

## PCT LEGAL TEXT INDEX

(including references to the Articles, Rules, Administrative Instructions,  
Forms and various PCT Guidelines)  
(last updated: April 2005)

Acronym/Term	Meaning
§	Section of the Administrative Instructions under the PCT
AI Annex	Annex to the Administrative Instructions under the PCT
AI Part 8	Part 8 of the Administrative Instructions
AIs	Administrative Instructions under the PCT
Annex	Annex to Volume I of the <i>PCT Applicant's Guide</i>
Art	Article of the Patent Cooperation Treaty
Chapter	Chapter of the Patent Cooperation Treaty
CRep	Common representative
Dem	Demand (Form IPEA/401)
DG	Director General
DO	Designated Office
E-filing	Electronic filing
EO	Elected Office
Fees	Schedule of Fees
Gaz	<i>PCT Gazette</i>
Guide	PCT Applicant's Guide
IA	International application
IB	International Bureau
IFD	International filing date
IGO	Intergovernmental organization
IPE	International preliminary examination
IPEA	International Preliminary Examining Authority
IPRP (Ch.I)	International Preliminary Report on Patentability prepared by the ISA
IPRP (Ch.II)	International Preliminary Report on Patentability prepared by the IPEA
IS	International search
ISA	International Searching Authority
ISPEG	PCT International Search and Preliminary Examination Guidelines
ISR	International search report
NGO	Non-governmental organization
Paris	Paris Convention for the Protection of Industrial Property
PCT	Patent Cooperation Treaty
PCT-SAFE	PCT electronic filing software
Preamble	Preamble of the PCT
R	Rule of the Regulations under the PCT
RC	Record copy
Reg	Regulations under the PCT
Req	Request (Form RO/101)
RO	Receiving Office
ROG	PCT Receiving Office Guidelines
SC	Search copy
SL	Sequence listing
Stand	WIPO Standard
Treaty	Patent Cooperation Treaty
Vol. I	Volume I of the <i>PCT Applicant's Guide</i>
WO-ISA	Written Opinion of the ISA

**LIST OF SUBJECTS IN PCT LEGAL TEXT INDEX**

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  - EARLY PUBLICATION
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  - EXECUTIVE COMMITTEE
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  - EXPENSE(S)
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  - EXPLANATIONS
  - EXPRESSIONS
  - EXTENSION
  - EX OFFICIO
- F-**

<p>FACSIMILE  FEE(S)  FILE  FILE REFERENCE  FILING DATE  FINAL PROVISIONS  FINANCES (OF UNION OF PCT STATES)  FINANCIAL  FLOW SHEETS  FORM(S)  FORMAT  FORMULA(E)</p> <p><b>-G-</b>  GAMES  GAZETTE, PCT  GENERAL POWER OF ATTORNEY  GRADUAL APPLICATION  GUIDELINES</p> <p><b>-H-</b>  HANDLING FEE FOR IPE (RE DEM.)  HEADING(S)  HOME COPY (OF IA)</p> <p><b>-I-</b>  IDENTIFICATION  ILLUSTRATION(S)  INDICATIONS  INDUSTRIAL APPLICABILITY  INDUSTRIAL ESTABLISHMENT  INFORMATION  INFORMATION SERVICES  INSTRUCTIONS, ADMINISTRATIVE  INSTRUMENTS OF ACCESSION OR RATIFICATION  INTELLECTUAL PROPERTY  INTERGOVERNMENTAL ORGANIZATION(S)  INTERNATIONAL AUTHORITY  INTERNATIONAL APPLICATION (IA)  INTERNATIONAL BUREAU (IB)  INTERNATIONAL COURT OF JUSTICE  INTERNATIONAL FILING DATE  INTERNATIONAL FILING FEE  INTERNATIONAL PATENT CLASSIFICATION (IPC)  INTERNATIONAL PATENT COOPERATION UNION  INTERNATIONAL PRELIMINARY EXAMINATION (IPE)  INTERNATIONAL PRELIMINARY EXAMINING  AUTHORITY (IPEA)  INTERNATIONAL PRELIMINARY REPORT ON  PATENTABILITY (IPRP) CHAPTER I (Ch.I)  INTERNATIONAL PRELIMINARY REPORT ON  PATENTABILITY (IPRP) CHAPTER II (Ch.II)  INTERNATIONAL PUBLICATION  INTERNATIONAL SEARCH  INTERNATIONAL SEARCH FEE  INTERNATIONAL SEARCH REPORT (ISR)  INTERNATIONAL SEARCHING AUTHORITY (ISA)  INTERNATIONAL-TYPE SEARCH  INTERPRETATION  INTERRUPTION OF MAIL SERVICE  INVENTION, TITLE  INVENTION, UNITY OF  INVENTIVE STEP  INVENTOR(S)  INVENTOR'S CERTIFICATE (OF ADDITION)  INVITATION  IRREGULARITIES</p> <p><b>-K-</b>  KEEPING OF RECORDS AND FILES  KIND(S) OF PROTECTION</p> <p><b>-L-</b></p>	<p>LACK OF UNITY OF INVENTION  LANGUAGE(S)  LATER ELECTION(S)  LATER INDICATION  LATER SUBMITTED SHEETS, RO PROCEDURE  LEGAL ENTITY  LETTER(S)  LISTINGS, SEQUENCE  LOSS</p> <p><b>-M-</b>  MAIL  MAINTAINING OF EFFECT  MAIN INVENTION  MAJORITY  MARGIN(S)  MARKING, SHEETS OF IA  MATHEMATICAL  MEASURES  MENTAL ACTS  MICROBIOLOGICAL INVENTIONS  MICROORGANISMS  MINIMUM DOCUMENTATION  MISSING  MODELS  MODIFICATION  MONTH(S)  MORALITY  MULTIPLE DEPENDENT CLAIM</p> <p><b>-N-</b>  NAME  NATIONAL APPLICATION  NATIONAL EXAMINATION  NATIONAL FEE  NATIONAL LAW  NATIONAL OFFICE  NATIONAL PATENT  NATIONAL PROCESSING  NATIONAL REQUIREMENTS  NATIONAL SECURITY  NATIONAL PHASE  NATIONALITY  NATIONAL(S)  NEW MATTER  NOMENCLATURE  NON-OBVIOUS(NESS)  NON-WRITTEN DISCLOSURE  NON-PREJUDICIAL DISCLOSURE  NOTE(S)  NOTICE(S)  NOTIFICATION(S)  NOVELTY  NUCLEOTIDE AND/OR AMINO ACID SEQUENCE LISTINGS  NUMBER  NUMBERING</p> <p><b>-O-</b>  OBSERVATIONS  OBSERVERS  OBVIOUS ERRORS IN DOCUMENTS  OBVIOUSNESS/NON-OBVIOUSNESS  OFFICE  OFFICIAL  OPINION  OPPORTUNITY  ORAL COMMUNICATION  ORGANIZATION</p> <p><b>-P-</b>  PAMPHLET(S)  PARENT  PARIS CONVENTION</p>
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PATENT	SCIENTIFIC THEORIES
PATENT COOPERATION TREATY (PCT)	SEAL
PATENT INFORMATION SERVICES	SEARCH
PATENT OF ADDITION	SEARCH COPY
PATENTABILITY	SEARCH FEE
PCT-SAFE	SEARCH REPORT
PERIODS OF TIME	SEARCHING AUTHORITY
PETITION	SECRETARIAT
PHYSICAL REQUIREMENTS	SECURITY
PLANT VARIETY (IES)	SEQUENCE LISTINGS
POWER OF ATTORNEY	SERIAL NUMBER (OF INTERNATIONAL APPLICATION)
PRACTICE BEFORE INTERNATIONAL AUTHORITIES	SEVERAL
PRELIMINARY EXAMINATION (INTERNATIONAL)	SHEETS
PRELIMINARY EXAMINING AUTHORITY	SIGNATURE(S)
PRESCRIBED	SIGNS (TERMINOLOGY)
PRIOR ART	SPECIAL
PRIORITY APPLICATION	SPECIALIZED
PRIORITY CLAIM	STATEMENT
PRIORITY DATE	STOCKHOLM ACT (OF THE PARIS CONVENTION)
PRIORITY DOCUMENT	STORAGE OF RECORDS
PROCEDURE(S)	SUBJECT MATTER
PROCESSING	SUBSTITUTION, OF SHEETS OF IA
PROGRAM OF THE UNION	SUCCESSOR
PROTECTION AVAILABLE UNDER PCT	SUPPLEMENT
PROTEST (AGAINST PAYMENT OF ADDITIONAL FEES AFTER FINDING LACK OF UNITY OF INVENTION)	SURCHARGE
PUBLIC ORDER	SURGERY
PUBLICATION	
PURPORTED	
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<b>-Q-</b>	TABLES
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QUORUM	TECHNICAL COOPERATION, COMMITTEE FOR
	TECHNICAL SERVICES
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RATIFY/RATIFICATION	TELEPHONE
RECEIPT (OF IA BY RO)	TELEPRINTER
RECEIVING OFFICE (RO)	TELESCOPING
RECORD(S)	TEMPERATURES
RECORD COPY	TERMINOLOGY AND SIGNS
RECORDING OF CHANGES	TERRITORIES, INDICATIONS OF
RECTIFICATION	THERAPY
REFUND	TIME LIMIT(S)
REGIONAL	TITLE (OF INVENTION IN IA)
REGISTRATION	TRANSLATION
REGULAR NATIONAL APPLICATION	TRANSLITERATION
REGULATIONS UNDER THE PCT	TRANSMITTAL
REIMBURSEMENT	TREATMENT
REJECTION	TREATY
RENUMBERING, SHEETS OF IA	TRUE COPY
RENUNCIATION (OF APPOINTMENT)	TWO-LETTER CODES
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REPUBLICATION	UNITY OF INVENTION
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REVIEW BY DO	WAIVER
REVISION	WEIGHTS
REVOCAION (OF APPOINTMENT)	“WO”
RIGHT TO FILE	WITHDRAWAL
RIGHT TO PRACTICE	WITHDRAWN (CONSIDERED)
R. OF PROCEDURE	WRITTEN DISCLOSURE(S)
	WRITTEN OPINION
<b>-S-</b>	
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	YEAR(S)

**Subject** **Paragraph**

**A**

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ISA .....Art.3(2), 3(3), R. 38.2(a), Form ISA/210, ISPEG 16.34  
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— of payment of fees.....Art. 3(4)(iv), 4(2), 14(3)  
— of specification of allocation of fees by applicant...Art. 3(4)(iv), 4(2), § 321  
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— of quorum in Assembly (voting) .....Art. 53(5)(b), R. 85  
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    - before 1 July 1998 ..... R. 94.1 (as in force for IAs filed before 1 July 1998), Form IB/360
    - after 1 July 1998 ..... R. 94.1 (as in force for IAs filed on or after 1 July 1998), Form IB/366
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    - pay prescribed fees .....Art. 14(3), R. 29.1, Form RO/117, ROG159
    - furnish original of IA .....Art. 14(1), R. 29.1, 92.4(g)(i), Form RO/117, ROG159
    - later finding of non-compliance with requirements for according IFD.....Art. 11(1), 14(4), R. 29.1(a), Form RO/143, ROG 52-54
    - notification by IB to DO(s).....Art. 14(1), (3), (4), R. 29.1, Form IB/325
    - review of determination of RO by DO.....Art. 25(1)(a), R. 51
    - unless notified about IA —, ISA is to proceed with IS if notified by RO about invitation concerning missing or defective
      - abstract .....R. 38.1
      - title .....R. 37.1
- protest — for failure to pay protest fee by
  - IPEA .....R. 68.3(e)
  - ISA.....R. 40.2(e)

## WRITTEN DISCLOSURE(S)

See DISCLOSURES

## WRITTEN OPINION

### by IPEA

- generally .....Art. 34(2)(c), R. 66.2, Form IPEA/408, ISPEG 17.06-17.07
- indication that requirement of lack of unity of invention is not fulfilled .....R. 68.1
- invitation to submit reply/amendments to — .....R. 66(c)
- statement of reasons for — .....R. 66.2(b)
- response to — .....Art. 34(2)(d), R. 66.3
- amendments in response to — .....Art. 34(2)(b), R. 66.4-66.5, ISPEG 17.06
- consideration of response by IPEA .....R. 66.4*bis*, ISPEG 20.09

### by ISA

- communication to IPEA (when different than ISA) .....R. 62.1(i), ISPEG 22.18
  - considered to be — of IPEA .....R. 43*bis*.1(c), Form ISA/237
  - IPEA procedure applies to ISA .....R. 43*bis*.1(b), § 518
  - transmittal of copies of translation .....R. 44*bis*.3(d), 62*bis*.1(b), 72.2*bis*, Form IB/374
- See INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Ch.I)  
INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Ch.II)

**-Y-**

## YEAR(S)

### calendar —

- Assembly to meet every second — .....Art. 53(11)(a)

### computation of periods expressed in — .....R. 80.1

### financial —

- paying of contributions in case of financial —
- closing with deficit .....Art. 57(5)(a)