Viewpoint of a Private Practitioner Regarding a Possible Filing Strategy

Seminar on the Hague System for the International Registration of Industrial Designs: Practical Approach and How to Use It

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1. **Introduction**

Patent (in most jurisdictions): examined
tendency to consider the patent as barely attackable
1. Introduction

Design (in most jurisdictions) = not examined

Tendency: design considered as being weak
The truth is in the middle

• Design protection is clearly underestimated

• Why: *legal presumption* of validity
  
  turn of the prove burden
Swiss Federal Court,
July 13, 2004
“Pendant”

*Design infringement affirmed!*
- Defendant failed in proving lack of novelty.

- Lack of novelty is irrelevant if not proved.

- “Missing examination” of a design is partially “compensated” by the legal presumption.
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<th><strong>Court</strong></th>
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<tr>
<td>Court</td>
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<tr>
<td>Copenhagen Maritime and Commercial Court</td>
<td>Staff ApS</td>
<td>Marc Lauge A/S</td>
<td>Infringement affirmed. Defendant had to pay damages.</td>
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<td>(25 Jan 2008, No. V. 68/06)</td>
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<td>Confusingly similar trousers</td>
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General Court C-345/13
Karen Millen vs. Dunnes, Decision 19 June 2014

Karen Millen

Dunnes (Savida label)

Karen Millen

Dunnes (Savida label)

(Irish High Court, unregistered Community design rights).
“The right holder of a design is not required to prove that it has individual character”.

= right holder is released from burden of prove regarding existing novelty!

(interpretation of the General Court of article 85 II of Regulation 6/2002 [presumption of validity - defense as to the merits])
Legal presumption = advantage in case of conflict

- for sending cease and desist letters,
- and in case of preliminary injunctions

and for negotiating licenses.
Art. 2 I Locarno Classification: “Subject to the requirements prescribed by this Agreement, the international classification shall be solely of an administrative character. Nevertheless, each country may attribute to it the legal scope which it considers appropriate. In particular, the international classification shall not bind the countries of the Special Union as regards the nature and scope of the protection afforded to the design in those countries.”
Decision by the UK Court of Appeals (23. April 2008)

Spiky balls for use as laundry aids, registered designs under Nos. 000217187-0001 – 004; application date 2004:

Sold and used as a massage ball since 2002:
The parties settled the case amicability. However, one of the judges argued:

“…this case gives rise to points of law of general importance which have an impact on those not directly engaged in this particular dispute. Where our judgment may clarify that which has been moot and the result is of wider public interest, I take the view that we should make our conclusions known and so I have been in favour in this case of handing down this judgment.”
The Court stated:

“the right gives a *monopoly over any kind of goods* according to the design. It makes complete sense that the prior art available for attacking novelty should also extend to all kinds of goods”.
3. No use requirements!!

*Trademarks registered for 45 classes: often confronted with “defensive trademark jurisdiction”*

*Problem solved with design:*
- no principle of specialty
- no use obligation!
Monopoly     ↔     “corrective against abuse”

*Design*
- no “principle of specialty”, no “use obligation” = huge scope of protection
- → Corrective: time limitation.

*Trademark*
- Timely unlimited
- → corrective: “principle of specialty” and “use obligation”
4. Protection of Trade dresses and logos

“An industrial design may consist of three dimensional features, such as the shape of an article, …”.

(http://www.wipo.int/designs/en)
Trade dresses – unfair competition and design protection

Nestlé DM/077205

Nestlé DM/074008
Protection of Logos: Locarno class 32-00

- 9th Locarno edition (1st January 2009): „graphic symbols and logos“.

- Some Trademark Offices were reserved regarding logo-filings, what now is no longer the case. As a matter of fact, the number of logo applications significantly increased since 2009 in most jurisdictions.
no principle of specialty  
+  
no use requirement  
+  
legal presumption  
+  
tailor made filing strategy  
=  
strong IP right / trump
5. Parameter for a tailor-made filing strategy
Combination “different perspectives” + disclaimer

DM/070912
Daimler AG
DM/076650 Daimler AG
“The blue marked areas are not coming into the scope of protection, they have the function of a disclaimer”

DM/076222
The blue marked parts of designs 8, 9, 10, 11, 12, 13 and 14 are not coming into the scope of the industrial design (disclaimer)
different perspectives

DM/071034
Daimler AG
Photography or graphical reproduction?

DM/066980 (15); Lidl, DE

DM/066875 (15), Lidl, DE
Combination of photography and graphical reproduction!

DM/052026 (15);
EISEN GMBH, DE
Color and/or black and white?

DM/075961 (15); Hilti, LI

DM/076048 (15); Hilti, LI
Whole product and/or parts thereof?

DM/047327 (15); Cartier; CH

DM/071188 (15); Cartier, CH
100 words description?

Design 1: bottle of perfume with its cap closed; the bottle with its cap forms an overall oval cylinder; the bottle cap is linked to the main cylinder by a single binding element; the top part of the cap is domed; the bottle features an horizontal line on its lower part; Design 2: bottle of perfume with its cap open; the bottle forms an overall oval cylinder; the open bottle's cap shows a large and flat topped sprayer that has an oval shape; the base of the sprayer is narrow compared to its upper part; the top part of the cap is domed; the bottle features an horizontal line on its lower part; Design 3: bottle of perfume with its cap closed; the bottle with its cap closed forms an overall oval cylinder; the bottle's cap which is domed on its top part is linked to the main cylinder by a single binding element; Design 4: bottle of perfume with its cap open; the bottle forms an overall oval cylinder; the bottle's cap which is domed on its top part is linked to the main cylinder by a single binding element; the open bottle's cap shows a large and flat topped sprayer that has an oval shape; the base of the sprayer is narrow compared to its upper part; Design 5: cap closed for a bottle of perfume; the cap forms an oval cylinder; the upper part of the cap is domed; the cap is linked to the main cylinder by a single binding element; Design 6: open cap for a bottle of perfume; the cap forms an oval cylinder; the upper part of the cap is domed; the cap is linked to the main cylinder by a single binding element; the open cap shows a large and flat topped sprayer that has an oval shape; the base of the sprayer is narrow compared to its upper part; Design 7: cap
One picture can say more than 100 words.

DM/062910 (15); Cartier, CH
One picture can say more than 100 words.

DM/047707 (15); Nestlé, CH
6. Accession of examining member states

Countries with examination

- **Japan**: declaration three-dimensional

  “a front view, a back view, a top view, a bottom view, a left side view and a right side view, each made in compliance with the method of orthographic projection are required“
Accession USA, Japan and the Republic of Korea – consequences for practitioners

- **USA**: no color drawings or color photographs & it is not possible for an applicant to request the deferment of publication.

- **Republic of Korea**: specific views are required: (i) for a design of a set of articles: one view of the coordinated whole and corresponding views of each of its components, and (ii) for a design for typefaces: views of the given characters, a sample sentence, and typical characters.
Formal or material law?

Requirements for receiving filing date?

- OMPI: formal examination. Are these specific requirements formal or material law?
- Decided by respective Court.
Decisive question

- Requirements for receiving the filing date.

- Example
(My) solution

- Before filing: local representative.
- Hague still attractive?
- Yes definitely: costs of local representative occur only once (for 25 years protection)
- Hague advantages remain: 1 registration, 1 currency, easy administration, still saving costs etc.
- But: responsibility of representatives to inform clients of these additional costs.
7. Practice/jurisdiction

Does it work in practice - what about jurisdiction?
Infringement denied.

Plaintiff’s Design Registrations
• 7 pictures of wine carafe.
• 4 with socket
• 3 without socket

Parts or elements of a Design Registration are not protected separately. As a result: the design protection covers “carafe with socket” and not its part (= carafe without socket).

Be careful of the filing strategy!! Two filings: actions would have affirmed!
General Court T-339/12
Fauteuil cubique, Decision dated 4 February 2014

Earlier design

Contested design

→ Differences in: seat height, seat and back inclination.
General Court:

Overall impression produced on the informed user must be determined based on **how the product is used**: differences in design lead to **different level of comfort**.

Action dismissed.
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<th>Court</th>
<th>Applicant</th>
<th>Intervener</th>
<th>Decision</th>
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<tbody>
<tr>
<td>ECJ</td>
<td>Senz Technologies BV</td>
<td>Impliva BV</td>
<td>Defendant based on a prior Patent registration.</td>
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<td>21 May 2015, T-22/13 and T-23/13</td>
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<td>Court: different overall impressions and individual character.</td>
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<td>Court</td>
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<td>Tribunal de Grande Instance, Paris (18 December 2014, 13/04545)</td>
<td>ELEVEN produced t-shirts with celebrities (here: Rihanna).</td>
<td>HK &amp; CITY sold identical t-shirts.</td>
<td>The Court based on the “Unregistered Community Design” and affirmed the design infringement. (Copyright infringement was affirmed, too). The case was solved based on design law (and not personality rights).</td>
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<td>Court of Appeal of Paris (05 December 2014, 14/03506)</td>
<td>Europlastic’s Holder of two (registered) Community designs</td>
<td>GERMAY PLASTIC</td>
<td>- Court assessed plaintiff’s design as valid.</td>
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<td>- Defendant failed in proving lack of novelty (see next slight)</td>
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<td></td>
<td><img src="image1.png" alt="Fork 1.1" /> <img src="image2.png" alt="Fork 1.2" /></td>
<td></td>
<td>design infringement affirmed</td>
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<td><img src="image3.png" alt="Fork 1.3" /> <img src="image4.png" alt="Fork 1.4" /></td>
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<tr>
<td><strong>Court</strong></td>
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| GC (9 September 2014, Case T-494/12) | RCD application | Applied for a declaration of invalidity | • Non-visible characteristic of the product does not relate to the appearance.  
• Applicant misunderstood Article 4 (2) and (3) RCDR (requirements for protection).  
registration refused. |
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<td>Commercial Court of Aargau (5 March 2014)</td>
<td>Pan mat</td>
<td>Dishes drip device</td>
<td>Rejection: different overall impressions. Bonding of the disputed product look like a bicycle chain. This strongly influences the disputed product and gives it a different overall impressions.</td>
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<tr>
<td>Court</td>
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<td>Respondent</td>
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<td>BoA</td>
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<td>Respondent destroyed novelty based on a Japanese patent.</td>
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<td>Court</td>
<td>Design Holder</td>
<td>Invalidity Applicant</td>
<td>Decision</td>
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<td>BoA</td>
<td>RCD</td>
<td>Filed application for a declaration of invalidity based on earlier intern. trademark</td>
<td>Trademark information on the packaging not relevant for consumer. RCD declared invalid.</td>
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<tr>
<td>Oberlandsgericht Düsseldorf</td>
<td>Dr. Oetker reg. design:</td>
<td>Aldi Infringing product:</td>
<td>Infringement denied.</td>
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<td>Limited scope of protection of the plaintiff’s design?</td>
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Decision of the Oberlandgericht Hamm (Germany) dated February 24, 2011

Plaintiff

Defendant
• The court affirmed the validity of the respective Design-registration from 2001. It also affirmed its copyright character.

• However, the Court argued with different overall impressions, due to differences in nose, face, paw.
Design protection is like a unicycle:

not easy to ride, but if you manage it, it’s a lot of power and flexibility!
Thank you!