

Minimal Specifications (MinSpec) for Electronic PCT Document Exchange

Version 4.8

PCT Technical Cooperation

June 2022

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Version	Issue date	New changes
4.8	June 28, 2022	Addition of document types related to Sequence Listing and translation of Sequence Listing (rdqn, rdql, slts, sltp, slnc, sslt, slvr, ipsl)
		Addition of document types for several IPEA forms (cirr, ccra, cisf)
		Additon of the document type for Power of Attorney under Chapter II (cpoa)
		• Deletion of document type for the full text application body XML document received in an e- filing, for use in search copy transmission (ftappb)
		Deletion of the document type for the full text XML Document for the International Search Report (ftisre)
		Deletion of the document type for the full text XML Document for the Written Opinion of the International Searching Authority (ftwosa)
		 Deletion of the document type for the full text XML Document for the declaration of non- establishment of the International Search Report (PCT Article 17(2)(a) / FORM PCT/ISA/203) (fta17e)
		Deletion of the document type for the full text XML Document for the International preliminary report on patentability (ftiprp)
4.7	December 9, 2021	Annex-Table 1
		Addition of the document type for ePCT Same- day corrections (sdco)
		Addition of the document type for Corrections to Demand (cdc2)
		• Correction of a typo in the document type (xml- receipt) for the efiling receipt issued for transmission evidence (xmre)
		• Deletion of the document type for the translation files for the title and abstract exchanged with translators (ttad)
		Annex-Table 2
		• Deletion of the document type for the document received at the International Bureau in electronic form, forwarded to the recipient in its original electronic format (woar)

I. Document Information

		Deletion of the document type for the translation fragment used by the International Bureau in the translation process (wotf)
4.6	June 10, 2020	Addition of document types related to Third Party Observation and Applicant Observation (apca, apcm, apcp, apct, tpca, tpcm, tpcp, tpct)
		• Addition of document types related to PCT Rule 20.5 and 20.5bis (rcef, rcmp, reop, rief, rimp, slef, slmp)
		Addition of document type for Application to be treated as an IA (Reinstate IA) (unstpp)
		Addition of document type for Request certified copy (Rule 94.1) (rcc94)
		 Addition of document type for Applicant- suggested English Translation of the Title of the Invention (aett)
		 Addition of document type for Applicant Suggested English Terminology (stap)
		Addition of document type for Annex to the International Preliminary Report on Patentability (Chapter 2) (ipra)
		 Addition of document type for Request for Correction or Addition of Indications under Rule 4.11 (papg)
		Addition of document type for Protest Against Invitation to Pay Additional IPEA Fees (paif)
		 Addition of document type for Invitation to pay additional fees in case of later submitted sheets (PCT Rule 40bis.1) (afls)
		 Addition of document type for Other IPEA Related Document (Rule 71.1(b)) (iprd)
		Addition of document type for Chapter II Demand Fee Sheet (cdfs)
		Adding of document type for Decision on Petition (decpe)

For questions and remarks about this document, please send an email to <u>pcticd@wipo.int</u>.

II. Executive summary

The use of this minimal specification provides multiple benefits to the implementing PCT member states or international authorities and to the International Bureau (IB) in terms of data compatibility, reduction of inconsistencies and cost (through reductions in document printing, shipping, storage and disposal).

In addition to <u>PCT-EDI</u> service, the International Bureau has developed secure Web Services to encourage PCT member states to take immediate advantage of machine-to-machine interface through electronic document and data exchange with the International Bureau.

The following requirements were considered during the development of this minimal specification:

- The specification should be sufficiently simple to both permit and encourage its implementation by any PCT member state office or international authority at a minimum cost.
- The specification should be sufficiently flexible to allow the transmission of both scanned documents and electronically authored documents.
- The specification should be "exchange media neutral", e.g. it should make no assumptions as to the media used for electronic exchange.
- The specification should be upward compatible with the new <u>WIPO Standard</u> <u>ST.36</u> standard by using similar document structures.
- The specification should facilitate efficient processing by the PCT Operations division at the International Bureau, reducing the administrative overhead and facilitating the creation of the electronic publication working copy.

Offices are strongly encouraged to transfer documents using <u>Annex F format</u> (compliant with the WIPO Standard ST.36 format) to the International Bureau as a first choice, using unstructured formats only when their internal systems are not yet capable of processing documents fully compliant with WIPO Standard ST.36.

III. Format Specification

The format specification is designed for simplicity and ease of implementation at the lowest possible cost. It consists of six (originally five) basic requirements, based primarily upon existing WIPO standards and guidelines:

1. Supported Document Formats

Each document is represented by a single file.

The following file formats and types are supported by the International Bureau (listed in order of preference):

Format	Description	Extension
PCT Annex F / WIPO Standard ST.36	PCT Annex F / WIPO, Standard ST.36 documents stored as Wrapped Application Documents (WAD)s or Wrapped and Signed Packages (WASP)s as per Annex F of the PCT Administrative Instructions (Primarily PCT E-filing record copies as defined in Annex F of the PCT Administrative Instructions).	.wsp (signed) .wad (unsigned)
Adobe PDF Format	Adobe PDF representations of documents, without encryption or other security protections. PDF-format documents should be in accordance with Annex F of the PCT Administrative Instructions. Fonts should be embedded where possible because where they are not embedded the documents may not be correctly rendered to images (screen, paper, tiff).	.pdf
TIFF image based Documents (normally the result of scanning documents)	A set of black and white (ONE BIT PER PIXEL, not greyscale) TIFF V6, single strip, Intel encoded (little-endian) single A4 pages. Each page is represented by a single file, scanned at 300 dpi resolution and so identified in the TIFF header. Multi- page TIFF files are not supported. The set of document page files is transferred either:	.zip .pdf
	a) zipped into a wrapper file, the order of the pages being obtained by applying an alphabetic sort operation of the single page filenames, (e.g. TIFF page images, numbered 000001.tif – nnnnn.tif) or	
	b) in a PDF format file (TIFF images embedded in PDF)	

Format	Description	Extension
Machine Readable Character- coded data as per Bilateral Agreement	Other electronically authored documents: in certain cases, a specific format may be transmitted under <u>bilateral agreement</u> with the International Bureau (e.g. Microsoft Word 97 and up, non Annex F compliant PDF formats, RTF, etc). These formats are not desirable and can lead to problems for both parties (the IB and the sending RO).	.doc
Sequence Listings	Sequence listings stored in ST.25 format, each contained in a zip file wrapper.	.zip
As-filed packages	A package containing files in any format, "as received" by the sending office, though expected to contain files in the formats mentioned on this page.	.wsp .wad .zip
Pre- conversion packages	A package containing files in any format, though expected to contain files in the formats mentioned on this page.	.wsp .wad .zip

2. Supported Document Types

The document types defined in detail in the Annex are supported.

Any document not exactly corresponding to one of those document types shall be sent with the document type designation of "other". This means that the International Bureau will visually check the document and determine the associated PCT document type, potentially splitting the document into several sub documents or merging it with others, before examining it. The use of the "other" document type should be avoided whenever possible.

The document types were originally directly obtained from the set of electronic filing DTDs published on the WIPO web site (http://www.wipo.int/), and have subsequently been added to as required. This permits electronic documents sent to the International Bureau to be easily transferred later to other parties (Designated Offices, etc.) using WIPO Standard ST.36 data encoding, and allows the offices a migration path to full WIPO Standard ST.36 support.

3. Document Wrapper Format

a. Batch format

The documents are transmitted in batches and stored in a zip file containing folders.

The zip file is named as follows:

RO-{OO}-yyyymmdd-nnnnnn{-ROLE-CODE}.zip

Where:

RO is the WIPO Standard ST.3 code of the transmitting office. Optionally "{OO}" may be included as destination e.g. "US-IB-...".

yyyymmdd is the date of transmission in local office time, not the date that the package was put together (in this case 20070213)

nnnnn is a sequential counter incremented with each wrapper file sent by the office,

And

optionally ROLE-CODE (RO|DO|EO|COR|ISA|SISA|IPEA|IB) may be included to direct the package to the correct operational unit within the office.

The Folders in the zip file are named using the PCT Number, including the 'PCT' prefix (ia_number below) with an optional series number appended to the PCT number:

<ia_number>[-<series-number>] Series number is optional

The naming structure allows for the grouping of documents into business transactions – where a letter is attached to another document etc. Thus in the case where the RO has one or more documents for an IA that has no known grouping they should be sent in a folder simply using the IA number (i.e pctKR2006123456); in the case where there are groups of documents for an IA they should be sent in a folder with the IA Number and a series number (i.e. pctKR2006123456-000001) – if there are 2 groups for an IA then a second folder would be expected (i.e. pctKR2006123456-000002) and if there is a single grouping plus some 'other' unrelated documents then we would expect folders pctKR2006123456 and pctKR2006123456-000001

A CSV file is in the root directory of the zip file (with the same name as the zip file (csv replacing zip).

The image below shows a screen-shot of a package received from US.

File Actions Options Help		<i>~</i>					
🌾 🚫 関 🔚 😭	1	🤕	2				
New Open Favorites Add Extract	Encrypt View	CheckOut W	izard				
Name 💊	Modified	Size	Ratio	Packed	CRC	Attributes	Path
PCTUS2004015763-asfiled-000001-EN-20070208.wad	14/02/07 4:50 PM	150,872	0%	150,841	e2	A	pctus2004015763-000001\
PCTUS2004015910-asfiled-000001-EN-20070208.wad	14/02/07 4:50 PM	73,647	0%	73,662	82	А	pctus2004015910-000001\
PCTUS2005025013-asfiled-000001-EN-20070207.wad	14/02/07 4:53 PM	74,428	0%	74,443	23	А	pctus2005025013-000001\
PCTUS2006006196-asfiled-000001-EN-20070207.wad	14/02/07 4:53 PM	73,802	0%	73,817	5e	А	pctus2006006196-000001\
PCTUS2006047232-reco-000001-EN.zip	14/02/07 5:37 PM	2,760	0%	2,760	cf	А	pctus2006047232\
PCTUS2006047296-reco-000001-EN.zip	14/02/07 5:36 PM	2,731	0%	2,731	cd	А	pctus2006047296\
💭 PCTUS2006047300-reco-000001-EN.zip	14/02/07 5:36 PM	2,845	0%	2,845	d0	А	pctus2006047300\
💭 PCTUS2006047301-reco-000001-EN.zip	14/02/07 5:35 PM	2,872	0%	2,872	10	А	pctus2006047301\
PCTUS2006047302-reco-000001-EN.zip	14/02/07 5:34 PM	2,747	0%	2,747	84	A	pctus2006047302\
PCTUS2006060084-asfiled-000001-EN-20070129.wad	14/02/07 4:47 PM	10,371,151	0%	10,372	Ь2	A	pctus2006060084-000001\
PCTUS2006060084-crsp-000001-EN-20070129.zip	14/02/07 4:47 PM	60,715	0%	60,715	c2	A	pctus2006060084-000001\
PCTUS2006060084-rpdr-000001-EN-20070129.zip	14/02/07 4:47 PM	5,918,881	0%	5,918,	be	A	pctus2006060084-000001\
PCTUS2006060084-xmre-000001-EN-20070129.zip	14/02/07 4:48 PM	44,206	0%	44,206	91	A	pctus2006060084-000001\
PCTUS2006060085-asfiled-000001-EN-20070116.wad	14/02/07 4:45 PM	204,424	0%	204,459	2f	A	pctus2006060085-000001\
PCTUS2006060085-crsp-000001-EN-20070116.zip	14/02/07 4:46 PM	79,818	0%	79,818	d0	A	pctus2006060085-000001\
PCTUS2006060085-xmre-000001-EN-20070116.zip	14/02/07 4:46 PM	34,429	0%	34,429	e4	A	pctus2006060085-000001\
PCTUS2006060090-asfiled-000001-EN-20070206.wad	14/02/07 4:44 PM	335,132	0%	335,181	Ь7	A	pctus2006060090-000001\
PCTUS2006060090-crsp-000001-EN-20070206.zip	14/02/07 4:44 PM	25,042	0%	25,042	15	A	pctus2006060090-000001\
PCTUS2006060090-rpqu-000001-EN-20070206.zip	14/02/07 4:44 PM	127,888	0%	127,888	94	A	pctus2006060090-000001\
PCTUS2006060090-xmre-000001-EN-20070206.zip	14/02/07 4:45 PM	46,648	0%	46,648	d7	A	pctus2006060090-000001\
PCTUS2006060093-abst-000001-EN-20070110.zip	14/02/07 4:42 PM	13,235	0%	13,235	6d	A	pctus2006060093-000001\
PCTUS2006060093-asfiled-000001-EN-20071010.wad	14/02/07 4:42 PM	202,957	0%	202,962	21	A	pctus2006060093-000001\
PCTUS2006060093-crsp-000001-EN-20070110.zip	14/02/07 4:42 PM	11,610	0%	11,610	a7	А	pctus2006060093-000001\
PCTUS2006060093-rpqu-000001-EN-20070110.zip	14/02/07 4:43 PM	99,608	0%	99,608	db	A	pctus2006060093-000001\
PCTUS2006060093-xmre-000001-EN-20070110.zip	14/02/07 4:43 PM	37,472	0%	37,472	c5	A	pctus2006060093-000001\
PCTUS2006060802-reco-000001-EN.zip	14/02/07 5:34 PM	3,984,533	0%	3,984,	84	А	pctus2006060802\
PCTUS2006061541-reco-000001-EN.zip	14/02/07 5:33 PM	2,920,441	0%	2,920,	47	A	pctus2006061541\
PCTUS2006062233-reco-000001-EN.zip	14/02/07 5:32 PM	7,258,849	0%	7,258,	ab	A	pctus2006062233\
PCTUS2006062234-reco-000001-EN.zip	14/02/07 5:31 PM	3,002,723	0%	3,002,	39	А	pctus2006062234\
PCTUS2006062424-reco-000001-EN.zip	14/02/07 5:31 PM	2,581,354	0%	2,581,	44	А	pctus2006062424\
US-20070213-000001.csv	14/02/07 5:26 PM	9,098	92%	714	Ыf	А	

b. Document Packaging for Scanned record copies

For scanned record copies, the collection of sub-documents, such as abstract or claims etc, may optionally be gathered together into a single zip file (see screenshot below) as an indication of the integrity of the record copy that is inserted into the batch transfer package to be sent by an office; alternatively the record copy sub-documents may simply be sent in their individual zip files.

In EITHER case, all the contained documents must be named according to the minimum-specification [this document].

ile Actions	Options	Help									
				(A)	(A)		1	à 🍊	1		
New		Favorites	Add	Sector and		Uiauu	Check	ല 🛁 Out Wiz			
New	Open	Favorites	Add	Extract	Encrypt	View	Спеск	.Out wiz	aru		
lame 🔺					Туре	Modified		Size	Ratio	Packed	Path
👤 PCTUS20(06060101-a	abst-000001-E	N-20061020).zip	WinZip File	02/02/20	07	22,034	0%	22,034	
DCTUS20	06060101-a	asfiled-000001	-EN-200610	20.wad	WAD File	21/12/20	06	1,179,	0%	1,179	
PCTUS20	06060101-b	biblio-000001-l	EN-2006102	8.zip	WinZip File	20/04/20	09	2,811	0%	2,811	
🖳 PCTUS200	06060101-c	lms-000001-E	N-20061020	l.zip	WinZip File	02/02/20	07	118,876	0%	118,876	
👤 PCTUS20(06060101-c	rsp-000001-E	N-20061020	l.zip	WinZip File	02/02/20	07	50,710	0%	50,710	
👤 PCTUS20(06060101-c	lesc-000001-E	N-20061020).zip	WinZip File	02/02/20	07	364,816	0%	364,816	
👤 PCTUS20(06060101-c	lraw-000001-l	EN-2006102	0.zip	WinZip File	02/02/20	07	23,603	0%	23,603	
👤 PCTUS20(06060101-f	ecs-000001-E	N-20061020	I.zip	WinZip File	02/02/20	07	35,859	0%	35,859	
🗕 PCTUS20(06060101-f	ees-000001-E	N-20061020).zip	WinZip File	02/02/20	07	16,003	0%	16,003	
👤 PCTUS20(06060101-i:	sa202-000001	-EN-200610	25.zip	WinZip File	02/02/20	07	38,348	0%	38,348	
🗕 PCTUS20(06060101-p	oat-000001-E	N-20061020).zip	WinZip File	02/02/20	07	86,970	0%	86,970	
PCTUS20	06060101-p	oat-000002-8	N-20061020).zip	WinZip File	02/02/20	07	86,970	0%	86,970	
PCTUS20	06060101-r	equ-000001-E	N-20061020).zip	WinZip File	02/02/20	07	185,552	0%	185,552	
PCTUS20	06060101-r	o102-000001	EN-2006102	25.zip	WinZip File	02/02/20	07	76,685	0%	76,685	
PCTUS20	06060101-r	o105-000001	EN-2006102	25.zip	WinZip File	02/02/20	07	45,570	0%	45,570	
PCTUS20	06060101->	mre-000001-l	EN-2006102	0.zip	WinZip File	02/02/20	07	49,614	0%	49,614	
l l											
ected 0 files, 0 bytes Total 16 files, 2,329KB											

It should be noted that

- not all the contents, in the example above, have the same type of date specified by the last field value, thus the differing values above (defined by document type in the table in the Annex);
- all the files included in the package, with the exception of the 'biblio' and 'asfiled' files may be included as ZIP or PDF format files that contain TIFF images;
- the 'biblio' file must always be a ZIP file containing XML content files

and

• the 'asfiled' package is optional and must be supplied as a WAD format file (where the scanned documents were submitted in electronic form to the receiving Office)

The following example shows the same application structure as above, having some of the files in PDF format:

File Actions		06060101-re Help								
٥			(2)	¢	1	9			ð	
New	Open	Favorites	Add	Extract	: Encrypt	View	Check	Out Wiz	aro	
Name 🔺					Туре	Modified		Size	Ratio	Packed
🖳 PCTUS20	06060101-0	abst-000001-E	N-20061020	D.zip	WinZip File	02/02/20)07	22,034	0%	22,034
🔟 PCTUS20	06060101-0	asfiled-000001	-EN-200610)20.wad	WAD File	21/12/20)06	1,179,	0%	1,179
🔵 PCTUS20	06060101-b	biblio-000001-l	EN-2006102	:8.zip	WinZip File	20/04/20	90	2,811	0%	2,811
👤 PCTUS20	D6060101-0	:lms-000001-E	N-20061020).zip	WinZip File	02/02/20)07	118,876	0%	118,876
🔁 PCTUS20	06060101-d	rsp-000001-E	N-20061020).pdf	Adobe Acro	02/02/20)07	50,710	0%	50,531
🗐 PCTUS20	06060101-0	desc-000001-E	N-2006102	0.zip	WinZip File	02/02/20)07	364,816	0%	364,816
🗐 PCTUS20	06060101-0	draw-000001-l	EN-2006102	:0.zip	WinZip File	02/02/20	07	23,603	0%	23,603
🗐 PCTUS20	06060101-f	ecs-000001-E	N-20061020).zip	WinZip File	02/02/20)07	35,859	0%	35,859
PCTUS20	06060101-f	ees-000001-E	N-20061020	D.zip	WinZip File	02/02/20	07	16,003	0%	16,003
PCTUS20	06060101-i	sa202-000001	-EN-200610)25.pdf	Adobe Acro	02/02/20	07	38,348	0%	38,170
PCTUS200	D6060101-p		N-2006102	0.zip	WinZip File	02/02/20)07	86,970	0%	86,970
PCTUS20	D6060101-p		N-2006102	0.pdf	Adobe Acro	02/02/20)07	86,970	1%	86,386
PCTUS20	D6060101-r	equ-000001-E	N-2006102	0.zip	WinZip File	02/02/20)07	185,552	0%	185,552
	06060101-r	0102-000001-	EN-200610	25.pdf	Adobe Acro	02/02/20)07	76,685	0%	76,403
-		o105-000001		•	Adobe Acro	02/02/20		45,570	0%	45,395
		(mre-000001-l			Adobe Acro	02/02/20		49,614	1%	48,991
(
elected 0 file	s. 0 hvtes			Т	otal 16 files, 2,32	9KB				

The 'biblio' file included in the above example must contain all of the XML and text format contents shown in the screen-shot below ('rrri', 'requ', 'fecs', 'abstract'):

🗐 WinZip	- PCTU5200	6060101-b	iblio-00000	1-EN-200	061028.zip					_	. 🗆 🗵
File Action	ns Options	Help									
6		1	(2)	(1	@	6	6	ð		
New	Open	Favorites	Add	Extract	Encrypt	View	CheckOut	: Wiz	ard		
Name 🔻					Туре	Modified		Size	Ratio	Packed	Path
PCTUS2	2006060101-r	rri-000001-EM	V-20061020.;	xml	XML Document	21/12/20	06	556	45%	306	
PCTUS2	2006060101-r	equ-000002-l	EN-20061020).×ml	XML Document	21/12/20	06	3,520	64%	1,256	
PCTUS2	2006060101-f	ecs-000002-B	N-20061020	.xml	XML Document	21/12/20	06	1,633	61%	641	
🗐 🖲 Abstrac	t.txt			Text Document	20/04/20	09	0	0%	0		
											Þ
Selected 0 f	iles, 0 bytes				Total 4 files, 6K	В				0	

4. Document Wrapper Naming Convention

The name of a wrapper file is composed of either five or six consecutive parts separated by dashes (using an extended version of the Annex F File Naming Conventions; excluding the extension and including the optional part which may be empty):

- The PCT number including the prefix 'PCT'.
- The document type code in lower case (see the annex for the document types)
- A numeric string NNNNNN to make the filename unique within its directory NNNNNN is a number right justified and padded with leading zeros
- The upper case ISO639 code representing the language of the document or XX if unknown
- Any optional additional bibliographic data information (format depending on the document type, see Annex)
- An optional document status code "-corrected" to be used where a corrected version document previously supplied is furnished (corrected in business terms).
- The appropriate file extension.

Note that for characters uppercase is preferred for readability, but the EDI service is character case independent for file and folder names.

Refer to the table in the Annex for examples.

5. Document Index Data

The transmitted documents are accompanied by an index file in Comma Separated Value (csv) format. This index file is saved under the level 1 directory. Its name is composed of the level 1 directory name with the extension .csv. The CSV file contains an entry for each transmitted document, with the first column containing the PCT number (and the optional sequence number i.e it is the folder name within the zip file) and the second column the name of the document file. This index allows confirmation that no documents were lost in the document's processing path.

Example of .CSV file:

Microsoft Excel - KR-20		
	ert Format Iools Data Window Help Type a question for help	
🗋 🛃 🙄 Arial	• 10 • B I U 書 書 臺 🛒 % , 🐭 🕮 譯 律 🖽 •	🔌 - 🗛 -
🖕 🗄 📩 🐨 🖾 👘 🖄 🕯	🌀 🏷 📄 🍓 📭 💖 Reply with Changes End Review 📳	
A1 -	∱ KR2005002476	
A	B	С
KR2005002476	PCTKR2005002476-tapp-000001-EN-20050829.wsp	<u> </u>
2 KR2005002692	PCTKR2005002692-tapp-000002-EN-20051014.wsp	
KR2005003539	PCTKR2005003539-pdoc-000100-EN-KR-20050622-1020050053771-20051024.wad	
4 KR2006005414	PCTKR2006005414-tapp-001605-EN-20070515.wsp	
KR2006005766	PCTKR2006005766-tapp-001606-EN-20070510.wsp	
6 KR2007000926	PCTKR2007000926-tapp-000001-EN-20070628.wsp	
7 KR2007001030	PCTKR2007001030-tapp-001607-EN-20070511.wsp	
3 KR2007001632	PCTKR2007001632-tapp-000002-EN-20070627.wsp	
KR2007001736	PCTKR2007001736-tapp-000003-EN-20070626.wsp	
0 KR2007001864	PCTKR2007001864-tapp-000004-EN-20070627.wsp	
1 KR2007002059	PCTKR2007002059-tapp-000005-EN-20070626.wsp	
2 KR2007002063	PCTKR2007002063-tapp-000006-EN-20070626.wsp	
3 KR2007002074	PCTKR2007002074-tapp-000007-EN-20070627.wsp	
4 KR2007002095	PCTKR2007002095-tapp-000008-EN-20070626.wsp	
5 KR2007002101	PCTKR2007002101-tapp-000009-EN-20070627.wsp	
6 KR2007002162	PCTKR2007002162-tapp-000010-EN-20070626.wsp	
7 KR2007004240	PCTKR2007004240-tapp-000001-EN-20071002.wsp	
8 KR2007004617	PCTKR2007004617-tapp-000001-EN-20071024.wsp	
9 KR2007004963	PCTKR2007004963-tapp-000001-EN-20071121.wsp	
0 KR2007005201	PCTKR2007005201-tapp-000001-EN-20071130.wsp	
1 KR2007005237	PCTKR2007005237-reco-005536-KO.wsp	
2 KR2007005271	PCTKR2007005271-pdoc-009248-EN-KR-20061025-1020060104007-20071122.wad	
3 KR2007005297	PCTKR2007005297-tapp-000001-EN-20071126.wsp	
4 KR2007005502	PCTKR2007005502-tapp-000001-EN-20071129.wsp	
5 KR2007005504	PCTKR2007005504-tapp-000001-EN-20071129.wsp	
6 KR2007005512	PCTKR2007005512-pdoc-009249-EN-KR-20070109-1020070002614-20071128.wad	
7 KR2007005513	PCTKR2007005513-pdoc-009250-EN-KR-20071030-1020070109275-20071128.wad	
8 KR2007005646	PCTKR2007005646-tapp-000001-EN-20071204.wsp	
9 KR2007005855	PCTKR2007005855-reco-005537-KO.wsp	
0 KR2007005980	PCTKR2007005980-reco-005590-EN.wsp	
1 KR2007006081	PCTKR2007006081-pdoc-000001-EN-KR-20061201-1020060120604-20071129.wad	
2 KR2007006084	PCTKR2007006084-pdoc-000002-EN-KR-20061201-1020060120479-20071129.wad	
3 KR2007006085	PCTKR2007006085-pdoc-000003-EN-KR-20061130-1020060120190-20071130.wad	
4 KR2007006091	PCTKR2007006091-pdoc-000004-EN-KR-20061129-1020060119271-20071129.wad	
5 KR2007006094	PCTKR2007006094-pdoc-000005-EN-KR-20070420-1020070038791-20071129 wad	
♦ ► ► ► Sheet1 (Sheet	2 / Sheet3 /	Þ

6. Exceptional Document Uploads

This rule is applicable for documents satisfying the conditions below:

- Record copy filings subject to national security concerns
- Very large documents (e.g 1 gigabyte in size)

These document uploads require special handling and the provisions for their handling are as follows:

(a) Record copy filings subject to national security concerns

The security statement should be uploaded as part of a normal package with the document type 'secu' as specified in the Annex.

(b) Very large documents

either

Create a special batch containing only the very large document (or documents as appropriate;

or

Send individual files to the IB on DVD with an appropriate covering letter.

Name each file in accordance with Rule 2

Do package the documents in accordance with Rule 3.

IV. ANNEX

Table 1 below lists codes for use in transmissions; table 2 lists reserved codes in use at the IB not expected to be used in Office to Office transmissions; table 3 lists codes to be used for transmitting bulk data files related to more than one international application.

Please note that all dates follow the YYYYMMDD format.

Document Type	Code	Description (E-Filing Tag name)	Wrapper file naming convention
abstract	abst	Abstract of the	Additional Biblio Data:
		application (part of the application body)	Date of receipt at transmitting office
			e.g.:
			PCTUS2004005602-abst-000001-EN- 20050531.zip
amend-claims	amcl	Amended claims under	Additional Biblio Data:
		Article 19, normally expected from the	Date of receipt at transmitting office
		Applicant	e.g.:
			PCTUS2004005602-amcl-000001-EN- 20050531.wad
amend-	amst	Statement describing	Additional Biblio Data:
statement		the amendment of the claims (PCT Article 19.1, PCT Rule 46.4).	Date of receipt at transmitting office
			e.g.:
		Normally expected from the Applicant	PCTUS2004005602-amst-000001-EN- 20050531.zip
amend-cover-	laam	Article 34 amendment	Additional Biblio Data:
letter		cover letter	Date of receipt at transmitting office
			e.g.:
			PCTUS2004005602-laam-000001-EN- 20050531.zip
amend-	am34	Article 34 amendment	Additional Biblio Data:
article34			Date of receipt at transmitting office
			e.g.:
			PCTUS2004005602-am34-000001-EN- 20050531.zip
amend-	amtr	Translation of	Additional Biblio Data:
translation- article19		amendments under Article 19	Date of receipt at transmitting office
			e.g.:
			PCTUS2004005602-amtr-000001-JA- 20050531.zip

1. Table 1 - document codes and naming examples.

amend-	omotr	Translation of	Additional Biblio Data:
statement-	atement- statement under		
translation			Date of receipt at transmitting office
			e.g.:
			PCTUS2004005602-amstr-000001-JA- 20050531.zip
R0117-	c117	RO Form	Additional Biblio Data:
cancelled		PCT/RO/117 cancelled	Date of receipt at transmitting office
		cancenea	e.g.:
			PCTUS2004005602-c117-000001-JA- 20050531.zip
amend-	laac	Article 19 amendment	Additional Biblio Data:
accompanyin g-letter		cover letter	Date of receipt at transmitting office
-			e.g.:
			PCTUS2004005602-laac-000001-EN- 20050531.zip
applicant-	rlpc	Applicant's request for	Additional Biblio Data:
request-for- publication-of-		publication of late furnished	Date of receipt at transmitting office
late-correction		correction/addition of	e.g.:
		priority claim (Rule 26bis.2(e)). Normally expected from the Applicant	PCTUS2004005602-rlpc-000001-EN- 20050531.zip
applicant-	rppc	Applicant's request for	Additional Biblio Data:
request-for- publication-		publication of info relating to priority claim	Date of receipt at transmitting office
relating-to-		declared void,	e.g.:
pdoc- discrepancy- or-void		discrepancy with priority document etc (Rule 26bis.2(d)). Normally expected from the Applicant	PCTUS2004005602-rppc-000001-EN- 20050531.zip

applicant- request-for- publication- where- retuffication- refusedaprApplicant request under Rule 91.3(d) to publish information concerning the request for the rectification of an obvious mistake where the International Searching Authority has refused to authorize the rectification. Normally expected from the Applicant's "Statement-of- reasonsAdditional Biblio Data: Date of receipt at transmitting office e.g.: PCTUS2004005602-aprr-000001-EN- 20050531.zipapplicants- statement-of- reasonsstprApplicant's "Statement of Reasons" (may include Applicant's include Applicant's "Greasons" (may include Applicant's equest for restoration of priority rights) (Rule 26bis.3)Additional Biblio Data: Date of receipt at transmitting office e.g.: PCTUS2004005602-stpr-000001-EN- 2005031.zipApplicant- suggested English terminologyaett Applicant-suggested English terminologyAdditional Biblio Data: Date of receipt at transmitting office e.g.: PCTUS2004005602-stpr-000001-EN- 2005031.zipApplicant- suggested English terminologyaett Applicant-suggested English Translation of the Title of the Stract, description, claims and drawingsAdditional Biblio Data: Date of receipt at transmitting office e.g.: PCTUS2004005602-aett-000001-EN- 2005031.zipapplication- body- documentappb Application body document drawingsApplication body document containing abstract, description, claims and drawingsAdditional Biblio Data: Date of receipt at transmitting office				
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request-for- restoration-		request for restoration of right of priority (Rule	Date of receipt at transmitting office e.g.:
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	ewos	establishment of International Search Report under Article 17.2(a) from the ISA, normally this translation is in English or the language of filingisrtEnglish translation of the International Search ReportewosEnglish translation of the Written Opinion by International Search AuthorityebgpDeclaration of entitlement-to-be- granted-patent under Rule 4.17(ii)etcpDeclaration of entitlement to claim- priority under Rule

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ex-officio-	exoc	Ex-officio correction to	Additional Biblio Data:
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patent		granted a patent under	PCTUS2004005602-ideb-000001-EN- 20040808.zip
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establishment -of –		International Search Report under Article	ISA (country code)
international- search-report		17.2(a) from the ISA	Date of mailing of the Article 17.2a to the Applicant
			e.g.:
			PCTUS2004005602-a17e-000001-EN- US-20040810.zip
Other IPEA	iprd	Other IPEA Related	Additional Biblio Data:
Related Document		Document (Rule 71.1(b))	Date of receipt at transmitting office
(Rule 71.1(b))			e.g.:
			PCTUS2004005602-iprd-000001-EN- 20050531.zip
pdoc	pdoc	Priority document	Additional Biblio Data:
		(including certification sheet)	Country of the Priority Document
			Date of filing of the Priority Document
			(XXXXXXXX if unknown)
			Serial number of the Priority document
			(XXXXX if unknown) if it contains "/" characters, replace by @, if it contains "\" characters replace by #, if it contains "-" characters, replace by "^"
			IF under Rule 17.1(a) Date of Receipt from applicant otherwise XXXXXXXX
			e.g.:
			PCTUS2004005602-pdoc-000001-EN- US-20040104-60@127,333- XXXXXXXX.zip
power-of-	poat	Power of attorney document, including general power of attorney	Additional Biblio Data:
attorney			Date of receipt at transmitting office
			e.g.:
			PCTUS2004005602-poat-000001-EN- 20040131.pdf

attorney (Chapter II)		Power of Attorney (Chapter II)	Additional Biblio Data: Date of receipt at transmitting office e.g.: PCTUS2004005602-cpoa-000001-EN-
(Chapter II)			e.g.: PCTUS2004005602-cpoa-000001-EN-
nro da	ocf		PCTUS2004005602-cpoa-000001-EN-
nro da	ocf		
nro da	ocf		20050531.zip
		Files forming part of the as-received package created by the filing	Additional Biblio Data:
conversion- files			Date of receipt at transmitting office
		software being furnished	e.g.:
	as reference copies of the original (e.g. word documents for storage (only) in the official file for later comparison with any conversions of the original)	PCTUS2004005602-dpcf-000001-EN- 20040131.zip	
		Protest regarding unity	Additional Biblio Data:
of-invention		of invention	Date of receipt at transmitting office
			e.g.:
			PCTUS2004005602-puiv-000001-EN- 20040131.zip
		Protest Against	Additional Biblio Data:
Against Invitation to		Invitation to Pay Additional IPEA Fees	Date of receipt at transmitting office
Рау			e.g.:
Additional IPEA Fees			PCTUS2004005602-paif-000001-EN- 20050531.zip
		Purported sequence listing (not in compliance with ST.26)	Additional Biblio Data:
sequence- listing (not in			Date of receipt at transmitting office
compliance			e.g.:
with ST.26)			PCTUS2004005602-slnc-000001-EN- 20050531.zip
		Quality feedback to ISA.	Additional Biblio Data:
feedback-to- ISA		documents which will be uploaded by PCT-EDI from designated (or elected) Offices and then e-mailed to contact points in the relevant Office	Date of receipt at transmitting office
			e.g.:
			PCTUS2004005602-qisa-000001-EN- 20040131.pdf

quality- feedback-to-	qipe	Quality feedback to IPEA. documents which	Additional Biblio Data:
IPEA		will be uploaded by PCT-EDI from designated (or elected) Offices and then e- mailed to contact points in the relevant Office	Date of receipt at transmitting office
			e.g.:
			PCTUS2004005602-qipe-000001-EN- 20040131.pdf
quality-	qsis	Quality feedback to	Additional Biblio Data:
feedback–to- SISA		SISA . documents which will be uploaded by PCT-EDI from	Date of receipt at transmitting office
			e.g.:
		designated (or elected) Offices and then e- mailed to contact points in the relevant Office	PCTUS2004005602-qsis-000001-EN- 20040131.pdf
record-copy	reco	Record copy of an	Additional Biblio Data:
		International Application being transmitted by the Receiving Office to the International Bureau	Optional filing method for Paper and Easy scanned record copies (for E- Filings this entry should not be present)
			e.g.:
			PCTUS2004005602-reco-000001- EN.wsp (E-Filing)
			PCTUS2004005602-reco-000001-EN- EASYF.wad (Easy)
			PCTUS2004005602-reco-000001-EN- PAPER.wad (Paper filing)
record-copy-	reco194	Record copy being	Additional Biblio Data:
19.4		transmitted to RO/IB under Rule 19.4	Optional filing method for Paper and Easy scanned record copies (for E- Filings this entry should not be present)
			e.g.:
			PCTUS2004005602-reco194-000001- EN.wsp (E-Filing)
			PCTUS2004005602-reco194-000001- EN-EASYF.wad (Easy)
			PCTUS2004005602-reco194-000001- EN-PAPER.wad (Paper filing)
rectification-	rreq	rreq Request for rectification under rule 91.2. The letter from the applicant plus the rectifications themselves	Additional Biblio Data:
request			Date of receipt at transmitting office
			e.g.:
			PCTUS2004005602-rreq-000001-EN- 20050624.zip

rectified-	rcql	Rectified versions of the sequence listing part of the application under	Additional Biblio Data:
sequence-list			Date of receipt at transmitting office
		Rule 91 (from the ISA)	e.g.:
			PCTUS2004005602-rcql-000001-EN- 20050624.zip
rectified-	rcqn	Rectified versions of the	Additional Biblio Data:
sequence-list- 13ter		sequence listing not part of IA filing (e.g. listing only for the purposes of	Date of receipt at transmitting office
			e.g.:
		international search or supplementary search) (initially coded as issq)	PCTUS2004005602-rcqn-000001-EN- 20050624.zip
corrected-	ccql	Corrected versions of	Additional Biblio Data:
sequence-list		the sequence listing part of the application under	Date of receipt at transmitting office
		Rule 26 (from the RO)	e.g.:
			PCTUS2004005602-ccql-000001-EN- 20050624.zip
corrected-	ccqn	Corrected versions of	Additional Biblio Data:
sequence-list- 13ter		the sequence listing not part of IA filing (e.g. listing only for the purposes of international search or supplementary search) (initially coded as issq) (from the RO)	Date of receipt at transmitting office
			e.g.:
			PCTUS2004005602-ccqn-000001-EN- 20050624.zip
rectified-	rcab	Rectified sheets for the	Additional Biblio Data:
sheets- abstract		abstract under Rule 91 (from the ISA)	Date of receipt at transmitting office
		(e.g.:
			PCTUS2004005602-rcab-000001-EN- 20050624.zip
rectified-	rccl	Rectified sheets for the	Additional Biblio Data:
sheets-claims		claims under Rule 91 (from the ISA)	Date of receipt at transmitting office
			e.g.:
			PCTUS2004005602-rccl-000001-EN- 20050624.zip
rectified-	rcde	Rectified sheets for the	Additional Biblio Data:
sheets- description		description under Rule 91 (from the ISA)	Date of receipt at transmitting office
			e.g.:
			US2004005602-rcde-000001-EN- 20050624.zip
<u>.</u>			

	rcdr	Rectified sheets for the drawings under Rule 91 (from the ISA)	Additional Biblio Data:
sheets- drawings			Date of receipt at transmitting office
			e.g.:
			PCTUS2004005602-rcdr-000001-EN- 20050624.zip
	rcqu	Rectified sheets for the	Additional Biblio Data:
sheets- request		request under Rule 91 (from the RO)	Date of receipt at transmitting office
••••		()	e.g.:
			PCTUS2004005602-rcqu-000001-EN- 20050624.zip
	rcot	Rectified sheets of	Additional Biblio Data:
sheets-other		indeterminate type under Rule 91 (from the	Date of receipt at transmitting office
		RO or ISA)	e.g.:
			PCTUS2004005602-rcot-000001-EN- 20050624.zip
	reop	Removed element or part of the international application (PCT Rule 20.5bis (b) and (c))	Additional Biblio Data:
element or part of the			Date of receipt at transmitting office
international			e.g.:
application (PCT Rule 20.5bis (b)			PCTUS2004005602-reop-000001-EN- 20050531.zip
and (c))			
replacement r sheets-	rpab	Replacement sheets for the abstract under Rule 26 (from the RO)	Additional Biblio Data:
abstract			Date of receipt at transmitting office
			e.g.:
			PCTUS2004005602-rpab-000001-EN- 20040120.zip
	rpcl	Replacement sheets for the claims under Rule 26 (from the RO)	Additional Biblio Data:
sheets-claims			Date of receipt at transmitting office
			e.g.:

replacement sheets-	rpde Replacement sheets for the description under Rule 26 (from the RO)		Additional Biblio Data:
description			Date of receipt at transmitting office
			e.g.:
			PCTUS2004005602-rpde-000001-EN- 20050624.zip
replacement	rpdr	Replacement sheets for	Additional Biblio Data:
sheets- drawings		the drawings under Rule 26 (from the RO)	Date of receipt at transmitting office
0			e.g.:
			PCTUS2004005602-rpdr-000001-EN- 20050624.zip
replacement	rpot	Replacement sheets of	Additional Biblio Data:
sheets-other		indeterminate type under	Date of receipt at transmitting office
		Rule 26 (from the RO)	e.g.:
			PCTUS2004005602-rpot-000001-EN- 20050624.zip
replacement sheets- request	rpqu	Replacement sheets for the request under Rule 26 (from the RO)	Additional Biblio Data:
			Date of receipt at transmitting office
			e.g.:
			PCTUS2004005602-rpqu-000001-EN- 20050624.zip
replacement- sequence-list	rpql	Replacement sequence listing part of the application under Rule 26 (from the RO)	Additional Biblio Data:
			Date of receipt at transmitting office
			e.g.:
			PCTUS2004005602-rpql-000001-EN- 20050624.zip
request	requ	RO/101 request form	Additional Biblio Data:
			Date of receipt at transmitting office
			e.g.:
			PCTUS2004005602-requ-000001-EN- 20050624.zip
Request	rcc94	Request for certified copies of documents in an application under Rule 94.1	Additional Biblio Data:
certified copy (Rule 94.1)			Date of receipt at transmitting office
			e.g.:
			PCTUS2004005602-rcc94-000001-EN- 20050531.zip

Request-for-	rfep	Request from the	Additional Biblio Data:
early- publication		applicant for Early publication of an	Date of receipt at transmitting office
-		application	e.g.:
			PCTUS2004005602-rfep-000001-EN- 20050624.zip
Request for	rcef	Request for correction of	Additional Biblio Data:
correction of erroneously		erroneously filed elements or parts under	Date of receipt at transmitting office
filed elements		Rule 20.5bis	e.g.:
or parts (Rule 20.5bis)			PCTUS2004005602-rcef-000001-EN- 20050531.zip
Request for	rcmp	Request for correction of	Additional Biblio Data:
correction of missing parts		missing parts under Rule 20.5	Date of receipt at transmitting office
(Rule 20.5)			e.g.:
			PCTUS2004005602-rcmp-000001-EN- 20050531.zip
Request for Correction or Addition of Indications under Rule 4.11	papg	Request for Correction or Addition of Indications under Rule 4.11	Additional Biblio Data:
			Date of receipt at transmitting office
			e.g.:
			PCTUS2004005602-papg-000001-EN- 20050531.zip
Request to	restore the in international er filing date - el erroneously R filed elements or parts (Rule	Request to restore the international filing date - erroneously filed elements or parts under Rule 20.5bis	Additional Biblio Data:
restore the international			Date of receipt at transmitting office
filing date -			e.g.:
filed elements or parts (Rule 20.5bis)			PCTUS2004005602-rief-000001-EN- 20050531.zip
Request to	rimp	Request to restore the international filing date - missing parts (Rule 20.5)	Additional Biblio Data:
restore the international			Date of receipt at transmitting office
filing date -			e.g.:
missing parts (Rule 20.5)		PCTUS2004005602-rimp-000001-EN- 20050531.zip	

ro-forms		RO Forms. Where general correspondence is machine generated the RO may use the form number to generate the type code e.g for RO form 106 use ro106. Note these codes must only be used for forms not listed elsewhere in this document such as the record copy (or arom, secu etc.)	Additional Biblio Data:
			Date of mailing of the form
			e.g.:
			PCTUS2004005602-ro106-000001-EN- 20050624.zip
results-of- earlier-search	resr	Results of earlier search	Additional Biblio Data:
earner-search			Date of receipt at transmitting office
			e.g.:
			PCTUS2004005602-resr-000001-EN- 20050624.zip
results-of-	resp	search reports transmitted under PCT Rule 23bis.2 – results of earlier search of priority application	Additional Biblio Data:
earlier- search-of-			Date of receipt at transmitting office
priority- application			e.g.:
application			PCTUS2004005602-resp-000001-EN- 20050624.zip
written-	oesp	written opinions	Additional Biblio Data:
opinion-of- earlier-		transmitted under PCT Rule 23bis.2 – written	Date of receipt at transmitting office
search-		opinion of earlier search priority application	e.g.:
priority- application			PCTUS2004005602-oesp-000001-EN- 20050624.zip
annex-to-	aesp	annexes, such as patent	Additional Biblio Data:
earlier- search-of-		family or search strategy, to reports	Date of receipt at transmitting office
priority-		transmitted under PCT	e.g.:
application	Rule 23bis.2 – annex to earlier search of priority application	PCTUS2004005602-aesp-000001-EN- 20050624.zip	
classification-	cesp	page image based	Additional Biblio Data:
of-earlier- search-of-		documents containing classification information for the priority	Date of receipt at transmitting office
priority-			e.g.:
application	application other than as part of a search report - classification of earlier search of priority application	PCTUS2004005602-cesp-000001-EN- 20050624.zip	

74741			
XML- classification-	tion- containing c codes other	machine readable files containing classification	Additional Biblio Data:
of-earlier-		codes other than as part	Date of receipt at transmitting office
search-of- priority-		of the search report – XML classification of earlier search of priority application (for inclusion in the biblio file)	e.g.:
application			PCTUS2004005602-xesp-000001-EN- 20050624.zip
rule-92-bis-	r92b	Rule 92 bis changes	Additional Biblio Data:
changes			Date of receipt at transmitting office
			e.g.:
			PCTUS2004005602-r92b-000001-EN- 20050624.zip
rule-92-bis-	r92m	Rule 92 bis changes	Additional Biblio Data:
changes (multiple)		that affect more than one IA	Date of receipt at transmitting office
· · · /			e.g.:
			PCTUS2004005602-r92m-000001- EN-20050624.zip
request-for-	rqom	Request for omission	Additional Biblio Data:
omission	(R.48.2(I)&94.1(e)) Request for omission of information from international publication and/or public file access		Date of receipt at transmitting office
		information from	e.g.:
		PCTUS2004005602-rqom-000001-EN- 20050624.wad	
ePCT-same-	sdco	sdco ePCT Same-day corrections	Additional Biblio Data:
day- corrections			Date of receipt at transmitting office
			e.g.:
			PCTUS2004005602-sdco-000001-EN- 20050624.zip
search-copy	isco	Search copy of	Additional Biblio Data:
		International Application	International Filing Date
			e.g.:
			PCTUS2004005602-isco-000001-EN- 20050624.wad
search-report	isre		Additional Biblio Data:
		Report	ISA (country code)
			Date of mailing of the search report to the Applicant
			e.g.:
			PCTUS2004005602-isre-000001-EN- US-20040810.zip

search-strategy	ssgy	Search Strategy document received from the searching Office	Additional Biblio Data:
			Date of the search
			e.g.: PCTUS2004005602-ssgy-000001- EN-20120131.zip
search-strategy chapter-2	ssg2	Search Strategy	Additional Biblio Data:
chapter-2		document received from the searching Office	Date of the search
		(IPEA) never to be made available on patentscope	e.g.: PCTUS2004005602-ssg2-000001- EN-20120131.zip
security- statement	secu	Statement from RO	Additional Biblio Data:
Statement		indicating that the International Application	None
		is pending security clearance	e.g.:
		clearance	PCTUS2004005602-secu-000001- en.zip
sequence-list	seql	Sequence listing part of	Additional Biblio Data:
		the application	Date of receipt at transmitting office
			e.g.:
			PCTUS2004005602-seql-000001-en- 20040810.zip
sequence-list-	seqi	Information sheet relating to the sequence listing part of the application	Additional Biblio Data:
information- sheet			Date of receipt at transmitting office
			e.g.:
			PCTUS2004005602-seqi-000001-en- 20040810.zip
sequence listing-later submitted	sImp	Later submitted sequence listing under Rule 20.5	Additional Biblio Data:
			Date of receipt at transmitting office
(Rule 20.5)			e.g.:
			PCTUS2004005602-slmp-000001-EN- 20050531.zip
sequence	slef	Later submitted	Additional Biblio Data:
listing-later submitted		sequence listing under Rule 20.5bis	Date of receipt at transmitting office
(Rule 20.5bis)			e.g.:
			PCTUS2004005602-slef-000001-EN- 20050531.zip
sequence-list-	seqs	Statement relating to the	Additional Biblio Data:
statement		sequence listing part of the application	Date of receipt at transmitting office
			e.g.:
			PCTUS2004005602-seqs-000001-en- 20040810.zip

sequence-list-	seqn	Sequence listing not	Additional Biblio Data:
international- search-13ter		part of IA filing (e.g. listing only for the	Date of receipt at transmitting office
		purposes of international search or	e.g.:
		supplementary search) (initially coded as issq)	PCTUS2004005602-seqn-000001-en- 20040810.zip
sequence-list-	isss	Statement relative to	Additional Biblio Data:
statement- 13ter		sequence listing provided only for the	Date of receipt at transmitting office
		purposes of international search	e.g.:
		Scalon	PCTUS2004005602-isss-000001-en- 20040810.zip
sequence-list-	inql	Sequence listing part of	Additional Biblio Data:
incorporated- by-reference		the application, incorporated by	Date of receipt at transmitting office
-		reference	e.g.:
			PCTUS2004005602-inql-000001-en- 20040810.zip
sequence-list-	amql	Sequence listing as	Additional Biblio Data:
amendment- article34		amended under article 34	Date of receipt at transmitting office
			e.g.:
			PCTUS2004005602-amql-000001-en- 20040810.zip
sequence-	rdql	Sequence Listing	Additional Biblio Data:
listing- rectified-by-	ectified-by- International Prelimination International Prelimination International Prelimination Internation Inter	rectified by the International Preliminary	Date of receipt at transmitting office
the-ipea (rule		Examining Authority	e.g.:
91)			PCTUS2004005602-rdql-000001-en- 20040810.zip
sequence-	rdqn	Sequence Listing under	Additional Biblio Data:
listing-under- rule13ter-		Rule 13ter rectified by the International	Date of receipt at transmitting office
rectified-by-		Preliminary Examining	e.g.:
the-ipea (rule 91)	(rule A	Authority (Rule 91)	PCTUS2004005602-rdqn-000001-en- 20040810.zip
sequence-	slvr	Sequence listing	Additional Biblio Data:
listing- validation-		validation report	Date of receipt at transmitting office
report			e.g.:
			PCTUS2004005602-slvr-000001-en- 20040810.zip

sequence-	ipsl	Sequence listings as	Additional Biblio Data:
listing-as- part-of-iprp2		part of annexes to the International Preliminary	Date of receipt at transmitting office
		Report on Patentability	e.g.:
		(Chapter II)	PCTUS2004005602-ipsl-000001-en- 20040810.zip
signature-	sigs	signature sheet	Additional Biblio Data:
sheet		containing the handwritten signature of	Date of receipt at transmitting office
		the authorized signatory	e.g.:
			PCTUS2004005602-sigs-000001-en- 20040810.zip
supplementar	ssrr	Supplementary	Additional Biblio Data:
y- international-		International Search Request from applicant	Date of receipt at transmitting office
search-		for review	e.g.:
applicant's request for review			PCTUS2004005602-ssrr-000001-en- 20040810.zip
supplementar	ssrq	Supplementary	Additional Biblio Data:
y- international-		International Search Request from applicant	Date of receipt at transmitting office
search-		(can include SIS fee sheet)	e.g.:
request			PCTUS2004005602-ssrq-000001-en- 20040810.zip
supplementar	sisf	Supplementary	Additional Biblio Data:
y- international-		International Search fee sheet (can be received separately from SIS Request for late fees)	Date of receipt at transmitting office
search-fee- sheet			e.g.:
Sheet		Request for fale fees)	PCTUS2004005602-sisf-000001-en- 20040810.zip
supplementar	sisXXX	Supplementary	Additional Biblio Data:
y- international-		International Search (PCT/ISA/SS/503 etc)	Date of mailing of the form
search-sisa- forms		(FC1/13A/33/303 etc)	e.g.:
TOTTIS			PCTUS2004005602-sis503-000001-en- 20040810.zip
supplementar	ssne	Supplementary	Additional Biblio Data:
y- international-		International Search - Declaration of non-	SISA (country code)
search-non- establishment	establishment of	Date of mailing of the declaration of non-establishment of supplementary	
		international search report	international search report to the Applicant
		(PCT/ISA/SS/502)	e.g.:
			PCTUS2004005602-ssne-000001-en- SE-20040810.zip
L			

supplementar y international- search-non- establishment -english- translational- searbi-smeatSupplementary international Search -english- translation of hot declaration of non- establishment of supplementary international search report international- search-reportSiSA (country code) Date of mailing of the English translation of thot declaration of non- establishment of supplementary international search (PCT/ISA/SS/502)SiSA (country code) Date of mailing of the English translation of the declaration of non- establishment of supplementary international- search- reportStr Translation of IA for Supplementary International Search purposesAdditional Biblio Data: Date of receipt at transmitting office e.g.: PCTUS2004005602-ssnt-000001-en- 20040810.zipsupplementar y- international- search-reportSupplementary international Search Report from Supplementary International Search Repo	-		<u> </u>	
International-search-non-establishment - english- translationEnglish translation of non- establishment of supplementary international search reportSISA (country code) Date of mailing of the English translation of the declaration of non- establishment of supplementary international search reportSUSA (country code)supplementar y- international- search- translation-of- IASupplementary international Search purposese.g.: PCTUS2004005602-ssnt-000001-en- SE-20040810.zipsupplementar y- international- search- translation-of- IAssrSupplementary international Search purposesAdditional Biblio Data: Date of receipt at transmitting office e.g.: PCTUS2004005602-sstr-000001-en- 20040810.zipsupplementar y- international- search-reportssrSupplementary international Search Report from Supplementary international Search Report from Supplementary international Search Report from Supplementary international Search Authority (PCT/ISA/SS/S01)Additional Biblio Data: SISA (country code)supplementar y- international- search-reportsearch Report from Supplementary international Search Authority (PCTUS2004005602-sset-000001-en- SE-20040810.zipsupplementar y- international- search-reporttranslation of Supplementary international Search Authorityy- international- search-reportSupplementary international Search Report from Supplementary international Search Authorityy- international- search-reportSupplementary international Search Report from Supplementary international Sea	supplementar v-	ssnt	Supplementary	Additional Biblio Data:
establishment -english- translationestablishment of supplementary 	international-		English translation of the	SISA (country code)
translationinternational search reportinternational search to the Applicantgupplementar y- international- search- translation-of- IAsstrTranslation of IA for Supplementary International Search purposesAdditional Biblio Data: Date of receipt at transmitting office e.g.: PCTUS2004005602-sstr-000001-en- 20040810.zipsupplementar y- international- search- translation-of- IAssrSupplementary International Search Report from Supplementary International Search Report from Supplementary International Search Report from Supplementary International Search Report from Supplementary International Search Report from Supplementary International Search Authority (PCT/ISA/SS/501)Additional Biblio Data: SISA (country code)supplementar y- international- search-reportsstEnglish translation of Supplementary International Search Report from Supplementary International Search Report	establishment -english-		establishment of	translation of the declaration of non-
Supplementar y- international- search- 	translation			international search report
Supplementar y- international- search- translation-of- IASstr Supplementary international Search purposesTranslation of IA for Supplementary international Search purposesAdditional Biblio Data: Date of receipt at transmitting office e.g.: PCTUS2004005602-sstr-000001-en- 20040810.zipsupplementar y- international- search-reportssre Supplementary International Search Report from Supplementary (PCT/ISA/SS/501)Additional Biblio Data: SISA (country code) Date of mailing of the search report to the Applicant e.g.: PCTUS2004005602-ssre-000001-en- SE-20040810.zipsupplementar y- international- search-reportSst English translation of Supplementary International Search Authority (PCT/ISA/SS/501)Additional Biblio Data: SISA (country code) Date of mailing of the search report to the Applicant e.g.: PCTUS2004005602-ssre-000001-en- SE-20040810.zipsupplementar y- international- search- report- english- translationEnglish translation of Supplementary International Search Report from Supplementary International Search AuthorityAdditional Biblio Data: SISA (country code) Date of mailing of the search report to the Applicant e.g.: PCTUS2004005602-sset-000001-en- SE-20040810.zipthird-party- observation- cited- documentthird Party Observation - Cited DocumentAdditional Biblio Data: Date of receipt at transmitting office e.g.: PCTUS2004005602-tpob-000001-EN- Date of receipt at transmitting office e.g.: PCTUS2004005602-tpod-000001-EN- Date of receipt at transmitting office e.g.: PCTUS2004005602-tpod-000001-EN- Date of receipt at transmitting office e.g.:			(PCT/ISA/SS/502)	e.g.:
y- international- search- IASupplementary International Search purposesDate of receipt at transmitting office e.g.: PCTUS2004005602-sstr-000001-en- 20040810.zipsupplementar y- international- search-reportssre ssre y- International Search Report from Supplementary International Search Authority (PCT/ISA/SS/501)Additional Biblio Data: SISA (country code) Date of mailing of the search report to the Applicant e.g.: PCTUS2004005602-ssre-000001-en- SE-20040810.zipsupplementar y- international- search-report- english- translationsstEnglish translation of Supplementary International Search Report from Supplementary International Search Report from Supplementary International Search Report from Supplementary International Search AuthorityAdditional Biblio Data: SISA (country code) Date of mailing of the search report to the Applicant e.g.: PCTUS2004005602-sset-000001-en- SE-20040810.zipthird-party- observationtpobThird Party Observation - Cited DocumentAdditional Biblio Data: Date of receipt at transmitting office e.g.: PCTUS2004005602-speb-000001-EN- 20120702.zipthird-party- observation- cited- documenttpodThird Party Observation - Cited DocumentAdditional Biblio Data: Date of receipt at transmitting office e.g.: PCTUS2004005602-tpob-000001-EN- 20120702.zipthird-party- observation- cited- documenttpodThird Party Observation - Cited DocumentAdditional Biblio Data: Date of receipt at transmitting office e.g.: PCTUS2004005602-tpcd-000001-EN- Date of receipt at transmitting office e.g.: PCTUS200				
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y- international- search-reportInternational Search Report from Supplementary International Search Authority (PCT/ISA/SS/501)SISA (country code) Date of mailing of the search report to the Applicant e.g.: PCTUS2004005602-ssre-000001-en- SE-20040810.zipsupplementar y- international- search- report- english- translationssetEnglish translation of Supplementary International Search Report from Supplementary International Search Report from Supplementary International Search AuthorityAdditional Biblio Data: SISA (country code)search- report- english- translationstetEnglish translation of Supplementary International Search Report from Supplementary International Search AuthorityAdditional Biblio Data: Date of mailing of the search report to the Applicant e.g.: PCTUS2004005602-sset-000001-en- SE-20040810.zipthird-party- observation- cited- documenttpcdThird Party Observation - Cited DocumentAdditional Biblio Data: Date of receipt at transmitting office e.g.: PCTUS2004005602-tpob-000001-EN- 20120702.zipthird-party- observation- cited- documenttpcdThird Party Observation - Cited DocumentAdditional Biblio Data: Date of receipt at transmitting office e.g.: PCTUS2004005602-tpcd-000001-EN- 20120702.zip				
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document e.g.: PCTUS2004005602-tpcd-000001-EN-			 – Cited Document 	Date of receipt at transmitting office
				e.g.:

Third Party Obs - Cited	tpca	Third Party Obs - Cited doc abstract	Additional Biblio Data:
doc abstract			Date of receipt at transmitting office
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Third Party	tpcm	Third Party Obs - Cited	Additional Biblio Data:
Obs - Cited doc markup		doc markup	Date of receipt at transmitting office
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Third Party	tpcp	Third Party Obs - Cited	Additional Biblio Data:
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Third Party	tpct	Third Party Obs - Cited doc trans	Additional Biblio Data:
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applicant-	apob	Applicant Observation	Additional Biblio Data:
observation		on Close Prior Art	Date of receipt at transmitting office
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applicant-	apcd	Applicant Observation -	Additional Biblio Data:
observation- cited-		Cited Document	Date of receipt at transmitting office
document			e.g.:
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Applicant Observation -	apca	Applicant Observation - Cited doc abstract	Additional Biblio Data:
Cited doc			Date of receipt at transmitting office
abstract			e.g.:
			PCTUS2004005602-apca-000001-EN- 20050531.zip
Applicant Observation -	apcm	Applicant Observation -	Additional Biblio Data:
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markup			e.g.:
			PCTUS2004005602-apcm-000001-EN- 20050531.zip
Applicant	арср	Applicant Observation -	Additional Biblio Data:
Observation - Cited doc		Cited doc published	Date of receipt at transmitting office
published			e.g.:
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Applicant Observation -	apct	Applicant Observation -	Additional Biblio Data:
Cited doc trans		Cited doc trans	Date of receipt at transmitting office
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			PCTUS2004005602-apct-000001-EN- 20050531.zip
applicant- comments	арсо	Applicant Comments on Third Party Observation	Additional Biblio Data:
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third-party-	tpac	Third Party Observation	Additional Biblio Data:
observation- additional-		additional comments	Date of receipt at transmitting office
comments			e.g.:
			PCTUS2004005602-tpac-000001-EN- 20120702.zip
translation of	tapp	Translation of	Additional Biblio Data:
application- body-		application body document containing	Date of receipt at transmitting office
document		abstract, description,	e.g.:
	claims and drawings	PCTUS2004005602-tapp-000001-EN- 20050531.zip	
ı			

translation of	sapp	Translation of	Additional Biblio Data:
application- body-		application body furnished for the purpose of International	Date of receipt at transmitting office
document-			e.g.:
for- international- search		Search containing abstract, description, claims and drawings	PCTUS2004005602-sapp-000001-EN- 20050531.zip
translated-	teap	Copy of translation of	Additional Biblio Data:
earlier-filed- application		earlier application (for incorporation by	Date of receipt at transmitting office
		reference)	e.g.:
			PCTUS2004005602-teap-000001-EN- 20050531.zip
translated-	teas	Translation of	Additional Biblio Data:
earlier- searched-		application for which earlier search was	Date of receipt at transmitting office
application		performed	e.g.:
			PCTUS2004005602-teas-000001-EN- 20050624.zip
translated-	tesr	Translation of results of	Additional Biblio Data:
results-of- earlier-		earlier search	Date of receipt at transmitting office
search-report			e.g.:
			PCTUS2004005602-tesr-000001-EN- 20050624.zip
international-	tiape	Translation of IA for	Additional Biblio Data:
preliminary- examination-		International Preliminary Examination purposes	Date of receipt at transmitting office
translation-of- IA	anslation-of-	e.g.: PCTUS2004005602-tiape-000001-en- 20040810.zip	
translated-	tnap	nap Document that has been translated into the national language for a national application	Additional Biblio Data: none
national- phase-			e.g.:
document			PCTUS2004005602-tnap-000001-

(non-state t		— — — — — — — — — —	
translated- priority-	tpdc	Translated priority document (Rule 20.6)	Additional Biblio Data:
document			Country of the Priority Document
			Date of filing of the Priority Document
			(XXXXXXXX if unknown)
			Serial number of the Priority document
			(XXXXX if unknown) if it contains "/" characters, replace by @, if it contains "\" characters replace by #, if it contains "-" characters, replace by "^"
			Date of Receipt from applicant if under Rule 17.1(a) otherwise XXXXXXXX
			e.g.:
			PCTUS2004005602-tpdc-000001-EN- US-20040104-60@127,333- XXXXXXXX.zip
translation-of-	slts	Translation of sequence	Additional Biblio Data:
sequence- listing-for-the	for the	listing for the purposes of international search	Date of receipt at transmitting office
purposes-of international- search			e.g.: PCTUS2004005602-slts-000001-en- 20040810.zip
translation-of-		Translation of sequence	Additional Biblio Data:
sequence- listing-for-the-		listing for the purposes of international publication	Date of receipt at transmitting office
purposes-of- international- publication			e.g.: PCTUS2004005602-sltp-000001-en- 20040810.zip
translation-of-		Translation of sequence	Additional Biblio Data:
sequence- listing-for-the-		listing for the purposes of Supplementary	Date of receipt at transmitting office
purposes-of- supplementary- international- search	pplementary- ernational-		e.g.: PCTUS2004005602-sslt-000001-en- 20040810.zip
validation-log	vlog	Receiving office	Additional Biblio Data:
		validation log	e.g.:
			PCTUS2004005602-vlog-000001- EN.zip
withdrawal	wdra	Withdrawal of	Additional Biblio Data:
		application under Rule 90bis, or withdrawal of	Date of receipt at transmitting office
		priority claim, or	e.g.:
	withdrawal of designation, or withdrawal of election	PCTUS2004005602-wdra-000001-ES- 20041008.zip	

Withdrawal- revoked	wdrv	Withdrawal of application under Rule	Additional Biblio Data:
		90bis, or withdrawal of	Date of receipt at transmitting office
		priority claim, or withdrawal of	e.g.:
		designation, or withdrawal of election that has been revoked	PCTUS2004005602-wdrv-000001-ES- 20041008.zip
withdrawal-	wdc2	Withdrawal of demand	Additional Biblio Data:
chapter2		for chapter 2	Date of receipt at transmitting office
			e.g.:
			PCTUS2004005602-wdc2-000001-ES- 20041008.zip
withdrawal-	wdcl	Withdrawal of priority	Additional Biblio Data:
priority-claim		claim	Date of receipt at transmitting office
			e.g.:
			PCTUS2004005602-wdcl-000001-ES- 20041008.zip
withdrawal-	wdds	Withdrawal of designation	Additional Biblio Data:
designations			Date of receipt at transmitting office
			e.g.:
			PCTUS2004005602-wdds-000001-ES- 20041008.zip
withdrawal-	wdel	Withdrawal of election	Additional Biblio Data:
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			PCTUS2004005602-wdel-000001-ES- 20041008.zip
withdrawal-	wdia	Withdrawal of	Additional Biblio Data:
international- application		application	Date of receipt at transmitting office
			e.g.:
			PCTUS2004005602-wdia-000001-ES- 20041008.zip
withdrawal- supplementar	wdss	Withdrawal of supplementary search	Additional Biblio Data: Date of receipt at transmitting office
y-search			e.g.: PCTUS2004005602-wdss- 000001-ES-20041008.zip

wosa	wosa	Written Opinion by	Additional Biblio Data:
		International Searching Authority	ISA (country code)
		Autionty	Date of mailing of the wosa to the Applicant
			Date of completion of WOSA
			e.g.:
			PCTUS2004005602-wosa-000001-EN- US-20041008-20041008.zip
wosa-	WOSC	Applicant's comments	Additional Biblio Data:
comment		on the written opinion of the International	Date of receipt at transmitting office
		Searching Authority.	e.g.:
		Normally expected from the Applicant	PCTUS2004005602-wosc-000001-EN- 20041008.zip
wosa-	rwoi	Response to the Written	Additional Biblio Data:
response		Opinion of the ISA	Date of receipt at transmitting office
			e.g.:
			PCTUS2004005602-rwoi-000001-EN- 20041008.zip
wosa-formal-	frwo	Formal response to the Written Opinion of the IPEA (Rule 66.3)	Additional Biblio Data:
response			Date of receipt at transmitting office
			e.g.:
			PCTUS2004005602-frwo-000001-EN- 20041008.zip
xml-receipt	xmre	efiling receipt issued for transmission evidence	Additional Biblio Data:
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			e.g.:
			PCTUS2004005602-xmre-000001-EN- 20041008.pdf
national-	Inpa	National Phase	Additional Biblio Data:
phase- amendments-		amendments cover letter	Date of receipt at transmitting office
cover letter			e.g.:
			PCTUS2004005602-Inpa-000001-EN- 20041008.zip
National-	npam	National Phase	Additional Biblio Data:
phase- amendments		Amendments	Date of receipt at transmitting office
amenuments			e.g.:
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r51bis- declaration-	nidi	Rule 51bis.1(a)(i) Declaration of Identity of	Additional Biblio Data:
identity-of-		Inventor	Date of receipt at transmitting office
inventor			e.g.:
			PCTUS2004005602-nidi-000001-EN- 20041008.zip
r51bis-	ngrp	Rule 51bis.1(a)(ii)	Additional Biblio Data:
declaration- entitlement-		Declaration - Applicant's Entitlement to Apply for	Date of receipt at transmitting office
to-be-		and be Granted a Patent	e.g.:
granted- patent			PCTUS2004005602-ngrp-000001-EN- 20041008.zip
r51bis-	nclp	Rule 51bis.1(a)(iii)	Additional Biblio Data:
declaration- entitlement-		Declaration - Applicant's Entitlement to Claim	Date of receipt at transmitting office
to-claim-		Priority	e.g.:
priority			PCTUS2004005602-nclp-000001-EN- 20041008.zip
r51bis-	ninv	Rule 51bis.1(a)(iv) Declaration of Inventorship	Additional Biblio Data:
declaration- of-			Date of receipt at transmitting office
inventorship			e.g.:
			PCTUS2004005602-ninv-000001-EN- 20041008.zip
r51bis-	nnon	non Rule 51bis.1(a)(v) Declaration - Non Prejudicial Disclosure	Additional Biblio Data:
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disclosure			PCTUS2004005602-nnon-000001-EN- 20041008.zip
translation-	tidi	Translation of Rule	Additional Biblio Data:
declaration- of-identity-of-		4.17(i) - Declaration of Identity of Inventor	Date of receipt at transmitting office
inventor		·····	e.g.:
			PCTUS2004005602-tidi-000001-EN- 20041008.zip
translation-	tgrp	Translation of Rule	Additional Biblio Data:
declaration- entitlement-		4.17(ii) Declaration - Applicant's Entitlement	Date of receipt at transmitting office
to-be-		to Apply for and Be	e.g.:
granted- patent		Granted a Patent	PCTUS2004005602-tgrp-000001-EN- 20041008.zip

translation-	tclp	Translation of Rule	Additional Biblio Data:
declaration- entitlement-		4.17(iii) Declaration - Applicant's Entitlement	Date of receipt at transmitting office
to-claim-		to Claim Priority	e.g.:
priority			PCTUS2004005602-tclp-000001-EN- 20041008.zip
translation-	tinv	Translation of Rule	Additional Biblio Data:
declaration- of-		4.17(iv) Declaration of Inventorship	Date of receipt at transmitting office
inventorship			e.g.:
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translation-		Translation of Rule	Additional Biblio Data:
declaration- non-		4.17(v) Declaration - Non Prejudicial	Date of receipt at transmitting office
prejudicial-		Disclosure	e.g.:
disclosure	disclosure		PCTUS2004005602-tnon-000001-EN- 20041008.zip
translation-	tanp	Translation of	Additional Biblio Data:
application- body-		Application body document containing	Date of receipt at transmitting office
national-		abstract, description,	e.g.:
phase	hase claims and drawings for national phase entry	PCTUS2004005602-tanp-000001-EN- 20041008.zip	
translation-	tian	Translation of Request	Additional Biblio Data:
request- national-		Date of receipt at transmitting office	
phase			e.g.:
			PCTUS2004005602-tian-000001-EN- 20041008.zip

Document Type	Code	Description (E-Filing Tag name)	Wrapper file naming convention
wo-other-	wood	Document transmitted	Additional Biblio Data:
document		by the International Bureau in Annex F	Date of receipt at transmitting office
		format containing a	e.g.:
		document of a type for which there is no specific Annex F XML specification	PCTUS2004005602-wood-000001- EN-20050531.wad
purported-	ppob	Purported Third Party	Additional Biblio Data:
observations		Observation	Date of receipt
			e.g.:
			PCTUS2004005602-ppob-000001- EN-20120702.zip
purported-	ppcd	Purported Cited	Additional Biblio Data:
cited- document		Document Third Party Observation	Date of receipt
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			PCTUS2004005602-ppcd-000001- EN-20120702.zip
purported-	ррсо	Purported Comments on	Additional Biblio Data:
comments	Third party	Third party Observation	Date of receipt
			e.g.:
			PCTUS2004005602-ppco-000001- EN-20120702.zip
purported-	poce	Email Concerning	Additional Biblio Data:
obs- comments-		Purported Observations or Comments	Date of receipt
email			e.g.:
			PCTUS2004005602-poce-000001- EN-20120702.zip
Receiving- Office- document- via-ePCT	rodoc	Receiving Office document arriving at the IB via ePCT	PCTUS2004005602-rodoc-000001- EN-001.zip

2. Table 2 - reserved codes

3. Table 3 – Files containing more than one International application

Files containing information regarding more than one international a	application.
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Document Type	Code	Description (E-Filing Tag name)	Wrapper file naming convention
fee-payment-fpayFee paymentbreakdownbreakdown sent by ROs	Additional Biblio Data: None		
	to IB to provide a detail breakdown for each inter-office payment	e.g.: EP-IB-20111208-fpay-00001-en.xml	
search-fee- payment- notification	sfee	Search fee payment notification to the IB for use with eSearchCopy by receiving Offices when transmitting a bulk listing for one or more international applications	Additional Biblio Data: None e.g.: EP-IB-20111208-sfee-00001-en.xml

[End of document]