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Administrative Instructions Under the Patent Cooperation Treaty (PCT)
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Instructions administratives du Traité de coopération en matière de brevets (PCT)
Norme concernant le dépôt et le traitement électroniques

PROPOSAL FOR CHANGE FILE/
DOSSIER RELATIF A LA PROPOSITION DE MODIFICATION

SUBJECT: **Changes to Annex F, Appendix I, section 3.6 (modifications of the DTDs for the request and declarations)** SUJET : **Modifications de la section 3.6 de l'appendice I de l'annexe F (modifications des DTD de la requête et des déclarations)**	PROPOSED BY: IB PROPOSÉES PAR : IB	
HANDLING: Expedited cycle TRAITEMENT : Cycle accéléré	PROPOSED DATE OF ENTRY INTO FORCE: DATE PROPOSÉE D'ENTRÉE EN VIGUEUR :	01.07.2017

Annex/ Annexe	Content/Contenu	Origin/ Origine	Date
1	Modifications of the DTDs for the request and declarations to simplify the correlation of parties in editing declarations and the PCT request Modification des DTD de la requête et des déclarations pour simplifier la coordination entre les parties en ce qui concerne l'établissement des déclarations et de la requête PCT	IB	13.3.2017

Next action:	Comment	By:	30.4.2017
Prochaine action :	Commentaires	Pour le :	

[Annex I follows/
L'annexe I suit]

Item 1	
Location:	Annex F, Appendix I, section 3.6, lack-of-novelty element (declaration DTD, wo-published-application DTD)

Change:	**FROM:**
	``
	<!--
	  Declaration as to non-prejudical disclosures or exceptions
	to lack of novelty
	  (PCT Rules 4.17(v) and 51bis.1(a)(v))
	
	-->
	<!ELEMENT lack-of-novelty (doc-page+
		(application-
		reference? , %name_group; , ((date | address | title-of-
		disclosure | method-of-disclosure | dtext)+ , (all-
		designations | designated-states)?)))>
		
		<!ATTLIST lack-of-novelty
		sequence CDATA #REQUIRED
		lang CDATA #IMPLIED >
		``
		
		TO:
		``
		<!--
		  Declaration as to non-prejudical disclosures or exceptions
		to lack of novelty
		  (PCT Rules 4.17(v) and 51bis.1(a)(v))
		
		-->
		<!ELEMENT lack-of-novelty (doc-page+
			(application-
			reference? , %name_group; , ((date | address | title-of-
			disclosure | method-of-disclosure | dtext)+ , (all-
			designations | designated-states)?)))>
			
			<!ATTLIST lack-of-novelty
			id ID #IMPLIED
			sequence CDATA #REQUIRED
			lang CDATA #IMPLIED >
			``

Reason:	The optional "id" attribute has been added to allow improvement in applicant mapping in online editing of declarations, particularly in the saving of drafts in XML.

Item 2	
Location:	Annex F, Appendix I, section 3.6, entitlement-to-claim-priority (declaration DTD)

Change:	**FROM:**
	`<!--`
	`  Declaration as applicant's entitlement, as at the`
	`international filing date, to`
	`  claim the priority of the earlier application, where the`
	`applicant is not the`
	`  applicant who filed the earlier application or where the`
	`applicant's name has`
	`  changed since the filing of the earlier application.`
	`  (Rules 4.17(iii) and 51bis.1(a)(iii))`
	
	`-->`
	`<!ELEMENT entitlement-to-claim-priority (doc-page+ |`
	`(application-reference? , %name_group; , earlier-app-`
	`reference , matter+))>`
	`<!ATTLIST entitlement-to-claim-priority`
	`sequence CDATA  #REQUIRED`
	`lang     CDATA  #IMPLIED >`
	
	TO:
	`<!--`
	`  Declaration as applicant's entitlement, as at the`
	`international filing date, to`
	`  claim the priority of the earlier application, where the`
	`applicant is not the`
	`  applicant who filed the earlier application or where the`
	`applicant's name has`
	`  changed since the filing of the earlier application.`
	`  (Rules 4.17(iii) and 51bis.1(a)(iii))`
	
	`-->`
	`<!ELEMENT entitlement-to-claim-priority (doc-page+ |`
	`(application-reference? , %name_group; , earlier-app-`
	`reference , matter+))>`
	
	`<!ATTLIST entitlement-to-claim-priority`
	`id   ID         #IMPLIED`
	`sequence CDATA  #REQUIRED`
	`lang     CDATA  #IMPLIED >`

Reason:	The optional "id" attribute has been added to allow improvement in applicant mapping in online editing of declarations, particularly in the saving of drafts in XML.

Item 3	
Location:	Annex F, Appendix I, section 3.6, entitlement-to-be-granted-patent (declaration DTD)

Change:	**FROM:**
	``
	<!--
	  Declaration as applicant's entitlement, as at the
	international filing date, to
	  apply for and be granted a patent (Rules 4.17(ii) and
	51bis.1(a)(ii)), in a case
	  where the declaration under Rule 4.17(iv) is not
	appropriate
	
	-->
	<!ELEMENT entitlement-to-be-granted-patent (doc-page+ |
	(application-reference? , %name_group; , matter+))>
	
	<!ATTLIST entitlement-to-be-granted-patent
	sequence CDATA #REQUIRED
	lang CDATA #IMPLIED
	combined (yes | no) #IMPLIED >
	``
	
	TO:
	``
	<!--
	  Declaration as applicant's entitlement, as at the
	international filing date, to
	  apply for and be granted a patent (Rules 4.17(ii) and
	51bis.1(a)(ii)), in a case
	  where the declaration under Rule 4.17(iv) is not
	appropriate
	
	-->
	<!ELEMENT entitlement-to-be-granted-patent (doc-page+ |
	(application-reference? , %name_group; , matter+))>
	
	<!ATTLIST entitlement-to-be-granted-patent
	id ID #IMPLIED
	sequence CDATA #REQUIRED
	lang CDATA #IMPLIED
	combined (yes | no) #IMPLIED >
	``

Reason:	The optional "id" attribute has been added to allow improvement in applicant mapping in online editing of declarations, particularly in the saving of drafts in XML.

Item 4	
Location:	Annex F, Appendix I, section 3.6, signatory (declaration, demand, fee-sheet-chapter2, fee-sheet, ipea-demand-receiving-info, package-data, power-of-attorney, priority-doc, request, ro-request-receiving-info, wo-bibliographic-data, wo-notification, wo-published-application DTDs)

Change:	**FROM:**
	
	``
	<!--
	  PCT/RO/101 Box No. X:
	  The signature must be that of the applicant (if there are several applicants, all
	  must sign); however, the signature may be that of the agent, or the common
	  representative, where a separate power of attorney appointing the agent or the
	  common representative, respectively, or a copy of a general power of attorney is
	  already in possession of the receiving Office, is furnished...
	  (PCT Rules 4.1(d), 4.15, and 90)
	  Indicate the capacity of the signer with 'signatory-capacity'
	-->
	<!ELEMENT signatory (%name_group; , address? , electronic-signature , signatory-capacity?)>
	``
	TO:
	
	``
	<!--
	  PCT/RO/101 Box No. X:
	  The signature must be that of the applicant (if there are several applicants, all
	  must sign); however, the signature may be that of the agent, or the common
	  representative, where a separate power of attorney appointing the agent or the
	  common representative, respectively, or a copy of a general power of attorney is
	  already in possession of the receiving Office, is furnished...
	  (PCT Rules 4.1(d), 4.15, and 90)
	  Indicate the capacity of the signer with 'signatory-capacity'
	-->
	<!ELEMENT signatory (%name_group; , address? , electronic-signature , signatory-capacity?)>
	
	<!ATTLIST signatory
	id ID #IMPLIED>
	``

Reason:	The optional "id" attribute has been added to allow improvement in applicant mapping in online editing of declarations, particularly in the saving of drafts in XML. The signatory element is more widely used than in the Request and demand DTDs and the update of this element will affect all the DTDs listed above in location.

Item 4	
Location:	Annex F, Appendix I, section 3.6, matter-name (declaration DTD)
Change:	**FROM:** `<!ELEMENT matter-name (%name_group;)>` **TO:** `<!ELEMENT matter-name (%name_group;)>` **`<!ATTLIST matter-name`** **`id ID #IMPLIED>`**
Reason:	The optional "id" attribute has been added to allow improvement in applicant mapping in online editing of declarations, particularly in the saving of drafts in XML.

Item 5	
Location:	Annex F, Appendix I, section 3.6, us-rights (demand, request, wo-bibliographic-data, wo-notification, wo-published-application, xx-patent-document-v2-3.dtd DTDs)

Change:	**FROM:**
	`<!ELEMENT us-rights (#PCDATA)>`
	`<!ATTLIST us-rights`
	`to-dead-inventor CDATA  #REQUIRED`
	`kind   (heir |`
	`        heiress |`
	`        executor |`
	`        executrix |`
	`        estate |`
	`        legal-representative |`
	`        administrator |`
	`        administratrix |`
	`        legal-representatives |`
	`        heirs |`
	`        executors |`
	`        legal-heirs )  #REQUIRED >`
	
	TO:
	`<!ELEMENT us-rights (#PCDATA)>`
	`<!ATTLIST us-rights`
	`id   ID   #IMPLIED`
	`to-dead-inventor CDATA  #REQUIRED`
	`kind   (heir |`
	`        heiress |`
	`        executor |`
	`        executrix |`
	`        estate |`
	`        legal-representative |`
	`        administrator |`

| | ```
administratrix |
legal-representatives |
heirs |
executors |
legal-heirs )   #REQUIRED >
``` |
| Reason: | The optional "id" attribute has been added to allow improvement in applicant mapping in online editing of declarations, particularly in the saving of drafts in XML. |

[End of Annex I and of document/
Fin de l'annexe I et du document]