



WORLD INTELLECTUAL PROPERTY ORGANIZATION
ORGANISATION MONDIALE DE LA PROPRIÉTÉ INTELLECTUELLE
GENEVA/GENÈVE

ADMINISTRATIVE INSTRUCTIONS UNDER THE
PATENT COOPERATION TREATY (PCT)
STANDARD FOR THE ELECTRONIC FILING AND PROCESSING
OF INTERNATIONAL APPLICATIONS

INSTRUCTIONS ADMINISTRATIVES DU
TRAITÉ DE COOPÉRATION EN MATIÈRE DE BREVETS (PCT)
NORME CONCERNANT LE DÉPÔT ET LE TRAITEMENT ÉLECTRONIQUES
DES DEMANDES INTERNATIONALES

PROPOSAL FOR CHANGE FILE
DOSSIER RELATIF À LA PROPOSITION DE MODIFICATION

SUBJECT: Changes to Annex F, Appendix I, section 3.6 (package-data)		PROPOSED BY: IB	
SUJET : Modifications de la section 3.6 de l'appendice I de l'annexe F (package-data)		PROPOSÉE PAR :	
HANDLING: Expedited cycle		PROPOSED DATE OF ENTRY INTO FORCE: 01.07.2008	
TRAITEMENT : Cycle accéléré		DATE PROPOSÉE D'ENTRÉE EN VIGUEUR :	
ANNEX/ ANNEXE	CONTENT/CONTENU	ORIGIN/ ORIGINE	DATE
1	Updates to package-data DTD adding some of the missing document types xmit-receipt, ro-request-receiving-info and pre-conversion-files-package	IB	08.01.2008
2	Comment by the International Bureau	IB	18.02.2008
3	Comments from the Japan Patent Office	JP	26.02.2008
4	Comment from the International Bureau	IB	14.04.2008

[Annexes follow/
Les annexes suivent]

NEXT ACTION:	None	BY:	
PROCHAINE ACTION :	None	POUR LE :	

ANNEX I/ANNEXE I

UPDATES TO PACKAGE DATA DTD

1-1) package-data	<p>Change:</p> <pre><!ELEMENT package-data (transmittal-info , signatories , dtext? , (application-request application-body-doc table-external chapter2-demand fee-calculation-sheet declaration-doc lack- of-signature biological-material separate-power-of-attorney general-power-of-attorney copy-general-power-of-attorney bib- changes notification amendment priority-document translation-of-application search-report-doc trans-search- report preliminary-examination-report trans-preliminary- examination-report published-application sequence-list correspondence other-documents wrapped-document restore- rights-reason)+)></pre> <p>To:</p> <pre><!ELEMENT package-data (transmittal-info , signatories , dtext? , (application-request application-body-doc table-external chapter2-demand fee-calculation-sheet declaration-doc lack- of-signature biological-material separate-power-of-attorney general-power-of-attorney copy-general-power-of-attorney bib- changes notification amendment priority-document translation-of-application search-report-doc trans-search- report preliminary-examination-report trans-preliminary- examination-report published-application sequence-list correspondence other-documents wrapped-document restore- rights-reason xmit-receipt ro-request-receiving-information pre-conversion-files-package)+)></pre>
Items impacted	ST.36 element package-data, package-data.dtd
Reason	<p>Add xmit-receipt for the xmre.xml file in e-filing, ro-request-receiving-information for the rrri.xml file in e-filing and pre-conversion-files-package for applicant wasps in receiving-office wasps for e-filing or other original format files inside the package-data element so that they can be programmatically identified by e-filing applications.</p> <p>e.g. currently from pct-safe the request and the rrri are received marked as request or wrapped document depending on the source.</p>

[Annex II follows /
L'annexe II suit]

NEXT ACTION:	None	BY:	
PROCHAINE ACTION :	None	POUR LE :	

ANNEX II/ANNEXE II

COMMENT BY THE INTERNATIONAL BUREAU

The International Bureau has observed that the naming of the additional document types should be updated to be consistent with existing naming and has provided definitions of the new elements:

<p>2-1) package-data</p> <p>(Suggested replacement for original text)</p>	<p>Change:</p> <pre><!ELEMENT package-data (transmittal-info , signatories , dtext? , (application-request application-body-doc table-external chapter2-demand fee-calculation-sheet declaration-doc lack- of-signature biological-material separate-power-of-attorney general-power-of-attorney copy-general-power-of-attorney bib- changes notification amendment priority-document translation-of-application search-report-doc trans-search- report preliminary-examination-report trans-preliminary- examination-report published-application sequence-list correspondence other-documents wrapped-document restore- rights-reason)+)></pre> <p>To:</p> <pre><!ELEMENT package-data (transmittal-info , signatories , dtext? , (application-request application-body-doc table-external chapter2-demand fee-calculation-sheet declaration-doc lack- of-signature biological-material separate-power-of-attorney general-power-of-attorney copy-general-power-of-attorney bib- changes notification amendment priority-document translation-of-application search-report-doc trans-search- report preliminary-examination-report trans-preliminary- examination-report published-application sequence-list correspondence other-documents wrapped-document restore- rights-reason xmit-receipt-doc ro-request-receiving-info-doc pre-conversion-files-package)+)></pre> <p><!ELEMENT xmit-receipt-doc EMPTY></p> <pre><!ATTLIST xmit-receipt-doc id ID #IMPLIED file CDATA #REQUIRED lang CDATA #IMPLIED status CDATA #IMPLIED carriers (internal-electronic external-electronic paper) #IMPLIED ></pre> <p><!ELEMENT ro-request-receiving-info-doc EMPTY></p> <pre><!ATTLIST ro-request-receiving-info-doc id ID #IMPLIED file CDATA #REQUIRED lang CDATA #IMPLIED status CDATA #IMPLIED carriers (internal-electronic external-electronic paper) #IMPLIED ></pre> <p><!ELEMENT pre-conversion-files-package EMPTY></p> <pre><!ATTLIST pre-conversion-files-package id ID #IMPLIED file CDATA #REQUIRED lang CDATA #IMPLIED status CDATA #IMPLIED carriers (internal-electronic </pre>
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NEXT ACTION: None

PROCHAINE ACTION : None

BY:

POUR LE :

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	external-electronic paper) #IMPLIED >
Items impacted	Additional ST.36 elements; Annex F DTD package-data
Reason for updated proposal	This replacement for the originally submitted PFC improves the naming consistency for the proposal and adds the element definitions that were omitted in the original.

[Annex III follows /
L'annexe III suit]

NEXT ACTION:	None	BY:
PROCHAINE ACTION :	None	POUR LE :

ANNEX III/ANNEXE III

Comment by the Japan Patent Office

Since a change of the DTDs requires reconstruction of the existing e-filing and in-house systems (of each Office), it should be kept in mind that a considerable amount of time for development is needed for implementation at each RO.

The JPO is of the opinion that implementation could not be completed by the end of July 2008.

[Annex IV follows /
L'annexe IV suit]

NEXT ACTION:	None	BY:
PROCHAINE ACTION :	None	POUR LE :

ANNEX IV/ANNEXE IV

Comment by the International Bureau

Following the close of the consultation period, this PFC will be implemented as proposed in version 1.6 of the Annex F DTDs and will enter into force on July 1, 2008.

Offices should note that this change is backwardly compatible and the use of the suggested document types is optional; thus the output from existing systems will remain valid and an upgrade to utilize the new document types can be performed at a time that is convenient to the office.

[End of Annex and of file/
Fin de l'annexe et du dossier]

NEXT ACTION:	None	BY:
PROCHAINE ACTION :	None	POUR LE :