

HANDBOOK ON INDUSTRIAL PROPERTY INFORMATION AND DOCUMENTATION

Ref.: Standards – ST.11 page: 3.11.1

STANDARD ST.11

RECOMMENDATION ON THE MINIMUM OF INDEXES TO BE INSERTED IN PATENT GAZETTES OR PUBLISHED IN ASSOCIATION WITH PATENT GAZETTES

INTRODUCTION

- 1. This Standard deals with the minimum of current indexes to be inserted in patent gazettes or published separately, but clearly associated with patent gazettes in order to allow easy access to the patent information contained therein. The Standard also covers current indexes relating to patent gazettes.
- 2. An index is considered to be published in association with patent gazettes if it contains an indication of how to identify for each of its entries a corresponding announcement in a patent gazette, e.g., by means of references.

DEFINITION

3. For the purpose of this Standard the expression "patent gazette" means a publication which contains announcements concerning individual industrial property rights on inventions such as patents for invention, plant patents, inventors' certificates, design patents, utility certificates, utility models, additions thereto and applications therefor made in accordance with requirements under industrial property laws, conventions or treaties.

RECOMMENDATION

- 4. The following minimum of indexes is recommended for publication in patent gazettes or in conjunction with them:
 - (i) a document number index (see WIPO Standard ST.19, paragraph 8);
 - (ii) a classification index (see WIPO Standard ST.19, paragraphs 9-11);
 - (iii) a name index (see WIPO Standard ST.20).
- 5. At least one of the indexes, or the main list of announcements in a patent gazette, should contain the minimum bibliographic data in accordance with the "Recommendation concerning Bibliographic Data on and relating to Patent Documents (Identification and Minimum Required) (ST.9)
- 6. If an index or a set of indexes is published separately, the index or at least one of the indexes should incorporate announcements containing the minimum bibliographic data sufficient to identify the relevant announcements in a patent gazette and the relevant patent documents in a numerical collection, i.e. kind of document code, document number, date of application (publication), number of the issue of a patent gazette as well as some additional technical data helpful for preliminary judgement of general relevance of an entry in the index, e.g., IPC symbol(s) and title of invention.
- 7. The other index(es) should serve as entry(ies) in the above-mentioned index(es) or main list of announcements and should therefore preferably be published with the same frequency.
- 8. If a patent gazette consists of entries arranged by document number, classification symbol or name order, or by two of these data elements, there is no need to publish separate current indexes arranged likewise, but only those which supplement the main mode of arrangement.

EXAMPLES

- 9. The following examples are given by way of illustration:
 - (i) Entries arranged in document number order

Indexes required: (a) classification → number (b) name → number

(ii) Entries arranged in classification symbol order

Indexes required: (a) number classification (b) name classification

en / 03-11-01 Date: December 1990



HANDBOOK ON INDUSTRIAL PROPERTY INFORMATION AND DOCUMENTATION

Ref.: Standards – ST.11 page: 3.11.2

(iii) Entries arranged in name order

Indexes required: (a) number → classification

(b) classification → name + number

(iv) Entries arranged in numerical and classification symbol order

Index required: name → number, or, name → classification + number

Bibliographic Information in Patent Gazettes

- 10. The document "Bibliographic Information in Patent Gazettes" (Part 7.6) gives information on current indexes published in patent gazettes as well as bibliographic data appearing in the main entries of patent gazettes themselves. The document also covers current indexes published separately in association with patent gazettes.
- 11. Attention is drawn to WIPO Standard <u>ST.19</u> Recommendation for the Publication of Indexes Issued Yearly/Half-Yearly/Quarterly by Industrial Property Offices which gives details of cumulative indexes.

[End of Standard]

en / 03-11-01 Date: December 1990