

APPENDIX C

FEES

A. PCT

(in force as of January 1, 2004)

1. Fee ^(*)	Swiss francs
International Filing Fee (Rule 15.2)	
1.1 if the international application contains not more than 30 sheets	1,530
1.2 if the international application contains more than 30 sheets plus 15 Swiss francs for each sheet in excess of 30 sheets	1,530
2. Reductions	
2.1 The international fee is reduced by 200 Swiss francs if the international application is, in accordance with and to the extent provided for in the Administrative Instructions, filed: (a) on paper together with a copy thereof in electronic form; or (b) in electronic form.	
2.2 The international fee (where applicable, as reduced under item 2.1) is reduced by 75 per cent for international applications filed by any applicant who is a natural person and who is a national of and resides in a State whose par capita national income is below US\$3,000 (according to the average per capita national income figures used by the United Nations for determining its scale of assessments for the contributions payable for the years 1995, 1996 and 1997); if there are several applicants, each must satisfy those criteria.	
3. Additional fees	
3.1 Early publication, upon request of the applicant, where the international search report or the declaration under PCT Article 17(2)(a) is not available for publication with the international application (PCT Rule 48.4(a))	200
3.2 Publication of information concerning priority claim considered not to have been made (PCT Rule 26 <i>bis</i> .2(c)) or publication of a refused request for rectification (PCT Rule 91.1(f)) plus 12 Swiss francs for each sheet in excess of one	50
3.3 Copy of the record copy of an international application (PCT Rule 94.1)	35
3.3.1 if certified as true copy of the record copy	50

^(*) Pending approval by the September 2003 Assembly of the PCT Union – see PCT/A/31/10, para. 50

	Swiss francs
3.4 Certified copy of a published international application (PCT pamphlet)	35
3.5 Copy of a priority document (PCT Rules 17.2(c) or 94.1)	35
3.5.1 if certified as true copy of the priority document	50
3.6 Copy of a document in the file (other than the record copy, the published application or the priority document) (PCT Rule 94.1)	5
plus 1 Swiss franc per page	
3.6.1 if certified as true copy of the document concerned	plus 15
3.7 Copy, on CD-ROM, of sequence listings contained in pamphlets or priority documents, produced upon request of a third party	35
plus shipping costs	
3.8 Transmitting to a designated Office a copy of an international application, upon request of the applicant (PCT Rule 31.1(b))	35
3.8.1 Supplement for airmail	10
3.8.2 Supplement for facsimile per page	3
4. Fees payable to the Receiving Office of the International Bureau of WIPO	
4.1 Transmittal fee**	100
4.2 Fee for priority document (PCT Rules 17.1(b) and 20.9)	50
4.2.1 Supplement for airmail	10

B. Madrid

(in force as of April 1, 2002)

1. International applications governed exclusively by the Agreement

The following fees shall be payable and shall cover 10 years

1.1 Basic fee (Article 8(2)(a) of the Agreement)	
1.1.1 where no reproduction of the mark is in color	653
1.1.2 where any reproduction of the mark is in color	903
1.2 Supplementary fee for each class of goods and services beyond three classes (Article 8(2)(b) of the Agreement)	73
1.3 Complementary fee for the designation of each designated Contracting State (Article 8(2)(c) of the Agreement)	73

2. International applications governed exclusively by the Protocol

The following fees shall be payable and shall cover 10 years

2.1 Basic fee (Article 8(2)(i) of the Protocol)	
2.1.1 where no reproduction of the mark is in color	653
2.1.2 where any reproduction of the mark is in color	903
2.2 Supplementary fee for each class of goods and services beyond three classes (Article 8(2)(ii) of the Protocol), except if only Contracting Parties in respect of which individual fees	

** Applicants who qualify for the 75 per cent reduction of the international filing fee (see item 2.2, above), do not have to pay the transmittal fee.

Swiss francs

(see 2.4, below) are payable are designated (see Article 8(7)(a)(i) of the Protocol)	73
2.3 Complementary fee for the designation of each designated Contracting Party (Article 8(2)(iii) of the Protocol), except if the designated Contracting Party is a Contracting Party in respect of which an individual fee is payable (see 2.4 below) (see Article 8(7)(a)(ii) of the Protocol)	73
2.4 Individual fee for the designation of each designated Contracting Party in respect of which an individual fee (rather than a complementary fee) is payable (see Article 8(7)(a) of the Protocol): the amount of the individual fee is fixed by each Contracting Party concerned	
3. International applications governed by both the Agreement and the Protocol The following fees shall be payable and shall cover 10 years	
3.1 Basic fee	
3.1.1 where no reproduction of the mark is in color	653
3.1.2 where any reproduction of the mark is in color	903
3.2 Supplementary fee for each class of goods and services beyond three classes	73
3.3 Complementary fee for the designation of each designated Contracting Party in respect of which no individual fee is payable	73
3.4 Individual fee for the designation of each designated Contracting Party in respect of which an individual fee is payable (see Article 8(7)(a) of the Protocol), except where the designated State is a State bound (also) by the Agreement and the Office of origin is the Office of a State bound (also) by the Agreement (in respect of such a State, a complementary fee is payable): the amount of the individual fee is fixed by each Contracting Party concerned	
4. Irregularities with respect to the classification of goods and services The following fees shall be payable (Rule 12(1)(b))	
4.1 Where the goods and services are not grouped in classes plus 4 per term in excess of 20	77
4.2 Where the classification, as appearing in the application, of one or more terms is incorrect plus 4 per incorrectly classified term, provided that, where the total amount due under this item in respect of an international application is less than 150 Swiss francs, no fees shall be payable	20
5. Designation subsequent to international registration The following fees shall be payable and shall cover the period between the effective date of the designation and the expiry of the then current term of the international registration	
5.1 Basic fee	300

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5.2	Complementary fee for each designated Contracting Party indicated in the same request where an individual fee is not payable in respect of such designated Contracting Party (the fee covers the remainder of 10 years) Party in respect of which an individual fee (rather than a complementary fee) is payable (see Article 8(7)(a) of the Protocol): the amount of the individual fee is fixed by each Contracting Party concerned	73
6.	Renewal The following fees shall be payable and shall cover 10 years	
6.1	Basic fee	653
6.2	Supplementary fee, except if the renewal is made only for designated Contracting Parties in respect of which individual fees are payable	73
6.3	Complementary fee for each designated Contracting Party in respect of which an individual fee is not payable	73
6.4	Individual fee for the designation of each designated Contracting Party in respect of which an individual fee (rather than a complementary fee) is payable (see Article 8(7)(a) of the Protocol): the amount of the individual fee is fixed by each Contracting Party concerned	
6.5	Surcharge for the use of the period of grace of the amount of the fee payable under item 6.1	50%
7.	Miscellaneous recordings	
7.1	Total transfer of an international registration	177
7.2	Partial transfer (for some of the goods and services or for some of the Contracting Parties) of an international registration	177
7.3	Limitation requested by the holder subsequent to international registration, provided that, if the limitation affects more than one Contracting Party, it is the same for all	177
7.4	Change of name and/or address of the holder of one or more international registrations for which recordal of the same change is requested in the same request	150
7.5	Recording of a license in respect of an international registration or amendment of the recording of a license	177
8.	Information concerning international registrations	
8.1	Establishing a certified extract from the International Register consisting of an analysis of the situation of an international registration (detailed certified extract),	
8.1.1	up to three pages	155
8.1.2	for each page after the third	10
8.2	Establishing a certified extract from the International Register consisting of a copy of all publications, and of all notifications of	

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refusal, made with respect to an international registration (simple certified extract),	
8.2.1 up to three pages	77
8.2.2 for each page after the third	2
8.3 A single attestation or information in writing	
8.3.1 for a single international registration	77
8.3.2 for each additional international registration, if the same information is requested in the same request	10
8.4 Reprint or photocopy of the publication of an international registration, per page	5
9. Special services	

The International Bureau is authorized to collect a fee, whose amount it shall itself fix, for operations to be performed urgently and for services not covered by this Schedule of Fees.

C. Hague¹

(in force as of January 1, 2002)

1. Fees payable if the deposit is governed exclusively or partly by the 1960 Act (deposits published under the 1960 Act)	
1.1 International deposit fee (Rule 13.2(a)(i))	
1.1.1 For 1 design	397
1.2.1 For each additional design included in the same deposit	19
1.2 International publication fee (Rule 13.2(a)(ii))	
1.2.1 For each reproduction to be published in black and white	12
1.2.2 For each reproduction to be published in color	75
1.2.3 For each page, in addition to the first, on which one or more reproductions are shown	150
1.3 Deferred publication fee (Rule 10.1(a))	93
1.4 Ordinary State fee (per designated State referred to in Rule 13.2(b)) (Rule 13.2(a)(iii))	
1.4.1 For 1 design	42
1.4.2 For each additional design included in the same deposit	2
1.5 State novelty examination fee for the designation of ² each contracting State in respect of which such a fee is payable (Rule 13.2(a)(iv)), less the amount of the ordinary State fee paid for that State.	
1.6 International renewal fee (Rule 24)	
1.6.1 For a deposit containing 1 design	200
1.6.2 For each additional design included in the same deposit	17
1.6.3 Surcharge	*

¹ No proposed Schedule of Fees is yet available for the 1999 Act of the Hague Agreement, which is expected to come into operation during the 2004-2005 biennium. However, it is, at this stage, conceivable that the amounts of the fees to be specified in the Schedule of Fees in respect of registrations governed exclusively or partly by the 1999 Act will be the same as those contained in the current Schedule of Fees in respect of deposits governed exclusively or partly by the 1960 Act.

² The amount of the State novelty examination fee is fixed by the contracting State concerned, in accordance with Rule 13.2(e).

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1.7	State renewal fee (per designated State to which the 1960 Act applies (Rule 24.2))	
1.7.1	For a deposit containing 1 design	21
1.7.2	For each additional design included in the same deposit	1
2.	Fees payable if the deposit is governed exclusively by the 1934 Act (deposits published under the 1934 Act)	
2.1	International deposit fee for an initial period of five years (Rule 13.1(a))	
2.1.1	For 1 design	216
2.1.2	For 2 to 50 designs included in the same deposit	432
2.1.3	For 51 to 100 designs included in the same deposit	638
2.2	Prolongation fee for a further period of 10 years (Rule 23)	
2.2.1	For 1 design	422
2.2.2	For 2 to 50 designs included in the same deposit	844
2.2.3	For 51 to 100 designs included in the same deposit	1,236
2.2.4	Surcharge	**
3.	Common fees	
3.1	Fee for recording a change in ownership (Rule 19)	144
3.2	Fee for recording a change in the indications referred to in Rule 5.1(a)(ii) to (iv) (Rule 21)	
3.2.1	for a single international deposit	144
3.2.2	for each subsequent international deposit recorded in the name of the same owner, if recording the same change is requested at the same time	72
3.3	Supply of an extract from the International Register relating to an international deposit	144
3.4	Supply of non-certified copies of the International Register or items in the file of an international deposit	
3.4.1	for the first five pages	26
3.4.2	for each additional page after the fifth if the copies are requested at the same time and relate to the same application or the same international deposit	2
3.5	Supply of certified copies from the International Register or of items in the file of a deposit	
3.5.1	for the first five pages	46
3.5.2	for each additional page after the fifth if the copies are requested at the same time and relate to the same application or the same international deposit	2
3.6.	Supply of a photograph of a deposited object	57
3.7.	Supply of information on the contents of the International Register or of the file of an international deposit	
3.7.1	in the case of oral information	
3.7.1.1	concerning an application or an international deposit	31

* 50 per cent of the international renewal fee

** 50 per cent of the prolongation fee

3.7.1.2	concerning any additional application or international deposit of the same applicant or owner if the same information is requested at the same time	5
3.7.2	in the case of information given in writing	
3.7.2.1	concerning an application or an international deposit	82
3.7.2.2	concerning any additional application or international deposit of the same applicant or owner if the same information is requested at the same time	10
3.8	Search in the list of owners of international deposits	
3.8.1	per search by the name of a given person or entity	82
3.8.2	for each international deposit found beyond the first one	10
3.9	Surcharge for the communication of extracts, copies, information or search reports by telefacsimile	
3.9.1	per page	4

D. Lisbon

(in force as of April 1, 2002)

1.	Fee for registration of an appellation of origin	500
2.	Fee for entry of a modification of a registration	200
3.	Fee for providing an extract from the International Register	90
4.	Fee for providing an attestation or any other information given in writing concerning the contents of the International Register	80

E. Services of the WIPO Arbitration and Mediation Center

(in force as of December 1, 2002)

United States dollars

1.	Domain Name Dispute Resolution	
1.1	Single Panelist	
	Number of Domain Names included in the complaint:	
1.1.1	1-5 (Panelist: 1,000; Center: 500)	1,500
1.1.2	6-10 (Panelist: 1,300; Center: 700)	2,000
1.1.3	More than 10, to be decided in consultation with the WIPO Arbitration and Mediation Center	
1.2	Three Panelists	
	Number of Domain Names included in the complaint:	
1.2.1	1-5 (Presiding Panelist: 1,500; each of two Co-Panelists: 750; Center: 1,000)	4,000
1.2.2	6-10 (Presiding Panelist: 1,750; each of two Co-Panelists: 1,000; Center: 1,250)	5,000
1.2.3	More than 10, to be decided in consultation with the WIPO Arbitration and Mediation Center	

2. Mediation

Administration Fee	Mediator's Fees (*)	
0.10 per cent of the value of the mediation, subject to a maximum of \$10,000	\$300-\$600 per hour	\$1,500-\$3,500 per day

(*) Indicative rates

- 2.1 The amount of the administration fee shall be 0.10% of the value of the mediation, subject to a maximum registration fee of \$10,000.
- 2.2 The value of the mediation is determined by the total value of the amounts claimed.
- 2.3 Where the Request for Mediation does not indicate any claims for a monetary amount or the dispute concerns issues that are not quantifiable in monetary amounts, an administration fee of \$1,000 shall be payable, subject to adjustment. The adjustment shall be made by reference to the administration fee that the Center, after consultation with the parties and the mediator, determines in its discretion to be appropriate in the circumstances.
- 2.4 Any monetary amounts in dispute expressed in currencies other than United States dollars shall, for the purposes of calculating the administration fee, be converted to amounts expressed in United States dollars on the basis of the official United Nations exchange rate prevailing on the date of submission of the request for mediation.

3. Arbitration and expedited Arbitration

- 3.1 The Center may set-off all or part of the administration fees paid to it in connection with a WIPO mediation against the registration and administration fees payable to the Center in connection with a WIPO arbitration regarding the same dispute.
- 3.2 Prior to the establishment of the arbitral tribunal, the Center shall fix an arbitrator's hourly or daily fee rate, in consultation with the parties and the arbitrator. In so doing, the Center shall take into consideration such factors as the amounts in dispute, the number of parties, the complexity of the dispute and the status and any special qualifications required of the arbitrator.
- 3.3 An arbitrator shall be required to maintain a detailed and accurate record of the work done and the time spent on the arbitration. Following the termination of the arbitration, a copy of such records shall be provided to the parties and the Center, together with the arbitrator's invoice.

Type of Fee	Amount in Dispute	Expedited Arbitration	Arbitration
Registration Fee	Any Amount	\$ 1,000	\$ 2,000
Administration Fee *	Up to \$ 2.5 Million	\$ 1,000	\$ 2,000
	Over \$ 2.5 Million and up to \$ 10 Million	\$ 5,000	\$ 10,000
	Over \$ 10 Million	\$ 5,000 +0.05% of amount over \$10 M up to a maximum fee of \$ 15,000	\$ 10,000 +0.05 per cent of amount over \$ 10 Million up to a maximum fee of \$ 25,000
Arbitrator(s) Fees *	Up to \$ 2.5 Million	\$ 20,000 (fixed fee)	As agreed by the Center in consultation with the parties and the arbitrator(s) Indicative rate(s) \$ 300 to \$ 600 per hour
	Over \$ 2.5 Million and up to \$ 10 Million	\$ 40,000 (fixed fee)	
	Over \$ 10 Million	As agreed by the Center in consultation with the parties and the arbitrator	

* Each bracket indicates the total amount of the fees payable in a dispute, e.g. the administration fee payable in an expedited Arbitration when the amount in dispute is \$5 million is \$5,000 (and not a fee of \$6,000 which would have resulted from adding the fees of \$ 5,000 and \$1,000).

- 3.4 After consulting with the parties and the arbitral tribunal, the Center shall determine the final amount to be paid to the sole arbitrator or each of the respective amounts to be paid to the presiding arbitrator and the other members of a three-member tribunal, taking into consideration the hourly or daily rates and maximum rates and other factors such as the complexity of the subject matter of the dispute and of the arbitration, the total time spent by the arbitrator, the diligence of the arbitral tribunal and the rapidity of the arbitration proceedings.
- 3.5 For the purposes of calculating the fees, the amount of claims expressed in currencies other than United States dollars shall be converted to amounts expressed in United States dollars on the basis of the official United Nations exchange rate prevailing on the date of submission of the Request for Arbitration.
- 3.6 For the purpose of calculating the fees, the value of any counterclaim should be added to the amount of the claim.
- 3.7 Only paragraphs 1, 3, 5 and 6 above apply to Expedited Arbitration proceedings.

4. Miscellaneous fees and charges

- 4.1 Appointing Authority Fees: A request to the Center to serve as the appointing authority in an arbitration that is not under the WIPO Arbitration or Expedited Arbitration Rules shall be subject to the payment of a non-refundable appointment fee of \$1,500. The appointment fee shall cover all fees and expenses of the Center in connection with its role as appointing authority.
- 4.2 Referral Fee: Where the Center is requested to provide parties with the names and qualifications of neutrals meeting specified criteria, the Center shall provide the names, contact details and professional profiles of a selection of candidates against payment of a referral fee of \$500. Should the parties subsequently decide to adopt the WIPO Mediation, Arbitration or Expedited Arbitration Rules, the referral fee will be set-off against the Center's registration and administration fees.
- 4.3 Other Services: Where the Center is requested to render services other than those specified above (e.g., an arbitrator challenge in connection with an arbitration not under the WIPO Arbitration or Expedited Arbitration Rules; dispute resolution systems design), the Center's administrative services fee shall be established on a case-by-case basis.

[Appendix D follows]