

# PCT SAPIA

## THE ISRAELI PCT RECEIVING OFFICE SYSTEM

### DETAILED DESIGN SUMMARY

Document Title	PCT SAPIA – The Israeli receiving Office System Detailed Design Summary
Document File Name	PCT SAPIA.doc
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# 1. Introduction

Israel is a member of the Patent Cooperation Treaty (PCT) from June 1, 1996.

Israeli applicants have placed among the 15 main users of the PCT in 2008, followed by those of Belgium, Denmark, Austria, Spain, Norway, Russian Federation etc.

The ILPO is among the top 13 in the world in terms of the number of international applications received as a receiving Office under the PCT.

Since the beginning of its operation in 1996, the PCT Office has received and processed more than 16000 international applications.

In July 1999 the Israel PCT Office started processing of the international applications, using PCT-EASY software. The PCT-EASY software remains the most popular electronic means for filing international applications. In 2008 more than 95% of the international applications filed using PCT-EASY software.

One of the main projects of the Israeli Patent Office (IPO) this year was developing a new system to accommodate the PCT department in its function as an international receiving office. This project is a joined effort between WIPO and the IPO and is intended to be implemented first in Israel and later on in other receiving offices around the world.

The system's detailed design was completed and it is now being developed.

This document is short a summary of the detailed design it describes the different modules, shows screen shots and explains the main processes and functionality.

The complete detailed design is described in 16 detailed documents each describing one module. This document gives only an overview of the highlights of the functionality and screens.

## **1.1. PCT SAPIA Overview**

The Israeli PCT RO/IL System is called **PCT SAPIA** - System for Administration and Processing of International Applications. The PCT SAPIA system is the tool for achieving many of the goals set by the PCT department:

- Mechanizing all process in the PCT/RO department.
- Reducing the treatment elapsed time.
- Increasing the accessibility to the public and improving the service.
- Reducing the work with hard copies (paper) to a minimum.
- Improving the fee collection.
- Improving the communication between the receiving office and the International Bureau.
- Creating a flexible system that can support changes in business logic with minimal or no extra development
- Creating a compatible system that may be implemented in receiving offices in other countries

### **1.1.1. Functionality**

The PCT SAPIA system supports all aspects of a PCT Receiving Office:

- Creating a file for a request by loading PCT Safe file
- All bibliographic details of a PCT request.
- Parties
- A full electronic file, supporting a paperless office.
- Incoming and outgoing correspondence including the handling flow.
- All RO Forms in the according to the PCT Treaty.
- Request formal examinations.
- The workflow of the handling the request.
- All fee handling and creation of financial reports
- Internal and external users and roles
- Tasks for each user and/or role.
- Reports.
- Electronic Interface to and from WIPO through the EDI.
- Web site for submitting requests and correspondence.

### 1.1.2. Flexibility

PCT SAPIA is designed to support maximum flexibility and is very easily customized. A large amount of the specific functionalities is derived from setup data. Changing the processes flow, characteristic or a certain behavior can be done usually with no additional development, or with very minimal and local code changes.

This system's quality will minimize the adjustments and customization needed when new PCT Treaty changes will or when installing PCT SAPIA in another country.

### 1.1.3. Technology

The PCT SAPIA system is developed using Visual Studio 2008 and SQL Server 2008 database.

In the following paragraphs the functionality of the different modules is described.

## 2. File

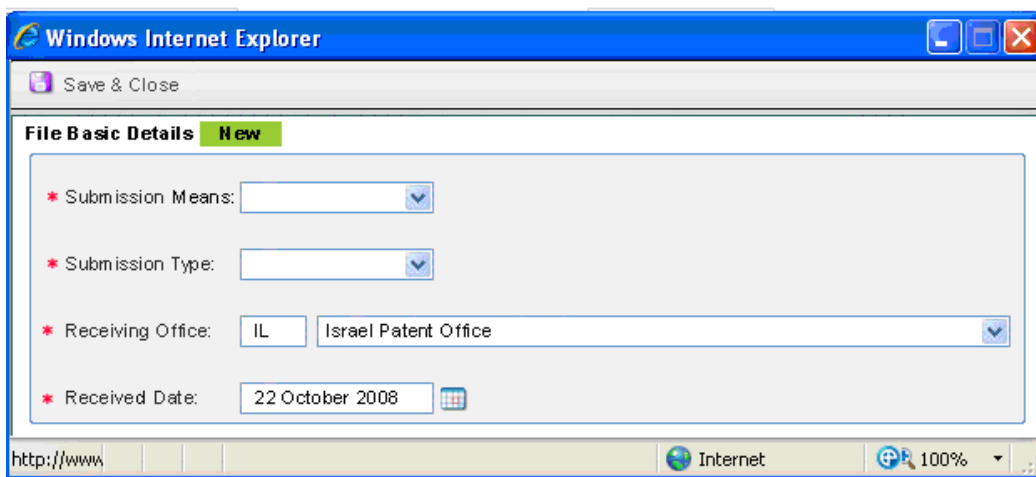
A file represents an international request that was filled.

### 2.1. *Creating a file*

There are two ways to create a new file.

- Manual creation – entering the very basic information and then manually entering all the bibliographic data in the different screens described below.
- Loading from PCT Safe – entering the international request's data into the PCT Safe freeware developed by WIPO and then loading the information in the system.

#### 2.1.1. Manual file creation



The screenshot shows a web browser window titled "Windows Internet Explorer". The address bar contains "http://www". The main content area displays a form titled "File Basic Details" with a green "New" button. The form contains four fields, each marked with a red asterisk:

- "Submission Means": A dropdown menu.
- "Submission Type": A dropdown menu.
- "Receiving Office": A text box containing "IL" and a dropdown menu showing "Israel Patent Office".
- "Received Date": A text box containing "22 October 2008" and a calendar icon.

The status bar at the bottom shows "Internet" and "100%" zoom.

To open a new file manually only the very minimal basic information must be entered.

## 2.1.2. Loading from PCT Safe

A file may be opened loading a zip file created by PCT Safe easy mode and attaching the relevant documents or attaching a zip file created from PCT safe full electronic mode that already includes the Application files.

All the details are loaded into the different file tables, and the documents are attaches to the file.

The screenshot shows a web browser window titled "Windows Internet Explorer" with a "Submit & Close" button. The main content area is titled "PCT Safe Load File Details" and includes tabs for "New", "PCT Zip", "Other Documents", and "Note".

**PCT Safe Load File Details**

\* Submission Means:

\* Received Date:

Requested by:

**PCT Zip Archive Details**

\* Zip Name:

**Other Document Details**

Attached Doc.s (3) Attach New Open Doc Unattach

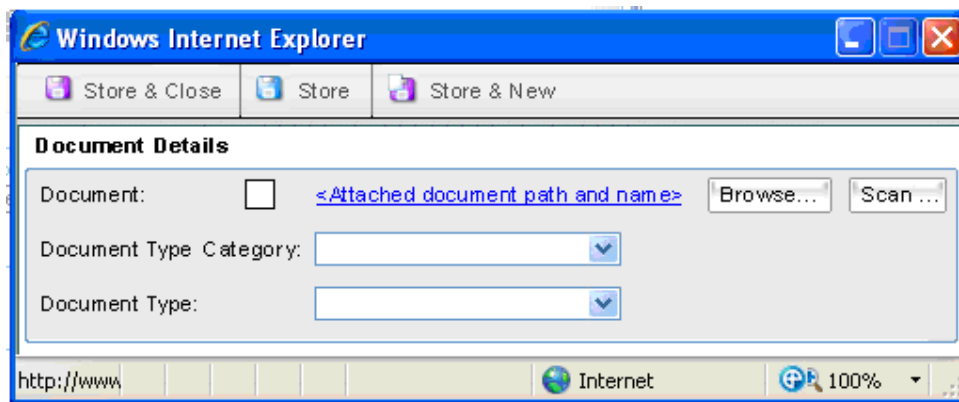
	Document Type	Document Name	Document Size (kb)
	Abstract	Abstract.pdf	10
	Claims	Claims.pdf	6
	PDOC	PDOC.pdf	10

Click Document icon to open document file.

**Note**

http://www... Internet 100%

To attach a new document the document details screen is used. The new file may be scanned or found by browsing the dictionaries on the computer.



The image shows a screenshot of the 'Document Details' dialog box within a Windows Internet Explorer window. The dialog box has a title bar with the text 'Windows Internet Explorer' and standard window controls. Below the title bar, there are three buttons: 'Store & Close', 'Store', and 'Store & New'. The main area of the dialog is titled 'Document Details' and contains the following fields and controls:

- Document:** A text field containing the placeholder text '<Attached document path and name>'. To the right of this field are two buttons: 'Browse...' and 'Scan ...'.
- Document Type Category:** A dropdown menu with a downward arrow.
- Document Type:** A dropdown menu with a downward arrow.

At the bottom of the dialog, there is a status bar showing the URL 'http://www', a globe icon, the word 'Internet', and a zoom level of '100%'.



## **2.2.     *The File Header***

The file has a file header and three tabs each containing folders. The tabs contain information about the file's contents, the file management and the fee calculation.

When viewing the file's information in any tab the header is always displayed, it contains general information that must always be available:

- The file's number – by default the international application (IA) number it is displayed, if there is no IA number the internal submission number is displayed instead.
- The file's status
- The file's International Filing Date
- The priority date.
- The formal examiner in charge of the file.
- The period in the file's life
- The payment status
- Alerts.

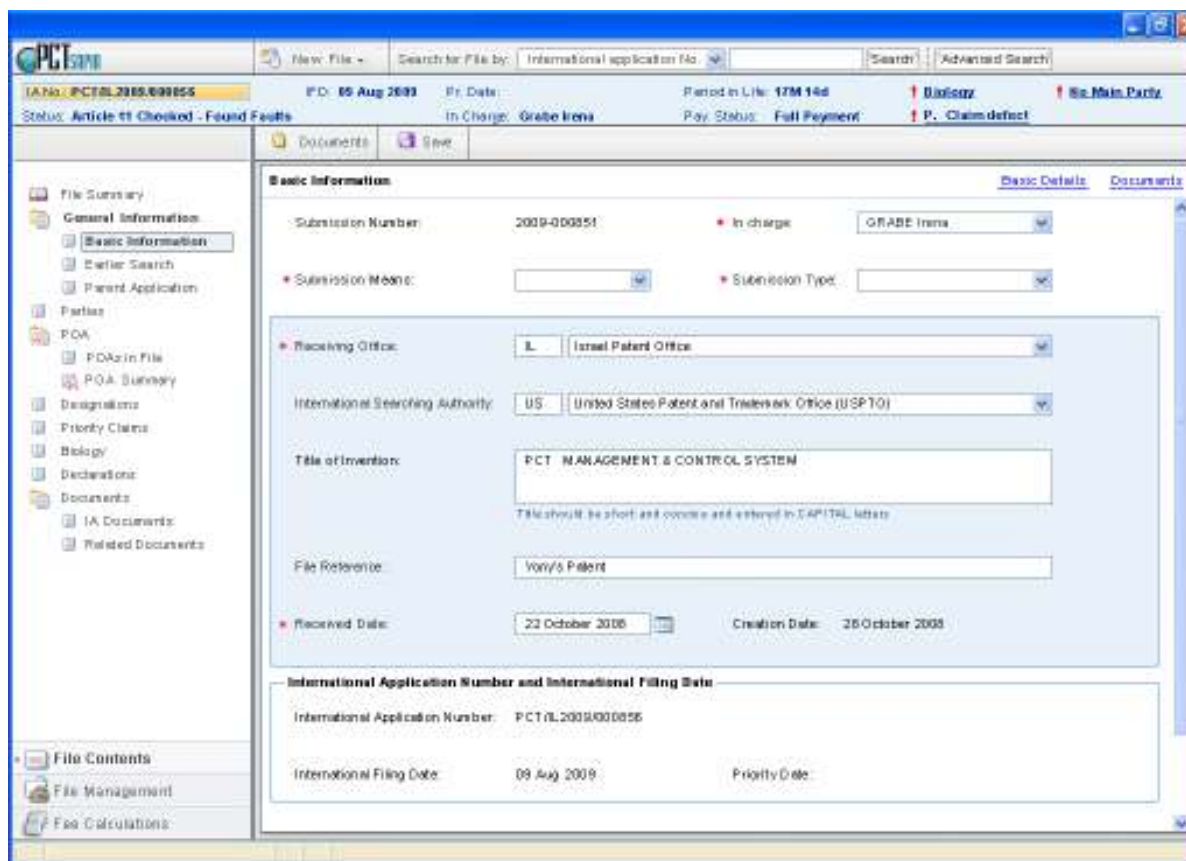
There is a fast search to find other files and a button that opens the advanced search.

A new file can be created using the "New File" button.

## 2.3. File Contents

The file contents tab is the file's bibliography and includes basic information, earlier search, parent application, designations, priority claims, biology and depository details declaration, parties and documents.

### Basic information screens



The screenshot displays the 'Basic Information' screen within the PCT-SMART application. The interface includes a top menu bar with 'New', 'File', and search options. A status bar at the top shows the International Application Number (IA No.: PCT/IL2009/000555), Filing Date (09 Aug 2009), In Charge (Grabe Irma), Pay Status (Full Payment), and other details. The left sidebar contains a tree view with categories like 'General Information', 'Parties', 'Designations', 'Priority Claims', 'Biology', 'Declarations', 'Documents', 'File Contents', 'File Management', and 'Fee Calculations'. The 'Basic Information' section is active, showing fields for Submission Number (2009-000555), Submission Means, Submission Type, Receiving Office (IL - Israel Patent Office), International Searching Authority (US - United States Patent and Trademark Office (USPTO)), Title of Invention (PCT MANAGEMENT & CONTROL SYSTEM), File Reference (Vony's Patent), Received Date (22 October 2008), and Creation Date (26 October 2008). The bottom section displays the International Application Number and International Filing Date (09 Aug 2009).

Basic Information	
Submission Number	2009-000555
In charge	GRABE Irma
Submission Means	
Submission Type	
Receiving Office	IL - Israel Patent Office
International Searching Authority	US - United States Patent and Trademark Office (USPTO)
Title of Invention	PCT MANAGEMENT & CONTROL SYSTEM
File Reference	Vony's Patent
Received Date	22 October 2008
Creation Date	26 October 2008
International Application Number and International Filing Date	
International Application Number	PCT/IL2009/000555
International Filing Date	09 Aug 2009
Priority Date	



## Earlier Search

The center panel holds the list of the earlier searches performed the left panel displays the details of the earlier search selected.

**PCT Explorer**

IA No.: PCT/IL2005/090855 P.D.: 05 Aug 2003 Pr. Date: Period in Life: 17M 14d  
Status: Article 41 Checked - Found Feeds In Charge: Grace Items Pay Status: Full Payment P. Claim defect

File Summary  
General Information  
Basic Information  
**Earlier Search**  
Parent Application  
Parties  
POA  
POA in File  
POA Summary  
Designations  
Priority Claims  
Biology  
Declarations  
Documents  
IA Documents  
Related Documents

File Contents  
File Management  
Fee Calculations

**List of Earlier Searches (3)**

Country (or Regional Office)	Date	Number
IL 1995	1 Jan 2006	1 21213
IL Israel	1 Feb 2007	121111
IL Israel	1 Jan 2007	121000

**Earlier Search Details**

Country (or Regional Office): US United States Patent and Trademark Office  
Date: 22 April 2009  
Number: 121255

**General Details**

☒ This international application is the same, or substantially the same, as the application in respect of which the earlier search was carried out, except, where applicable, that it is filed in a different language.

☒ The following documents are available to the ISA in a form and manner acceptable to it and therefore do not need to be submitted by the applicant to the ISA (Rule 12bis.1(c)):

- ☒ a copy of the results of the earlier search
- ☐ a copy of the earlier application
- ☒ a translation of the earlier application into a language which is acceptable to the ISA
- ☒ a translation of the results of the earlier search into a language which is acceptable to the ISA
- ☒ a copy of any document cited in the results of the earlier search (if known, please indicate the document(s) available to the ISA as a Remark on the Annotated page)

☐ The receiving Office is requested to prepare and transmit to the ISA (Rule 12bis.1(c)):

- ☐ a copy of the results of the earlier search
- ☐ a copy of the earlier application
- ☐ a copy of any document cited in the results of the earlier search

## Parent Application

**PCT EXAM** New File Search for File by: International application No. Search Advanced Search

IA No.: PCT/IL2005/000555 P.D. 05 Aug 2003 Pr. Date: Period in Life: 17M 14d **Duplicate** **No Main Party**

Status: Article 41 Checked - Found Faults In Charge: Grabe Irena Pay Status: Full Payment **P. Claim defect**

**Parent Application Details**

National/Regional: **BR** Brazil

Kind of parent application or grant: Certificate of Addition

Parent application or grant date: 1 Jan 2008

Parent application or grant Number: 2115145

**Document Details**

Attached Doc.s (4) Attach New Documents Open Doc. Unattach

Type	Received Date	Status
Request	11 Dec 2008	Active
Description	15 Jan 2009	Non Active
Description	11 Apr 2009	Active
Abstract	23 May 2009	Active

Document icon to open document file  
 + Attached document path and name

**List of Parent Applications (%)**

Country	Kind of parent app. or grant	Date	Number
BR Brazil	Certificate of Addition	1 Jan 2008	2115145

**File Summary**

- General Information
  - Basic Information
  - Earlier Search
  - Parent Application**
- Parties
- POA
  - POA in File
  - POA Summary
- Designations
- Priority Claims
- Biology
- Declarations
- Documents
  - IA Documents
  - Related Documents

**File Contents**

- File Management
- Fee Calculations

## Designations

**PCT EXAM** New File Search for File by: International application No. Search Advanced Search

IA No.: PCT/IL2005/000555 P.D. 05 Aug 2003 Pr. Date: Period in Life: 17M 14d **Duplicate** **No Main Party**

Status: Article 41 Checked - Found Faults In Charge: Grabe Irena Pay Status: Full Payment **P. Claim defect**

**Designation**

☐ All States

☒ All states Except:

☒ Exclude designation:

State	Type
<input type="checkbox"/> Germany	
<input checked="" type="checkbox"/> Japan	
<input checked="" type="checkbox"/> Korea	
<input type="checkbox"/> Russia	

(The check-boxes above may only be used to exclude (irrevocably) the designations concerned if, at the time of filing or subsequently under Rule 26bis.1, the international application contains in Box No. 4 a priority claim to an earlier national application filed in the particular State concerned, in order to avoid the ceasing of the effect, under the national law of this earlier national application)

☒ Withdraw Designation

[Select/Modify](#)

State	Type
SD Sudan	ARIPO Patent
SZ Swaziland	ARIPO Patent
TZ Tanzania	ARIPO Patent
TG Togo	ARIPO Patent
TN Tunisia	ARIPO Patent
UG Uganda	ARIPO Patent
ZM Zambia	ARIPO Patent
ZW Zimbabwe	ARIPO Patent

Please follow the internet link below for the current list of the PCT Contracting States: <http://www.wipo.int/pct/en/contractingstates/en/contractingstates.pdf>

**File Summary**

- General Information
  - Basic Information
  - Earlier Search
  - Parent Application
- Parties
- POA
  - POA in File
  - POA Summary
- Designations**
- Priority Claims
- Biology
- Declarations
- Documents
  - IA Documents
  - Related Documents

**File Contents**

- File Management
- Fee Calculations



## Priority Claims

**PCT-SM** New File Search for File by: International application No. Search Advanced Search

IA No: PCT/IL2009/09955 P.D: 05 Aug 2009 Pr. Date: Period in Life: 17M 14d **Database** **No Main Party**

Status: Article 11 Checked - Found Faults In Charge: Grape Irena Pay Status: Full Payment **P. Claim defect**

**File Summary**

- General Information
- Basic Information
- Earlier Search
- Parent Application
- Parties
- POA
- POA in File
- POA Summary
- Designations
- Priority Claims
- Biology
- Declarations
- Documents
- IA Documents
- Related Documents

**File Contents**

- File Management
- Fee Calculations

**List of Priority Claims (2)**

Country	Date	Application No.	Reg.	Inter.	Withdrawn
IL Israel	1 Jan 2008	IL2007/69945	✓	✓	✓
EP Euro	2 Feb 2008	05564/05	✓		
IL (PCT)	22 Feb 2008	PCT/IL121229			✓

**Priority Claim Details** General Documents

Priority Claim Type: ☒ National ☐ Regional ☐ International (PCT)

Country: IL Israel

Filing date: 1 Jan 2008

Number: 212213

**General Details**

☒ The receiving Office is requested to prepare and transmit to the International Bureau a certified copy of the above-identified earlier application.

☒ The receiving Office is requested to restore the right of priority.

Withdrawn by: ID

**Document Details**

Attached Doc. (5) Attach New Documents Open Doc Unattach

Type	Received Date	Status
Request	11 Dec 2008	Active
Description	15 Jan 2009	Non Active
Description	11 Apr 2009	Active
Abstract	23 May 2009	Active
Abstract	23 May 2009	Active

Document search to open document file:  
«Attached document path and name»

## Biology

**PCT-SM** New File Search for File by: International application No. Search Advanced Search

IA No: PCT/IL2009/09955 P.D: 05 Aug 2009 Pr. Date: Period in Life: 17M 14d **Database** **No Main Party**

Status: Article 11 Checked - Found Faults In Charge: Grape Irena Pay Status: Full Payment **P. Claim defect**

**File Summary**

- General Information
- Basic Information
- Earlier Search
- Parent Application
- Parties
- POA
- POA in File
- POA Summary
- Designations
- Priority Claims
- Biology
- Declarations
- Documents
- IA Documents
- Related Documents

**File Contents**

- File Management
- Fee Calculations

**Nucleotide and/or amino acid sequence listing**

☒ The description contains a sequence listing

**List of Biology Deposits (2)**

Depository Institution	Date of Deposit	Accession No.
ABC	1 Jan 2008	12123
ATCC	2 Feb 2008	

**Biology Deposit Details** General Documents

The indications made below relate to the deposited microorganism or other biological material referred to in the description:

on page: 2 line: 12

Depository Institution: ABC Advanced Biotechnology Center

Address: Internal Cell Line Collection (Biotechnology Dept.)  
Largo Rossetto Benz, 10, 16132, Genova, Italy

Date of Deposit: 1 Jan 2008

Accession Number: ABC 121123

**General Details**

Additional indications:

Separate Furnishing of ind.:

Designated States for which indications are made:

☒ All designated states ☐ Certain designated states only

Select states:

State	Type

The screenshot displays the PCT SRM application interface. At the top, there is a header bar with the PCT SRM logo and navigation buttons. Below this, a status bar provides key information: Application No. PCT/IL2008/00055, Filing Date 05 Aug 2009, Priority Date, Period in Life 17M 14d, Division, Main Party, Status Article 11 Checked - Found Faults, In Charge Grabo Irena, Pay Status Full Payment, and P. Claim defect.

The main interface is divided into three panels. The left panel, titled 'File Summary', contains a tree view with categories like General Information, Parties, PQA, Designations, Priority Claims, Biology, and Declarations. The 'Declarations' category is currently selected. The middle panel, titled 'List of Declarations-fts', displays a table with two columns: 'Type of Declaration' and 'Creation Date'. The first entry is 'Declaration as to Identity of the...' with a creation date of '12 May 2009'. The right panel, titled 'Declaration Details', shows the details for the selected declaration. It includes a 'Type of Declaration' dropdown menu, a 'Document Details' section, and a table of 'Attached Documents'. The table has three columns: 'Type', 'Received Date', and 'Status'. The entries are: 'Request' (11 Dec 2008, Active), 'Description' (15 Jan 2009, Non Active), 'Description' (11 Apr 2009, Active), and 'Abstract' (23 May 2009, Active). Below the table, there is a section for 'Document as to open document file' with a text box containing the path and name of the attached document.

Type of Declaration	Creation Date
Declaration as to Identity of the...	12 May 2009

Type	Received Date	Status
Request	11 Dec 2008	Active
Description	15 Jan 2009	Non Active
Description	11 Apr 2009	Active
Abstract	23 May 2009	Active

Document as to open document file:  
 <Attached document path and name>

## Power of attorney

A list of POA's and their details:

The screenshot shows the PCT-SMART application interface. The main window is titled 'Power of Attorney Details' and is divided into several sections:

- File Summary:** Includes General Information, Basic Information, Earlier Search, Parent Application, Parties, POA, POA in File, POA Summary, Designations, Priority Claims, Biology, Declarations, Documents, IA Documents, and Related Documents.
- File Contents:** Includes File Management and Fee Calculations.
- Power of Attorney Details:**
  - Representing the applicant(s) before:** A dropdown menu showing 'All the competent international authorities'.
  - General Power of Attorney:** A checkbox labeled 'General Power of Attorney' with a date field set to '21 Jan 2009'.
  - General Details:**
    - Applicant(s) giving POA (2):** A table with columns 'Name', 'Name of signatory', and 'Capacity'. It lists 'Hassidim, Yony', 'Ben Gray, Arika', 'Rubin, Yoni', and 'Teva'.
    - Agent(s) common representative to whom POA is given(s):** A table with columns 'Name', 'Name of signatory', and 'Capacity'. It lists 'Secret agent Double O Seven', 'My agent', and 'Agent of agents'.
  - Document Details:** A table with columns 'Type', 'Received Date', and 'Status'. It lists 'Request' (Received Date: 11 Dec 2008, Status: Active) and 'Description' (Received Date: 15 Jan 2009, Status: Non Active).

A Summary of the representations of the Power of attorneys

The screenshot shows the PCT-SMART application interface. The main window is titled 'POA Summary' and is divided into several sections:

- File Summary:** Includes General Information, Basic Information, Earlier Search, Parent Application, Parties, POA, POA in File, POA Summary, Designations, Priority Claims, Biology, Declarations, Documents, IA Documents, and Related Documents.
- File Contents:** Includes File Management and Fee Calculations.
- POA Summary:**
  - Applicant(s) giving POA (2):** A table with columns 'POA Giving Status', 'Name', 'Name of signatory', and 'Capacity'. It lists 'Hassidim, Yony', 'Teva', 'Rubin, Yoni', and 'Teva'.
  - Agent(s) common representative to whom POA is given(s):** A table with columns 'Agent POA Status', 'Name', 'Name of signatory', and 'Capacity'. It lists 'Hassidim, Yony' and 'Rienhold Cohn'.



## Parties in File

A number of parties from different types may be defined for the file. Each of them has different details.

Applicant details:

The screenshot shows the PCT-Sym software interface. The top bar includes a search field and navigation buttons. The left sidebar contains a tree view with categories like 'File Summary', 'General Information', 'Parties', 'PQA', 'Designations', 'Priority Claims', 'Biological', 'Declarations', 'Documents', 'IA Documents', and 'Related Documents'. The main window is divided into two panes. The left pane, titled 'Search', shows a table of parties in the file. The right pane, titled 'Party in File Details', shows the details for a selected party.

Type	Function	Name	Status
Inventor		Hazzidim Yotav	Active
Applicant		Avia Ben Giv	Active
Applicant		Yotav Rubin	Active
Applicant		Carmon Michael	Active
Applicant & Inventor		Hazzidim Eyal	Active
Applicant & Inventor		Neuman Isaac	Active

**Party in File Details**

Party Type: **Natural Person** Registration no.: **040075300**

Name: **Avia Ben Giv** Status: **Active**

Identification Type: **Passport** Identification no.: **999999999**

**General Details**

Function: **Applicant**

☒ This person is also the Inventor

Connection Start Date: **22 October 2008**

Connection End Date: **22 October 2008**

State of Nationality: **US** United States

State of Residence: **IL** Israel

Notes:

The person is applicant for:

- ☒ All designated states
- ☐ All designated states except US
- ☐ The US only
- ☐ Certain designated states only

Select locality

State	Type
-------	------

Applicant's communication information:

The screenshot displays the PCT-ISA application interface. The top bar shows the application number (IA No: PCT/IL2005/000055), filing date (05 Aug 2003), and other status information. The left sidebar contains a navigation menu with options like File Summary, General Information, and Party Details. The main area is divided into two panes. The left pane shows a table of parties in the file, including Inventor, Applicant, and Applicant & Inventor. The right pane displays the 'Party in File Details' for the selected party, 'Aviva Ben Glav'. This pane includes sections for 'Communication details', 'Addresses (3)', and 'Phone Numbers (5)'. The 'Addresses' section lists three addresses in Israel, and the 'Phone Numbers' section lists five numbers, including landline, fax, and cellular numbers.

Type	Function	Name	Status
Inventor		Hassidim Yony	Active
Applicant		Aviva Ben Glav	Active
Applicant		Yifat Rubin	Active
Applicant		Carmon Michael	Active
Applicant & Inventor		Hassidim Eyal	Active
Applicant & Inventor		Navman Isaac	Active

**Party in File Details**

Party Type: Natural Person Registration no.: 04075300  
 Name: Aviva Ben Glav Status: Active  
 Identification Type: Passport Identification no.: 956999999

**Communication details**

**Addresses (3)**

Country	City	Address	P. Code
Israel	Harta	Zamir 12	12345
Israel	Tel Aviv	Moshe Dagan 32	12345
Israel	Harta	Zamir 12	12345

**Phone Numbers (5)**

Type	Number
Phone	972-9-9999999
Fax	972-9-9999999
Cellular	972-9-9999999
Cellular	972-9-9999999
Cellular	972-9-9999999

**E-Mail Addresses (2)**

E-mail Address	Authorized e-mail
AvivaB@online.gov.il	
AvivaB@gmail.com	

The receiving office, the ISA, the IB and International Preliminary Examining Authority are authorized to use this e-mail address to send, if the office of authority so wishes, advanced copies of

Agent's details:

This screenshot shows the same PCT-ISA application interface, but with the 'Agent' tab selected in the 'Party in File Details' pane. The 'Function' is set to 'Agent'. The 'Connection Start Date' and 'Connection End Date' are both set to 23 October 2008. The 'Notes' field is empty. Below the 'Notes' field, there is a section titled 'Party serves as agent for:' which includes a list of parties with checkboxes. The parties listed are Hassidim Yony, Aviva Ben Glav, Yifat Rubin, Carmon Michael, and Chava Kahana. The 'Communication details' section at the bottom is partially visible, showing the 'Addresses (3)' table.

**Party in File Details**

Party Type: Natural Person Registration no.: 04075300  
 Name: Aviva Ben Glav Status: Active  
 Identification Type: Passport Identification no.: 956999999

**General Details**

Function: Agent  
 Connection Start Date: 23 October 2008  
 Connection End Date: 23 October 2008  
 Notes:

Party serves as agent for:

Name
<input type="checkbox"/> Hassidim Yony
<input checked="" type="checkbox"/> Aviva Ben Glav
<input checked="" type="checkbox"/> Yifat Rubin
<input type="checkbox"/> Carmon Michael
<input type="checkbox"/> Chava Kahana

**Communication details**

**Addresses (3)**

Country	City	Address	P. Code
Israel	Harta	Zamir 12	12345
Israel	Tel Aviv	Moshe Dagan 32	12345
Israel	Harta	Zamir 12	12345

Correspondence Address details:

**PCT-SM** New File Search for File by: International application No Search Advanced Search

IA No: PCT/IL2005/090555 F.D: 05 Aug 2003 Pr. Date: Period in Life: 17M 14d Status: Article 11 Checked - Found Faults In Charge: Grabe Irena Pay Status: Full Payment P. Claim defect: No Main Party

File Summary General Information Basic Information Earlier Search Parent Application **Parties** POA POA in File POA Summary Designations Priority Claims Biology Declarations Documents IA Documents Related Documents

File Contents File Management Fee Calculations

Search Display: All Parties in File

Type	Function	Name	Status
Inventor		Hassidim Yury	Active
Correspondence Add.		Moshe Blumich	Active
Applicant		Yifat Rubin	Active
Applicant		Carmon Michael	Active
Applicant & Inventor		Hassidim Eyal	Active
Applicant & Inventor		Neuman Isaac	Active

**Party in File Details** General Communication Documents

Party Type: **Natural Person** Registration no.: 040075900  
Name: **Moshe Blumich** Status: Active

Identification Type: Passport Identification no.: 950999999

**General Details**

Function: Correspondence Address

Connection Start Date: 22 October 2008

Connection End Date: 22 October 2008

Notes:

**Communication details**

Addresses (3) Unattach Add Edit Delete

Country	City	Address	P. Code (C.O)
Israel	Haifa	Zamir 12	12345 ✓
Israel	Tel Aviv	Moshe Dayan 32	12345 ✓
Israel	Haifa	Zamir 12	12345 ✓

Phone Numbers(5) Attach Add Edit Delete

Type	Number
Phone	972-9-9399599
Fax	972-9-9399599
Phone	972-9-9399599

Common representative details:

**PCT-SM** New File Search for File by: International application No Search Advanced Search

IA No: PCT/IL2005/090555 F.D: 05 Aug 2003 Pr. Date: Period in Life: 17M 14d Status: Article 11 Checked - Found Faults In Charge: Grabe Irena Pay Status: Full Payment P. Claim defect: No Main Party

File Summary General Information Basic Information Earlier Search Parent Application **Parties** POA POA in File POA Summary Designations Priority Claims Biology Declarations Documents IA Documents Related Documents

File Contents File Management Fee Calculations

Search Display: All Parties in File

Type	Function	Name	Status
Inventor		Hassidim Yury	Active
Correspondence Add.		Moshe Blumich	Active
Applicant		Yifat Rubin	Active
Applicant		Carmon Michael	Active
Applicant & Inventor		Hassidim Eyal	Active
Applicant & Inventor		Neuman Isaac	Active
Common Representative		Chava Kahane	Active

**Party in File Details** General Communication Documents

Party Type: **Legal Entity** Registration no.: 040075900  
Name: **Chava Kahane** Status: Active

Identification Type: Passport Identification no.: 950999999

**General Details**

Function: Common Representative

Connection Start Date: 22 October 2008

Connection End Date: 22 October 2008

Notes:

**Communication details**

Addresses (6) Unattach Add Edit Delete

Country	City	Address	P. Code (C.O)
Israel	Haifa	Zamir 12	12345 ✓
Israel	Tel Aviv	Moshe Dayan 31	12345 ✓
Israel	Tel Aviv	Moshe Dayan 32	12345 ✓
Israel	Haifa	Zamir 12	12345 ✓
Israel	Tel Aviv	Moshe Dayan 32	12345 ✓
Israel	Haifa	Zamir 12	12345 ✓

**Document Details**

Attached Docs (2) Attach New Documents Open Doc Unattach

After defining all the parties in the file the main parties and the main address must be selected.

Windows Internet Explorer

Save & Close Calculate Main Party Calculate Main Address

**Main Party & Address**

**Parties (6)**

Function	Name	Address	Valid Address	Main Party	Main Address
<b>Inventor</b>	<b>Hassidim Yony</b>	<b>112 Hertzel Ave., Jerusalem 8888</b>	✓	<input checked="" type="checkbox"/>	<input checked="" type="radio"/>
Applicant	Aviva Ben Giav	34 HeChalutz St, Jerusalem 66666	✓	<input type="checkbox"/>	<input type="radio"/>
<b>Applicant</b>	<b>Yifat Rubin</b>	56 Talboth Ave, London 999999	✓	<input checked="" type="checkbox"/>	<input type="radio"/>
Applicant	Carmon Michael	34 Balfur St. Tel-Aviv 99999		<input type="checkbox"/>	<input type="radio"/>
Inventor	Hassidim Eyal	114 Hertzel Ave., Jerusalem 8888	✓	<input type="checkbox"/>	<input type="radio"/>
Agent	Newman Issac	56 GreenField Ave, London 999999	✓	<input type="checkbox"/>	<input type="radio"/>

There is no address in Israel to which correspondence may be sent by the Patent Office

Internet



## 2.4. Documents

PCT SAPIA supports a full electronic file.

A document can be attached to the file itself, or to any one of its components. From each component detail a list of documents attached to that specific detail can be viewed.

The IA (International Application) documents are marked as such and can be viewed under the IA documents folder. All other documents attached to the file in any level can be viewed through the related documents folder.

To have a better view of the files documents the "Documents" may be clicked on any screen to activate the PCT Document Viewer. Through the viewer by default all the documents linked to the entity (for example: POA, earlier search) are listed. The list may be changed by changing the search parameters.

New documents may be added and attached to the file or one of its components. Each document may be attached to one or more components in a file or to several files.

Each document is assigned a type that is one of the standard WIPO document types described in the "Minimal specification for electronic PCT Document exchange" document.

### PCT Document Viewer

Type	Received Date	Status
Request	11 Dec 2008	Active
Description	15 Jan 2009	Non a
Description	11 Apr 2009	Active
Abstract	11 Apr 2009	Non a
Abstract	23 May 2009	Active

3	For receiving Office use only	
3-1	International Application No.	
3-2	International Filing Date	
3-3	Name of receiving Office and "PCT International Application"	
3-4	Form PCT/RO/01 PCT Request	
3-4-1	Prepared Using	PCT-SAPIA Version 3.51.029.204 MT/POP 20080701/0.20.5.12
3-5	Filiation	The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty
3-6	Receiving Office (Specified by the applicant)	European Patent Office (EPO) (RO/EP)
3-7	Applicant's or agent's file reference	Thural
1	Title of invention	NEW PCT APPLICATION
11	Applicant	
11-1	This person is:	applicant and inventor

## IA Documents

**PCT-SM** New File Search for File by: International application No. Search Advanced Search

IA No.: PCT/IL2009/00055 FID: 05 Aug 2009 Pr. Date: Period in Life: 17M 14d Status: Article 11 Checked - Found Faults In Charge: Grate Irons Pay Status: Full Payment Defects: No Main Party P. Claim defect

Attach New View & Edit Open Doc Print Preview Print Document Delete Save

**File Summary**

- General Information
- Basic Information
- Earlier Search
- Parent Application
- Parties
- POA
- POA in File
- POA Summary
- Designations
- Priority Claims
- Biology
- Declarations
- Documents
- IA
- Related Documents

**File Contents**

- File Management
- Fee Calculations

**List of IA Documents**

Document	Received Date
Request	15 Jan 2009
Description	10 Dec 2009
Claims	10 Dec 2009
Abstract	10 Dec 2009
Drawings	10 Dec 2009
Table Listing	10 Dec 2009

**Document in File Details**

Document: Attached document path and name Document Type: Request Status: Not Active

Thumbnail 1/4 Print Out (Original in Electronic Form)

PCT REQUEST	
1	For receiving Office use only
1-1	International Application No.
1-2	International Filing Date
1-3	Name of receiving Office and PCT International Application
1-4	Form PCT/IS0181 PCT Request
1-4.1	Proposed Using
1-4.2	PCT-SMPE Version 3.51.029.104 NT/PCP 20080701/0.24.5.12
1-5	Section
1-5.1	This undesignated requests that the present international application be processed according to the Patent Cooperation Treaty
1-5.2	Receiving Office specified by the applicant
1-5.3	Applicant's or agent's designation
1-5.4	Title of invention
1-5.5	Applicant
1-5.6	This person is
1-5.7	Applicant to
1-5.8	Name (LAST, FIRST)
1-5.9	Address

## Related Documents

**PCT-SM** New File Search for File by: International application No. Search Advanced Search

IA No.: PCT/IL2009/00055 FID: 05 Aug 2009 Pr. Date: Period in Life: 17M 14d Status: Article 11 Checked - Found Faults In Charge: Grate Irons Pay Status: Full Payment Defects: No Main Party P. Claim defect

Attach New View & Edit Open Doc Print Preview Print Document Delete Save

**File Summary**

- General Information
- Basic Information
- Earlier Search
- Parent Application
- Parties
- POA
- POA in File
- POA Summary
- Designations
- Priority Claims
- Biology
- Declarations
- Documents
- IA
- Related Documents

**File Contents**

- File Management
- Fee Calculations

**Search**

File Ref. No.: 12345678901234567

Entity: Priority Claims

Entity ID: 12345678901234567

Received Date:

From: 22 October 2008

To: 28 October 2008

Doc. Type: All

Status: Active

Search Clear

**List of Attached Documents**

Type	Received Date	Status
Request	11 Dec 2008	Active
Description	15 Jan 2009	Non a
Description	11 Apr 2009	Active
Abstract	11 Apr 2009	Non a
Abstract	23 May 2009	Active

**Document in File Details**

Document: Attached doc. Document Type: Request Status: Active

Thumbnail 1/4 Print Out (Original in Electronic Form)

PCT REQUEST	
1	For receiving Office use only
1-1	International Application No.
1-2	International Filing Date
1-3	Name of receiving Office and PCT International Application
1-4	Form PCT/IS0181 PCT Request
1-4.1	Proposed Using
1-4.2	PCT-SMPE Version 3.51.029.104 NT/PCP 20080701/0.24.5.12
1-5	Section
1-5.1	This undesignated requests that the present international application be processed according to the Patent Cooperation Treaty
1-5.2	Receiving Office specified by the applicant
1-5.3	Applicant's or agent's designation
1-5.4	Title of invention
1-5.5	Applicant
1-5.6	This person is
1-5.7	Applicant to
1-5.8	Name (LAST, FIRST)
1-5.9	Address
1-5.10	State of nationality
1-5.11	State of residence
1-5.12	Residence

### Documents linked to a file's component

This screen shot is an example of a files specific component, in this case a certain declaration, which has documents linked to it.

The screenshot displays the PCT32100 application window. The top menu bar includes 'New File', 'Search for File by', 'International application No.', 'Search', and 'Advanced Search'. The main header area shows file details: IA No. PCT/IL2009/000055, IP: 05 Aug 2009, Pr. Date, Period in Life: 17M 14d, Division, No. Main Party, Status: Article 41 Checked - Found Faults, In Charge: Grete Irena, Pay Status: Full Payment, and P. Claim defect.

The left sidebar contains a tree view with categories: File Summary, General Information (Basic Information, Earlier Search, Parent Application), Parties, PQA, PQA in File, PQA Summary, Designations, Priority Claims, Biology, and Declarations. The 'Declarations' category is selected, showing a list of declarations.

The main content area is divided into two panes. The left pane, titled 'List of Declarations (1)', shows a table with columns 'Type of Declaration' and 'Creation Date'. The first entry is 'Declaration as to identity of the...' with a creation date of '12 May 2009'.

The right pane, titled 'Declaration Details', shows the details for the selected declaration. It includes a 'Type of Declaration' field and a 'Document Details' section. The 'Document Details' section contains a table with columns 'Type', 'Received Date', and 'Status'. The table lists three documents: 'Request' (11 Dec 2008, Active), 'Description' (15 Jan 2009, Non Active), and 'Abstract' (11 Apr 2009, Active). Below the table, there is a text area for 'Document is to open document file' and a button 'Attach document path and name'.

A green circle highlights the 'Document Details' section, and a green rectangle highlights the 'Document Details' table.

## Archive search

Documents attached to a single file or to multiple files can be located by using the archive screen.

The screenshot displays the PCT Search application interface. The top menu bar includes options like 'New File', 'Search for File by', 'International application No.', 'Search', and 'Advanced Search'. Below the menu bar, there are tabs for 'Tasks', 'Files', 'Archive', 'Correspondence', 'Finance', 'Reports', and 'System Management'. The main content area is divided into several sections:

- Search & Select Document:** This section contains search filters such as 'File ref No.' (12345678901234567), 'Entity' (All), 'Entity ID' (12345678901234567), 'Received Date' (From: 22 October 2008, To: 28 October 2008), 'Doc. Type' (All), and 'Status' (Active). There are 'Search' and 'Clear' buttons.
- Document Details:** This section shows details for the selected document, including 'Document' (Attached document path and name), 'Document Type' (Power of attorney), 'Status' (Active), 'Received Date' (11 April 2009), 'Creation Date' (1 March 2009), 'Attached by' (Michael Bart), 'Format' (PDF), and 'Pages' (10). It also includes checkboxes for 'Submitted as part of Description' and 'Submitted for purpose of International Search under Rule 13ter', and a text field for 'Figure of the drawing which should accompany the Abstract'.
- Attachment Details:** This section shows a table of attachments with columns for 'File No.', 'Entity', and 'Entity ID'. The table contains one row with the file number 12345678901234567890, entity 'File', and entity ID 1234567890123456789012345678901234567890.
- List of Documents by Attached File No. (6):** This section shows a table of documents with columns for 'Type', 'File No.', and 'Received Date'. The table contains six rows, all of which are 'Power of attorney' documents with file numbers starting with PCT/AL/2006/000000 and received dates of 16 Feb 2006.

The bottom right of the interface shows a preview of the document, which is a 'GENERAL POWER OF ATTORNEY' for an international application filed under the Paris Cooperation Treaty (PCT Rule 16.2). The document is signed by VEDA RESEARCH AND at the Weizmann Institute of Science, dated 16 FEB 2009.



## **2.5. File Management**

The file management contains the incoming correspondence received; the RO Forms created and sent using the outgoing correspondence, and the files handling process.

### **2.5.1. Incoming Correspondence**

#### **The definition**

A list of Incoming correspondence types has been developed, (listed in Annex A)

An Incoming correspondence (IC) is defined by the type, the received date, the source and the documents attached to it.

An IC may be received through different channels:

- By regular mail, the IC will be manually opened. Its documents scanned and attached.
- Through the web site, New IC will automatically be opened.
- By receiving information from the International Burro (IB) through the Electronic Document Interface (EDI), the files received are parsed and

#### **Creating a new Incoming Correspondence**

There are three ways to create a new IC.

- Filling a new IC from the IC list in a specific file.
- Filling a new IC from a screen in the cross file level.
- A party may file an IC from the website.

#### **Treatment workflow**

After filling the IC must be treated, there is a workflow defined for each IC type. Some of the types will be treated by the Coordinator and then by the formal examiner or the payment coordinator, other types will be treated directly by the formal examiner. Each of these users may take care of the IC or redirect it back to its previous stage.

Treating an IC means opening the documents attached, reading understanding and then acting upon them and then marking that the IC has been treated (accepted or rejected). Acting upon an IC might include creating an RO form, updating the bibliography or adding to it, adding or updating fees, or withdrawing the file or parts of it.

#### **Incoming Correspondence related to multiple files**

Usually each IC is linked to one file, but there are a few IC types that might be linked to many files (for example updating the party's details). In this case, the coordinator will view the IC as one line, and update the relevant information for the party. Then each formal examiner, who's in charge of a file, will see the IC related to his

own file in a separate line, and will verify that the information for the specific file is correct.

### Incoming Correspondence List

**INCOMING CORRESPONDENCE LIST**

File: PCTAL2009.000856 | ID: 85 Aug 2009 | Pr. Date: | Period in Life: 17M 14d | Status: **Article 11 Checked - Found Faults** | In Charge: Grabe Irena | Pay Status: Full Payment | P. Claim defect

**Correspondence Source:** All | **Correspondence Flow:** Examiner & Payment Coordinator | **Correspondence Direction:** All

Correspondence Type	Received Date	Reply Due Date	Source	Submitted By	Status	Status Update Date	Other Files	Notes
<b>Request for withdrawal of designation</b>	16 Jan 2010	13 Jan 2010	Applicant	Vony Haseidm	co Examiner	26 Jan 2010		
Request for recording of change: Party Correspondence	20 Dec 2009	31 Jan 2010	Applicant	Vony Haseidm	Rejected	20 Dec 2009		
Request for recording of change: Party Correspondence	20 Dec 2009	31 Jan 2010	Applicant	Chava Kahana	co Coordinator	20 Dec 2009		
Request for recording of change: Party Correspondence	20 Dec 2009	31 Jan 2010	Applicant	Yital Rubin	Accepted	2 PCTAL125121		
Request for recording of change: Party Correspondence	20 Dec 2009	31 Jan 2010	Applicant	Vony Haseidm	Accepted	2 PCTAL125122		
Request for recording of change: Party Correspondence	20 Dec 2009	31 Jan 2010	Applicant	Vony Haseidm	Accepted	2 PCTAL125123		
Request for recording of change: Party Correspondence	20 Dec 2009	31 Jan 2010	Applicant	Vony Haseidm	Accepted	2 PCTAL125124		
IB/316 invitation to correct a priority claim	20 Dec 2009	31 Jan 2010	BI		Accepted	20 Dec 2009		
Other	05 Oct 2009	31 Jan 2010	ISA		Partially Accepted	05 Oct 2009		
<b>Notification concerning payments</b>	06 Dec 2009	15 Jan 2010	Applicant	Vony Haseidm	co Payment Coordinator	06 Sep 2009		Special Request

### Creating a new correspondence for a single file

**INCOMING CORRESPONDENCE DETAILS**

File: PCTAL2009.000856 | ID: 85 Aug 2009 | Pr. Date: | Period in Life: 17M 14d | Status: **Article 11 Checked - Found Faults** | In Charge: Grabe Irena | Pay Status: Full Payment | P. Claim defect

**Correspondence Source:** Applicant | **Correspondence Flow:** Coordinator & Examiner | **Correspondence Direction:** Parties | **Correspondence Type:** Request for recording of change: Party Name

**File (s) Details**

File Name: PCTAL2009.000856 | File Reference: YR201210

**Administrative Details**

Current Status: co Coordinator | Received Date: 15 Jan 2010 | Reply Due Date: 17 Feb 2010 | Decision: | Following Status: | Reply Date: | Submission Means: Email | Submitted by: Haseidm Eyal | Contact Person: Haseidm Hila

## Creating a new correspondence for multiple files

**CPTA**

New File - Search for File by: International application No. Search Advanced Search

IA No: PCT/IL2009/00856 FD: 05 Aug 2009 Pr. Date: Period in Life: 17M 14d Status: Article 11 Checked - Found Faults In Charge: Grabe Irena Pay Status: Full Payment No. Main Party: P. Claim defect

Add Edit Duplicate Delete Documents Print Preview Save

**Incoming Correspondence in File**

Correspondence Source: All Correspondence Direction: All Correspondence Flow: Examiner & Payment Coordinator Search

**List of Incoming Correspondence in File (R)**

Correspondence Type	Received Date	Reply Due Date
Request for withdrawal of designation	16 Jan 2010	31 Jan
Request for recording of change: Party Correspondence	20 Dec 2009	31 Jan
Request for recording of change: Party Name	16 Jan 2010	17 Feb
Request for recording of change: Party Correspondence	20 Dec 2009	31 Jan
Request for recording of change: Party Correspondence	20 Dec 2009	31 Jan
IB(316) Invitation to correct a priority claim	20 Dec 2009	31 Jan
Other	05 Oct 2009	31 Jan
Notification concerning payments	06 Dec 2009	15 Jan

**Incoming Correspondence Details**

Corr. Source: Applicant Corr. Flow: Coordinator & Examiner Corr. Category: Parties Corr. Type: Request for recording of change: Party Name

**File (s) Details**

Submission No.	SA No.	File Reference
2009-050003	PCT/IL2009/00856	VR201210
2009-050046	PCT/IL2009/01282	CHK412345

**Administrative Details**

Current Status: Info Coordinator View Status History

Received Date: 15 Jan 2010 Reply Due Date: 17 Feb 2010 Decision: Accept Following Status: Accepted Reply Date: 27 Jan 2010 Submission Means: Email Submitted by: Hassidim Eyal Contact Person: Hassidim Hila

**Document Details**

Attached Doc.s (5) Attach New Documents Open Doc. Unattach

Type Received Date Status

After scroll:

**CPTA**

New File - Search for File by: International application No. Search Advanced Search

IA No: PCT/IL2009/00856 FD: 05 Aug 2009 Pr. Date: Period in Life: 17M 14d Status: Article 11 Checked - Found Faults In Charge: Grabe Irena Pay Status: Full Payment No. Main Party: P. Claim defect

Add Edit Duplicate Delete Documents Print Preview Save

**Incoming Correspondence in File**

Correspondence Source: All Correspondence Direction: All Correspondence Flow: Examiner & Payment Coordinator Search

**List of Incoming Correspondence in File (R)**

Correspondence Type	Received Date	Reply Due Date
Request for withdrawal of designation	16 Jan 2010	31 Jan
Request for recording of change: Party Correspondence	20 Dec 2009	31 Jan
Request for recording of change: Party Name	16 Jan 2010	17 Feb
Request for recording of change: Party Correspondence	20 Dec 2009	31 Jan
Request for recording of change: Party Correspondence	20 Dec 2009	31 Jan
IB(316) Invitation to correct a priority claim	20 Dec 2009	31 Jan
Other	05 Oct 2009	31 Jan
Notification concerning payments	06 Dec 2009	15 Jan

**Incoming Correspondence Details**

Corr. Source: Applicant Corr. Flow: Coordinator & Examiner Corr. Category: Parties Corr. Type: Request for recording of change: Party Name

**File (s) Details**

Submission No.	SA No.	File Reference
2009-050003	PCT/IL2009/00856	VR201210
2009-050046	PCT/IL2009/01282	CHK412345

**Administrative Details**

Current Status: Info Coordinator View Status History

Received Date: 15 Jan 2010 Reply Due Date: 17 Feb 2010 Decision: Accept Following Status: Accepted Reply Date: 27 Jan 2010 Submission Means: Email Submitted by: Hassidim Eyal Contact Person: Hassidim Hila

**Document Details**

Attached Doc.s (5) Attach New Documents Open Doc. Unattach

Type Received Date Status

Creation Date: 15 Jan 2010 Created By: Barry  
Update Date: 20 Jan 2010 Updated By: Michael Bart  
Status Update Date: 20 Jan 2010

## Updating correspondence with multiple files (the files cannot be altered)

**File (x) Details**

Submission No.	SA No.	File Reference
2009-050003	PCT/IL2009/000856	VR201210
2009-050046	PCT/IL2009/012829	CHK412345

**Administrative Details**

Current Status: **in Coordinator** [View Status History](#)

Received Date: **15 Jan 2010**

Reply Due Date: **17 Feb 2010**

Decision: **Accept**

Following Status: **Accepted**

Reply Date: **27 Jan 2010**

Submission Means: **Email**

Submitted by: **Hassidim Eyal**

Contact Person: **Hassidim Hila**

Notes:

## Incoming Correspondence Status History

**Incoming Correspondence Status History**

Correspondence Flow	Previous Status	Decision	Decision Date	Decision By	Following Status
Coordinator & Examiner	in Examiner	Accept	23 Jan 2010	Neuman Isaac	Neuman Tchiya
Coordinator & Examiner	in Coordinator	Accept	10 Jan 2010	Rubin Yael	Steinhilber Yael



The screenshot displays the 'New Incoming Correspondence' window in the PCPT software. The window is organized into several functional areas:

- Contact Information:** Located at the top, it includes fields for 'Contact Name', 'Contact ID', and 'Contact Type'. There are also buttons for 'Add Contact', 'Edit Contact', 'Delete Contact', and 'Find Contact'.
- Incoming Correspondence Details:** This section on the right contains dropdown menus for 'Cor. Source' (Applicant), 'Cor. Flow' (Coordinator & Examiner), 'Cor. Category' (Parties), and 'Cor. Type' (Request for recording of charge: Party Name).
- File(s) Details:** Below the correspondence details, it features a table with columns for 'Submitter No.' and 'File Submitter No.'. There is an 'Additional File' section with a dropdown for 'Submission No.' and a '+ Add' button.
- Administrative Details:** The bottom section includes fields for 'Current Status' (c/o Coordinator), 'Received Date' (15 Jan 2010), 'Reply Due Date' (17 Feb 2010), 'Decision', 'Following Status', 'Reply Date', 'Submission Means' (Email), 'Submitted by' (Hossein Eyal), 'Contact Person' (Hossein Hilo), and a 'Notes' section.

The background shows the main PCPT interface with a menu bar (File, Edit, View, Tools, Window, Help) and a toolbar with icons for various functions like 'New File', 'Open File', 'Save', etc.

## 2.5.2. Outgoing Correspondence

### The definition

An outgoing correspondence is defined by its type, the destination and documents attached to it.

There are three outgoing correspondence types developed:

- Record Copy – an outgoing correspondence (OC) to the International Burro (IB) that includes all the main documents of the file.
- Search Copy – an OC to a Searching Authority that includes all the main documents of the file.
- Correspondence – an OC to the applicant, the IB or the SA

### Sending

In the first version developed sending the OC to an applicant or to a searching authority will cause all the documents selected for the OC to be printed so they can be sent by regular mail. Sending an OC to the IB will send the information electronically through the EDI.

### Outgoing Correspondence List

The screenshot displays the PCTE software interface. The top menu bar includes 'New File', 'Search for File by', 'International application file', 'Search', and 'Advanced Search'. The status bar at the top shows 'Article 11 Checked - Found Faults', 'FD: 05 Aug 2003', 'Pr. Date: ...', 'Period in Life: 12M 14d', 'Pay Status: Full Payment', and 'P. Claim defect'. The left sidebar contains a tree view with 'Examinations' (Article 11(1)), 'Formal Examinations' (106, 107, 110, 112, 155), 'Summary', 'Repeated Examinations', 'Correspondence' (Follow-Up, Incoming Correspondence, PCT Fowk, Outgoing Correspondence), and 'Activities'. The main window is titled 'Outgoing Correspondence in File' and contains a search form with fields for 'Correspondence Destination' (All), 'Correspondence Type' (All), 'Status' (All), 'Creation Date' (From), and 'Sent Date' (From). Below the search form is a table titled 'List of Outgoing Correspondences in File' with columns: 'Correspondence Type', 'Destination', 'Contents', 'Status', 'Sent Date', 'Creation Date', and 'Notes'. The table contains the following data:

Correspondence Type	Destination	Contents	Status	Sent Date	Creation Date	Notes
Record Copy	IB		Waiting to be Approved		31 Dec 2009	
Search Copy	ISA		Sent	03 Jan 2010	03 Jan 2010	
Correspondence	Applicant	RO102 RO106 RO148...	Waiting to be Sent		12 Jan 2010	
Correspondence	ISA	RO134 Description	Sent	06 Jan 2010	06 Jan 2010	

## Outgoing correspondence details:

**Outgoing Correspondence in File**

Correspondence Destination: All  
 Correspondence Type: All  
 Status: All  
 Creation Date: From: To:  
 Sent Date: From: To:  
 Search

**Outgoing Correspondence Details**

Corr. Destination: Applicant  
 Corr. Type: Correspondence  
 Status: Waiting to be Approved  
 Sending Approval: ☐ Approved  
 Upon Approval and Save, the correspondence will be sent to the designated destination.

**Administrative Details**

Creation Date: 02 Jan 2010  
 Sent Date: 09 Jan 2010  
 Notes:

**Document Details**

Attached Docs (3): Attach New Documents Open Doc Unattach

Document Type	Received Date
Abstract	20 Dec 2009
RO 102	21 Dec 2009
Description	03 Jan 2010

Click here to open a document file  
 \*Attached document path and name\*

**List of Outgoing Correspondence in File**

Correspondence Type	Destination	Contents
Record Copy	IS	
Search Copy	ISA	
Correspondence	Applicant	RO 102 RO 106 RO 145 RO 134
Correspondence	ISA	Description

## Confirmation message before sending:

**Outgoing Correspondence in File**

Correspondence Destination: All  
 Correspondence Type: All  
 Status: All  
 Creation Date: From: To:  
 Sent Date: From: To:  
 Search

**Outgoing Correspondence Details**

Corr. Destination: Applicant  
 Corr. Type: Correspondence  
 Status: Waiting to be Approved  
 Sending Approval: ☐ Approved  
 Upon Approval and Save, the correspondence will be sent to the designated destination.

**Administrative Details**

Creation Date: 02 Jan 2010  
 Sent Date: 09 Jan 2010  
 Notes:

**Document Details**

Attached Docs (3): Attach New Documents Open Doc Unattach

Document Type	Received Date
Abstract	20 Dec 2009
RO 102	21 Dec 2009
Description	03 Jan 2010

Click here to open a document file  
 \*Attached document path and name\*

**List of Outgoing Correspondence in File**

Correspondence Type	Destination	Contents
Record Copy	IS	
Search Copy	ISA	
Correspondence	Applicant	RO 102 RO 106 RO 145 RO 134
Correspondence	ISA	Description

**Confirmation Dialog Box:**

Upon Approve and Confirm  
 the correspondence will be sent to  
 the designated destination.  
 Do you want to confirm the approval?  
 Confirm Cancel

Outgoing correspondence details after distribution: (Only notes can be updated)

**PCT** New File Search for File by: International application file Search Advanced Search

**IA No:** PCT/IL2009/00055 **FD:** 05 Aug 2009 **Pr. Date:** **Period in Life:** 17M 14d **Disburse:** **Re Main Party:**

**Status:** Article 41 Checked - Found Faults **In Charge:** Grabe Irena **Pay Status:** Full Payment **P:** Claim defect

**Outgoing Correspondence in File** Admin Documents

**Filter**

Correspondence Destination: All

Correspondence Type: All

Status: All

Creation Date: From: To:

Sent Date: From: To:

**List of Outgoing Correspondence in File (%)**

Correspondence Type	Destination	Contents
Record Copy	IS	
Search Copy	ISA	
Correspondence	Applicant	RO102 RO106 RO148 RO134 Description

**Outgoing Correspondence Details**

Corr. Destination: IS

Corr. Type: Record Copy

Status: Sent

Sending Approval: ☒ Approved

**Administrative Details**

Creation Date: 02 Jan 2010

Sent Date: 08 Jan 2010

Notes:

**Document Details**

**Attached Files (3)** Documents Open Doc

Document Type	Received Date
Abstract	30 Dec 2009
RO102	21 Dec 2009
Description	03 Jan 2010

Click Document icon to open document file.

**Attached Forms (2)** Documents Open Doc

Form Type	Making date	Last Sent Date	Responded
PCT/RO/106	01 Jan 2010	20 Jan 2010	Responded
PCT/RO/113	02 Jan 2010	30 Jan 2010	Partially Responded

Click Document icon to open document file.

**File Contents**

**File Management**

**Fee Calculations**



### 2.5.3. RO Forms

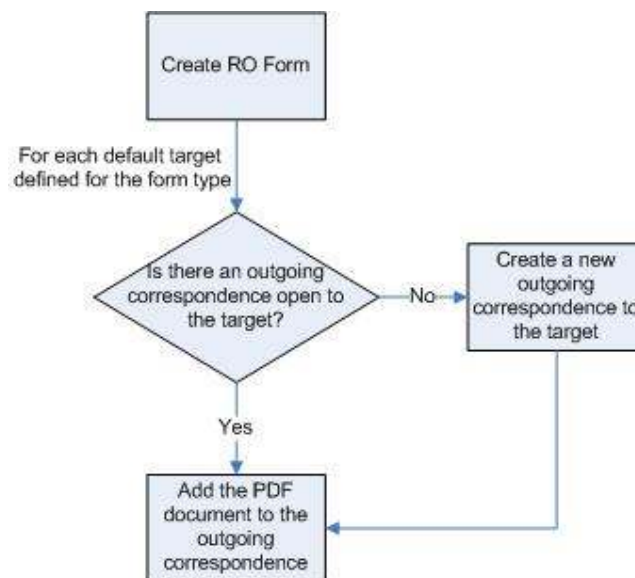
There are about 50 forms defined by the PCT treaty. All of the forms are implemented, most of them as regular forms and some as part of examinations or fees.

#### Creating and updating an RO Form

Each form has its own specific set of fields and a section for office use.

When creating a form the specific form details are filled and saved. In the office use section default reply due and reminder dates are calculated according to the date of mailing specified and the form type.

After saving the form a PDF document is created in the background and is attached to an outgoing correspondence. Each form type has default targets defined; the PDF document of the form is attached to OC for each target. If there are no OC open, new OC will automatically be generated.



A form can not be updated if it is attached to an OC that has been sent.

## 2.5.4. The Follow Up

In the follow up screen all the correspondence both incoming and outgoing can be viewed according chronological order. The Forms sent can be viewed as well.

The follow up can be viewed out of a file context so all the correspondence received and send in all files can be viewed together.

The follow up screen – Search maximized:

The screenshot shows the PCT follow up screen with the search filters maximized. The interface includes a top navigation bar with options like 'New File', 'Search for File by', 'International application No.', 'Search', and 'Advanced Search'. Below this, there are tabs for 'RO Formal Examiner: 10', 'Coordinator: 12', 'Payment Coordinator: 4', and 'External Users: User Coordinators: 2'. The main section is titled 'Correspondence Followup' and contains a search form with various filters: 'File ref No' (12345678901234567), 'Correspondence Direction' (All), 'By Incoming Correspondence Details' (Received Date, From, To, Correspondence Source, Correspondence Type, Correspondence Status, Correspondence Flow, Multi-File Correspondence), 'By Outgoing Correspondence Details' (Sent Date, From, To, Correspondence Destination, Correspondence Type, Correspondence Status), and 'By PCT Form Details' (Form Creation Date, From, To, Form Reply Due Date, From, To, Form Type, Form Response Status). A 'Search' button is at the bottom right of the search form.

Direction	Correspondence Type	File No. / Multi-File No.	Sent / Received Date	Status	Form Response Status	Source/Registration	Comments	Notes
Record Copy	Search Copy	PCTAL2009000785	01 Jan 2009	Sent	Unresponded Form	ISA-EP	RO102	
		PCTAL2009000786		Waiting to be sent	Unresponded Form	RO100	RO140	
Correspondence		PCTAL2009000782	15 Jan 2009	Sent	All Forms responded	Applicant		
Correspondence		PCTAL2009000783	20 Jan 2009	Sent	All Forms responded	Applicant		
Correspondence		PCTAL2009000780	23 Mar 2009	Sent	All Forms responded	Applicant		
Correspondence		PCTAL2009000786	30 Mar 2009	Sent	All Forms responded	Applicant		
Correspondence		PCTAL2009000782	20 Apr 2009	co Coordinator	No Response needed	Applicant	RO134	Description
Request for recording		5	20 Apr 2009	co Coordinator		Applicant		

The follow up screen – search minimized:

The screenshot shows the PCT follow up screen with the search filters minimized. The interface is similar to the previous one, but the search form is collapsed. The 'List of Correspondences' table is visible, showing the same data as the previous screenshot. The table includes columns for Direction, Correspondence Type, File No. / Multi-File No., Sent / Received Date, Status, Form Response Status, Source/Registration, Comments, and Notes. The data rows are the same as in the previous screenshot, but the 'Request for recording' row is now visible at the bottom of the table.

Direction	Correspondence Type	File No. / Multi-File No.	Sent / Received Date	Status	Form Response Status	Source/Registration	Comments	Notes
Record Copy	Search Copy	PCTAL2009000785	01 Jan 2009	Sent	Unresponded Form	ISA-EP	RO102	
		PCTAL2009000786		Waiting to be sent	Unresponded Form	RO100	RO140	
Correspondence		PCTAL2009000782	15 Jan 2009	Sent	All Forms responded	Applicant		
Correspondence		PCTAL2009000783	20 Jan 2009	Sent	All Forms responded	Applicant		
Correspondence		PCTAL2009000780	23 Mar 2009	Sent	All Forms responded	Applicant		
Correspondence		PCTAL2009000786	30 Mar 2009	Sent	All Forms responded	Applicant		
Correspondence		PCTAL2009000782	20 Apr 2009	co Coordinator	No Response needed	Applicant	RO134	Description
Request for recording		5	20 Apr 2009	co Coordinator		Applicant		
Party Correspondence	RO131 & invitation to amend a priority claim	PCTAL2009000780	03 June 2009	Accepted		Applicant		
Party Correspondence	RO131 & invitation to amend a priority claim	PCTAL2009000782	03 June 2009	Accepted		Applicant		
Party Correspondence	RO131 & invitation to amend a priority claim	PCTAL2009000786	03 June 2009	Accepted		Applicant		
Request for recording		2	03 June 2009	Accepted		Applicant		
Request for recording		3	03 June 2009	Accepted		Applicant		
Request for recording		4	03 June 2009	Accepted		Applicant		
Request for recording		5	03 June 2009	Accepted		Applicant		

The follow up screen – search minimized, with outgoing correspondence details

**PCT**

New File + Search for File by: International application file Search Advanced Search

Tasks Parties Archive Correspondences Finance Reports System Management

RO Formal Examiner: 10 Coordinator: 12 Payment Coordinator: 8 External Users: User Coordinator: 3 Contacts: 2

Edit Delete Documents Print Preview Save

Correspondence Followup

Search: Received Date: From: 01 Jan 2009 To: 30 May 2009; Multi-File: All Sent Date: From: 01 Jan 2009 To: ...

List of Correspondences

Direction	Correspondence Type	File No. / Multi-File	Sent: Received Date	Status	Form
Record Copy	Record Copy	PCTAL2009000765	01 Jan 2009	Sent	LA
Search Copy	Search Copy	PCTAL2009000765		Waiting to be Sent	
Correspondence	Correspondence	PCTAL2009000762	15 Jan 2009	Sent	A
Correspondence	Correspondence	PCTAL2009000763	20 Jan 2009	Sent	A
Correspondence	Correspondence	PCTAL2009000760	23 Mar 2009	Sent	A
Correspondence	Correspondence	PCTAL2009000766	30 Mar 2009	Sent	A
Correspondence	Correspondence	PCTAL2009000767	20 Apr 2009	to Coordinator	A
Request for recording of Change: Party Name		5	20 Apr 2009	to Coordinator	
Party Correspondence	Party Correspondence	PCTAL2009000769	03 June 2009	Accepted	
RO/15 invitation to correct a priority claim	RO/15 invitation to correct a priority claim	PCTAL2009000762	03 June 2009	Accepted	
RO/15 invitation to correct a priority claim	RO/15 invitation to correct a priority claim	PCTAL2009000766	03 June 2009	Accepted	
Party Correspondence	Party Correspondence	PCTAL2009000765	03 June 2009	Accepted	
Request for recording of Change: Party Name		2	03 June 2009	Accepted	
Request for recording of Change: Party Name		PCTAL2009000766	03 June 2009	Accepted	
Request for recording of Change: Party Name		6	03 June 2009	Accepted	

Outgoing Correspondence Details Admin Documents

Corr. Destination: 85  
Corr. Type: Record Copy

Status: Sent  
Sending Approval: Approved

Administrative Details  
Creation Date: 20 Dec 2009  
Sent Date: 01 Jan 2009  
Notes:

Document Details  
Attached Docs (3) Documents OpenDoc


Document Type	Received Date
Abstract	20 Dec 2009
RO/15	21 Dec 2009
Description	09 Jan 2010

Click Document icon to open document file.

Attached Forms (2) Documents OpenDoc

Form Type	Meeting date	Last Sent Date	Responded
PCT/RO/106	01 Jan 2010	20 Jan 2010	Responded
PCT/RO/113	02 Jan 2010	20 Jan 2010	Partially Responded

Follow up print preview:



Print Date & Time: 06 Jan 2010 12:18  
Printed by: Yifat Rubin

Correspondence FollowUp

**Search**

Correspondence Direction: All

By Incoming Correspondence Details

Received Date: From: 20 Dec 2008 Till: 28 Sep 2009 Correspondence Type: All

Correspondence Source: All Correspondence Status: All

Correspondence Flow: All Multi-File Correspondence: All

By Outgoing Correspondence Details

Sent Date: From: 20 Dec 2008 Till: 28 Sep 2009 Correspondence Type: All

Correspondence Destination: All Correspondence Status: All

By PCT Form Details

Form Creation Date: From: 20 Dec 2008 Till: 28 Sep 2009 Form Type: All

Form Reply Due Date: From: 20 Dec 2008 Till: 28 Sep 2009 Form Response Status: All

**List of Correspondences**

Direction	Correspondence Type	Sent / Received Date	Status	Form Response Status	Source / Destination	Contents	Other Files	Notes
Record Copy	Search Copy	01 Jan 2009	Sent	Unresponded Form	IB	RO102		
			Waiting to be Sent	Unresponded Form	ISA-EP	RO106		
						RO140		
Correspondence		15 Jan 2009	Sent	All Forms responded	Applicant		5	
Correspondence		20 Jan 2009	Sent	All Forms responded	Applicant			
Correspondence		23 Mar 2009	Sent	All Forms responded	Applicant			
Search Copy			Waiting to be Sent	Unresponded Form	ISA-EP	RO102		
						RO106		
Search Copy			Waiting to be Sent	Unresponded Form	ISA-EP	RO102		
						RO106		
						RO140		
Correspondence		15 Jan 2009	Sent	All Forms responded	Applicant		5	
Correspondence		20 Jan 2009	Sent	All Forms responded	Applicant			
Correspondence		23 Mar 2009	Sent	All Forms responded	Applicant			
Correspondence		30 Mar 2009	Sent	All Forms responded	Applicant			
Correspondence		20 Apr 2009	co Coordinator	No Response needed	Applicant	RO134		
Request for recording of Change: Party Name		20 Apr 2009	co Coordinator		Applicant	Description		
Party Correspondence		03 June 2009	Accepted		Applicant			
IB/316 Invitation to correct a priority claim		03 June 2009	Accepted		IB			
IB/316 Invitation to correct a priority claim		03 June 2009	Accepted		IB			
Party Correspondence		03 June 2009	Accepted		Applicant		3	
Party Correspondence		03 June 2009	Accepted		Applicant			
IB/316 Invitation to correct a priority claim		03 June 2009	Accepted		IB			
Party Correspondence		03 June 2009	Accepted		Applicant			
IB/316 Invitation to correct a priority claim		03 June 2009	Accepted		IB			
IB/316 Invitation to correct a priority claim		03 June 2009	Accepted		IB			
Party Correspondence		03 June 2009	Accepted		Applicant		3	
Request for recording of Change: Party Name		03 June 2009	Accepted		Applicant			
Request for recording of Change: Party Name		03 June 2009	Accepted		Applicant			
Request for recording of Change: Party Name		03 June 2009	Accepted		Applicant			

Page 1 of 1

## 2.5.5. Examinations

Each file must pass two examinations, an Article 11(1) examination and a formal examination. The formal examination is made up of screens based on the RO106, RO107, RO110, RO112, RO156 forms.

### The Article 11(1) Examination

The article 11(1) examination is based on the RO103 form. File information is displayed to warn about possible defects. The examination is done in two steps, first the coordinator examines the file and marks the completion, and then the formal examiner rechecks and marks his completion as well. An RO103 form is created upon the completion of the formal examiner if there are defects found (at least one of the examination's fields is checked). If a form is created it is automatically saved as a PDF document and linked to an outgoing correspondence targeted to the applicant.

The screenshot displays the PCT Exam software interface. The top menu bar includes 'New File', 'Search for File by', 'International application No.', 'Search', and 'Advanced Search'. The status bar at the top shows 'IA No.: PCT/IL 2005/000555', 'FD: 05 Aug 2003', 'Pr. Date:', 'Period in Life: 17M 14d', 'Status: Article 11 Checked - Found Faults', 'In Charge: Grafe Irene', 'Pay Status: Full Payment', and 'P. Claim defect:'. The left sidebar contains a tree view with 'Examinations' (Article 11(1), Formal Examinations, Repeated Examinations), 'Correspondence' (Follow-Up, Incoming Correspondence, PCT Forks, Outgoing Correspondence), 'Activities', 'File Contents', 'File Management', and 'Fee Calculations'. The main window is titled 'Article 11(1) Examination (103) - Invitation to Correct the Purposed International Application'. It shows the 'Status: During Examination' and a list of examination items with checkboxes and file information. The items are: 1. Lack for reasons of residence and nationality (There is no applicant with tool residence or nationality), 2. The description is not in the prescribed language, 3. The claims are not in the prescribed language, 4. The application does not contain an indication that it is intended as an international application, 5. The application does not contain the name of the applicant (There is no applicant), 6. The application does not contain a part which on the face of it appears to be a description (There is no Description part (document) - check application), 7. The application does not contain a part which on the face of it appears to be a claim or claims (There is no Claim's part (document) - check application). Below these items, there is a section 'Where item 6 and/or 7 apply, the applicant is hereby invited to:' with checkboxes for 'to furnish the required correction under Article 11(2)' and 'to confer in accordance with Rule 20.6(a) that the element referred to in Article 11(1)(3)(ii) and/or (e) was incorporated by reference under Rule 4.13'. An 'Attention' section follows, stating 'The time limit for responding to this invitation expires later than 12 months from the filing date or the earliest application the priority of which is claimed, except if the international application was filed within 14 months from the filing date of the earliest application. In accordance with Rules 20.6(a) and 20.7(a)(ii), in relation to the priority document, the applicant shall furnish:' followed by checkboxes for 'Where the applicant was not already complied with Rules 17.1(a)(ii) or (b)-(d) in relation to the priority document, a copy of the earlier application is filed' and 'Translation of the earlier application into the language prescribed (Rule 20.6(a)(ii))'. At the bottom, there is a checkbox for 'Coordinator: Completed Examination'.



**Article 11(1) Examination (106) - Invitation to Correct the Purposed International Application**

Status: During Examination

☒ The application does not contain a part which on the face of it appears to be a claim or claims. There is no claim's part (document) - check application

Where item 6 and/or 7 apply, the applicant is hereby invited to:

☐ to furnish the required correction under Article 11(2),

☐ to confirm in accordance with Rule 20.6(a) that the element referred to in Article 11(1)(a)(ii) and/or (a) was incorporated by reference under Rule 4.18.

**Attention**

☐ The time limit for responding to this invitation expires later than 12 months from the filing date of the earliest application the priority of which is claimed, except if the international application was filed within 14 months from the filing date of the earliest application.

In accordance with Rules 20.6(a) and 20.7(a)(ii), in relation to the priority document, the applicant shall furnish:

1. ☐ Where the applicant was not already complied with Rules 17.3(a)(ii) or (b-iii) in relation to the priority document, a copy of the earlier application as filed.

4. ☐ Translation of the earlier application into the language prescribed (Rule 20.6(a)(ii)).

☐ Coordinator: Completed Examination

☐ Examiner: Completed Article 11(1) Examination

**RO Form Details**

Date of Mailing: 20 Dec 2009

RO Form:

Notes:

## The Formal Examination

The formal examination is made up of 5 different segments that are based on RO106, RO107, RO110, RO112, RO156 forms.

The formal examination is performed by the formal examiner. The examiner must go over the 106, 107 and 112 segments, 110 is required only if there are priority claims in the file, 156 is required only if there are declarations. After the completion of all required segments the completion of the formal examination is reported through the activity screen. Upon the report a form is created for each one of the segments that has a defect reported for it. The forms created are in the PCT Treaty standard.



**PCT FORM** New File - Search for File by: International application No. Search Advanced Search

IA No: PCT/IL 2005/000555 IP: 05 Aug 2003 Pr. Date: Period in Life: 17M 14d ↑ Dismiss ↑ No Main Party

Status: Article 11 Checked - Found Faults In Charge: Grabe Irena Pay Status: Full Payment ↑ P. Claim defect

Save Read

**Examinations**

- Article 11(1)
- Formal Examinations
  - 100
  - 107
  - 110
  - 112
  - 155
- Summary
- Repeated Examinations

**Correspondence**

- Follow-Up
- Incoming Correspondence
- PCT Forms
- Outgoing Correspondence
- Activities

File Contents File Management Fee Calculations

**Formal Examination. 100 - Invitation to Correct Effects in the International Application** Annex A Annex B Annex C P.O. Form

Status: During Examination

**Annex A**

1. As to **signature** of the international application (Rules 4.15, 26.2b(a) and 80.4), the request:

- ☐ is not signed by the applicant or, if there is more than one applicant, by at least one of them.
- ☒ is not accompanied by the statement referred to in the check list in Box No. IX of the request explaining the lack of the signature of an applicant for the designation of the United States of America.
- ☒ is signed by what appears to be an agent/common representative but:
  - ☐ The international application is not accompanied by a power of attorney appointing him.
  - ☐ The power of attorney accompanying the international application is not signed by all the applicants.
- ☐ Other (Specify):

2. As to indications concerning the **applicant** who is entitled, according to Rule 19.1, to file the international application with the receiving Office, the request (Rules 4.4, 4.5 and 26.2a(a)):

- ☐ does not properly indicate the applicant's name (Specify):
- ☐ does not indicate the applicant's address.
- ☐ does not properly indicate the applicant's address (Specify):
- ☐ does not indicate the applicant's nationality.
- ☐ does not indicate the applicant's residence.
- ☐ Further observations about indications concerning other applicants (if applicable):

**PCT FORM** New File - Search for File by: International application No. Search Advanced Search

IA No: PCT/IL 2005/000555 IP: 05 Aug 2003 Pr. Date: Period in Life: 17M 14d ↑ Dismiss ↑ No Main Party

Status: Article 11 Checked - Found Faults In Charge: Grabe Irena Pay Status: Full Payment ↑ P. Claim defect

Save Read

**Examinations**

- Article 11(1)
- Formal Examinations
  - 100
  - 107
  - 110
  - 112
  - 155
- Summary
- Repeated Examinations

**Correspondence**

- Follow-Up
- Incoming Correspondence
- PCT Forms
- Outgoing Correspondence
- Activities

File Contents File Management Fee Calculations

**Formal Examination. 100 - Invitation to Correct Effects in the International Application** Annex A Annex B Annex C P.O. Form

Status: During Examination

**Annex A**

☐ Does not indicate the applicant's residence.

☐ Further observations about indications concerning other applicants (if applicable):

3. As to the **language** of certain elements of the international application, other than the description and claims (Rules 12.1(c)):

- ☐ The request is not in a language of publication accepted by this receiving Office.
- ☐ The text matter of the drawings is not in the language in which the international application is to be published.
- ☐ The abstract is not in the language in which the international application is to be published.

4. The **title** of the invention:

- ☐ is not indicated in Box No. 1 of the request (Rule 4.1(a)).
- ☐ is not indicated at the top of the first sheet of the description (Rule 5.1(a)).
- ☐ As appearing in Box No. 1 of the request is not identical with the title heading the description (Rule 5.1(a)).

5. As to the **abstract** (Rules 5 and 26.1(b)):

- ☐ The international application does not contain an abstract.

**Annex B**

This receiving Office has found that, with regard to the presentation of the text matter of the international application as filed, the physical requirements are not complied with to the extent that compliance therewith is necessary for:

**PCT** WIPO

New File | Search for File by: International application No. | Search | Advanced Search

App. No.: **PCT/IL2005/000555** | FID: **05 Aug 2003** | Pr. Date: | Period in Life: **17M 14d** | **Disposal** | **Is Main Party**

Status: **Article 11 Checked - Found Faults** | In Charge: **Grabe Irena** | Pay Status: **Full Payment** | **P. Claim defect**

Save | Reset

**Examinations**

- Article 11(1)
- Formal Examinations
  - 100**
  - 107
  - 110
  - 112
  - 155
- Repeated Examinations

**Correspondence**

- Follow-Up
- Incoming Correspondence
- PCT Forms
- Outgoing Correspondence
- Activities

**File Contents**

- File Management
- Fee Calculations

**Formal Examination- 100 - Invitation to Correct Defects in the International Application** | Annex A | **Annex B** | Annex C | P.O. Form

Status: **During Examination**

**Annex B**

This receiving Office has found that, with regard to the presentation of the **text matter of the international application as filed**, the physical requirements are not complied with to the extent that compliance therewith is necessary for:

1. ☐ Reasonably uniform international publication (Rules 11 and 26.3(a)(i)) (defects to be specified):

	Request	Description	Claims	Abstract
a. the sheets do not admit of direct reproduction	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b. the element does not commence on a new sheet	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c. sheets are not free from creases, cracks, folds	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d. sheets are not used in the upright position	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e. one side of the sheets is not left unused	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f. the paper of the sheets is not flexible/strong/white/smooth/non-shrinkable	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
g. the sheets are not connected as prescribed (Rule 11.4(b))	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
h. sheets are not A4 size (29.7cm x 21 cm)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
i. the minimum margins on the sheets are not as prescribed (top: 2cm, left side: 2.5cm, right side: 2cm, bottom: 2cm)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
j. the file reference number indicated on the sheets does not appear in the left-hand corner of the sheets, within 1.5 cm of the top of the sheets	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
k. the file reference number exceeds the maximum of 12 characters	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**Request** **Description** **Claims** **Abstract**

l. the sheets of the description, claims and abstract are not numbered in consecutive Arabic numerals	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
m. the sheet numbers are not centered at the top or bottom of the sheets	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
n. the sheet numbers are in the margin (see i. above for the size of the margins)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
o. the text matter is not typed or printed	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
p. the typing on the sheets is not 1 1/2-spaced	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
q. the characters in the text matter on the sheets are less than 0.25 cm high in capital letters	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
r. the text matter on the sheets is not in dark, indelible color	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
s. the document contains drawings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
t. the sheets contain alteration or over-writings/interlineations/other marks	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
u. the sheets contain photocopy marks	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

2. ☐ Satisfactory reproduction (Rules 11 and 26.3(b)(i))

Further observations (if necessary):

**PCT** WIPO

New File | Search for File by: International application No. | Search | Advanced Search

App. No.: **PCT/IL2005/000555** | FID: **05 Aug 2003** | Pr. Date: | Period in Life: **17M 14d** | **Disposal** | **Is Main Party**

Status: **Article 11 Checked - Found Faults** | In Charge: **Grabe Irena** | Pay Status: **Full Payment** | **P. Claim defect**

Save | Reset

**Examinations**

- Article 11(1)
- Formal Examinations
  - 100**
  - 107
  - 110
  - 112
  - 155
- Repeated Examinations

**Correspondence**

- Follow-Up
- Incoming Correspondence
- PCT Forms
- Outgoing Correspondence
- Activities

**File Contents**

- File Management
- Fee Calculations

**Formal Examination- 100 - Invitation to Correct Defects in the International Application** | Annex A | **Annex B** | Annex C | P.O. Form

Status: **During Examination**

**Annex B**

This receiving Office has found that, with regard to the presentation of the **text matter of the international application as filed**, the physical requirements are not complied with to the extent that compliance therewith is necessary for:

1. ☐ Reasonably uniform international publication (Rules 11 and 26.3(a)(i)) (defects to be specified):

	Request	Description	Claims	Abstract
j. the file reference number indicated on the sheets does not appear in the left-hand corner of the sheets, within 1.5 cm of the top of the sheets	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
k. the file reference number exceeds the maximum of 12 characters	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**Request** **Description** **Claims** **Abstract**

l. the sheets of the description, claims and abstract are not numbered in consecutive Arabic numerals	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
m. the sheet numbers are not centered at the top or bottom of the sheets	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
n. the sheet numbers are in the margin (see i. above for the size of the margins)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
o. the text matter is not typed or printed	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
p. the typing on the sheets is not 1 1/2-spaced	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
q. the characters in the text matter on the sheets are less than 0.25 cm high in capital letters	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
r. the text matter on the sheets is not in dark, indelible color	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
s. the document contains drawings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
t. the sheets contain alteration or over-writings/interlineations/other marks	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
u. the sheets contain photocopy marks	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

2. ☐ Satisfactory reproduction (Rules 11 and 26.3(b)(i))

Further observations (if necessary):



**PCT** New File Search for File by: International application No. Search Advanced Search

IA No: **PCT/IL2005/000555** IP: 05 Aug 2003 Pr. Date: Period in Life: 17M 14d ↑ Dismiss ↑ No Main Party

Status: **Article 11 Checked - Found Faults** In Charge: Grabe Irena Pay Status: Full Payment ↑ P. Claim defect

Save Reset

**Examinations**

- Article 11(1)
- Formal Examinations
  - 100**
  - 107
  - 110
  - 112
  - 155
- Summary
- Repeated Examinations
- Correspondence
  - Follow-Up
  - Incoming Correspondence
  - PCT Forms
  - Outgoing Correspondence
  - Activities
- File Contents
- File Management
- Fee Calculations

**Formal Examination: 100 - Invitation to Correct Defects in the International Application** Annex A Annex B Annex C P.O. Form

Status: **During Examination**

**Annex C**

This receiving Office has found that, with regard to the presentation of the drawings of the international application as filed, the physical requirements are not complied with to the extent that compliance therewith is necessary for:

☐ Reasonably uniform international publication (Rules 11 and 26.3(b)(4)) contents to be specified:

**Sheets containing drawings**

- ☐ The sheets do not admit of direct reproduction
- ☐ The sheets are not free from creases, cracks, folds
- ☐ One side of the sheets is not left unused
- ☐ The paper of the sheets is not flexible/strong/white/anti-hum-dry/durable
- ☐ The drawings do not commence on a new sheet
- ☐ The sheets are not connected as prescribed (Rule 11.4(b))
- ☐ The sheets are not A4 size (29.7cm x 21 cm)
- ☐ The minimum margins on the sheets are not as prescribed (top: 2.5cm; left side: 2.5cm; right side: 1.5cm; bottom: 1cm)
- ☐ The file reference number indicated on the sheets does not appear in the left-hand corner of the sheets, within 1.5 cm of the top of the sheets
- ☐ The file reference number exceeds the maximum of 12 characters
- ☐ The sheets are not free from frames around usable or used portions
- ☐ The sheets are not numbered in consecutive Arabic numerals (e.g. 1/3, 2/3, 3/3)
- ☐ The sheet numbers are not centered at the top or bottom of the sheets
- ☐ The sheet numbers are in the margin (see h. above for the size of the margins)

**PCT** New File Search for File by: International application No. Search Advanced Search

IA No: **PCT/IL2005/000555** IP: 05 Aug 2003 Pr. Date: Period in Life: 17M 14d ↑ Dismiss ↑ No Main Party

Status: **Article 11 Checked - Found Faults** In Charge: Grabe Irena Pay Status: Full Payment ↑ P. Claim defect

Save Reset

**Examinations**

- Article 11(1)
- Formal Examinations
  - 100**
  - 107
  - 110
  - 112
  - 155
- Summary
- Repeated Examinations
- Correspondence
  - Follow-Up
  - Incoming Correspondence
  - PCT Forms
  - Outgoing Correspondence
  - Activities
- File Contents
- File Management
- Fee Calculations

**Formal Examination: 100 - Invitation to Correct Defects in the International Application** Annex A Annex B Annex C P.O. Form

Status: **During Examination**

**Annex C**

**Drawings (Rule 11.13):**

- ☐ Do not admit of direct reproduction
- ☐ Contain unnecessary text matter
- ☐ Contain words so placed as to prevent translation without interference with lines thereof
- ☐ Are not executed in durable black color; the lines are not uniformly thick and well-defined
- ☐ Contain cross-sections not properly hatched
- ☐ Would not be properly distinguishable in reduced reproduction
- ☐ Contain scales not represented graphically
- ☐ Contain numbers, letters and reference lines lacking simplicity and clarity
- ☐ Contain lines drafted without the aid of drafting instruments
- ☐ Contain disproportionate elements of a figure not necessary for clarity
- ☐ Contain numbers and letters of height less than 0.32 cm
- ☐ Contain letters not conforming to the Latin, and where customary, Greek alphabets
- ☐ Contain figures on two or more sheets which form a single complete figure but which are not able to be assembled without concealing parts thereof
- ☐ Contain figures which are not properly arranged and clearly separated
- ☐ Contain different figures not numbered in consecutive Arabic numerals
- ☐ Contain different figures not numbered independently of the numbering of the sheets



107:

**PCT Form**

Search for File by: International application No. [ ] Search [ ] Advanced Search [ ]

IA No.: PCT/IL2005/000555 IP: 05 Aug 2003 Pr. Date: [ ] Period in Life: 17M 14d [ ] **Unissued** [ ] **No. Main Party**

Status: Article 11 Checked - Found Faults In Charge: Grabe Irena Pay Status: Full Payment [ ] P: Claim defect [ ]

Save [ ] Read [ ]

**Examinations**

Article 11(1)

Formal Examinations

100 [ ]

107 [ ]

110 [ ]

112 [ ]

155 [ ]

Summary [ ]

Repeated Examinations [ ]

Correspondence

Follow-Up [ ]

Issuing Correspondence [ ]

PCT Forks [ ]

Outgoing Correspondence [ ]

Activities [ ]

File Contents [ ]

File Management [ ]

Fee Calculations [ ]

**Formal Examination - 107 - Invitation relating to certain parts of the international application that are, or appear to be, missing** Body [ ] R.O. Form [ ]

Status: During Examination

1. This receiving Office has found:

a. ☐ parts of the description are, or appear to be, missing (specify pages): [ ]

b. ☐ a part of a claim or a part of the claims are, or appear to be, missing (specify pages): [ ]

c. ☐ parts or all of the drawings are, or appear to be, missing (specify pages): [ ]

d. ☐ references to drawings, which appear to be missing, are made on pages [ ]

2. The applicant is hereby invited, within the time limit indicated above, at the applicant's option:

☐ (i) to complete the purported international application by furnishing the missing part(s); or

☐ (ii) to confirm in accordance with Rule 20.6(a) that the part was incorporated by reference under Rule 4.19 (see Annex for details);

**4. Attention:**

☐ The time limit for responding to this invitation expires later than 12 months from the filing date of the earliest application the priority of which is claimed. In the case referred to in paragraph 2 (i), any missing part received by this receiving Office after the expiration of this 12-month period may result not only in the international filing date being corrected but also in that priority claim being considered void for the purposes of the procedure under the PCT (Rule 26bis.2(b)), except if the international application was filed within 14 months from the filing date of the earliest application whose priority is claimed (Rule 26bis.2(c)(i)).

Where the applicant wishes to confirm in accordance with Rule 20.6(a) that the missing part was incorporated by reference under Rule 4.19, the applicant shall furnish, within two months from the date of mailing of this invitation (Rule 20.7(a)(i)), the following:

3. ☐ Where the applicant has not already complied with Rules 17.1 (a), (b) or (b-bis) in relation to the priority document, a copy of the earlier application as filed;

4. ☐ Translation of the earlier application into the language prescribed (Rule 20.6(a)(i));

5. ☐ Indication(s) where the missing part is contained in the earlier application and, where applicable any translation referred to under item (2), where the missing part is only a part of the description, claims or drawings;

110:

**PCT Form**

Search for File by: International application No. [ ] Search [ ] Advanced Search [ ]

IA No.: PCT/IL2005/000555 IP: 05 Aug 2003 Pr. Date: [ ] Period in Life: 17M 14d [ ] **Unissued** [ ] **No. Main Party**

Status: Article 11 Checked - Found Faults In Charge: Grabe Irena Pay Status: Full Payment [ ] P: Claim defect [ ]

Save [ ] Read [ ]

**Examinations**

Article 11(1)

Formal Examinations

100 [ ]

107 [ ]

110 [ ]

112 [ ]

155 [ ]

Summary [ ]

Repeated Examinations [ ]

Correspondence

Follow-Up [ ]

Issuing Correspondence [ ]

PCT Forks [ ]

Outgoing Correspondence [ ]

Activities [ ]

File Contents [ ]

File Management [ ]

Fee Calculations [ ]

**Formal Examination - 110 - Invitation to Correct Priority Claim and/or Notification of Possibility to Request Restoration of the Right of Priority** Body [ ] Annex A [ ] Annex B [ ] R.O. Form [ ]

Status: During Examination

1. ☐ The applicant is hereby invited to correct, by a notice submitted to the receiving Office, within the time limit indicated below, defects in the priority claim as indicated in Annex A.

**Time limit to respond to this invitation (Rule 26bis.1(a)):**

- within 16 months from the (earliest) priority date; or
- if the (earliest) priority date is changed as a result of the correction or addition of the (earliest) priority claim, within 16 months from that (earliest) priority date so changed,

whichever expires first, provided that such a notice may, in any event, be submitted until the expiration of four months from the international filing date.

**Failure to respond to this invitation within the prescribed time limit may result in the priority claim concerned to be considered void for the purposes of the procedure under the PCT (Rule 26bis.2(b)).**

2. ☐ The international filing date of the international application is later than the date on which the priority period (Rule 2.4) expired but is within two months from that date. The applicant is hereby notified of the possibility of submitting to the receiving Office, within the time limit indicated below, a request to restore the right of priority as indicated in Annex B.

**Time limit to request the restoration of the right of priority (Rule 26bis.3(a)):**

- within two months from the date on which the priority period expired.

3. ☐ In the case where multiple priorities have been claimed, this notice relates to the following priority claim:


[ ]

Annex A [ ]







**PCT**  New File - Search for File by: International application No. Search Advanced Search

IA No: **PCT/IL2005/000555** FD: **05 Aug 2003** Pr. Date: Period in Life: **17M 14d** **Outgoing** **See Main Party**  
 Status: **Article 41 Checked - Found Faults** In Charge: **Grabe Irena** Pay Status: **Full Payment** **P. Claim defect**

Save Reset

**Examinations**  
 Article 11(1)  
 Formal Examinations  
 100  
 107  
 110  
 112  
 155  
 Summary  
 Repeated Examinations  
 Correspondence  
 Follow-Up  
 Incoming Correspondence  
 PCT Forks  
 Outgoing Correspondence  
 Activities

**File Contents**  
**File Management**  
**Fee Calculations**

**Formal Examination - 110 - Invitation to Correct Priority Claim and/or Notification of Possibility to Request Restoration of the Right of Priority** [Body](#) [Annex A](#) [Annex B](#) [P.O. Form](#)

Status: During Examination

☐ Inconsistency with regard to the country party to the Paris Convention for the Protection of Industrial Property or the Member of the World Trade Organization in which the national application was filed:  
 The request indicates:   
 The priority document indicates:

☐ Inconsistency with regard to the authority entrusted with the granting of regional patents under the applicable regional patent treaty:  
 The request indicates:   
 The priority document indicates:

☐ Inconsistency with regard to the receiving Office with which the international application was filed:  
 The request indicates:   
 The priority document indicates:


**Annex B**

**REQUEST TO RESTORE THE RIGHT OF PRIORITY**

The request to restore the right of priority must be filed **within two months** from the date on which the priority period expired provided that, where the applicant makes a request for early publication under Article 21(2)(b), the request must be submitted before technical preparations for international publication have been completed (Rule 26bis.3(e)).

The request to restore the right of priority must state the reasons for the failure to file the international application within the priority period. This receiving Office will restore the right of priority if it finds that the following criterion or one of the following criteria for restoration is satisfied, namely that the failure to file the international application within the priority period:

☐ occurred in spite of due care required by the circumstances having been taken  
 and/or  
☐ was unintentional

**PCT**  New File - Search for File by: International application No. Search Advanced Search

IA No: **PCT/IL2005/000555** FD: **05 Aug 2003** Pr. Date: Period in Life: **17M 14d** **Outgoing** **See Main Party**  
 Status: **Article 41 Checked - Found Faults** In Charge: **Grabe Irena** Pay Status: **Full Payment** **P. Claim defect**

Save Reset

**Examinations**  
 Article 11(1)  
 Formal Examinations  
 100  
 107  
 110  
 112  
 155  
 Summary  
 Repeated Examinations  
 Correspondence  
 Follow-Up  
 Incoming Correspondence  
 PCT Forks  
 Outgoing Correspondence  
 Activities

**File Contents**  
**File Management**  
**Fee Calculations**

**Formal Examination - 110 - Invitation to Correct Priority Claim and/or Notification of Possibility to Request Restoration of the Right of Priority** [Body](#) [Annex A](#) [Annex B](#) [P.O. Form](#)

Status: During Examination

**Annex B**

**REQUEST TO RESTORE THE RIGHT OF PRIORITY**

The request to restore the right of priority must be filed **within two months** from the date on which the priority period expired provided that, where the applicant makes a request for early publication under Article 21(2)(b), the request must be submitted before technical preparations for international publication have been completed (Rule 26bis.3(e)).

The request to restore the right of priority must state the reasons for the failure to file the international application within the priority period. This receiving Office will restore the right of priority if it finds that the following criterion or one of the following criteria for restoration is satisfied, namely that the failure to file the international application within the priority period:

☐ occurred in spite of due care required by the circumstances having been taken  
 and/or  
☐ was unintentional

☐ This receiving Office may invite the applicant to furnish a declaration or other evidence in support of the statement of reasons (Rule 26bis.3(f)). Preferably, such declaration or other evidence should already be furnished together with the request for restoration of the right of priority.

**FEE PAYMENT**

☐ The submission of the request to restore the right of priority is subject to the payment of a fee, payable within two months from the date on which the priority period expired, in the amount of:  
 (amount/currency) for restoration based on the criterion of due care  
 or  
 (amount/currency) for restoration based on the criterion of unintentional.  
☐ No fee payment is required.

**PCT FORM**

New File - Search for File by: International application No. [Search] [Advanced Search]

App No: PCT/IL2005/000555 FID: 05 Aug 2003 Fy Date: Period in Life: 17M 14d In Charge: Grabe Irena Pay Status: Full Payment Status: Article 11 Checked - Found Faults P: Claim defect

Save Read

**Formal Examination: 155 - Invitation to Correct Declarations Made in Request** Body P.O. Form

Status: During Examination

The receiving Office has found the following defect(s) in the declaration(s) filed below:

1. Declaration as to the identity of the inventor (Rules 4.17(i) and 51bis.1(a)(i) and Section 211), in respect of:

a. Name(s) included in the declaration:   
☐ is not in the prescribed wording  
☐ other (specify):

b. Name(s) included in the declaration:   
☐ is not in the prescribed wording  
☐ other (specify):

2. Declaration as to the applicant's entitlement, as at the international filing date, to apply for (or be granted) a patent (Rules 4.17(ii) and 51bis.1(a)(ii) and Section 212), in respect of:

a. Name(s) included in the declaration:   
☐ is not in the prescribed wording  
☐ other (specify):

b. Name(s) included in the declaration:   
☐ is not in the prescribed wording  
☐ other (specify):

3. Declaration as to the applicant's entitlement, as at the international filing date, to claim priority of the earlier application (Rules 4.17(iii) and 51bis.1(a)(iii) and Section 213), in respect of:

a. Name(s) included in the declaration:   
☐ is not in the prescribed wording

**PCT FORM**

New File - Search for File by: International application No. [Search] [Advanced Search]

App No: PCT/IL2005/000555 FID: 05 Aug 2003 Fy Date: Period in Life: 17M 14d In Charge: Grabe Irena Pay Status: Full Payment Status: Article 11 Checked - Found Faults P: Claim defect

Save Read

**Formal Examination: 155 - Invitation to Correct Declarations Made in Request** Body P.O. Form

Status: During Examination

3. Declaration as to the applicant's entitlement, as at the international filing date, to claim priority of the earlier application (Rules 4.17(ii) and 51bis.1(a)(ii) and Section 212), in respect of:

a. Name(s) included in the declaration:   
☐ is not in the prescribed wording  
☐ other (specify):

b. Name(s) included in the declaration:   
☐ is not in the prescribed wording  
☐ other (specify):


4. Declaration of inventorship (only for the purposes of the designation of the United States of America) (Rules 4.17(v) and 51bis.1(a)(iv) and Section 214), in respect of:

a. Name(s) included in the declaration:   
☐ is not in the prescribed wording  
☐ is not signed by all inventors named in the declaration  
☐ other (specify):

b. Name(s) included in the declaration:   
☐ is not in the prescribed wording  
☐ is not signed by all inventors named in the declaration  
☐ other (specify):

5. Declaration as to non-prejudicial disclosures or exceptions to lack of novelty (Rules 4.17(v) and 51bis.1(a)(v) and Section 215), in respect of:

a. Name(s) included in the declaration:

**PCT**  New File - Search for File by: International application No. Search Advanced Search

IA No: PCT/IL2005/000555 FID: 05 Aug 2003 Pr. Date: Period In Life: 17M 14d **Outlook** **Vis. Main Party**

Status: Article 41 Checked - Found Faults In Charge: Grabe Irena Pay Status: Full Payment **P. Claim defect**

**Save** **Reset**

**Examinations**

- Article 11(1)
- Formal Examinations
  - 106
  - 107
  - 110
  - 112
  - 156**
- Summary
- Repeated Examinations
- Correspondence
  - Follow-Up
  - Incoming Correspondence
  - PCT Forks
  - Outgoing Correspondence
  - Activities

**Formal Examination- 156 - Invitation to Correct Declarations Made in Request** [Body](#) [R/O Form](#)

Status: During Examination

**4. Name(s) included in the declaration:**

☐ is not in the prescribed wording

☐ is not signed by all inventors named in the declaration

☐ other (capacity):

**5. Declaration as to non-prejudicial disclosures or exceptions to lack of novelty (Rules 4.17(v) and 51bis.1(a)(v) and Section 215), in respect of:**

**a. Name(s) included in the declaration:**

☐ is not in the prescribed wording

☐ other (capacity):

**b. Name(s) included in the declaration:**

☐ is not in the prescribed wording

☐ other (capacity):


☐ Completed 156 Process

**R/O Form Details**

Date of Mailing: 20 Dec 2009

R/O Form:

Notes:



**File Contents**

- File Management
- Fee Calculations

### Repeated Examination

After examining the file for the first time each one of the examinations (Article 11(1), 106, 107, 110, 112, and 156) can later be repeated as needed. In this case a form will be created only if there are defects in the examination

## **2.5.6. The File's Treatment Process**

### **The process**

Each file goes through a few main steps.

Filing, Article 11(1) Examination, security examination, formal examination, sending a record copy to the IB and sending a search copy to an ISA.

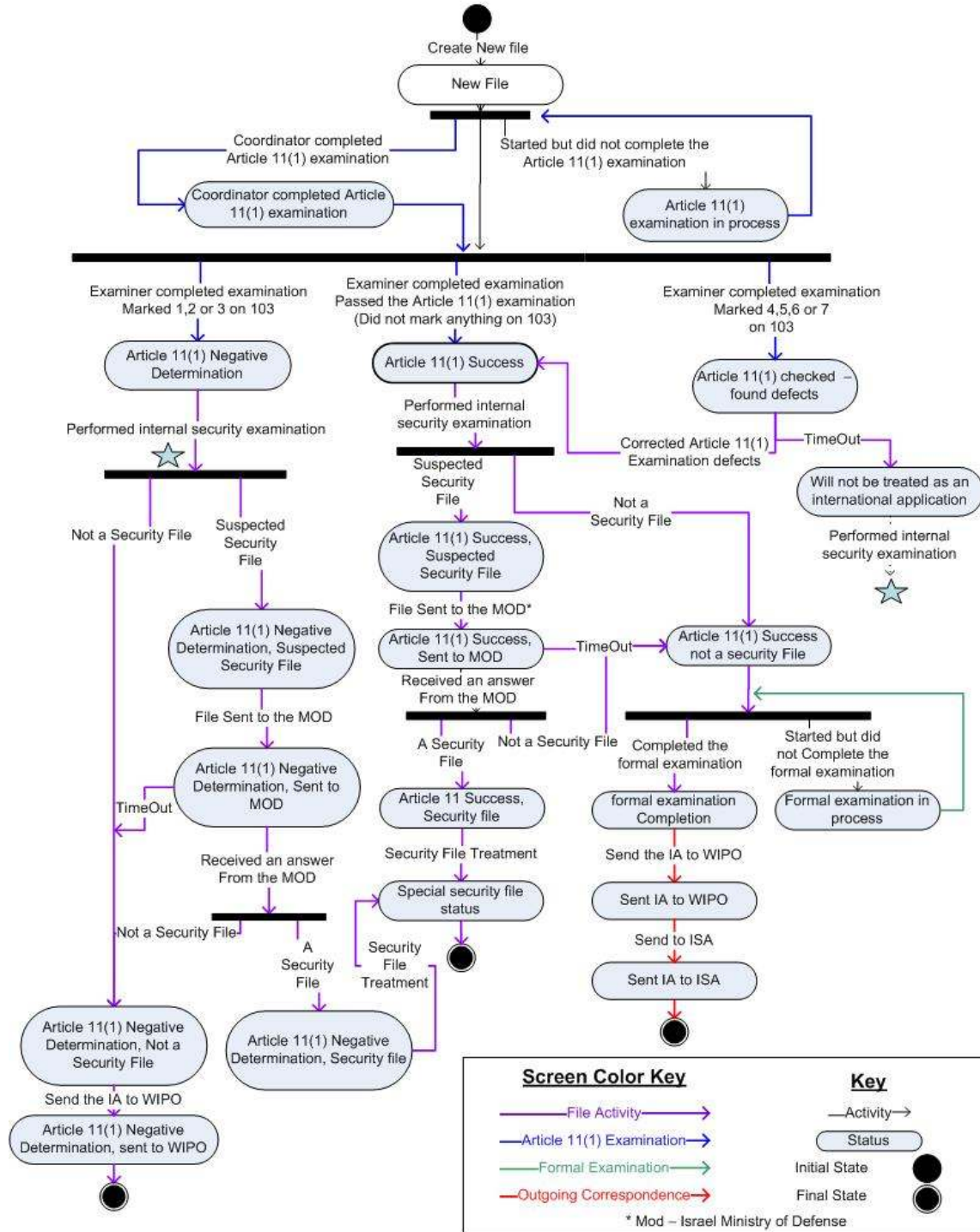
Some of the activities are performed in special screens (for example the Article 11(1) examination) and other activities must be declared specifically in the activities screen (for example the security check, completion of the formal examination)

The process exhibited is defined according to the requirements of the Israeli Receiving Office. It may be altered to accommodate processes in other receiving offices.

The file treatment process is described in the file status flow diagram.



## File Status Flow



## The Activity screen

Some of the activities must be explicitly declared in the activity screen. Some of the activities have special additional parameters and special functionality attached to them to support the file's treatment process.

The screenshot shows the CPT Activity screen. The top bar includes the CPT logo, a 'New File' button, a search bar, and file details: ID: 85 Aug 2009, Pr. Date: 17M 14d, Status: Article 11 Checked - Found Faults, In Charge: Grabe Irma, Pay Status: Full Payment, and P. Claim defect. The left sidebar contains a tree view with categories: Examinations (Article 11(1), Formal Examinations, Summary, Repeated Examinations), Correspondence (Follow-Up, Incoming Correspondence, PCT Forms, Outgoing Correspondence), Activities, File Contents, File Management, and Fee Calculations. The main area displays a table titled 'List of Activities in File (8)' with columns: Previous File Status, Activity, Following File Status, Creation Date, User, and Activity Status. The table contains 8 rows of activity data.

Previous File Status	Activity	Following File Status	Creation Date	User	Activity Status
No Status	Create New file	New File	09 Aug 2009	NameName	Status
New File	Coordinator completed Article	Coordinator completed Article	10 Oct 2009	NameName	Status
Coordinator completed Article	Examiner completed examination	Article 11(1) Success	14 Oct 2009	NameName	Status
Article 11(1) Success	Internal security examination	Article 11(1) Success, Susp	01 Nov 2009	NameName	Status
Article 11(1) Success, Susp	File Sent to the MOO	Article 11(1) Success, Sent	29 Nov 2009	NameName	Status
Article 11(1) Success, Sent	Received an answer from MO	Article 11(1) Success - Not	01 Dec 2009	NameName	Status
Article 11(1) Success - Not	Started but did not Complete	Formal examination in proc	03 Dec 2009	NameName	Status
Formal examination in process	Completed the formal exam	Formal examination completed	09 Dec 2009	NameName	Status

This screenshot shows the same CPT Activity screen as above, but with the 'Activity Details' dialog box open for the selected activity 'Completed the formal exam'. The dialog box has a 'Save' button and contains the following information:

- Previous File Status:** Formal examination in process
- Activity:** Completed the formal examination
- Following Status:** Formal examination completed
- <Additional Detail>**: (empty field)
- General Details:**
  - Activity Status:** Active
  - Creation Date:** 01 Jan 2009, **Created By:** Barry
  - Update Date:** 17 Feb 2009, **Updated By:** Michael Burt
  - Notes:** (empty text area)



## 2.6. Fee Calculation

The files fee management includes the checklist and the fees requested and payments made.

The actual fee calculation has an interface to a central financial system. This interface must be customized to the local financial systems when the system will be installed in other RO Offices.

### 2.6.1. Check List

The checklist includes the parameters that support the file's fee calculation. There are two columns: The first column displays the numbers declared in the PCT Safe, for informational purposes, the other, the RO checklist, is used in the calculation.

The screenshot displays the PCTSAFE application window. The title bar reads "PCTSAFE". The menu bar includes "New File", "Search for File by:", "International application file", "Search", and "Advanced Search". The status bar at the top shows "JANA: PCTBL2005.09055", "P.D: 05 Aug 2003", "Pr. Date:", "Period in Life: 17M 14d", "Status: Article 11 Checked - Found Fees", "In Charge: Grate Irena", "Pay Status: Full Payment", "Basis: 100%", and "P. Claim defect".

The main window is divided into several sections. On the left, there is a "CheckList" button and a "Summary" section. The "Summary" section contains the following information:

Summary	
Received Date:	07 May 2003
Exchange Rate:	4.1340
<b>International Fees</b>	
Total Amount:	3,435 USD
<b>International Fees - Local Currency</b>	
Total Amount:	14,200 ILS
Total Paid:	14,300 ILS
Balance:	0 ILS
<b>Local Fees</b>	
Total Amount:	599 ILS
Total Paid:	599 ILS
Balance:	0 ILS

Below the summary, there are buttons for "File Contents", "File Management", and "Fee Calculations".

The main area of the window is titled "Document Checklist for Fee Calculation". It contains a table with three columns: "Document Type", "PCT Safe Checklist", and "R.O. Checklist".

Document Type	PCT Safe Checklist	R.O. Checklist
Request	4	4 pages
Description	51	51 pages
Claims	5	5 pages
Abstract	1	1 pages
Drawings	49	49 pages
Sequence Listing	10	0 pages
<b>Total Sheets</b>	<b>116</b>	<b>110 pages</b>
Request for Priority Documents	1	3 Documents

## 2.6.2. Fees

The basic fees are automatically calculated according to the number of pages in the request documents, the Searching authority chosen and the reduction entitled. If the files information is changed the fees are not updated automatically but a message is displayed.

This screen displays the required fees in a glance the fee situation can be determined. If the fee is fully paid it is displayed in green, if the fee is not paid and is overdue it is displayed in red.

Links to the forms associated to the fees are displayed at the bottom of the screen.

**Summary**

Received Date: 07 May 2009  
Exchange Rate: 4.1340

**International Fees**  
Total Amount: 3,435 USD  
International Fees-Local Currency  
Total Amount: 14,200 ILS  
Total Paid: 14,200 ILS  
Balance: 0 ILS

**Local Fees**  
Total Amount: 599 ILS  
Total Paid: 599 ILS  
Balance: 0 ILS

Fee Type	Paid	Currency	Rate	Quantity	Amount	Amount (Local Currency)	Amount Paid	Balance	Due Date	Payment Date
Transmittal Fee	✓	ILS	517.00	1	517.00	517.00	517	00	07 Jun 2009	07 May 2009
Priority Document Fee	✓	ILS	82.00	1	82.00	82.00	00	02	07 Jun 2009	07 May 2009
International Fees		USD			3,435.00	14,200.29	14,000	200	07 Jun 2009	07 May 2009
International Filing Fee		USD	1,154.00	1	1,154.00	4,804.57				
Search Fee - US		USD	2,080.00	1	2,080.00	8,596.72				
Supplemental Fee		USD	13.00	20	260.00	1,074.84				
PCT Easy Fee Reduction		USD	-89.00	1	-89.00	-367.93				
Late Payment Fee		USD			5,718.00	5,718.00			07 Jul 2009	
90% of the international...		USD	1,718.00	1	1,718.00	1,718.00				

Fee Type	Paid	Currency	Rate	Quantity	Amount	Amount (Local Currency)	Amount Paid	Balance	Due Date	Payment Date
Fee for preparation of copy	✓	ILS	41.00	1	41.00	41.00	41.00	00	07 Jun 2009	07 Apr 2009

Account Type	Deposit Slip No.	Amount	Payment Date	Status	Payment Channel
Local Account	4542155	517.00	07 May 2009	Waiting to be paid (Manual app.)	Payment Server (Bank)
International Account	4454564	10,000.00	01 May 2009	Waiting to be paid (Manual app.)	Postal Bank
International Account	7875458	4,200.00	07 May 2009	Waiting to be paid	Postal Bank
Local Account	3943794	82.00	07 May 2009	Waiting to be paid	Payment Server (Credit)
Late Payment Fee Account	850232	7,102.22	07 Jun 2009	Received substitute deposit	Postal Bank
Other Fees Account	8545563	41.00	28 Feb 2011	Canceled	

Form Type	Send Date	Notes
Notification Concerning Payment of Prescribed Fees PCT/RO/002	07 Jan 2009	
Notification Concerning Payment of Prescribed Fees PCT/RO/002	07 Jan 2009	Set by Fee
Invitation to Pay Prescribed Fees Together with Late Payment Fee PCT/RO/133		

File Contents  
File Management  
Fee Calculations



## 2.6.3. Payments

The payments screen creates an electronic slip that is sent to the applicant together with the suitable RO form. Then when the actual payment is made it is updated in this screen.

**PCT-SMART** | New File | Search for File by: International application No. | Search | Advanced Search

IA No: PCT/IL2009/09055 | ID: 05 Aug 2009 | Fr. Date: | Period in Life: 17M 14d | Diagnosis: | See Main Party

Status: Article 11 Checked - Found Faults | In Charge: Grabe Irena | Pay Status: Full Payment | P. Claim defect

Generate Deposit Slip | Report Deposit Slip | Edit | Cancel Payment | Delete | Documents | New 102 | New 133

**CheckList**  
**Fee**  
**Payments**  
 Relevant Financial Reports

**Summary**  
 Received Date: 07 May 2009  
 Exchange Rate: 4.1340  
**International Fees**  
 Total Amount: 3,435 USD  
 International Fees - Local Currency  
 Total Amount: 14,200 ILS  
 Total Paid: 14,200 ILS  
 Balance: 0 ILS  
**Local Fees**  
 Total Amount: 599 ILS  
 Total Paid: 599 ILS  
 Balance: 0 ILS

**List of Fees for RO Forms (4)**

Fee Type	Paid	Currency	Rate	Quantity	Amount	Amount Local Currency	Amount Paid	Balance	Due Date	Payment Date
Transmittal Fee	✓	ILS	517.00	1	517.00	517.00	517	00	07 Jun 2009	07 May 2009
Priority Document Fee		ILS	82.00	1	82.00	82.00	00	93	07 Jun 2009	07 May 2009
<b>International Fees</b>		<b>USD</b>			<b>3,435.00</b>	<b>14,200.29</b>	<b>14,000</b>	<b>200</b>	<b>07 Jun 2009</b>	<b>07 May 2009</b>
International Filing Fee		USD	1,184.00	1	1,184.00	4,894.57				
Search Fee - US		USD	2,080.00	1	2,080.00	8,590.72				
Supplemental Fee		USD	13.00	20	260.00	1,074.54				
PCT Easy Fee Reduction		USD	-59.00	1	-59.00	-247.33				

**List of Other Fees (1)**

Fee Type	Paid	Currency	Rate	Quantity	Amount	Amount Local Currency	Amount Paid	Balance	Due Date	Payment Date
Fee for preparation of Copy	✓	ILS	41.00	1	41.00	41.00	41.00	00	07 Jun 2009	07 Apr 2009

**List of Payments (0)**

Account Type	Deposit Slip No.	Amount	Payment Date	Status	Payment Channel
Local Account	4542155	517.00	07 May 2009	Waiting to be paid (Manual app.)	Payment Server (Bank)
International Account	4454564	10,000.00	01 May 2009	Waiting to be paid (Manual app.)	Postal Bank
International Account	7975456	4,200.09	07 May 2009	Waiting to be paid	Postal Bank
Local Account	3849794	82.00	07 May 2009	Waiting to be paid	Payment Server (Credit)
Late Payment Fee Account	650232	7,102.22	07 Jun 2009	Received substitute deposit	Postal Bank
Other Fees Account	8545543	41.00	28 Feb 2011	Cancelled	

**List of 192/133 Forms in File**

Form Type	Start Date	Notes
Notification Concerning Payment of Prescribed Fees PCT/RO/02	03 Jan 2009	
Notification Concerning Payment of Prescribed Fees PCT/RO/02	03 Jan 2009	Sent by Fax
Invitation to Pay Prescribed Fees Together with Late Payment Fee PCT/RO/133		

File Contents | File Management | Fee Calculations

**PCT-SMART** | New File | Search for File by: International application No. | Search | Advanced Search

IA No: PCT/IL2009/09055 | ID: 05 Aug 2009 | Fr. Date: | Period in Life: 17M 14d | Diagnosis: | See Main Party

Status: Article 11 Checked - Found Faults | In Charge: Grabe Irena | Pay Status: Full Payment | P. Claim defect

Generate Deposit Slip | Report Deposit Slip | Edit | Cancel Payment | Delete | Documents | New 102 | New 133 | Save

**CheckList**  
**Fee**  
**Payments**  
 Relevant Financial Reports

**Summary**  
 Received Date: 07 May 2009  
 Exchange Rate: 4.1340  
**International Fees**  
 Total Amount: 3,435 USD  
 International Fees - Local Currency  
 Total Amount: 14,200 ILS  
 Total Paid: 14,200 ILS  
 Balance: 0 ILS  
**Local Fees**  
 Total Amount: 599 ILS  
 Total Paid: 599 ILS  
 Balance: 0 ILS

**List of Fees for RO Forms (4)**

Fee Type	Paid	Currency	Rate	Quantity	Amount	Amount Local Currency	Amount Paid	Balance	Due Date	Payment Date
Transmittal Fee	✓	ILS	517.00	1	517.00	517.00	517	00	07 Jun 2009	07 May 2009
Priority Document Fee		ILS	82.00	1	82.00	82.00	00	93	07 Jun 2009	07 May 2009
<b>International Fees</b>		<b>USD</b>			<b>3,435.00</b>	<b>14,200.29</b>	<b>14,000</b>	<b>200</b>	<b>07 Jun 2009</b>	<b>07 May 2009</b>
International Filing Fee		USD	1,184.00	1	1,184.00	4,894.57				
Search Fee - US		USD	2,080.00	1	2,080.00	8,590.72				
Supplemental Fee		USD	13.00	20	260.00	1,074.54				
PCT Easy Fee Reduction		USD	-59.00	1	-59.00	-247.33				

**List of Other Fees (1)**

Fee Type	Paid	Currency	Rate	Quantity	Amount	Amount Local Currency	Amount Paid	Balance	Due Date	Payment Date
Fee for preparation of Copy	✓	ILS	41.00	1	41.00	41.00	41.00	00	07 Jun 2009	07 Apr 2009

**List of Payments (0)**

Account Type	Deposit Slip No.	Amount	Payment Date	Status	Payment Channel
Local Account	4542155	517.00	07 May 2009	Waiting to be paid (Manual app.)	Payment Server (Bank)
International Account	4454564	10,000.00	01 May 2009	Waiting to be paid (Manual app.)	Postal Bank
International Account	7975456	4,200.09	07 May 2009	Waiting to be paid	Postal Bank
Local Account	3849794	82.00	07 May 2009	Waiting to be paid	Payment Server (Credit)
Late Payment Fee Account	650232	7,102.22	07 Jun 2009	Received substitute deposit	Postal Bank
Other Fees Account	8545543	41.00	28 Feb 2011	Cancelled	

**List of 192/133 Forms in File**

Form Type	Start Date	Notes
Notification Concerning Payment of Prescribed Fees PCT/RO/02	03 Jan 2009	
Notification Concerning Payment of Prescribed Fees PCT/RO/02	03 Jan 2009	Sent by Fax
Invitation to Pay Prescribed Fees Together with Late Payment Fee PCT/RO/133		

**Payment Details**

Account Type: Local Account  
 Deposit Slip No.: 4542155

**General Details**  
 Amount Paid: 599.00 ILS  
 Payment Channel: Payment Server  
 Payment Method: Credit Card  
 Status: Waiting to be paid  
 Payment Date:

**Document Details**

Attached Documents (4) | Attach New | Documents | Open Doc | Unlink

Type	Received Date	Status
Transmittal	11 Dec 2008	Active
Priority Document	15 Jan 2009	Non Active
Search Fee	11 Apr 2009	Active
Supplemental Fee	23 May 2009	Active

Click on document icon to open document file  
 \*Attached document path and name

File Contents | File Management | Fee Calculations

#### **2.6.4. Payment Summary**

The summary panel shows the totals associated with the file.

### **3. Cross File Functionality**

The functionality that applies to many files or to the system itself is described in this section.

#### **3.1. *Users and Tasks***

##### **3.1.1. Users**

###### **Rolls**

There are four different rolls defined for the users

- Coordinator – The coordinator is in charge of filling the request making sure that all its information is recorded, performing a preliminary Article 11(1) examination, filling the incoming correspondence (IC) and treating it according to the IC flow.
- Formal Examiner – The formal examiner is assigned to be in charge of a specific file, performs all the examinations, reports activities performed on the file, creates forms, treats IC and sends outgoing correspondence.
- Payment coordinator –The payment coordinator is in charge of all financial aspects, calculating and assigning fees, producing forms that are related to payments, producing electronic payment slips, receiving payment vouchers and producing financial reports.
- System administrator - The system administrator is in charge of defining all system parameters and tables he can also perform any activity that the other uses can perform.

Each person may have more than one roll assigned to them, In case a person has many rolls one of his rolls is marked as his main roll.

###### **Authorization**

Each user screen has authorization defined for it meaning that an access level is defined for each roll (No access, View or Update). In some screens there is authorization in the field level.

### 3.1.2. Tasks

The first screen displayed after login is the task screen. This screen is used as a workbench of the user.

The tasks are displayed according to the user's roll. Each roll displays tasks in three levels:

File, incoming correspondence and outgoing correspondence.

The tasks defined are:

	Task	Entity	Roll
1.	Unassigned files	File	Coordinator
2.	Files in process – files in statuses that need handling.	File	Formal Examiner, Coordinator
3.	Unpaid files	File	payment coordinator
4.	Unpaid files with penalty	File	Formal Examiner
5.	Files for which Priority Document was not sent to IB within 16 months from the priority date	File	Formal Examiner
6.	Files not sent to IB within 25 days from the receiving date	File	Formal Examiner
7.	Files not sent to ISA within 60 days from the receiving date	File	Formal Examiner
8.	Incoming correspondence in process	incoming correspondence	Formal examiner, coordinator, payment coordinator
9.	Unapproved outgoing correspondence	Outgoing correspondence.	Formal Examiner

Each task has a set of activation and de-activating conditions. If the Activation conditions are fulfilled the task appears. After the user treats the task entity, the de-activating are fulfilled and the task is removed from the list.

Each quantitative number (25 days, 16 months) is stored as a system parameter that may be easily altered.



## Tasks for a user's roll

When the user opens the system the tasks that match his roll will be displayed, if the user has a few rolls assigned to him the task relevant to his main roll will be displayed. In the examiner task screen when a specific examiner is selected all the tasks relevant to files under his care and IC/OC that belong to files under his care will be displayed.

Any user may view tasks that belong to other users and other rolls. The rolls of all the examiners may be viewed together.

## Incoming Correspondence Urgency

Certain IC types must be taken care of urgently in certain time windows, for example before publication by the IB, in these cases the IC task will be displayed as urgent, in red or orange, at the top of the list and with the time limit displayed.

Task Screen:

The screenshot displays the 'Task Screen' in the PCT Exam system. The interface includes a top navigation bar with options like 'New File', 'Search for File by', 'Tasks', 'Parties', 'Archive', 'Correspondence', 'Finance', 'Reports', and 'System Management'. Below this, a status bar shows 'RO Formal Examiner: 10', 'Coordinator: 12', 'Payment Coordinator: 8', 'External Users: User Coordinator: 3', and 'Contacts: 2'. The main area is divided into three sections:

- List of File Tasks (28):** A table with columns: JA No., File Status, Received Date, User in Charge, and Task. It lists tasks for JA Nos. PCT/IL.125121 through PCT/IL.125126, all received on 04 May 2010 and assigned to Barry Newman. The tasks are related to Article 11 (1) Success and Priority Documents.
- List of Incoming Correspondence Tasks (7):** A table with columns: JA No., Incoming Correspondence Type, Received Date, Party, User in Charge, and Time Limit. It lists tasks for JA Nos. PCT/IL.125121 through PCT/IL.125125, received on 04 May 2010, assigned to Barry Newman. The tasks are related to recording of change, party correspondence, and priority claims.
- List of Outgoing Correspondence Tasks (8):** A table with columns: JA No., Outgoing Correspondence Type, Destination, Content, Creation Date, and User in Charge. It lists tasks for JA Nos. PCT/IL.125121 through PCT/IL.125126, created on 04 May 2010, assigned to Barry Newman. The tasks are related to correspondence, IB, ISA, and Applicant.

### **3.2.     *Parties***

The address book of the parties is listed in this section. Each party may be a legal entity or a natural person, and may have a number of addresses, phone numbers and email addresses.

When attached to a file (in the party in file screen) the function the addresses relevant to the file must be selected.









#### **Adding a new party**

A new party may be entered into the system manually or created automatically when creating a new file by loading a PCT Safe file.

When the a party acts as a function in many files that are loaded from PCT Safe there is a danger of automatically creating duplicates of the party.

To prevent this each party will have keywords defined for it. If a new party has the same registration no. and name as an existing party it is considered to be the same party. Similarly if the registration no. matches and the name contains one of the keywords it is considered the same party.





New parties manually added will be checked by the same mechanism to prevent duplication.

		New File > Search for File by: International application file > [Search] > [Advanced Search]	
 Tasks		 Parties	
 Archive		 Correspondence >	
 Finance >		 Reports >	
 System Management >			

<b>RO Formal Examiner:</b> 10	<b>Coordinator:</b> 12	<b>Payment Coordinator:</b> 8	<b>External Users:</b> User Coordinators: 3    Contacts: 2
-------------------------------	------------------------	-------------------------------	--

 Add	 Edit	 Delete	 Print Preview
---	--	--	---

**Parties**


**Search**

Name: <input type="text"/>	Registration No.: <input type="text"/>
Party Type: <input type="text" value="Correspondence Address"/>	Identification No.: <input type="text" value="Passport"/> <input type="text"/>
Function: <input type="text"/>	Status: <input type="text" value="Active"/>
Also the Inventor: <input type="text" value="All"/>	Search for: <input type="text" value="Living parties only"/>
Updated by: <input type="text"/>	Address: <input type="text"/>
Updated Date: From: <input type="text" value="22 October 2006"/>	
Till: <input type="text" value="22 October 2006"/>	

**List of Parties (68)**

Name	Status	Reg. No.	Address	Telephone	Update Date
Hassidim Yony	Active	1234567890	Balfur St. 4, Jerusalem, 58191, Israel CO Wall Street 1, NY City, NY, 12123, USA	02-6781185 (Home) 052-385085 (Mobile)	22/10/2008
Moche Baum	Active	1234567890	Address Address	02-3588056 (Home) 050-9858890 (Mobile)	22/10/2008
Cannon Michael	Active	1234567890			22/10/2008
Hassidim Eyal	Active	1234567890	Balfur St. 4, Jerusalem, 58191, Israel CO Wall Street 1, NY City, NY, 12123, USA	02-6781188 (Home) 052-3850990 (Mobile)	22/10/2008
Cannon Michael	Active	1234567890			22/10/2008
Hassidim EEE	Active	1234567890			22/10/2008
Hevranan 10590	Active	1234567890			22/10/2008
Rubin YYYY	Active	1234567890			22/10/2008
Cannon Michael	Active	1234567890			22/10/2008
Rubin YYYY	Active	1234567890			22/10/2008



New File...
Search for File by: International application file
Search
Advanced Search

Tasks
Parties
Archive
Correspondences
Finance
Reports
System Management

RO Formal Examiner: 10
Coordinator: 12
Payment Coordinator: 8
External Users: User Coordinators: 3
Contacts: 2

Add
Edit
Delete
Print Preview
Save

Parties

Search

Name:
Registration No:

Party Type: Correspondence Address
Identification No: Passport

Function:
Status: Active

Also the Inventor: All
Search for: Living parties only

Updated by:
Address:

Updated Date: From: 22 October 2008

To: 22 October 2008

Search
Clear

List of Parties (68)

Name	Status	Reg. No.	Address	Telephone
Hassidim Yony	Active	1234567890	Bahar St. 4, Jerusalem, 50191, Israel	02-67611 052-3851
Moche Baure	Active	1234567890	Wall Street 1, NY City, NY, 12123, USA	A 02-6808 050-989
Carmon Michael	Active	1234567890		
Hassidim Eyal	Active	1234567890	Bahar St. 4, Jerusalem, 50191, Israel	02-67611 052-3851
Carmon Michael	Active	1234567890	Wall Street 1, NY City, NY, 12123, USA	A
Hassidim EEE	Active	1234567890		
Neuman 15590	Active	1234567890		
Rubin YYYV	Active	1234567890		
Carmon Michael	Active	1234567890		

Party Details
Communication Keywords Note

Party Type:
Legal Entity
Natural Person

Last Name:
Status: Bookmarked
Click to input Note

First Name:

Registration No:
Permit Contacts: 0

Identification No: Corp. No:
Deceased

Communication details

Addresses (3)
Add
Edit
Delete

Country	City	Address	P. Code	C.O.
Israel	Jerusalem	Bahar St. 4	12345	
Israel	Tel Aviv	Moche Dayan 32	12345	✓
Israel	Harta	Zareh 12	12345	✓

Phone Numbers(5)
Add
Edit
Delete

Type	Number
Phone	972-9-9999999
Fax	972-9-9999999
Cellular	972-9-9999999
Cellular	972-9-9999999
Cellular	972-9-9999999

E-Mail Addresses (1)
Add
Edit
Delete

E-mail Address:
Avatar@justice.gov.il

Keywords

## Party details – Key words (after scroll)

**PCT** New File Search for File by: International application No Search Advanced Search

Tasks Parties Archive Correspondences Finance Reports System Management

**RO Formal Examiner: 10** **Coordinator: 12** **Payment Coordinator: 8** **External Users: User Coordinators: 3** **Contacts: 2**

Add Edit Delete Print Preview Save

**Parties**

**Search**

Name:  Registration No:

Party Type:  Correspondence Address:  Identification No:  Passport:

Function:  Status:  Active:

Also the inventor:  All:  Search for:  Living parties only:

Updated by:  Address:

Updated Date: From:  22 October 2008 To:  22 October 2008

List of Parties (88)

Name	Status	Seq. No.	Address	Telephone
Hassidim Yoni	Active	1234567890	Balkar St. 4, Jerusalem, 50191, Israel	02-67611
			CO	052-3851
Moshe Baum	Active	1234567890	Wall Street 1, NY City, NY, 12123, USA	A
			Address	02-8888
			Address	050-666
Carmon Michael	Active	1234567890		
Hassidim Eyal	Active	1234567890	Balkar St. 4, Jerusalem, 50191, Israel	02-67611
			CO	052-3851
			Wall Street 1, NY City, NY, 12123, USA	A
Carmon Michael	Active	1234567890		
Hassidim EEE	Active	1234567890		
Hassidim Eyal	Active	1234567890		
Rubin YYY	Active	1234567890		
Carmon Michael	Active	1234567890		

**Party Details** Communication Keywords Note

Party Type: ☒ Legal Entity ☐ Natural Person

Last Name:  Check / Refresh

First Name:  This is a note that was written a while ago by me to let you know something important about this person

Registration No:  Permit Contacts:

Identification No.:  Comp. No.:  ☒ Recorded

**Keywords**

**Keywords (44)** Edit Delete

Moshe

Baum

Correspondence Address

Discovered

Inventor

NY City

Wind

Earth

Fire

Water

Technology

Army

Patent of the year 2007 Add / Update

**Note**

### 3.3. Finance

A monthly report must be made and sent to then IB, summarizing the files and amounts forwarded. To create this report a file list is created under two conditions:

- The file has been fully paid and was not reported.
- The file has been paid and reported, then and another payment was charged, paid and not reported yet. These cases are called "Mismatch" – the amount paid is not equal to the amount reported.

The list is created, after authorizing it, the reports are generated. The reports and the list cannot be changed after authorization; all changes will be reported in the next month.

Production of Financial Periodical List:

**Production of Financial Periodical Report**

Fees Paid during the month of: Month: / Year: (All sums paid in USD)

Filter: Fees paid From: 22 June 2009 To: 22 July 2009 Search

IA No.	Agent/Applicant	Total	Payment Date
PCT/IL/2009/000999	Yotzy Hadas	999,999.00	23 Jun 2009
PCT/IL/2009/000999	Yotzy Rubin	999,999.00	23 Jun 2009
PCT/IL/2009/000999	Aviva Ben-Gur	999,999.00	23 Jun 2009
PCT/IL/2009/000999	Chava Kahana	999,999.00	23 Jun 2009
PCT/IL/2009/000999	Anat Gutman	999,999.00	23 Jun 2009
PCT/IL/2009/000999	Dan Newman	999,999.00	23 Jun 2009
PCT/IL/2009/000999	Kinneret Shmil	999,999.00	23 Jun 2009
PCT/IL/2009/000999	Robin Elstik	999,999.00	23 Jun 2009
PCT/IL/2009/000999	Liad Stuel	999,999.00	23 Jun 2009
<b>Total:</b>		<b>999,999,999.00</b>	

IA No.	Amount	
PCT/IL/2009/000999	999,999.00	
PCT/IL/2009/000999	999,999.00	
PCT/IL/2009/000999	999,999.00	
PCT/IL/2009/000999	999,999.00	
PCT/IL/2009/000999	999,999.00	
<b>Total:</b>		<b>999,999,999.00</b>

IA No.	Agent/Applicant	Total	Payment Date
PCT/IL/2009/000999	Yotzy Hadas	999,999.00	23 Jun 2009
PCT/IL/2009/000999	Yotzy Rubin	999,999.00	23 Jun 2009
PCT/IL/2009/000999	Anat Gutman	999,999.00	23 Jun 2009
PCT/IL/2009/000999	Chava Kahana	999,999.00	23 Jun 2009
PCT/IL/2009/000999	Anat Gutman	999,999.00	23 Jun 2009
PCT/IL/2009/000999	Dan Newman	999,999.00	23 Jun 2009
PCT/IL/2009/000999	Anat Gutman	999,999.00	23 Jun 2009
PCT/IL/2009/000999	Yotzy Rubin	999,999.00	23 Jun 2009
PCT/IL/2009/000999	Anat Gutman	999,999.00	23 Jun 2009
PCT/IL/2009/000999	Dan Newman	999,999.00	23 Jun 2009
PCT/IL/2009/000999	Anat Gutman	999,999.00	23 Jun 2009
PCT/IL/2009/000999	Yotzy Rubin	999,999.00	23 Jun 2009
PCT/IL/2009/000999	Liad Stuel	999,999.00	23 Jun 2009
<b>Total:</b>		<b>999,999,999.00</b>	

IA No.	Difference	
PCT/IL/2009/000999	999,999.00	
PCT/IL/2009/000999	999,999.00	
PCT/IL/2009/000999	999,999.00	
PCT/IL/2009/000999	999,999.00	
<b>Total:</b>		<b>399,599,999.00</b>



Viewing financial lists after authorization:

PCESoft

New File - Search for File by: International application No. Search Advanced Search

Tasks Parties Archive Correspondences Finance Reports System Management

RO Formal Examiner: 10 Coordinator: 12 Payment Coordinator: 0 External Users: User Coordinators: 3 Contacts: 3

Open & Edit View Details Documents

### Financial Reports

Search:

Year: Report Status: All

Month: Report File Status: Mismatch fees file

IA: 12345678901234567 Search Clear

#### List of Financial Reports (5)

Year	Month	Creation Date	Status
2009	September	03 Oct 2009	In Process
2009	August	30 Aug 2009	Authorized
2009	July	01 Aug 2009	Authorized
2009	June	02 Jul 2009	Authorized
2009	May	31 May 2009	Authorized
2009	April	26 Apr 2009	Authorized

### Authorized Financial Report Details

General Documents

Fees Paid during the month of: July 2009

Creation Date: 01 Aug 2009

#### General Details

Files relevant to the Financial Report (11)

IA No.	Agent/Applicant	Total	Pay Date	Status
PCT/IL.2009.0010959	Vrony Hecsdin	999,999.00	23 Jun 2009	Mis...
PCT/IL.2009.0010959	Vital Rubin	999,999.00	23 Jun 2009	Initi...
PCT/IL.2009.0010959	Anat Gutman	999,999.00	23 Jun 2009	Initi...
PCT/IL.2009.0010959	Chava Kahana	999,999.00	23 Jun 2009	Initi...
PCT/IL.2009.0010959	Anat Gutman	999,999.00	23 Jun 2009	Initi...
PCT/IL.2009.0010959	Dan Newman	999,999.00	23 Jun 2009	Initi...
PCT/IL.2009.0010959	Anat Gutman	999,999.00	23 Jun 2009	Initi...
PCT/IL.2009.0010959	Vital Rubin	999,999.00	23 Jun 2009	Initi...
PCT/IL.2009.0010959	Anat Gutman	999,999.00	23 Jun 2009	Initi...
PCT/IL.2009.0010959	Dan Newman	999,999.00	23 Jun 2009	Initi...
PCT/IL.2009.0010959	Anat Gutman	999,999.00	23 Jun 2009	Initi...
<b>Total:</b>		<b>999,999,999.00</b>		

#### Document Details

Produced Docs (4)

Document Type	Production Date
Full Report	23 Jun 2009
Printout for IB	23 Jun 2009
Printout for ISA	23 Jun 2009
Report for MOJ	23 Jun 2009



After a file is paid, it is selected and reported in one or more financial repots. The list of reports the file has been reported in may be viewed in the file's Fee Calculation section.

**PCT-SM** New File - Search for File by: International application No. Search Advanced Search

IA No: **PCT/IL2005/000555** P.D: **05 Aug 2009** P. Date: Period in Life: **17M 14d** **Discuz** **No Main Party**

Status: **Article 11 Checked - Found Feeble** In Charge: **Grabe Irena** Pay Status: **Full Payment** **P. Claim defect**

Open & Edit Documents

CheckList  
Fee  
Payments  
Relevant Financial Reports

**Summary**

Received Date: 07 May 2009  
Exchange Rate: 4.1340

**International Fees**

Total Amount: 3,432 USD

**International Fees - Local Currency**

Total Amount: 14,200 ILS  
Total Paid: 14,300 ILS  
Balance: 0 ILS

**Local Fees**

Total Amount: 899 ILS  
Total Paid: 599 ILS  
Balance: 0 ILS

File Contents  
File Management  
Fee Calculations

**List of Financial Reports (\$)**

Year	Month	Credited Date	Status
2009	September	03 Oct 2009	In Process
2009	August	30 Aug 2009	Authorized
2009	July	01 Aug 2009	Authorized
2009	June	02 Jul 2009	Authorized
2009	May	31 May 2009	Authorized
2009	April	28 Apr 2009	Authorized

### 3.4. System Management

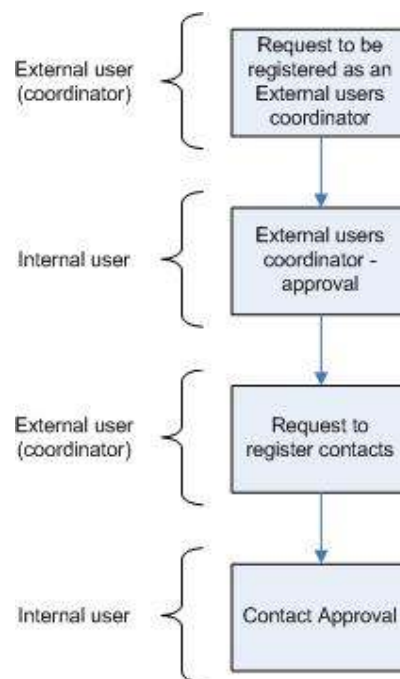
#### 3.4.1. External users – web users

In order to be able to submit new IA requests or incoming correspondence for existing files the person must be registered as an external user and attached to a known and approved party.

Each party may have one external user (EU) defined as a coordinator many and external users defined as contacts.

##### The process of defining external users:

- The external user requests to be registered as an EU coordinator. He may choose a known party or enter the information of a new party. (This step may be done by an internal user in the operational system on behalf of the coordinator)
- In the operational system an internal user must either authorize or reject the EU coordinator. He also makes sure the coordinator belongs to the correct party, if not the party may be changed.
- The EU coordinator may register many contacts, all linked to his party. (This step may be done by an internal user in the operational system on behalf of the coordinator) .
- The internal user must authorize or reject the contacts registered. This step was done because of the need to register each contact separately in security system that hosts the Israeli web site. When the system will be installed in other receiving offices this step may not be needed, and the coordinator may register his contacts with no explicit approval.



The screenshot displays the PCT-SPIN application interface, which is used for managing intellectual property rights. The top navigation bar includes options for file management, search, and system administration. The main interface is divided into several sections:

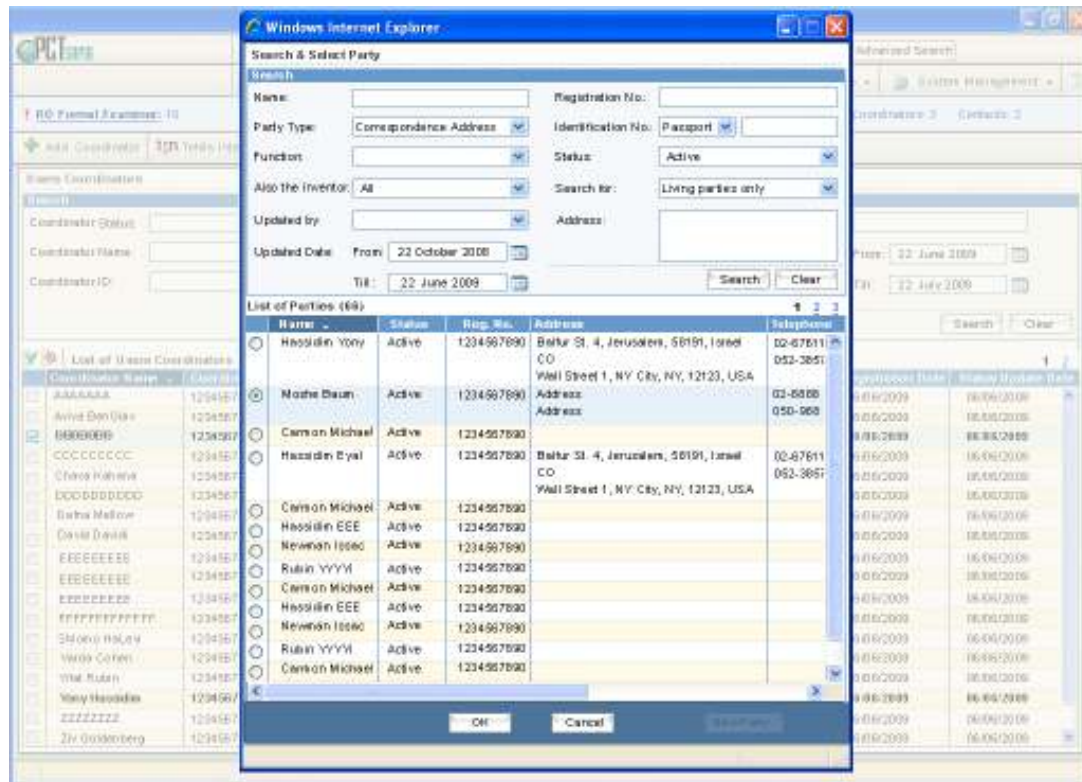
- Status Bar:** Shows key metrics such as 'RO Formal Examiner: 10', 'Coordinator: 12', 'Payment Coordinator: 0', and 'External Users: User Coordinators: 3, Contacts: 2'.
- Coordinator Management Tools:** A row of icons for adding coordinators, accessing the table interface, updating status, editing coordinators, changing parties, printing lists, and saving data.
- Users Coordinators Section:**
  - Search Form:** Allows filtering coordinators by status, registration number, name, date, ID, party name, party type, and party status.
  - List of Users Coordinators (16):** A table showing a list of coordinators with their names, IDs, current status, and associated party names. The table includes a checkbox for each entry.
- Coordinator Details Section:** Provides a detailed view of a selected coordinator, including their last and first names, identification number, party name, status (e.g., 'Rejected: Information Security'), and a status reason.

The screenshot displays the PCT 5.000 application interface. At the top, there is a menu bar with options like 'New File...', 'Search for File by...', 'Informational application No...', 'Search', and 'Advanced Search'. Below the menu bar, there are tabs for 'Tasks', 'Parties', 'Archive', 'Correspondences', 'Finance', 'Reports', and 'System Management'. The main window shows a 'List of Users Coordinators' table with columns for Coordinator Name, Coordinator ID, Coordinator Status, Party Name, Registration No., Party Type, Registration Date, and Status Update Date. The table lists several coordinators, including AAAA, Active Benjavi, BBBBBBBB, CCCCCCCCC, Chava Kohnan, DDDDDDDDD, Debra Mallow, David David, EEEEEEEEE, FFFFFFFF, Sharon Hale, Wanda Cohen, Vital Rubin, Yany Haddan, ZZZZZZZ, and Ziv Goldenberg. The status of each coordinator is indicated by a green checkmark or a red 'X'.

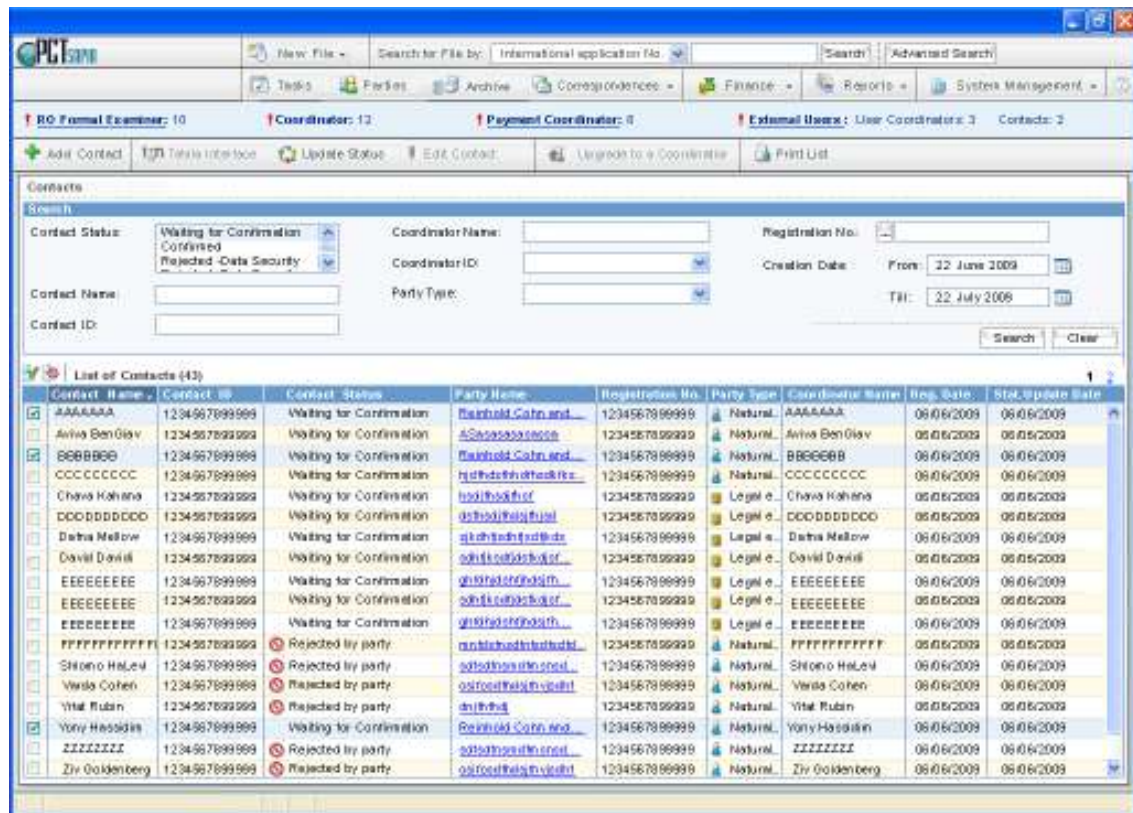
Coordinator Name	Coordinator ID	Coordinator Status	Party Name	Registration No.	Party Type	Registration Date	Status Update Date
AAAAAAA	1234567899999	Waiting for Confirmation	Marhold Catman	1234567899999	Natural person	06/06/2009	06/06/2009
Active Benjavi	1234567899999	Rejected by party	ASASASASASASAS	1234567899999	Natural person	06/06/2009	06/06/2009
BBBBBBBB	1234567899999	Waiting for Confirmation	BUBBUBBUBBUBB	1234567899999	Natural person	06/06/2009	06/06/2009
CCCCCCCC	1234567899999	Rejected Information Security	CCCCCCCCCCCCC	1234567899999	Natural person	06/06/2009	06/06/2009
Chava Kohnan	1234567899999	Confirmed	CCCCCCCCCCCCC	1234567899999	Natural person	06/06/2009	06/06/2009
DDDDDDDD	1234567899999	Confirmed	DDDDDDDDDDDD	1234567899999	Legal entity	06/06/2009	06/06/2009
Debra Mallow	1234567899999	Confirmed	DDDDDDDDDDDD	1234567899999	Legal entity	06/06/2009	06/06/2009
David David	1234567899999	Confirmed	DDDDDDDDDDDD	1234567899999	Legal entity	06/06/2009	06/06/2009
EEEEEEEE	1234567899999	Confirmed	EEEEEEEEEEEE	1234567899999	Legal entity	06/06/2009	06/06/2009
EEEEEEEE	1234567899999	Confirmed	EEEEEEEEEEEE	1234567899999	Legal entity	06/06/2009	06/06/2009
EEEEEEEE	1234567899999	Confirmed	EEEEEEEEEEEE	1234567899999	Legal entity	06/06/2009	06/06/2009
FFFFFFFF	1234567899999	Confirmed	FFFFFFFFFFFFFF	1234567899999	Natural person	06/06/2009	06/06/2009
Sharon Hale	1234567899999	Confirmed	FFFFFFFFFFFFFF	1234567899999	Natural person	06/06/2009	06/06/2009
Wanda Cohen	1234567899999	Confirmed	FFFFFFFFFFFFFF	1234567899999	Natural person	06/06/2009	06/06/2009
Vital Rubin	1234567899999	Rejected by party	FFFFFFFFFFFFFF	1234567899999	Natural person	06/06/2009	06/06/2009
Yany Haddan	1234567899999	Waiting for Confirmation	Yany Haddan	1234567899999	Natural person	06/06/2009	06/06/2009
ZZZZZZZ	1234567899999	Confirmed	ZZZZZZZZZZZZ	1234567899999	Natural person	06/06/2009	06/06/2009
Ziv Goldenberg	1234567899999	Confirmed	ZZZZZZZZZZZZ	1234567899999	Natural person	06/06/2009	06/06/2009



To change a coordinator's party:



Contacts screen:



The screenshot displays the PCT 5.000 software interface, which is used for managing contacts and parties. The interface is divided into several sections:

- Top Menu Bar:** Contains various functional buttons such as 'New File', 'Search for File by', 'Tasks', 'Parties', 'Archive', 'Correspondences', 'Finance', 'Reports', and 'System Management'.
- Status Bar:** Located below the menu bar, it displays key information: 'RO Format Examiner: 10', 'Coordinator: 12', 'Payment Coordinator: 0', 'External Users: User Coordinators: 3', and 'Contacts: 2'.
- Main Interface:**
  - Left Pane (Contacts):** A list of contacts is displayed with columns for 'Contact Name', 'Contact ID', 'Contact Status', 'Party Name', and 'Registration No.'. The list includes entries like 'AAAAAAA', 'Arina Ben Glav', 'BBBBBBB', 'CCCCCCCC', 'Chava Kahana', 'DDDDDDDD', 'Dafna Mellow', 'David David', 'EEEEEEEE', 'EEEEEEEE', 'EEEEEEEE', 'FFFFFFFF', 'Shimon Halutz', and 'Narda Cohen'.
  - Right Pane (Contact Details):** This pane shows the details for a selected contact. It includes fields for 'Last Name' (Cohen), 'First Name' (Narda), 'Identification No.' (536907353), 'Party Name' (Party name displayed here after selection), 'Status' (Waiting for Confirmation), and 'Status Reason'.

The screenshot displays the PCTEPC 2009 software interface. A dialog box is open in the center, titled 'Party Name: Warner Brothers and Wiers' and 'Registration no.: 000075966'. The dialog box contains the following text: 'Please note the following contacts who were assigned to:', 'Current Coordinator Name: Edison Patents Ltd ID: 1234567890', 'will now be assigned to:', 'New Coordinator Name: Gila & Aviva ID: 1234567890'. Below this text are 'OK' and 'Cancel' buttons. The background shows a list of contacts with columns for Name, Contact ID, and Status. The list includes contacts like 'AAAAA', 'Aviva Ben-Gur', 'BBBBB', 'CCCCCCCC', 'Chava Kahana', 'DDDDDDDD', 'Erika Mellow', 'David David', 'EEEEEEEE', 'FFFFFFF', 'Gila & Aviva', 'Varda Cohen', 'Yeha Kufan', 'Yeha Hershkov', 'ZIZIZIZI', and 'Ziv Goldenberg'. The status for many contacts is 'Waiting for Confirmation' or 'Rejected by party'.



### 3.4.2. Site messages

This screen manages the messages publish on the web site:

[illegible]

### **3.5.     *Reports***

The reports defined in the system all have selection parameters, they act as queries the results are displayed on a grid in the screen and they may be saved (externally) in PDF format.

The reports defined:

1. Files
2. Parties
3. Follow up – incoming and outgoing correspondence.
4. Countries in the PCT Treaty.
5. Fees
6. Fees & Payments
7. RO forms – including the automatic production of reminders
8. Alert report – all the tasks may be viewed as reports in regard to a range of dates (The tasks on the workbench are displayed for the current date).

There are two extra alerts:

- Files with Article 11(1) Faults that were not completed within 2 months
- Files with sent to Ministry of Defense (suspected as security files) with no answer after one month

(The quantitative numbers (2 months, one month) are stored as a system parameter that may be easily altered).

## 4. The Web Site

In order to supply better service to the public an online web site will be developed

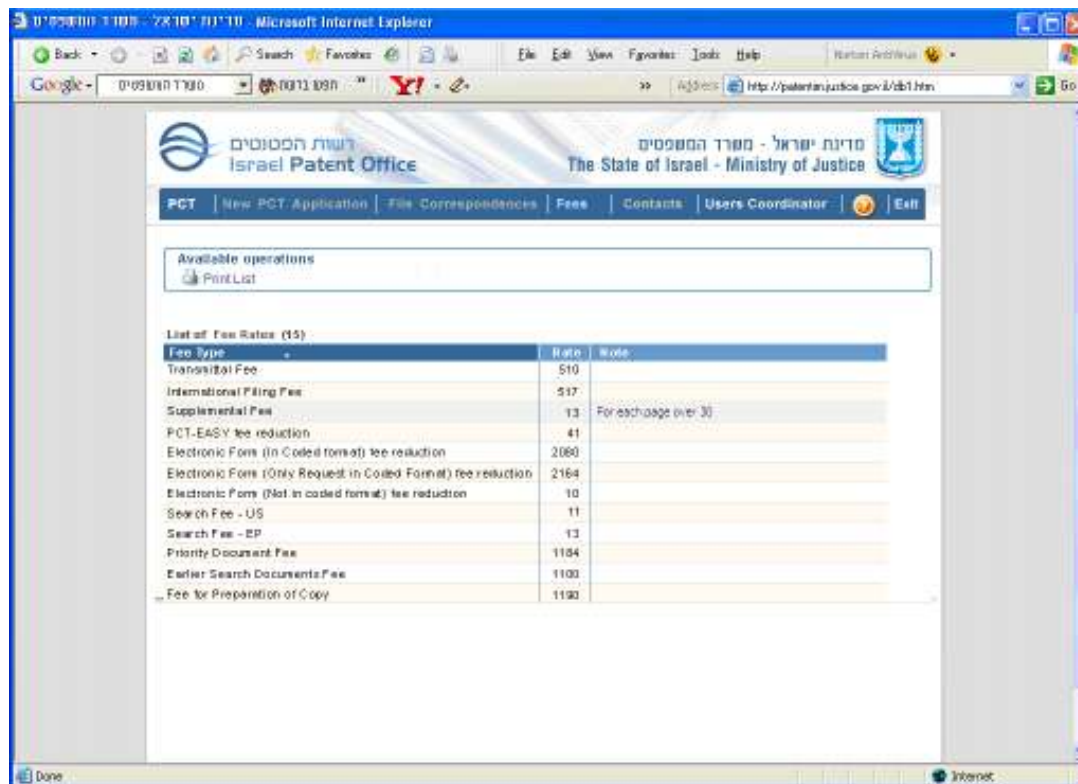
The web site includes the following services:

- Open to the public (unsecured users) :
  - Current fee rates.
- Secured users (that posses a smart card):
  - Registration as a external users coordinator
- Registered Secured users (that posses a smart card and are registered in the system):
  - Registration of contacts
  - New request submission
  - Correspondence submission

### 4.1. *Services open to the Pubic*

#### 4.1.1. Current fee rates

This screen displays the currant rates for the fees related to the Receiving office.



The screenshot shows a web browser window displaying the Israel Patent Office website. The page title is "רשות הפטנטים" (Israel Patent Office) and "מדינת ישראל - משרד המשפטים" (The State of Israel - Ministry of Justice). The navigation bar includes links for "PCT", "New PCT Application", "File Correspondences", "Fees", "Contacts", "Users Coordinator", and "Exit". The main content area is titled "Available operations" and includes a "Print List" button. Below this, a table titled "List of Fee Rates (15)" is displayed, showing various fee types and their corresponding rates.

Fee Type	Rate	Note
Transmittal Fee	510	
International Filing Fee	517	
Supplemental Fee	13	For exchange (over 3)
PCT-EASY fee reduction	41	
Electronic Form (in Coded format) fee reduction	2080	
Electronic Form (Only Request in Coded Format) fee reduction	2164	
Electronic Form (Not in coded format) fee reduction	10	
Search Fee - US	11	
Search Fee - EP	13	
Priority Document Fee	1104	
Earlier Search Documents Fee	1100	
Fee for Preparation of Copy	1190	

## 4.2. Services for Secured Users

### 4.2.1. External User Coordinator Registration

If a party wishes to submit new requests or correspondence in exiting files one of its employees must be defined as an external user coordinator.

This set of screens is for registration of a coordinator:

First registration screen, the user enters his personal details and the name and registration no. of the party he represents.

The screenshot shows a web browser window displaying the Israel Patent Office website. The page is titled "Users' Registration" and contains a form for entering coordinator and party details. The form is divided into two main sections: "Coordinator Details" and "Party Details".

**Coordinator Details:**

- First Name: [Text Field]
- Last Name: [Text Field]
- Identification No.: [Text Field]

**Party Details:**

- Party Type: [Text Field]
- Legal Entity: ☐ Registration No.: [Text Field]
- Natural Person: ☒ Identification No.: [Text Field]

A "Verify Details" button is located at the bottom of the form.

On the right side of the form, there is a box with a graphic of a person and text that reads: "Please fill in Coordinator and Party details and then verify." Below this, it states: "If the party is not yet registered in the system, some additional basic information will be required after verification."

If the party is recognized in the system:

After confirmation



If the Party is not recognized in the system, the party details must be entered:

The screenshot shows the Israel Patent Office website in Microsoft Internet Explorer. The page title is "רשות הפטנטים - מדינת ישראל - משרד המשפטים" and the URL is "http://patents.justice.gov.il/db1.htm". The navigation bar includes "PCT", "Submissions", "Fees", "Users' Registration", and "Exit". A message states: "A Users Coordinator can be any user that represents a company, an office or a private person." The "Coordinator Details" section shows: First Name: Shlomo, Last Name: HaLevi, Identification No.: 24681024, and a "Change Details" button. The "Party Registration Details" section shows: Party Type: Natural Person (selected), Registration No.: 14785232, Identification No.: [empty], First Name: [empty], Name: [empty], Address: [empty], City: [empty], and Post Code: [empty]. The "Verification Details" section contains a red message: "The party whose details you entered is not registered in the system. Please fill the Party registration details and then confirm." Below this, it says: "To go back to the original details form, please press the CHANGE DETAILS button."

After confirmation:

The screenshot shows the same Israel Patent Office website after confirmation. The "Coordinator Details" section remains the same. The "Party Registration Details" section now shows: Party Type: Legal Entity (selected), Registration No.: 14785232, First Name: Vital, Name: Rubin, Address: 112 Herzl Ave., City: Jerusalem, Post Code: 66656, State: Israel, and Phone Number: 02-6420427. The "Verification Details" section now contains a blue message: "Your request to register a coordinator was sent. A reply will be sent later." with an information icon.

### 4.3. Services for Registered Secured Users

#### 4.3.1. User coordinator Contact management

In this screen the external user coordinator after authorization in the operational system may add or remove contacts related to his party.

Microsoft Internet Explorer

Back Forward Stop Search Favorites Tools Help

http://patent.justice.gov.il/db1.htm

רשות הפטנטים  
Israel Patent Office

מדינת ישראל - משרד המשפטים  
The State of Israel - Ministry of Justice

PCT Submissions Fees Users' Registration Exit

Users Coordinator Contact Management

Party Details: YEDA RESEARCH AND DEVELOPMENT CO. LTD. Registration No.: 510201890

Contact Search

Contact Status: ☐ Select All  
Waiting for Confirmation  
Confirmed  
Rejected-Data Security  
Rejected-By Office

Search

Available operations:

Contact List (Displayed Page: 2, records: 51-100 of 110 that matched the criteria)

	First Name	Last Name	ID No. Contact	Status	Status Update Date
<input type="checkbox"/>	Yifat	Rubin	123456789	Waiting for Confirmation	10 Aug 2009
<input type="checkbox"/>	Haim	Love	123456789	Waiting for Confirmation	10 Aug 2009
<input checked="" type="checkbox"/>	Yael	Melior	123456789	Waiting for Confirmation	09 Aug 2009
<input checked="" type="checkbox"/>	Yuvai	Smarty	123456789	Waiting for Confirmation	09 Aug 2009
<input checked="" type="checkbox"/>	Jonathan	Charming	123456789	Waiting for Confirmation	09 Aug 2009
<input type="checkbox"/>	Gaya	Small	123456789	Waiting for Confirmation	10 Aug 2009
<input type="checkbox"/>	Michael	Newman	123456789	Waiting for Confirmation	10 Aug 2009
<input type="checkbox"/>	Dan	Maged	123456789	Waiting for Confirmation	10 Aug 2009
<input checked="" type="checkbox"/>	Sarah	Muthies	123456789	Confirmed	09 Aug 2009
<input type="checkbox"/>	Josh	Amoslem	123456789	Confirmed	10 Aug 2009

### **4.3.2. Submissions**

Any authorized external user coordinator or contact may submit a new request or submit a new correspondence for an existing file.

#### **Submitting a new request:**

The user must attach the PCT Safe File, which may be from the PCT – Easy version or the PCT Full electronic version.

If the PCT Safe file does not contain the application documents the user must attach them in the application documents section. If there are any other relevant documents they are attached in the "Other documents section".

After submission the PCT Safe file undergoes initial checking which includes:

- Checking data security issues.
- Checking if the file was produced from the current PCT Safe version.
- Checking if the Package data file exists in all the other files are listed,
- Checking the relevant xml files are in the correct structure.

After the initial check the files are electronically signed and send to the internal network. The files are then parsed, if the parsing is successful a new file is created in the application system and the information and documents are loaded.

Indication of the submission success or failure is displayed in the log file in the operational system.

Note: The next four screens are before UI Design

Open New File

New PCT Application

Submission Data

Reference No

Documents

PCT Safe Zip Archive

Fill out a new application using PCT Safe and attach the output file. PCT Safe can be downloaded at [PCT safe Download](#)

★ PCT Safe Zip Archive

<File path and name>

<File size>

Browse

Application Documents

If the PCT Safe file does not include the application documents, attach them here.

Browse

Remove

Clear table

	Document Type	Document Name	Size
<input type="checkbox"/>	Abstract	<a href="#">./c:/myDocuments/Abstract.pdf</a>	5 kb
<input type="checkbox"/>	Description		
<input type="checkbox"/>	Claims		
<input type="checkbox"/>	Drawings		
<input type="checkbox"/>	Sequence List		
<input type="checkbox"/>	Application Body		

Other Documents

Attach any other relevant documents here.

Document Type

Browse and add

Attached Documents

Remove

Clear table

	Document Type	Document Name	Size
<input type="checkbox"/>	PDOC	<a href="#">Priority.pdf</a>	5 kb

Notes

The PCT application will be officially filed at the ILPO only after successful load into the system.

The Application status can be viewed in the submission follow up screen

Submit New PCT File

Page 76 of 85

After submission if the initial check is successful a receipt is displayed, the user may save or print the receipt as a proof of the submission.

### New PCT Application Submission Receipt

It is hereby acknowledged that a PCT International Application has been submitted via the Israeli PCT Web Site.  
Upon loading into the system a Submission number and received date will automatically be assigned

Receiving Office	IL Israel
Submission date and time	30 Feb 2010 14:34
Submitted by	Moshe Cohen Lutzato Office
Documents submitted	PCT Safe Zip Archive: MyInvention.zip Abstract: Abstract.pdf Description: Description.pdf Claims: Claims.pdf

This receipt may be saved or printed as proof of submission

{1234rtsko89dk49dksls893kslsf94kwlsf}



### **Submitting correspondence for an existing file**

Each external user represents a party. The user can create and submit correspondence for a file only if the party he represents acts as a main party in the file.

The user first selects a correspondence type, with the aid of the category.

The correspondence type influences two sections:

- The number of files that can be selected, some correspondence types can be submitted for a single file and others for multiple files.
- The document types that can be attached to the correspondence. After the selection a list of document types are displayed. Some of the documents are required, or required to choose one out of a list, others are optional. The user must attach all the required documents for the correspondence type he selected

Each correspondence type has a list of document and their level of requirement. All document types are the according to the WIPO standards defined in the "Minimal Specification for Electronic PCT Document Exchange" WIPO document.

## Correspondence

### Select Correspondence Type

Correspondence Category

\* Correspondence Type

### Select PCT Files (Requests)

\* File No.:

	Submission No.	IA No.	File Reference
<input type="checkbox"/>	2009-050003	PCT/IL125121	yony12345
<input type="checkbox"/>	2009-050046	PCT/IL332362	hila1i8948
<input type="checkbox"/>	2009-050076	PCT/IL76489	eyal0e0e90

### Attach Documents

		Document Type	Document Name	Size
<input type="checkbox"/>	*	Conf r20.6 incorp by ref	<a href="#">./c:/myDocuments/imcorp.pdf</a>	5 kb
<input type="checkbox"/>	*	Copy of earlier filed application		
<input type="checkbox"/>	*	Translated earlier filed application		
<input type="checkbox"/>		Letter		

### Notes

### Web Submission Follow Up

In this screen the external user can view the loading status of his submissions. All the users related to a party can see all the submission made for the party.

Web Submission Follow Up

Web Submission Follow Up

Submission Type

Submission Status

Submission date

From

Till

Submitted by Contact

IA no

Reference No

Incoming correspondence type

Search

Clear

Submission Type	Submission Status	Submission date	Submitted By	PCT File No	Reference No	Correspondence Type
PCT File Creation	Waiting to be loaded	1/2/2010	Moshe Cohen			
Correspondence	Loaded successfully	22/12/2009	Adi Elbaz			

## 5. Interfaces

The electronic interfaces defined for the operational system

- Creating a new file by loading from PCT Safe from the operational system
- Incoming correspondence from IB
- Outgoing correspondence to the IB
- Creating a new file by loading from PCT Safe from the web site
- Loading incoming correspondence from the web site

### 5.1. *Interfaces*

#### **Creating a new file by loading from PCT Safe from the operational system**

When pressing the submit button in the new file screen a batch is activated to load the PCT safe into the operational system, The batch checks the PCT safe file, parses it and if there are no errors it is loaded a new file. The loading process outcome is recorded in the interface log file.

#### **Incoming correspondence from IB**

Through the sFTP WIPO sends a zip file containing IB Forms. For each form received a new incoming correspondence is created in the relevant file. The loading process outcome is recorded in the interface log file.

#### **Outgoing correspondence to the IB**

When sending an outgoing correspondence to the IB a zip file is created according to the WIPO standard and sent through the sFTP. After receiving and parsing the file in the IB an acknowledgement is received.

The loading information is recorded in the interface log file.

#### **Creating a new file by loading from PCT Safe from the web site**

When submitting a request from the web site the files are electronically sealed, and sent to the internal network. A batch parses the documents, if there are no errors a new file is created in the operational system. The transferring and loading process is recorded in the interface log file.

#### **Loading incoming correspondence from the web site**

When submitting correspondence from the web site the files are electronically sealed, and sent to the internal network. A batch parses the documents, if there are no errors a new incoming correspondence is created for the relevant files in the operational system. The transferring and loading process is recorded in the interface log file.

## **5.2.     *The Interface Log File***

The status of the different interfaces and information about the errors that occurred can be viewed in the interface log screens.

## **5.3.     *Manual Activation***

Some interfaces are activated as batch files by a scheduler. If there is a need to instantly activate a batch file or if there is a scheduler failure the batch files can be activated manually.



## **6. Annexes**

### **6.1. *Annex A***

#### **6.1.1. Incoming Correspondence Types**

1. Request for extension
2. Later submitted sheets
3. Request for the incorporation by reference of the missing part or element
4. Submission of substitute sheets
5. Request for rectification of obvious error
6. Corrections complying with Rule 9.1
7. Request of transmittal of the IA to the IB as RO
8. Request for addition of sequence listings
9. Request for revocation of party
10. Request for renunciation of the Agent or common representative
11. Assignments
12. Request for changing of nationality or residence
13. Request for recording of change: Party Communication
14. Request for recording of change: Party Name
15. Request for recording of change: Person
16. Request for changing of the address
17. Request of the successor for the rights of died applicant
18. Request for addition of party
19. Request for changing the order of the applicants
20. Request for changing the order of the inventors
21. Request for recording of change: Party Function
22. Submission of POA
23. Submission of additional signer in POA
24. Request to prepare and transmit to the IB a certified copy of the earlier application
25. Request for restoration of the right of priority
26. Request for correction of priority claim
27. Request for addition of priority claim
28. Submission the Priority document

29. Request for certified copy of IA
30. Request for withdrawal of international application
31. Request for withdrawal of priority claim
32. Request for withdrawal of designation
33. Submission of the earlier search results
34. Notice of non-establishment of ISR
35. Request for changing of the ISA
36. Request for addition of declaration
37. Request for correction of declaration
38. Indications relating deposited micro-organisms
39. A request to publish indications relating to a priority claim considered void
40. A request to publish rectification of an obvious mistake where it was refused by the RO
41. Amendments to the claims under Article 19
42. Notification concerning payments
43. Later submitted abstract
44. Request for correction of file reference number
45. Request for correction of title of invention
46. Request for correction of parent application
47. Applicant request for publication of late furnished correction/addition of priority claims
48. Ex-officio correction
49. Lack of signature
50. General Correspondence
51. IB/301 Receipt of record copy
52. IB/306 Record of changing
53. IB/307 Withdrawal of IA
54. IB/313 Defects in the IA
55. IB/316 Invitation to correct a priority claim
56. IB/317 Withdrawal of a priority claim
57. IB/318 Correction/addition of a priority claim
58. IB/345 Communication in cases of which no other form is applicable
59. IB/350 Demand not has been made
60. IB/370 Invitation to correct declarations
61. IB/371 Notification relating the declarations

- 62. IB/Other
- 63. ISA/202 Notification of Receipt of Search Copy
- 64. ISA/217 Notification of Decision Concerning Request for Rectification
- 65. ISA/214 Request for Information as to Right to Practice
- 66. ISA/Others