BACKGROUND

1. The Committee on WIPO Standards (CWS) at its reconvened fourth session held in Geneva in March 2016, created Task No. 50 to “ensure the necessary maintenance and update of surveys published in Part 7 of the WIPO Handbook on Industrial Property Information and Documentation” and requested the International Bureau to report on the progress at its current session. It also agreed to establish the Part 7 Task Force to carry out this Task. (See document CWS/4BIS/6 and paragraphs 68 to 76 of document CWS/4BIS/16.)

PROGRESS SINCE MARCH 2016

2. The actions indicated below were carried out under the umbrella of Task No. 50 and in line with the tentative work plan for updating surveys published in Part 7 of the WIPO Handbook on Industrial Property Information and Documentation (WIPO Handbook) (see Annex II to document CWS/4BIS/6).

3. Following the decision of the CWS, by the Circular C.CWS 72 of June 14, 2016, the International Bureau invited the CWS members, wishing to participate in Task No. 50, to nominate their representatives to the Part 7 Task Force. Eleven industrial property Offices (IP Offices) nominated representatives to the Part 7 Task Force.
4. The Task Force started its work in June 2016 and conducted three discussion rounds to prepare the questionnaire on industrial property protection extensions (IPPEs) and one discussion round to prepare the updated Part 7.2.6 and new Part 7.2.7 of the WIPO Handbook.

New Part 7.2.7 and revised Part 7.2.6

5. Following the request by the CWS, the International Bureau conducted the survey “Numbering of applications and priority applications - former practices”. The collected responses are included in the new Part 7.2.7 of the WIPO Handbook (see document CWS/5/12).

6. Some IP Offices also provided information regarding their current practices of numbering of applications and priority applications, which had not been reflected in Part 7.2.6 of the WIPO Handbook “Numbering of applications and priority applications - current practices”. The International Bureau updated Part 7.2.6 of the WIPO Handbook accordingly.

7. The International Bureau, in consultation with the Part 7 Task Force, also incorporated relevant information from Parts 7.2.1, 7.2.2., and 7.2.3 into updated Part 7.2.6 and new Part 7.2.7 of the WIPO Handbook.

Questionnaire on IPPEs

8. Part 7 Task Force prepared the draft questionnaire on IPPEs and presented it for consideration and decision by the CWS (see document CWS/5/13).

Survey on the use of WIPO Standards

9. New Part 7.12 “Survey on the use of WIPO Standards” is presented for consideration and decision by the CWS (see document CWS/5/2).

Archived Parts 7.5 and 7.8 of the WIPO Handbook

10. The International Bureau removed Part 7.5 “Physical characteristics of patent documents” and Part 7.8 “Survey of filing procedures and filing requirements, as well as of examination methods and publication procedures, relating to industrial designs” from the WIPO Handbook and moved them to the Archive.

Editorial changes to WIPO Standards ST.16 and ST.10/D

11. As Part 7.5 of the WIPO Handbook was moved to the Archive (see paragraph 10 above), the International Bureau removed the reference to Part 7.5 from WIPO Standard ST.10/D (editorial change).

12. As Part 7.3.3 was incorporated in Part 7.3.2 of the WIPO Handbook during the update of 2015, the International Bureau removed the reference to Part 7.3.3 from WIPO Standard ST.16 (editorial change).

13. Amended WIPO Standards ST.10/D and ST.16 were published in October 2016.

FURTHER ACTIONS

14. Annex I to the present document contains the overview of all surveys published in the WIPO Handbook, along with the proposals and remarks relevant for their future updates.

15. Annex II to the present document contains a tentative work plan for updating Part 7 of the WIPO Handbook. The execution of this plan will depend on the availability of resources and the definition of priorities in line with future decisions of the CWS.
16. In order to clarify and streamline the procedure of updating surveys published in Part 7 of the WIPO Handbook, the International Bureau proposes the following approach for consideration and decision by the CWS:

- For a (regular) update of a survey based on the questionnaire approved by the CWS, which is already in the WIPO Handbook, the International Bureau should publish the updated survey and inform the CWS at the session following the publication.

- For new surveys, their publication in Part 7 of the WIPO Handbook should be approved by the CWS.

- For a survey update based on a revised questionnaire (effectively a new survey), the publication of the updated survey in Part 7 of the WIPO Handbook should be approved by the CWS.

17. The CWS is invited to

(a) note the content of the present document and its Annexes;

(b) note the tentative work plan for updating Part 7 of the WIPO Handbook, in particular, the actions to be carried out following the fifth session of the CWS, as provided in Annex II to the present document;

(c) consider and decide on the approach to the publication of new and updated surveys in Part 7 of the WIPO Handbook (as referred to in paragraph 16 above);

(d) request Part 7 Task Force to prepare the questionnaire on numbering of published documents and registered rights and present the proposal at the next session of the CWS;

(e) request the International Bureau to invite IP Offices to update their entries in Part 7.2.4 “Survey on the presentation of priority application numbers”, and subsequently to prepare and publish the updated Part 7.2.4 of the WIPO Handbook;

(f) request the International Bureau to move Part 7.2.1 to the Archive and replace the reference in ST.10/C with Part 7.2.5 (editorial change).

[Annexes follow]
STATUS AND FURTHER ACTIONS PROPOSED WITH RESPECT TO SURVEYS PUBLISHED IN PART 7 OF THE WIPO HANDBOOK

This document provides detailed proposal for the update and keeping up-to-date of Part 7 of the WIPO Handbook on Industrial Property Information and Documentation (WIPO Handbook). The information related to every survey is presented as follows:

- Survey title and the year of publication of the current version.
- “Related Standards” – list of WIPO Standards directly related to the survey.
- “Related surveys” – list of other surveys published in Part 7 of the WIPO Handbook directly related to the survey, e.g., complementing it, addressing the same or similar issues, etc.
- “Group”
  (A) recent surveys which contain up-to-date information and do not require updates so far; they should be kept in the WIPO Handbook and eventually updated completely upon request by the CWS. The corresponding questionnaire may also be amended if the CWS so decides;
  (B) surveys requiring regular checking and subsequent updates;
  (C) surveys which contain relevant information, but whose subject matter is covered by more recent surveys; relevant information should be transferred to related (recent) surveys, wherever possible; and
  (D) surveys related to a specific issue which was a matter of discussion in the past, but which have not been updated and are no longer relevant; such surveys should be moved to the Archive.
- “Remarks” – additional information related to the survey.
- “Proposal” – actions with respect to each survey proposed to be considered by the CWS.
PART 7.1 REPRESENTATION OF CALENDAR DATES - VARIATIONS IN THE REPRESENTATION OF CALENDAR DATES BASED ON THE GREGORIAN CALENDAR, AS PRINTED IN INDUSTRIAL PROPERTY DOCUMENTS OR IN OFFICIAL GAZETTES

Current version published in 1997

Related Standards: ST.2
Related surveys: Part 7.2.3
Group: B
Remarks: The subject is relevant, but the information is not updated
Proposal: Part 7.1 should be updated.

PART 7.2.1 PRESENTATION OF APPLICATION NUMBERS

Current version published in 2005

Related Standards: ST.10/C (reference to the survey in paragraphs 6b and 12a)
Related surveys: Part 7.2.6, Part 7.2.7
Group: C
Remarks: The information is quite out of date. The scope is completely covered by the survey on numbering of applications and priority applications (Part 7.2.6 and Part 7.2.7)

Where it was possible to establish the link between responses, the information regarding IP Offices included in Parts 7.2.6 and 7.2.7 was transferred to revised Part 7.2.6 and new Part 7.2.7. In other cases, it was unclear whether the numbering system was still in use or it had been replaced with a different one.

Proposal: Part 7.2.1 should be removed from the WIPO Handbook and moved to the Archive; and
reference to this survey in ST.10/C should be replaced by the reference to Part 7.2.6 (editorial change).

PART 7.2.2 SURVEY OF NUMBERING SYSTEMS USED, OR INTENDED TO BE USED, BY INDUSTRIAL PROPERTY OFFICES WITH REGARD TO APPLICATIONS, PUBLISHED DOCUMENTS AND REGISTERED RIGHTS

Current version published in 2001

Related Standards: ST.10/C, ST.13, ST.6
Related surveys: Part 7.2.6, Part 7.2.7
Group: C
Remarks: The information is quite out of date. The scope is partially covered by the survey on numbering of applications and priority applications (Part 7.2.6 and Part 7.2.7), except the numbering of published documents and registered rights (Table II).

Where it was possible to establish the link between responses, the information regarding application numbering practices of IP Offices included in Parts 7.2.6 and 7.2.7 was transferred to revised Part 7.2.6 and new Part 7.2.7. In other cases, it was unclear whether the
numbering system was still in use or it had been replaced with a different one.

Proposal: New survey on publication and registration numbers should be conducted. Part 7.2.2 should be subsequently removed from the WIPO Handbook and moved to the Archive.

Part 7 Task Force should prepare a questionnaire on publication and registration numbers for consideration and approval at the next (sixth) session of the CWS.

PART 7.2.3 NUMBERING SYSTEMS AND DATE FORMATS INTRODUCED OR PLANNED TO BE APPLIED WITH REGARD TO THE ARRIVAL OF THE YEAR 2000

Current version published in 2000

Related Standards: ST.2, ST.13, ST.6

Related surveys: Part 7.1, Part 7.2.6, Part 7.2.7

Group: C

Remarks: The information is quite out of date and the object is less relevant now. The scope is partially covered by the surveys on numbering of applications and priority applications (Part 7.2.6 and Part 7.2.7) and on representation of calendar dates (Part 7.1).

Where it was possible to establish the link between responses, the information regarding IP Offices included in Parts 7.2.6 and 7.2.7 was transferred to revised Part 7.2.6 and new Part 7.2.7. In other cases, it was unclear whether the numbering system was still in use or it had been replaced with a different one.

Proposal: Survey on the representation of dates in industrial property documents and in official gazettes should be updated (see Part 7.1 above); and Part 7.2.3 should be subsequently removed from the WIPO Handbook and moved to the Archive.

PART 7.2.4 SURVEY ON THE PRESENTATION OF PRIORITY APPLICATION NUMBERS

Current version published in 2007

Related Standards: ST.10/C (paragraph 12a)

Related surveys: N/A

Group: B

Remarks: The survey contains relevant but not updated information as well as examples of priority certificates issued by IPOs

Proposal: Part 7.2.4 should be updated after CWS/5. The International Bureau should invite IPOs to review the content of Part 7.2.4 and update their entries if necessary.
PART 7.2.5 SURVEY ON APPLICATION NUMBERING SYSTEMS
Current (first) version published in 2013
Related Standards: ST.13
Related surveys: Part 7.2.6
Group: A
Remarks: This survey contains relevant information and does not yet require the update.
Proposal: Part 7.2.5 should remain in the WIPO Handbook and be updated upon request by the CWS.

PART 7.2.6 NUMBERING OF APPLICATIONS AND PRIORITY APPLICATIONS – CURRENT PRACTICES
Current version published in 2017
Related Standards: ST.13
Related surveys: Part 7.2.1, Part 7.2.2, Part 7.2.5
Group: B
Remarks: This survey contains relevant information.
In March 2017, the following entries in Part 7.2.6 were updated: AT, AU, BE, DE, ES, GB, HR, IE, IT, JP, KR, MD, PL, RU, SE, SK and a new entry for SA was added.
Proposal: Part 7.2.6 should be updated regularly along with Part 7.2.7. Next update should be carried out in 2020.

PART 7.2.7 NUMBERING OF APPLICATIONS AND PRIORITY APPLICATIONS – FORMER PRACTICES
First (and current) version published in 2017
Related Standards: ST.13
Related surveys: Part 7.2.1, Part 7.2.2, Part 7.2.5, Part 7.2.6
Group: B
Remarks: This new survey was published in the WIPO Handbook in March 2017. It contains relevant information.
Proposal: Part 7.2.7 should be updated regularly along with Part 7.2.6. Next update should be carried out in 2020.
PART 7.3.1 EXAMPLES AND KINDS OF PATENT DOCUMENTS LISTED ACCORDING TO CODE

Current version published in 2015

Related Standards: ST.16 (reference to the survey in paragraph 12)
Related surveys: Part 7.3.2, Part 7.3.3, Parts 7.2.6 and 7.2.7 (kind codes)
Group: B
Remarks: This part of WIPO Handbook is derived from Part 7.3.2 (see below) and provides the “index” for its entries, which are listed according to their ST.16 codes.
Proposal: Part 7.3.1 should be updated regularly following the update of Part 7.3.2.

PART 7.3.2 INVENTORY OF KINDS OF PATENT DOCUMENTS LISTED ACCORDING TO THE ISSUING INDUSTRIAL PROPERTY OFFICE

Current version published in 2015

Related Standards: ST.16 (reference to the survey in paragraph 12)
Related surveys: Part 7.3.1, Part 7.3.3
Group: B
Remarks: This survey contains relevant information. By circular C.CWS.50 of December 9, 2014, the International Bureau informed IPOs about a partial update of Part 7.3 and the fact that Part 7.3.3 was included in Part 7.3.2. The Circular invited IPOs to review the content of Part 7.3.2 and update their entries if necessary (as a result, entries of AU, ES and SE were updated in 2015).
Proposal: Part 7.3.2 should be updated regularly along with Part 7.3.1. Next update should be carried out in 2018.

PART 7.3.3 KINDS OF PATENT DOCUMENTS – SAMPLES OF FIRST PAGES
Not in WIPO Handbook anymore (incorporated in Part 7.3.2)

Related Standards: ST.16 (reference to the survey in paragraph 12)
Related surveys: Part 7.3.2, Part 7.3.1
Group: N/A
Remarks: This part of WIPO Handbook contained examples of front pages of documents described in Part 7.3.2. During the update of 2015, Part 7.3.3 was incorporated into Part 7.3.2. Reference to this survey was removed from WIPO Standard ST.16 (editorial change) in December 2016.
Proposal: No action. Part 7.3.3 will be removed from the list after CWS/5
PART 7.4.1 SURVEY CONCERNING CORRECTION PROCEDURES IN PATENT OFFICES

Current (first) version published in 2009

Related Standards: ST.50

Related surveys: Part 7.4.2

Group: A

Remarks: This survey contains relevant information and does not yet require the update. If this survey is updated, Parts 7.4.2 should be updated accordingly.

Proposal: Part 7.4.1 should remain in the WIPO Handbook and be updated upon request by the CWS along with Part 7.4.2.

PART 7.4.2 EXAMPLES CONCERNING CORRECTION PROCEDURES OF PATENT OFFICES

Current (first) version published in 2009

Related Standards: ST.50 (multiple references to the survey)

Related surveys: Part 7.4.1

Group: A

Remarks: This survey contains relevant information and does not yet require the update.

Proposal: Part 7.4.2 should remain in the WIPO Handbook and be updated upon request by the CWS along with Part 7.4.1.

PART 7.5 PHYSICAL CHARACTERISTICS OF PATENT DOCUMENTS - COMPILATION OF DATA ON PHYSICAL CHARACTERISTICS OF PATENT DOCUMENTS

Not in WIPO Handbook anymore (moved to Archive)

Related Standards: ST.10/D (reference to the survey in paragraph 5)

Related surveys: N/A

Group: D

Remarks: The information is less relevant and out-of-date.

In December 2016, Part 7.5 was removed from the WIPO Handbook and moved to the Archive; the reference to Part 7.5 was removed from WIPO Standard ST.10/D as well (editorial change).

Proposal: No further actions required. Part 7.5 will be removed from the list after CWS/5.
PART 7.6 SURVEY OF BIBLIOGRAPHIC INFORMATION CONTAINED IN PATENT GAZETTES AND IN CURRENT INDEXES INSERTED IN PATENT GAZETTES OR PUBLISHED SEPARATELY IN ASSOCIATION WITH PATENT GAZETTES

Current version published in 1990

Related Standards: ST.11 (reference to the survey in paragraph 10) ST.19 (reference to the survey in paragraph 22)

Related surveys: N/A

Group: B

Remarks: The CWS at its reconvened fourth session agreed to carry out a complete update of the survey followed by regular updates (see paragraph 71 of document CWS/4BIS/16).

Proposal: Part 7.6 should be updated.

PART 7.7 SURVEY ON THE GRANT AND PUBLICATION OF “SUPPLEMENTARY PROTECTION CERTIFICATES” FOR MEDICINAL AND PHYTOPHARMACEUTICAL PRODUCTS OR EQUIVALENT INDUSTRIAL PROPERTY RIGHTS (SPCS)

Current version published in 2002

Related Standards: N/A

Related surveys: N/A

Group: B

Remarks: The CWS at its reconvened fourth session agreed to carry out a complete update of the survey followed by regular updates (see paragraph 71 of document CWS/4BIS/16).

Part 7 Task Force prepared the revised questionnaire on the grant and publication of industrial property protection extensions (IPPEs) for consideration and approval by the CWS. (See document CWS/5/13.)

Proposal: If the CWS approves the abovementioned questionnaire, the International Bureau should

- prepare and issue a circular inviting IP Offices to complete the questionnaire;
- prepare a survey report; and
- publish the updated Part 7.7 of the WIPO Handbook for consideration and approval by the CWS at its next session.
PART 7.8 SURVEY OF FILING PROCEDURES AND FILING REQUIREMENTS, AS WELL AS OF EXAMINATION METHODS AND PUBLICATION PROCEDURES, RELATING TO INDUSTRIAL DESIGNS
Not in WIPO Handbook anymore (moved to Archive)
Related Standards: N/A
Related surveys: N/A
Group: D
Remarks: The information is very outdated
In December 2016, Part 7.8 was removed from the WIPO Handbook and moved to the Archive.
Proposal: No further actions required. Part 7.8 will be removed from the list after CWS/5.

PART 7.9 SURVEY CONCERNING CITATION PRACTICES IN INDUSTRIAL PROPERTY OFFICES
Current (first) version published in December 2008
Related Standards: ST.14
Related surveys: N/A
Group: A
Remarks: This survey contains relevant information and does not yet require the update.
Proposal: Part 7.9 should remain in the WIPO Handbook and be updated upon request by the CWS.

PART 7.10 SURVEY OF PRACTICES IN INDUSTRIAL PROPERTY OFFICES REGARDING CODES USED FOR INTERNAL PURPOSES OR INDIVIDUAL USE
Current (first) version published in January 2009
Related Standards: ST.10/C, ST.16, ST.13, ST.6, ST.60, ST.80
Related surveys: Part 7.2.6
Group: A
Remarks: This survey contains relevant information and does not yet require the update; the scope is partially covered by the survey on numbering of applications and priority applications (Part 7.2.6 and Part 7.2.7), except Publication/Fora.
Proposal: Part 7.10 should remain in the WIPO Handbook and be updated upon request by the CWS.
PART 7.11 SURVEY ON THE IMPLEMENTATION AND PROMOTION OF WIPO STANDARD ST.22
Current (first) version published in June 2012
Related Standards: ST.22
Related surveys: N/A
Group: A
Remarks: This survey contains relevant information and does not yet require the update.
Proposal: Part 7.11 should remain in the WIPO Handbook and be updated upon request by the CWS.

PART 7.12 SURVEY ON THE USE OF WIPO STANDARDS
Survey planned to be published in 2017 after CWS/5
Related Standards: all
Related surveys: N/A
Group: B
Remarks: This survey will contain relevant information.
Proposal: Once published, Part 7.12 should be updated upon request by IP offices.

[Annex II follows]
TENTATIVE WORK PLAN FOR UPDATING SURVEYS PUBLISHED IN PART 7 OF THE WIPO HANDBOOK

This document contains the proposal on the tentative work plan for the task on the continuous maintenance and update of Part 7 of the WIPO Handbook (Task No. 50). Actions proposed for each survey are indicated in Annex I to the present document.

PROPOSED ACTIONS LISTED BY SURVEY

Part 7.1 “Representation of calendar dates”
• (CWS/6, 2018) request Part 7 Task Force to prepare a questionnaire on the presentation of dates;
• (CWS/7, 2019) approve the questionnaire, request the survey update; and
• (CWS/8, 2020) note survey results and approve publication of the updated survey in the WIPO Handbook.

Part 7.2.1 “Presentation of application numbers”
• (CWS/5, 2017) agree to move Part 7.2.1 to the Archive following the transfer of information to Parts 7.2.6 and 7.2.7.

Part 7.2.2 “Survey of numbering systems used, or intended to be used, by industrial property offices with regard to applications, published documents and registered rights”
• (CWS/5, 2017) request Part 7 Task Force to prepare a questionnaire on publication and registration numbers;
• (CWS/6, 2018) approve the questionnaire, request that the survey be conducted; and
• (CWS/7, 2019) note the survey results, approve publication of the new survey in the WIPO Handbook, and agree to move Part 7.2.2 to the Archive.

Part 7.2.3 “Numbering systems and date formats introduced or planned to be applied with regard to the arrival of the year 2000”
• (CWS/8, 2020) agree to move Part 7.2.3 to the Archive following the update of Part 7.1.

Part 7.2.4 “Survey on the presentation of priority application numbers”
• To be updated regularly;
• (CWS/5, 2017) announce the update of the survey to be carried out during the following year; and
• (CWS/6, 2018) note the amendments, if any.

Part 7.2.5 “Survey on application numbering systems”
• No updates required so far. Eventual updates to be requested by the CWS.

Part 7.2.6 “Numbering of applications and priority applications – Current practices”
Part 7.2.7 “Numbering of applications and priority applications – Former practices”
• To be updated regularly;
• (CWS/5, 2017) note the survey results (Part 7.2.7), note the amendments (Part 7.2.6);
• (CWS/8, 2020) announce the update of the surveys to be carried out during the following year; and
• (CWS/9, 2021) note the amendments, if any.
Part 7.3 “Examples and kinds of patent documents”

- To be updated regularly;
- (CWS/6, 2018) announce the update of the survey to be carried out during the following year; and
- (CWS/7, 2019) note the amendments, if any.

Part 7.4 “Correction procedures”

- No updates required so far. Eventual updates to be requested by the CWS.

Part 7.5 “Physical characteristics of patent documents”

- in the Archive.

Part 7.6 “Survey of bibliographic information contained in patent gazettes …”

- (CWS/7, 2019) request Part 7 Task Force to review the questionnaire;
- (CWS/8, 2020) approve the questionnaire, request that the survey be conducted;
- (CWS/9, 2012) note the survey results and approve the publication in the WIPO Handbook.

Part 7.7 “Survey on the grant and publication of “Supplementary Protection Certificates” …”

- (CWS/5, 2017) approve the questionnaire, request that the survey be updated;
- (CWS/6, 2018) note the survey results, approve publication of the updated survey in the WIPO Handbook; and
- (CWS/9, 2012) announce the update of the survey to be carried out during the following year.

Part 7.8 “Survey of filing procedures and filing requirements, as well as of examination methods and publication procedures, relating to industrial designs”

- in the Archive.

Part 7.9 “Survey concerning citation practices in industrial property offices”

- No updates required so far. Eventual updates to be requested by the CWS.

Part 7.10 “Survey of practices in industrial property offices regarding codes used for internal purposes or individual use”

- No updates required so far. Eventual updates to be requested by the CWS.

Part 7.11 “Survey on the implementation and promotion of WIPO Standard ST.22”

- No updates required so far. Eventual updates to be requested by the CWS.

Part 7.12 “Survey on the use of WIPO Standards”

- (CWS/5, 2017) note the survey results, approve publication of the new survey in the WIPO Handbook (see document CWS/5/2)
SCHEDULE OF PROPOSED ACTIONS

The table below contains visual and less detailed representation of the information provided in the list above:

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ACTIONS FOR THE YEAR 2017/2018 (FROM CWS/5 TO CWS/6)

- Part 7 Task Force
  - If the CWS agrees, prepare, based on Part 7.2.2, the draft questionnaire on numbering of published documents and registered rights and present it for consideration and approval at CWS/6.

- Part 7.7 “Questionnaire on the grant and publication of industrial property protection extensions (IPPEs)”
  - invite IP Offices to respond to the survey on IPPEs (Part 7.7),
  - collect responses,
  - prepare revised Part 7.7 of the WIPO Handbook for consideration and approval by the CWS at its next session.

- Publish new Part 7.12 “Survey on the use of WIPO Standards”.

- Invite IP Offices to update their entries in Part 7.2.4 “Survey on the presentation of priority application numbers”.

- Move Part 7.2.1 to the Archive and replace the reference in ST.10/C with Part 7.2.6 (editorial change).

[End of Annex II and of document]