

# PCT NEWSLETTER

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# **Assembly of the PCT Union**

The 48th session of the Assembly of the PCT Union (PCT Assembly) was held in Geneva during the period from 3 to 11 October 2016, as part of the meetings of the Assemblies of the Member States of WIPO. The documents referred to in the summary of the meeting, below, are available from the WIPO website at:

PCT Assembly documents (including the report once it becomes available):

http://www.wipo.int/meetings/en/details.jsp?meeting\_code=pct/a/48

PCT Working Group documents:

http://www.wipo.int/meetings/en/details.jsp?meeting\_code=pct/wg/9

The Assembly appointed the Turkish Patent Institute (TPI) as an International Searching and Preliminary Examining Authority under the PCT (document PCT/A/48/4). The appointment will become effective from a future date to be notified by the Office when it is ready to begin operations.

The Assembly adopted amendments to the PCT Regulations, as set out in the annexes to document PCT/A/48/3. The amendments consist of the following:

- PCT Rule 45bis: The deadline for requesting supplementary international search has been extended from 19 to 22 months from the priority date. For further information, see document PCT/WG/9/6 and paragraph 123 of document PCT/WG/9/27.
- PCT Rule 23bis: The requirement for receiving Offices to forward details of search or classification results from earlier applications to the International Searching Authority, in general without the express permission of the applicant, has been clarified to set out the relationship between this provision and the confidentiality requirements of a receiving Office under PCT Article 30(2)(a), as applicable by virtue of PCT Article 30(3). For further information, see document PCT/WG/9/5.
- PCT Rules 4.10(d) and 51bis.1(f): These two "incompatibility provisions" have been deleted following withdrawal of the remaining notifications of incompatibility under those provisions. For further information, see document PCT/WG/9/12.

These amendments will enter into force on 1 July 2017.

The Assembly also noted reports on the work being undertaken by the PCT Working Group (document PCT/A/48/1) and the Quality Subgroup of the PCT Meeting of International Authorities (document PCT/A/48/2), and approved recommendations on further work. These matters were reported in *PCT Newsletter* Nos. 05/2016 and 02/2016, respectively.

# **Electronic Filing and Processing of International Applications**

# Dominican Republic and Panama to begin receiving and processing international applications in electronic form

The National Office of Industrial Property (Dominican Republic) and the Directorate General of the Industrial Property Registry (DIGERPI) (Panama), in their capacities as receiving Offices (RO/DO and RO/PA), have notified the International Bureau under PCT Rule 89*bis*.1(d) that they are prepared to receive and process international applications in electronic form with effect from 1 November 2016. Both Offices will accept international applications filed using the ePCT-Filing function in the ePCT Portal. The applicable electronic filing fee reductions listed in item 4 of the Schedule of Fees are indicated in Fee Table I(a) in respect of those Offices.

The notifications containing the requirements and practices of the above-mentioned Offices with regard to the filing of international applications in electronic form will be published in the *Official Notices (PCT Gazette)* on 20 October 2016 at:

http://www.wipo.int/pct/en/official\_notices/index.html

(Updating of PCT Applicant's Guide, Annex C (DO and PA))

# New possibility of online transmittal of post-filing documents to the EPO via ePCT

As from 1 November 2016, it will be possible for applicants to use ePCT private services for the online transmittal to the European Patent Office (EPO) of post-filing documents relating to the international application under PCT Rule 89*bis.*2, including the demand for international preliminary examination and any amendments under PCT Article 34(2)(b). It will also be possible to indicate the payment of fees related to the demand.

For further information, see the "Notice from the European Patent Office dated 23 September 2016 concerning the online filing of subsequently filed documents under the PCT, including the demand under PCT Chapter II", published in the *Official Journal of the EPO*, at:

http://www.epo.org/law-practice/legal-texts/official-journal/2016/09/2016-09.pdf

# **PCT Information Update**

### CZ Czech Republic (name of country)

The International Bureau has been notified that the name "Czechia" shall be used instead of the name "Czech Republic"; the two-letter code "CZ" has not changed.

(Updating of several parts of the PCT Applicant's Guide)

# DJ Djibouti (general information; summary of requirements of the Office as receiving Office and of the requirements for entry into the national phase)

It is recalled that Djibouti became bound by the PCT on 23 September 2016. General information about Djibouti as a PCT Contracting State, as well as information on the requirements of the Office of Industrial Property and Commerce of Djibouti (ODPIC) as receiving Office and as designated (or elected) Office, have been published in the *PCT Applicant's Guide* – see Annex B1 (DJ), C (DJ) and the National Chapter, Summary (DJ) at, respectively:

http://www.wipo.int/pct/guide/en/gdvol1/annexes/annexb1/ax\_b\_dj.pdf

http://www.wipo.int/pct/guide/en/gdvol1/annexes/annexc/ax\_c\_dj.pdf

http://www.wipo.int/pct/guide/en/gdvol2/annexes/dj.pdf

Furthermore, the Office has notified its requirements concerning the deposit of microorganisms and other biological material, as indicated in the *PCT Applicant's Guide*, Annex L at:

http://www.wipo.int/pct/guide/en/gdvol1/annexes/annexl/anx l.pdf

# DO Dominican Republic (electronic filing; fees)

For information on the acceptance, with effect from 1 November 2016, by the National Office of Industrial Property (Dominican Republic) as receiving Office of international applications filed using ePCT-Filing, as well as information on the electronic filing fee reductions listed in item 4 of the Schedule of Fees that will be applicable, see "Electronic Filing and Processing of International Applications", above.

# GB United Kingdom (number of copies required; institutions with which deposits of microorganisms and other biological material may be made)

The Intellectual Property Office<sup>1</sup> (United Kingdom) has changed its requirement concerning the number of copies of the international application on paper to be filed with it as receiving Office – it now requires one copy instead of three.

The address of the Culture Collection of Algae and Protozoa (CCAP), an international depositary authority under the Budapest Treaty on the International Recognition of the Deposit of Microorganisms for the Purposes of Patent Procedure, has changed, as follows:

Culture Collection of Algae and Protozoa (CCAP) SAMS Ltd. Scottish Marine Institute Oban, Argyll PA37 1QA Scotland

(Updating of PCT Applicant's Guide, Annex C (GB) and Annex L)

# HR Croatia (fees; who can act as agent)

The State Intellectual Property Office (Croatia) has notified changes concerning its requirements as to who can act as agent before it as receiving Office and as designated (or elected) Office – the following persons may now act as such:

 Any natural person who is a citizen of Croatia or of a Contracting State of the Agreement on the European Economic Area (hereinafter: the EEA), having permanent residence in Croatia or in a Contracting State of the EEA, holding a university degree in technical or natural sciences and having passed the professional examination for patent representatives before the Office<sup>2</sup>;

<sup>&</sup>lt;sup>1</sup> Intellectual Property Office is an operating name of the Patent Office.

A list of patent representatives is available on the website of the Office at: www.dziv.hr/en/representation-before-sipo/patent-representatives/

- 2. Any natural person who is a citizen of Croatia or of a Contracting State of the EEA, having permanent residence in Croatia or in a Contracting State of the EEA, holding a university degree in an area other than technical or natural sciences, having at least five years of working experience in jobs relating to the acquisition and maintenance of industrial property rights, obtained after completing the studies and having passed the professional examination for patent representatives before the Office;<sup>2</sup>
- 3. An attorney entered in the Register of Attorneys maintained by the Croatian Bar Association who passed the professional examination for patent representatives before the Office, or a law firm employing such an attorney or cooperating with him pursuant to some other contractual relationship;
- 4. Any legal person with a registered office in Croatia or in a Contracting State of the EEA, employing at least one person meeting the conditions referred to in point 1 or 2 of this paragraph or cooperating with such person pursuant to some other contractual relationship and performing the activities of representation before the Office as its registered activity.<sup>2</sup>

The Office, in its capacity as designated (or elected) Office, has also notified a change concerning the exemptions, reductions or refunds of the national fee – the filing fee is reduced by 50% if the application is filed by electronic means; the same reduction applies where the text of the patent application filed on paper has been furnished additionally on an electronic carrier (for example, CD-ROM or DVD).

(Updating of PCT Applicant's Guide, Annex C (HR) and National Phase, Summary (HR))

# IN India (telephone number and e-mail address)

The Indian Patent Office has notified an additional telephone number and e-mail address for its New Delhi branch, and the deletion of one of the e-mail addresses for the Kolkata branch. The telephone numbers and e-mail addresses to be used are now as follows:

Telephone: Kolkata: (91-33) 23 67 19 87, (91-33) 23 67 50 91

New Delhi: (91-11) 25 30 02 00, 28 03 43 10,

25 30 03 35 (PCT Section)

E-mail: Kolkata: kolkata-patent@nic.in

New Delhi: delhi-patent@nic.in

patentin-pct@nic.in (PCT Section)

(Updating of *PCT Applicant's Guide*, Annex B1 (IN))

# MX Mexico (location and mailing address; e-mail address; telephone numbers; fees)

The location and mailing address, the e-mail address and the telephone numbers of the Mexican Institute of Industrial Property have changed, as follows:

Location and Arenal 550

mailing address: Col. Pueblo Santa Maria Tepepan

C.P. 16020

Cuidad de México

Mexico

E-mail: dp@impi.gob.mx

Telephone: (52-5) 334 07 24, 334 07 00 (ext. 10606, 10024, 10010)

The amounts of the following national fees, payable to the Office as designated (or elected) Office, have changed with effect from 1 January 2016:

For patent:

Filing fee: ...... MXN 6,147.40<sup>3</sup> or MXN 3,803.12<sup>4</sup>

For utility model:

Filing fee: ...... MXN 2,162.13<sup>3</sup> or MXN 1,380.70<sup>4</sup>

(Updating of PCT Applicant's Guide, Annex B1 (MX) and National Phase, Summary (MX))

### PA Panama (electronic filing; fees)

For information on the acceptance, with effect from 1 November 2016, by the Directorate General of the Industrial Property Registry (DIGERPI) (Panama) as receiving Office of international applications filed using ePCT-Filing, as well as information on the electronic filing fee reductions listed in item 4 of the Schedule of Fees that will be applicable, see "Electronic Filing and Processing of International Applications", above.

### SK Slovakia (Internet address)

The Industrial Property Office (Slovakia) has notified an additional Internet address, as follows:

Internet: www.indprop.gov.sk

www.upv.sk

(Updating of PCT Applicant's Guide, Annex B1 (SK))

### **UA Ukraine (mailing address)**

The mailing address of the State Intellectual Property Service of Ukraine (SIPSU) has changed, as follows:

Dezhavne Pidpryemstvo "Ukrainsky Instytut Promyslovoi Vlanosti" The State Enterprise "Ukrainian Intellectual Property Institute" 1, Hlazunova Street Kyiv 42 01601, Ukraine

(Updating of PCT Applicant's Guide, Annex B1 (UA))

# Search fee (Australian Patent Office and Korean Intellectual Property Office)

As from 1 December 2016, there will be changes in the equivalent amounts payable in the currencies specified below for international searches carried out by the following Offices:

Payable where the national phase is entered under PCT Article 22.

Payable where the national phase is entered under PCT Article 39(1).

Australian Patent Office...... KRW

Korean Intellectual Property Office ...... AUD, SGD

The above-mentioned changes are indicated in Fee Table I(b).

(Updating of *PCT Applicant's Guide*, Annexes D (AU and KR))

# **PCT-SAFE Update**

### Release of new version of the PCT-SAFE Client software

A new version of the PCT-SAFE Client software (version 3.51.075.251, dated 1 October 2016) is now available and can be downloaded at:

http://www.wipo.int/pct-safe/en/download/download client.html

Further details on this new version of PCT-SAFE are available in the "Release notes" and the "What's new" document on the above-mentioned web page.

# **New/Updated PCT Resources**

### Seminar materials

Seminar materials, covering all aspects of the PCT procedure, have been updated in English, Japanese and Spanish to take into account the amendments to the PCT Regulations which entered into force on 1 July 2016 and are available at, respectively:

http://www.wipo.int/pct/en/seminar/basic\_1/document.pdf

http://www.wipo.int/pct/es/seminar/basic\_1/document.pdf

http://www.wipo.int/pct/ja/seminar/basic\_1/document.pdf

Other languages will follow shortly.

In addition, seminar materials, based on an advanced PCT seminar which was held at WIPO Headquarters in Geneva on 26 and 27 September 2016, are now available in English at:

http://www.wipo.int/pct/en/seminar/basic 1/advanced seminar.pdf

The information included in the document covers the procedural aspects of the international and national phases, the filing and management of PCT applications in ePCT, and recent and future PCT developments.

# Warning about requests for payment of fees: legal actions against fraudulent entities

Information about successful legal actions against fraudulent entities is now available at:

http://www.wipo.int/pct/en/warning/judgments/successes.html

This includes a Judgement of a Florida Court and a Ruling of the Supreme Court of Czechia (formerly Czech Republic).

# IPO closed dates search engine

A new design of the search engine for intellectual property Offices' closed dates, which features improved navigation, is available in Chinese, English, French and Spanish at, respectively:

http://www.wipo.int/pct/dc/closeddates/page/index.xhtml?lang=ZH

http://www.wipo.int/pct/dc/closeddates/faces/page/index.xhtml

http://www.wipo.int/pct/dc/closeddates/page/index.xhtml?lang=FR

http://www.wipo.int/pct/dc/closeddates/page/index.xhtml?lang=ES

### **PCT** forms

Form PCT/IB/384 (Request for Omission of Information from International Publication and/or Public File Access) is now available in editable PDF format in English and French at, respectively:

http://www.wipo.int/pct/en/forms/ib/editable/ed\_ib384.pdf

http://www.wipo.int/pct/fr/forms/ib/editable/ed\_ib384.pdf

# **PATENTSCOPE Search System**

# WIPO Translate Now Available for Bibliographic Data and Long Japanese and French Documents

WIPO Translate, the machine translation tool developed by WIPO and available within the PATENTSCOPE user interface, now offers translation of full-length documents into Japanese and French from English, and vice versa. This feature was introduced last year for long Chinese texts.

It is recalled that WIPO Translate also offers translation of bibliographic data from/to any of the following languages: German, English, Spanish, French, Japanese, Korean, Russian and Chinese. Bibliographic data in languages other than English will first be translated into English and then into any of the languages supported. It is, for example, now possible to translate bibliographic data in Chinese into Russian using WIPO Translate.

As for previous versions, WIPO translate has been trained exclusively on patent texts and operated with a secure https protocol. Note that independent evaluation tools show that it is more accurate than other publicly available solutions and, as a result, users can get a better, clearer idea of the nature of an invention than may be possible with external translation tools.

# **PCT** in the News

A link to the following article in the *WIPO Magazine* (No. 5/2016) has been added to the "PCT in the News" page of the PCT website.

# Francis Gurry on the challenges for multilateralism in the field of intellectual property

During the WIPO Assemblies, which took place between 3 and 11 October 2016, the Director General of WIPO, Francis Gurry, shared his views on the challenges for multilateralism in the field of intellectual property. About the PCT, he stated:

"We are seeing a huge amount of change in the IP system. One obvious example is the shift in the geography of innovation. Three Asian countries are now among the top five patent filing countries in WIPO's PCT System. Japan and China are in second and third position after the United States, and the Republic of Korea is in fifth position after Germany. This is a huge change that we have seen unfold over the last 20 years. A similar shift has occurred in the areas of scientific and creative production. That is an area of great change that will continue.

The full article and other extracts from the WIPO Magazine are available at:

http://www.wipo.int/pct/en/news/pct\_news.html

The WIPO Magazine, Issue No. 5/2016 is available at:

http://www.wipo.int/wipo\_magazine/en/2016/05/

# **Practical Advice**

# Requesting early publication of an international application

Q: I am the agent for an applicant in respect of an international application which is to be filed shortly. The applicant is keen to have the application published early, so that the invention will become part of the prior art as early as possible. If I request early publication of the application under PCT Article 21(2)(b)), are there any issues that I should be aware of?

A: The international application becomes part of the prior art as soon as it is published (see PCT Rule 34.1(b)(ii)). Clearly, earlier publication means that your competitors will be able to see the contents of your application earlier than is normally the case. Furthermore, early publication of your application may be cited against you in relation to your later-filed applications in the same field, and so early publication needs to be considered very carefully. Nevertheless, for many applicants, it may bring significant advantages. Earlier publication may result in your application being able to be cited as prior art with effect from an earlier date than would normally be the case in any future search of a patent application filed by a third party. This may more easily allow you to prevent a third party from obtaining a patent for the same or a closely-related invention. It will put you at an advantage over competitors, and may be especially useful in respect of countries where you have no intention of entering the national phase. Earlier publication also means that your invention will be made available to the public earlier, and may confer earlier provisional protection before certain designated (or elected) Offices and under certain conditions.

International publication normally takes place promptly after the expiration of 18 months from the priority date (PCT Article 21(2)(a)), although it can take place earlier than this if you request the International Bureau (IB) to publish the international application before the expiration of the above-mentioned time limit (PCT Article 21(2)(b)). You can do this by submitting an online request to the IB using the Action "Request for Early Publication" in ePCT private services. After signing and submitting the request for early publication, a message is displayed to confirm that the ePCT Action has been successfully submitted to the IB for processing. Early publication of the international application can also be requested by way of a signed letter uploaded into ePCT public services or sent by fax or mail to the IB.

The IB will do its best to publish the international application as soon as possible after receipt of the request for early publication, provided that any necessary fees have been paid. Note,

however, that publication will not be immediate, as the IB will, for operational reasons, need some time to prepare your application for publication. For example, if the abstract has not yet been translated, the PCT Translation Division would need time to do this. The IB will acknowledge receipt of your request for early publication, and will notify you of the new scheduled publication date.

Requesting early publication of the international application may, however, affect certain procedures during the international phase, and you are advised to take the following considerations into account before making such a request.

Filing the international application with the IB as receiving Office (RO/IB)

If you would like to request that international publication takes place as early as possible in the international phase, you may wish to consider the advantage of filing with the RO/IB – this could reduce the processing time prior to publication by eliminating the amount of time that a national or regional Office acting as receiving Office might take to transmit the record copy to the IB. For information on filing an international application at the RO/IB, please see "Direct filing of PCT applications with the International Bureau as PCT receiving Office (RO/IB)" at:

http://www.wipo.int/pct/en/filing/filing.html

Request for early publication where the ISR is not yet available to the IB

If you request early publication of the international application too early, the International Searching Authority (ISA) may not have had time to carry out the international search, and so the international application will have to be published without the international search report (ISR) (or, as the case may be, declaration under PCT Article 17(2) that the ISA will not carry out the search). If the ISR is not yet available for publication together with the international application, the IB will charge a special publication fee, the amount of which is indicated in the *PCT Applicant's Guide*, Annex B (IB) (at the time of writing, it is CHF 200). International publication would take place as soon as possible after receipt of the request for early publication and after payment of the special fee. The international application would then be republished later, upon receipt of the ISR, and, since you would still have two months from the establishment of the ISR or 16 months from the priority date (whichever time limit expires later), to make amendments to the claims under PCT Article 19 (see PCT Rule 46.1), if the IB receives such amendments within that time limit, the international application would be republished again.

Request for early publication where the International Search Report (ISR) is already available to the IB

If the ISR is already available to the IB, there is no fee payable for making a request for early publication. International publication will be effected by the IB as soon as possible after receipt of the applicant's request for early publication. As mentioned above, you would still have two months from the establishment of the ISR or 16 months from the priority date (whichever time limit expires later) to make PCT Article 19 amendments, and if the IB receives such amendments within that time limit, the international application would be republished.

Actions which may have to be taken earlier than usual

If you request early publication of the international application, there are certain actions which may need to be taken before the (earlier) completion of technical preparations for publication (which usually occurs 15 days prior to the date of international publication). Some of the actions

that you would need to take in good time before completion of technical preparations are discussed below.

- Requesting a change under PCT Rule 92bis concerning the applicant, agent, common representative or inventor if that change is to be reflected in the international publication.
- Correction of physical defects in the international application: any physical defects in the international application should be corrected before the request for early publication is made if you wish any such correction to be included in the published international application. Notwithstanding, if the receiving Office invites you to make a correction giving a time limit which expires after completion of technical preparations for international publication, and you submit the correction after completion of technical preparations for publication, any such correction would be the subject of a republication of the international application.
- Correction or addition of a priority claim: if you need to request a correction or an addition of a priority claim under PCT Rule 26bis.1(a), this should be done before requesting early publication of the international application if you do it afterwards, the request for correction/addition will be considered not to have been submitted unless the request for early publication is withdrawn before completion of technical preparations for international publication (PCT Rule 26bis.1(b)).
- Requesting restoration of the right of priority in the international phase: if you wish to request restoration of the right of priority under PCT Rule 26bis.3<sup>5</sup>, all the requirements for the restoration request<sup>6</sup> must be complied with before the completion of technical preparations for international publication of the international application (if that date falls prior to the expiration of the time limit for requesting restoration of the right of priority of two months from the date on which the priority period expired) (PCT Rule 26bis.3(e)).
- Inclusion of references to deposits of biological material: although there are some designated (or elected) Offices which require the submission of indications regarding deposited biological material already at the time of filing the international application, please note that there are also some Offices which require such indications to be submitted by the time the request for early publication is made (PCT Rule 13bis.4(c)). For details on the requirements of the designated Offices in this respect, please refer to the PCT Applicant's Guide, Annex L.
- Translation of the international application for the purposes of international publication: if the language of filing of the international application is not a language of publication, you should ensure that the translation is furnished before completion of technical preparations for publication, if the latter occurs prior to the expiration of 14 months from the priority date (PCT Rule 12.4(a)).

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That is, if you are claiming the priority of an earlier application, and you have failed to file the international application within the priority period, but you do file it within the period of two months from that date, and can justify that such failure occurred in spite of due care required by the circumstances having been taken, or was unintentional.

That is, the submission of the request for restoration to the receiving Office (including a statement of the reasons for the failure to file the international application within the priority period), the submission of any request for the addition of any missing priority claim and the payment of any applicable fee.

 Withdrawal of the international application, a designation or a priority claim under PCT Rules 90bis.1, 90bis.2 or 90bis.3, in sufficient time to prevent international publication thereof.

Note that the time limit under PCT Rule 26*ter* for adding declarations referred to in PCT Rule 4.17, as well as the time limit for furnishing the priority document under PCT Rule 17.1(a), are unaffected by a request for early publication.

### Early entry into the national phase

You may also wish to consider requesting early entry into the national phase before certain designated (or elected) Offices (see PCT Articles 23(G) and 40(G)), in order to obtain the early grant of a patent. This may be particularly advantageous with regard to those Offices which do not grant provisional protection after international publication. Note that, although international publication of an international application is not a condition for national phase entry, the national law of certain designated (or elected) Offices may require that this has taken place before they will start national examination of the application. For further information on early national phase entry, see *PCT Newsletter* Nos. 08/2006 and 10/2011.

# **PCT Seminar Calendar**

(http://www.wipo.int/pct/en/seminar/seminar.pdf)

		(http://www.wipo.int/pct/en/seminar/se	nar.pdf)		
Dates and location	Language of seminar	Nature of seminar; WIPO speakers (and others where known)	Organizer and contact numbers		
19 October 2016 Washington, DC (US)	English	ePCT Training Seminar WIPO speaker: Mr. Sim Other speaker: Mr. Gary Smith (PCT Learning Center)	PCT Learning Center (Ms. Frimmel Smith) Tel: (1-571) 212 38 68 Fax: (1-703) 636 89 74 E-mail: contact@pctlearningcenter.org Internet: pctlearningcenter.org		
20 October 2016 Tokyo (JP)	Japanese	PCT seminar WIPO speaker: Mr. Fujita	University Technology Transfer Association, Japan (UNITT) (Mr. Fukuda) Tel: (81-3) 54 02 18 57 Fax: (81-3) 54 02 18 59 Internet: http://unitt.jp/		
20-21 October 2016 Chicago, IL (US)	English	PCT seminar WIPO speaker: Mr. Reischle-Park Speaker on behalf of WIPO: Mr. Oppedahl	The John Marshall Law School Department of Event Management Tel: (1-312) 987 14 20 Fax: (1-312) 427 71 28 E-mail: Events@jmls.edu		
21 October 2016 Chicago, IL (US)	English	ePCT Training Seminar WIPO speaker: Mr. Sim Other speaker: Mr. Gary Smith (PCT Learning Center)	PCT Learning Center (Ms. Frimmel Smith) Tel: (1-571) 212 38 68 Fax: (1-703) 636 89 74 E-mail: contact@pctlearningcenter.org Internet: pctlearningcenter.org		
24 October 2016 Fukuoka (JP)	Japanese	PCT seminar WIPO speaker: Mr. Fujita	University Technology Transfer Association, Japan (UNITT) (see Tokyo, above)		
24 October 2016 Boston, MA (US)	English	PCT seminar WIPO speaker: Mr. Reischle-Park	Boston Patent Law Association (Mr. Keith Toms) E-mail: activities@bpla.org Internet: www.bpla.org		
27 October 2016 Kanazawa (JP)	Japanese	PCT seminar WIPO speaker: Mr. Fujita	University Technology Transfer Association, Japan (UNITT) (see Tokyo, above)		
27-28 October 2016 San José (CR)	Spanish	PCT Seminar WIPO speaker: Mr. Hernández Vigaud Other speaker: Mr. H. Crew, INAPI, Chile	Registry of Industrial Property (Costa Rica) (Ms. Karen Quesada B.) E-mail: kquesada@rnp.go.cr		
28 October 2016 London (GB)	English	PCT seminar within the framework of the 15 <sup>th</sup> Annual Conference for Senior Patent Administrators (27-28 October 2016) WIPO speaker: Mr. Reischle-Park	Management Forum Ltd. (Ms. Angela Spall) E-mail: angela.spall@falconbury.co.uk		
28 October 2016 Nuremberg (DE)	English	PCT training workshop during the iENA international trade fair "Ideas – Inventions – New Products" (27-30 October 2016) WIPO speaker: Ms. Weiss	International Federation of Inventors' Associations (Mr. Bijan Nasiri) E-mail: bijan.nasiri@ifia.com Internet: www.ifia.com		
31 October 2016 San Jose, CA (US)	English	PCT presentation within the framework of the Roving Seminars on WIPO Services and Initiatives WIPO speaker: Mr. Bryan Other WIPO speakers on other IP topics: Mr. Sandage, Mr. Forno and Ms Kitsara Other speakers – please see: http://www.aipf.com/event-2344636	WIPO, United States Patent and Trademark Office, Silicon Valley Intellectual Property Law Association, San Francisco Intellectual Property Law Association, American Intellectual Property Law Association, and Association of Intellectual Property Firms Information and registration: http://www.aipf.com/event-2344636		

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	PCT Seminar Calendar [continued]								
Dates and location	Language of seminar	Nature of seminar; WIPO speakers (and others where known)	Organizer and contact numbers						
2 November 2016 Tallinn (EE)	English	PCT presentation within the framework of the Roving Seminars on WIPO Services and Initiatives WIPO speaker: Mr. Henninger Other WIPO speakers on other IP topics: Mr. Carrasco Pradas, Mr. Vazquez Lopez, Ms. Halgand, Ms. Ammann	Estonian Patent Office E-mail: patendiamet@epa.ee						
2 November 2016 San Diego, CA (US)	English	PCT presentation within the framework of the Roving Seminars on WIPO Services and Initiatives WIPO speaker: Mr. Bryan Other WIPO speakers on other IP topics: Mr. Sandage, Mr. Forno and Ms Kitsara Other speakers: please see: http://www.aipf.com/event-2344642	WIPO, United States Patent and Trademark Office, San Diego Intellectual Property Law Association, Los Angeles Intellectual Property Law Association, American Intellectual Property Law Association, and Association of Intellectual Property Firms Information and registration: http://www.aipf.com/event-2344642						
4 November 2016 Salt Lake City, UT (US)	English	PCT presentation within the framework of the Roving Seminars on WIPO Services and Initiatives WIPO speaker: Mr. Bryan Other WIPO speakers on other IP topics: Mr. Sandage, Mr. Forno and Ms Kitsara Other speakers: please see: http://www.aipf.com/event-2344644	WIPO, United States Patent and Trademark Office, Utah Bar IP Section - Utah State Bar, American Intellectual Property Law Association, and Association of Intellectual Property Firms Information and registration: http://www.aipf.com/event-2344644						
23-24 November 2016 Cracow (PL) (a limited number of places are available to the public)	English	Basic PCT seminar WIPO speakers: Ms. Bonvallet and Mr. Henninger	Centre d'études internationales de la propriété intellectuelle (CEIPI) (Mr. Lukasz Bodgan) Tel: (48) 604 406 432 E-mail: lukasz.bogdan@agh.edu.pl						
24-25 November 2016 Dresden (DE)	German	PCT presentation on recent developments in the PCT within the framework of the PAFA-Tagung 2016 conference WIPO speaker: Mr. Reischle-Park	IP for IP Intellectual Property for Intellectual People GmbH (Ms. Annette Kapeller) Tel: (49-0) 6201 844 37 30 Fax: (49-0) 6201 98 62 10 E-mail: info@ipforip.de Internet: www.ipforip.de						
28 November 2016 Chiba (JP)	Japanese	Seminar on the PCT System WIPO speaker: Mr. Fujita	Japan Patent Office, Information Dissemination and Policy Promotion Division Tel: (81-3) 35 81 11 01 ext. 2107 Internet: https://www.jpo.go.jp/torikumi/ibento/ibento2/h 27_chiteki_setumeikai.htm						
1-2 December 2016 London (GB)	English	Advanced PCT Formalities seminar, including practical presentations on ePCT WIPO speakers: Mr. Sim and Ms. Weiss	Management Forum Ltd. (Ms. Angela Spall) E-mail: angela.spall@falconbury.co.uk						
2 December 2016 Tokyo (JP)	Japanese	Seminar on the PCT System WIPO speaker: Mr. Fujita	Japan Patent Office, Information Dissemination and Policy Promotion Division (see Chiba, above)						
5 December 2016 Osaka (JP)	Japanese	Seminar on the PCT System WIPO speaker: Mr. Fujita	Japan Patent Office, Information Dissemination and Policy Promotion Division (see Chiba, above)						
6-7 December 2016 Paris (FR)	French	Basic PCT seminar WIPO speakers: Ms. Bonvallet and Ms. Chatel	Institut national de la propriété industrielle (INPI) (Ms. Françoise Chauvin) Tel: (33-1) 53 04 55 76 Fax: (33-1) 53 04 52 52 E-mail: fchauvin@inpi.fr						

	PCT Seminar Calendar [continued]							
Dates and Language of seminar		Nature of seminar; WIPO speakers (and others where known)	Organizer and contact numbers					
7 December 2016 Aichi (JP)	Japanese	Seminar on the PCT System WIPO speaker: Mr. Fujita	Japan Patent Office, Information Dissemination and Policy Promotion Division (see Chiba, above)					
8 December 2016 Paris (FR)	French	Advanced PCT seminar WIPO speaker: Ms. Bonvallet	Institut national de la propriété industrielle (INPI) (Ms. Françoise Chauvin) Tel: (33-1) 53 04 55 76 Fax: (33-1) 53 04 52 52 E-mail: fchauvin@inpi.fr					
17-18 May 2017 Munich (DE)	German	Basic PCT seminar WIPO speakers: to be announced	Forum Institut für Management GmbH Tel: (49-6221) 500 660 Fax: (49-6221) 500 666 E-mail: s.schilling@forum-institut.de					
15-16 June 2017 London (GB)	2017 English Advanced PCT Formalities seminar, including practical presentations on (		Management Forum Ltd. (Ms. Angela Spall) E-mail: angela.spall@falconbury.co.uk					
12-13 July 2017 Munich (DE)	German	Presentation on the PCT – Update International Patent Law WIPO speaker: Mr. Reischle-Park	Forum Institut für Management GmbH Tel: (49-6221) 500 660 Fax: (49-6221) 500 666 E-mail: s.schilling@forum-institut.de					

	PCT Webinars  (http://www.wipo.int/pct/en/seminar/webinars/index.html)  (http://www.wipo.int/patentscope/en/webinar/)								
Date and time	Language of webinar	Nature of webinar; WIPO speakers	Registration						
25 October 2016 17:30–18:30 CET or 27 October 2016 8:30–9:30 CET	English	PATENTSCOPE webinar: Options & help menus in details WIPO speaker: Ms. Ammann	https://attendee.gotowebinar.com/rt/384799 3105651763204						
22 November 2016 17:30–18:30 CET or 24 November 2016 8:30–9:30 CET	English	PATENTSCOPE webinar: Complex queries WIPO speaker: Ms. Ammann	https://attendee.gotowebinar.com/rt/219135 1239500238084						
6 December 2016 17:30–18:30 CET or 8 December 2016 8:30–9:30 CET	English	PATENTSCOPE webinar: Retrospective of 2016 and plans for 2017 WIPO speaker: Ms. Ammann	https://attendee.gotowebinar.com/rt/533938 0573171764740						

PCT Events Without WIPO speakers <sup>1</sup>								
Dates and location	Language of event	Nature of seminar; Speakers	Organizer and contact numbers					
28 November 2016 Bologna (IT)	Italian	Seminar: "PCT at the EPO" Speakers: Ms. Guglielmetti, (Italian Patent and Trademark Office), Ms. Giancane, (European Patent Office) and Mr. Santi, (Barzanò & Zanardo, Rome) and Mr. Pizzoli (Collegio Italiano dei Consulenti in Proprietà Industriale)	European Patent Academy, International Federation of Intellectual Property Attorneys, Italy, and Italian Patent and Trademark Office Tel: (49-89) 23 99 54 54 E-mail: academy@epo.org Internet: https://www.epo.org/learning-events/events/search/details.html?id=13 428					

<sup>1.</sup> Views and opinions of speakers at these events do not necessarily represent the views of WIPO.

# **PCT Fee Tables**

### (amounts on 1 October 2016, unless otherwise indicated)

The following Tables show the amounts and currencies of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Annexes C, D and E. Note that all amounts are subject to change due to variations in the fees themselves or fluctuations in exchange rates. The international filling fee may be reduced by CHF 100, 200 or 300 where the international application, or part of the international application, is filed in electronic form, as prescribed under Item 4(a), (b) and (c) of the Schedule of Fees (annexed to the Regulations under the PCT) and the *PCT Applicant's Guide*, paragraph 5.189. A 90% reduction in the international filling fee (including the fee per sheet over 30), the supplementary search handling fee and the handling fee, as well as an exemption from the transmittal fee payable to the International Bureau as receiving Office, is also available to applicants from certain States—see footnotes 2 and 14. (Note that if the CHF 100, 200 or 300 reduction, as the case may be, and the 90% reduction are applicable, the 90% reduction is calculated *after* the CHF 100, 200 or 300 reduction.) The footnotes to the Fee Tables follow Table II.

#### Key to abbreviations used in fee tables:

eq	equivalent of -	BRL	Brazilian real	HRK	Croatian kuna	MWK	Malawian kwacha	THB	Baht
IPEA	International Preliminary	BZD	Belize dollar	HUF	Hungarian forint	MXN	Mexican peso	TJS	Tajik somoni
	Examining Authority	CAD	Canadian dollar	IDR	Indonesian rupiah	MYR	Malaysian ringgit	TND	Tunisian dinar
ISA	International Searching	CHF	Swiss franc	ILS	New Israeli sheqel	NOK	Norwegian krone	TTD	Trinidad and Tobago
	Authority	CLP	Chilean peso	INR	Indian rupee	NZD	New Zealand dollar		dollar
RO	receiving Office	CNY	Yuan renminbi	IRR	Iranian rial	OMR	Omani rial	UAH	Ukrainian hryvnia
		COP	Colombian peso	ISK	Icelandic krona	PEN	Nuevo sol	USD	US dollar
Curre	ncies:	CUC	Cuban convertible peso	JPY	Japanese yen	PGK	Kina	VND	Vietnamese dong
		CZK	Czech koruna	KES	Kenyan shilling	PHP	Philippine peso	XAF	CFA franc BEAC
ALL	Albanian lek	DJF	Djibouti franc	KGS	Kyrgyz som	PLN	Polish zloty	ZAR	South African rand
AMD	Armenian dram	DKK	Danish krone	KPW	Won	QAR	Qatari riyal	ZWD	Zimbabwe dollar
AUD	Australian dollar	DZD	Algerian dinar	KRW	Won	RON	New Ieu		
AZN	Azerbaijani manat	EGP	Egyptian pound	KZT	Kazakh tenge	RSD	Serbian dinar		
BAM	Convertible mark	EUR	Euro	LSL	Lesotho loti	RUB	Russian rouble		
BGN	Bulgarian lev	GBP	Pound sterling	LYD	Libyan dinar	SDG	Sudanese pound		
BHD	Bahraini dinar	GHS	Ghanaian cedi	MAD	Moroccan dirham	SEK	Swedish krona		
BND	Brunei dollar	GTQ	Quetzal	MKD	Macedonian denar	SGD	Singapore dollar		

#### Table I(a) — Transmittal and international filing fees

(amounts on 1 October 2016, unless otherwise indicated)

RO	Trans	smittal fee <sup>1</sup>	filing fee <sup>1, 2</sup>		Fee per sheet	Scl	ductions ac nedule of Fe		Competent ISA(s) <sup>4</sup>
			(CHF 1,330)		over 30 <sup>1, 2, 3</sup> (CHF 15)	Item 4(a) <sup>5</sup> (CHF 100)	Item 4(b) <sup>6</sup> (CHF 200)	Item 4(c) <sup>7</sup> (CHF 300)	
AG	Information not yet available								CA
AL	ALL	9,000	CHF	1,330	15	_	_	1	EP
AM	AMD	32,000	USD	1,363	15	-	_	_	EP RU
AP	USD	50	USD	1,363	15	_	_	_	AT EP SE
	(or eq in	local currency)							
AT	EUR	52	EUR	1,219	14	_	183	275	EP
AU	AUD	200	AUD	1,811	20	_	272	408	AU KR
ΑZ	AZN	35.40	USD	1,363	15	_	205	307	EP RU
ВА	BAM	50	EUR	1,219	14	_	_	_	EP
BE	EUR	120	EUR	1,219	14	_	_	_	EP
BG	BGN	80	BGN	eq <b>CHF</b> 1,330	eq <b>CHF</b> 15	_	eq <b>CHF</b> 200	eq <b>CHF</b> 300	EP RU
вн	BHD	70	USD	1,363	15	_	_	1	AT EP US
BN	BND	150	BND	eq <b>CHF</b> 1,330	eq <b>CHF</b> 15	_	eq <b>CHF</b> 200	eq <b>CHF</b> 300	AU JP EP
BR	BRL <sup>8</sup>	online: 175	BRL	eq <b>CHF</b> 1,330	eq <b>CHF</b> 15	-	eq <b>CHF</b> 200	eq <b>CHF</b> 300	AT BR EP SE US
		on paper: 260							
BW	USD	32	USD	1,363	15	-	_	_	EP
BY	USD	50	USD	1,363	15	_	_	_	EP RU
BZ	BZD	300	USD	1,363	15	_	_	_	CA EP
CA	CAD	300	CAD	1,782	20	_	268	402	CA
СН	CHF	100	CHF	1,330	15	_	_	_	EP
CL	CLP ed	<b>USD</b> <sup>9</sup> 130	CLP	eq <b>USD</b> <sup>9</sup> 1,363	eq <b>USD</b> <sup>9</sup> 15	_	eq <b>USD</b> 205	eq <b>USD</b> 307	CL EP ES KR US
CN	CNY	500	CNY	eq <b>CHF</b> 1,330	eq <b>CHF</b> 15	_	eq <b>CHF</b> 200	eq <b>CHF</b> 300	CN

[continued on next page]

# Table I(a) — Transmittal and international filing fees [continued] (amounts on 1 October 2016, unless otherwise indicated)

		(amounts on 1 October 2016, unless otherwise indicated)							
RO	Transr	nittal fee <sup>1</sup>	Inte fili	ernational ing fee <sup>1, 2</sup>	Fee per sheet over 30 <sup>1, 2, 3</sup>	Sch	ductions ac nedule of Fe	cording to es:	Competent ISA(s) <sup>4</sup>
						Item 4(a) <sup>5</sup>	Item 4(b) <sup>6</sup>	Item 4(c) <sup>7</sup>	
CO	COP	952,000 <sup>10</sup>	COP	eq <b>CHF</b> 1,330	eq <b>CHF</b> 15	_	eq <b>CHF</b> 200	eq <b>CHF</b> 300	AT BR CL EP ES RU
CR	USD	250	USD	1,363	15	_	_	_	CL EP ES
CU	CUC	200	CUC	1,363	15	_	205	307	AT BR CL EP ES RU
CY	EUR	191	EUR	1,219	14	_	_	_	EP
cz	CZK	1,500	EUR	1,219	14	_	183	275	EP XV
DE	EUR	90	EUR	1,219	14	_	183	275	EP
DJ	USD	100	USD	1,363	15	_	_		EG EP
	or eq <b>DJF</b>	100	002	1,000	10				202.
DK	DKK	1,500	DKK	9,090	100	_	1,370	2,050	EP SE XN
DM		n not yet availab	1	-,			,	,	-
DO	USD	275	USD	1,363	15	_	(from 1 11 16:	(from 1.11.16:	CL EP ES US
	005	270	002	1,000	10		205)	307)	02 27 20 00
DZ	DZD	None	CHF	1,330	15	_	eq <b>CHF</b> 200	eq <b>CHF</b> 300	AT EP
EA	RUB	1,600	USD	1,363	15	_	205	307	EP RU
EC	USD <sup>11</sup>	-	USD	1,363	15	_	_	_	CL EP ES
EE	EUR	120	EUR	1,219	14	_	183	275	EP ES
EG	USD	142	USD	1,363	15	_	103	213	AT EG EP US
-				-				075	EP EP US
EP	EUR	130	EUR	1,219	14	92	183	275	
ES	EUR	74.99	EUR	1,219	14	_	183	275	EP ES
FI	EUR	135	EUR	1,219	14	_	183	275	EP FI SE
FR	EUR	62	EUR	1,219	14	_	183	275	EP
GB	GBP	75	GBP	980	11	_	147	221	EP
			(from 1.	11.16: 1,053)	(12)	(-)	(158)	(238)	
GD		n not yet availab				Ī	T	T T	
GE	USD <sup>12</sup>	100	USD	1,363	15	_	_	_	AT EP IL RU US
GH		500 or 5,000	USD	1,363	15	_	_	_	AT AU CN EP SE
GR	EUR	115	EUR	1,219	14	_	_	_	EP
GT	GTQ eq l	<b>JSD</b> 250	USD	1,363	15	_	_	_	AT BR CL EP ES US
HN	USD	200	USD	1,363	15	_	_	_	EP ES
HR	HRK	200		eq <b>CHF</b> 1,330	eq <b>CHF</b> 15	_	eq <b>CHF</b> 200	eq <b>CHF</b> 300	EP
HU	HUF	11,800	HUF	380,800	<b>HUF</b> 4,300	_	57,300	85,900	EP XV
IB	CHF <sup>14</sup>	100	CHF	1,330	15	_	200	300	See footnote 15
	or EUR <sup>14</sup>	92	or EUR		14	_	183	275	
l l	or USD <sup>14</sup>	102	or <b>USD</b>	1,363	15	_	205	307	
ID	IDR	1,000,000		eq <b>CHF</b> 1,330	eq <b>CHF</b> 15	_	eq <b>CHF</b> 200	eq <b>CHF</b> 300	AU EP JP KR RU SG
IE	EUR	76	EUR	1,219	14	_	_	_	EP
IL	ILS	551	USD	1,363	15	_	205	307	EP IL US
IN	INR	17,600	USD	1,363	15	_	205	307	AT AU CN EP IN SE
	(filing by na 3,250; filing	atural person:							US
	entity: 8,80								
IR	IRR <sup>11</sup>		IRR	eq <b>CHF</b> 1,330	eq <b>CHF</b> 15	_	eq <b>CHF</b> 200	eq <b>CHF</b> 300	CN EP IN RU
ıs	ISK	15,000	ISK	172,800	1,900	_	26,000	39,000	EP SE XN
.5	.5	. 5,550		11.16:161,500)	(1,800)	(-)	(24,300)	(36,400)	
IT	EUR	30.99	EUR	1,219	14	_	_		EP
JP	JPY	10,000 <sup>16</sup>	JPY	143,700	1,600	_	_	32,400	EP JP SG
KE		(or <b>KES</b> equiv)		1,363	15	_	_		AT AU CN EP SE
	plus cost of			.,550					
KG	KGS eq l	<b>JSD</b> 100	USD	1,363	15	_	_	_	EP RU
KN		n not yet availa	ble				1	,	EP
KP	KPW eq		1	eq <b>CHF</b> 1,330	eq <b>CHF</b> 15	_	_	_	AT CN RU
KR	KRW	45,000	CHF	1,330	15	_	_	300	AT AU JP <sup>17</sup> KR
KZ	KZT	10,264.80	USD	1,363	15	_	_	_	EP RU
LR	USD	45	USD	1,363	15	_	_	_	AT AU CN EP SE
LS	LSL <sup>11</sup>	- <del>1</del> 0	LSL	eq <b>CHF</b> 1,330	eq <b>CHF</b> 15	_	_		AT EP
LJ	LOL	_	LJL	eq UIF 1,330	eq <b>U</b> IIF 13	_			ued on nevt nage

[continued on next page]

# Table I(a) — Transmittal and international filing fees [continued] (amounts on 1 October 2016, unless otherwise indicated)

	· · · · · · · · · · · · · · · · · · ·			October 2016, unless otherwise indicated)					
RO	Transı	mittal fee <sup>1</sup>	Inte	ernational	Fee per	E-filing re	ductions ac	cording to	Competent
			TIII	ing fee <sup>1, 2</sup>	sheet over 30 <sup>1, 2, 3</sup>	Item 4(a) <sup>5</sup>	nedule of Fe	es: Item 4(c) <sup>7</sup>	ISÅ(s)⁴
	EUR	02	EUR	1 210		item 4(a)			EP RU
LU	EUR	92 19	EUR	1,219 1,219	14 14	_	183	275	EP KU
LV	EUR	70	EUR	1,219	14	_	183	275	EP RU
LY	LYD <sup>11</sup>	-	CHF	1,330	15	_	105	_	AT EP
MA	MAD	600 <sup>18</sup>	CHF	1,330	15	_	_	_	AT EP RU SE
MC	EUR	54 <sup>19</sup>	EUR	1,219	14	_	_	_	EP EP
MD	EUR	100	USD	1,363	15	_	_	_	EP RU
ME	EUR <sup>11</sup>	_	EUR	1,219	14	_	_	_	EP
MK	MKD	2,700	MKD	•	eq <b>CHF</b> 15	_	_	_	EP
MN		None	CHF	1,330	15	_	_	_	EP KR RU
MT	EUR	55	EUR	1,219	14	_	_	_	EP
MW	MWK	6,000	USD	1,363	15	_	_	_	EP
MX	USD	323.70 <sup>20</sup>	USD	1,363	15	_	205	307	CLEPES KR SE SG US
MY	MYR 50	0 (e-filing) <sup>21</sup>	MYR	eq <b>CHF</b> 1,330	eq <b>CHF</b> 15	_	eq <b>CHF</b> 200	eq <b>CHF</b> 300	AU EP JP KR
NII.		aper filing) <sup>21</sup>	HCD	4 202	45				ED EC
NI NL	USD EUR	200 50	USD	1,363	15 14	_	- 402	- 275	EP ES EP
NO	NOK	800	NOK	1,219 11,460		_	183 1,720	275 2,590	EP SE XN
NZ	NOK NZD	800 207	NZD	1,945	130 22	_	1,720	2,590 439	AU EP KR US
142	NZD	201	NZD	1,340	22	()	232	755	AO EL RICOO
ОА	XAF <sup>11</sup>	_	XAF	eq <b>CHF</b> 1,330	eq <b>CHF</b> 15	_	_	_	AT EP RU SE
ОМ	OMR	40	OMR	eq <b>USD</b> 1,363	eq <b>USD</b> 15	_	eq <b>USD</b> 205	eq <b>USD</b> 307	AT AU EP US
PA	USD	200	USD	1,363	15	_	_	_	BR CL EP ES US
								(from 1.11.16:	
	DEN	000.05	DEN	LIOD 4 000	HOD 45		205)	307)	ATDD OLED FOR ADU
PE PG	PEN PGK	233.35 250	PEN USD	eq <b>USD</b> 1,363 1,363	eq <b>USD</b> 15 15	_	_	_	ATBRCLEPESKRUS AU
PH	PHP	3,500	USD	1,363	15	_	205	307	AU EP JP KR US
PL	PLN	3,300	PLN	eq <b>CHF</b> 1,330	eq <b>CHF</b> 15	_	eq <b>CHF</b> 200	eq <b>CHF</b> 300	EP XV
PT		16 (online filing)	EUR	1,219	14	_	183	275	EP
• •		92 (paper filing)	LOIK	1,213	'-		103	213	Li
QA	QAR	400	QAR	eq <b>USD</b> 1,363	eq <b>USD</b> 15	_	eq <b>USD</b> 205	eq <b>USD</b> 307	EG EP US
RO	RON	441	EUR	1,219	14	_	183	275	EP RU
RS	RSD	7,300 <sup>22</sup>	RSD	eq CHF 1,330	eq <b>CHF</b> 15	_	_	_	EP
RU	RUB	850	USD	1,363	15	_	205	307	EP RU
RW		n not yet availab							
SA	USD	100	USD	1,363	15	_	205	307	CA EG EP KR RU
SC	USD <sup>11</sup>	_	USD	1,363	15	_	_	_	EP
SD	SDG	50		eq <b>CHF</b> 1,330	eq <b>CHF</b> 15	_			EG EP
SE	SEK	1,200	SEK	11,380	130		1,710	2,570	EP SE XN
SG	SGD	150	SGD	1,837	21	_	276	414	AT AU EP JP KR SG EP
SI	EUR EUR	91 66 <sup>23</sup>	EUR EUR	1,219	14 14	_	102	275	EP XV
SM	EUR	70	EUR	1,219 1,219	14		183	2/5	EP XV
SV	USD	200	USD	1,363	15	_	_	_	CL EP ES
SY	USD <sup>11</sup>		USD	1,363	15	_	_	_	AT EG EP RU
TH	THB	3,000	THB	eq <b>CHF</b> 1,330	eq <b>CHF</b> 15	_	_	_	AU CN EP JP KR US
TJ	TJS <sup>11</sup>	_	USD	1,363	15	_	_	_	EP RU
TM	USD <sup>11</sup>	_	USD	1,363	15	_	_	_	EP RU
TN	TND <sup>11</sup>	_	CHF	1,330	15	_	_	_	EP
TR		None	CHF	1,330	15	_	eq <b>CHF</b> 200	eq <b>CHF</b> 300	EP
TT	TTD	750	USD	1,363	15	_	_	_	AT EP SE US
UA	UAH or	LIOD24	USD (						ED D
		USD <sup>24</sup> 1,300		or <b>EUR</b> ) 1,363	15	400	-	_	EP RU UA
US	USD small entity	240 <sup>25</sup> v <sup>26</sup> : 120 <sup>25</sup>	USD	1,363	15	102	205	_	AU EP IL JP KR SG RU US
	micro entity	$r^{26}$ $60^{25}$							1.0 00
UZ	USD <sup>11</sup>	_	USD	1,363	15	_	_	_	EP RU
VN	VND eq U	<b>JSD</b> 150	VND	eq <b>CHF</b> 1,330	eq <b>CHF</b> 15	_	_	_	AT AU EP JP KR RU
				-					SE SG
ZA	ZAR	500	ZAR	20,680	230	_	3,110	4,670	AT AU EP US
714	Heb	EO	(trom 1.	11.16: 19,100)	(220)	(-)	(2,870)	(4,310)	AT SE
ZM	USD ZWD	50 6,000		1,363 eq <b>USD</b> 1,363	15	_	_	_	AT SE AT AU CN EP RU
200	ZVVD	6,000	ZVV D	eq יו עפט ו,303	eq <b>USD</b> 15	_	_		AT AU ON EP KU

Table I(b) — Search fees (amounts on 1 October 2016, unless otherwise indicated)

ISA		(amounts on 1 October 2016, unless otherwise indicated)  Search fee <sup>1</sup>										
<b>AT</b> <sup>27</sup>	EUR * (fror	1,864 n 1.11.16:	CHF ZAR	2,034 28,940)	KRW	2,419,000	SGD	2,780	USD	2,084	ZAR*	31,450
AU	AUD USD * (from	2,200 1,674 n 1.12.16:	CHF ZAR <i>KRW1</i>	1,616 23,900 ,858,000)	EUR	1,481	KRW	1,963,000	NZD	2,397	SGD	2,210
BR <sup>28</sup>	Online: On pape	r:	BRL BRL	1,685 2,525	CHF CHF	502 752	EUR EUR	464 695	USD USD	518 776		
CA	CAD	1,600	CHF	1,194	EUR	1,094	USD	1,261				
CL		2,000 of filing by a of filing by a		1,952 person or a l , <sup>29</sup> :	<b>EUR</b> legal entit	1,789 y <sup>2</sup> : <b>USD</b> <b>USD</b>	400 300	CHF CHF	390 293	EUR EUR	358 268	
CN	CNY	2,100	CHF	323	EUR	296	USD	330				
EG <sup>30</sup>	EGP	4,000	CHF	430	EUR	395	USD	451				
EP <sup>31</sup>	EUR JPY ZAR*	1,875 213,000 31,640	CHF NOK	2,046 17,640	DKK NZD	13,990 2,953	GBP* SEK	1,503 17,500	HUF SGD	585,800 2,800	ISK* USD	266,000 2,097
		n 1.11.16:	GBP	1,617	ISK	250,000	ZAR	29,110)				
<b>ES</b> <sup>31,32</sup>	EUR	1,875	CHF	2,046	USD	2,097						
FI	EUR	1,875	CHF	2,046	USD	2,097						
IL	ILS	3,529	CHF	905	EUR	837	USD	912				
IN	INR 10,0 In case o	000 of filing by a	<b>CHF</b> n individu	150 al:	EUR INR	137 2,500	USD CHF	154 37	EUR	34	USD	38
JP <sup>16</sup>	JPY * (fror	national app 70,000 <i>m 1.11.16:</i> national app	CHF KRW	in Japanese 648 <i>756,000)</i> in English:	: EUR	616	KRW*	810,000	USD	686		
	JPY	156,000	CHF	1,444	EUR	1,373	SGD	1,968	USD	1,530		
KR	KRW USD * (fron	national app 450,000 388 m 1.12.16:	AUD*	504 533	CHF SGD	379 550)	EUR	347	NZD	559	SGD*	520
	KRW 1 USD	national app ,300,000 1,120 m 1.12.16:	AUD*	in English: 1,457 <i>1,5</i> 39	CHF SGD	1,093 1,580)	EUR	1,002	NZD	1,616	SGD*	1,490
RU	RUB	national app 6,750 national app	CHF	99	EUR	92	USD	101				
	RUB	28,000	CHF	409	EUR	381	USD	420				
SE	SEK USD	17,500 2,097	CHF * (from	2,046 1.11.16:	DKK ISK	13,990 250,000)	EUR	1,875	ISK*	266,000	NOK	17,640
SG	SGD	2,240	CHF	1,621	EUR	1,501	JPY	167,500	USD	1,578		
UA	EUR	300	CHF	325	USD	328						
US	,	2,080 n 1.11.16:	CHF ZAR	2,030 28,280)	EUR	1,860	NZD	2,980	ZAR*	31,430		
	* (fror	Il entity <sup>26</sup> : m 1.11.16:	USD ZAR	1,040 14,140)	CHF	1,015	EUR	930	NZD	1,490	ZAR*	15,710
		o entity <sup>26</sup> : m 1.11.16:	USD ZAR	520 7,070)	CHF	508	EUR	465	NZD	740	ZAR*	7,860
XN	DKK USD	13,990 2,097	CHF * (from	2,046 1.11.16:	EUR <i>ISK</i>	1,875 250,000)	ISK*	266,000	NOK	17,640	SEK	17,500
χV	EUR	1,875	CHF	2,046	HUF	585,800	USD	2,097				_

# Table I(c) — Supplementary search fees (amounts on 1 October 2016, unless otherwise indicated)

ISA	Supplementary search	fee		Su	pplementary search handling fee <sup>2, 33</sup>
AT	- for a search of the German-			CHF	200
	language documentation:	CHF	928		
	for a search of the European     and North American documentation:	CHF	1,299		
	- for a search of the PCT minimum		.,		
	documentation:	CHF	1,855		
EP	<b>CHF</b> 2,046			CHF	200
FI	<b>CHF</b> 2,046			CHF	200
RU	<b>CHF</b> 172 (276) <sup>34</sup>			CHF	200
SE	<b>CHF</b> 2,046			CHF	200
SG	<b>CHF</b> 1,621			CHF	200
UA	- for a search of only the PCT minimum			CHF	200
	documentation:	CHF	108		
	for a search of only the Russian language     documentation of the former USSR and the				
	Ukrainian language documentation:	CHF	163		
	for a search of only the European and				
	North American documentation:	CHF	217		
XN	– for a full search:	CHF	2,046	CHF	200
	– for searches only of documentation in	CHE	500		
201	Danish, Icelandic, Norwegian and Swedish:	CHF	590		
ΧV	- for a full search:	CHF	2,046	CHF	200
	<ul> <li>for searches only of documentation in Czech, Hungarian, Polish and Slovak:</li> </ul>	CHF	600		

# Table II — Preliminary examination fees (amounts on 1 October 2016, unless otherwise indicated)

	(amounts on 1 October 2016, unless otherwise indicated)								
IPEA	Preliminary examination fee <sup>35</sup>	Handling fee <sup>2, 35</sup> (CHF 200)							
<b>AT</b> <sup>27</sup>	<b>EUR</b> 1,749	<b>EUR</b> 183							
AU	<b>AUD</b> 590 820 <sup>36</sup>	AUD 272							
BR <sup>28</sup>	Online: BRL 630	BRL eq CHF 200							
	On paper: BRL 945								
CA	<b>CAD</b> 800	<b>CAD</b> 268							
CL	USD 1,500	<b>USD</b> 205							
	in case of filing by a natural person or a legal entity <sup>2</sup> : <b>USD</b> 400								
	in case of filing by a university <sup>29</sup> : USD 300								
CN	<b>CNY</b> 1,500	CNY eq CHF 200							
<b>EG</b> <sup>30</sup>	<b>EGP</b> 3,000	<b>USD</b> 205							
<b>EP</b> <sup>31</sup>	EUR 1,930	<b>EUR</b> 183							
ES	<b>EUR</b> 589.49 <sup>32</sup>	<b>EUR</b> 183							
FI	<b>EUR</b> 600	<b>EUR</b> 183							
IL	ILS 1,512	ILS 794							
IN	INR 12,000; in case of filing by an individual: INR 3,000	<b>USD</b> 205							
JP	For international applications in Japanese: <b>JPY</b> 26,000 <sup>16</sup>	<b>JPY</b> 21,600							
	For international applications in English: JPY 58,000								
KR	KRW 450,000	KRW 238,000							
RU	For international applications in Russian: <b>RUB</b> 2,700 <sup>37</sup> 4,050 <sup>38</sup>	<b>USD</b> 205							
	For international applications in English: <b>RUB</b> 10,500 <sup>37</sup> 15,750 <sup>38</sup>								
SE	<b>SEK</b> 5,000	<b>SEK</b> 1,710							
SG	<b>SGD</b> 830	<b>SGD</b> 276							
UA	- if the international search report has been	<b>EUR</b> 183							
	prepared by this ISA: EUR 160								
	if the international search report has been     prepared by another ISA:     EUR 180								
US	USD 600 760 <sup>39</sup>	USD 205							
US	For small entity <sup>26</sup> : <b>USD</b> 300 380 <sup>39</sup>	200							
	For micro entity <sup>26</sup> : USD 150 $190^{39}$								
XN	DKK 5,000	<b>DKK</b> 1,370							
ΧV	EUR 1,930	EUR 183							
	,	10							

[See over page for footnotes to fee tables]

#### Footnotes to fee tables:

- 1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 2 This fee is reduced by 90% where the applicant or, if there are two or more applicants, each applicant fulfills the criteria indicated on the PCT website at: http://www.wipo.int/pct/en/fees
- 3 Where the international application contains a sequence listing as a separate part of the description, this should preferably be furnished in accordance with Annex C, paragraph 40, of the Administrative Instructions under the PCT, that is, in compliance with WIPO Standard ST.25 text format; no additional fees are due for sequence listings filed in this format. Where, however, such sequence listings are filed in the form of an image file (e.g. PDF), fees are due for each page (see PCT Newsletter No. 06/2009, page 2 at: http://www.wipo.int/pct/en/newslett/2009/newslett\_09.pdf).
- 4 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- 5 If the international application is filed in electronic form, the request not being in character coded format.
- 6 If the international application is filed in electronic form, the request being in character coded format.
- 7 If the international application is filed in electronic form, the request, description, claims and abstract being in character coded format.
- 8 This fee is reduced by 60% where the international application is filed by a natural person, a small or medium-sized enterprise, a cooperative, an academic institution, a non-profit-making entity or a public institution. For further details, see Official Resolution of the National Institute of Industrial Property No. 211/09 of 14 May 2009.
- 9 When calculating the USD equivalent amount in CLP, applicants should use the exchange rate fixed by the Central Bank of Chile on the day before the date of payment.
- 10 This fee is reduced by 25% if the applicant is a natural person, a small or medium enterprise, a public or private university recognized by the National Ministry or a non-profit entity promoting the development of scientific and technological research.
- 11 The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 12 This fee is reduced by 70% where the applicant is a natural person.
- 13 The first amount of the transmittal fee is applicable to individuals or entities employing less than 25 persons. The second amount is applicable to entities employing 25 persons or more.
- 14 This fee is not payable in respect of international applications filed by applicants fulfilling the conditions indicated on the PCT website at: http://www.wipo.int/pct/en/fees
- 15 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national. See also *PCT Applicant's Guide*, Annex C (IB).
- 16 This fee is reduced by two thirds where the application is filed in Japanese by an individual, a small or medium-sized enterprise that has commenced business or been established less than ten years, or a micro enterprise. For further details, see: <a href="http://www.jpo.go.jp/tetuzuki/ryoukin/chusho\_keigen.htm">http://www.jpo.go.jp/tetuzuki/ryoukin/chusho\_keigen.htm</a>
- 17 The Japan Patent Office is competent only for international applications in Japanese.
- 18 This fee is reduced by 50% where the international application is filed by a public university, a small or medium enterprise (in accordance with the criteria of the charter for small or medium enterprises) or a natural person who is a national of and resides in one of the States entitled to a reduction under the PCT (for the list of States see: http://www.wipo.int/pct/en/fees).
- 19 Plus EUR 1.50 for the preparation of additional copies, for each page and each copy.
- 20 This fee is subject to a national tax of 16%.
- 21 Plus MYR 60 for each sheet in excess of 30 for electronic filings, and MYR 70 for each sheet in excess of 30 for paper filings.
- 22 This fee is reduced by 50% where the applicant is a natural person.
- 23 This fee is reduced by 50% if the international application is filed in fully-electronic form.
- 24 This fee is reduced by 95% where all applicants are also inventors and by 90% where all applicants are also non-profitable institutions and/or organizations. When the fee is payable with relation to an application made by both types of applicant, and all applicants are either also inventors, or non-profitable institutions and/or organizations, the fee is reduced by 90%.
- 25 Plus non-electronic filing fee portion for international applications filed other than by the Office electronic filing system of USD 400, or in the case of filings by small entities or micro entities: USD 200.
- 26 For further details about entitlement to and establishment of "small entity" status and "micro entity" status, see 37 CFR § 1.27 and 1.29 (pages R-41 and R-47), respectively, at: http://www.uspto.gov/web/offices/pac/mpep/consolidated\_rules.pdf
- 27 The fee is reduced by 75% where the applicant, or if there are two or more applicants, each applicant is a natural person and is a national of and resides in a State for which the Austrian Patent Office is an International Searching Authority (in the case of the search fee)/International Preliminary Examining Authority (in the case of the preliminary examination fee).
- 28 This fee is reduced by 60% where the international application is filed by a natural person, a small or medium enterprise or an academic institution.
- 29 Applicable where the applicant is (a) a Chilean university, or (b) a foreign university headquartered in any of the States which benefit, in accordance with the Schedule of Fees under the PCT Regulations, from the 90% reduction of the international filing fee and authenticated by its legal representative, in a simple declaration signed in the presence of a notary, as constituted as a university in accordance with the law of that State, provided that, if there are several applicants, each must satisfy the criterion set out in either sub-item (a) or (b)). For details of applicants entitled to the 90% reduction, see: http://www.wipo.int/pct/en/fees
- 30 The search fee payable to the Office is reduced by 25% where the applicant, or, if there are two or more applicants, each applicant is a natural person or a legal entity and is a national of and resides in a State which is classified by the World Bank in the group of countries of "low income", "lower middle income" or "upper middle income".
- 31 The search fee payable to the EPO and the Spanish Patent and Trademark Office, as well as the preliminary examination fee payable to the EPO, are reduced by 75% under certain conditions. For further information, see the relevant footnote in the *PCT Applicant's Guide*, Annexes D (EP and ES) and E (EP) at: http://www.wipo.int/pct/guide/en/index.html
- 32 Public universities in Spain are exempt from paying this fee.
- 33 Payable to the International Bureau in Swiss francs.
- 34 This fee applies where a declaration referred to in PCT Article 17(2)(a) has been made by the International Searching Authority because of subject matter referred to in PCT Rule 39.1(iv) (methods of treatment).
- 35 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 36 Payable when the international search report was not issued by the Australian Patent Office.
- 37 Payable when the international search report was established by the Federal Service for Intellectual Property (Rospatent) (Russian Federation).
- 38 In all cases where footnote 37 does not apply.
- 39 Payable when the international search was not carried out by the United States Patent and Trademark Office (USPTO) (provided that the USPTO is a competent International Preliminary Examining Authority in the particular case—see *PCT Applicant's Guide*, Annex C (US)).

# PCT Contracting States and Two-letter Codes (151 on 1 October 2016)



AE United Arab Emirates AG Antigua and Barbuda AL Albania (EP) AM Armenia (EA) AO Angola AT Austria (EP) AU Austriai (EP) AU Austriai (EP) AU Austriai (EP) BA Bosnia and Herzegovina BB Belgium (EP) BF Burkina Fas BG Bulgaria (EP) BH Bahrain BJ Benin (OA) <sup>2</sup> BN Brunei Daru BR Brazil BW Botswana (ABY Belizus (EA) BZ Belize CA Canada CF Central Africa Republic (OC) CG Congo (OA) CH Switzerland CI Côte d'Ivoire CL Chile CM Cameroon (CN) China CO Colombia CR Costa Rica	A) DK DM DM DM DD DZ GEA) EC EE EG CO (OA)2 FI FR CO (OA)2 FR GB	United Kingdom (EP) Grenada Georgia Ghana (AP) Gambia (AP) Guinea (OA)² Equatorial Guinea (OA)³ Greece (EP)² Guatemala / Guinea-Bissau (OA)²	KM KN KP KR KW KZ LA LC LL LL LV LY MA MC MD	Iran (Islamic Republic of) Iceland (EP) Italy (EP)² Japan Kenya (AP) Kyrgyzstan (EA) Cambodia (from 8 December 2016) Comoros (OA)² Saint Kitts and Nevis Democratic People's Republic of Korea Republic of Korea Kuwait Kazakhstan (EA) Lao People's Democratic Republic Saint Lucia Liechtenstein (EP) Sri Lanka Liberia (AP) Lesotho (AP) Lithuania (EP)² Luxembourg (EP) Latvia (EP)² Libya Morocco³ Monaco (EP)² Republic of Moldova⁴ Montenegro¹	MK ML MN MR MT	Madagascar The former Yugoslav Republic of Macedonia (EP) Mali (OA)² Mongolia Mauritania (OA)² Malta (EP)² Malawi (AP) Mexico Malaysia Mozambique (AP) Namibia (AP) Niger (OA)² Niger (OA)² Nigeria Nicaragua Netherlands (EP)² Norway (EP) New Zealand Oman Panama Peru Papua New Guinea Philippines Poland (EP) Portugal (EP) Qatar Romania (EP) Serbia (EP) Russian Federation (EA) Rwanda (AP) Saudi Arabia Seychelles	UG US UZ VC VN ZA ZM	Saint Vincent and the Grenadines Viet Nam
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Where a State can be designated for a regional patent, the two-letter code for the regional patent concerned is indicated in parentheses (AP = ARIPO patent, EA = Eurasian patent, EP = European patent, OA = OAPI patent).

*Important:* This list includes all States that have adhered to the PCT by the date shown in the heading. Any State indicated in *bold italics* has adhered to the PCT but will only become bound by the PCT on the date shown in parentheses; it will not be considered to have been designated in international applications filed before that date.

Note that even though the filing of a request constitutes under PCT Rule 4.9(a) the designation of all Contracting States bound by the Note that even though the filing of a request constitutes under PCT Rule 4.9(a) the designation of all Contracting States bound by the PCT on the international filing date, for the grant of protection available and, where applicable, for the grant of both regional and national patents, applicants should always use the latest version of the e-filing sofware used to generate the request form, or the latest versions of the request form (PCT/RO/101) and demand form (PCT/IPEA/401) (the latest versions are dated 1 July 2015 and 1 July 2016, respectively). The request and demand forms can be printed from the website, in editable PDF format, at: http://www.wipo.int/pct/en/forms/, or obtained from receiving Offices or the International Bureau, or, in the case of the demand form, also from International Preliminary Examining Authorities. Where possible, applicants are encouraged to use ePCT-Filing in order to benefit from the most up-to-date PCT data.

Extension of European patent possible.

May only be designated for a regional patent (the "national route" via the PCT has been closed).

Validation of European patent possible for international applications filed on or af ter 1 March 2015.

Validation of European patent possible for international applications filed on or af ter 1 November 2015.

Only international applications filed on or af ter 19 August 2014 will include the designation of Sao Tome and Principe for an ARIPO patent.