

## Questionnaire on Correction Procedures in Patent Offices

*modified by the Correction Procedures Task Force in February 2009*

Task No. 35: Prepare a questionnaire and carry out a survey on the implementation of WIPO Standard ST.50 and on the status of the correction procedures in the industrial property offices. Prepare a proposal regarding this matter for consideration by the SDWG.

Please provide the following contact information so that the International Bureau can, if necessary, contact the person responsible for responding to the Questionnaire:

YOUR DETAILS:

Industrial Property Office (IPO): JP (ST.3 two-letter country/organization code)

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## QUESTIONNAIRE

The Guidelines given by WIPO Standard ST.50 (refer to <http://www.wipo.int/standards/en/pdf/03-50-01.pdf>) aim at providing guidance to industrial property offices and other suppliers of patent information on how to issue corrections, alterations and supplements relating to patent information published in paper form or on machine-readable media.

This questionnaire is a second version of an original survey approved by the SCIT Standards and Documentation Working Group and conducted in 2004. Modifications take into account comments received from the original questionnaire as well as correction procedures of offices' given their recent experiences with online Internet publication. The original survey results are available at <http://www.wipo.int/standards/en/pdf/07-04-02.pdf>.

## NOTIFICATIONS ISSUED

### 1. Does your Office issue the following notifications?

(a) Corrections:

☒

YES

☐

NO or

☒

Under the following circumstances: *Corrections are issued when errors in a published patent document are detected by JPO staff or reported by third parties including applicants; a final decision whether to issue the correction or not will be made by the JPO publication department.*

(b) Alterations:

☒

YES

☐

NO or

☒

Under the following circumstances: *Alterations are issued when a written amendment is filed for adding description, claim(s) or drawing(s), which was not furnished at the time of publication of the unexamined patent application or which was late for the publication.*

(c) Supplements:

☐

YES

☒

NO or

☐

Under the following circumstances:

(d) Other similar notification details:

## COMPLIANCE WITH WIPO STANDARD ST.50

### 2. Does your Office use the guidelines described in WIPO Standard ST.50?

(a) Guidelines for corrections (paragraphs 7 - 32)

☐

ALL

☒

PARTLY

☐

NO

(i) If your answer was "PARTLY", which parts of WIPO Standard ST.50 are used?

☒

Kind-of-document codes according to paragraph 10 of WIPO Standard ST.16, e.g., A8, A9, etc.

- ☒ Data element “publication date” of the correction (INID code (48)) according to [WIPO Standard ST.9](#)
- ☐ Additional correction information, e.g., supplementary correction codes (example: codes on EP CD-ROMs like W, Z, etc.), together with INID code (15)
- ☐ Other – Please specify: *The JPO publishes patent documents including corrections and alternations only in the form of DVD-ROM and that of utility model documents only via the Internet.*

(ii) If your answer was “NO”, is your Office planning to introduce the guidelines according to WIPO Standard ST.50? If so, when?

- ☐ YES          When?
- ☐ NO

Comments, if necessary:

b) Guidelines for alterations (paragraphs 33 – 36)?

- ☐ ALL          ☒ PARTLY          ☒ NO

(i) If your answer was “PARTLY”, please specify the practice of your Office:

*The JPO publishes patent documents including corrections and alternations only in the form of DVD-ROM and that of utility model documents only via the Internet.*

(ii) If your answer was “NO”, is your Office planning to introduce the guidelines according to WIPO Standard ST.50? If so, when?

- ☐ YES          When?
- ☐ NO

Comments, if necessary:

c) Guidelines for supplements (paragraphs 37 – 44)?

- ☐ ALL          ☐ PARTLY          ☒ NO

(i) If your answer was “PARTLY” please specify the practice of your Office:

(ii) If your answer was “NO”, is your Office planning to introduce the guidelines according to ST.50? If so, when?

- ☐ YES          When?
- ☒ NO

Comments, if necessary:

## MEDIA ON WHICH CORRECTIONS, ALTERATIONS, AND SUPPLEMENTS ARE PUBLISHED

3. On which types of media are corrections, alterations, and/or supplements issued according to the guidelines of WIPO Standard ST.50?  
Please indicate the specific guidelines used in relation to the media indicated below.  
Please provide the requested media information for both (i) patent documents, and (ii) patent gazettes, for any media on which they are issued.

- ☐ (a) Paper:  
(i) Patent documents:  
(ii) Patent gazettes:
- ☒ (b) Optical discs, e.g., CD-ROMs/DVDs:  
(i) Patent documents: *paragraphs 23-27*  
(ii) Patent gazettes: *paragraphs 23-27*
- ☐ (c) Machine-readable carriers other than optical discs, e.g., magnetic tapes:  
(i) Patent documents:  
(ii) Patent gazettes:
- ☒ (d) Online Internet publication:  
(i) Patent documents: *paragraph 28, 29 and 36*  
(ii) Patent gazettes: *paragraph 28, 29 and 36*  
(iii) Other (e.g. web services):

4. Does your Office use correction, alteration, and/or supplement issuing procedures other than provided in WIPO Standard ST.50?

- ☐ Paper Patent Documents:  
☐ Patent Documents on optical discs, e.g., CD-ROMs/DVDs:  
☐ Patent Gazettes/Bulletins (please specify media used):  
☐ Machine-readable carriers other than optical discs  
(Please specify product, e.g., patent document, patent gazette, etc.):  
☐ Online Internet publication:

5. List the WIPO Standard ST.16 kind-of-document codes used for each kind of corrected patent document. Please indicate if your Office does not change the ST.16 kind-of-document code for corrected documents:

*A6: Correction of published unexamined patent application*

*A6: Correction of published unexamined patent application based on international application*

*U6: Correction of published unexamined utility model application*

*U6: Correction of registered utility model specification*

*U7: Correction of registered utility model specification*

*U6: Correction of unexamined utility model application based on international application*

*B6: Correction of granted patent application*

*B6: Correction of examined patent application*

*Y6: Correction of granted utility model application*

*Y6: Correction of examined utility model application*

6. In terms of what type of media the notification types (corrections, alterations, and supplements) are issued on, does your Office treat one of the notification types differently from the others? If so, please specify how the different notification types are treated.

*No, the JPO does not treat the notification types differently.*

7. In the case that your Office issues corrections, alterations, and supplements on more than one type of media, and in the case that one or more types are more important/dominant/authentic than the other(s), please specify which type(s) of media are the most important. (Multiple selections can be made).

- ☐ Paper:
- ☐ Optical discs:
- ☐ Machine-readable carriers other than optical discs:
- ☐ Online Internet publication:

8. In the case that your Office issues corrections, alterations, and supplements on machine-readable media (including online Internet publications):

- (a) Please indicate if corrections, alterations, and supplements to image data (e.g., WIPO Standard ST.33 data) are handled differently from corrections to full text versions of the same data (e.g., WIPO Standard ST.32 or ST.36 data).

*The XML data is the source of the image (PDF).*

- (b) Please indicate if corrections, alterations, and supplements are handled differently on different media (e.g., differently on paper, optical disc, magnetic tape, on-line, etc.):

*The JPO handles issuing and publishing corrections both in DVD-ROM and via the Internet in the same manner.*

## PROBLEM AREAS

9. If you use correction, alteration, and supplement procedures that are not fully in accordance with WIPO Standard ST.50 and do not plan to be fully in line with this Standard in the future, please explain why. In particular, please indicate any concerns (e.g. procedural, technical, cost) you have with WIPO Standard ST.50 that cause problems with its implementation.

10. In the case that your Office issues corrections, alterations, and/or supplements via online Internet publication:

(a) Does WIPO Standard ST.50 require amendment to cover your procedures?

☒

YES

☐

NO

(b) If YES, please provide details about what are the problem areas:

*It is not clear whether "Machine-readable carriers other than CD-ROM" of ST.50 encompassed online Internet publication.*

(c) And, if YES, please identify any possible solution(s) to amend WIPO Standard ST.50:

*The possible solution would be, in line with the new era of highly-advanced and rapidly-developing information technology, to add specific paragraphs for "On-line Internet Publication" or to modify relevant paragraphs in the Standard, e.g., "Machine-readable carriers other than CD-ROM" so that clear guidelines for "On-line Internet publication" can be provided as well.*

11. With regard to problem area(s) other than in relation to online Internet publication:

(a) Do you see a need to update or amend WIPO Standard ST.50 in any area(s) other than in relation to online Internet publication?

☒

YES

☐

NO

(b) If YES, please provide details about what are the problem areas:

*WIPO Standard ST.50 is not designed to provide guidelines for publication of patent documents/patent gazettes in DVD-ROM.*

(c) And, if YES, please identify any possible solution(s) to amend WIPO Standard ST.50:

*The possible solution would be either to add specific paragraphs for publication in DVD-ROM or to replace the wordings of "CD-ROM" relevant to this question with "optical disks" so that both "CD-ROM" and "DVD-ROM" can be covered.*

12. Do users have problems in the identification of corrections, alterations, or supplements?  
If so, choose one or more of the following options:

- ☒ We do not have this information.
- ☐ There are problems distinguishing between the different types of corrections, alterations, and supplements.
- ☐ There are problems identifying which publications have been subsequently corrected, altered, or supplemented.
- ☐ There are problems identifying what previous publication is now being corrected, altered, or supplemented.
- ☐ Other, please specify:

### TYPE AND CAUSE OF ERRORS REQUIRING SUBSEQUENT CORRECTION

13. Is the origin of errors leading to corrections mainly due to the applicant or to the internal processes of your Office, or another source?

- ☐ More from the applicant side
- ☒ More from the Patent Office side
- ☐ About equally from applicant and Patent Office
- ☐ Other, please specify:

Comments, if necessary:

14. If known, please indicate the number of corrections published by your Office every year, in absolute values and/or percentage of the overall publication volume?

*Statistics for the year 2007:*

*Total of publications: 554,123*

*Total of corrections: 402*

*Ratio of correction (%): 0.07*

*Statistics for the year 2008:*

*Total of publications: 557,554*

*Total of corrections: 390*

*Ratio of correction (%): 0.07:*

15. If known, has a significant change occurred in the number of corrections issued in the past five years?

- ☐ More corrections  
☒ Stable  
☐ Fewer corrections

Comments, if necessary: *The number of corrections might increase unexpectedly when the patent law changes but the publication system does not change.*

16. Who makes the final decision of publishing a correction?

- ☐ The examiner  
☐ The formality officer  
☒ The internal publication department  
☐ An external publication department  
☐ All, in cooperation  
☐ Other – Please specify:  
☐ It depends on the type of correction or error – Please specify:

Comments, if necessary:

17. Is a request for correction from an applicant usually granted?

☒ YES ☐ NO

Comments, if necessary:

18. How long, on average, does your Office take to publish a correction once an error becomes known?

(One or more selections may be made).

- ☐ In the next publication cycle  
☐ Within one working day  
☐ Within one month  
☒ Within 1-3 months  
☐ Within 3-6 months  
☐ Longer than 6 months



☐ It depends on the types of media (paper, optical discs, machine-readable carriers other than optical disc, online Internet publication) the notifications are issued on and on the types of notifications (corrections, alterations, and supplements) issued. Does your Office treat one of the notification types differently from the others? If so, please specify how the different types of media and notifications are treated.

☐ Other – Please specify:

Comments, if necessary:

## **PROVISION OF EXAMPLES OF CORRECTIONS, ALTERATIONS AND SUPPLEMENTS**

19. If possible please provide one or more brief examples of corrections, alterations, and/or supplements which may be used to update part 7.4.1 of the WIPO Handbook entitled “Examples concerning corrections, alterations, and supplements relating to patent information” (see [http://www.wipo.int/standards/en/part\\_07.html#7.4](http://www.wipo.int/standards/en/part_07.html#7.4)). An example should preferably be provided in the form of either:

- (a) a reference to an Internet address that is likely to be stable for more than three years or
- (b) an embedded image within a Microsoft Word or an Adobe PDF document.

Please note that the examples provided will REPLACE those examples existing in part 7.4.1, unless advised otherwise (below).

- (a) ☐ The following stable Internet addresses are provided, for updating part 7.4.1 of the Handbook:

(Please include steps to help readers find the information, if necessary.)

- (b) ☒ The following attached images are provided for updating part 7.4.1 of the Handbook:

(i) *Sample of JP corrections*

JP 4222610 B6 2009. 4. 30

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F 2 4 F	6/04	(2006. 01)
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【 F I 】

B 0 1 D	1/00	Z
F 2 4 F	6/04	
F 2 5 B	19/00	Z
F 2 5 D	9/00	F

【記】 別紙のとおり

In the actual publication, the corrected version of the complete patent document follows.

(ii)

(iii)

- (c) ☐ Other – Please specify:

Comments, if necessary:

## FURTHER COMMENTS

20. Please indicate below any further comments you wish to make in relation to Correction Procedures.

[End of Annex]