

# PCT NEWSLETTER

November 2016 No. 11/2016

## Notifications of Incompatibility under PCT Rule 23bis.2(b) and (e)

It is recalled that in October 2015, the PCT Assembly adopted new PCT Rule 23*bis* concerning the transmittal by the receiving Office of documents relating to earlier search or classification to the International Searching Authority (ISA), which will enter into force on 1 July 2017. New PCT Rule 23*bis*.2(a) states:

"For the purposes of Rule 41.2, where the international application claims the priority of one or more earlier applications filed with the same Office as that which is acting as the receiving Office and that Office has carried out an earlier search in respect of such an earlier application or has classified such earlier application, the receiving Office shall, subject to paragraphs (b), (d) and (e), transmit to the International Searching Authority, together with the search copy, a copy of the results of any such earlier search, in whatever form (for example, in the form of a search report, a listing of cited prior art or an examination report) they are available to the Office, and a copy of the results of any such earlier classification effected by the Office, if already available. The receiving Office may also transmit to the International Searching Authority any further documents relating to such an earlier search which it considers useful to that Authority for the purposes of carrying out the international search."

In view of the differences in national laws concerning the making available of information relating to unpublished applications, receiving Offices were given the possibility to submit notifications of incompatibility, as outlined below.

#### Notification under PCT Rule 23bis.2(b)

New Rule 23*bis*.2(b) permits a receiving Office to notify the International Bureau (IB) by 14 April 2016 that it may, **on request of the applicant** submitted together with the international application, decide not to transmit the results of an earlier search to the International Searching Authority.

The Offices (in their capacity as receiving Offices) of the following States have notified the IB of such incompatibility:

- DE Germany
- FI Finland
- SE Sweden

#### Notification under PCT Rule 23bis.2(e)

New Rule 23*bis*.2(e) permits the receiving Office to notify the IB by 14 April 2016 if the transmission of the copies referred to in PCT Rule 23*bis*.2(a), or the transmission of such copies

in a particular form, such as those referred to in PCT Rule 23bis.2(a), without the authorization by the applicant is not compatible, on 14 October 2015, with the national law applied by the receiving Office.

The Offices (in their capacity as receiving Offices) of the following States have notified the IB of such incompatibility, and of the extent to which it applies:

AU	Australia
СН	Switzerland
CZ	Czechia
FI	Finland
HU	Hungary
IL	Israel
JP	Japan
NO	Norway
SE	Sweden
SG	Singapore
US	United States of America

Details on the extent to which such incompatibility applies in the case of each Office concerned were published in the Official Notices (PCT Gazette) on 20 October 2016, at:

http://www.wipo.int/pct/en/official notices/officialnotices.pdf

This information updates the table of "PCT Reservations, Declarations, Notifications and Incompatibilities" at:

http://www.wipo.int/pct/en/texts/reservations/res\_incomp.html

The full text of new PCT Rule 23bis can be seen in document PCT/A/47/9, Annex II, pages 4 and 5, at:

http://www.wipo.int/edocs/mdocs/govbody/en/pct a 47/pct a 47 9.pdf

and for background information on new PCT Rule 23bis, see document PCT/WG/8/18 and paragraphs 60 to 70 of document PCT/WG/8/25 at:

http://www.wipo.int/meetings/en/details.jsp?meeting\_id=35593

# **Electronic Filing and Processing of International Applications**

## **Japan Patent Office**

The Japan Patent Office (JPO), in its capacity as receiving Office (RO/JP), has notified the International Bureau (IB) that, with effect from 1 January 2017, it will no longer accept the filing of international applications in electronic form using the PCT-SAFE software. As from that date, the JPO PAS will be the only means of electronic filing before RO/JP.

Furthermore, the JPO, in its capacity as receiving Office, International Searching Authority and International Preliminary Examining Authority, is prepared to receive and process subsequently filed documents filed in electronic form to the extent supported by JPO PAS.

A revised notification containing the requirements and practices of RO/JP with regard to the filing of international applications in electronic form was published in the *Official Notices (PCT Gazette)* on 27 October 2016 at:

http://www.wipo.int/pct/en/official\_notices/officialnotices.pdf

#### **Finnish Patent and Registration Office**

The Finnish Patent and Registration Office (PRH), in its capacity as receiving Office (RO/FI), has notified the IB that, with effect from 1 January 2017, it will no longer accept the filing of international applications in electronic form using the PCT-SAFE software. It is recalled that RO/FI also accepts XML and PDF files filed using ePCT-Filing or EPO Online Filing.

A revised notification containing the requirements and practices of RO/FI with regard to the filing of international applications in electronic form was published in the *Official Notices (PCT Gazette)* on 10 November 2016 at:

http://www.wipo.int/pct/en/official\_notices/officialnotices.pdf

#### **European Patent Office**

Further to the information that was published in *PCT Newsletter* No. 10/2016 regarding the new possibility, since 1 November 2016, for applicants to use ePCT *private* services for the online transmittal to the European Patent Office (EPO) of post-filing documents relating to the international application under PCT Rule 89*bis*.2, please see below some practical information regarding such transmission.

For submitting all post-filing documents to the EPO, in its capacity as receiving Office (RO), International Searching Authority (ISA) and International Preliminary Examining Authority (IPEA), the applicant can use the ePCT "Upload documents" function, selecting RO/EP, ISA/EP or IPEA/EP as recipient, where applicable. As far as submitting the demand for international preliminary examination via the ePCT Action is concerned, if the competent IPEA selected is EP, the demand and any attachments will be routed direct to IPEA/EP and will no longer transit via the IB with Form PCT/IB/368.

Note that documents submitted to RO/EP, ISA/EP and IPEA/EP via ePCT private services are routed to the EPO via the PCT Electronic Data Interchange (EDI) protocol, which is a secure daily online batch transmission, meaning that the documents will be available in the EPO's electronic processing system within a maximum of 24 hours from the time of upload in ePCT private services. The documents are considered received by the EPO at the time when they are uploaded and are immediately available via ePCT private services to users with access rights to the international application, including EPO staff who are registered ePCT users.

Users are reminded that, when using ePCT *public* services, documents can be submitted only to the International Bureau (IB). In order to benefit from all the advantages of ePCT private services, including initial filing of PCT applications and transmittal of subsequently filed documents to participating receiving Offices and international authorities via ePCT, applicants need to set up additional authentication for their WIPO account using a digital certificate, or an EPO smart card. For further details please visit:

http://www.wipo.int/pct/en/epct/pdf/epct\_getting\_started.pdf

A revised notification containing the requirements and practices of the EPO with regard to the filing of international applications in electronic form was published in the *Official Notices (PCT Gazette)* on 3 November 2016 at:

http://www.wipo.int/pct/en/official\_notices/officialnotices.pdf

### PCT Patent Prosecution Highway (PCT-PPH) Pilots

### European Patent Office and the Superintendence of Industry and Commerce of Colombia

On 1 October 2016, a new one-way PCT-PPH pilot program started between the Superintendence of Industry and Commerce of Colombia and the European Patent Office (EPO). Under this program, faster examination in the national phase in Colombia is available on the basis of a PCT application with a positive written opinion from either the International Searching Authority (ISA) or the International Preliminary Examining Authority (IPEA), or a positive international preliminary report on patentability (IPRP) (Chapter II), issued by the EPO in its capacity as ISA/IPEA.

Further information on the above-mentioned PCT-PPH agreement is available at:

http://www.epo.org/law-practice/legal-texts/official-journal/2016/09/a75/2016-a75.pdf and

http://www.sic.gov.co/drupal/sites/default/files/files/Guia%20de%20Colombia%20PPH%20 EPO%202.pdf (in Spanish)

The PCT-PPH page of the PCT website has been updated to include information on this new pilot at:

http://www.wipo.int/pct/en/filing/pct\_pph.html

# Non-Working Days at the International Bureau and Publication Schedule at the End of the Year

For the purposes of computing time limits under PCT Rule 80.5, the days on which the International Bureau (IB) will not be open for business are, for the period from 1 January to 31 December 2017, the following:

All Saturdays and Sundays, and

2 January 2017

14 and 17 April 2017

25 May 2017

5 June 2017

7 September 2017

25 December 2017

29 December 2017

Note that the days indicated above concern only the IB and not the national or regional Offices. The closed dates in 2017 for other Offices, where available, can be found on the PCT website at:

#### http://www.wipo.int/pct/dc/closeddates/faces/page/index.xhtml

#### Non-working days at the International Bureau at the end of this year

The non-working days at the International Bureau (IB) during December 2016 and January 2017, in addition to the weekends, will be:

Monday, 26 December 2016 and Friday, 30 December 2016 and Monday, 2 January 2017.

The IB will therefore be open for business from Tuesday, 27 December to Thursday, 29 December 2016, and will then be open as usual from Tuesday, 3 January 2017.

The dates of operation of the PCT Information Service and the PCT eServices Help Desk, as well as information on the publication schedule, are given below.

#### PCT Information Service

The PCT Information Service will be closed from Monday, 26 December 2016 to Monday, 2 January 2017, inclusive. It will reopen on Tuesday, 3 January 2017 at 9:00 (Central European time (CET)).

Please note, however, that if you call the PCT Information Service (telephone number: (+41-22) 338 83 38) during the holiday period, there will be a recorded message providing a telephone number for cases requiring urgent attention. It is recalled that the PCT Information Service answers general inquiries about the filing of international applications and the procedure to be followed during the international phase of the PCT (questions relating to specific applications should be addressed to the PCT Processing Service). For further information, see:

http://www.wipo.int/pct/en/infoline.html

#### PCT eServices Help Desk

The schedule for the PCT eServices Help Desk during the end-of-year holiday period will be as follows:

Monday, 26 December 2016:	Closed
Tuesday, 27 December 2016 to Thursday, 29 December 2016:	Open as usual, from 9:00 to 18:00 CET
Friday, 30 December 2016 to Monday 2 January 2017:	Closed

Tuesday, 3 January 2017, onwards: Open as usual, from 9:00 to 18:00 CET

It is recalled that the PCT eServices Help Desk responds to inquiries related to services for the purpose of preparing, filing and managing applications electronically: ePCT (https://pct.wipo.int), PCT-SAFE (http://www.wipo.int/pct-safe/en/index.html), WIPO digital certificates and Digital Access Service (DAS) (http://www.wipo.int/das/en/).

#### **Publication schedule**

During the forthcoming holiday period, there will be no changes to the publication schedule for PCT applications. They will be published, as usual, on Thursdays (that is, on Thursday, 29 December 2016 and Thursday, 5 January 2017), and there will be no change to the deadlines for receipt of changes which should be taken into account for the purposes of international publication (which will be at midnight (CET) on, respectively, Tuesday, 13 December 2016 and Tuesday, 20 December 2016).

#### **WIPO Digital Access Service for Priority Documents**

It is recalled that, by using the WIPO Digital Access Service for Priority Documents (DAS), a PCT applicant can request the International Bureau (IB) to retrieve a copy of an earlier application from DAS for use as a priority document, instead of providing or arranging for the provision of a certified copy. Two further Offices will join the DAS service soon, as explained below.

#### Industrial and Commercial Property Office of Morocco

The Industrial and Commercial Property Office of Morocco has notified the IB that it will commence operation as both a depositing and an accessing Office with effect from 1 December 2016. As a depositing Office, it will make available from its digital library all national and international patent applications filed at the Office on or after 1 December 2016, provided that the applicant has specifically requested that those documents be made available to the service. As an accessing Office, it will recognize priority documents available to it through DAS for the purposes of any application for which the time limit for furnishing the priority document has not expired by 1 December 2016.

For further information, see:

http://www.wipo.int/das/en/notifications.html#T Œ

#### **Estonian Patent Office**

The Estonian Patent Office has notified the IB that it will commence operation as both a depositing and an accessing Office with effect from 1 January 2017. As a depositing Office, it will make available from its digital library all national patent and utility model applications filed at the Office on or after 1 January 2017, provided that the applicant has specifically requested that those documents be made available to the service. As an accessing Office, it will recognize priority documents available to it through DAS for the purposes of any application for which the time limit for furnishing the priority document has not expired by 1 January 2017.

For further information, see:

http://www.wipo.int/das/en/notifications.html#ÒÒ

## **PCT Information Update**

# International filing fee, search fee, supplementary search fee and handling fee (various Offices)

On 1 January 2017, there will be changes in the equivalent amounts, in certain currencies, of the international filing fee, the fee per sheet over 30, the electronic filing reductions (where applicable) listed in item 4 of the Schedule of Fees, the search fee, the supplementary search fee and the handling fee, as indicated in the PCT Fee Tables.

These changes update the following annexes of the *PCT Applicant's Guide* (http://www.wipo.int/pct/guide/en/), which will be updated shortly:

- Annex C (receiving Offices): AM, AP, AU, AZ, BH, BW, BY, BZ, CA, CL, CR, CU, DJ, DO, EA, EC, EG, GB, GE, GH, GT, HN, HU, IB, IL, IN, IS, JP, KE, KG, KZ, LR, MD, MW, MX, NI, NO, NZ, PA, PE, PG, PH, QA, RU, SA, SC, SE, SG, SV, SY, TJ, TM, TT, UA, US, UZ, ZA, ZM, ZW;
- Annex D (International Searching Authorities): AT, AU, BR, CA, CL, CN, EG, EP, ES, FI, IL, IN, JP, KR, RU, SE, SG, UA, US, XN, XV;
- Annex SISA (International Searching Authorities (Supplementary Search)): RU, SG, UA; and
- **Annex E** (International Preliminary Examining Authorities): AU, CA, CL, EG, IL, IN, JP, KR, RU, SE, SG, US, XN.

#### **BN** Brunei Darussalam (Internet address)

The Internet address of the Brunei Darussalam Intellectual Property Office (BruIPO) has changed, as follows.

Internet: www.energy.gov.bn/bruipo/Home.aspx

(Updating of PCT Applicant's Guide, Annex B1 (BN))

#### DJ Djibouti (means of telecommunication; evidence of mailing a document)

The Office of Industrial Property and Commerce of Djibouti (ODPIC) has informed the International Bureau (IB) of additional details concerning the filing of documents by means of telecommunication – all kinds of documents may be filed by e-mail, and the original of the document must be furnished within one month from the date of the transmission if the transmitted document is the international application or a replacement sheet containing corrections or amendments of the international application.

In respect of the Office's willingness to accept evidence of mailing a document, in case of loss or delay, where a delivery service other than the postal authorities is used, it has notified the IB that it accepts such evidence provided that the delivery service is DHL or Federal Express.

(Updating of PCT Applicant's Guide, Annex B1 (DJ))

#### **EP** European Patent Office (electronic filing)

For information on the new possibility for applicants to use ePCT private services for the online transmittal to the European Patent Office of post-filing documents relating to the international application under PCT Rule 89*bis*.2, please see "Electronic Filing and Processing of International Applications," above.

#### FI Finland (electronic filing)

For information on the non-acceptance by the Finnish Patent and Registration Office, in its capacity as receiving Office, of international applications filed in electronic form using the PCT-SAFE software, with effect from 1 January 2017, see "Electronic Filing and Processing of International Applications," above.

#### HN Honduras (summary of requirements for entry into the national phase)

Information on the requirements of the Directorate General of Intellectual Property (Honduras) as designated (or elected) Office has been published in the *PCT Applicant's Guide* (National Chapter, Summary (HN)) on the PCT website at:

http://www.wipo.int/pct/guide/en/gdvol2/annexes/hn.pdf

#### **IB International Bureau (fees)**

As from 1 January 2017, there will be a change in the equivalent amount in USD of the transmittal fee, payable to the IB as receiving Office. The new amount will be USD 103.

(Updating of PCT Applicant's Guide, Annex C (IB))

#### JP Japan (electronic filing)

For information on the non-acceptance by the Japan Patent Office, in its capacity as receiving Office, of international applications filed in electronic form using the PCT-SAFE software, with effect from 1 January 2017, see "Electronic Filing and Processing of International Applications," above.

#### MX Mexico (competent International Searching and Preliminary Examining Authorities)

The Mexican Institute of Industrial Property, in its capacity as receiving Office, has specified, with effect from 25 October 2016, the Austrian Patent Office, in addition to the European Patent Office, the Intellectual Property Office of Singapore, the Korean Intellectual Property Office, the National Institute of Industrial Property (Chile), the Spanish Patent and Trademark Office, the Swedish Patent and Registration Office and the United States Patent and Trademark Office, as competent International Searching and Preliminary Examining Authority for international applications filed by nationals and residents of Mexico with the Mexican Institute of Industrial Property, or with the International Bureau (IB), as receiving Office.

(Updating of PCT Applicant's Guide, Annex C (MX))

#### OM Oman (competent International Searching and Preliminary Examining Authorities)

The Intellectual Property Department, Ministry of Commerce and Industry (Oman) has specified, with effect from 31 October 2016, the Egyptian Patent Office, in addition to the Australian Patent

Office, the Austrian Patent Office, the European Patent Office and the United States Patent and Trademark Office, as competent International Searching and Preliminary Examining Authority for international applications filed by nationals and residents of Oman with the Intellectual Property Department, Ministry of Commerce and Industry (Oman), or with the IB, as receiving Office.

(Updating of PCT Applicant's Guide, Annex C (OM))

# US United States of America (competent International Searching and Preliminary Examining Authorities)

Reference is made to the specification by the United States Patent and Trademark Office (USPTO), with effect from 1 October 2014, of the Israel Patent Office (ILPO) as competent International Searching Authority (ISA) and International Preliminary Examining Authority (IPEA) for certain international applications filed by nationals and residents of the United States of America with the USPTO as receiving Office (RO/US) (see *PCT Newsletter* Nos. 07-08, 10 and 11/2014 and 04/2016). The ILPO has notified the IB that the conditions under which it will act as an ISA and IPEA for international applications filed by U.S. applicants will change with effect from 1 January 2017.

The ILPO will, as from that date, be prepared to act as ISA and IPEA for international applications filed in English by national and residents of the United States of America with the IB as receiving Office (RO/IB), in addition to international applications filed with RO/US. Note that the maximum number of international applications that it will search during the relevant fiscal quarter will remain at 100.

### Warning About Requests for Payment of Fees

#### **New invitations**

Further to the many warnings that have been published in the *PCT Newsletter* about invitations to PCT applicants and agents to pay fees that do not come from the International Bureau of WIPO (IB) and are unrelated to the processing of international applications under the PCT, new invitations have been identified originating from "IRPT – International Register of Patents & Trademarks" and "IRO – Intellectual Property Office". To view examples of the invitations, as well as the many other examples which have been brought to the attention of WIPO by PCT users, and for further information on such requests in general, see:

http://www.wipo.int/pct/en/warning/pct\_warning.html

PCT applicants and agents should note that it is the IB alone which publishes all PCT applications promptly after the expiration of 18 months from the priority date (see PCT Article 21(2)(a)); there is no separate fee for such international publication, and the legal effects of international publication are set out in PCT Article 29.

If they have not already done so, PCT applicants and agents are advised to bring this information to the attention of the people responsible for handling payments of fees within their organizations, as well as to the attention of inventors who might also receive such requests. In case of doubt in relation to any such invitation, please do not hesitate to contact the IB at:

Telephone:	(+41-22) 338 83 38
Fax:	(+41-22) 338 83 39
E-mail:	pct.legal@wipo.int

We would also like to encourage PCT applicants, agents or inventors (PCT users) to take action with their governments or consumer protection associations. A suggested text for making complaints and a list of "Government authorities/consumer protection associations receiving complaints" are available at the above-mentioned web page.

### **New/updated PCT Resources**

#### Demand Form (in Russian)

The Russian version of the demand form (PCT/IPEA/401) has been revised with effect from 1 July 2016, and is available at:

http://www.wipo.int/pct/ru/forms/demand/ed\_demand.pdf

#### PCT Reservations, Declarations, Notifications and Incompatibilities

The table "PCT Reservations, Declarations, Notifications and Incompatibilities" has been updated with effect from 20 October 2016. For further information, see "Notifications of Incompatibility under PCT Rule 23*bis*.2(b) and (e)", above.

#### **PATENTSCOPE Search System**

#### WIPO Translate: incorporation of new technology

WIPO Translate now incorporates cutting-edge neural machine translation technology to render highly technical patent documents into a second language in a style and syntax that more closely mirrors common usage, out-performing other translation tools built on previous technologies.

WIPO has initially "trained" the new technology to translate Chinese, Japanese and Korean patent documents into English (patent applications in those languages accounted for some 55% of worldwide filings in 2014) and users can already try out the Chinese-English translation facility on the public beta test platform. The high level of accuracy of the Chinese-English translation is the result of the training of the neural machine translation tool, which compared 60 million sentences from Chinese patent documents provided to WIPO's PATENTSCOPE database by the State Intellectual Property Office of the People's Republic of China with their translations as filed at the United States Patent and Trademark Office.

WIPO plans to extend the neural machine translation service to Japanese-, Korean- and French-language patent applications, with other languages to follow. The PATENTSCOPE database integrates with other translation engines freely available on the internet and continues to use existing statistical-based translation technology for languages where it performs well.

A brief introduction to the new translation tool technology is given by the Director General of WIPO, Francis Gurry, at:

https://www.youtube.com/watch?v=fL1VCKWKj6U&feature=youtu.be

and more detailed information is given in Press Release PR/2016/799 at:

http://www.wipo.int/pressroom/en/articles/2016/article\_0014.html

#### Chemical structure search

In October 2016, a new chemical structure search feature was launched which allows users to search for chemical information in patent documents contained in PATENTSCOPE. The search feature recognizes the names of chemical compounds in patent texts and recognizes their structure from embedded drawings in patent texts. It applies to the title, abstract, claim and description fields.

The new feature is currently available for published PCT applications in English and German (from 1978), and the national collection of the United States of America (from 1979), and will become available for other languages and collections in the future.

For further information, please see the announcement at:

http://www.wipo.int/patentscope/en/news/pctdb/2016/news\_0008.html

and for more detailed information on how to use the chemical structure search feature, please see the user guide at:

https://patentscope.wipo.int/search/help/en/chemsearch\_help.pdf

### **PowerPoint presentations**

Between January and October 2016, the following webinars on the PATENTSCOPE search system were given:

- Overview of PATENTSCOPE (January 2016)
- Presentation of the CLIR tool available in PATENTSCOPE (February 2016)
- Complex queries in PATENTSCOPE (March 2016)
- Simple and Field Combination Searches in PATENTSCOPE (April 2016)
- Result list and analysis tools in PATENTSCOPE (May 2016)
- Translation tools in PATENTSCOPE (June 2016)
- The Browse menu in PATENTSCOPE (July 2016)
- IPC and PATENTSCOPE (August 2016)
- Chemical structure search (September 2016)
- Options and Help menus in PATENTSCOPE (October 2016)

The PowerPoint slides that were used for those webinars are available at:

http://www.wipo.int/patentscope/en/webinar/

Further webinars on the PATENTSCOPE search system will be taking place in the near future. Please refer to the list of PCT webinars at the end of the PCT Seminar Calendar.

#### WIPO Pearl Update: New Interface Language

The WIPO Pearl interface is now available in Russian, in addition to English, French, Japanese and Spanish. Users can select their language preference at the top right-hand side of the screen. Arabic, Chinese, German, Korean and Portuguese versions are under development.

It is recalled that WIPO Pearl, WIPO's multilingual terminology portal, gives free access to a wealth of scientific and technical terms derived from PCT applications and national patent documents, as well as to key PCT legal terms, in all 10 publication languages of the PCT. It helps to promote accurate and consistent use of terms across those languages, and makes it easier to search and share scientific and technical knowledge.

WIPO Pearl is available at:

http://www.wipo.int/reference/en/wipopearl/

#### **Revision of PCT-EPO Guidelines**

The EPO publication "*Guidelines for Search and Examination at the EPO as PCT Authority*" has been revised, with effect from 1 November 2016, and is now available on the EPO website at:

http://www.epo.org/law-practice/legal-texts/guidelines-pct.html

The *Guidelines* give instructions on the practice and procedure to be followed in various aspects of the handling of international applications before the EPO as ISA and IPEA.

### **Practical Advice**

#### Situations where declarations under PCT Rule 4.17 should not be submitted

Q: I have filed an international application on behalf of a corporate applicant and, in order to facilitate the procedure for entry into the national phase, I included a "Declaration as to the Applicant's Entitlement to Apply for and Be Granted a Patent" together with my international application. The applicant has now assigned the rights to the application to another company. I will remain the agent of record and will request the International Bureau to record the change of applicant under PCT Rule 92bis. May I also submit a second declaration to include the name of the new applicant?

A: You should not submit a new Declaration as to the Applicant's Entitlement to Apply for and Be Granted a Patent under PCT Rule 4.17(ii) (hereinafter: declaration under PCT Rule 4.17(ii)) to take into account the fact that a new applicant will replace the original applicant. This is because that declaration is only applicable to those events which occurred before, or on, the international filing date, and not to those which occur after the international filing date.

The declarations under PCT Rule 4.17 must be worded as shown in Sections 211 to 215, respectively, of the Administrative Instructions under the PCT, subject to any necessary inclusion, omission, repetition and re-ordering of any items that are permitted (depending on the declaration concerned). In the case of the declaration under PCT Rule 4.17(ii), the declaration is made that, *as at the international filing date*, the applicant specified in the declaration was

entitled to apply for and be granted a patent.<sup>1</sup> The inclusion, omission, repetition and reordering of the matters listed under Section 212 are permitted, as is necessary to explain the applicant's entitlement, however, you are not permitted to delete the wording "as at the international filing date".

You should therefore, at this stage, only submit to the International Bureau (IB) a request for the recording of a change of applicant under PCT Rule 92*bis*, together with a new power of attorney in which the new applicant appoints you as agent. Where there has been a change of applicant after the filing of the international application, any designated (or elected) Office would, however, be entitled under PCT Rule 51*bis*.1(a)(ii) to require any evidence regarding the new applicant's entitlement to apply for and be granted a patent, and so you may be required, upon entry into the national phase, or within a time limit permitted by the designated Office concerned, to submit such a declaration or other evidence directly to such Offices.

For information on which Offices would require any evidence as to the entitlement to apply for or be granted a patent, please see the Summary of each National Chapter of the *PCT Applicant's Guide*, which lists the special requirements, if any, which must be complied with in connection with entry into the national phase, and indicates whether the Office concerned will invite the applicant to comply or, if there is no invitation, what the applicable time limit is for such compliance. It is, nevertheless, highly recommended that any special requirement be complied with at the time of performing the acts for entry into the national phase, because this is more efficient and avoids the risk of forgetting to comply with the requirement later. Note that some designated Offices do not require such evidence where the change has been the subject of a request for recording a change and was reflected in a notification from the IB (Form PCT/IB/306 "Notification of the Recording of a Change").

For further information on the filing of declarations under PCT Rule 4.17 in general, please see:

- the *PCT Applicant's Guide*, International Phase, paragraphs 5.074 to 5.083A, 6.045 to 6.050, and National Phase, paragraphs 5.003 to 5.005, at:

http://www.wipo.int/pct/en/appguide/

- Sections 211 to 215 of the Administrative Instructions at:

http://www.wipo.int/pct/en/texts/pdf/ai.pdf

- the Notes to Box No. VIII of the request form at:

1

http://www.wipo.int/pct/en/forms/request/ed\_request.pdf

Where an applicant submits a declaration under PCT Rule 4.17(iii) as to the applicant's entitlement to claim priority of the earlier application (for example, where the applicant is not the applicant who filed the earlier application or where the applicant's name has changed since the filing of the earlier application), this should also show the situation as at the international filing date.

PCT Seminar Calendar (http://www.wipo.int/pct/en/seminar/seminar.pdf)									
Dates and location	Language of seminar	(situation on 7 November 2016) Nature of seminar; WIPO speakers (and others where known)	Organizer and contact numbers						
8-10 November Arabic- 2016 English Riyadh (SA)		Advanced workshop on the PCT and the ePCT system WIPO speakers: Mr. Aloui	Saudi Patent Office (Mr. Sami A. AlSodais) Tel: (966-11) 481 43 42, 481 33 44, 481 38 30 E-mail: ssodais@kacst.edu.sa (Mr. Mohammed Altharwy) Tel: (966-11) 481 43 47 Fax: (966-11) 481 33 76 E-mail: mtharwi@kacst.edu.sa						
16 November 2016 Budapest (HU)	English/Hun garian	PCT presentation within the framework of the Roving Seminars on WIPO Services and Initiatives WIPO speaker: Mr. Henninger Other WIPO speakers on other IP topics: Mr. Bisson, Ms. Halgand, Mr. Vázquez López and Mr. Diaconescu	WIPO and the Hungarian Patent Office (Ms. Veronika Cserba) E-mail: hipo@hipo.gov.hu						
23-24 November 2016 Cracow (PL) (a limited number of places are available to the public)	English	Basic PCT seminar WIPO speakers: Ms. Bonvallet and Mr. Henninger	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. Lukasz Bodgan) Tel: (48) 604 406 432 E-mail: lukasz.bogdan@agh.edu.pl						
24-25 November 2016 Dresden (DE)	German	PCT presentation on recent developments in the PCT within the framework of the PAFA-Tagung 2016 conference WIPO speaker: Mr. Reischle-Park	IP for IP Intellectual Property for Intellectual People GmbH (Ms. Annette Kapeller) Tel: (49-0) 6201 844 37 30 Fax: (49-0) 6201 98 62 10 E-mail: info@ipforip.de Internet: www.ipforip.de						
28 November 2016 Chiba (JP)	Japanese	Seminar on the PCT System WIPO speaker: Mr. Fujita	Japan Patent Office, Information Dissemination and Policy Promotion Division Tel: (81-3) 35 81 11 01 ext. 2107 Internet: https://www.jpo.go.jp/torikumi/ibento/ibento2/h 27_chiteki_setumeikai.htm						
1-2 December 2016 London (GB) <i>[Cancelled]</i>	English	Advanced PCT Formalities seminar, including practical presentations on ePCT WIPO speakers: Mr. Marlow and Ms. Weiss	Management Forum Ltd. (Ms. Angela Spall) E-mail: angela.spall@falconbury.co.uk						
2 December 2016 Tokyo (JP)	Japanese	Seminar on the PCT System WIPO speaker: Mr. Fujita	Japan Patent Office, Information Dissemination and Policy Promotion Division Tel: (81-3) 35 81 11 01 ext. 2107 Internet: https://www.jpo.go.jp/torikumi/ibento/ibento2/h 27_chiteki_setumeikai.htm						
5 December 2016 Osaka (JP)	Japanese	Seminar on the PCT System WIPO speaker: Mr. Fujita	Japan Patent Office, Information Dissemination and Policy Promotion Division (see Tokyo, above)						
6-7 December 2016 Paris (FR)	French	Basic PCT seminar WIPO speakers: Ms. Bonvallet and Ms. Chatel	Institut national de la propriété industrielle (INPI) (Ms. Françoise Chauvin) Tel: (33-1) 53 04 55 76 Fax: (33-1) 53 04 52 52 E-mail: fchauvin@inpi.fr						
7 December 2016 Aichi (JP)	Japanese	Seminar on the PCT System WIPO speaker: Mr. Fujita	Japan Patent Office, Information Dissemination and Policy Promotion Division (see Tokyo, above)						

	PCT Seminar Calendar [continued]									
Dates and location	Language of seminar	Nature of seminar; WIPO speakers (and others where known)	Organizer and contact numbers							
8 December 2016 Paris (FR)	French	Advanced PCT seminar WIPO speaker: Ms. Bonvallet	Institut national de la propriété industrielle (INPI) (Ms. Françoise Chauvin) Tel: (33-1) 53 04 55 76 Fax: (33-1) 53 04 52 52 E-mail: fchauvin@inpi.fr							
17-18 May 2017 Munich (DE)	German	Basic PCT seminar WIPO speakers: to be announced	Forum Institut für Management GmbH Tel: (49-6221) 500 660 Fax: (49-6221) 500 666 E-mail: s.schilling@forum-institut.de							
15-16 June 2017 London (GB)	English	Advanced PCT Formalities seminar, including practical presentations on ePCT WIPO speakers: to be announced	Management Forum Ltd. (Ms. Angela Spall) E-mail: angela.spall@falconbury.co.uk							
12-13 July 2017 Munich (DE)	13 July 2017 German Presentation on the PCT -		Forum Institut für Management GmbH Tel: (49-6221) 500 660 Fax: (49-6221) 500 666 E-mail: s.schilling@forum-institut.de							
19-20 October Munich (DE)	German	Advanced PCT seminar WIPO speakers: to be announced	Forum Institut für Management GmbH (see Munich, above)							

PCT Webinars (http://www.wipo.int/pct/en/seminar/webinars/index.html) (http://www.wipo.int/patentscope/en/webinar/)									
Date and time	Language of webinar	Nature of webinar; WIPO speakers	Registration						
<b>22 November 2016</b> 17:30–18:30 CET or <b>24 November 2016</b> 8:30–9:30 CET	English	PATENTSCOPE webinar: Complex queries WIPO speaker: Ms. Ammann	https://attendee.gotowebinar.com/rt/219135 1239500238084						
6 December 2016 17:30–18:30 CET or 8 December 2016 8:30–9:30 CET	English	PATENTSCOPE webinar: Retrospective of 2016 and plans for 2017 WIPO speaker: Ms. Ammann	https://attendee.gotowebinar.com/rt/533938 0573171764740						

PCT Events Without WIPO speakers <sup>1</sup>									
Dates and location	Language of event	Nature of seminar; Speakers	Organizer and contact numbers						
28 November 2016 Bologna (IT)	Italian	Seminar: "PCT at the EPO" Speakers: Ms. Guglielmetti, (Italian Patent and Trademark Office), Ms. Giancane, (European Patent Office) and Mr. Santi, (Barzanò & Zanardo, Rome) and Mr. Pizzoli (Collegio Italiano dei Consulenti in Proprietà Industriale)	European Patent Academy, International Federation of Intellectual Property Attorneys, Italy, and Italian Patent and Trademark Office Tel: (49-89) 23 99 54 54 E-mail: academy@epo.org Internet: https://www.epo.org/learning- events/events/search/details.html?id=13 428						

1. Views and opinions of speakers at these events do not necessarily represent the views of WIPO.

#### **PCT Fee Tables**

#### (amounts on 3 November 2016, unless otherwise indicated)

The following Tables show the amounts and currencies of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Annexes C, D and E. Note that all amounts are subject to change due to variations in the fees themselves or fluctuations in exchange rates. The international filing fee may be reduced by CHF 100, 200 or 300 where the international application, or part of the international application, is filed in electronic form, as prescribed under Item 4(a), (b) and (c) of the Schedule of Fees (annexed to the Regulations under the PCT) and the *PCT Applicant's Guide*, paragraph 5.189. A 90% reduction in the international filing fee (including the fee per sheet over 30), the supplementary search handling fee and the handling fee, as well as an exemption from the transmittal fee payable to the International Bureau as receiving Office, is also available to applicants from certain States—see footnotes 2 and 14. (Note that if the CHF 100, 200 or 300 reduction, as the case may be, and the 90% reduction are applicable, the 90% reduction is calculated **after** the CHF 100, 200 or 300 reduction.) The footnotes to the Fee Tables follow Table II.

#### Key to abbreviations used in fee tables:

eq	equivalent of -	BRL	Brazilian real	HRK	Croatian kuna	MWK	Malawian kwacha	THB	Baht
IPEA	International Preliminary	BZD	Belize dollar	HUF	Hungarian forint	MXN	Mexican peso	TJS	Tajik somoni
	Examining Authority	CAD	Canadian dollar	IDR	Indonesian rupiah	MYR	Malaysian ringgit	TND	Tunisian dinar
ISA	International Searching	CHF	Swiss franc	ILS	New Israeli sheqel	NOK	Norwegian krone	TTD	Trinidad and Tobago
	Authority	CLP	Chilean peso	INR	Indian rupee	NZD	New Zealand dollar		dollar
RO	receiving Office	CNY	Yuan renminbi	IRR	Iranian rial	OMR	Omani rial	UAH	Ukrainian hryvnia
		COP	Colombian peso	ISK	Icelandic krona	PEN	Nuevo sol	USD	US dollar
Curre	ncies:	CUC	Cuban convertible peso	JPY	Japanese yen	PGK	Kina	VND	Vietnamese dong
		CZK	Czech koruna	KES	Kenyan shilling	PHP	Philippine peso	XAF	CFA franc BEAC
ALL	Albanian lek	DJF	Djibouti franc	KGS	Kyrgyz som	PLN	Polish zloty	ZAR	South African rand
AMD	Armenian dram	DKK	Danish krone	KPW	Won	QAR	Qatari riyal	ZWD	Zimbabwe dollar
AUD	Australian dollar	DZD	Algerian dinar	KRW	Won	RON	New leu		
AZN	Azerbaijani manat	EGP	Egyptian pound	KZT	Kazakh tenge	RSD	Serbian dinar		
BAM	Convertible mark	EUR	Euro	LSL	Lesotho loti	RUB	Russian rouble		
BGN	Bulgarian lev	GBP	Pound sterling	LYD	Libyan dinar	SDG	Sudanese pound		
BHD	Bahraini dinar	GHS	Ghanaian cedi	MAD	Moroccan dirham	SEK	Swedish krona		
BND	Brunei dollar	GTQ	Quetzal	MKD	Macedonian denar	SGD	Singapore dollar		

#### Table I(a) — Transmittal and international filing fees

	(amounts on 3 November 2016, unless otherwise indicated)									
RO	Trar	ismittal fee <sup>1</sup>	Internat filing fee	1, 2	Fee per sheet	Scł	ductions ac nedule of Fe	•	Competent ISA(s) <sup>4</sup>	
			(CHF 1,3	30)	over 30 <sup>1, 2, 3</sup> (CHF 15)	Item 4(a) <sup>5</sup> (CHF 100)	Item 4(b) <sup>6</sup> (CHF 200)			
AG	Inform	ation not yet availa	ble						CA	
AL	ALL	9,000	CHF	1,330	15	-	-	-	EP	
AM	AMD	32,000	USD	1,363	15	_	-	-	EP RU	
			(from 1.1.17:	1,367)	(15)	()	()	()		
AP	USD	50	USD	1,363	15	_	-	-	AT EP SE	
	(or eq ir	local currency)	(from 1.1.17:	1,367)	(15)	()	()	()		
AT	EUR	52	EUR	1,219	14	_	183	275	EP	
AU	AUD	200	AUD	1,811	20	_	272	408	AU KR	
			(from 1.1.17:	1,781	(20)	()	(268)	(402)		
AZ	AZN	35.40	USD	1,363	15	_	205	307	EP RU	
			(from 1.1.17:	1,367)	(15)	()	(206)	(308)		
BA	BAM	50	EUR	1,219	14	_	-	-	EP	
BE	EUR	120	EUR	1,219	14	_	-	-	EP	
BG	BGN	80	BGN eq CH	<b>IF</b> 1,330	eq <b>CHF</b> 15	_	eq <b>CHF</b> 200	eq <b>CHF</b> 300	EP RU	
BH	BHD	70	USD	1,363	15	_	-	-	AT EP US	
			(from 1.1.17:	1,367)	(15)	()	()	()		
BN	BND	150	BND eq CH	I <b>F</b> 1,330	eq <b>CHF</b> 15	_	eq <b>CHF</b> 200	eq <b>CHF</b> 300	AU JP EP	
BR	BRL <sup>8</sup>	online: 175	BRL eq CH	I <b>F</b> 1,330	eq <b>CHF</b> 15	_	eq <b>CHF</b> 200	eq <b>CHF</b> 300	AT BR EP SE US	
		on paper: 260								
вw	USD	32	USD	1,363	15	_	-	-	EP	
			(from 1.1.17:	1,367)	(15)	()	()	()		
BY	USD	50	USD	1,363	15	-	-	-	EP RU	
			(from 1.1.17:	1,367)	(15)	()	()	()		
									und on novt nord	

- I	(amounts on 3 November 2016, unless otherwise indicated)								
RO	Transmitt	al fee <sup>1</sup>	Internati filing fe	onal e <sup>1, 2</sup>	Fee per sheet over 30 <sup>1, 2, 3</sup>	Scl	ductions ac hedule of Fe	es:	Competent ISA(s)⁴
					over 30 <sup>-, -, -</sup>	Item 4(a) <sup>5</sup>	Item 4(b) <sup>6</sup>	Item 4(c) <sup>7</sup>	
BZ	BZD	300	USD	1,363	15	_	-	-	CA EP
			(from 1.1.17:	1,367)	(15)	()	()	()	
CA	CAD	300	CAD	1,782	20	-	268	402	CA
			(from 1.1.17:	1,792)	(20)	()	(269)	(404)	
СН	CHF	100	CHF	1,330	15	-	-	-	EP
CL	CLP eq USD	<sup>9</sup> 130	CLP eq US	<b>D</b> <sup>9</sup> 1,363	eq <b>USD</b> <sup>9</sup> 15	_	eq <b>USD</b> 205		CL EP ES KR US
			(from 1.1.17:	1,367)	(15)	()	(206)	(308)	
CN	CNY	500	CNY eq CH	<b>IF</b> 1,330	eq <b>CHF</b> 15	_	eq <b>CHF</b> 200	eq <b>CHF</b> 300	CN
со	<b>COP</b> 95	52,000 <sup>10</sup>	COP eq CH	<b>IF</b> 1,330	eq <b>CHF</b> 15	-	eq <b>CHF</b> 200	eq <b>CHF</b> 300	AT BR CL EP ES RU
CR	USD	250	USD	1,363	15	-	-	-	CL EP ES
			(from 1.1.17:	1,367)	(15)	()	()	()	
CU	CUC	200	CUC	1,363	15	-	205	307	AT BR CL EP ES RU
			(from 1.1.17:	1,367)	(15)	()	(206)	(308)	
CY	EUR	191	EUR	1,219	14	-	-	-	EP
CZ	CZK	1,500	EUR	1,219	14	_	183	275	EP XV
DE	EUR	90	EUR	1,219	14	_	183	275	EP
DJ	USD	100	USD	1,363	15	-	-	-	EG EP
	or eq DJF		(from 1.1.17:	1,367)	(15)	()	()	()	
DK	DKK	1,500	DKK	9,090	100	_	1,370	2,050	EP SE XN
			(from 1.1.17:	9,070)	(100)	()	(1,360)	(2,050)	
DM	Information no	t yet availat	ble						
DO	USD	275	USD	1,363	15	_	205	307	CL EP ES US
			(from 1.1.17:	1,367)	(15)	()	(206)	(308)	
DZ	DZD	None	CHF	1,330	15	_	eq <b>CHF</b> 200	eq <b>CHF</b> 300	AT EP
EA	RUB	1,600	USD	1,363	15	_	205	307	EP RU
			(from 1.1.17:	1,367)	(15)	()	(206)	(308)	
EC	USD <sup>11</sup>	_	USD	1,363	15	_	_	-	CL EP ES
			(from 1.1.17:	1,367)	(15)	()	()	()	
EE	EUR	120	EUR	1,219	14	-	183	275	EP
EG	USD	142	USD	1,363	15	_	_	-	AT EG EP US
			(from 1.1.17:	1,367)	(15)	()	()	()	
EP	EUR	130	EUR	1,219	14	92	183	275	EP
ES	EUR	74.99	EUR	1,219	14	_	183	275	EP ES
FI	EUR	135	EUR	1,219	14	_	183	275	EP FI SE
FR	EUR	62	EUR	1,219	14	_	183	275	EP
GB	GBP	75	GBP	1,053	12	_	158	238	EP
05	02.	10	(from 1.1.17:	1,063)	(12)	()	(160)	(240)	2.
GD	Information no	t yet availat		. ,					<u> </u>
GE	USD <sup>12</sup>	100	USD	1,363	15	_	_	_	AT EP IL RU US
	0.02	100	(from 1.1.17:	1,367)	(15)	()	()	()	
GH	<b>GHS</b> <sup>13</sup> 2,500	or 5.000	USD	1,363	15	_	_	-	AT AU CN EP SE
	2.10 2,000		(from 1.1.17:	1,367)	(15)	()	()	()	
GR	EUR	115	EUR	1,219	14	-	-	-	EP
GT	GTQ eq USD		USD	1,363	15	_	_	_	AT BR CL EP ES US
			(from 1.1.17:	1,367)	(15)	(-)	()	()	
HN	USD	200	USD	1,363	15	_	_	_	EP ES
			(from 1.1.17:	1,367)	(15)	(-)	()	()	
HR	HRK	200	HRK eq CH	,	eq <b>CHF</b> 15	_	eq <b>CHF</b> 200	eq <b>CHF</b> 300	EP
ΗU	HUF	11,800		380,800	4,300	-	57,300	85,900	EP XV
		,	(from 1.1.17: 3		4,200	(-)	(56,300)	(84,500)	
IB	CHF <sup>14</sup>	100	CHF	1,330	15	-	200	300	See footnote 15
	or EUR <sup>14</sup>	92	or EUR	1,219	14	-	183	275	
	or USD <sup>14</sup>	102	or USD	1,363	15	-	205	307	
	(from 1.1.17:	103)	(from 1.1.17:	1,367)	(15)	()	(206)	(308)	
ID	<b>IDR</b> 1,0	000,000	IDR eq CH	<b>IF</b> 1,330	eq <b>CHF</b> 15		eq <b>CHF</b> 200	eq <b>CHF</b> 300	AU EP JP KR RU SG

#### Table I(a) — Transmittal and international filing fees [continued] (amounts on 3 November 2016, unless otherwise indicated)

	(amounts on 3 November 2016, unless otherwise indicated) D Transmittal fee <sup>1</sup> International Fee per E-filing reductions according to							• • • •	
RO	Trans	mittal fee <sup>1</sup>	Internat filing fe		Fee per sheet over 30 <sup>1, 2, 3</sup>	Scl	nedule of Fe	es:	Competent ISA(s) <sup>4</sup>
					over 30 <sup>4,2,3</sup>	Item 4(a) <sup>5</sup>	Item 4(b) <sup>6</sup>	Item 4(c) <sup>7</sup>	
IE	EUR	76	EUR	1,219	14	_	_	_	EP
IL	ILS	551	USD	1,363	15	_	205	307	EP IL US
			(from 1.1.17:	1,367)	(15)	()	(206)	(308)	
IN	INR	17,600	USD	1,363	15	-	205	307	AT AU CN EP IN SE
		natural person:	(from 1.1.17:	1,367)	(15)	()	(206)	(308)	US
		ig by small							
	entity: 8,80	00)							
IR	IRR <sup>11</sup>	_		HF 1,330	eq <b>CHF</b> 15	_	eq <b>CHF</b> 200	eq <b>CHF</b> 300	CN EP IN RU
IS	ISK	15,000	<b>ISK</b> (from 1.1.17:	161,500	1,800 <i>(1,800)</i>	-	24,300 <i>(23,400)</i>	36,400 <i>(35,100)</i>	EP SE XN
	EUD.	20.00	•	. ,	. ,	(-)	(23,400)	(35,700)	
IT	EUR	30.99 10,000 <sup>16</sup>	EUR	1,219	14	_	_	-	EP
JP	JPY	10,000	JPY (from 1.1.17:	143,700	1,600 <i>(1,600)</i>	- (-)	- (-)	32,400 <i>(31,300)</i>	EP JP SG
KE		0 (or <b>KES</b> equiv)		1,363	15	(-)	(-)	(37,300)	AT AU CN EP SE
ne.	plus cost o	· · · ·	(from 1.1.17:	1,303 1,367)	(15)	_ (-)	- (-)	_ (-)	AT AU ON EF 3E
KG	KGS eq	0	USD	1,363	15	-	-	-	EP RU
NO	NOO eq	100	(from 1.1.17:	1,367)	(15)	(-)	()	()	
KN	Informati	on not yet availa		.,,	(				EP
KP	KPW eq	,	KPW eq C	HF 1 330	eq <b>CHF</b> 15	_	_	_	AT CN RU
KR	KRW	45,000	CHF	1,330	15	_	_	300	AT AU JP <sup>17</sup> KR
ĸz	KZT	10,264.80	USD	1,363	15		_	- 500	EP RU
172	1777 1	10,204.00	(from 1.1.17:	1,367)	(15)	(-)	()	()	LFINO
LR	USD	45	USD	1,363	15	-	_	_	AT AU CN EP SE
		.0	(from 1.1.17:	1,367)	(15)	()	()	()	
LS	LSL <sup>11</sup>	_	LSL eq C	<b>HF</b> 1,330	eq <b>CHF</b> 15	_	_	_	AT EP
LT	EUR	92	EUR	1,219	. 14	_	183	275	EP RU
LU	EUR	19	EUR	1,219	14	_	_	_	EP
LV	EUR	70	EUR	1,219	14	_	183	275	EP RU
LY	LYD <sup>11</sup>	_	CHF	1,330	15	_	_	_	AT EP
МА	MAD	600 <sup>18</sup>	CHF	1,330	15	_	_	_	AT EP RU SE
мс	EUR	54 <sup>19</sup>	EUR	1,219	14	_	_	_	EP
MD	EUR	100	USD	1,363	15	_	_	_	EP RU
		100	(from 1.1.17:	1,367)	(15)	(-)	()	()	
ME	EUR <sup>11</sup>	_	EUR	1,219	14	_	_	_	EP
мк	MKD	2,700	MKD eq C		eq <b>CHF</b> 15	_	_	_	EP
MN		None	CHF	1,330	. 15	_	_	_	EP KR RU
МТ	EUR	55	EUR	1,219	14	_	_	_	EP
MW	MWK	6,000	USD	1,363	15	_	_	_	EP
		-,	(from 1.1.17:	1,367)	(15)	()	()	()	
мх	USD	323.70 <sup>20</sup>	USD	1,363	15	-	205	307	AT CLEPESKR SE SG
			(from 1.1.17:	1,367)	(15)	()	(206)	(308)	US
MY		00 (e-filing) <sup>21</sup>	MYR eq C	<b>HF</b> 1,330	eq <b>CHF</b> 15	_	eq <b>CHF</b> 200	eq <b>CHF</b> 300	AU EP JP KR
		paper filing) <sup>21</sup>				(-)			
NI	USD	200	USD	1,363	15	_	-	-	EP ES
	ļ		(from 1.1.17:	1,367)	(15)	(-)	(-)	()	
NL	EUR	50	EUR	1,219	14	-	183	275	EP
NO	NOK	800	NOK	11,460	130	-	1,720	2,590	EP SE XN
			(from 1.1.17:	,	(120)	(-)	(1,640)	(2,460)	
NZ	NZD	207	NZD	1,945	22	-	292	439	AU EP KR US
			(from 1.1.17:	1,880)	(21)	(-)	(283)	(424)	
OA	XAF <sup>11</sup>	-	XAF eq C		eq <b>CHF</b> 15	-	-	-	AT EP RU SE
OM	OMR	40	OMR eq U	-	eq <b>USD</b> 15	-	eq <b>USD</b> 205	eq <b>USD</b> 307	AT AU EG EP US
	L		(from 1.1.17:	1,367)	(15)	()	(206)	(308)	

#### Table I(a) — Transmittal and international filing fees [continued] (amounts on 3 November 2016, unless otherwise indicated)

	(amounts on 3 November 2016, unless otherwise indicated)							_
RO	Transm	ittal fee <sup>1</sup>	International filing fee <sup>1, 2</sup>	Fee per sheet over 30 <sup>1, 2, 3</sup>	Scl	ductions ac nedule of Fe	es:	Competent ISA(s) <sup>4</sup>
				over 30 <sup>1, 2, 3</sup>	Item 4(a) <sup>5</sup>	Item 4(b) <sup>6</sup>	Item 4(c) <sup>7</sup>	
PA	USD	200	<b>USD</b> 1,363	15	_	205	307	BR CL EP ES US
	DEN	000.05	(from 1.1.17: 1,367)	(15)	()	(206)	(308)	
PE	PEN	233.35	<b>PEN</b> eq <b>USD</b> 1,363 ( <i>from 1.1.17: 1,367</i> )	eq <b>USD</b> 15 <i>(15)</i>	- (-)	_ (-)	- (-)	ATBRCLEPESKRUS
PG	PGK	250	<b>USD</b> 1,363	15	-	-	-	AU
			(from 1.1.17: 1,367)	(15)	()	()	()	
PH	PHP	3,500	<b>USD</b> 1,363	15	-	205	307	AU EP JP KR US
PL	PLN	300	(from 1.1.17: 1,367) PLN eq CHF 1,330	<i>(15)</i> eq <b>CHF</b> 15	(-)	(2 <i>06)</i> eq <b>CHF</b> 200	<i>(308)</i> eq <b>CHF</b> 300	EP XV
PT		(online filing)	<b>EUR</b> 1,219	14		еч <b>сн</b> г 200 183	275	EP
		(paper filing)	,					
QA	QAR	400	QAR eq USD 1,363	eq <b>USD</b> 15	-	eq <b>USD</b> 205	eq <b>USD</b> 307	EG EP US
	DON		(from 1.1.17: 1,367)	(15)	()	(206)	(308)	
RO RS	RON RSD	441 7,300 <sup>22</sup>	EUR 1,219 RSD eq CHF 1,330	14 eq <b>CHF</b> 15	-	183	275	EP RU EP
RU	RUB	7,300 850	USD eq CHF 1,330	eq <b>СП</b> Г 15 15	_	_ 205	307	EP EP RU
			(from 1.1.17: 1,367)	(15)	_ (-)	(206)	(308)	
RW	Information (	not yet availab		. , ,		. , ,	/	
SA	USD	100	<b>USD</b> 1,363	15	_	205	307	CA EG EP KR RU
_	11		(from 1.1.17: 1,367)	(15)	(-)	(206)	(308)	
SC	USD <sup>11</sup>	-	USD 1,363 (from 1.1.17: 1,367)	15 <i>(15)</i>	- (-)	- (-)	- (-)	EP
SD	SDG	50	<b>SDG</b> eq <b>CHF</b> 1,330	eq <b>CHF</b> 15	(-)	(-)	(-)	EG EP
SE	SEK	1,200	<b>SEK</b> 11,380	130	_	1,710	2,570	EP SE XN
	•=	1,200	(from 1.1.17: 11,710	(130)	(-)	(1,760)	(2,640)	
SG	SGD	150	<b>SGD</b> 1,837	21	_	276	414	AT AU EP JP KR SG
			(from 1.1.17 : 1,866)	(21)	()	(281)	(421)	55
SI	EUR	91 66 <sup>23</sup>	EUR 1,219	14	-	-	-	EP
SK SM	EUR EUR		EUR 1,219	14 14	-	183	275	EP XV EP
SV	USD	70 200	EUR 1,219 USD 1,363	14	_	-	-	EP CL EP ES
30	030	200	(from 1.1.17: 1,367)	(15)	_ (-)	_ ()	- (-)	CL EF E3
SY	USD <sup>11</sup>	-	<b>USD</b> 1,363	15		-		AT EG EP RU
			(from 1.1.17: 1,367)	(15)	(-)	()	()	
тн	THB	3,000	THB eq CHF 1,330	eq <b>CHF</b> 15	-	-	-	AU CN EP JP KR US
ТJ	TJS <sup>11</sup>	-	<b>USD</b> 1,363	15	-	_ ( )	_	EP RU
тм	USD <sup>11</sup>	_	(from 1.1.17: 1,367) USD 1,363	(15) 15	()	()	(-)	EP RU
тм	030	-	(from 1.1.17: 1,367)	15 <i>(15)</i>	_ (-)	- (-)	- (-)	
ΤN	TND <sup>11</sup>	-	<b>CHF</b> 1,330	15	-	-	-	EP
TR		None	<b>CHF</b> 1,330	15	-	eq <b>CHF</b> 200	eq <b>CHF</b> 300	EP
тт	TTD	750	<b>USD</b> 1,363	15	-	-	-	AT EP SE US
			(from 1.1.17: 1,367)	(15)	()	()	()	
UA	UAH or eq EUR or US	SD <sup>24</sup> 1 300	USD (or eq UAH or EUR) 1,363	15	-	_	_	EP RU UA
		1,300	(from 1.1.17: 1,367)	(15)	_ (-)	- (-)	- (-)	
US	USD	240 <sup>25</sup>	<b>USD</b> 1,363	15	102	205		AU EP IL JP KR SG
	small entity <sup>26</sup>	: 120 <sup>25</sup>	(from 1.1.17: 1,367)	(15)	(103)	(206)	()	RU US
	micro entity <sup>26</sup> USD <sup>11</sup>	60 <sup>25</sup>		45				
UZ	050	-	USD 1,363 (from 1.1.17: 1,367)	15 <i>(15)</i>	- (-)	- (-)	- (-)	EP RU
VN	VND eq US	<b>D</b> 150	<b>VND</b> eq <b>CHF</b> 1,330	eq <b>CHF</b> 15	_	_	_	AT AU EP JP KR RU SE SG
ZA	ZAR	500	<b>ZAR</b> 19,100	220	-	2,870	4,310	AT AU EP US
			(from 1.1.17: 18,610)	(210)	()	(2,800)	(4,200)	
ZM	USD	50	<b>USD</b> 1,363	15	-	-	-	AT SE
-	714/0	0.000	(from 1.1.17: 1,367)	(15)	()	()	(-)	AT ALLON ED DU
zw	ZWD	6,000	<b>ZWD</b> eq <b>USD</b> 1,363 (from 1.1.17: 1,367)	eq <b>USD</b> 15 <i>(15)</i>	_ (-)	_ (-)	- (-)	AT AU CN EP RU
			(1.011) 1.1.17. 1,307)	(13)	(-)	(-)		aud on port pagel

#### Table I(a) — Transmittal and international filing fees [continued] (amounts on 3 November 2016, unless otherwise indicated)

ISA	(amounts on 3 November 2016, unless otherwise indicated) Search fee <sup>1</sup>										
AT <sup>27</sup>	<b>EUR</b> 1,864	<b>CHF</b> 2,03	KRW*	2,419,000	SGD*	2,780	USD*	2,084	ZAR*	28,940	
~'	* (from 1.1.17:	KRW 2,309,00		2,419,000 2,854	USD	2,780	ZAR	2,004 28,470)	ZAN	20,940	
AU	<b>AUD</b> 2,200	<b>CHF</b> ** 1,61	6 EUR**	1,481	KRW**	1,963,000	NZD**	2,397	SGD**	2,210	
	<b>USD</b> 1,674**	<b>ZAR</b> ** 23,90									
	* (from 1.12.16: ** (from 1.1.17:	KRW1,858,00		4 505		1 00 1 000	1170	0.000	000	0.004	
	** (from 1.1.17: <b>USD</b> 1,688	CHF 1,64 ZAR 22,99		1,505	KRW	1,864,000	NZD	2,322	SGD	2,304	
BR <sup>28</sup>	Online:	BRL 1,68	,	502	EUR*	464	USD*	518			
BR	* (from 1.1.17:	CHF 51		468	USD	525)	030	510			
	On paper:	BRL 2,52		752	EUR*	695	USD*	776			
	* (from 1.1.17:	<b>CHF</b> 76	66 EUR	702	USD	787)					
CA	<b>CAD</b> 1,600	<b>CHF*</b> 1,19	4 EUR*	1,094	USD*	1,261					
	* (from 1.1.17:	CHF 1,18	87 EUR	1,088	USD	1,220)					
CL	<b>USD</b> 2,000	CHF* 1,95		1,789							
	* (from 1.1.17:	<b>CHF</b> 1,94		1,783)							
	In case of filing by an				400	CHF*	390	EUR*	358		
	* <i>(from 1.1.17:</i> In case of filing by a		9 EUR	357) <b>USD</b>	300	CHF*	293	EUR*	268		
	* (from 1.1.17:	<b>CHF</b> 29	2 EUR	267)	000	0.11	200	2011	200		
CN	<b>CNY</b> 2,100	CHF* 32		296	USD*	330					
ON	* (from 1.1.17:	CHF 30		281	USD	315)					
<b>EG</b> <sup>30</sup>	<b>EGP</b> 4,000	CHF* 43		395	USD*	451					
20	* (from 1.1.17:	CHF 43		403	USD	452)					
EP <sup>31</sup>	EUR 1,875	<b>CHF</b> 2,04		13,990	GBP*	1,617	HUF*	585,800	ISK*	250,000	
	<b>JPY</b> * 213,000	<b>NOK</b> * 17,64		2,953	SEK*	17,500	SGD*	2,800	USD*	2,097	
	<b>ZAR</b> * 29,110										
	* (from 1.1.17:	<b>DKK</b> 13,96		1,636	HUF	576,500	ISK	239,400			
	JPY 213,600 ZAR 28,640)	<b>NOK</b> 16,78	30 <b>NZD</b>	2,892	SEK	18,010	SGD	2,870	USD	2,103	
<b>ES</b> <sup>31,32</sup>	. ,			0.007							
ES	EUR 1,875 * (from 1.1.17:	CHF 2,04 USD 2,104		2,097							
FI	EUR 1,875	CHF 2,04	,	2,097							
	* (from 1.1.17:	USD 2,10		2,097							
IL	ILS 3,529	CHF* 90		837	USD*	912					
	* (from 1.1.17:	CHF 91		836	USD	938)					
IN	INR 10,000	CHF* 15		137	USD*	154					
	* (from 1.1.17:	<b>CHF</b> 14		134	USD	150)					
	In case of filing by a	n individual:	INR	2,500	CHF	37	EUR	34	USD	38	
JP <sup>16</sup>	For international app	lications in Japar	nese:								
	<b>JPY</b> 70,000	<b>CHF</b> * 64		616	KRW*	756,000	USD*	686			
	* (from 1.1.17:	CHF 67		615	KRW	761,000	USD	689)			
	For international app	0		1 070	800*	1 069		1 520			
	<b>JPY</b> 156,000 * (from 1.1.17:	CHF* 1,44 CHF 1,49		1,373 <i>1,370</i>	SGD* SGD	1,968 <i>2,097</i>	USD* USD	1,530 <i>1,536)</i>			
KR	For international app			1,010		2,007		.,000/			
	<b>KRW</b> 450,000	AUD*, ** 50		379	EUR**	347	NZD**	559	SGD*,	** 520	
	<b>USD</b> 388								,		
	* (from 1.12.16:	<b>AUD</b> 53		550)	_				_		
	** (from 1.1.17:	<b>AUD</b> 53	31 <b>CHF</b>	397	EUR	363	NZD	560	SGD	556	
	<b>USD</b> 408) For international app	lications in Englis	sh.								
	<b>KRW</b> 1,300,000	AUD*, ** 1,45		1,093	EUR**	1,002	NZD**	1,616	SGD*.	** 1,490	
	<b>USD</b> ** 1,120	, .,		.,		.,		.,=	,	,	
	* (from 1.12.16:	<b>AUD</b> 1,53	39 <b>SGD</b>	1,580)							
	** (from 1.1.17:	<b>AUD</b> 1,53	84 <b>CHF</b>	1,145	EUR	1,050	NZD	1,619	SGD	1,607	
	<b>USD</b> 1,177)										

# Table I(b) — Search fees (amounts on 3 November 2016, unless otherwise indicated)

			(amounto or		· · · ·		vise indicated	u)			
ISA	Search fee <sup>1</sup>										
RU	For international app	olications	in Russian:								
	RUB 6,750	CHF*	99	EUR*	92	USD*	101				
	* (from 1.1.17:	CHF	105	EUR	96	USD	108)				
	For international app	lications	in English:								
	<b>RUB</b> 28,000	CHF*	409	EUR*	381	USD*	420				
	* (from 1.1.17:	CHF	437	EUR	400	USD	449)				
SE	<b>SEK</b> 17,500	CHF	2,046	DKK*	13,990	EUR	1,875	ISK*	250,000	NOK*	17,640
	USD* 2,097		,		,						,
	* (from 1.1.17:	DKK	13,960	ISK	239,400	NOK	16,780	SEK	18,010	USD	2,103)
SG	<b>SGD</b> 2,240	CHF*	1,621	EUR*	1,501	JPY*	167,500	USD*	1,578		
	* (from 1.1.17:	CHF	1,597	EUR	1,463	JPY	166,700	USD	1,641)		
UA	<b>EUR</b> 300	CHF*	325	USD*	328						
	* (from 1.1.17:	CHF	327	USD	337)						
US	<b>USD</b> 2,080	CHF*	2,030	EUR*	1,860	NZD*	2,980	ZAR*	28,280		
	* (from 1.1.17:	CHF	2,024	EUR	1,854	NZD	2,861	ZAR	28,320)		
	For small entity <sup>26</sup> :	USD	1,040	CHF*	1,015	EUR*	930	NZD*	1,490	ZAR*	14,140
	* (from 1.1.17:	CHF	1,012	EUR	927	NZD	1,430	ZAR	14,160)		
	For micro entity <sup>26</sup> :	USD	520	CHF*	508	EUR*	465	NZD*	740	ZAR*	7,070
	* (from 1.1.17:	CHF	506	EUR	464	NZD	715	ZAR	7,080)		
XN	<b>DKK</b> * 13,990	CHF	2,046	EUR	1,875	ISK*	250,000	NOK*	17,640	SEK*	17,500
	USD* 2,097										
	* (from 1.1.17:	DKK	13,960	ISK	239,400	NOK	16,780	SEK	18,010	USD	2,103)
xv	EUR 1,875	CHF	2,046	HUF*	585,800	USD*	2,097				
	* (from 1.1.17:	HUF	576,500	USD	2,103)						

# Table I(b) — Search fees [continued] (amounts on 3 November 2016, unless otherwise indicated)

#### Table I(c) — Supplementary search fees

6	amounts on 3	November	unless	otherwise	indicated)	,

ISA	Supplementary search fee		Supplementary search handling fee <sup>2, 33</sup>				
AT	- for a search of the German-			CHF	200		
	language documentation:	CHF	928				
	<ul> <li>– for a search of the European and North American documentation:</li> </ul>		1,299				
	<ul> <li>– for a search of the PCT minimum</li> </ul>	CHF	1,200				
	documentation:	CHF	1,855				
EP	<b>CHF</b> 2,046			CHF	200		
FI	<b>CHF</b> 2,046			CHF	200		
RU	<b>CHF</b> 172 (276) <sup>34</sup> (from 1.1.17: 18	84 (29	94) <sup>34</sup> )	CHF	200		
SE	<b>CHF</b> 2,046			CHF	200		
SG	CHF 1,621 (from 1.1.17: 1,597	7)		CHF	200		
UA	<ul> <li>– for a search of only the PCT minimum</li> </ul>			CHF	200		
	documentation:	CHF CHF	108				
	(from 1.1.17:		109)				
	<ul> <li>– for a search of only the Russian language documentation of the former USSR and the</li> </ul>						
	Ukrainian language documentation:	CHF	163				
	(from 1.1.17:	CHF	164)				
	- for a search of only the European and		,				
	North American documentation:	CHF	217				
	(from 1.1.17:	CHF	218)				
XN	- for a full search:	CHF	2,046	CHF	200		
	<ul> <li>– for searches only of documentation in</li> </ul>						
	Danish, Icelandic, Norwegian and Swedish:	CHF	590				
xv	- for a full search:	CHF	2,046	CHF	200		
	- for searches only of documentation in						
	Czech, Hungarian, Polish and Slovak:	CHF	600				

IPEA	(amounts on 3 November 2016, unless Preliminary examination fee <sup>35</sup>	Handling fee <sup>2, 35</sup> (CHF 200)					
<b>AT</b> <sup>27</sup>	EUR 1,749	EUR	183				
AU	AUD 590 820 <sup>36</sup>	AUD	272	(from 1.1.17: <b>AUD</b> 268)			
<b>BR</b> <sup>28</sup>	Online: BRL 630	BRL eq CHF	200				
	On paper: BRL 945						
CA	<b>CAD</b> 800	CAD	268	(from 1.1.17: <b>CAD</b> 269)			
CL	USD 1,500	USD	205	(from 1.1.17: <b>USD</b> 206)			
	in case of filing by a natural person or a legal entity <sup>2</sup> : <b>USD</b> 400						
	in case of filing by a university <sup>29</sup> : USD 300		200				
<b>CN</b>		CNY eq CHF	200				
<b>EG</b> <sup>30</sup>	<b>EGP</b> 3,000	USD	205	(from 1.1.17: <b>USD</b> 206)			
EP <sup>31</sup>	EUR 1,930	EUR	183				
ES	EUR 589.49 <sup>32</sup>	EUR	183				
FI	EUR 600	EUR	183				
IL	ILS 1,512	ILS	794	(from 1.1.17: <b>ILS</b> 773)			
IN	INR 12,000; in case of filing by an individual: INR 3,000	USD	205	(from 1.1.17: <b>USD</b> 206)			
JP	For international applications in Japanese: <b>JPY</b> 26,000 <sup>16</sup>	JPY	21,600	(from 1.1.17: <b>JPY</b> 20,900)			
	For international applications in English: JPY 58,000						
KR	<b>KRW</b> 450,000	KRW	238,000	(from 1.1.17: <b>KRW</b> 227,000)			
RU	For international applications in Russian: <b>RUB</b> 2,700 <sup>37</sup> 4,050 <sup>38</sup>	USD	205	(from 1.1.17: <b>USD</b> 206)			
	For international applications in English: <b>RUB</b> 10,500 <sup>37</sup> 15,750 <sup>38</sup>						
SE	<b>SEK</b> 5,000	SEK	1,710	(from 1.1.17: <b>SEK</b> 1,760)			
SG	SGD 830	SGD	276	(from 1.1.17: <b>SGD</b> 281)			
UA	- if the international search report has been	EUR	183				
	prepared by this ISA: EUR 160 – if the international search report has been						
	prepared by another ISA: EUR 180						
US	USD 600 760 <sup>39</sup>	USD	205	(from 1.1.17: <b>USD</b> 206)			
	For small entity <sup>26</sup> : <b>USD</b> $300 \ 380^{39}$						
	For micro entity <sup>26</sup> : USD 150 190 <sup>39</sup>						
XN	<b>DKK</b> 5,000	DKK	1,370	(from 1.1.17: <b>DKK</b> 1,360)			
xv	<b>EUR</b> 1,930	EUR	183				

# Table II — Preliminary examination fees (amounts on 3 November 2016, unless otherwise indicated)

[See over page for footnotes to fee tables]

#### Footnotes to fee tables:

- 1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 2 This fee is reduced by 90% where the applicant or, if there are two or more applicants, each applicant fulfills the criteria indicated on the PCT website at: http://www.wipo.int/pct/en/fees
- 3 Where the international application contains a sequence listing as a separate part of the description, this should preferably be furnished in accordance with Annex C, paragraph 40, of the Administrative Instructions under the PCT, that is, in compliance with WIPO Standard ST.25 text format; no additional fees are due for sequence listings filed in this format. Where, however, such sequence listings are filed in the form of an image file (e.g. PDF), fees are due for each page (see *PCT Newsletter* No. 06/2009, page 2 at: http://www.wipo.int/pct/en/newslett/2009/newslett\_09.pdf).
- 4 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- 5 If the international application is filed in electronic form, the request not being in character coded format.
- 6 If the international application is filed in electronic form, the request being in character coded format.
- 7 If the international application is filed in electronic form, the request, description, claims and abstract being in character coded format.
- 8 This fee is reduced by 60% where the international application is filed by a natural person, a small or medium-sized enterprise, a cooperative, an academic institution, a non-profit-making entity or a public institution. For further details, see Official Resolution of the National Institute of Industrial Property No. 211/09 of 14 May 2009.
- 9 When calculating the USD equivalent amount in CLP, applicants should use the exchange rate fixed by the Central Bank of Chile on the day before the date of payment.
- 10 This fee is reduced by 25% if the applicant is a natural person, a small or medium enterprise, a public or private university recognized by the National Ministry or a non-profit entity promoting the development of scientific and technological research.
- 11 The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 12 This fee is reduced by 70% where the applicant is a natural person.
- 13 The first amount of the transmittal fee is applicable to individuals or entities employing less than 25 persons. The second amount is applicable to entities employing 25 persons or more.
- 14 This fee is not payable in respect of international applications filed by applicants fulfilling the conditions indicated on the PCT website at: http://www.wipo.int/pct/en/fees
- 15 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national. See also *PCT Applicant's Guide*, Annex C (IB).
- 16 This fee is reduced by two thirds where the application is filed in Japanese by an individual, a small or medium-sized enterprise that has commenced business or been established less than ten years, or a micro enterprise. For further details, see: http://www.jpo.go.jp/tetuzuki/ryoukin/chusho\_keigen.htm
- 17 The Japan Patent Office is competent only for international applications in Japanese.
- 18 This fee is reduced by 50% where the international application is filed by a public university, a small or medium enterprise (in accordance with the criteria of the charter for small or medium enterprises) or a natural person who is a national of and resides in one of the States entitled to a reduction under the PCT (for the list of States see: http://www.wipo.int/pct/en/fees).
- 19 Plus EUR 1.50 for the preparation of additional copies, for each page and each copy.
- 20 This fee is subject to a national tax of 16%.
- 21 Plus MYR 60 for each sheet in excess of 30 for electronic filings, and MYR 70 for each sheet in excess of 30 for paper filings.
- 22 This fee is reduced by 50% where the applicant is a natural person.
- 23 This fee is reduced by 50% if the international application is filed in fully-electronic form.
- 24 This fee is reduced by 95% where all applicants are also inventors and by 90% where all applicants are also non-profitable institutions and/or organizations. When the fee is payable with relation to an application made by both types of applicant, and all applicants are either also inventors, or non-profitable institutions and/or organizations, the fee is reduced by 90%.
- 25 Plus non-electronic filing fee portion for international applications filed other than by the Office electronic filing system of USD 400, or in the case of filings by small entities or micro entities: USD 200.
- 26 For further details about entitlement to and establishment of "small entity" status and "micro entity" status, see 37 CFR § 1.27 and 1.29 (pages R-41 and R-47), respectively, at: http://www.uspto.gov/web/offices/pac/mpep/consolidated\_rules.pdf
- 27 The fee is reduced by 75% where the applicant, or if there are two or more applicants, each applicant is a natural person and is a national of and resides in a State for which the Austrian Patent Office is an International Searching Authority (in the case of the search fee)/International Preliminary Examining Authority (in the case of the preliminary examination fee).
- 28 This fee is reduced by 60% where the international application is filed by a natural person, a small or medium enterprise or an academic institution.
- 29 Applicable where the applicant is (a) a Chilean university, or (b) a foreign university headquartered in any of the States which benefit, in accordance with the Schedule of Fees under the PCT Regulations, from the 90% reduction of the international filing fee and authenticated by its legal representative, in a simple declaration signed in the presence of a notary, as constituted as a university in accordance with the law of that State, provided that, if there are several applicants, each must satisfy the criterion set out in either sub-item (a) or (b)). For details of applicants entitled to the 90% reduction, see: http://www.wipo.int/pct/en/fees
- 30 The search fee payable to the Office is reduced by 25% where the applicant, or, if there are two or more applicants, each applicant is a natural person or a legal entity and is a national of and resides in a State which is classified by the World Bank in the group of countries of "low income", "lower middle income" or "upper middle income".
- 31 The search fee payable to the EPO and the Spanish Patent and Trademark Office, as well as the preliminary examination fee payable to the EPO, are reduced by 75% under certain conditions. For further information, see the relevant footnote in the *PCT Applicant's Guide*, Annexes D (EP and ES) and E (EP) at: http://www.wipo.int/pct/guide/en/index.html
- 32 Public universities in Spain are exempt from paying this fee.
- 33 Payable to the International Bureau in Swiss francs.
- 34 This fee applies where a declaration referred to in PCT Article 17(2)(a) has been made by the International Searching Authority because of subject matter referred to in PCT Rule 39.1(iv) (methods of treatment).
- 35 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 36 Payable when the international search report was not issued by the Australian Patent Office.
- 37 Payable when the international search report was established by the Federal Service for Intellectual Property (Rospatent) (Russian Federation).
- 38 In all cases where footnote 37 does not apply.
- 39 Payable when the international search was not carried out by the United States Patent and Trademark Office (USPTO) (provided that the USPTO is a competent International Preliminary Examining Authority in the particular case—see *PCT Applicant's Guide*, Annex C (US)).



#### PCT Contracting States and Two-letter Codes (151 on 1 Þ[ c^{ à^{ 2016)

Extension of European patent possible.

2

3 4

5

May only be designated for a regional patent (the "national route" via the PCT has been closed). Validation of European patent possible for international applications filed on or after 1 March 2015. Validation of European patent possible for international applications filed on or after 1 November 2015. Only international applications filed on or after 19 August 2014 will include the designation of Sao Tome and Principe for an ARIPO patent.

Where a State can be designated for a regional patent, the two-letter code for the regional patent concerned is indicated in parenthe-ses (AP = ARIPO patent, EA = Eurasian patent, EP = European patent, OA = OAPI patent).

*Important:* This list includes all States that have adhered to the PCT by the date shown in the heading. Any State indicated in *bold italics* has adhered to the PCT but will only become bound by the PCT on the date shown in parentheses; it will not be considered to have been designated in international applications filed before that date.

Note that even though the filing of a request constitutes under PCT Rule 4.9(a) the designation of all Contracting States bound by the PCT on the international filing date, for the grant of every kind of protection available and, where applicable, for the grant of both regional and national patents, applicants should always use the latest version of the e-filing software used to generate the request form, or the latest versions of the request form (PCT/RO/101) and demand form (PCT/IPEA/401) (the latest versions are dated 1 July 2015 and 1 July 2016, respectively). The request and demand forms can be printed from the website, in editable PDF format, at: http://www.wipo.int/pct/en/forms/, or obtained from receiving Offices or the International Bureau, or, in the case of the demand form, also from International Preliminary Examining Authorities. Where possible, applicants are encouraged to use ePCT-Filing in order to benefit from the most up-to-date PCT data.