Global Dossier 5 priorities
Applicant Name Standardization

WIPO Name Standardization Workshop | 2 May 2019 | Geneva
In response to the IP5 Industries’ requests, the IP5 Offices are conducting Global Dossier 5 Priorities (2015~)

- (EPO) Alert Functionality, (JPO) XMLization, (KIPO) Applicant Name Standardization, (CNIPA) Legal Status, (USPTO) Inter-Office Document Sharing

Applicant Name Standardization (ANS)

- Objective: To eliminate the confusion caused by multiple versions of an applicant’s name by unifying them into a single and standardized name
- Main Product: To build a Global Mapping Table (GMT), share it with applicants and their agencies and encourage them to use it
KIPO’s Pilot Project for Intra-Standardization

- KIPO conducted an **ANS pilot project for 20 companies** | (2017)
  - To **check the feasibility** of intra-office standardization for legacy data using patent family information
  - To develop and suggest **algorithm of ANS** to minimize manual jobs
KIPO’s Pilot Project: Decision & Possibility

- KIPO improved & suggested 3 steps of intra-office standardization procedures using applicant name and address of family patents

<table>
<thead>
<tr>
<th>Applicant Name</th>
<th>Number of applications</th>
</tr>
</thead>
<tbody>
<tr>
<td>3M Innovative Properties Company</td>
<td>3255</td>
</tr>
<tr>
<td>3M Innovative Properties Co.</td>
<td>200</td>
</tr>
<tr>
<td>MINNESOTA MINING AND MANUFACTURING COMPANY</td>
<td>144</td>
</tr>
<tr>
<td>3M Innovative Properties Company, 3M Center</td>
<td>9</td>
</tr>
<tr>
<td>Biophan Technologies, Inc.</td>
<td>6</td>
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<tr>
<td>Palsi LLC</td>
<td>5</td>
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<td>Whitland Research Limited</td>
<td>4</td>
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<td>Medronic, Inc.</td>
<td>3</td>
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<td>Koninklijke Philips Electronics N.V.</td>
<td>2</td>
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<tr>
<td>President, Kyoto University</td>
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<td>MEDRAD, INC.</td>
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<td>ALLERGAN, INC.</td>
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<td>Linear Technology Corporation</td>
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<td>Cuno Incorporated</td>
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<tr>
<td>The University of New Mexico</td>
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</tr>
</tbody>
</table>

1st analysis

List of standardized applicant names

Primary applicant name: 3M Innovative Properties Company

2nd analysis

3rd analysis
KIPO’s Pilot Project: Limitation of Intra-Office Standardization

- It is difficult to create an automated algorithm or system. Hence, human labor would be needed for ANS project.

- Implementation of intra-office standardization needs long time and much resources.

- Intra-office standardization can be implemented only for the applicants having statistically meaningful amounts of cross-filings across the IP5 Offices.
Establishment of GMT: Background

❖ Object / Vision

- To build GMT first instead of each Office’s intra-standardization
- To recommend that an applicant use the same applicant name in submitting documents to the IP5 Offices

❖ Benefits

- To simply handle ANS related task without extra work burden
- To prevent errors caused by translation or typo
- To establish the guidance for applicant name and address
Establishment of GMT: Form and Procedure

- **(KIPO)** Establish the drafted GMT for companies with the most patent applications
  - To improve efficiency and minimize company’s burden to fill the GMT
- **(Industries)** Check the drafted GMT and modify it if necessary
  - Companies may not participate in GMT as needed or some items of the drafted GMT can be left blank
- The IP5 offices publish the GMT via IP5 website
Establishment of GMT: Future Plan

- **KIPO, to establish the drafted GMT (Apr. 2019)**
  - Select 100 companies and make the drafted GMT using GD information

- **The IP5 Industries, to review the drafted GMT (May 2019)**
  - KIPO, to share the drafted GMT with the IP5 offices
  - The IP5 industries, to review GMT, decide whether to join it and modify it as needed

- **The IP5, to approve share and use of GMT (Jun. 2019)**
  - KIPO, to establish the final GMT by reflecting the IP5 Industries’ opinion
  - The IP5 Offices, to adopt GMT and open it via IP5 web site
Thank you!