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PCT/EF/PFC 04/006

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WORLD INTELLECTUAL PROPERTY ORGANIZATION
ORGANISATION MONDIALE DE LA PROPRIÉTÉ INTELLECTUELLE
GENEVA/GENÈVE

ADMINISTRATIVE INSTRUCTIONS UNDER THE
PATENT COOPERATION TREATY (PCT)
STANDARD FOR THE ELECTRONIC FILING AND PROCESSING
OF INTERNATIONAL APPLICATIONS

INSTRUCTIONS ADMINISTRATIVES DU
TRAITÉ DE COOPÉRATION EN MATIÈRE DE BREVETS (PCT)
NORME CONCERNANT LE DÉPÔT ET LE TRAITEMENT ÉLECTRONIQUES
DES DEMANDES INTERNATIONALES

PROPOSAL FOR CHANGE FILE DOSSIER RELATIF À LA PROPOSITION DE MODIFICATION			
SUBJECT: Changes to certain DTDs (Appendix I of Annex F) SUJET : Modifications apportées à certaines DTDs (appendice I de l'annexe F)		PROPOSED BY: EP, IB, JP, US PROPOSÉE PAR :	
HANDLING: Expedited cycle TRAITEMENT : Cycle accéléré		PROPOSED DATE OF ENTRY INTO FORCE: 01.01.2005 DATE PROPOSÉE D'ENTRÉE EN VIGUEUR :	
ANNEX/ ANNEXE	CONTENT/CONTENU	ORIGIN/ ORIGINE	DATE
1	Proposal/Proposition	EP, IB, JP, US	25.10.2004

[Annex 1 follows/
L'annexe 1 suit]

NEXT ACTION: Comments, recommendations PROCHAINE ACTION : Observations, recommandations		BY: 13.12.2004 POUR LE :	
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Changes to certain DTDs

1	Change: <!ELEMENT addressbook ((%name_group; , address , phone* , fax* , email* , url* , ead* , dtext?) text)> <!ATTLIST addressbook lang CDATA #IMPLIED > To: <!ELEMENT addressbook ((%name_group; , address , phone* , fax* , email* , url* , ead* , dtext?) text)> <!ATTLIST addressbook id ID #IMPLIED lang CDATA #IMPLIED >
Items impacted	request.dtd, application-body.dtd, declarations.dtd, power-of-attorney.dtd, table-external.dtd, demand.dtd, wo-bibliographic-data.dtd, wo-published-application.dtd, amendment-request.dtd, search-report.dtd, iprp.dtd
Reason	To be consistent with ST.36 DTD

2	Change: <!ELEMENT amended-claims ((amend-statement? , (amend-body (delete-object ((insert-before-object insert-after-object replace-object))) +)) , text?)> <!ATTLIST amended-claims lang CDATA #REQUIRED id ID #IMPLIED country NMTOKEN #IMPLIED status CDATA #IMPLIED > To: <!ELEMENT amended-claims ((amend-statement? , (amend-body (delete-object ((insert-before-object insert-after-object replace-object))) +)) , text?)> <!ATTLIST amended-claims lang CDATA #REQUIRED id ID #IMPLIED claim-type CDATA #IMPLIED status CDATA #IMPLIED >
Items impacted	wo-published-application.dtd
Reason	To be consistent with ST.36 DTD

3	Change: <!ELEMENT application-reference (document-id)> <!ATTLIST application-reference appl-type CDATA #REQUIRED > To: <!ELEMENT application-reference (document-id)> <!ATTLIST application-reference id ID #IMPLIED appl-type CDATA #REQUIRED >
Items impacted	package-data.dtd, declaration.dtd, power-of-attorney.dtd, indication-bio-deposit.dtd, ro-request-receiving-info.dtd, ex-officio-correction.dtd, pkgheader.dtd, dispatch-list.dtd, application-receipt-list.dtd, demand.dtd, fee-sheet-chapter2.dtd, priority-doc.dtd, wo-bibliographic-data.dtd, wo-published-application.dtd, search-report.dtd, iprp.dtd
Reason	To be consistent with ST.36 DTD

4	Change: <!ELEMENT chem EMPTY> <!ATTLIST chem id ID #IMPLIED file CDATA #REQUIRED lang CDATA #IMPLIED status CDATA #IMPLIED dtd-version CDATA #REQUIRED > To: <!ELEMENT chem EMPTY> <!ATTLIST chem id ID #IMPLIED file CDATA #REQUIRED chem-type CDATA #IMPLIED >
Items impacted	application-body.dtd, table-external.dtd, wo-published-application.dtd, amendment-request.dtd, search-report.dtd, iprp.dtd
Reason	To be consistent with ST.36 DTD

5	Change: <!ELEMENT citation ((patcit nplcit) , corresponding-docs* , rel-passage* , category* , rel-claims*)+> <!ATTLIST citation id CDATA #IMPLIED > To: <!ELEMENT citation ((patcit nplcit) , corresponding-docs* , rel-passage* , category* , rel-claims* , classification-ipc? , classifications-ipcr? , classification-national?)> <!ATTLIST citation srep-phase CDATA #IMPLIED >
Items impacted	search-report.dtd, iprp.dtd
Reason	To be consistent with ST.36 DTD

6	Change: <!ELEMENT claims (doc-page+ claim+)> <!ATTLIST claims %body_sections; > <!ELEMENT claim (claim-text+)> <!ATTLIST claim id ID #IMPLIED num CDATA #REQUIRED > To: <!ELEMENT claims (doc-page+ claim+)> <!ATTLIST claims %body_sections; claim-type CDATA #IMPLIED > <!ELEMENT claim (claim-text+)> <!ATTLIST claim id ID #IMPLIED num CDATA #REQUIRED claim-type CDATA #IMPLIED >
Items impacted	application-body.dtd, wo-published-application.dtd, amendment-request.dtd
Reason	To be consistent with ST.36 DTD

7	Change: <!ELEMENT claim-text (#PCDATA claim-text claim-ref b i u sup sub smallcaps br crossref figref img chemistry maths tables)*> To: <!ELEMENT claim-text (#PCDATA claim-text claim-ref b i u sup sub smallcaps br pre crossref figref img chemistry maths tables)*>
Items impacted	application-body.dtd, wo-published-application.dtd, amendment-request.dtd
Reason	To be consistent with ST.36 DTD

8	Change: <!ELEMENT class (#PCDATA)> To: <!ELEMENT class (#PCDATA)> <!--ATTLIST class class-type CDATA #IMPLIED-->
Items impacted	application-body.dtd, table-external.dtd, wo-published-application.dtd, amendment-request.dtd, search-report.dtd, iprp.dtd
Reason	To be consistent with ST.36 DTD

9	Change: <!ELEMENT classification-ipc (edition , main-classification , further-classification* , (additional-info linked-indexing-code-group unlinked-indexing-code)* , text?)> To: <!ELEMENT classification-ipc (edition , main-classification , further-classification* , (additional-info linked-indexing-code-group unlinked-indexing-code)* , text?)> <!--ATTLIST classification-ipc id ID #IMPLIED-->
Items impacted	wo-bibliographic-data.dtd, wo-published-application.dtd, search-report.dtd, iprp.dtd
Reason	To be consistent with ST.36 DTD

10	Change: <!ELEMENT classification-national (country , edition? , main-classification , further-classification* , (additional-info linked-indexing-code-group unlinked-indexing-code)* , text?)> To: <!ELEMENT classification-national (country , edition? , main-classification , further-classification* , (additional-info linked-indexing-code-group unlinked-indexing-code)* , text?)> <!ATTLIST classification-national id ID #IMPLIED>
Items impacted	search-report.dtd, iprp.dtd
Reason	To be consistent with ST.36 DTD

11	Change: <!ELEMENT wo-publication-info (correction* , wo-bio-material-after-pub? , isr-status* , pct-pub-info*)> <!ELEMENT correction (document-id? , type-of-correction? , wo-repub-code , dtext?)> <!ATTLIST correction correction-kind (current previous) #REQUIRED> To: <!ELEMENT wo-publication-info (wo-correction* , wo-bio-material-after-pub? , isr-status* , pct-pub-info*)> <!ELEMENT wo-correction (document-id? , type-of-correction? , wo-repub-code , dtext?)> <!ATTLIST wo-correction correction-kind (current previous) #REQUIRED> c.f. <!ELEMENT correction (document-corrected , type-of-correction? , gazette-reference? , text?)>
Items impacted	wo-bibliographic-data.dtd, wo-published-application.dtd
Reason	To be consistent with ST.36 DTD

12	Change: <!ELEMENT crossref (#PCDATA b i u sup sub smallcaps)*> <!ATTLIST crossref idref CDATA #REQUIRED > To: <!ELEMENT crossref (#PCDATA b i u sup sub smallcaps)*> <!ATTLIST crossref idref IDREF #REQUIRED >
Items impacted	application-body.dtd, table-external.dtd, wo-published-application.dtd, amendment-request.dtd, search-report.dtd, iprp.dtd
Reason	To be consistent with ST.36 DTD

13	Change: <pre><!ELEMENT dd (#PCDATA b i u sup sub smallcaps br patcit nplcit bio-deposit crossref figref img ul ol chemistry maths)*></pre> <pre><!ELEMENT li (#PCDATA b i u sup sub smallcaps br patcit nplcit bio-deposit crossref figref img dl ul ol chemistry maths)*></pre> To: <pre><!ELEMENT dd (#PCDATA b i u sup sub smallcaps br pre patcit nplcit bio-deposit crossref figref img ul ol chemistry maths)*></pre> <pre><!ELEMENT li (#PCDATA b i u sup sub smallcaps br pre patcit nplcit bio-deposit crossref figref img dl ul ol chemistry maths)*></pre>
Items impacted	application-body.dtd, table-external.dtd, wo-published-application.dtd, amendment-request.dtd, search-report.dtd, iprp.dtd
Reason	To be consistent with ST.36 DTD

14	Change: <pre><!ELEMENT dl (dt , dd)+> <!ATTLIST dl tsize NMTOKEN #IMPLIED compact (compact) #IMPLIED id ID #IMPLIED ></pre> To: <pre><!ELEMENT dl (dt , dd)+> <!ATTLIST dl tsize CDATA #IMPLIED compact (compact) #IMPLIED id ID #IMPLIED ></pre>
Items impacted	application-body.dtd, table-external.dtd, wo-published-application.dtd, amendment-request.dtd, search-report.dtd, iprp.dtd
Reason	To be consistent with ST.36 DTD

15	Change: <!ELEMENT doc-page EMPTY> <!ATTLIST doc-page id ID #IMPLIED he NMTOKEN #REQUIRED wi NMTOKEN #REQUIRED type (jpg pdf tif) #REQUIRED alt CDATA #IMPLIED pp CDATA #IMPLIED ppf CDATA #IMPLIED ppl CDATA #IMPLIED file CDATA #REQUIRED color (color bw) #IMPLIED orientation (portrait landscape) 'portrait' > To: <!ELEMENT doc-page EMPTY> <!ATTLIST doc-page id ID #IMPLIED he CDATA #REQUIRED wi CDATA #REQUIRED type (jpg pdf tif) #REQUIRED alt CDATA #IMPLIED pp CDATA #IMPLIED ppf CDATA #IMPLIED ppl CDATA #IMPLIED file CDATA #REQUIRED color (color bw) #IMPLIED orientation (portrait landscape) 'portrait' >
Items impacted	request.dtd, application-body.dtd, declaration.dtd, power-of-attorney.dtd, fee-sheet.dtd, indication-bio-deposit.dtd, demand.dtd, fee-sheet-chapter2.dtd, pdoc-body.dtd, wo-published-application.dtd, amendment-request.dtd, search-report.dtd, iprp.dtd
Reason	To be consistent with ST.36 DTD

16	Change: <!ELEMENT further-classification (#PCDATA)> To: <!ELEMENT further-classification (#PCDATA)> <!ATTLIST further-classification sequence CDATA #IMPLIED> id ID #IMPLIED>
Items impacted	wo-bibliographic-data.dtd, wo-published-application.dtd, search-report.dtd, iprp.dtd
Reason	To be consistent with ST.36 DTD

17	Change: <!ELEMENT img EMPTY> <!ATTLIST img id ID #IMPLIED he NMTOKEN #REQUIRED wi NMTOKEN #REQUIRED file CDATA #REQUIRED alt CDATA #IMPLIED img-content (drawing photograph character dna undefined chem table math program-listing flowchart) 'drawing' img-format (jpg tif st33 st35) #REQUIRED orientation (portrait landscape) 'portrait' inline (yes no) 'no' > To: <!ELEMENT img EMPTY> <!ATTLIST img id ID #IMPLIED he CDATA #REQUIRED wi CDATA #REQUIRED file CDATA #REQUIRED alt CDATA #IMPLIED img-content (drawing photograph character dna undefined chem table math program-listing flowchart) 'drawing' img-format (jpg tif st33 st35) #REQUIRED orientation (portrait landscape) 'portrait' inline (yes no) 'no' >
Items impacted	application-body.dtd, table-external.dtd, wo-published-application.dtd, amendment-request.dtd, search-report.dtd, iprp.dtd
Reason	To be consistent with ST.36 DTD

18	Change: <!ELEMENT minimum-documentation (classification-ipc , classification-national?)> To: <!ELEMENT minimum-documentation (classification-ipc , classifications-ipcr? , classification-national?)>
Items impacted	search-report.dtd
Reason	To be consistent with ST.36 DTD

19	Change: <!ELEMENT nplcit (text (article book online othercit)*)> <!ATTLIST nplcit id ID #IMPLIED num CDATA #REQUIRED npl-type CDATA #IMPLIED medium CDATA #IMPLIED file CDATA #IMPLIED url CDATA #IMPLIED lang CDATA #IMPLIED > To: <!ELEMENT nplcit (text article book online othercit)> <!ATTLIST nplcit id ID #IMPLIED num CDATA #IMPLIED npl-type CDATA #IMPLIED medium CDATA #IMPLIED file CDATA #IMPLIED url CDATA #IMPLIED lang CDATA #IMPLIED >
Items impacted	application-body.dtd, table-external.dtd, wo-published-application.dtd, amendment-request.dtd, search-report.dtd, iprp.dtd
Reason	To be consistent with ST.36 DTD

20	Change: <!ELEMENT othercit (#PCDATA)> To: <!ELEMENT othercit (#PCDATA b i u sup sub)*>
Items impacted	application-body.dtd, table-external.dtd, wo-published-application.dtd, amendment-request.dtd, search-report.dtd, iprp.dtd
Reason	To be consistent with ST.36 DTD

21	Change: <!ELEMENT patcit (text (document-id , rel-passage*))> <!ATTLIST patcit id ID #IMPLIED num CDATA #REQUIRED dnum CDATA #IMPLIED dnum-type CDATA #IMPLIED file CDATA #IMPLIED url CDATA #IMPLIED > To: <!ELEMENT patcit (text (document-id , rel-passage*))> <!ATTLIST patcit id ID #IMPLIED num CDATA #IMPLIED dnum CDATA #IMPLIED dnum-type CDATA #IMPLIED file CDATA #IMPLIED url CDATA #IMPLIED >
Items impacted	application-body.dtd, table-external.dtd, wo-published-application.dtd, amendment-request.dtd, search-report.dtd, iprp.dtd
Reason	To be consistent with ST.36 DTD

22	Change: <!ELEMENT payment-mode (charge postal-order cash coupons cheque bank-draft stamps other)> To: <!ELEMENT payment-mode (charge postal-order cash coupons cheque bank-draft stamps other later-payment)>
Items impacted	fee-sheet.dtd, fee-sheet-chapter2.dtd
Reason	To allow optional (no payment)

23	Change: <!ELEMENT pre (#PCDATA)> <!ATTLIST pre id ID #IMPLIED xml:space CDATA #FIXED 'preserve' listing-type (sequence other software-code) #REQUIRED > To: <!ELEMENT pre (#PCDATA)> <!ATTLIST pre id ID #IMPLIED xml:space (preserve default) #IMPLIED listing-type (sequence other program-listing) #REQUIRED >
Items impacted	application-body.dtd, wo-published-application.dtd, amendment-request.dtd, search-report.dtd, iprp.dtd
Reason	To be consistent with ST.36 DTD

24	Change: <!ELEMENT priority-claim (country , doc-number? , date , office-of-filing? , (priority-doc-requested priority-doc-attached)?) > <!ATTLIST priority-claim sequence CDATA #REQUIRED kind (national regional international) #REQUIRED > To: <!ELEMENT priority-claim (country , doc-number? , date , office-of-filing? , (priority-doc-requested priority-doc-attached)?) > <!ATTLIST priority-claim id ID #IMPLIED sequence CDATA #REQUIRED kind (national regional international) #REQUIRED >
Items impacted	request.dtd, wo-bibliographic-data.dtd, wo-published-application.dtd
Reason	To be consistent with ST.36 DTD

25	Change: <!ELEMENT publication-reference (document-id) > To: <!ELEMENT publication-reference (document-id) > <!ATTLIST publication-reference id ID #IMPLIED >
Items impacted	package-data.dtd, pkgheader.dtd, wo-bibliographic-data.dtd, wo-published-application.dtd
Reason	To be consistent with ST.36 DTD

26	Change: <!ELEMENT rel-passage (text (passage+ , category? , rel-claims?)) > To: <!ELEMENT rel-passage (text (passage+ , category* , rel-claims*)+) >
Items impacted	application-body.dtd, table-external.dtd, wo-published-application.dtd, amendment-request.dtd, search-report.dtd, iprp.dtd
Reason	To be consistent with ST.36 DTD

27	Change: <!ELEMENT sequence-list EMPTY> <!ATTLIST sequence-list id ID #IMPLIED %document_attributes;> To: <!ELEMENT sequence-list EMPTY> <!ATTLIST sequence-list id ID #IMPLIED seq-file-type CDATA #FIXED 'ST.25' %document_attributes;>
Items impacted	package-data.dtd
Reason	To be consistent with ST.36 DTD

28	Change: <!ELEMENT sequence-list-doc (doc-page+ sequence-list)> To: <!ELEMENT sequence-list-doc (#PCDATA doc-page sequence-list)*>
Items impacted	wo-publised-application.dtd
Reason	To be consistent with ST.36 DTD

29	Change: <!ELEMENT srep-for-pub (doc-page+ (classification-ipc? , classification-national? , srep-fields-searched , srep-citations , incomplete-search? , srep-admin? , srep-patent-family?))> To: <!ELEMENT srep-for-pub (doc-page+ (classification-ipc? , classifications-ipcr? , classification-national? , srep-fields-searched , srep-citations , incomplete-search? , srep-admin? , srep-patent-family?))>
Items impacted	search-report.dtd
Reason	To be consistent with ST.36 DTD

30	Change: <!ELEMENT subname (%name_group; addressbook)> <!ATTLIST subname subname-type (editor translator) 'editor' > To: <!ELEMENT subname (%name_group; addressbook)> <!ATTLIST subname subname-type (editor translator other-subname-type) 'editor' >
Items impacted	application-body.dtd, table-external.dtd, wo-published-application.dtd, amendment-request.dtd, search-report.dtd, iprp.dtd
Reason	To be consistent with ST.36 DTD

31	Change: <!ELEMENT unity-of-invention (invitation-pay-additional-fees? , not-unity-of-invention-iprp-opinion? , subject-examination)> To: <!ELEMENT unity-of-invention (invitation-pay-additional-fees? , not-unity-of-invention? , compliance-unity-invention , opinion-established-regarding) >
Items impacted	search-report.dtd, iprp.dtd
Reason	To be consistent with ST.36 DTD

32	Change: <!ELEMENT wo-bibliographic-data (publication-reference? , wo-publication-info? , application-reference? , wo-application-info? , wo-amendment-info? , wo-bib-change-info? , wo-demand-info? , file-reference-id? , invention-title* , parties? , designation-of-states? , wo-priority-info? , search-authority? , declaration-info? , classification-ipc? , figure-to-publish? , signatories? , correspondence-address? , dtext?)> To: <!ELEMENT wo-bibliographic-data (publication-reference? , wo-publication-info? , application-reference? , wo-application-info? , wo-amendment-info? , wo-bib-change-info? , wo-demand-info? , file-reference-id? , invention-title* , parties? , designation-of-states? , wo-priority-info? , search-authority? , declaration-info? , classification-ipc? , classifications-ipcr? , figure-to-publish? , signatories? , correspondence-address? , dtext?)>
Items impacted	wo-bibliographic-data.dtd, wo-published-application.dtd
Reason	To be consistent with ST.36 DTD

[End of Annex and of file/
Fin de l'annexe et du dossier]