmaceutical or veterinary science, as well as chemistry, in particular organic chemistry; — the most sizeable groups of potential users of patent information are private inventors and small and medium-sized enterprises (SMEs); and — according to the offices' assessment, potential users of patent information would be as likely to need access to commercial databases as to free databases. <p>These and other results will also be represented graphically in the Study Paper. As an example, the figures below illustrate patent information sources and services offered by responding offices, as well as an initial indication of the potential users of patent information.</p> <p style="text-align: center;">Sources of patent information provided by responding Offices</p>  <table border="1" data-bbox="518 1417 1393 1944"> <caption>Sources of patent information provided by responding Offices</caption> <thead> <tr> <th>Source</th> <th>Number of Offices</th> </tr> </thead> <tbody> <tr> <td>National Patent Office</td> <td>68</td> </tr> <tr> <td>Regional branch of the Patent Office</td> <td>21</td> </tr> <tr> <td>Patent information centers</td> <td>35</td> </tr> <tr> <td>Other</td> <td>10</td> </tr> </tbody> </table>	Source	Number of Offices	National Patent Office	68	Regional branch of the Patent Office	21	Patent information centers	35	Other	10
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Selected services offered by responding Offices



Distribution of potential users of patent information as estimated by responding Offices



The paper has so far selected 28 free online databases, 14 commercial patent databases, and over 70 scientific and technology information resources that could potentially be of value to patent offices and to their users. These resources are undergoing detailed analysis.

2. Access to scientific and technical journals

A new WIPO service called Access to Research for Development and Innovation (aRD*i*) was launched in July 2009, providing access to scientific and technical journals for LDCs (for free) and certain developing countries in agreement with publishers (for a very low cost).

Initially 50 publications are available from 12 publishers within the aRD*i* program and it is intended to continually add new publications.

Out of 30 registration requests to date, 10 requests are eligible and have been given access to aRD*i*.

	<p>3. Access to specialized patent databases</p> <p>Initial contacts with commercial patent database providers have been initiated so as to provide negotiated preferential costs for such databases. Because the results and analysis from the Study Paper will have a major influence on these discussions, further discussions will only take place after the Study Paper is published.</p> <p>4. Establishment of Technology and Innovation Support Centers (TISCs)</p> <p>In order to allow local stakeholders to benefit effectively from increased accessibility to the above mentioned databases, direct personal assistance is planned from staff in Technology and Innovation Support Centers (TISCs).</p> <p>In establishing TISCs, an initial assessment mission is followed by a Service Level Agreement (SLA) between the TISC and WIPO, as well as an agreed project document annexed thereto specifying dates for launch, training, awareness events, etc.</p> <p>TISC assessment missions have been carried out to date in: Papua New Guinea, Trinidad and Tobago, Viet Nam, Tunisia and Kazakhstan (5). Missions are also foreseen later this year to Algeria, Argentina, Chile, Morocco and Uruguay (5).</p> <p>5. Training and Awareness Raising</p> <p>All the above points are to be reinforced through the training of TISC staff. Awareness events, such as public seminars and conferences, will furthermore target local stakeholders in promoting the use and benefits of exploiting technology databases.</p> <p>A regional training seminar, in cooperation with the Intellectual Property Office of Singapore (IPOS), was held this September in Singapore for 28 participants from the region on exploiting patent information.</p>
Key Lessons:	<p>Preliminary results from the Study Paper highlight current and future needs of patent offices and local users as regards specialized databases, while access to as wide as possible set of databases, the establishment of local Technology and Innovation Support Centers and the training of their staff and stakeholders reinforces the overall capacity to retrieve and exploit known technical information.</p>
Issues Requiring Immediate Support/Attention:	<p>None at present.</p>

<p>The Way Forward:</p>	<ol style="list-style-type: none">1. Study Paper: Complete results and their analysis will be provided when the paper is finished at the end of October.2. aRDi: Further publications to be added by the end of 2009.3. Specialized patent databases: On completion of the Study Paper, more detailed discussions will be entered into with the commercial service providers.4. TISCs: Although no SLA has yet been signed, it is likely that several countries will do so this year. Launching events and training are planned for this year or early next year (though not confirmed until the SLA is signed) in countries where an assessment has taken place. The launch is also connected to the establishment of locally equipped facilities which often affects the actual launch date. Further assessment missions, training and launch events are planned for 2010.5. Capacity Building: An additional consultant has been recruited and will start working in October 2009, so as to assist in the implementation of the above mentioned project activities, particularly as regards training.
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PROJECT SELF-EVALUATION

Although the Project start was established as January 1, 2009, the effective start date is May 1, 2009.

Key to Traffic Light System (TLS)

***	**	NP	NA
Strong progress	Some progress	No progress	Not yet assessed/discontinued

Project Outputs (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
1. Study Paper	Completed Report 9 months after project start	Study Paper to be completed by 6 months after effective project start date	***
2. aRDi website established	aRDi website visible on internet 6 months after project start	aRDi website launched on 23 July 2009, 3 months after effective project start	***
	Search functionality	This is a longer term objective to include a search functionality	**
	Access functionality	Functional now	***
	Over 100 publications in PCT NPL Min. Documentation 6 months after project start	Over 50 publications available at present	**
3. Specialized patent databases	Databases to be proposed in Study Paper	Study Paper on schedule	***
	Negotiations and possible model contracts 12 months after project start	Once Study Paper published, more detailed discussions will be undertaken with commercial service providers	**
4. TISCs	Needs analyses for 4 pilot TISCs 12 months after project start	5 assessments carried out to date with 5 more by end of 2009	***
	Service Level Agreement (SLA) signed for 4 pilot TISCs within 12 months of project start	No SLA signed to date, but are likely to be signed within 12 months of effective project start	**

Project Outputs (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
	<p>Project Document agreed to</p> <p>Initial training for TISC staff and stakeholders</p> <p>Official launch of 4 pilot TISCs 12 months after project start</p> <p>Official launch of 24 TISCs 36 months after project start</p> <p>Roving Seminars</p>	<p>None agreed to at present, but likely to be agreed together with SLA</p> <p>One regional training event undertaken in 2009</p> <p>At least 4 TISCs are likely to be launched after 12 months following the effective project start</p> <p>On-going</p> <p>Not planned to start until 2010</p>	<p>**</p> <p>**</p> <p>**</p> <p>**</p> <p>NP</p>
<p>5. Specialized patent and non-patent services at WIPO</p>	<p>Proposed to be in Study Paper</p>	<p>To be drafted outside the Study Paper, but within the 12 month planned period after the effective project start</p>	<p>**</p>

[Annex IV follows]

ANNEX IV

PROGRESS REPORT FOR RECOMMENDATION 9

PROJECT SUMMARY	
Project Code:	DA_09_01
Title:	Intellectual Property Development Matchmaking Database (IP-DMD)
Development Agenda Recommendation:	Recommendation 9: Request WIPO to create, in coordination with Member States, a database to match specific IP-related development needs with available resources or expertise, thereby expanding the scope of its technical assistance programs, aimed at bridging the digital divide.
Project Budget:	Non-personnel costs: Sfr.190,000 Additional personnel costs: Sfr.490,000
Project Duration:	12 months
Key WIPO Sectors Involved and Links to WIPO Programs:	WIPO Executive Management; Technical Assistance and Capacity Building; Certain Countries in Europe and Asia; WIPO Academy; PATENTSCOPE®; IP Office Modernization; Coordination Sector for External Relations; and Information and Communications Technologies. Links to WIPO Programs: 9, 10, 11, 14, 15, 20 and 25.
Brief Description of Project:	Develop a database and software to support the CDIP proposal to establish an effective interactive process for assessing the IP-related development needs of countries, in conformity with the Development Agenda recommendations, and setting up match-making and clearing house mechanisms. It is also proposed to establish collaborative mechanisms for regular collection of information on potential donors and partners, as well as their available funds and expertise for WIPO to match with specific needs of Member States. The database will be linked to other relevant databases and to the TACB sites. The system will also be capable of monitoring and assessing progress. The system will be built using Internet based technologies and open source software or software already licensed to WIPO, thus incurring no additional cost in this respect.

Project Manager:	Mr. Allan Roach
Comments on Project:	<p>The identification and redeployment of one Professional staff for data/systems administration and operation for this project is currently in process and the position should be filled commencing early 2010, to coincide with the start of technical development.</p> <p>WIPO is following similar work being undertaken by the NGO Global Hand on behalf of the United Nations (UN) to see to what extent, if any, there would be for WIPO to learn from there experiences in developing a matchmaking system or a donor/request system. It is to be noted that the functionality of the UN system is limited to only gathering requests and donations. The UN system does not do any actual matching or reporting.</p> <p>Due to the additional requirements identified during CDIP/3 concerning the Roster of Consultants under Recommendation 6, the Project team is currently focused on the development of the structure and identification of sources of data for the Roster of consultant database. It is recalled that the above database is a key component of the projects for recommendations 5 and 9, and serves as a foundation for these projects. Accordingly, this work was considered essential to be carried out with an anticipated delay of three months in the work related to Project No. 9.</p> <p>The initial project specification document for Recommendation 9 has been prepared and will form the basis for detailed discussions with the different areas of WIPO and a sample of external users.</p> <p>The software development for Project No. 9 is now scheduled to commence at the start of the second quarter of 2010 and the first version of the system is projected for release at the end of the second quarter of 2010.</p>
Key Lessons:	<p>The identification and recruitment of the technical resources within the available budget has been a major challenge as it was not possible to secure IT contractors from the local commercial consulting market due to high costs.</p> <p>Therefore, with a view to maximizing the use of the available budget it was decided to adopt a more cost effective strategy and this has resulted in a recruitment campaign to identify and engage a resource directly as a WIPO consultant. WIPO is now receiving applications for this position of business/systems analyst with a view to filling the position in early 2010.</p> <p>This project will also benefit from information gathered during the WIPO Donor Conference to be held in early November 2009 as this conference will provide further input to the business case for this project.</p>
Issues Requiring Immediate Support/Attention:	None
The Way Forward:	<ul style="list-style-type: none"> — Recruitment or engagement of 1 consultant; — Identification and redeployment of the data/system administrator; — Identification of all key stakeholders; and — Nomination of WIPO internal and external focal points.

PROJECT SELF-EVALUATION

Key to Traffic Light System (TLS)

*** Strong progress ** Some progress NP No progress NA Not yet assessed/discontinued

Project Outputs (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
Software meets user functional specifications	<p>Software stable and free from errors and bugs; and</p> <p>Software performs to acceptable levels in terms of response time when retrieving data.</p> <p>Target for retrievals: Sub-second for each query.</p>	Too early to provide	NA
Database functional	<p>Database able to store and retrieve data as specified and the data retrieved should be accurate and complete; and</p> <p>Database performance acceptable in terms of availability and retrieval times.</p> <p>Target for availability: 99.9% 7 days per week; Target for retrievals: Sub-second for each query.</p>	Too early to provide	NA NA NA
Institutional knowledge of IP related needs of Member States available for use by WIPO and other interested parties	<p>Data accessible as specified to both internal and external users;</p> <p>Data kept up to date at regular intervals [to be defined what regular means], relevant and complete.</p>	Too early to provide	NA NA

Project Outputs (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
Security/Confidentiality ensured	Access to data meets WIPO security requirements as well as any additional security/confidentiality requirements as specified.	Too early to provide	NA
Match-making and clearing house mechanism established and operational	System can show clearly the links between donors and the activities they are sponsoring	Too early to provide	NA
Information on potential donors or partners and the resources or skills available	Data will be collected and entered systematically concerning donors and partners and used to match available resources and expertise with the needs of Member States.	Too early to provide	NA

[Annex V follows]

ANNEX V

PROGRESS REPORT FOR RECOMMENDATION 10

PROJECT SUMMARY	
Project Code:	DA_10_01
Title:	A Pilot Project for the Establishment of “Start-Up” National IP Academies
Development Agenda Recommendation:	Recommendation 10: To assist Member States to develop and improve national IP institutional capacity through further development of infrastructure and other facilities with a view to making national institutions more efficient and promote a fair balance between IP protection and the public interest. This technical assistance should also be extended to sub-regional and regional organizations dealing with IP.
Project Budget:	Non-personnel costs: Sfr.480,000 Additional personnel costs: Sfr.120,000
Project Duration:	36 months
Key WIPO Sectors Involved and Links to WIPO Programs:	WIPO Academy in consultation with Technical Assistance and Capacity Building Sector where necessary. Links to WIPO Programs 9 and 11.
Brief Description of Project:	The pilot project of “Start-Up” National IP Academies is intended to test a new model to help developing countries and LDCs to establish an IP training institution with minimum resources to meet their increasing demand from IP specialists, professionals, government officials and other stakeholders.

Project Manager:	Mr. Yoshiyuki Takagi
Comments on Project:	<p>Recommendation 10 of the Development Agenda requires WIPO to assist Member States to develop and improve national IP institutional capacity through further development of infrastructure and other facilities with a view to making national institutions more efficient and promote a fair balance between IP protection and the public interest. To implement this Recommendation, a Start-up Academy Project was proposed and approved. The overall objective of the Project is to help developing countries and LDCs to build national institutional capacity in human resource development in the field of intellectual property. To this end, four Start-up Academies would be established in four countries. The first one is a pilot project in a selected country in order to acquire experience for the setting up of such academies in other countries.</p> <p>The pilot country has been identified in consultation with the Regional Bureau concerned and the proposal for setting-up such an Academy was accepted by the relevant Government authorities of the selected country.</p> <p>A needs assessment mission was conducted within the time frame of the pilot project and a situation paper was prepared.</p> <p>The pilot project is being implemented according to the work plan.</p>
Key Lessons:	It is too soon at this stage to draw any conclusions and lessons from project under implementation.
Issues Requiring Immediate Support/Attention:	<p>Follow-up actions and continuing consultation with the receiving country.</p> <p>More information to be provided to the relevant authorities of the receiving country in particular those concerning similar IP academies in other developing countries as well as their structure, function and resources.</p>
The Way Forward:	During the next phase of the project, more information and training would be provided to the future head of the Start-up Academy, other relevant officials and future trainers and teachers of the pilot Start-up Academy.

PROJECT SELF-EVALUATION

Key to Traffic Light System (TLS)

*** ** NP NA
Strong progress Some progress No progress Not yet assessed/discontinued

Project Outputs (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
Needs Assessment conducted	A pilot country for the project was selected. A needs assessment mission was conducted within six months of the starting date of the project in the pilot country. Consultations were conducted with the National Intellectual Property Office and other relevant institutions of the pilot country for the implementation of the project.	One Needs Assessment Mission conducted to the selected pilot country.	***
Situation paper prepared	Based on the information collected during the Needs Assessment Mission to the receiving country, a detailed situation papers was prepared for the establishment of the Start- up Academy in the pilot country.	One situation paper prepared.	***
Implementation plan are being developed	Realistic recommendations are being made to allow for implementation of the project in the receiving pilot country.	More consultations were conducted with officials of the receiving country concerning activities to be carried out after the needs are assessed for the implementation of the next phases of the project.	**
IP Academies established	Duration of the project is 36 months	In 36 months	NP

[Annex VI follows]

ANNEX VI

PROGRESS REPORT FOR RECOMMENDATION 10

PROJECT SUMMARY	
Project Code:	DA_10_02
Title:	Smart IP Institutions Project: The Deployment of Components and Business Solutions Customized for Modernizing IP Infrastructure of National and Regional IP Institutions.
Development Agenda Recommendation:	Recommendation 10: To assist Member States to develop and improve national IP institutional capacity through further development of infrastructure and other facilities with a view to making IP institutions more efficient and promote fair balance between IP protection and the public interest. This technical assistance should also be extended to sub-regional and regional organizations dealing with IP.
Project Budget:	Non-personnel costs: Sfr.1,169,000 Additional Personnel costs: Sfr.150,000
Project Duration:	33 months
Key WIPO Sectors Involved and Links to WIPO Programs:	IP Office Modernization Division; Technical capacity Building Sector; and Patentscope and Associated Patent Services. Links to WIPO Programs: 9, 10, 14 and 15.
Brief Description of Project:	<p>The project comprises of sub-projects for selected national or regional IP institutions across all regions. This project is a partnership activity and hence depends as much on WIPO's assistance as on the expected contribution and commitment from the partners. All sub-projects will follow standard project stages including needs assessment, business process re-engineering, deployment of customized automation solutions to adapt to specific national/regional requirements, extensive training and knowledge transfer, progress monitoring and post-deployment impact evaluation. The sub-projects are listed below.</p> <p>(a) A pilot project to deploy ICT infrastructure and customized e-communication systems for the "<i>l'Organisation Africaine de la Propriété Intellectuelle (OAPI)</i>" and two of its selected member countries to enable them to electronically manage, access and exchange IP information amongst themselves and internationally. The two countries will be selected in consultation with OAPI. The project will be developed in compliance with the elements of the global IP infrastructure such as WIPO standards, classifications, databases, automation solutions and services. The project's experience and deliverables could then be later re-utilized, as appropriate, to benefit the other 14 member countries of OAPI.</p> <p>(b) A project, similar to project a) above, will be undertaken for the African Regional Intellectual Property Organization (ARIPO) and two of its selected member countries to enable them also to electronically manage, access and exchange IP information amongst themselves and internationally.</p>

	<p>The two countries will be selected in consultation with ARIPO.</p> <p>(c) A project to deploy comprehensive customized automation solutions in three LDC IP institutions across regions. This project will include those components that are normally not covered by WIPO's regular Programs, i.e. cover extra resources for conversion of large volumes of paper IP registrations and applications to electronic form for establishment of IP databases, resources for more extensive training and knowledge transfer, resources for a full set of ICT infrastructure components to support end-to-end automation.</p> <p>(d) Automation workshops, one per region, to facilitate sharing and exchange of national experiences, issues, lessons learned, best practices and coping with challenges. Each regional workshop will include 10 to 12 countries that will be selected in consultation with the respective region. These targeted workshops will focus on transfer of knowledge amongst the countries, on building institutional capacity and in promoting South-South collaboration.</p>
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Project Manager:	Mr. Yoshiyuki Takagi
Comments on Project:	<p>A few project activities were planned for 2009 while most were planned for 2010 and 2011. The ones planned towards the end of 2009 included some needs assessment missions and regional automation workshops.</p> <p>At present, planning and preparatory work has been completed for the needs assessment activities in consultation with some national and regional IP offices (e.g. Cambodia, Nepal, Yemen, Algeria, Ethiopia, Zimbabwe, Chile, Equator and regional offices OAPI and ARIPO). More offices will be consulted during the course of the project. Expert missions for the planned activities for 2009 will now start to be undertaken from November 2009.</p>
Key Lessons:	It is too early at this stage of the project to report on this aspect.
Issues Requiring Immediate Support/Attention:	Issues that were identified have been, and are being, addressed by the senior management. At present, there are no issues for Member States consideration.
The Way Forward:	<p>Three needs assessment activities will be implemented in the next quarter starting from November 2009. During this quarter, two automation workshops will also be implemented in two different regions. This represents only the activities planned for 2009.</p> <p>As mentioned earlier, most of the project activities are planned for 2010 and 2011.</p>

PROJECT SELF-EVALUATION

Key to Traffic Light System (TLS)

*** Strong progress ** Some progress NP No progress NA Not yet assessed/discontinued

Project Outputs (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
<p>OAPI/Member Countries project:</p> <ul style="list-style-type: none"> – Appropriate equipment and systems operational in partner institutions for reception of IP applications and for electronic data exchange; – Training on the use, operation, support and maintenance of the systems; – Database interfaces established between OAPI and selected members. 	<ul style="list-style-type: none"> – Network and Internet infrastructure installed as per the required project specifications; – Systems developed are tested, accepted and put into operation; – 80% of participants acquire knowledge on using the functionality provided by the project systems to carry out their day-to-day work; – Quick resolution of system problems and high percentage of system uptime; <p>The IP data is electronically exchanged as per the content and time schedule defined by the project.</p>	<p>Too early to provide</p>	<p>NA</p>
<p>ARIPO/Member Countries project:</p> <ul style="list-style-type: none"> – Appropriate equipment and systems operational in partner institutions for reception of IP applications and for electronic data exchange; 	<ul style="list-style-type: none"> – Network and Internet infrastructure installed as per the required project specifications; – Systems developed are tested, accepted and put into operation; – 80% of participants acquire knowledge on using 	<p>Too early to provide</p>	<p>NA</p>

Project Outputs (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
<ul style="list-style-type: none"> – Training on the use, operation, support and maintenance of the systems; – Database interfaces established between ARIPO and selected members. 	<p>the functionality provided by the project systems to carry out their day-to-day work;</p> <ul style="list-style-type: none"> – Quick resolution of system problems and high percentage of system uptime; <p>The IP data is electronically exchanged as per the content and time schedule defined by the project.</p>		
<p>LDCs project:</p> <ul style="list-style-type: none"> – Establishment of the automation infrastructure, databases and systems for IP administration; – Training on the use, operation, support and maintenance of the systems; – Database interfaces established between ARIPO and selected members. 	<ul style="list-style-type: none"> – Network and Internet infrastructure installed as per the required project specifications; – Systems developed are tested, accepted and put into operation; – Completion of data capture from paper IP records to database; – 80% of participants acquire knowledge on using the functionality provided by the project systems to carry out their day-to-day work; – Quick resolution of system problems and high percentage of system uptime; <p>The IP data is electronically exchanged as per the content and time schedule defined by the project.</p>	Too early to provide	NA

<p>Regional Automation Workshops:</p> <p>Focused sessions on priority topics, technical discussions, national experiences, country reports, evolving business and technology trends, and future directions and strategies successfully implemented.</p>	<p>Number of priority topics covered;</p> <p>Number of countries participating in the workshops;</p> <p>Number of requirements identified in workshops for future improvements of IP automation systems.</p>	<p>Regional Automation Workshop planned in the ASPAC region first week of December 2009.</p> <p>Three priority topics will be covered</p> <p>11 countries participating in the Workshop</p> <p>n/a</p>	<p>**</p> <p>**</p> <p>**</p>
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[Annex VII follows]

ANNEX VII

PROGRESS REPORT FOR RECOMMENDATION 10

PROJECT SUMMARY	
Project Code:	DA_10_03
Title:	Innovation and Technology Transfer Support Structure for National Institutions
Development Agenda Recommendation:	Recommendation 10: To assist Member States to develop and improve national IP institutional capacity through further development of infrastructure and other facilities with a view to making national IP institutions more efficient and promote fair balance between IP protection and the public interest. This technical assistance should also be extended to sub-regional and regional organizations dealing with IP.
Project Budget:	Non-personnel costs: Sfr.600,000
Project Duration:	27 months
Key WIPO Sectors Involved and Links to WIPO Programs:	Patent Division, Innovation and Technology Transfer Section. Links to WIPO Programs: 9, 10, 11, 14 and 25.
Brief Description of Project:	<p>The Project will create and test or, where they exist, update and improve, a series of modules and materials relating to managing IP rights (particularly patents) by academic and research institutions, including on the setting up and running of technology transfer offices at public research organizations, exploring technology transfer mechanisms (in particular, licensing agreements) and enhancing the capacity to draft patents.</p> <p>The Project will also include the inclusion of the material in a portal which will be a digital repository of training modules, guides, tools, examples, models of national IP strategies, institutional IP policies, best practices and case studies accessible through one single portal on WIPO's website (one-stop-shop) for the promotion of local innovation and technology transfer activities through improving IP infrastructure and IP management in developing countries.</p>

Project Manager:	Mr. Philippe Baechtold
Comments on Project:	<p>In accordance with item 3.2 - Project Self-Evaluation, the first step related to Project No. 10 is the establishment of a “project paper” including, amongst others: a description of existing instruments in WIPO’s program and an analysis of the needs for improving the existing program (addition, deletion or modification of elements within the present offer) as well as relevant publications, studies and analyses from other organizations. The project paper should draw a picture of the current situation, covering what is available, what should be available, what would be ideal to have and what can be done concretely. This document, according to the original timetable, was supposed to be prepared by the third quarter of 2009, i.e. within six months. Due to a delayed start of the project, it will, now be ready by January 2010.</p> <p>Notwithstanding the above, it can be reported that a coordination structure for the project has been established and that the work for the above project paper has started, as the first assessment of existing instruments and possible improvements has been established. Discussions with both internal and external experts will allow, in the near future, refining the document in order to have the project document by the end of the year.</p> <p>In the area of innovation and technology transfer (TT), existing activities cover the training programs in technology management and licensing skills in developing countries, and for these purposes, the WIPO Secretariat developed the Transfer of Technology and Successful Technology Licensing (STL) Training Program. Other programs not directly related to TT should also be cited, such as, the Patent Drafting Workshops which enable participants to draft patents that can be subject to TT contracts.</p>
Key Lessons:	At this early stage of the project, there are no major obstacles for this Project.
Issues Requiring Immediate Support/Attention:	None

The Way Forward:	<ol style="list-style-type: none">1. Finalize the WIPO internal version of the project paper with clear concepts and goals and the definition of the external expert(s)' profile;2. Identify the external expert(s) to review the project paper;3. Establish contents of the future activities and a list of potential improvements and necessary updates of program materials, start the construction of website even before the portal is up and running;4. Start consultations with external experts on the above and possibly revise the project paper; and5. Begin, in the second quarter of 2010, consultations with Member States and research institutions on content development of the future ITT Support Structure.
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PROJECT SELF-EVALUATION

Key to Traffic Light System (TLS)

*** ** NP NA
Strong progress Some progress No progress Not yet assessed/discontinued

Project Outputs (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
Establishment of project paper	Finalization of document within six months from beginning of project, identifying needs and improvements, and providing feasible recommendations for the structure of the digital portal	In view of the later start of the project (September 2009), this result will be within 6 months after project start	**
Design the training modules and other tools/services for the portal	Modules and other tools produced within 18 months from beginning of project reflecting the required quality as set out in the project paper for: training modules on infrastructure of IP asset management; training modules on capacity building for IP management; distance-learning training modules; and online follow-up modules on the above.	Too early to provide	NP
Establishment of the digital portal	Portal operational and use of the portal by governments and public research institutions of developing countries.	Too early to provide	NP
Establishment of the network	Web Forum of WIPO trainees is regularly used and considered useful by the users.	Too early to provide	NP

[Annex VIII follows]

ANNEX VIII

PROGRESS REPORT FOR RECOMMENDATION 10

PROJECT SUMMARY	
Project Code:	DA_10_04
Title:	Strengthening the capacity of national IP governmental and stakeholder institutions to manage, monitor and promote creative industries, and to enhance the performance and network of Copyright Collective Management Organizations.
Development Agenda Recommendation:	Recommendation 10: To assist Member States to develop and improve national IP institutional capacity through further development of infrastructure and other facilities with a view to making national IP institutions more efficient and promote a fair balance between IP protection and the public interest. This technical assistance should also be extended to sub-regional and regional organizations dealing with IP.
Project Budget:	Non-personnel costs: Sfr.840,000 Additional personnel costs: Sfr.268,000
Project Duration:	18 months
Key WIPO Sectors Involved and Links to WIPO Programs:	Technical Assistance and Capacity Building (TACB) Sector; Copyright and Related Rights Sector. Links to WIPO Programs: 3, 9, 10, 11, 15, 16 and 17.
Brief Description of Project:	<p>This project is designed to assist in the improvement and strengthening of national institutions and stakeholder organizations dealing with and representing creative industries in enhancing their understanding of the role of IP for the effective management and development of creative industries, and to facilitate the establishment of regional or sub-regional networks for the collective management of copyright and neighboring rights.</p> <p>Improving the understanding of the economic value of the creative sector, functioning on the basis of IP protection, and empowering the institutions with tools for analysis of the creative sector and the creators with tools for the practical management of IP, this project will contribute to enhancing the overall performance of the creative sector.</p> <p>As for copyright collective management organizations (CMOs), a pilot approach in the framework of this project is intended to provide selected CMOs with a series of tools enabling establishment of a common digital collective management platform by standardizing and expediting the exchange of information among them and the rest of the world, for the identification of works and relevant interested parties, so that the distribution of royalties can be carried out in a fair, equitable and balanced way, as well as in a timely and efficient manner taking into account the economic interest of the countries concerned.</p>

	<p>The paradigm of this pilot project as well as the tools that will be developed and deployed can subsequently be customized to replicate and establish similar pilot projects under WIPO programs and fit the needs of other groups of countries and/or CMOs.</p>
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Project Manager:	Mr. Marco Pautasso
Comments on Project:	<p>A Sub-Regional Workshop was held in Yaoundé, Cameroon (July 16-17) on the management of IP in the book publishing industry. The workshop provided an overview of the importance of copyright for the operation and management of the book publishing industry. National, regional and other international practices were reviewed. The importance of strong copyright protection and knowledge was highlighted for the preparation of publishing agreements, as well as the need of collecting data and sharing international experiences. National publishers associations from Cameroon participated in the discussions and views were exchanged on the need of having strong stakeholder representation for the positioning of the book publishing sector. The role of Government institutions was also reviewed. Perspectives from other countries in West Africa were shared. The need of efficient participation in international publishers' networks was highlighted. Bottlenecks in the publishing business were identified and issues for further analysis and action were elaborated. Ninety two percent (92%) of the participants were satisfied with the Workshop (as indicated in the evaluation forms). The need for further similar activities was highlighted.</p> <p>Two events are in preparatory stage and will take place as follow:</p> <ul style="list-style-type: none">— Seminar on the Performance of Copyright based Industries in Selected East African Countries, October 20 and 21, 2009, Mahé, Seychelles; and— Seminar on the Economic Contribution and Performance of Copyright-Based Industries in Eastern Caribbean Countries, October 26 and 27, 2009, Roseau, Dominica. <p>The project for enhancing the performance and networking of collective management organizations (CMOs) has been underscored by two critical implementation steps in project delivery strategy:</p> <ul style="list-style-type: none">— The elaboration of the final concept document for the constitution of a common database and data exchange system of the musical works of the <u>Copyright Network of West African CMOs (WAN)</u>, which describes, in detail, the technical requirements and architecture of a repertoire publishing system (WRPS) to be the platform to be delivered; international standards and formats to be used have been identified, including the project delivery timetable spanning from November 2009 to August 2011. <p>Consultations with the WAN Coordinator took place to ensure that level of involvement of the network CMOs in all stages of project implementation, and their will to own and manage the system at the end of the project. A meeting of the general managers of WAN CMOs members is planned in Lomé, Togo, on November 16 to 19, 2009. Moreover, consultations with key partner NGOs, CISAC and SCAPR, have been conducted.</p> <p>The terms of reference of a business and IT analyst to be the Project Consultant have been finalized; applications for the position are being received. The project consultant will be chosen in consultation with WAN CMOs and key partner NGOs at the above-mentioned meeting.</p>

	<p>— The CCL Project focuses on the establishment of complementary components which seeks to link aspects of the societies' work which are currently managed separately; this includes, regional sampling method/system for works used in the Caribbean and the promulgation of harmonized distribution rules for collected royalties.</p> <p>There have been ongoing consultations (<i>via</i> conference calls) with the President of CCL, as well as with the proposed project Consultant in order to define the scope of the project.</p> <p>The terms of reference has been drafted and will be finalized during a meeting proposed for the end of 2009 with the relevant stakeholders (CCL Member societies, representative from CCL Service Center, the project Consultant and WIPO).</p>
Key Lessons:	<p>The workshop in Yaoundé showed that success stories from neighboring countries can offer practical lessons on dealing with identical problems. The participants introduced critical evaluation of the role of government institutions and the need to develop regional legislation to improve the conditions of the publishing industry. The need to constantly update the legislative framework was also underlined. The role of WIPO in bringing closer different stakeholders positions was highlighted as well as the need to envisage follow up activities for publishers in West Africa which should focus mo practical issues. The collaboration with IPA on this project was instrumental.</p> <p>The two planned seminars will bring together players from different institutions related to creative industries. This will strengthen understanding of national institutions on aspects related to IP and creative industries. In doing so it is envisaged that these institutions will be more prepared in facilitating studies on economic contribution of the copyright-based industries, which will lead to a more high quality product.</p> <p>The designing of the WAN Repertoire Publishing System (WRPS) showed that the project should be implemented, in abiding by strict criteria, including an agenda for delivery; it furthermore shed on the need to deliver the database and exchange system in any media (CD, DVD, LAN and Internet) based on the actual empiric assessment of the stage of the technological development of the each of the 9 CMOs.</p> <p>To achieve a sound deployment of the system, financial added-value management of the project and its subsequent sustainability, as well as economies of scale, it has been agreed that the consultant – to be recruited – should be an expert based within the West African region.</p> <p>The stage of the project implementation has shown that the contribution of partners, such as CISAC and SCAPR would be a determining factor.</p> <p>The primary lesson learned thus far is the need for continued and sustained interaction with the relevant stakeholders (CCL management, the consultant) in order to keep the process moving forward.</p>

<p>Issues Requiring Immediate Support/Attention:</p>	<p>Difficulties in logistics presented major difficulties for the successful implementation of the workshop in the suggested venue. Provisions should be made in the future to organize similar workshops and follow up activities in venues that can facilitate participation and support services. Language issues should be addressed through providing interpretation services even in bilingual countries.</p> <p>The seminar for Eastern Caribbean Countries may exceed the original budget by about Sfr.6,000. This is has been brought about by the number of participating countries (9).</p>
<p>The Way Forward:</p>	<p>The issues that need a follow up have been summarized in a document, circulated among all participants for review. Action on them is being discussed with representatives of the publishers associations and government institutions.</p> <p>The key action that will follow the activities is the launching a study on economic contribution of the copyright-based industries in Eastern Caribbean Countries.</p> <p>It is also expected that the seminar in Seychelles will lead to a study on economic contribution of copyright-based industries in one or more of the participating countries.</p> <p>The countries that will undertake the study will be well positioned to do so as the institutions will be aware of practical challenges that they will face during the study.</p> <p>Difficulties to identify the competent Business and IT Analyst to fit the Job Description as per the project requirements has resulted in two months delay in the implementation.</p> <p>As the project has, potentially, a high impact status for the CMOs of the countries concerned, a special focus should be put on the involvement and strong support of governmental authorities in the Member States concerned.</p> <p>The key activity which will follow the appointment of the project consultant will be the development of WRPS technical platform and required software by end of January 2010.</p> <p>A project technical management team will be established after the meeting in Lomé, Togo, to be composed of WIPO, the WAN Coordinator, CISAC and SCAPR representatives and the project Business and IT Analyst.</p> <p>The project consultant will be hired and should begin work by December 1, 2009. A meeting is proposed to take place in Port of Spain, thereafter to meet the CCL Management Team. It is projected that final products should be completed by March 2010.</p>

PROJECT SELF-EVALUATION

Key to Traffic Light System (TLS)

***	**	NP	NA
Strong progress	Some progress	No progress	Not yet assessed/discontinued

Project Outputs (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
<p><u>Seminars:</u></p> <p>Improved awareness of the economic importance of copyright.</p> <p>Discussions on appropriate tools to assess the national/regional performance and economic contribution of the creative industries and enabling governments to identify areas for policy intervention.</p> <p>Requests for launching of studies on the economic contribution of copyright-based industries.</p>	<p>Expression of interest in undertaking national research on the economic importance of copyright-based industries, translating and/or publishing existing research or preparing country specific awareness rising materials.</p> <p>Participants in the seminars represent a broad range of stakeholders (i.e. individual and corporate creative industries, government institutions represented, IGOs, NGOs, banking and financing institutions.</p> <p>Identification of the most appropriate indicators for measuring performance of the creative sector.</p> <p>Over 50 % of the participants in the seminars confirm, at the end of the seminar, that they have at least been satisfied with the seminar regarding the achievement of the objectives set.</p> <p>Number of requests, number of studies, publication or other WIPO materials.</p>	<p>Over 50 % of the participants in the sub-regional workshop held in Yaoundé, Cameroon (July 16-17) on the management of IP in the book publishing industry confirmed, at the end of the seminar, that they have at least been satisfied with the seminar regarding the achievement of the objectives set.</p> <p>92% of the participants were satisfied with the results of the workshop.</p> <p>Further requests were discussed for similar training and follow up activities with publishers in Central and West Africa (Cameroon, Gabon, Ghana, Nigeria).</p> <p>The deliberations during the workshop revealed difficulties in uniting the publishers associations so as to enhance the performance of the sector and its participation in international cooperation.</p> <p>A number of practical issues and bottlenecks for the operation of the publishing industry were identified. A plan of action will be elaborated on the basis of the combined list of issues identified during the workshop.</p>	<p>***</p>

Project Outputs (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
<p><u>Workshops:</u></p> <p>Raised awareness on the role of IP for the operation of creative enterprises; and transfer of practical knowledge on the management of IP by creative industries and support institutions.</p>	<p>Demand for WIPO publications and tools on management of IP in specific creative industries.</p> <p>Number of trained participants.</p> <p>Request for training based on WIPO tools.</p>	<p>The distribution of more region-specific WIPO materials was requested highlighting the economic importance of the publishing industry</p> <p>Requests were received to provide more empirical evidence on the importance and role of copyright in the book publishing industry</p>	<p>***</p>
<p>Specialized training modules on collective management.</p> <p>Drafting of collective management business rules.</p>	<p>Customized Training modules developed in the first three months of project fitting the needs of each CMO.</p> <p>Adoption and implementation of business rules (documentation, licensing and distribution) compatible with international standards.</p>	<p>Identification of the standards and formats to be used for musical data collection and exchange</p> <p>Consultation with and endorsement of the CMOs (West Africa and Caribbean)</p> <p>Consultations with key partner NGOs have been conducted</p> <p>Planning of a meeting (in Lomé, Togo) to adopt key segments of the project implementation process, and a meeting in Port of Spain to launch the project</p>	<p>***</p>
<p>Provision of IT equipment.</p>	<p>CMO IT infrastructure compatible with networking requirements for user-friendly access to databases.</p>	<p>Work in progress</p>	<p>**</p>
<p>Upgraded Software.</p>	<p>Software able to automate all the CMO's operations (documentation, licensing and distribution) and to allow access to international databases.</p> <p>Software allows data exchange applications and allocation of standardized numbers for international works.</p>	<p>First briefing of required tasks and initial review of Terms of Reference completed</p> <p>Profile, job descriptions of Project Business and IT Analysts identified and adopted</p>	<p>**</p>

Project Outputs (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
Database of CMOs' musical works repertoire accessible and secure.	<p>Database uniform in each CMO and compatible with data exchange system.</p> <p>Database accessible online and off-line by networked CMOs, worldwide, for any secured and compatible system (in the CISnet in particular).</p>	Work in progress	**
Deployment package.	<ul style="list-style-type: none"> — 9 training packages delivered; — 3 officials in each CMO using the network system on a daily basis; and — Number of data (on works and interested parties) captured and processed quarterly by each CMO using the system. 	Work in progress	**

[Annex IX follows]

ANNEX IX

PROGRESS REPORT FOR RECOMMENDATION 10

PROJECT SUMMARY	
Project Code:	DA_10_05
Title:	Improvement of National, Sub-Regional and Regional IP Institutional and User Capacity
Development Agenda Recommendation:	Recommendation 10: To assist Member States to develop and improve national IP institutional capacity through further development of infrastructure and other facilities with a view to making national IP institutions more efficient and promote fair balance between IP protection and the public interest. This technical assistance should also be extended to sub-regional and regional organizations dealing with IP.
Project Budget:	Non-Personnel Costs: Sfr.2,209,000 Personnel Costs: Sfr.882,000
Project Duration:	24 months
Key WIPO Sectors Involved and Links to WIPO Programs	Cooperation for Development Sector Links to WIPO Programs: 8, 9, 10, 11, 14, 15, 17, and 19.
Brief Description of Project:	In light of the recognition of IP as a powerful tool for promoting creativity and innovation, as well as for the competitiveness of enterprises and countries, this project will provide an integrated set of policies and strategies, institutional and enterprise level interventions, including tools and mechanisms, directed at IP administrations, at the national, sub-regional and regional levels, and to enterprise-support institutions, the aim being to enhance both the efficiency and utilization of the IP system in developing LDCs, as well as countries in transition, by fulfilling their development objectives.

Project Manager:	Mr. Marco Pautasso
Comments on Project:	<p>WIPO has been usually responding to requests received from Member States for the design, formulation and implementation of IP and Innovation strategies on a case-by-case basis. Various tools have been developed by several sectors within WIPO, or by outside experts and/or organizations, to respond to requests received. This situation has resulted in a variety of approaches, and different depths and levels of assistance provided by WIPO in this respect. It has now become opportune, and this is one of the aims of the project, to undertake a thorough review of available tools in order to come up with a coherent, methodological and practical toolkit that would enable interested Member States to identify and choose the most suitable tools in their endeavor to formulate IP and innovation strategies.</p> <p>During the time under review, two initiatives have been commenced, by the Cooperation for Development sector, that are essential for the implementation of the toolkit component of the project:</p> <p>(a) the current development of a benchmarking tool, undertaken by two consultants, that would enable to assess the state of national IP systems; to ascertain the corresponding needs at the level of policy, strategy and institutional/organizational change; to provide a framework for identifying and addressing gaps; and,</p> <p>(b) an ongoing process for developing and validating, on a pilot basis, a comprehensive toolkit, in the form of a menu of policy and organizational alternatives and options, that will facilitate the designing and implementing of national IP strategies, and for establishing methodologies that would enable countries to use the toolkit for formulating their national IP strategies and plans.</p> <p>Concerning regional and sub-regional cooperation, activities have started in the Caribbean region. A consultant has been hired for reviewing a draft proposal concerning the setting up of a sub-regional office for patent examination. The purpose is to bring this process to a successful completion, with the establishment of a regional office, and to consider replicating the methodology and processes used in this case to meet relevant demands from Member States in other regions or sub-regions.</p> <p>In the recent past, a number of surveys and studies on IP and SMEs have been conducted in a number of countries around the world. These surveys/studies not only varied in scope, but also have used very different approaches and methodologies and, therefore, are not comparable.</p> <p>Pursuant to the project component concerning SMEs, it was proposed to create an Expert Group for defining the scope and the methodology for conducting national surveys/studies on IP and SMEs.</p> <p>A Meeting of Expert Group for Defining the Scope and the Methodology for National Surveys/Studies on Intellectual Property and SMEs was convened at the WIPO Headquarters, on September 17 and 18, 2009. The meeting was attended by fourteen experts from ten countries, including three from developing countries and one from a least developed country.</p>

	<p>The expert group agreed on the way forward (see below).</p> <p>The main aim of the proposed meeting was to conduct a comprehensive review of different methodologies and tools as well as the difficulties that various researchers have encountered and develop a standardized yet flexible methodology to ensure consistency and compatibility of results across countries and over time in the same country when used by WIPO's Member States.</p>
Key Lessons:	<p>At this stage is too early to identify key lessons. Impact of the program and success stories will be part of the project once rolled out.</p>
Issues Requiring Immediate Support/Attention:	<p>The redeployment of human resources foreseen for the implementation of this project under personnel costs needs to be expedited.</p>
The Way Forward:	<p>The next steps in the establishment of a toolkit for the formulation of IP and innovation strategies are the creation of an Expert Group, from a list of eminent specialists in this area, tasked with the review of all existing tools, including the new benchmarking tools, in order to streamline them and identify possible remaining gaps and ways for filling those gaps; and, the consolidation and formulation of a final toolkit, as well as the provision of guidance on how to use and apply the new toolkit for pilot testing in countries selected (Zambia, Algeria, Malaysia, Dominican Republic, United Republic of Tanzania and Moldova).</p> <p>The expert group on SMEs recommended a number of follow up actions, including determination of the scope of the studies, definition of the essential terms, agreement on a methodology, drafting of a common questionnaire to carry out the surveys, identification of the six countries in which the pilot studies will be carried out and establishment of links with local partners to carry out the study.</p> <p>The six countries identified by the expert group for pilot studies are: Brazil, India, Jordan, Poland, South Africa and United Republic of Tanzania.</p> <p>The next steps involves: (a) to continue the deliberations by phone, fax, email and/or online amongst themselves, say in an Internet wiki, to complete the work assigned to the Expert Group, and, in particular, to develop appropriate tools, including one or more questionnaires, that may be used as such or after suitable modifications for undertaking such national/sectoral surveys/studies; (b) translation/customization and printing of four IP for Business Series publications in the six countries; (c) conducting of studies; (d) convening of an international conference to take stock of the studies and propose follow up action and strategy; and (e) deployment of the agreed strategy.</p>

PROJECT SELF-EVALUATION

Key to Traffic Light System (TLS)

*** Strong progress ** Some progress NP No progress NA Not yet assessed/discontinued

Project Outputs (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
Strengthen national IP institutional capacity through a standard, methodological and integrated approach to IP policy, strategy and institutional reform and modernization.		Process started for the development of a comprehensive toolkit for the design and formulation of IP and Innovation strategies	***
A tool for assessing the status of the existing IP system in a developed country.	Tool successfully validated by expert groups (internal and external) within 2 months of preparation; tool applied in six pilot countries.	Prepared first draft of benchmarking tool for assessing status of national IP systems	**
A tool for needs assessment exercise available.	Tool successfully validated by expert groups (internal and external) within 2 months of preparation; tool applied in six pilot countries.	Prepared first draft of benchmarking tool for ascertaining policy, strategy and organizational needs; and for identifying and addressing gaps	**
A conceptual framework for the design of national IP strategies and plans containing policy/ institutional/ organizational reform of IP administrations conceived and tested.	Framework validated by expert groups (internal and external); actual use of the framework in the preparation of national IP strategies and plans in six pilot countries. Final framework incorporating lessons from the tests made available to Member States.	Draft reference document on existing tools and current understanding of issues relating to the formulation of IP strategies prepared for internal review. Draft list of potential members of an Expert Group tasked with the review of existing tools, the identification of gaps, the consolidation of a final toolkit and the provision of guidelines for its implementation in six pilot countries. Six pilot countries identified	**
Strengthen regional/sub-regional institutions		Work in progress	**

Project Outputs (Expected result)	Indicators of Successful Completion (Output Indicators)	Performance Data	TLS
dealing with IP.			
Sub-regional/regional approach to IP administration finalized.	Harmonized procedures/work-sharing for one sub-regional/regional group agreed upon.	Draft proposal for the establishment of a regional/sub-regional IP office being reviewed and finalized for submission to government authorities of Member States concerned	***
<u>SMEs</u>			
A standardized methodology for undertaking survey/studies on IP for SMEs.	Validation of the methodology by the expert groups and field testing of the validated methodology through pilot testing in six countries.	Convening of a meeting of an expert group on SMEs: fourteen experts from ten countries met and agreed on a work plan. Six countries for the pilot studies identified. Work in progress on the scope and methodology of study	***
Availability of national translated and/or adapted versions of WIPO publications on IP for SMEs.	Local partners identified, agreements signed, timely receipt and approval of drafts, authorization for publication granted.	Translation/Customization of IP for Business Series publications.	**
Availability of IP PANORAMA in French and Spanish.	Partners identified, agreements signed, timely receipt and quality check of interim and final draft, authorization for publication granted.	Work in progress	**
Created or enhanced capacity of local teachers/trainers to create awareness on the role of IP in competitiveness of a business and to provide basic assistance on IP information and registration services.	Successful completion of training programs evaluated on the basis of a questionnaire by the participants.	Work in progress	**