#### WORLD INTELLECTUAL PROPERTY ORGANIZATION

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**ORGANIZACION MUNDIAL** 

**DE LA PROPIEDAD INTELECTUAL** 



### ORGANISATION MONDIALE DE LA PROPRIÉTÉ INTELLECTUELLE

المنظمة العالمية للملكية الفكرية

ВСЕМИРНАЯ ОРГАНИЗАЦИЯ ИНТЕЛЛЕКТУАЛЬНОЙ СОБСТВЕННОСТИ

<u>C.PCT 930</u>

-04

June 27, 2003

Modifications of the Administrative Instructions Under the PCT: Introduction of a New Form

Madam, Sir,

Following consultation under Rule 89.2(b) of the Patent Cooperation Treaty (PCT), a new PCT Form, entitled the "International Application Status Form" (IASF) (Form PCT/IB/399), is introduced with effect from the date of this Circular, addressed to your Office in its capacity as a designated/elected Office under the PCT.

./. The new Form, a copy of which is enclosed, was initially proposed by the International Bureau in Circulars C.PCT 746 dated June 21, 2000, and C.PCT 791 dated May 18, 2001.

The Form will provide, in a consolidated and convenient manner, the information currently communicated by way of various PCT/IB Forms which Offices are entitled to receive from the International Bureau in their capacity as designated/elected Offices. It will contain information as on record at the International Bureau on the date on which it is established.

As a result of the deployment of the IMPACT Communication System, which was the subject of a separate announcement by way of Circular C.PCT 907 dated May 9, 2003, the Form is now available, but only, for the time being, to Offices making specific requests in respect of certain international applications (see Circulars C.PCT 746 and C.PCT 791). In other words, it is not

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<u>C.PCT 930</u> -04

available, for the time being, on a systematic basis in respect of all applications in which a particular Office is designated/elected.<sup>1</sup>

Consequently, if your Office wishes to receive the Form in respect of certain international applications, a specific request should be addressed to the International Bureau, indicating the international applications concerned.

Any questions related to this Circular and the new Form are to be addressed to Mr. Gijsbert Beijer (tel.: +41 22 338 9479; e-mail: gijsbertus.beijer@wipo.int).

Sincerely yours,

Francis Gurry Assistant Director General

Enclosure: International Application Status Form (IASF) (Form PCT/IB/399)

<sup>&</sup>lt;sup>1</sup> It is recalled that Offices may choose, separately for each type of document, to receive the document systematically ("Systematic Communication") or only upon request ("Communication on Request"). In the case of Systematic Communication, the International Bureau transmits to the Offices concerned all documents which they are entitled to receive under the PCT and for which they have chosen this form of communication. In the case of Communication on Request, the International Bureau only sends to the Offices concerned those documents which they are entitled to receive and which they have specifically requested.

# Annex to circular C.PCT 930

#### PATENT COOPERATION TREATY

To:

From the INTERNATIONAL BUREAU



INTERNATIONAL APPLICATION STATUS FORM (IASF)

**Date of establishment of this IASF:** (the information contained in this IASF reflects the status of the international application as of this date)

	INTERNATIONAL APPLICATION	
l-1	International application number:	
I-2	International filing date:	
I-3	Earliest priority date:	
I-4	Title of the invention:	
I-5	International Patent Classification:	
I-6	Language of filing:	
I-7	The State for which the Office acts as a designated Office has been designated in the international application:	
I-7-1	Indication of the State(s) designated in the international application in respect of which the Office acts a designated Office (only where the designated Office is a regional Office):	
I-7-2	The international application or the designation of the State for which the Office acts as a designated Office has been considered withdrawn in a declaration made by the receiving Office on (date):	
I-7-3	The international application or the designation of the State for which the Office acts as a designated Office has been withdrawn by the applicant (date on which withdrawal became effective):	
I-7-4	Kind of protection or treatment:	
I-7-4-1	Identification of parent application or parent grant:	
I-8	Date of receipt of record copy by the International Bureau:	
I-9	Applicant(s) and/or inventor(s) for the State(s) for which the Office acts as a designated Office	
I-9-1	Applicant and/or inventor	
I-9-1-1	Data currently on record	
I-9-1-1-1	Applicant's and/or inventor's name:	
l-9-1-1-2	Address:	
I-9-1-1-3	State of nationality:	
1-9-1-1-4	State of residence:	
I-9-1-1-5	This person is:	
I-9-1-2	Data previously on record (in case of a change recorded by the Inte	rnational Bureau under Rule 92 <i>bis</i> ):
I-9-1-2-1	Date of receipt of Rule 92 <i>bis</i> request:	
-9-1-2-1-1	Address:	
I-9-1-2-1-2	State of nationality:	
I-9-1-2-1-3	State of residence:	
I-9-1-2-1-4	This person is:	
I-9-1-3	Indication of the State(s) designated in the international application for the purposes of which the person is an applicant and/or inventor (only where the designated Office is a regional Office):	

Form PCT/IB/399 (sheet 1/3) (June 2003)

## Annex to circular C.PCT 930

# page 2

PCT WO Date of establishment of this IASF:

l-10	The international application contains sequence listings and/or tables filed under Section 801(a) of the Administrative Instructions:	
l-11	The following declaration(s) referred to in Rule 4.17 made for the purposes of the State(s) for which the Office acts as designated Office was (were) contained in the international application as filed or received by the International Burea before the expiration of the time limit under Rule 26 <i>ter</i> .1:	
l-11-1	Declaration(s) as to the identity of the inventor (Rules $4.17(i)$ and $51$ <i>bis.</i> $1(a)(i)$ ):	
l-11-2	Declaration(s) as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent (Rules 4.17(ii) and 51 <i>bis</i> .1(a)(ii)):	
I-11-3	Combined declaration(s) as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent (Rules 4.17(ii) and 51 <i>bis</i> .1(a)(ii)) and as to the identity of the inventor (Rules 4.17(i) and 51 <i>bis</i> .1(a)(i)):	
l-11-4	Declaration(s) as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application (Rules 4.17(iii) and 51 <i>bis</i> .1(a)(iii)):	
l-11-5	Declaration(s) of inventorship (only for the purposes of the designation of the United States of America) (Rules 4.17(iv) and 51 <i>bis</i> .1(a)(iv)):	
l-11-6	Declaration(s) as to non-prejudicial disclosures or exceptions to lack of novelty (Rules 4.17(v) and 51 <i>bis</i> .1(a)(v)):	
II	PRIORITY CLAIM(S)	
ll-1	Number of earlier application:	
ll-1-1	Filing date of earlier application:	
II-1-2	Country in which, or regional Office or receiving Office with which earlier application was filed (where the earlier application is an ARIPO application, indication of the country party to the Paris Convention or Member of the World Trade Organization for which that earlier application was filed):	
II-1-3	Priority document received at the International Bureau on:	
II-1-4	Priority document submitted or transmitted in compliance with Rule 17.1(a) or (b) (only where priority document was received at the International Bureau):	
ll-1-5	Applicant has requested the receiving Office to prepare and transmit the priority document in compliance with Rule 17.1(b) (only where no priority document was received at the International Bureau):	
II-1-6	Priority claim withdrawn by a notice from the applicant (date on which withdrawal became effective; if the notice of withdrawal was received by the International Bureau after completion of technical preparations for international publication, such withdrawal will not have been reflected in the pamphlet) (Rule 90 <i>bis</i> .3):	
ll-1-7	Declaration by the receiving Office or the International Bureau that priority claim is considered, for the purposes of the procedure under the Treaty, not to have been made (date of declaration) (Rule 26 <i>bis</i> .2(b)):	
III	INTERNATIONAL SEARCH REPORT	
-1	International Searching Authority carrying out the international search:	
III-2	International search report or declaration under Article 17(2)(a) received by the International Bureau:	
III-3	Corrected version(s) of the international search report (if any) received by the International Bureau:	

# Annex to circular C.PCT 930

page 3

PCT WO Date of establishment of this IASF:

IV	REFERENCE TO DEPOSITED BIOLOGICAL MATERIAL	
IV-1	Indications relating to deposited biological material were received by the International Bureau (if received after completion of technical preparations for international publication, such reference/indications may not be reflected in the pamphlet) (Rule 13 <i>bis</i> .4(d)(ii)):	
V	INTERNATIONAL PUBLICATION	
V-1	International publication number:	
V-2	International publication date:	
V-3	Language of publication:	
V-4	Number of figure of drawing published together with the abstract:	
V-5	Republication(s) (republication date(s) and reason(s)):	
VI	INTERNATIONAL PRELIMINARY EXAMINATION	
VI-1	A demand electing the State(s) for which the Office acts as an elected Office (or a notice effecting a later election) has been received by the International Preliminary Examining Authority (or, in the case of a notice effecting a later election, by the International Bureau) (where the elected Office is a regional Office, indication of the State(s) elected in respect of which the Office acts as an elected Office):	
VI-2	The election was made before/after the expiration of 19 months from the priority date:	
VI-3	The election or the demand containing the election of the State(s) for which the Office acts as an elected Office has been considered not to have been made or submitted in a declaration made by (the International Bureau/the competent International Preliminary Examining Authority) on (date):	
VI-4	The election or the demand containing the election of the State(s) for which the Office acts as an elected Office has been withdrawn by the applicant (date on which withdrawal became effective being the date of receipt of the notice of withdrawal by the International Bureau) (Rule 90 <i>bis.</i> 4):	
VI-5	International Preliminary Examining Authority carrying out international preliminary examination:	
VI-6	International preliminary examination report received by the International Bureau:	
VI-7	Corrected version(s) of the international preliminary examination report (if any) received by the International Bureau:	

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