



WORLD INTELLECTUAL PROPERTY ORGANIZATION
ORGANISATION MONDIALE DE LA PROPRIÉTÉ INTELLECTUELLE
GENEVA/GENÈVE

ADMINISTRATIVE INSTRUCTIONS UNDER THE
PATENT COOPERATION TREATY (PCT)
STANDARD FOR THE ELECTRONIC FILING AND PROCESSING
OF INTERNATIONAL APPLICATIONS

INSTRUCTIONS ADMINISTRATIVES DU
TRAITÉ DE COOPÉRATION EN MATIÈRE DE BREVETS (PCT)
NORME CONCERNANT LE DÉPÔT ET LE TRAITEMENT ÉLECTRONIQUES
DES DEMANDES INTERNATIONALES

PROPOSAL FOR CHANGE FILE
DOSSIER RELATIF À LA PROPOSITION DE MODIFICATION

SUBJECT: Changes to Annex F, Appendix I (sequence-listings)		PROPOSED BY: IB	
SUJET : Modifications de l'appendice I de l'annexe F (listage de séquences)		PROPOSÉE PAR :	
HANDLING: Expedited cycle		PROPOSED DATE OF ENTRY INTO FORCE: 01.07.2008	
TRAITEMENT : Cycle accéléré		DATE PROPOSÉE D'ENTRÉE EN VIGUEUR :	
ANNEX/ ANNEXE	CONTENT/CONTENU	ORIGIN/ ORIGINE	DATE
1	Additional request check list attributes for sequence listings and tables supplied in electronic form	IB / EP	14.02.2008
2	Comments from the Japan Patent Office	JP	07.04.2008
3	Comments from the International Bureau	IB	14.04.2008

[Annex follows/
L'annexe suit]

NEXT ACTION: None	BY:
PROCHAINE ACTION : None	POUR LE :

ANNEX I/ANNEXE I

ATTRIBUTES TO INDICATE SEQUENCE LISTING USAGE IN CHECK LIST

1-1) Additional request check list attributes for sequence listings in electronic form	Change: <!ELEMENT cl-sequence-listing-table EMPTY> <!ATTLIST cl-sequence-listing-table quantity CDATA #REQUIRED page-count CDATA #IMPLIED carriers (internal- electronic external- electronic paper) #IMPLIED > <!-- Checklist; a sequence listing is being furnished --> <!ELEMENT cl-sequence-listing EMPTY> <!ATTLIST cl-sequence-listing quantity CDATA #REQUIRED page-count CDATA #IMPLIED carriers (internal-electronic external-electronic paper) #IMPLIED > To: <!ELEMENT cl-sequence-listing-table EMPTY> <!ATTLIST cl-sequence-listing-table quantity CDATA #REQUIRED for-search-only (yes no) #IMPLIED additional-copies-including-search-copy(yes no) #IMPLIED with-identity-statement(yes no) #IMPLIED page-count CDATA #IMPLIED carriers (internal- electronic external- electronic paper) #IMPLIED > <!-- Checklist; a sequence listing is being furnished --> <!ELEMENT cl-sequence-listing EMPTY> <!ATTLIST cl-sequence-listing quantity CDATA #REQUIRED for-search-only (yes no) #IMPLIED additional-copies-including-search-copy(yes no) #IMPLIED with-identity-statement(yes no) #IMPLIED page-count CDATA #IMPLIED carriers (internal-electronic external-electronic paper) #IMPLIED >
Items impacted	Annex F DTDs: Request, Demand

NEXT ACTION: None

BY:

PROCHAINE ACTION : None

POUR LE :

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Annex I/Annexe I, page 2

	Also ICE and ST.36 DTD xx-patent-document
Reason	<p>At present, the following statements can be found in our stylesheets:</p> <pre> <xsl:if test="\$seqlist-search != 0 or //processing-instruction('seqlist-additional')"> <xsl:if test="\$seqtab-search != 0 or //processing-instruction('seqtab-additional')"> <xsl:if test="//cl-sequence-listing[@page-count] or //processing-instruction('seqlist')"> <xsl:if test="//processing-instruction('seqlist-additional')"> <xsl:if test="//processing-instruction('seqtab-additional')"> <xsl:value-of select="//processing-instruction('seqlist-additional')"></xsl:value-of> <xsl:value-of select="//processing-instruction('seqtab-additional')"></xsl:value-of> <xsl:value-of select="//processing-instruction('seqlist')"></xsl:value-of> <xsl:value-of select="//processing-instruction('seqlist-additional')"></xsl:value-of> <xsl:value-of select="//processing-instruction('seqlist-search')"></xsl:value-of> <xsl:value-of select="//processing-instruction('seqtab')"></xsl:value-of> <xsl:value-of select="//processing-instruction('seqtab-additional')"></xsl:value-of> <xsl:value-of select="//processing-instruction('seqtab-search')"></xsl:value-of> <xsl:when test="\$sequence[@quantity > 0] and \$sequence/@page-count and not(//processing- instruction('seqlist'))"> <xsl:when test="//processing-instruction('seqlist')"> <xsl:when test="//processing-instruction('seqlist-additional')"> <xsl:when test="//processing-instruction('seqlist-search')"> <xsl:when test="//processing-instruction('seqtab')"> <xsl:when test="//processing-instruction('seqtab-search')"> </pre> <p>As the processing is required for rendering substantive data the current practice is poor and the DTD should be extended to allow for it.</p> <p>Thus the proposal above addresses this issue.</p>
Notes	<p>It should be noted that the proposal above replicates the paper request form checklist which is effectively a super-set of the electronic form.</p> <p>The electronic form allows for the values: for-search-only (yes no), and additional-copies-including-search-copy(yes no) to be set. The default behavior assumes that when the values are not present their values are "No". It should be noted that the case of for-search-only="yes" and additional-copies-including-search-copy=yes is invalid and the value for-search-only="yes" will be ignored.</p> <p>It is understood that the logic could be specified more tightly, but in view of the possibility of future changes regarding mixed mode applications this proposal offers a degree of flexibility that may be more suitable than that which would encode the precise logic of the current solution.</p>

[Annex II follows /
L'annexe II suit]

ANNEX II/ANNEXE II

Comment by the Japan Patent Office

It should be noted that the change of this DTD requires that the existing electronic-application and in-house systems also be changed, thereby necessitating a lengthy implementation period at each RO. (The JPO is not able to develop for changing this DTD in fiscal year 2008, so implementation in the JPO by July 2008 is not possible. The JPO cannot start developing for it until April 2009 at earliest.)

[Annex III follows /
L'annexe III suit]

NEXT ACTION:	None	BY:	
PROCHAINE ACTION :	None	POUR LE :	

ANNEX III/ANNEXE III

Comment by the International Bureau

With reference to the comment from the Japan Patent Office, these additional attributes are optional, and this will enable offices to implement the necessary changes on a timeline that is of their choosing. The International Bureau hopes for a relatively prompt transition as this will correct a technical defect in the e-filing XML in use. It is anticipated that the use of processing instructions, in place of sequence listing checklist attributes, will need to be supported until all offices have transitioned away from their use.

Following the close of the consultation period, this PFC will be implemented as proposed in version 1.6 of the Annex F DTDs and will enter into force on July 1, 2008.

[End of Annex and of file/
Fin de l'annexe et du dossier]

NEXT ACTION:	None	BY:	
PROCHAINE ACTION :	None	POUR LE :	