

## **Program and Budget Committee**

**Thirtieth Session**  
**Geneva, July 8 to 12, 2019**

### **PROGRESS REPORT ON THE IMPLEMENTATION OF THE JOINT INSPECTION UNIT'S (JIU) RECOMMENDATIONS**

*prepared by the Secretariat*

1. This document provides an overview of the status of implementation of outstanding recommendations addressed to the World Intellectual Property Organization's (WIPO) Legislative Bodies, resulting from the reviews of the Joint Inspection Unit (JIU) during the period 2010 to end March 2019.
2. Annex I to the present document contains recommendations addressed to the Legislative Bodies of United Nations system organizations, where these recommendations are marked for action by WIPO. The current status of acceptance/implementation of recommendations reflects the Secretariat's proposals and assessments for consideration by Member States.
3. Since the last report submitted to Member States (WO/PBC/28/6) on the same subject, the JIU issued eight Reviews, of which four were relevant to WIPO. New Reviews containing recommendations addressed to Legislative Bodies have been signaled as such, and the status updates from Reviews issued in prior years highlight the change from the previous reporting period.
4. It is highlighted that in addition to the follow up of outstanding JIU recommendations, the Secretariat continues its work to facilitate and coordinate responses to the JIU's questionnaires, surveys and interviews in relation to ongoing and new Reviews. In line with the JIU's Program of Work (PoW), six Reviews relevant to WIPO are scheduled for launch in 2019, with five to be completed from those launched in 2018.

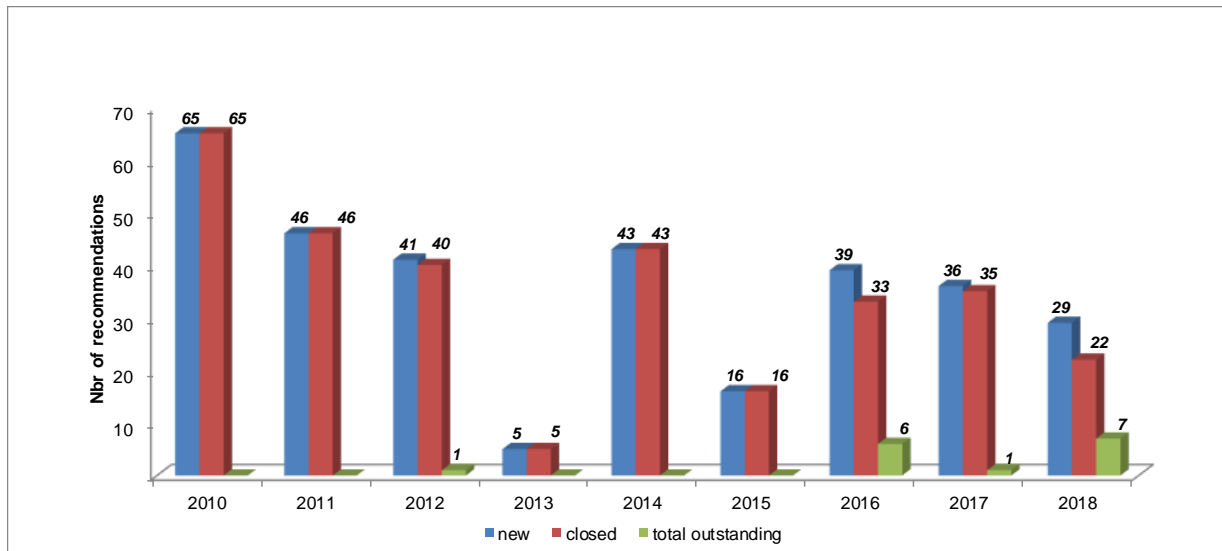
5. The following Reviews are ongoing at the time of finalizing the present document:

**Table 1. JIU Reviews relevant to WIPO ongoing in 2019**

Review of oversight committees in the United Nations system	Review initiated on February 21, 2018 – to be completed in 2019
Managing cloud computing services in the United Nations system	Review initiated on May 9, 2018 – to be completed in 2019
Organizational change management in the United Nations system: lessons learned and strategies for the future	Review initiated on May 17, 2018 – to be completed in 2019
Review of the United Nations System-wide Action Plan on Gender Equality and the Empowerment of Women	Review initiated on August 22, 2018 – to be completed in 2019
Review of the state of the investigation function: progress made in the UN system organizations in strengthening the investigation function	Review initiated on December 5, 2018 – to be completed in 2019
Review of policies and contemporary practices in outsourcing of services in the United Nations system organizations	2019 PoW
Review of staff exchange programmes and similar inter-agency mobility measures in United Nations system organizations	2019 PoW
Multilingualism in the United Nations system	2019 PoW
Policies, programmes and platforms to support learning in the United Nations system	2019 PoW
Review of the status of implementation of enterprise risk management in the United Nations system organizations	2019 PoW
United Nations common premises: current practices and future requirements	2019 PoW

6. The JIU issued a total of 29 new recommendations relevant for WIPO under four Reviews, with two recommendations addressed to the Legislative Bodies and the remaining 27 to the Executive Head. The oldest recommendation remaining outstanding from previous years is from a Review issued in 2012, on the subject of the management of sick leave, addressed to the Executive Head, which is expected to be closed later this year. All other recommendations currently outstanding and under implementation by WIPO are from Reviews issued in 2016 to 2018.

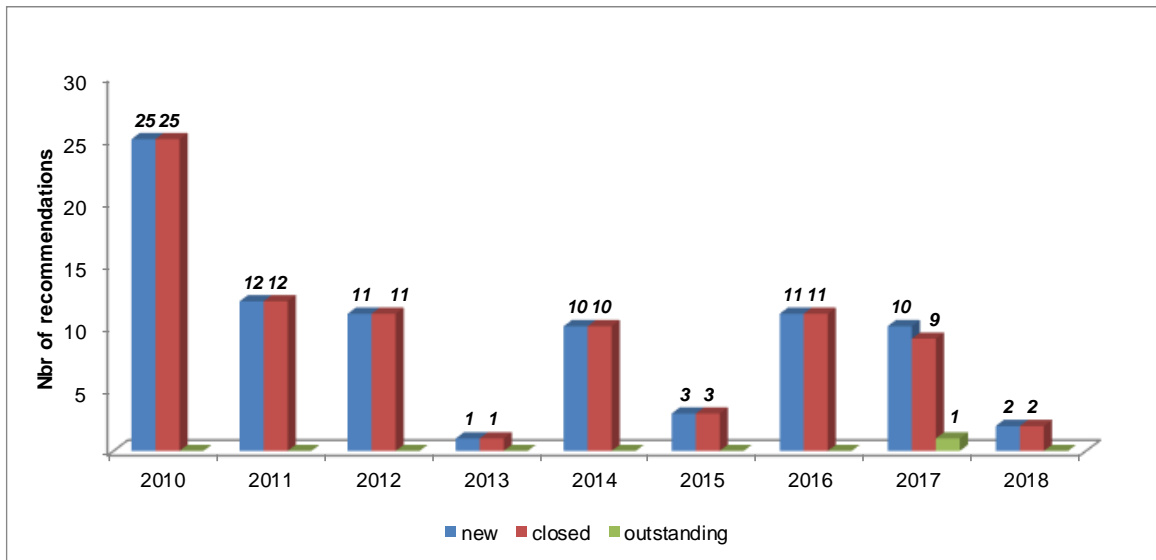
**Chart 1. All JIU Recommendations Relevant to WIPO 2010-2018  
Status as at end March 2019<sup>1</sup>**



7. As at end March 2019, subject to the endorsement of Member States in respect of recommendations contained in the present report, there will be one recommendation addressed to WIPO's Legislative Bodies which will remain outstanding, all other recommendations having been closed (implemented, considered not relevant to WIPO, or not accepted).

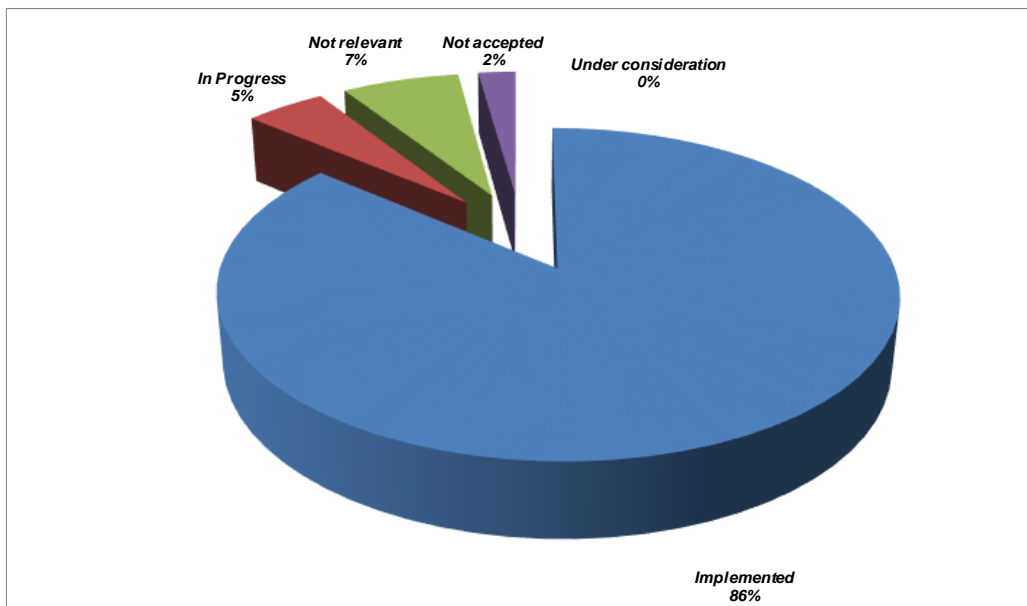
<sup>1</sup> New and closed recommendations are shown in the year in which the relevant Report of the JIU was issued.

**Chart 2. JIU Recommendations Addressed to Legislative Bodies 2010-2018  
Status as at end March 2019<sup>2</sup>**



8. As a result, overall 86 per cent of all the 320 JIU recommendations made since 2010, and relevant to WIPO, will have been implemented, with a further nine per cent closed (not relevant or not accepted), and five per cent accepted and in the process of being implemented.

**Chart 3. All JIU Recommendations Relevant to WIPO from Reports 2010-2018  
Status as at end March 2019**



<sup>2</sup> Subject to the endorsement of Member States in respect of recommendations addressed to WIPO's Legislative Bodies (LB).

9. The following decision paragraph is proposed.

10. *The Program and Budget Committee (PBC):*

*(i) took note of the present report (document WO/PBC/30/6);*

*(ii) welcomed and endorsed the Secretariat's assessment of the status of the implementation of recommendations under:*

- JIU/REP/2018/7 (Recommendation 9);*
- JIU/REP/2018/6 (Recommendation 10)*
- JIU/REP/2017/7 (Recommendation 1); as set out in the present report; and*

*(iii) called on the Secretariat to propose assessments for the open recommendations made by the Joint Inspection Unit (JIU) for Member States' consideration.*

[Annexes follow]


## JIU RECOMMENDATIONS ADDRESSED TO THE LEGISLATIVE BODIES OF JIU PARTICIPATING ORGANIZATIONS – STATUS AS AT END MARCH 2019

### I. RECOMMENDATIONS FROM JIU REVIEWS HELD IN 2018

#### JIU/REP/2018/7 “Strengthening the policy research uptake in the context of the 2030 agenda”


[LINK](#)

This report was issued by the JIU on March 19, 2019. All recommendations are therefore new within the context of this report to Member States.

 <b>Recommendation 9</b>	The governing bodies of the United Nations system organisations should take measures to ensure that commitments to inter-agency collaboration in data management, including through the establishment of a global data knowledge platform and the facilitation of academic exchanges, as stipulated in the Global Compact for Migration, are implemented by the end of 2020.
<b>Management/Focal Point Assessment</b>	WIPO regularly and systematically draws on academic expertise in the implementation of its research work.
<b>Acceptance</b>	Accepted
<b>Implementation</b>	Implemented
<b>Responsible Officer</b>	Chief Economist

#### JIU/REP/2018/6 “Enhancing accessibility for persons with disabilities to conferences and meetings of the United Nations system” [LINK](#)

This report was issued by the JIU on March 6, 2019. All recommendations are therefore new within the context of this report to Member States.

 <b>Recommendation 10</b>	The legislative bodies of United Nations system organizations should include in their agendas the review of periodic reports submitted to them on the state of accessibility of conference and meeting facilities and services for persons with disabilities, including the state of progress of actions to address accessibility deficits.
<b>Management/Focal Point Assessment</b>	WIPO’s reporting on specific aspects of program performance is done within the framework of its performance cycle. Accordingly, reporting on the state of accessibility will be provided as part of the WIPO Performance Report (WPR) and will also be addressed as part of the HR Annual Report.
<b>Acceptance</b>	Accepted
<b>Implementation</b>	Implemented
<b>Responsible Officer</b>	Assistant Director General/Administration and Management Sector; Director/Human Resources Management Department

## II. RECOMMENDATIONS FROM JIU REVIEWS HELD IN 2017

### JIU/REP/2017/7 “Review of donor reporting requirements across the United Nations system”

[LINK](#)

<b>Recommendation 1</b>	The governing bodies of the United Nations system organizations should encourage the Secretary-General and executive heads of other organizations, in the framework of the United Nations System Chief Executives Board for Coordination, to develop a common position and pursue a high-level strategic dialogue with donors, in order to address the challenges posed by the current funding models and practices and the impact of strict earmarking of voluntary contributions and reporting to donors.	
<b>Management/Focal Point Assessment</b>	Less than 3% of WIPO's funding comes from voluntary contributions, and the funding models and practices reflect the partnership and engagement of the donors and the Organization, as confirmed through the recent Audit Report prepared by the Internal Oversight Division on the management of voluntary contributions. WIPO will continue to contribute to the dialogue and deliberation within the framework of the CEB as appropriate.	
<b>Acceptance</b>	Accepted	<i>Previously “not started”</i>
<b>Implementation</b>	Implemented	
<b>Responsible Officer</b>	Director/Program Planning and Finance (Controller)	

### JIU/REP/2017/3 “Review of Air Travel Policies in the United Nations system: Achieving Efficiency Gains and Cost Savings and Enhancing Harmonization”



[LINK](#)

<b>Recommendation 2</b>	The legislative bodies of all United Nations system organizations, if they have not already done so, should abolish first class travel for all categories of staff and non-staff by January 2019 and permit its use only when business class is not available.	
<b>Management/Focal Point Assessment</b>	In accordance with WIPO's policy on official travel, staff members do not travel first class. The Director General is the only high-level official entitled to travel first class in the interest of the Organization.	
<b>Acceptance</b>	Accepted	<i>No change from previous status</i>
<b>Implementation</b>	In progress	
<b>Responsible Officer</b>	Director/Procurement and Travel Division	

[Annex II follows]

## LIST OF ACTIVE<sup>3</sup> JIU REPORTS RELEVANT TO WIPO AS AT END MARCH 2019

This annex serves to provide links to active JIU reports relevant to WIPO. All JIU reports, notes and management letters may be accessed/are available through the JIU's website. [LINK](#)

REFERENCE	LINK TO JIU REPORT	OUTSTANDING RECOMMENDATIONS (LB <sup>4</sup> and EH <sup>5</sup> )	COMMENTS	OTHER DOCUMENTS
 JIU/REP/2018/6	<a href="#">Enhancing accessibility for persons with disabilities to conferences and meetings of the United Nations system</a>	5 EH	Not yet available	<a href="#">Review Highlights</a>
 JIU/REP/2018/4	<a href="#">Review of whistleblower policies and practices in the United Nations system organizations</a>	2 EH	<a href="#">CEB Comments</a>	<a href="#">JIU Response to CEB Comments</a>
JIU/REP/2017/3	<a href="#">Review of air travel policies in the United Nations system: achieving efficiency gains and cost savings and enhancing harmonization</a>	1 LB	<a href="#">CEB Comments</a>	<a href="#">Full RBM Report</a>
JIU/REP/2016/10	<a href="#">Knowledge management in the United Nations system</a>	1 EH	<a href="#">CEB Comments</a>	<a href="#">Visual Summary Annexes I-II</a>
JIU/REP/2016/9	<a href="#">Safety and security in the United Nations system</a>	3 EH	<a href="#">CEB Comments</a>	
JIU/REP/2016/4	<a href="#">Fraud prevention, detection and response in United Nations system organizations</a>	2 EH	<a href="#">CEB Comments</a>	
JIU/REP/2012/2	<a href="#">The management of sick leave in the United Nations system</a>	1 EH	<a href="#">CEB Comments</a>	

[End of Annex II and of document]

<sup>3</sup> JIU reports containing outstanding recommendations, including all those included in the present report.

<sup>4</sup> Subject to the endorsement of Member States in respect of recommendations addressed to WIPO's Legislative Bodies (LB).

<sup>5</sup> The report on outstanding recommendations addressed to Executive Heads (EH) is presented to the Director General of WIPO, as well as to the Independent Advisory Oversight Committee.